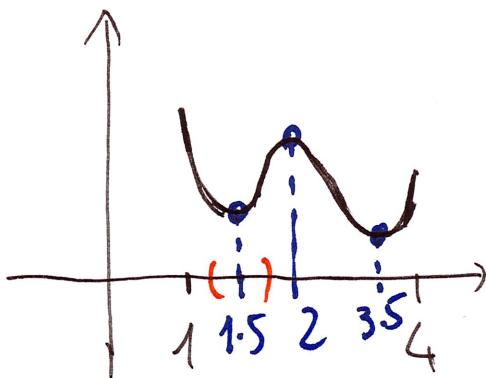


## Some info

- ① The quiz on Wednesday covers:
- Section 3.6. (Derivative of log & log diff.)
  - Section 3.9 (Rel. rates)
  - Section 4.1 (Max and min values)

- ② There was a **change** in Lecture 13 notes last Saturday. Check that out.  
The issue was the following. If  $f$  is defined on a closed interval  $[a, b]$  the endpoint values  $f(a)$  and  $f(b)$  are never local min. or local max. They can only be **ABSOLUTE** min/max.



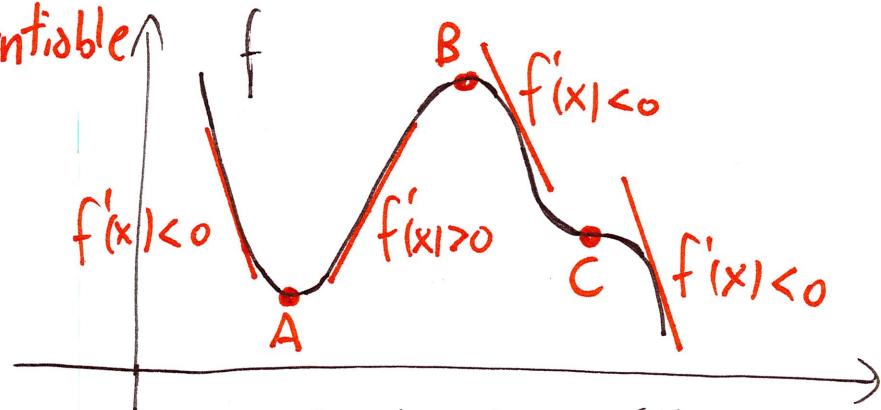
- |          |                     |
|----------|---------------------|
| $f(1)$   | ABS max             |
| $f(1.5)$ | loc min             |
| $f(2)$   | loc max             |
| $f(3.5)$ | ABS min             |
| $f(4)$   | is NOT a local max. |

(Check Example 4 on page 277)

# Lecture 14: Derivatives, shapes of graphs & local min/max. (Nov 5)

(Section 4.3)

Suppose  $f$  is a differentiable function on an open interval  $I$ .



Increasing/Decreasing Test. (IT test).

(a) If  $f' > 0$  on  $I$ , then  $f$  is increasing on  $I$ .

(b) If  $f' < 0$  on  $I$ , then  $f$  is decreasing on  $I$ .

A: local min ( $f'$  goes from  $- \rightarrow +$ )

B: local max ( $f'$  goes from  $+ \rightarrow -$ )

C:  $f'$  goes from  $- \rightarrow -$ , no loc min/max

First Derivative Test (FDT). Suppose  $C$  is a critical number of  $f$ .

(a) If  $f$  changes from  $+$  to  $-$  at  $C$ ,  $f$  has a loc. max at  $C$

(b) If  $f$  changes from  $-$  to  $+$  at  $C$ ,  $f$  has a loc. min at  $C$

(c) If  $f$  does not change sign at  $C$ ,  $f$  has no loc. min/max at  $C$ .

Ex: For the function

$$f(x) = \frac{x^4}{2} - \frac{x^3}{3} - 2x^2 + 2x + 7$$

find:

(a) the intervals where it is decreasing and where it is increasing;

(b) the local min/max values.

