

# A Computational Reconstruction of Homotopy Type Theory for Finite Types

## Abstract

Homotopy type theory (HoTT) relates some aspects of topology, algebra, geometry, physics, logic, and type theory, in a unique novel way that promises a new and foundational perspective on mathematics and computation. The heart of HoTT is the *univalence axiom*, which informally states that isomorphic structures can be identified. One of the major open problems in HoTT is a computational interpretation of this axiom. We propose that, at least for the special case of finite types, reversible computation via type isomorphisms is the computational interpretation of univalence.

## 1. Introduction

Homotopy type theory (HoTT) [The Univalent Foundations Program 2013] has a convoluted treatment of functions. It starts with a class of arbitrary functions, singles out a smaller class of “equivalences” via extensional methods, and then asserts via the *univalence axiom* that the class of singled out functions is equivalent to paths. Why not start with functions that are, by construction, equivalences?

The idea that computation should be based on “equivalences” is an old one and is motivated by physical considerations. Because physics requires various conservation principles (including conservation of information) and because computation is fundamentally a physical process, every computation is fundamentally an equivalence that preserves information. This idea fits well with the HoTT philosophy that emphasizes equalities, isomorphisms, equivalences, and their computational content.

In more detail, a computational world in which the laws of physics are embraced and resources are carefully maintained (e.g., quantum computing [Abramsky and Coecke 2004; Nielsen and Chuang 2000]), programs must be reversible. Although this is apparently a limiting idea, it turns out that conventional computation can be viewed as a special case of such resource-preserving reversible programs. This thesis has been explored for many years from different perspectives [Bennett 2003, 2010, 1973; Fredkin and Toffoli 1982; Landauer 1961, 1996; Toffoli 1980] and more recently in the context of type isomorphisms [James and Sabry 2012a].

This paper explores the basic ingredients of HoTT from the perspective that computation is all about type isomorphisms. Because of the issues are subtle, the paper is an executable Agda 2.4.0 file with the global `without-K` option enabled. The main body of the

paper reconstructs the main features of HoTT for the limited universe of finite types consisting of the empty type, the unit type, and sums and products of types. Sec. 5 outlines directions for extending the result to richer types.

## 2. Condensed Background on HoTT

Informally, and as a first approximation, one may think of HoTT as a variation on Martin-Löf type theory in which all equalities are given *computational content*. We explain the basic ideas below.

### 2.1 Paths

Formally, Martin-Löf type theory, is based on the principle that every proposition, i.e., every statement that is susceptible to proof, can be viewed as a type. Indeed, if a proposition  $P$  is true, the corresponding type is inhabited and it is possible to provide evidence or proof for  $P$  using one of the elements of the type  $P$ . If, however, a proposition  $P$  is false, the corresponding type is empty and it is impossible to provide a proof for  $P$ . The type theory is rich enough to express the standard logical propositions denoting conjunction, disjunction, implication, and existential and universal quantifications. In addition, it is clear that the question of whether two elements of a type are equal is a proposition, and hence that this proposition must correspond to a type. In Agda, one may write proofs of these propositions as shown in the two examples below:

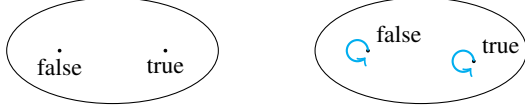
```
i0 : 3 ≡ 3          i1 : (1 + 2) ≡ (3 * 1)
i0 = refl 3         i1 = refl 3
```

More generally, given two values  $m$  and  $n$  of type  $\mathbb{N}$ , it is possible to construct an element `refl k` of the type  $m \equiv n$  if and only if  $m$ ,  $n$ , and  $k$  are all “equal.” As shown in example `i1`, this notion of *propositional equality* is not just syntactic equality but generalizes to *definitional equality*, i.e., to equality that can be established by normalizing the values to their normal forms.

