Workflow for Characterising the bacterial gut microbiome of probiotic-supplemented very-preterm infants

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Last updated on 2021-03-22

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1 About.

This document contains the workflow for the manuscript Characterising the bacterial gut microbiome of probiotic-supplemented very-preterm infants, and includes the bioinformatics pipeline to go from raw reads to interpretable abundances, based largely around this DADA2 workflow developed by Callahan, et al.) worfklow, removal of contamination with MicroDecon, and the analysis using a combination of the packages phloseq, DESeq2, lme4, amongst many others.

2 Bioinformatics Pipeline.

2.1 About.

Creating an ASV table from raw reads, using DADA2.

2.2 Load required packages.

2.3 Read quality.

2.3.1 Organise forward and reverse fastq filenames into own lists (check file format).

• First define the file path to the directory containing the fastq files (we will use this several times).

```
path <-"Data/"
fnFs <- sort(list.files(path, pattern="_R1_001.fastq.gz", full.names = TRUE))
fnRs <- sort(list.files(path, pattern="_R2_001.fastq.gz", full.names = TRUE))</pre>
```

2.3.2 Extract sample names.

```
sample.names <- sapply(strsplit(basename(fnFs), "_"), '[', 1)</pre>
```

2.3.3 Check quality of Forward and Reverse Reads (used to define truncLen in filtering).

plotQualityProfile(fnFs[1:2])

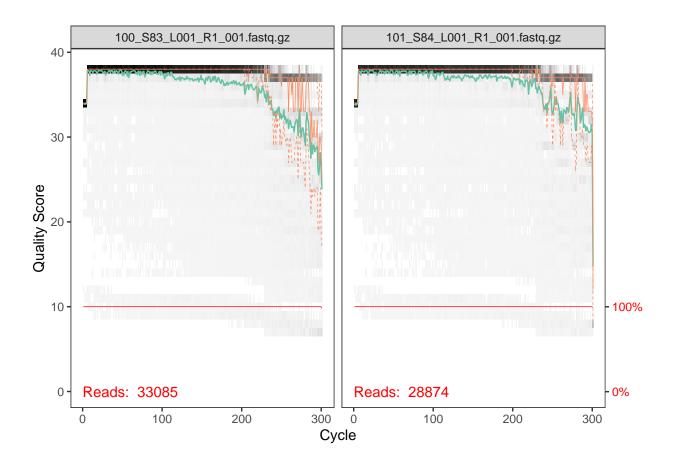


Figure 1: Quality of forward reads.

plotQualityProfile(fnRs[1:2])

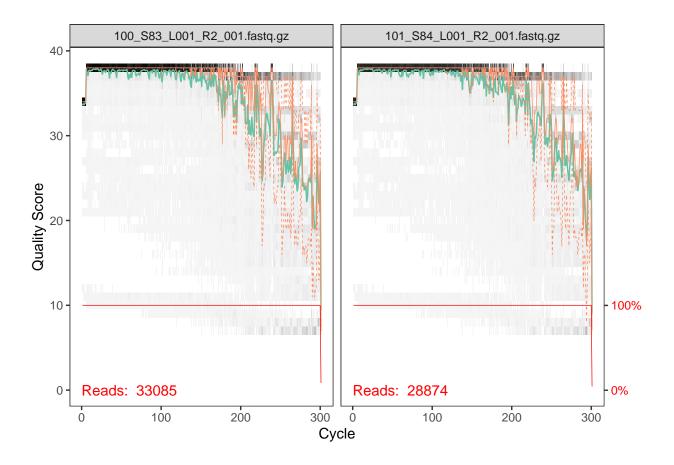


Figure 2: Quality of reverse reads.

2.3.4 Assign names for filtered reads.

```
filtFs <- file.path(path, "filtered", paste0(sample.names, "_F_filt.fastq.gz"))
filtRs <- file.path(path, "filtered", paste0(sample.names, "_R_filt.fastq.gz"))</pre>
```

2.3.5 Filter and trim the reads.

- Paremeters based on data and quality plots.
- truncLean defined by when quality plots begin to drop off, but ensuring it is large enough to maintain read overlap (=>20bp) downstream.
- trimLeft = c(16,21) is used to remove primers (16 and 21 are F and R primer length).
- maxEE = c(2,2) is for filtering, where the higher the value the more relaxed filtering, allowing more reads to get through.
- Good quality data should allow for more stringent parameters (2 is stringent).
- The number of reads filtered is checked. If reads are too low, can alter parameters.

```
maxEE = c(2,2),
truncQ = 2,
rm.phix = TRUE,
compress = TRUE,
multithread = FALSE) # windows can't support multithread
head(out)
```

```
##
                                 reads.in reads.out
## 100_S83_L001_R1_001.fastq.gz
                                    33085
                                              29743
## 101_S84_L001_R1_001.fastq.gz
                                    28874
                                              26864
## 102_S98_L001_R1_001.fastq.gz
                                    26505
                                              24330
## 103_S99_L001_R1_001.fastq.gz
                                    28695
                                              26113
## 104_S100_L001_R1_001.fastq.gz
                                    23909
                                              21872
## 105_S101_L001_R1_001.fastq.gz
                                    25749
                                              23289
```

2.4 Infer sequence variants.

2.4.1 Calculate Error Rates.

• Error rates are used for sample ineference downstream.

```
errF <- learnErrors(filtFs, multithread=TRUE)
errR <- learnErrors(filtRs, multithread=TRUE)</pre>
```

2.4.2 Plot error rates.

• Estimated error rates (black line) should be a good fit to observed rates (points) and error should decrease.

```
plotErrors(errF, nominalQ=TRUE)
```

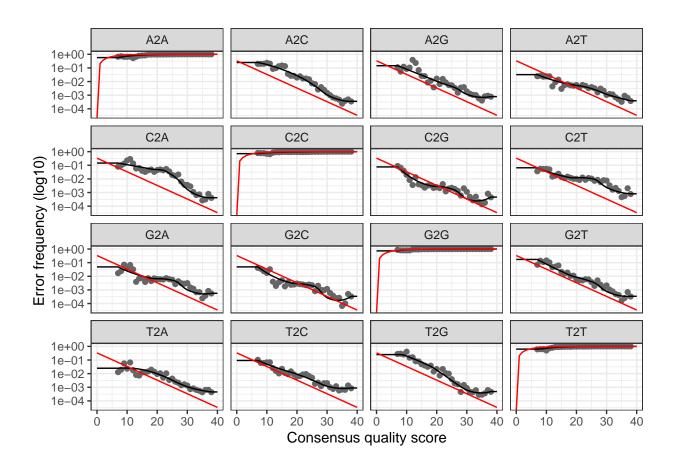


Figure 3: Error rates for forward reads

plotErrors(errR, nominalQ=TRUE)

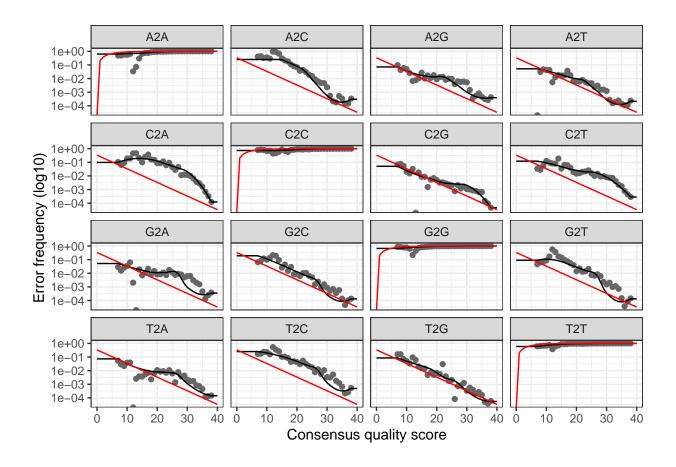


Figure 4: Error rates for reverse reads.

2.4.3 Dereplication.

- Combine indentical sequences into unique sequence bins.
- Name the derep-class objects by the sample name.

```
derepFs <- derepFastq(filtFs, verbose=TRUE)

derepRs <- derepFastq(filtRs, verbose=TRUE)

names(derepFs) <- sample.names

names(derepRs) <- sample.names</pre>
```

2.4.4 Sample Inference.

```
dadaFs <- dada(derepFs, err=errF, multithread=TRUE)
dadaRs <- dada(derepRs, err=errR, multithread=TRUE)</pre>
```

2.4.5 Inspect denoised data.

```
dadaFs[[1]]
dadaRs[[1]]
```

2.4.6 Merge Paired Reads and inspect merged data.

- Removes paired reads that do not perfectly overlap.
- Arguments represent infered samples AND denoised reads.

```
mergers <- mergePairs(dadaFs, derepFs, dadaRs, derepRs, verbose=TRUE)
```

2.5 Construct amplicon sequence variance (ASV) table and remove chimeras.

2.5.1 Construct ASV table.

• Check dimentions and inspect distribution of sequence lengths.

```
seqtab <- makeSequenceTable(mergers)
dim(seqtab)
table(nchar(getSequences(seqtab)))</pre>
```

2.5.2 Merging multiple sequence runs.

- Merging must be done after filtering.
- seqtab.pilot is a pilot dataset that was included in the overall analysis.

```
seqtab.merged <- mergeSequenceTables(seqtab, seqtab.pilot)</pre>
```

2.5.3 Remove chimeras.

2.5.4 Track reads through pipeline.

```
rownames(track) <- sample.names
head(track)</pre>
```

```
##
       input filtered denoisedF denoisedR merged nonchim
## 100 33085
                 29743
                            29381
                                      29618
                                              28771
                                                      14682
## 101 28874
                 26864
                                                      20950
                            26744
                                      26801
                                              26510
## 102 26505
                 24330
                            24167
                                      24289
                                              24040
                                                      15006
## 103 28695
                 26113
                            26041
                                      26080
                                              25958
                                                      18064
## 104 23909
                 21872
                            21833
                                      21859
                                              21795
                                                      21785
## 105 25749
                 23289
                            22546
                                      22953
                                              20782
                                                      11160
```

2.6 Contamination removal with *MicroDecon*.

```
library(microDecon)
```

2.6.1 Reformat data for *MicroDecon*.

• Transpose sequencing table (post chimera removal) and convert to a dataframe.

```
microdecon.df <- t(seqtab.nochim) %>%
  as.data.frame()
```

• Determine which columns the blank samples belong to (for the second half of micrdecon(), otherwise an error occurs).