Sol: We need to study where  $f' > 0$  and where  $f' < 0$ , so that we can use the ID test and the FD test.

$$\begin{aligned}f'(x) &= \frac{2}{2} \cancel{\frac{4x^3}{2}} - \cancel{\frac{3x^2}{3}} - 4x + 2 \\&= \cancel{2x^3} - \cancel{x^2} - \cancel{4x} + 2 \\&= 2x(x^2 - 2) + (-1)(x^2 - 2) \\&= (2x - 1)(x^2 - 2) = (2x - 1)(x + \sqrt{2})(x - \sqrt{2}) \\&\uparrow (a^2 - b^2) = (a+b)(a-b) \\&\text{with } a=x, b=\sqrt{2}\end{aligned}$$

To study where  $f' > 0$ , and where  $f' < 0$ , let's first look at  $f'(x) = 0$  (that is, let's find the critical numbers):

$$f'(x) = (2x-1)(x+\sqrt{2})(x-\sqrt{2}) = 0$$

$$\Leftrightarrow \begin{cases} x_1 = +\frac{1}{2} \\ x_2 = -\sqrt{2} \\ x_3 = \sqrt{2} \approx 1.414... \end{cases} \quad \text{Note: } -\sqrt{2} < \frac{1}{2} < \sqrt{2}$$

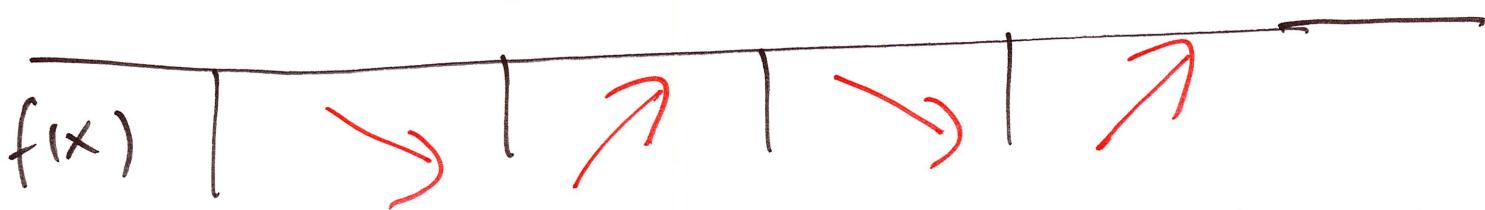
We need to understand the sign of  $f'$  on the intervals:

$$(-\infty, -\sqrt{2}), \left(-\sqrt{2}, \frac{1}{2}\right), \left(\frac{1}{2}, \sqrt{2}\right), (\sqrt{2}, +\infty)$$

$$\mathbb{R} = (-\infty, -\sqrt{2}) \cup \{-\sqrt{2}\} \cup \left(-\sqrt{2}, \frac{1}{2}\right) \cup \left(\frac{1}{2}, \sqrt{2}\right) \cup \{\sqrt{2}\} \cup (\sqrt{2}, +\infty)$$

	$(-\infty, -\sqrt{2})$	$-\sqrt{2} (-\sqrt{2}, 1/2)$	$1/2 (1/2, \sqrt{2})$	$\sqrt{2} (\sqrt{2}, +\infty)$	
Sign of:	-	-	+	+	
$2x-1$	-	-	+	+	$2x-1 > 0$ $x > 1/2$
$x+\sqrt{2}$	-	+	+	+	$x+\sqrt{2} > 0$ $x > -\sqrt{2}$
$x-\sqrt{2}$	-	-	-	+	$x-\sqrt{2} > 0$ $x > \sqrt{2}$
$f'(x)$	$(-1 \cdot (-1) \cdot (-1))$ -	$(-1 \cdot (+1) \cdot (-1))$ +	-	+	

USING I/D test



(a)  $f$  is decreasing on  $(-\infty, -\sqrt{2}]$ ,  $[1/2, \sqrt{2}]$

$f$  is increasing on  $(-\sqrt{2}, 1/2]$ ,  $[\sqrt{2}, +\infty)$

- (b) • At  $x = -\sqrt{2}$ ,  $f'$  is changing from - to +, so  $f(-\sqrt{2})$  is a local min.
- At  $x = \frac{1}{2}$ ,  $f'$  is changing from + to -, so  $f(\frac{1}{2})$  is a local max

• At  $x = \sqrt{2}$ ,  $f'$  is changing from - to +, so  $f(\sqrt{2})$  is a loc. min value.

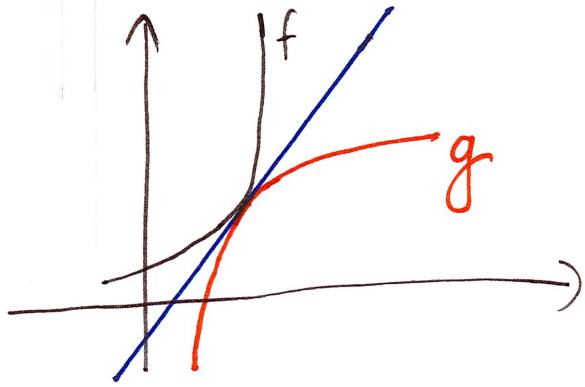
Compute the values  $f(-\sqrt{2})$ ,  $f(\sqrt{2})$ ,  $f(\frac{1}{2})$  at home.