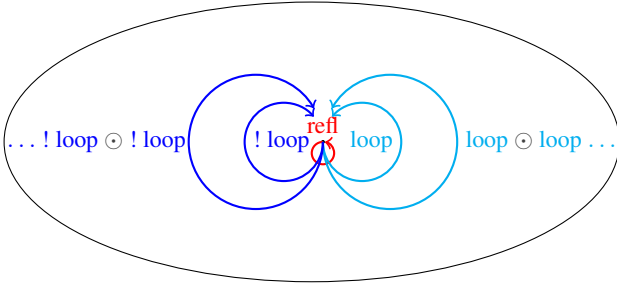
An important question from the HoTT perspective is the following: given two elements  $p$  and  $q$  of some type  $x \equiv y$  with  $x, y : A$ , what can we say about the elements of type  $p \equiv q$ . Or, in more familiar terms, given two proofs of some proposition  $P$ , are these two proofs themselves “equal.” In some situations, the only interesting property of proofs is their existence. This therefore suggests that the exact sequence of logical steps in the proof is irrelevant, and ultimately that all proofs of the same proposition are equivalent. This is however neither necessary nor desirable. A twist that dates back to a paper by Hofmann and Streicher [1996] is that proofs actually possess a structure of great combinatorial complexity. HoTT builds on this idea by interpreting types as topological spaces or weak  $\infty$ -groupoids, and interpreting identities between elements of a type  $x \equiv y$  as *paths* from the point  $x$  to the point  $y$ . If  $x$  and  $y$  are themselves paths, the elements of  $x \equiv y$  become paths between paths (2paths), or homotopies in the topological language. To be explicit,

we will often refer to types as *spaces* which consist of *points*, paths, 2paths, etc. and write  $\equiv_A$  for the type of paths in space  $A$ .

As a simple example, we are used to thinking of types as sets of values. So we typically view the type `Bool` as the figure on the left but in HoTT we should instead think about it as the figure on the right where there is a (trivial) path `refl b` from each point  $b$  to itself:



In this particular case, it makes no difference, but in general we may have a much more complicated path structure. The classical such example is the topological *circle* which is a space consisting of a point `base` and a *non trivial* path `loop` from `base` to itself. As stated, this does not amount to much. However, because paths carry additional structure (explained below), that space has the following non-trivial structure:



The additional structure of types is formalized as follows. Let  $x$ ,  $y$ , and  $z$  be elements of some space  $A$ :

- For every path  $p : x \equiv_A y$ , there exists a path  $! p : y \equiv_A x$ ;
- For every pair of paths  $p : x \equiv_A y$  and  $q : y \equiv_A z$ , there exists a path  $p \odot q : x \equiv_A z$ ;
- Subject to the following conditions:
  - $p \odot \text{refl } y \equiv_{(x \equiv_A y)} p$ ;
  - $p \equiv_{(x \equiv_A y)} \text{refl } x \odot p$
  - $! p \odot p \equiv_{(y \equiv_A y)} \text{refl } y$
  - $p \odot ! p \equiv_{(x \equiv_A x)} \text{refl } x$
  - $! (! p) \equiv_{(x \equiv_A y)} p$
  - $p \odot (q \odot r) \equiv_{(x \equiv_A z)} (p \odot q) \odot r$
- This structure repeats one level up and so on ad infinitum.

A space that satisfies the properties above for  $n$  levels is called an  $n$ -groupoid.

## 2.2 Univalence

In addition to paths between the points within a space like `Bool`, it is also possible to consider paths between the space `Bool` and itself by considering `Bool` as a “point” in the universe `Set` of types. As usual, we have the trivial path which is given by the constructor `refl`:

```
p : Bool ≡ Bool
p = refl Bool
```

There are, however, other (non trivial) paths between `Bool` and itself and they are justified by the *univalence axiom*. As an example, the remainder of this section justifies that there is a path between `Bool` and itself corresponding to the boolean negation function.

We begin by formalizing the equivalence of functions  $\sim$ . Intuitively, two functions are equivalent if their results are propositionally equal for all inputs. A function  $f : A \rightarrow B$  is called an *equivalence* if there are functions  $g$  and  $h$  with whom its composition is the identity. Finally two spaces  $A$  and  $B$  are equivalent,  $A \simeq B$ , if there is an equivalence between them:

```

_ ~ _ : ∀ {ℓ ℓ'} → {A : Set ℓ} {P : A → Set ℓ'} →
  (f g : (x : A) → P x) → Set (ℓ ⊔ ℓ')
_ ~ _ {ℓ} {ℓ'} {A} {P} f g = (x : A) → f x ≡ g x

record isequiv {ℓ ℓ'} {A : Set ℓ} {B : Set ℓ'} (f : A → B)
  : Set (ℓ ⊔ ℓ') where
  constructor mkisequiv
  field
    g : B → A
    α : (f ∘ g) ~ id
    h : B → A
    β : (h ∘ f) ~ id

_ ≡ _ : ∀ {ℓ ℓ'} (A : Set ℓ) (B : Set ℓ') → Set (ℓ ⊔ ℓ')
A ≡ B = Σ (A → B) isequiv