- Make blank samples the first 6 columns.
- Remove blanks 99 (column 6) and 145 (column 5) (blanks 99 and 145 are both distinct from the other blanks, and appear to be contaminated by adjacent samples sometime during library prepeartion, so we will remove these two blanks prior to running MicroDecon).

```
microdecon.df.blanks <- cbind.data.frame(microdecon.df[,c("183", "182", "181", "179", "145", "99")], microdecon.df[, -c(84, 83, 82, 80, 46, 165)])

microdecon.df.blanks.2 <- microdecon.df.blanks[,-c(6, 5)]
```

• Can check how many columns were removed with ncol(microdecon.df.blanks) - ncol(microdecon.df.blanks.2).

2.6.2 Restructure dataframe to a priori grouping.

• Read in "ColNames.csv", which has the column names from the metadata restructured (as rows in column A of an excel spreadsheet) so that they are in the below priori groupings:

- NICU Admission
- NICU Discharge
- NICU Unknown
- SCN
- Other
- When read in, the data should look like this:

- Then reorder the microdecon.df.blanks.2 by the imported csv file.
- NB. this can be done using the tidyverse but it is also convoluted.

```
col.names <- read.csv("ColNames.csv", header = FALSE)

col.order <- col.names[,1]

microdecon.df.3 <- microdecon.df.blanks.2[,match(col.order, colnames(microdecon.df.blanks.2))]</pre>
```

2.6.2.1 Make column 1 the ASV/OTU names.

- MicroDecon requires that the OTUs have a unique ID in column 1.
- Take the rownames from microdecon.df.3 and create a column with these names.
- Can check with length(unique(microdecon.df.3.names[,1])) == nrow(microdecon.df.3).

```
microdecon.df.3.names <- cbind.data.frame(rownames(microdecon.df.3), microdecon.df.3)
```

2.6.3 Decontaminate data using decon().

- numb.ind is the number of columns for each priori grouping.
- taxa = F as there is no taxonomy in the dataframe.

2.6.3.1 Check MicroDecon Outputs.

```
decontaminated$decon.table
decontaminated$reads.removed
decontaminated$OTUs.removed %>% View()
decontaminated$mean.per.group
decontaminated$sum.per.group
```

2.6.4 Reformat decon.table.

- Convert column 1 to row names.
- Remove blank average column (1).
- Save rownames as seperate vector to be added back, as row names are removed during apply().
- Convert numeric values to integers (for downstream analysis).
- Transpose data.

```
seqtab.microdecon <- decontaminated$decon.table %>%
    remove_rownames() %>%
    column_to_rownames(var = "rownames(microdecon.df.3)")

seqtab.microdecon <- seqtab.microdecon[,-1]

save.rows <- rownames(seqtab.microdecon)

seqtab.microdecon <- apply(seqtab.microdecon,2,as.integer)

rownames(seqtab.microdecon) <- save.rows

seqtab.microdecon <- t(seqtab.microdecon)</pre>
```

2.7 Assign taxonomy.

• With optional species addition (there is an agglomeration step downstream, so you can add species now for curiosities sake, and remove later for analysis).

```
taxa <- assignTaxonomy(seqtab.microdecon, "silva_nr_v132_train_set.fa.gz")
taxa <- addSpecies(taxa, "silva_species_assignment_v132.fa.gz")
taxa.print <- taxa # Removes sequence rownames for display only
rownames(taxa.print) <- NULL</pre>
```

3 Preprocessing: Creating a Phyloseq Object.

3.1 About.

Creating a phyloseq object to be used for analysis, and create different objects to be used for different types of analysis downstream.

3.2 Load required packages.

3.3 Constuct a phylogenetic tree (for Phyloseq object downstream, required for distance measures).

- Peform multiple-allignment.
- pml calculates the likelihood of a given tree, and then optim.pml() optimizes the tree topology and branch length for the selected model (GTR+G+I max tree).

```
seqs <- getSequences(seqtab.microdecon)
names(seqs) <- seqs
alignment <- AlignSeqs(DNAStringSet(seqs), anchor=NA, verbose=FALSE)
phangAlign <- phyDat(as(alignment, "matrix"), type = "DNA")
fitGTR <- phangAlign %>%
    dist.ml() %>%
    NJ() %>%
    pml(data = phangAlign) %>%
    update(k = 4, inv = 0.2) %>%
    optim.pml(model = "GTR", optInv = TRUE, optGamma = TRUE, rearrangement = "NNI", control = pml.control(trace = 0))
detach("package:phangorn", unload = TRUE) # conflicts downstream
```

3.4 Import metadata and construct dataframe.

• Use ID column for row names.

3.5 Construct the Phyloseq object.

• Includes: metadata, ASV table, taxonomy table and phylogenetic tree.

3.6 Wrangling the metadata.

- And do some additional wrangling.
- Convert chraracters to factors.
- Duplicate the label column, and then convert to newly created duplicate into rownames (need the original column downstream).

```
sample_data(ps) <- sample_data(ps) %>%
unclass() %>%
as.data.frame() %>%
mutate_if(is.character, as.factor) %>%
mutate("Label2" = Label) %>%
column_to_rownames("Label2") %>%
dplyr::rename(Diabetes = Diabetetes)
```

3.7 Filtering and normalisation.

3.7.1 Taxonomy filtering.

- Can check the number of phyla before and after transformation with table(tax_table(ps)[, "Phylum"], exclude = NULL).
- Remove features with ambiguous and NA phylum annotation.

```
ps <- subset_taxa(ps, !is.na(Phylum) & !Phylum %in% c("", "uncharacterized"))
```

3.7.2 Prevelance filtering.

- Using an unsupervised method (relying on the data in this experiment) explore the prevelance of features in the dataset.
- Calculate the prevalence of each feature and store as a dataframe.
- Add taxonomy and total read counts.

• Plot the relationship between prevelance and total read count for each feature. This provides information on outliers and ranges of features.

```
prevdf %>%
  subset(Phylum %in% get_taxa_unique(ps, "Phylum")) %>%
  ggplot(aes(TotalAbundance, Prevalence / nsamples(ps),color=Phylum)) +
  geom_hline(yintercept = 0.05, alpha = 0.5, linetype = 1) +
  geom_point(size = 2, alpha = 0.7) +
  scale_x_log10() +
  xlab("Total Abundance") + ylab("Prevalence [Frac. Samples]") +
  facet_wrap(~Phylum) + theme(legend.position="none")
```

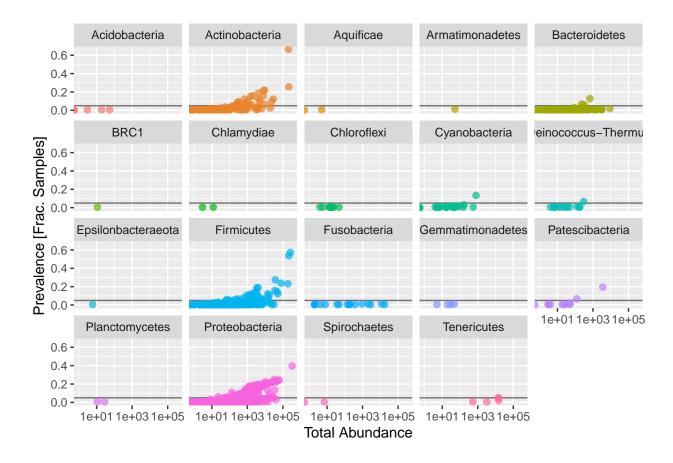


Figure 5: Scatterplot exploring the relationship between prevelance and abundance of phyla.

• Define prevalence threshold based on the plot (\sim 1% is standard) and apply to ps object (if prevelance is too low don't designate a threshold).

```
prevalenceThreshold = 0.01 * nsamples(ps)
keepTaxa = rownames(prevdf)[(prevdf$Prevalence >= prevalenceThreshold)]
ps2 = prune_taxa(keepTaxa, ps)
```

• Explore the relationship on the filtered data set.

```
prevdf %>%
   subset(Phylum %in% get_taxa_unique(ps2, "Phylum")) %>%
   ggplot(aes(TotalAbundance, Prevalence / nsamples(ps2),color=Phylum)) +
   geom_hline(yintercept = 0.05, alpha = 0.5, linetype = 1) +
   geom_point(size = 2, alpha = 0.7) +
   scale_x_log10() +
   xlab("Total Abundance") + ylab("Prevalence [Frac. Samples]") +
   facet_wrap(~Phylum) + theme(legend.position="none")
```

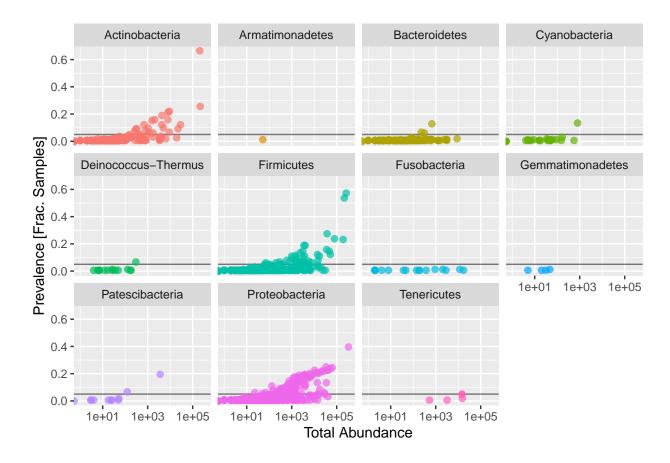


Figure 6: Scatterplot exploring the relationship between prevelance and abundance of phyla on data passed through a prevalence threshold.