Bonus: Does  $f$  have any ABSOLUTE min or max? If not, say why; if yes, find them.

Hint: Will write the hint at the bottom of the page.

Remember: except for endpoint values, abs min/max are loc. min/max...

What happens if you take  $\lim_{x \rightarrow \infty} f(x)$  &  $\lim_{x \rightarrow -\infty} f(x)$ ?



- Given an interval  $(a, b)$ , a differentiable function is:
- Concave Up (ward) on  $(a, b)$  if, like for  $f$ , its graph always lies above its tangent lines on  $(a, b)$ .
  - Concave down (ward) on  $(a, b)$  if, like for  $g$ , its graph always lies below its tangent lines on  $(a, b)$ .

Concavity Test. Suppose a function  $f$  is differentiable

on  $(a, b)$  and suppose  $f''(x)$  exists for  $x \in (a, b)$ .

(a) If  $f''(x) > 0$  for all  $x$  in  $(a, b)$ , then  $f$  is concave up on  $(a, b)$ .

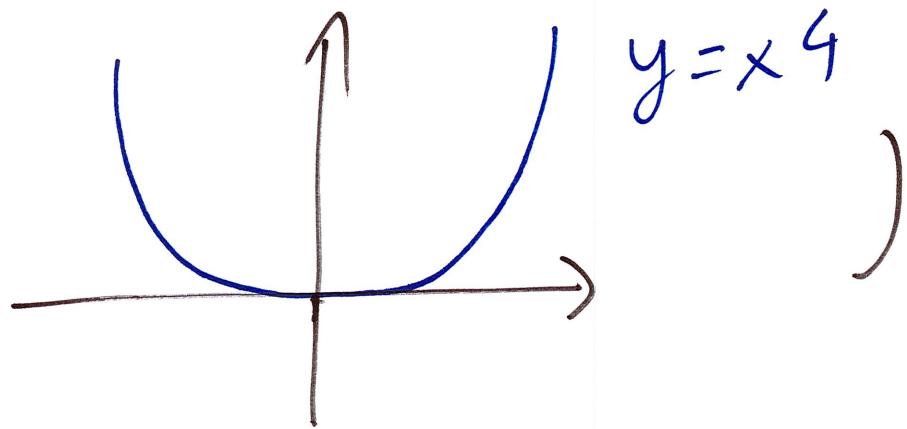
(b) If  $f''(x) < 0$  for all  $x$  in  $(a, b)$ , then  $f$  is concave down on  $(a, b)$ .

A point  $(x, f(x))$  is an inflection point if  $f$  changes concavity at  $x$ . If  $f''(x)$  exists, then an inflection point is one where  $f''$  changes sign (from + to - or from - to +).

Beware: IF  $(x, f(x))$  is an inflection point, THEN  $f''(x) = 0$ .

But  $f''(x)$  might be zero even if  $(x, f(x))$  is not an inflection point

(Ex: take  $f(x) = x^4$ ;  $x=0$  is s.t.  $f''(x) = 0$  but it's not an inflection point)



Ex: For

$$f(x) = x^4 - 2x^2 + 3$$

find:

- where the function is concave up
- and where it is concave down;
- the inflection points.

Sol: We need to study  $f''(x)$ .

$$f'(x) = 4x^3 - 4x$$

$$f''(x) = (f'(x))' = 12x^2 - 4$$

We need to understand where

$f''(x) > 0$  and where  $f''(x) < 0$ .

Let's first solve:  $12x^2 - 4 = 0 \Leftrightarrow$

$$\Leftrightarrow 12x^2 = 4 \Leftrightarrow x^2 = \frac{4}{12} = \frac{1}{3} \Leftrightarrow$$

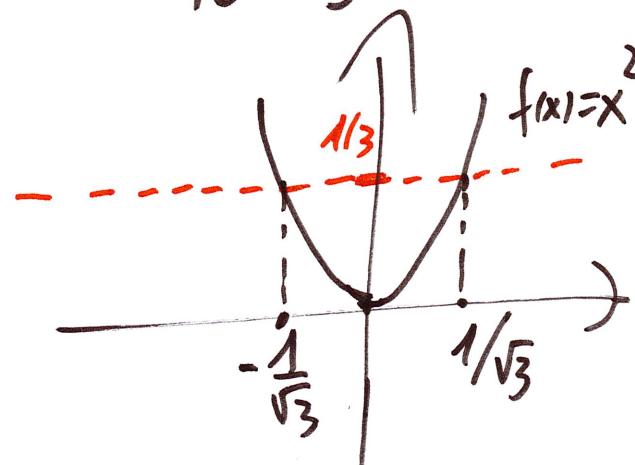
$$\Leftrightarrow x = \pm \frac{1}{\sqrt{3}}.$$