```

We can now formally state the univalence axiom:

```
postulate univalence : {A B : Set} → (A ≡ B) ≃ (A ~ B)
```

For our purposes, the important consequence of the univalence axiom is that equivalence of spaces implies the existence of a path between the spaces. In other words, in order to assert the existence of a path `notpath` between `Bool` and itself, we need to prove that the boolean negation function is an equivalence between the space `Bool` and itself. This is easy to show:

```

not2~id : (not ∘ not) ~ id
not2~id false = refl false
not2~id true  = refl true

notequiv : Bool ≃ Bool
notequiv = (not ,
  record {
    g = not ; α = not2~id ; h = not ; β = not2~id })

notpath h : Bool ≡ Bool
notpath h with univalence
... | (_, eq) = isequiv.g eq notequiv

```

Although the code asserting the existence of a non trivial path between `Bool` and itself “compiles,” it is no longer executable as it relies on an Agda postulate. In the next section, we analyze this situation from the perspective of reversible programming languages based on type isomorphisms [Bowman et al. 2011; James and Sabry 2012a,b].

## 3. Computing with Type Isomorphisms

The main syntactic vehicle for the technical developments in this paper is a simple language called  $\Pi$  whose only computations are isomorphisms between finite types [2012a]. After reviewing the motivation for this language and its relevance to HoTT, we present its syntax and semantics.

### 3.1 Reversibility

The relevance of reversibility to HoTT is based on the following analysis. The conventional HoTT approach starts with two, a priori, different notions: functions and paths, and then postulates an equivalence between a particular class of functions and paths. As illustrated above, some functions like `not` correspond to paths. Most

|              |  |              |   |   |  |
|--------------|--|--------------|---|---|--|
| $identl_+ :$ | $0 + \tau \leftrightarrow \tau$  | $: identr_+$ |   |   |  |
| $swap_+ :$   | $\tau_1 + \tau_2 \leftrightarrow \tau_2 + \tau_1$                                  | $: swap_+$   |   | $\vdash c_1 : \tau_1 \leftrightarrow \tau_2 \quad \vdash c_2 : \tau_2 \leftrightarrow \tau_3$ |  |
| $assocl_+ :$ | $\tau_1 + (\tau_2 + \tau_3) \leftrightarrow (\tau_1 + \tau_2) + \tau_3$            | $: assocr_+$ | $\vdash id : \tau \leftrightarrow \tau$ | $\vdash c_1 \circ c_2 : \tau_1 \leftrightarrow \tau_3$  |  |
| $identl_* :$ | $1 * \tau \leftrightarrow \tau$  | $: identr_*$ |   | $\vdash c_1 : \tau_1 \leftrightarrow \tau_2 \quad \vdash c_2 : \tau_3 \leftrightarrow \tau_4$ |  |
| $swap_* :$   | $\tau_1 * \tau_2 \leftrightarrow \tau_2 * \tau_1$                                  | $: swap_*$   |   | $\vdash c_1 \oplus c_2 : \tau_1 + \tau_3 \leftrightarrow \tau_2 + \tau_4$                     |  |
| $assocl_* :$ | $\tau_1 * (\tau_2 * \tau_3) \leftrightarrow (\tau_1 * \tau_2) * \tau_3$            | $: assocr_*$ |   | $\vdash c_1 : \tau_1 \leftrightarrow \tau_2 \quad \vdash c_2 : \tau_3 \leftrightarrow \tau_4$ |  |
| $dist_0 :$   | $0 * \tau \leftrightarrow 0$   | $: factor_0$ |   | $\vdash c_1 \otimes c_2 : \tau_1 * \tau_3 \leftrightarrow \tau_2 * \tau_4$                    |  |
| $dist :$     | $(\tau_1 + \tau_2) * \tau_3 \leftrightarrow (\tau_1 * \tau_3) + (\tau_2 * \tau_3)$ | $: factor$   |   |   |  |

Figure 1.  $\Pi$ -combinators [James and Sabry 2012a]

functions, however, are evidently unrelated to paths. In particular, any function of type  $A \rightarrow B$  that does not have an inverse of type  $B \rightarrow A$  cannot have any direct correspondence to paths as all paths have inverses. An interesting question then poses itself: since reversible computational models — in which all functions have inverses — are known to be universal computational models, what would happen if we considered a variant of HoTT based exclusively on reversible functions? Presumably in such a variant, all functions — being reversible — would potentially correspond to paths and the distinction between the two notions would vanish making the univalence postulate unnecessary. This is the precise technical idea we investigate in detail in the remainder of the paper.