3.7.3 Aggolmerate taxa.

- Combine features that descend from the same genus as most species have not been identified due to the poor sequencing depth in 16S.
- Can check how many genera would be present after filtering by running length(get_taxa_unique(ps2, taxonomic.rank = "Genus")), and ntaxa(ps3) will give the number of post agglomeration taxa.

```
ps3 = tax_glom(ps2, "Genus", NArm = TRUE)
```

• Create tree plots to observe pre and post agglomeration.

```
grid.arrange(nrow = 1,
    (plot_tree(ps2, method = "treeonly",
        ladderize = "left", title = "Before Agglomeration") +
        theme(plot.title = element_text(size = 15))),
    (plot_tree(ps3, method = "treeonly",
        ladderize = "left", title = "Post Genus Agglomeration") +
        theme(plot.title = element_text(size = 15))))
```

Before Agglomeration

Post Genus Agglomeration

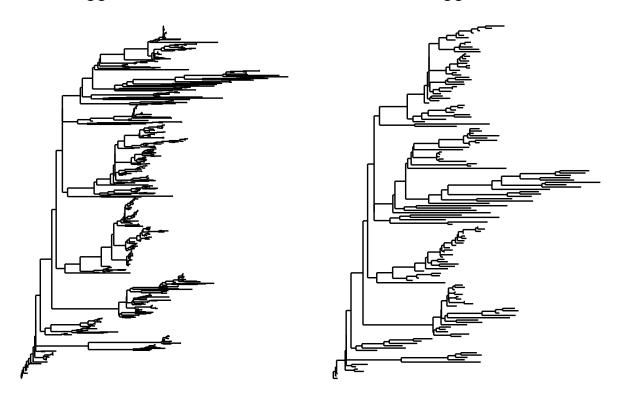
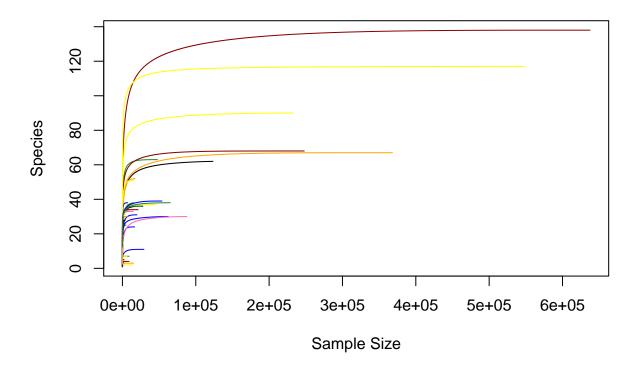


Figure 7: Tree plots exploring the agglomeration of taxa at the genus level.

3.7.4 Normalisation.

- Plot a refraction curve to see if total sum scaling will surfice.
- Define colours and lines.
- Step = step size for sample sizes in rarefaction curve.

Rarefaction Curve



• Perform total sum scaling on agglomerated dataset.

```
ps4 <- transform_sample_counts(ps3, function(x) x / sum(x))
```

3.7.5 Subset phyloseq object for data to be analyzed.

 $\bullet\,$ Explore normalisation with tree plots.

```
plot_tree(ps4.NICU_no_na, size = "Abundance", color = "Type",
    justify = "yes please", ladderize = "left") +
    labs(title = "Phylogenetic Tree and Relative Abundance") +
    scale_size_continuous(range = c(.5, 3))
```

Phylogenetic Tree and Relative Abundance

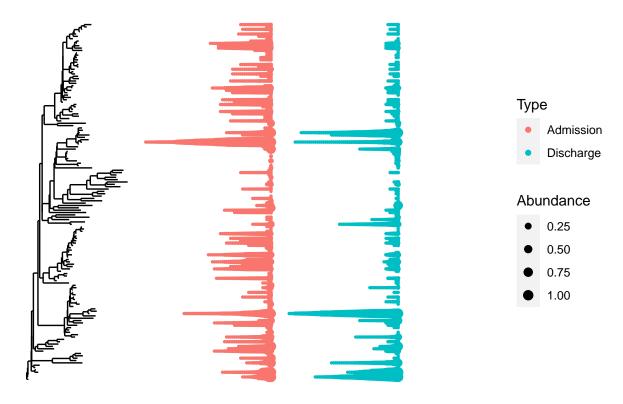


Figure 8: Tree plot exploring the normalised distribution of taxa between admission and discharge samples.

- Explore normalisation with violin plots.
- Compares differences in scale and distribution of the abundance values before and after transformation.
- Using arbitrary subset, based on Phylum = Firmicutes, for plotting (ie. can explore any taxa to observe transformation).

Abundance Erysipelotrichales **Bacillales** Clostridiales Abundance Ď ΝA Lactobacillales Selenomonadales ΝA Type Relative Abundance Bacillales Clostridiales Erysipelotrichales Abundance NA D Lactobacillales Selenomonadales

Figure 9: Violin plots exploring of distribution of abundance in Firmicutes before and after normalisation of data. Annotation for x axis; A: Admission, D: Discharge & I: Intermediate.

Type

NA

4 Data Exploration and Univariate Analysis.

NA

4.1 About.

This section again uses the phyloseq package (along with several others) to explore the data using bar, violin and ordination plot. This then leads into a collection of univariate analyses, including; alpha and beta diversity, and also taxonomic differential abundance.

4.2 Load required packages.

4.3 Taxanomic distribution.

4.3.1 Violin plots.

- Use previously defined violin plots to explore distributions of taxa.
- If a bimodal distribution is observed we can subset the data to determine if there is a taxonomic explination.
- Considerations: arguments can be altered for exploration.

```
subset_taxa(ps4, Order == "Lactobacillales") %>%
plot_abundance(Facet = "Genus", Color = "Type")
```

Abundance

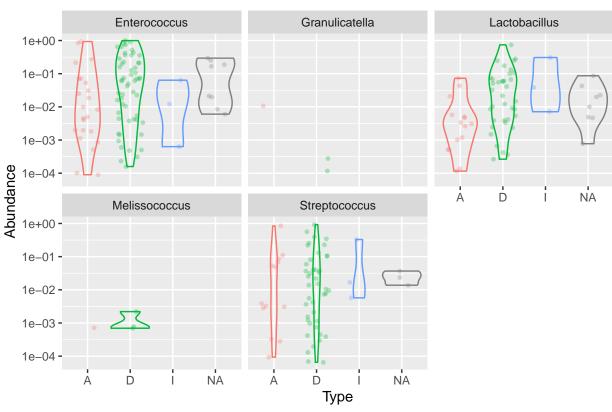


Figure 10: Violin plots exploring of distribution of abundances within Lactoacillales. Annotation for x axis; A: Admission, D: Discharge & I: Intermediate.

4.3.2 Bar charts

- Use plot_bar_auto() function wrapped around phyloseq's plot_bar() to explore the distribution of taxa at the genus and phylum levels.
- Subset transformed data (relative abundance) to only the top20 taxa.

```
top20 <- names(sort(taxa_sums(ps4.NICU_no_na), decreasing=TRUE))[1:20]
ps.top20 <- prune_taxa(top20, ps4.NICU_no_na)

plot_bar_auto <- function(ps, taxonomy){</pre>
```

Level:Phylum

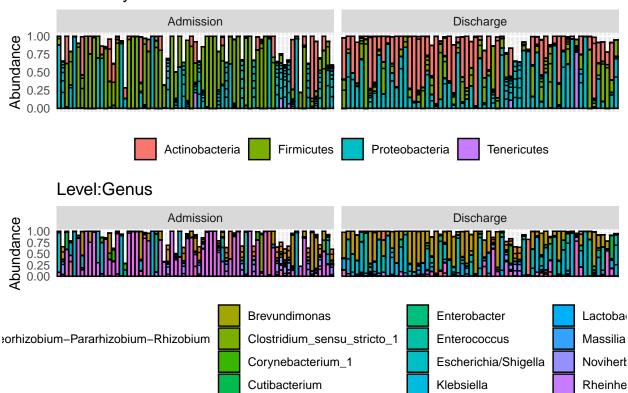


Figure 11: Bar plots of the taxonomic distribution (relative abundance) at phylum and genus levels.

• For other levels of taxonomy, with the legend hiden using legend.position = "none".

```
plot_bar_auto_no_legend <- function(ps, taxonomy){
plot_bar(ps, fill = taxonomy) +
    facet_wrap(~Type, scales = "free_x") +
    labs(title = paste0("Level:", taxonomy), y = "Abundance") +
    theme(legend.position = "none", legend.title = element_blank(),
    axis.title.x = element_blank(), axis.text.x = element_blank(),
    axis.ticks = element_blank())</pre>
```



Admission Discharge 1.00 Abundance 0.75 0.50 0.25 0.00 Level:Order Discharge Admission 1.00 Abundance 0.75 0.50 0.25 0.00 Level:Family Admission Discharge 1.00

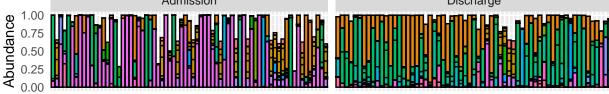


Figure 12: Bar plots of the taxonomic distribution (relative abundance) at class, order and family levels.

4.3.3 Calculate the number samples containing a given taxa samples with taxa() function.

- The function takes the phyloseq object, taxonomy level and taxanomic name (with the later two as strings).
- It then gets the ASV name from the *phyloseq* tax_table() by filtering with *dply* and *lazyeval*. (*lazyeval* is needed because of two concepts;non-standard evaluation and lazy evaluation.
- paste() is then used to concatenate the ASVs and collapse to insert the 'or' symbol.
- The function then matches the ASV names to the otu_table() of the *phyloseq* object to select the desired column(s) that represent the taxa of interest, and then counts the number of rows that have any of the selected taxa with counts greater than 0 to get the number of samples with that taxa present.

```
samples_with_taxa <- function(ps_object, taxonomy_level, taxa){
   ASV <- tax_table(ps_object) %>%
        unclass() %>%
```

```
as.data.frame() %>%
filter_(interp(~y == x, .values=list(y = as.name(taxonomy_level), x = taxa))) %>%
row.names() %>%
paste(collapse = " | ")

otu_table(ps_object) %>%
as.data.frame() %>%
select(matches(ASV)) %>%
filter_all(any_vars( . > 0)) %>%
nrow()
}

samples_with_taxa(ps4.NICU_no_na, "Genus", "Bifidobacterium")
```

[1] 99

4.4 Beta diversity

- Use distance and ordination methods to explore the relationship between metadata.
- We calculate the distances using pruned, transformed and non-agglomerated data.