$$\text{Now: } 12x^2 - 4 > 0 \Leftrightarrow x^2 > \frac{4}{12} = \frac{1}{3}$$

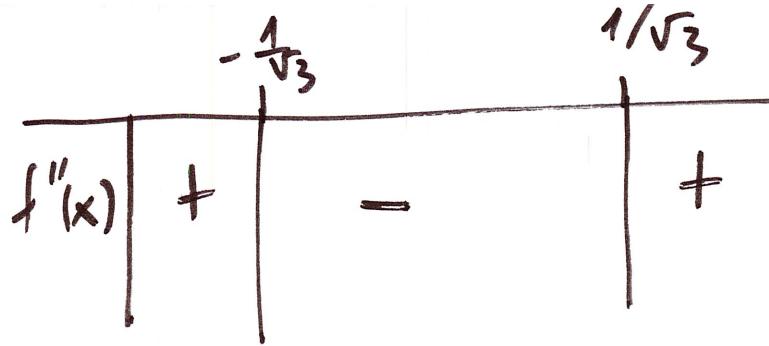
$$\Leftrightarrow x < -\frac{1}{\sqrt{3}} \text{ or } x > \frac{1}{\sqrt{3}}$$

$$\cdot 12x^2 - 4 < 0 \Leftrightarrow x^2 < \frac{1}{3}$$

$$\Leftrightarrow -\frac{1}{\sqrt{3}} < x < \frac{1}{\sqrt{3}}$$



So



Thus, by CT (Concavity Test):

- $f$  is concave up on  $(-\infty, -\frac{1}{\sqrt{3}})$  and  $(\frac{1}{\sqrt{3}}, +\infty)$ .
- $f$  is concave down on  $(-\frac{1}{\sqrt{3}}, \frac{1}{\sqrt{3}})$ .

The function  $f$  is s.t.  $f''(x)$  is changing signs both at  $-\frac{1}{\sqrt{3}}$  and at  $\frac{1}{\sqrt{3}}$ , so

$$\left(-\frac{1}{\sqrt{3}}, f\left(-\frac{1}{\sqrt{3}}\right)\right) \text{ & } \left(\frac{1}{\sqrt{3}}, f\left(\frac{1}{\sqrt{3}}\right)\right)$$

are both inflection points.

(Compute  $f\left(-\frac{1}{\sqrt{3}}\right)$  &  $f\left(\frac{1}{\sqrt{3}}\right)$ ).

We can use  $f''(x)$  to find local min/max.

Second Derivative Test (SDT). Suppose

that:

(1)  $c$  is a critical number of  $f$  s.t.  
 $f'(c) = 0$ ;

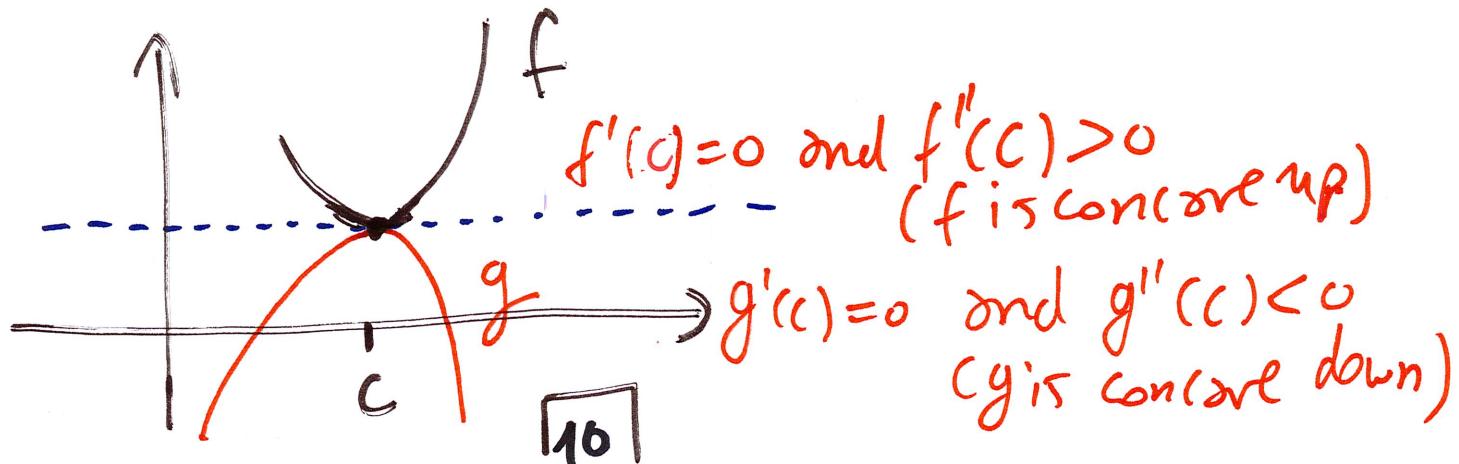
AND

(2)  $f''$  is continuous on an open interval containing  $c$ .