- We can then create distance matrices and plots for this data subset using several methods:
- bray-curtis or weighted unifrac distances with principle coordinate analysis (PCoA).
 - weighted-unifrac: phylogeny.
 - bray-curtis: abundance and phylogeny.
- Ordinate using PCoA and Weighted-Unifrac/Bray-Curtis.
- Extract eigenvalues from ordination.
- Plot ordination using eigenvalues and colour by variable Type.

4.4.1 PCoA and Bray-Curtis.

```
ps_ordination <- ordinate(ps2.NICU_no_na, method = "PCoA", distance = "bray")

evals <- ps_ordination$values$Eigenvalues

plot_ordination(ps2.NICU_no_na , ps_ordination, color = "Type",
    title = "PCoA (Bray-Curtis)") +
    labs(col = "Type") +
    coord_fixed(sqrt(evals[2] / evals[1])) +
    geom_point(size = 2)+
    stat_ellipse(type = "norm", linetype = 2)</pre>
```

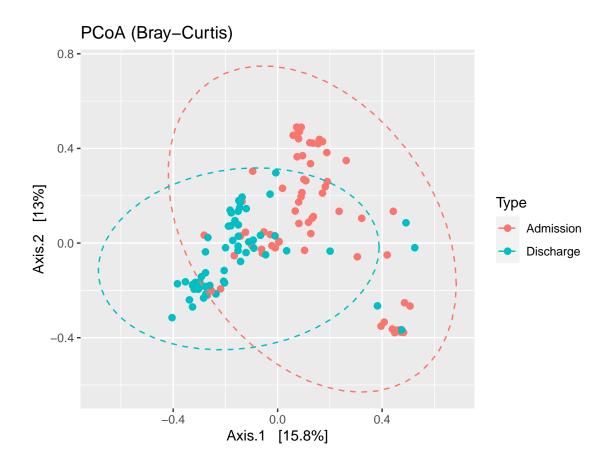


Figure 13: PCoA plot of Bray-Curtis matrix

4.4.2 PCoA and Weighted-Unifrac.

```
ps_ordination <- ordinate(ps2.NICU_no_na, method = "PCoA", distance = "wunifrac")
evals <- ps_ordination$values$Eigenvalues

plot_ordination(ps2.NICU_no_na , ps_ordination, color = "Type",
   title = "PCoA (Weighted-Unifrac)") +
   labs(col = "Type") +
   coord_fixed(sqrt(evals[2] / evals[1])) +
   geom_point(size = 2)+
   stat_ellipse(type = "norm", linetype = 2)</pre>
```

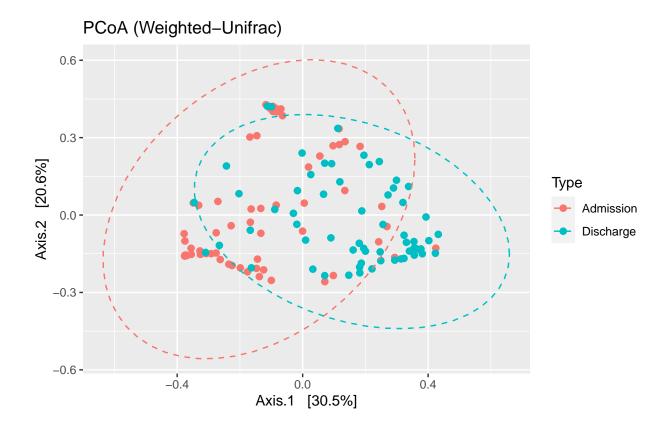


Figure 14: PCoA plot of Weighted-Unifrac matrix

4.4.3 Is the overlap the result of collection date? i.e. late admission and early discharge samples overlap?

• Create a new column in the metadata table that is the collection date minus the date of birth.

```
sample_data(ps2.NICU_no_na) <- ps2.NICU_no_na %>%
  sample_data() %>%
  unclass() %>%
  as.data.frame() %>%
  mutate_at(7:8, as.character) %>%
  mutate_at(7:8, as.numeric) %>%
  mutate(Days_since_birth = Date_Collected-Date_of_Birth) %>%
  mutate("Label2" = Label) %>%
  column_to_rownames("Label2")

ps_ordination <- ordinate(ps2.NICU_no_na, method = "PCoA", distance = "bray")

evals <- ps_ordination$values$Eigenvalues

plot_ordination(ps2.NICU_no_na , ps_ordination, color = "Days_since_birth", shape = "Type",
  title = "PCoA (Bray-Curtis)") +
  coord_fixed(sqrt(evals[2] / evals[1])) +</pre>
```

```
geom_point(size = 3.5) +
stat_ellipse(type = "norm", linetype = 2)
```

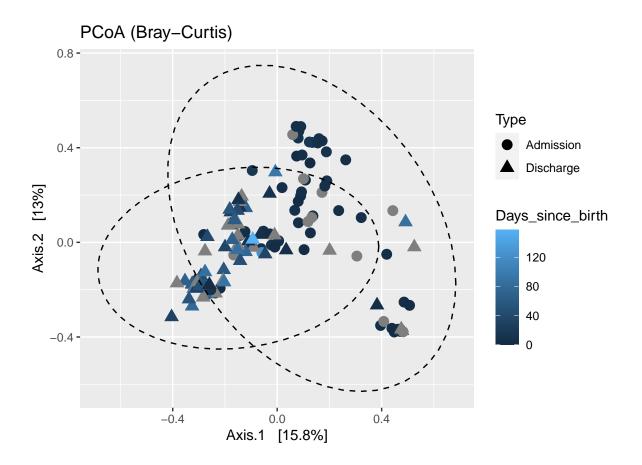


Figure 15: PCoA plot of Bray-Curtis matrix coloured by days since birth the collection occured.

• Export plots.

```
ggsave("PCoA_Weighted-Unifrac.png",
  plot = (plot_ordination(ps2.NICU_no_na , ps_ordination,
  color = "Type", title = "PCoA (Weighted-Unifrac)") +
  labs(col = "Type") +
  coord_fixed(sqrt(evals[2] / evals[1])) +
  geom_point(size = 2)+
  stat_ellipse(type = "norm", linetype = 2)), dpi = 600, height = 5, width = 5)
```

4.4.4 Statistical test: PERMANOVA.

- Preforming permutational anova for group-level (Type of sample) differences based on dissimilarity.
- Extract ot utable and metadata from phyloseq object.
- Use adonis() from the *vegan* package to perform the PERMANOVA.

```
ps_otu <- data.frame(otu_table(ps2.NICU_no_na))
ps_samp <- data.frame(sample_data(ps2.NICU_no_na))

permanova <- adonis(ps_otu ~Type, data = ps_samp, method = "bray")
as.data.frame(permanova$aov.tab)</pre>
```

```
## Type 1 3.652507 3.6525066 9.143081 0.06477881 0.001
## Residuals 132 52.731770 0.3994831 NA 0.93522119 NA
## Total 133 56.384277 NA NA 1.00000000 NA
```

- Significant PERMANOVA means one of three things:
- there is a difference in the location of the samples (i.e. the average community composition).
- there is a difference in the dispersion of the samples (i.e. the variability in the community composition).
- there is a difference in both the location and the dispersion.
- If you get a significant PERMANOVA you'll want to distinguish between the three options by checking the homogeneity condition using permdisp(). If you get a non-significant result the first option above is correct.

- betadisper() gives a measure of the dispersion within groups. Thus, if the PERMANOVA test is significant and the permdisp is not, the significant result in your communities is due to a mean shift in community composition and not from increased variance within groups.
- Export results.

• Explore the major contributors to the differences.

```
coef <- coefficients(permanova)["Type1",]
top.coef <- coef[rev(order(abs(coef)))[1:20]]

major_contributors <- tax_table(ps2.NICU_no_na) %>%
    unclass() %>%
    as.data.frame() %>%
    select("Genus", "Species") %>%
```

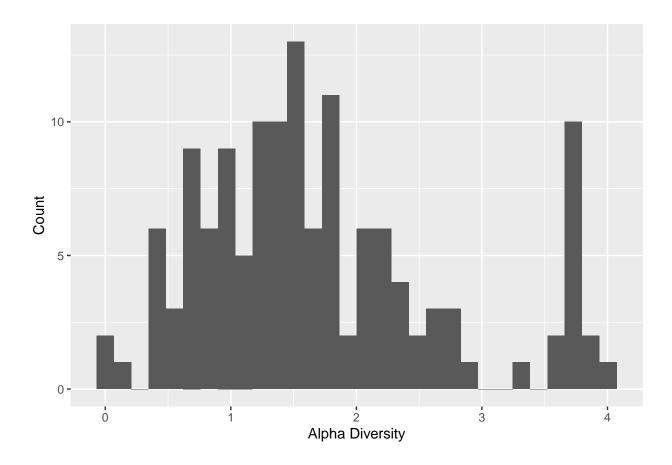
```
rownames_to_column(var = "ASV") %>%
right_join((as.data.frame(top.coef) %>%
rownames_to_column(var = "ASV"))) %>%
select(!"ASV")
```

• Export table.

4.5 Alpha diversity.

- Subset ps2 to exclude SCN and NA values.
- Estimate richness and save as object.
- Remove chao1 standard error column and "X" from row names.
- Create a new variable column with rownames.
- Merge alpha diversity estimates (ps_alpha_div) with the metadata (samdf) by the Label column (originally row names), for downstream analysis.

• Create histogram to examine distribution.



• Test for normality.

```
shapiro.test(ps_samp$Shannon)
```

```
##
## Shapiro-Wilk normality test
##
## data: ps_samp$Shannon
## W = 0.92725, p-value = 2.148e-06
```

Statistical test: compare mean/median between admission and discharge samples.

• Combine the outputs.

```
## # A tibble: 3 x 8
     'Diversity Measure' group1
##
                                  group2
                                                  p p.adj p.format p.signif method
                         <chr>
                                   <chr>
                                              <dbl> <dbl> <chr>
##
                                                                  <chr>
## 1 Shannon
                        Admission Discharge 0.232 0.23 0.23
                                                                            Wilcox~
                                                                  ns
## 2 Observed
                         Admission Discharge 0.0692 0.069 0.069
                                                                  ns
                                                                            Wilcox~
## 3 Chao1
                         Admission Discharge 0.0699 0.07 0.07
                                                                            Wilcox~
                                                                  ns
```

• Export Diversity Analysis results table.

```
tab_df(Diversity_Analysis, alternate.rows = TRUE,
    title = "Diversity Analysis: Admission Vs Discharge",
    file = "Alpha_Diversity_Analysis_Type.doc")
```

4.5.1 Plot alpha diversity.

• Use plot_richness() from *phyloseq*, which estimates alpha diversity metrics using *vegan* and plots them, taking standard *ggplot2 geoms*_ for the plot design.

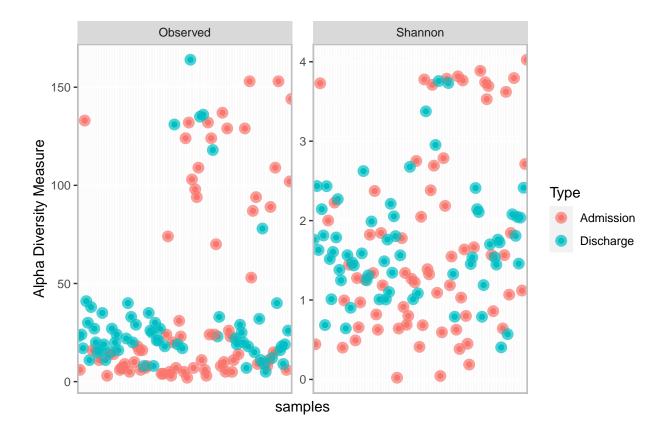


Figure 16: Scatterplot of richness and shannon diversity metrics coloured by the type of sample.

• Export scatterplot.

```
ggsave("Alpha_Point.png", dpi = 600, height = 5, width = 5)
```

- Use plot_richness() to create boxplots of alpha diversity.
- To add a layer with p values use stat_compare_means(comparisons = list(c("Admission", "Discharge")), method = "wilcox.test").

```
plot_richness(ps.NICU_no_na, measures = c("Shannon", "Observed"), x = "Type", color = "Type", title = "
    geom_point(size = 1, alpha = 0.7) +
    geom_boxplot() +
    theme(panel.border = element_rect(colour = "grey", fill = NA, size = 1))
```

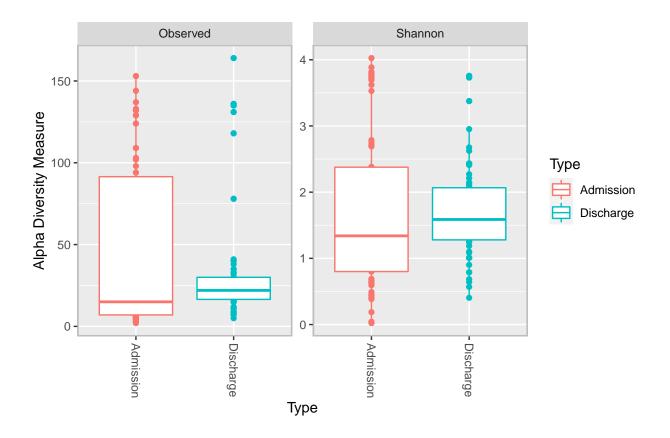


Figure 17: Boxplots of richness and shannon diversity metrics coloured by the type of sample.

• Export boxplot.

```
ggsave("Alpha_Box.png", dpi = 600, height = 5, width = 5)
```

- Explore the distribution of alpha diversity across the two groups using a histogram.
- Calculate mean and medians for shannon diversity to be used for dotted lines in histogram.

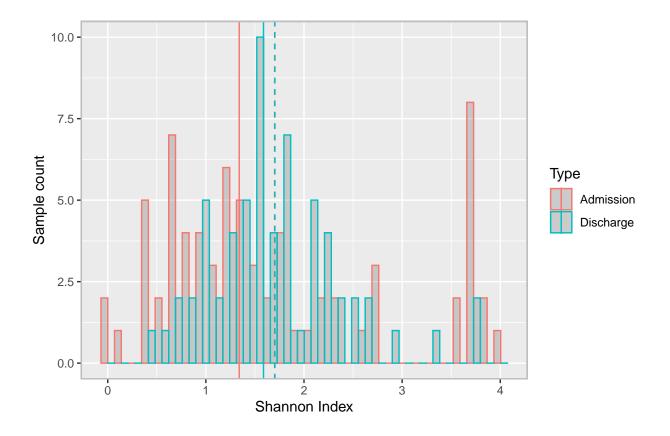


Figure 18: Histogram showing the distribution of the shannon index scores across samples, coloured by sample type and with lines representing the mean (dashed) and median (solid).

- The admission outliers in red may explain why were not seeing the significant differences in diversity.
- Export histogram.

```
ggsave("Alpha_Distribution.png", dpi = 600, height = 5, width = 10)
```

4.6 Taxonomic abundance with *DESeq2*.

- Subset to filtered/agglomerated data.
- Convert from *phyloseq* to *deseq* object.
- To perform analysis at other levels of taxonomy use tax_glom(ps2, "Phylum", NArm = TRUE) prior to running the chunk below.

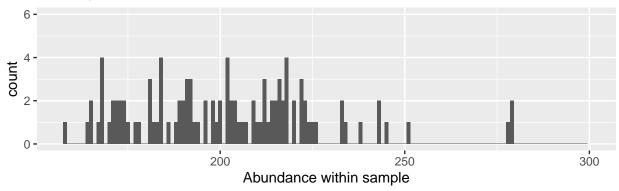
- Define function for calculating geometric means.
- Calculate geometric means, and subsetuently estimate size factors.

```
gm_mean = function(x, na.rm = TRUE){
  exp(sum(log(x[x > 0]), na.rm = na.rm) / length(x))
}
geoMeans <- apply(counts(ps.NICU.deseq), 1, gm_mean)
ps.NICU.deseq <- estimateSizeFactors(ps.NICU.deseq, geoMeans = geoMeans)</pre>
```

- Construct histograms to compare pre and post transformation.
- Call estimateDispersions() to calculate abundances with getVarianceStabilizedData().
- NB. the samples are in columns in the deseq object but in rows for the phyloseq object.
- Axis adujsted for what best represents the distribution.

```
ps.NICU.deseq <- estimateDispersions(ps.NICU.deseq, fitType = "local")
abund_sums_trans <- data.frame(sum = colSums(getVarianceStabilizedData(ps.NICU.deseq) ),
                     sample = colnames(getVarianceStabilizedData(ps.NICU.deseq) ),
                     type = "DESeq2")
abund_sums_no_trans <- data.frame(sum = rowSums(otu_table(ps.NICU)),
                       sample = rownames(otu_table(ps.NICU)),
                       type = "None")
grid.arrange((ggplot(abund_sums_trans) +
  geom_histogram(aes(x = sum), binwidth = 1) +
  xlab("Abundance within sample") +
  xlim(NA, 300) +
  ylim(0,6) +
  ggtitle("DESeq2 transformation")),
  (ggplot(abund_sums_no_trans) +
  geom_histogram(aes(x = sum), binwidth = 200) +
  xlab("Abundance within sample") +
  ylim(0,5) +
  ggtitle("No transformation")),
  nrow = 2)
```





No transformation

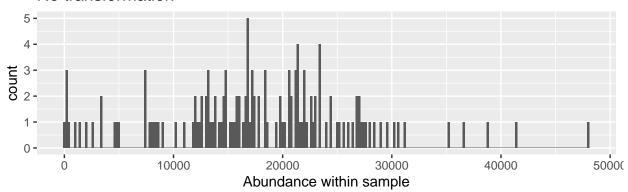


Figure 19: Pre and post transformation of taxonomic counts with DESeq2

4.6.1 Statistical test: calculate differential abundances with DESeq2.

- Use DESeq() to perform differential expression analysis based on the negative binomial distribution.
- The function estimates size factors, estimates dispersion, fits a negative binomial GLM and performs a Wald test.
- Extract the results, order by p value, select only significant (<0.05) results, bind this data to the tax_table from the phyloseq object to get the taxonomic information, and then select and order the desired columns.

```
##
         baseMean log2FoldChange
                                     lfcSE
                                                                 Phylum
                                                    padi
## 1 22022.620208
                       -6.338295 0.5934635 1.802263e-24
                                                             Firmicutes
                        6.170130 1.3312590 2.554475e-04
## 2
         8.646166
                                                             Firmicutes
       240.223754
                        4.507399 1.0068174 3.610390e-04
## 3
                                                             Firmicutes
## 4
        23.991771
                        5.309148 1.3006976 1.597811e-03 Proteobacteria
## 5
        76.571451
                        4.044423 1.0727282 4.664726e-03
                                                             Firmicutes
                                     Order
##
                   Class
                                                        Family
## 1
                 Bacilli
                                Bacillales Staphylococcaceae
## 2
              Clostridia
                             Clostridiales Clostridiaceae 1
## 3
                                             Lactobacillaceae
                 Bacilli
                           Lactobacillales
## 4 Gammaproteobacteria Enterobacteriales Enterobacteriaceae
## 5
           Negativicutes
                           Selenomonadales
                                               Veillonellaceae
##
                           Genus
## 1
                  Staphylococcus
## 2 Clostridium_sensu_stricto_1
## 3
                   Lactobacillus
## 4
                    Enterobacter
## 5
                     Veillonella
```

• Export results.

```
tab_df(posigtab, alternate.rows = TRUE,
    title = "Differential Abundance of Genera: Admission Vs Discharge",
    file = "Diff_Abundance_Genera.doc")
```