THEN

(a) If  $f''(c) > 0$ , then  $f$  has a loc. min at  $c$ ;

(b) if  $f''(c) < 0$ , then  $f$  has a local max at  $c$ .



WARNING: This test CAN'T BE USED  
(it doesn't say anything) if:

(1)  $f'(c) = 0$  &  $f''(c) = 0$

(2)  $f''(c)$  DNE ( $f''$  can't be continuous  
at  $c$ );

(3)  $f'(c)$  DNE ( $f'(c) \neq 0$ ).

In the cases above, use FDT (First Derivative Test).

Ex: Find the local min/max of

$$f(x) = x^3 - 9x^2 + 15x - 7$$

Sol: Let's try to use the S.D.T.

$$f'(x) = 3x^2 - 18x + 15$$

$$f''(x) = 6x - 18$$

Let's find  
those  $c$ 's for  
which  $f'(c) = 0$ .

$$f'(x) = 3x^2 - 18x + 15 = 0 \Leftrightarrow x^2 - 6x + 5 = 0$$

Divide by 3

$$x_{1,2} = \frac{6 \pm \sqrt{36 - 20}}{2} = \frac{6 \pm \sqrt{16}}{2} = \frac{6 \pm 4}{2}$$

$x_1 = 5$   
 $x_2 = 1$

So  $c_1 = 5, c_2 = 1$  are s.t.  $f''(c_1) = 0 =$

$= f''(c_2)$ .

$$\cdot f''(5) = 6 \cdot 5 - 18 = 30 - 18 = 12 > 0,$$

so  $f$  has a loc. min at  $x = 5$ .

$$\cdot f''(1) = 6 \cdot 1 - 18 = 6 - 18 = -12 < 0,$$

so  $f$  has a loc. max at  $x = 1$ .

**Ex:** For the function

$$f(x) = x - \sqrt{2} \sin(x)$$

defined on  $[-\frac{\pi}{4}, \pi]$ , find:

12

- (a) the critical numbers;
- (b) the intervals where it's increasing / decreasing;
- (c) the local min & max and the abs min & max;
- (d) the intervals where it's concave up & down;
- (e) the inflection points.

Sol:

$$(a) -\frac{\pi}{4}, \frac{\pi}{4}$$

$$(b) \text{ Increasing: } \left[-\frac{\pi}{2}, -\frac{\pi}{4}\right] \cup \left[\frac{\pi}{2}, \pi\right]$$

$$\text{Decreasing: } \left[-\frac{\pi}{4}, \frac{\pi}{2}\right].$$

$$(c) \text{ Local min at } x = \frac{\pi}{4}$$

$$\text{Local max at } -\frac{\pi}{4} = x$$

Abs min at  $x = -\frac{\pi}{2}$

Abs max at  $x = \pi$

(d) Concave up:  $[\frac{\pi}{2}, \pi]$

Concave down:  $[-\frac{\pi}{2}, 0]$  &  $[\frac{\pi}{2}, \pi]$

(e) Inflection points:  $(0, f(0))$   
=  $(0, 0)$ .

## Summary of this class

① We saw how the first derivative,  $f'(x)$ , of a differentiable function  $f(x)$  can tell us:

- where  $f$  is increasing & decreasing (ID test)
- which critical points of  $f$  are loc. min, loc. max or neither (FDT)

② We saw how the second derivative,  $f''(x)$ , of a function  $f$  (for which  $f''(x)$  exists) can tell us:

- where  $f$  is concave up & down (CT);
- what critical points of  $f$  are loc. min or local max (SDT).

Key-words for this class:

- Increasing/Decreasing Test (ID test).
- First Derivative Test (FDT).
- Concave up, Concave down.
- Inflection points.
- Concavity Test (CT).
- Second Derivative Test (SDT).

For next class.

- ① Revise for the Quiz (sections 3.6, 3.9, 4.1)
- ② Start doing the exercises for section 4.3.
- ③ We will do Section 4.7 and, maybe, start section 4.4.