4.7 Summary.

• Create a summary grid including alpha and beta diversity metrics, as well as differential abundance testing results.

```
#PCoA Plot
ps_ordination <- ordinate(ps2.NICU_no_na , method = "PCoA", distance = "bray")
evals <- ps_ordination$values$Eigenvalues</pre>
PCoA_plot <- plot_ordination(ps2.NICU_no_na, ps_ordination, color = "Type") +
             coord_fixed(sqrt(evals[2] / evals[1])) +
             labs(col = "Type", title = "PCoA (Bray-Curtis)") +
             geom_point(size = 2) +
             stat_ellipse(type = "norm", linetype = 2)
PCoA plot <- annotate figure(PCoA plot, fig.lab = "A",
                             fig.lab.face = "bold", fig.lab.size = 20)
# Alpha Diversity Plot
alpha_plot <- plot_richness(ps.NICU_no_na, measures = c("Shannon", "Observed"),</pre>
              x = "Type", color = "Type", title = "Alpha Diversity") +
              geom point(size = 1, alpha = 0.7) +
              geom_boxplot() +
              theme(panel.border = element_rect(colour = "grey", fill = NA, size = 1),
              legend.position = "none", axis.text.y=element_blank())
```

```
alpha_plot <- annotate_figure(alpha_plot, fig.lab = "B",</pre>
                               fig.lab.face = "bold", fig.lab.size = 20)
# Differenital Abundance
title <- textGrob("Differential Abundance", gp = gpar(fontsize = 15))</pre>
padding <- unit(5,"mm")</pre>
genus_df <- as.data.frame(sigtab) %>%
            remove rownames() %>%
            add_column("p-adj" = c("***", "***", "***", "**", "**")) %>%
            select("Genus","p-adj", "log2FoldChange","lfcSE") %>%
            mutate_if(is.numeric, round, 2) %>%
            dplyr::rename("lfc" = log2FoldChange) %>%
            tableGrob(rows = NULL) %>%
            gtable_add_rows(heights = grobHeight(title) + padding, pos = 0) %>%
            gtable_add_grob(title, 1, 1, 1, 4)
genus_df <- annotate_figure(genus_df, fig.lab = "C",</pre>
                             fig.lab.face = "bold", fig.lab.size = 20)
# grid layout
lay <- rbind(c(1,2),
             c(3,2))
grid.arrange(PCoA_plot, alpha_plot, genus_df, nrow = 2, layout_matrix = lay)
```

5 Multivariant Analysis.

5.1 About.

This section is for exploring the impact of several variables on alpha diversity and taxonomic abundances.

5.2 Mixed effects modelling with *DESeq2* for differential abundance testing.

- This analysis is creating two separate models to explore potential associations between clinical variables and microbiome taxonomy.
- The variables were selected for the model using a combination of exploratory analysis (not detailed here) and the literature.
- The first part of the workflow details the steps for the analysis on the *Admission* model, and there is a seperate chunk with the entire analysis for the *Discharge* model.
- The significant results from the two models are combined at the end.

5.2.1 Subset data.

• Subset to filtered/agglomerated Admission data and scale continuous variables.

5.2.1.1 Testing for multicollinearity.

- Define the corvif() function that takes metadata and creates a linear model to see if any collinearity exists between variables.
- Then use this function on a defined a vector with all the variables to be included in the model.
- If GVIF < 3 = no collinearity.

```
myvif <- function(mod) {</pre>
  v <- vcov(mod)
  assign <- attributes(model.matrix(mod))$assign</pre>
  if (names(coefficients(mod)[1]) == "(Intercept)") {
    v \leftarrow v[-1, -1]
    assign <- assign[-1]
  } else warning("No intercept: vifs may not be sensible.")
  terms <- labels(terms(mod))</pre>
  n.terms <- length(terms)</pre>
  if (n.terms < 2) stop("The model contains fewer than 2 terms")</pre>
  if (length(assign) > dim(v)[1] ) {
    diag(tmp cor)<-0</pre>
    if (any(tmp_cor==1.0)){
      return("Sample size is too small, 100% collinearity is present")
      return("Sample size is too small")
    }
  R <- cov2cor(v)
  detR <- det(R)
  result <- matrix(0, n.terms, 3)
  rownames(result) <- terms</pre>
  colnames(result) <- c("GVIF", "Df", "GVIF^(1/2Df)")</pre>
  for (term in 1:n.terms) {
    subs <- which(assign == term)</pre>
    result[term, 1] <- det(as.matrix(R[subs, subs])) * det(as.matrix(R[-subs, -subs])) / detR
    result[term, 2] <- length(subs)</pre>
  }
  if (all(result[, 2] == 1)) {
    result <- data.frame(GVIF=result[, 1])</pre>
    result[, 3] <- result[, 1]^(1/(2 * result[, 2]))
  invisible(result)
}
```

• Convert from *phyloseq* to *deseq* object.

- Define function for calculating geometric means.
- Calculate geometric means, and subsetuently estimate size factors.
- Subset out taxa with small counts and low occurance (at least 10 in 20 or more samples).

```
gm_mean = function(x, na.rm = TRUE){
   exp(sum(log(x[x > 0]), na.rm = na.rm) / length(x))
}

geoMeans <- apply(counts(multi.deseq), 1, gm_mean)
multi.deseq <- estimateSizeFactors(multi.deseq, geoMeans = geoMeans)

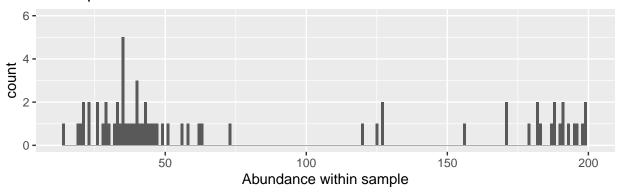
nc <- counts(multi.deseq, normalized = TRUE)
filtered <- rowSums(nc >= 10) >= 20
multi.deseq <- multi.deseq[filtered,]</pre>
```

- Construct histograms to compare pre and post transformation.
- Call estimateDispersions() to calculate abundances with getVarianceStabilizedData().
- NB. the samples are in columns in the deseq object but in rows for the phyloseq object.
- Axis adujsted for what best represents the distribution.

```
multi.deseq <- estimateDispersions(multi.deseq, fitType = "local")</pre>
```

```
abund_sums_trans <- data.frame(sum = colSums(getVarianceStabilizedData(multi.deseq) ),</pre>
                     sample = colnames(getVarianceStabilizedData(multi.deseq) ),
                     type = "DESeq2")
abund_sums_no_trans <- data.frame(sum = rowSums(otu_table(ps.NICU)),
                       sample = rownames(otu_table(ps.NICU)),
                       type = "None")
grid.arrange((ggplot(abund_sums_trans) +
  geom_histogram(aes(x = sum), binwidth = 1) +
  xlab("Abundance within sample") +
 xlim(NA, 200) +
  ylim(0,6) +
  ggtitle("DESeq2 transformation")),
  (ggplot(abund_sums_no_trans) +
  geom_histogram(aes(x = sum), binwidth = 200) +
  xlab("Abundance within sample") +
  ylim(0,5) +
  ggtitle("No transformation")),
 nrow = 2)
```

DESeq2 transformation



No transformation

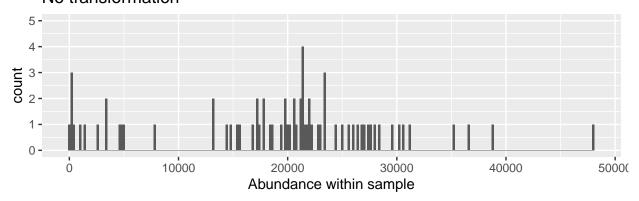


Figure 20: Pre and post transformation of taxonomic counts with DESeq2

5.2.2 Statistical test: calculate differential abundances with DESeq2.

- Use Deseg() to perform the normalisation and analysis.
- Omit non-converging rows.

```
multi.deseq = DESeq(multi.deseq, fitType = "local", test = "Wald")
multi.deseq.clean <- multi.deseq[which(mcols(multi.deseq)$betaConv),]</pre>
```

- Define a function to extract the results.
- Extract the results, order by p value, selects significant (<0.05) results, binds this data to the *tax_table* from the *phyloseq* object to get the taxonomic information, and then select and order the desired columns.

• Use the get_deseq_res() to create a table of each of the significant variables.

• Create a *Discharge* model.

```
Mode.of.Delivery + Gestation_Days_scaled + NEC +
                                     Preeclampsia + ROP)
geoMeans <- apply(counts(multi.deseq), 1, gm_mean)</pre>
multi.deseq <- estimateSizeFactors(multi.deseq, geoMeans = geoMeans)</pre>
nc <- counts(multi.deseq, normalized = TRUE)</pre>
filtered \leftarrow rowSums(nc \ge 10) \ge 20
multi.deseq <- multi.deseq[filtered,]</pre>
multi.deseq = DESeq(multi.deseq, fitType = "local", test = "Wald")
multi.deseq.clean <- multi.deseq[which(mcols(multi.deseq)$betaConv),]</pre>
sigtab_discharge <- bind_rows(get_deseq_res(multi.deseq.clean,</pre>
                                "Chorioamnionitis", "Yes", "No"),
                                get_deseq_res(multi.deseq.clean,
                                "Preeclampsia", "Yes", "No"),
                                get_deseq_res(multi.deseq.clean,
                                "Feeding.Type", "Formula", "Breastmilk")) %>%
                     add_column(Sample = "Discharge")
```

• Merge Admission and Discharge outputs.

```
DESeq2_Summary_Table <- bind_rows(sigtab_admission, sigtab_discharge)</pre>
```

• Export combined table.

5.2.3 Visualisations for *deseq* modelling.

• Visualising deseq-transformed abundances with heat maps.

```
multi.deseq.clean %>%
  varianceStabilizingTransformation() %>%
  assay() %>%
  cor() %>%
  pheatmap()
```

• Visualising deseq-transformed abundances with PCA (substitute in any variable).

```
multi.deseq.clean %>%
  varianceStabilizingTransformation() %>%
  plotPCA(intgroup = "Mode.of.Delivery") +
   xlim(-20,20)+
  ylim(-20,20)
```

• Plot counts for comparisons (takes ASV).

```
ggplot((plotCounts(multi.deseq.clean, "GTGGGGAATATTGCACAATGGGCGCAAGCCTGATGCAGCCATGCCGCGTGTATGAAGAAGGCCC
    intgroup = "Preeclampsia", returnData = TRUE)),
    aes(x = Preeclampsia, y = count)) +
    geom_point()+
    scale_y_log10() +
    labs(title = "SEscherichia/Shigella", x = "", y = "")
```

5.3 Mixed effects modelling with lme4 for Shannon Diversity.

• This analysis is exploring the impact of several clinical variables on alpha diversity using a backwards selection method that allows determination of the least complex adequate model.

5.3.1 Subset data.

- As done previously, subset ps to exclude SCN and NA values.
- Scale continuous variables.
- Estimate richness and save as object.
- create a new variable column with rownames.
- merge alpha diversity estimates (ps_alpha_div) with the metadata (samdf) by the Label column (originally row names), for downstream analysis.

5.3.2 Testing for multicollinearity.

- Use previously defined corvif() function that takes metadata and creates a linear model to see if any collinearity exists between variables.
- Use this function on a defined a vector with all the variables to be included in the model.

• If GVIF < 3 = no collinearity.

5.3.3 Fit Model.

- Fit the model using *lme4* to obtain AIC values allowing downstream backwards selection (this assumes gaussian distribution).
- URN (individual infant) is a random effect that we want to account for but not measure.
- Type (of sample) is an interaction variable.

- Calculate the goodness of fit (how the sample data fits the distribution) and the Pearsons Chi Square coefficient (how likely observed differences arose by chance).
- Calculate these again post bakwards selection.

```
gof(global)
sum(residuals(global, "pearson")^2)
```

5.3.4 Backwards Selection.

- Define a function that determines what variable is contributing least to the model, as determined by AIC score.
- Then apply that function to the model, and subsequent models, removing variables from the model that are not contributing (first from the interaction and then from the model entirely).

```
dfun <- function(x) {
   x$AIC <- x$AIC-min(x$AIC)
   names(x)[2] <- "dAIC"
   x
}
dfun(drop1(global))</pre>
```

```
## Single term deletions
##
```

```
## Model:
## Shannon ~ (Mode.of.Delivery + Feeding.Type + Gestation_Days_scaled +
       Antenatal.Antibiotics + Antenatal..Infections + NEC + Sepsis +
       Chorioamnionitis + Neonatal.Antibiotics + Died + Prolonged..Membrane..Rupture +
##
##
       Preeclampsia + Diabetes + ROP) * Type + (1 | URN)
                                     npar
##
                                           dAIC
                                          1.9848
## <none>
                                        1 3.5106
## Mode.of.Delivery:Type
## Feeding.Type:Type
                                        2 0.6044
## Gestation_Days_scaled:Type
                                       1 0.7623
## Antenatal.Antibiotics:Type
                                        1 0.3815
## Antenatal..Infections:Type
                                        1 0.0670
## NEC:Type
                                        1 4.2559
## Sepsis:Type
                                        1 0.0000
## Chorioamnionitis:Type
                                        1 0.7309
## Neonatal.Antibiotics:Type
                                        1 0.0023
## Died:Type
                                        1 0.0807
## Prolonged..Membrane..Rupture:Type
                                        1 0.1536
## Preeclampsia:Type
                                        1 1.8784
## Diabetes:Type
                                        1 0.1707
## ROP:Type
                                        1 7.8163
global2 <- lme4::lmer(Shannon ~ Neonatal.Antibiotics + (Mode.of.Delivery + Feeding.Type +</pre>
                      Gestation_Days_scaled + Antenatal.Antibiotics +
                      Antenatal.. Infections + NEC + Sepsis + Chorioamnionitis + Died +
                      Prolonged..Membrane..Rupture + Preeclampsia + Diabetes + ROP) *
                      Type + (1|URN), data = ps_samp)
dfun(drop1(global2))
## Single term deletions
##
## Model:
## Shannon ~ Neonatal.Antibiotics + (Mode.of.Delivery + Feeding.Type +
       Gestation_Days_scaled + Antenatal.Antibiotics + Antenatal..Infections +
       NEC + Sepsis + Chorioamnionitis + Died + Prolonged..Membrane..Rupture +
##
##
       Preeclampsia + Diabetes + ROP) * Type + (1 | URN)
##
                                     npar
                                            dAIC
## <none>
                                          1.9827
                                        1 0.9409
## Neonatal.Antibiotics
                                        1 3.6658
## Mode.of.Delivery:Type
## Feeding.Type:Type
                                        2 0.5939
## Gestation_Days_scaled:Type
                                        1 0.7676
## Antenatal.Antibiotics:Type
                                        1 0.4172
                                        1 0.0560
## Antenatal..Infections:Type
## NEC:Type
                                        1 4.2473
## Sepsis:Type
                                        1 0.0000
## Chorioamnionitis:Type
                                        1 0.7933
## Died:Type
                                        1 0.0832
## Prolonged..Membrane..Rupture:Type
                                        1 0.1992
## Preeclampsia:Type
                                        1 1.8928
## Diabetes:Type
                                        1 0.1598
## ROP:Type
                                        1 7.8546
```

• Continue backwards selection until least complex adequate model is found.

```
global16 <- lme4::lmer(Shannon ~ Sepsis + Feeding.Type +</pre>
            Chorioamnionitis + (Mode.of.Delivery + Gestation_Days_scaled +
            NEC + Preeclampsia + ROP) * Type + (1|URN), data = ps_samp)
dfun(drop1(global16))
## Single term deletions
##
## Model:
## Shannon ~ Sepsis + Feeding. Type + Chorioamnionitis + (Mode. of. Delivery +
       Gestation_Days_scaled + NEC + Preeclampsia + ROP) * Type +
##
       (1 | URN)
##
##
                              npar
                                      dAIC
## <none>
                                    0.0000
## Sepsis
                                 1 3.3017
## Feeding.Type
                                 2 4.2201
## Chorioamnionitis
                                 1 0.5266
## Mode.of.Delivery:Type
                                 1 2.6758
## Gestation_Days_scaled:Type
                                 1 0.2779
## NEC:Type
                                 1 0.5293
## Preeclampsia:Type
                                 1 3.0865
## ROP:Type
                                 1 10.2882
```

• Get a summary of the final model.

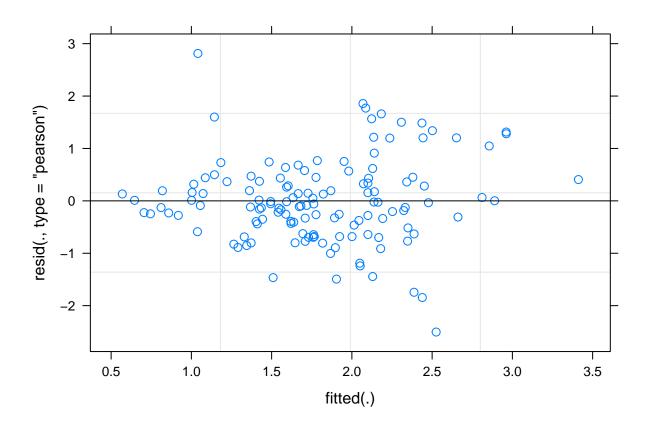
summary(global16)

```
## Linear mixed model fit by REML ['lmerMod']
## Formula:
## Shannon ~ Sepsis + Feeding. Type + Chorioamnionitis + (Mode. of. Delivery +
      Gestation_Days_scaled + NEC + Preeclampsia + ROP) * Type + (1 | URN)
##
     Data: ps_samp
##
## REML criterion at convergence: 350.5
## Scaled residuals:
      Min 1Q Median
                               30
                                      Max
## -2.8764 -0.5024 -0.0658 0.4573 3.2322
## Random effects:
## Groups
            Name
                        Variance Std.Dev.
             (Intercept) 0.0440
## URN
                                 0.2098
## Residual
                        0.7575
                                 0.8704
## Number of obs: 134, groups: URN, 85
## Fixed effects:
##
                                         Estimate Std. Error t value
## (Intercept)
                                         1.806776 0.211054 8.561
                                        -0.921014 0.424434 -2.170
## SepsisYes
## Feeding.TypeBreastmilk and Formula
                                         0.216373
                                                   0.218808
                                                              0.989
## Feeding.TypeFormula
                                        0.535976 0.198291
                                                              2.703
## ChorioamnionitisYes
                                        -0.285457 0.192976 -1.479
## Mode.of.DeliveryVaginal
                                         0.379392 0.238630
                                                              1.590
```

```
## Gestation_Days_scaled
                                                       0.268960
                                           0.352921
                                                                  1.312
## NECYes
                                           0.531814
                                                       0.421790
                                                                  1.261
## PreeclampsiaYes
                                                       0.368448
                                           0.596362
                                                                  1.619
## ROPYes
                                          -0.900691
                                                       0.252817
                                                                 -3.563
## TypeDischarge
                                          -0.003136
                                                       0.242138
                                                                 -0.013
## Mode.of.DeliveryVaginal:TypeDischarge -0.721345
                                                       0.352968
                                                                 -2.044
## Gestation_Days_scaled:TypeDischarge
                                          -0.526294
                                                       0.367393
                                                                 -1.433
## NECYes:TypeDischarge
                                                       0.657683
                                                                 -1.514
                                          -0.995786
## PreeclampsiaYes:TypeDischarge
                                          -1.063585
                                                       0.478249
                                                                 -2.224
## ROPYes:TypeDischarge
                                           1.233570
                                                       0.362373
                                                                  3.404
##
## Correlation matrix not shown by default, as p = 16 > 12.
  Use print(x, correlation=TRUE) or
##
       vcov(x)
                      if you need it
```

• Explore model distribution (linearity).

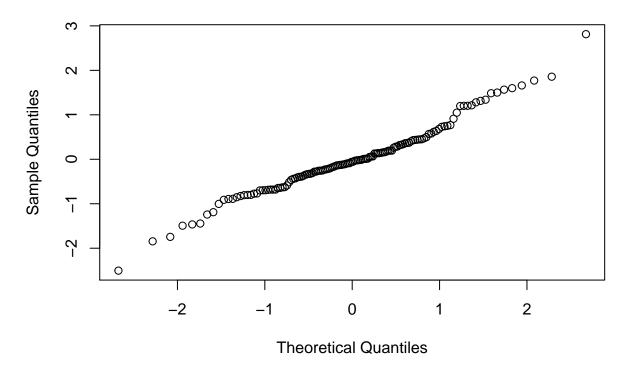
plot(global16)



• Explore model distribution (normality).

qqnorm(resid(global16))

Normal Q-Q Plot



• Calculate the goodness of fit (how the sample data fits the distribution).

gof(global16)

• Calculate the Pearsons Chi Square coefficient (how likely observed differences arose by chance).

sum(residuals(global16, "pearson")^2)

• Check R2 (good fit is between 0.2 - 0.4).

```
MuMIn::r.squaredGLMM(global16)
```

5.3.5 Statistical tests for generalised linear model.

- Have the option to run lmer() from the *lmertest* package or use *car* to compute an analysis of variance.
- With lmerTest.

```
## Linear mixed model fit by REML. t-tests use Satterthwaite's method [
## lmerModLmerTest]
## Formula:
## Shannon ~ Sepsis + Feeding. Type + Chorioamnionitis + (Mode. of. Delivery +
      Gestation_Days_scaled + NEC + Preeclampsia + ROP) * Type +
##
     Data: ps samp
## REML criterion at convergence: 350.5
##
## Scaled residuals:
      Min
               1Q Median
                               3Q
                                      Max
## -2.8764 -0.5024 -0.0658 0.4573 3.2322
## Random effects:
## Groups
            Name
                        Variance Std.Dev.
## URN
             (Intercept) 0.0440
                                 0.2098
## Residual
                        0.7575
                                 0.8704
## Number of obs: 134, groups: URN, 85
## Fixed effects:
##
                                          Estimate Std. Error
                                                                      df t value
## (Intercept)
                                          1.806776 0.211054 96.769921
                                                                           8.561
## SepsisYes
                                         -0.921014
                                                     0.424434
                                                               96.742847 -2.170
## Feeding.TypeBreastmilk and Formula
                                                               68.235241
                                          0.216373
                                                     0.218808
                                                                           0.989
## Feeding.TypeFormula
                                          0.535976 0.198291 60.479527
                                                                           2.703
## ChorioamnionitisYes
                                         -0.285457
                                                     0.192976 66.998597 -1.479
## Mode.of.DeliveryVaginal
                                          0.379392
                                                     0.238630 116.732255
                                                                           1.590
## Gestation_Days_scaled
                                          0.352921
                                                     0.268960 115.447329
                                                                          1.312
## NECYes
                                          1.261
## PreeclampsiaYes
                                          0.596362
                                                     0.368448 117.431453
                                                                          1.619
                                         -0.900691
## ROPYes
                                                     0.252817 117.395345
                                                                          -3.563
## TypeDischarge
                                         -0.003136
                                                     0.242138 85.439109
                                                                          -0.013
## Mode.of.DeliveryVaginal:TypeDischarge
                                         -0.721345
                                                     0.352968
                                                               86.517836
                                                                          -2.044
## Gestation_Days_scaled:TypeDischarge
                                         -0.526294
                                                     0.367393
                                                               91.908889
                                                                         -1.433
                                                                          -1.514
## NECYes:TypeDischarge
                                         -0.995786
                                                     0.657683 117.954251
## PreeclampsiaYes:TypeDischarge
                                                     0.478249 81.872489 -2.224
                                         -1.063585
## ROPYes:TypeDischarge
                                          1.233570
                                                     0.362373 73.429217
                                                                         3.404
##
                                        Pr(>|t|)
## (Intercept)
                                        1.73e-13 ***
## SepsisYes
                                        0.032457 *
## Feeding.TypeBreastmilk and Formula
                                        0.326220
## Feeding.TypeFormula
                                        0.008908 **
## ChorioamnionitisYes
                                        0.143765
## Mode.of.DeliveryVaginal
                                        0.114568
## Gestation_Days_scaled
                                        0.192066
                                        0.209877
## NECYes
## PreeclampsiaYes
                                        0.108220
## ROPYes
                                        0.000532 ***
## TypeDischarge
                                        0.989697
## Mode.of.DeliveryVaginal:TypeDischarge 0.044029
## Gestation_Days_scaled:TypeDischarge
                                        0.155390
## NECYes:TypeDischarge
                                        0.132680
## PreeclampsiaYes:TypeDischarge
                                        0.028908 *
## ROPYes:TypeDischarge
                                        0.001078 **
```

```
## ---
## Signif. codes: 0 '***' 0.001 '**' 0.05 '.' 0.1 ' ' ' 1
```

• Export *lmerTest* results (either save previous command as an object or drop code into the function).

• With car.

```
car::Anova(global16) %>%
as.data.frame(row.names = NULL)
```

```
##
                                   Chisq Df
                                              Pr(>Chisq)
## Sepsis
                              4.70882375 1 0.0300081770
## Feeding.Type
                              7.33813422 2 0.0255002478
## Chorioamnionitis
                              2.18812478 1 0.1390785479
## Mode.of.Delivery
                              0.16086712 1 0.6883594333
## Gestation_Days_scaled
                              0.23906937 1 0.6248790758
## NEC
                              0.13170487 1 0.7166708756
## Preeclampsia
                              0.01000536 1 0.9203230400
## ROP
                              2.92199060 1 0.0873800085
## Type
                              0.13712653 1 0.7111545083
## Mode.of.Delivery:Type
                              4.17653948 1 0.0409873098
## Gestation_Days_scaled:Type 2.05208688 1 0.1519977204
## NEC:Type
                              2.29244225 1 0.1300052156
## Preeclampsia:Type
                              4.94579643 1 0.0261541864
## ROP:Type
                             11.58817775 1 0.0006637242
```

• Export car results (either save previous command as an object or drop code into the function).

• Perform post-hoc Tukeys analysis to find pairwise differences.

```
as.data.frame(emmeans(global16, list(pairwise ~ Preeclampsia:Type), adjust = "tukey"))
as.data.frame(emmeans(global16, list(pairwise ~ ROP:Type), adjust = "tukey"))
```

• Export post-hoc results (either save previous commands as an object or drop code into the function).

```
tab_df(Sepsis_tukeys, alternate.rows = TRUE, show.rownames = TRUE,
    title = "Tukey's: Sepsis and Shannon Diversity",
    file = "Sepsis_tukeys.doc")
```

• To get a summary for significant pairwise comparisons.

5.3.6 Reintegration.

• Add variables from the first model back into the final model one at a time to calculate estimates, SE and p values for each.

• Export reintigration results (either save previous commands as an object or drop code into the function).

5.3.7 Visualisation: plot significant variables as box plots.

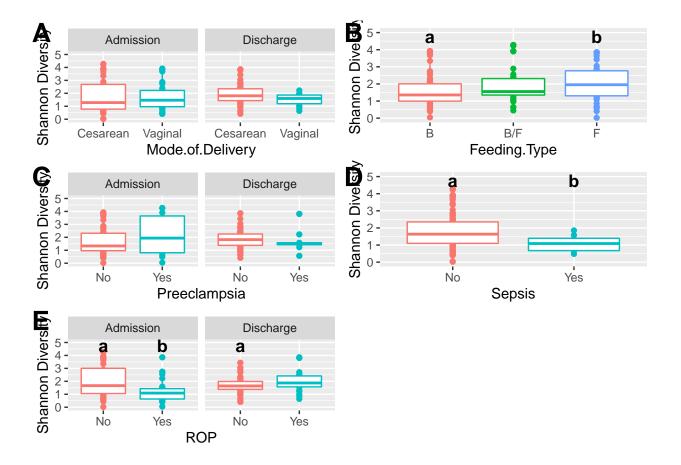
- Create a function for the boxplots (as it gets repetitive) that takes the data, the variable and any added annotation as arguments.
- Any other variable-specific specifications for the plots can be added after the function. eg. Variables that interact with *Type* are faceted by the variable with + facet_wrap(~Type).

```
shannon_box_plot <- function(data, variable, anno){
   ggplot(data, aes(x = data[[variable]], y = data$Shannon)) +
   geom_point(aes(colour = data[[variable]])) +
   geom_boxplot(aes(colour = data[[variable]])) +
   labs(x = variable, y = "Shannon Diversity") +
   theme(legend.position = "none") +</pre>
```

```
geom_text(data = anno, aes(x = xstar, y = ystar,
label = lab, size = 12, fontface = "bold")) +
ylim(0, 5)
}
```

• Wrap all the plots into a function so intermediate objects don't need to be created in environment.

```
box_grid <- function(ps_samp){</pre>
# Delivery
anno \leftarrow data.frame(xstar = c(1, 2), ystar = c(4.75, 4.75), lab = "")
ggplot_Mode.of.Delivery <- (shannon_box_plot(ps_samp, "Mode.of.Delivery", anno) +</pre>
  facet wrap(~Type))
                      %>%
  annotate_figure(fig.lab = "A", fig.lab.face = "bold", fig.lab.size = 20)
# Diet
anno \leftarrow data.frame(xstar = c(1, 3), ystar = c(4.75, 4.75), lab = c("a", "b"))
ggplot_Feeding.Type <- (shannon_box_plot(ps_samp, "Feeding.Type", anno) +</pre>
  scale_x_discrete(labels = c("B", "B/F", "F"))) %>%
  annotate_figure(fig.lab = "B", fig.lab.face = "bold", fig.lab.size = 20)
# Preeclampsia
anno \leftarrow data.frame(xstar = c(1, 2), ystar = c(4.75, 4.75), lab = "")
ggplot_Preeclampsia <- (shannon_box_plot(ps_samp, "Preeclampsia", anno) +</pre>
 facet_wrap(~Type)) %>%
  annotate_figure(fig.lab = "C", fig.lab.face = "bold", fig.lab.size = 20)
# Sepsis
anno \leftarrow data.frame(xstar = c(1, 2), ystar = c(4.75, 4.75), lab = c("a", "b"))
ggplot_Sepsis <- shannon_box_plot(ps_samp, "Sepsis", anno) %>%
  annotate_figure(fig.lab = "D", fig.lab.face = "bold", fig.lab.size = 20)
# ROP
anno \leftarrow data.frame(xstar = c(1, 2, 1), ystar = c(4.75, 4.75, 4.75),
        lab = c("a", "b", "a"), Type = c("Admission", "Admission", "Discharge"))
ggplot_ROP <- (shannon_box_plot(ps_samp, "ROP", anno) +</pre>
 facet_wrap(~Type)) %>%
  annotate_figure(fig.lab = "E", fig.lab.face = "bold", fig.lab.size = 20)
# Create the grid
grid.arrange(ggplot_Mode.of.Delivery, ggplot_Feeding.Type, ggplot_Preeclampsia, ggplot_Sepsis, ggplot_R
}
box_grid(ps_samp)
```



• Export box plot grid.

FINISIHED

Link to github repo.