### 3.2 Syntax and Semantics of $\Pi$

The  $\Pi$  family of languages is based on type isomorphisms. In the variant we consider, the set of types  $\tau$  includes the empty type 0, the unit type 1, and conventional sum and product types. The values classified by these types are the conventional ones:  $()$  of type 1,  $inl\ v$  and  $inr\ v$  for injections into sum types, and  $(v_1, v_2)$  for product types:

|                    |   |
|--------------------|---|
| (Types)            | $\tau ::= 0 \mid 1 \mid \tau_1 + \tau_2 \mid \tau_1 * \tau_2$ |
| (Values)           | $v ::= () \mid inl\ v \mid inr\ v \mid (v_1, v_2)$            |
| (Combinator types) | $\tau_1 \leftrightarrow \tau_2$                               |
| (Combinators)      | $c ::= [see\ Fig.\ 1]$  |

The interesting syntactic category of  $\Pi$  is that of *combinators* which are witnesses for type isomorphisms  $\tau_1 \leftrightarrow \tau_2$ . They consist of base combinators (on the left side of Fig. 1) and compositions (on the right side of the same figure). Each line of the figure on the left introduces a pair of dual constants<sup>1</sup> that witness the type isomorphism in the middle. This set of isomorphisms is known to be complete [Fiore 2004; Fiore et al. 2006] and the language is universal for hardware combinational circuits [James and Sabry 2012a].<sup>2</sup>

From the perspective of category theory, the language  $\Pi$  models what is called a *symmetric bimonoidal category* or a *commutative rig category*. These are categories with two binary operations and satisfying the axioms of a commutative rig (i.e., a commutative ring without negative elements also known as a commutative semiring) up to coherent isomorphisms. And indeed the types of the  $\Pi$ -combinators are precisely the commutative semiring axioms. A formal way of saying this is that  $\Pi$  is the *categorification* [Baez and Dolan 1998] of the natural numbers. A simple (slightly degenerate) example of such categories is the category of finite sets and permutations in which we interpret every  $\Pi$ -type as a finite set, interpret the values as elements in these finite sets, and interpret the combinators as permutations.

<sup>1</sup> where  $swap_+$  and  $swap_*$  are self-dual.

<sup>2</sup> If recursive types and a trace operator are added, the language becomes Turing complete [Bowman et al. 2011; James and Sabry 2012a]. We will not be concerned with this extension in the main body of this paper but it will be briefly discussed in the conclusion.

|   |  |
|---|--|
| $identl_+ \triangleright (inr\ v)$            | $= v$  |
| $identr_+ \triangleright v$                   | $= inr\ v$   |
| $swap_+ \triangleright (inl\ v)$              | $= inr\ v$   |
| $swap_+ \triangleright (inr\ v)$              | $= inl\ v$   |
| $assocl_+ \triangleright (inl\ v)$            | $= inl\ (inl\ v)$                                    |
| $assocl_+ \triangleright (inr\ (inl\ v))$     | $= inl\ (inr\ v)$                                    |
| $assocl_+ \triangleright (inr\ (inr\ v))$     | $= inr\ v$   |
| $assocr_+ \triangleright (inl\ (inl\ v))$     | $= inl\ v$   |
| $assocr_+ \triangleright (inl\ (inr\ v))$     | $= inr\ (inl\ v)$                                    |
| $assocr_+ \triangleright (inr\ v)$            | $= inr\ (inr\ v)$                                    |
| $identl_* \triangleright ((), v)$             | $= v$  |
| $identr_* \triangleright v$                   | $= ((), v)$  |
| $swap_* \triangleright (v_1, v_2)$            | $= (v_2, v_1)$                                       |
| $assocl_* \triangleright (v_1, (v_2, v_3))$   | $= ((v_1, v_2), v_3)$                                |
| $assocr_* \triangleright ((v_1, v_2), v_3)$   | $= (v_1, (v_2, v_3))$                                |
| $dist \triangleright (inl\ v_1, v_3)$         | $= inl\ (v_1, v_3)$                                  |
| $dist \triangleright (inr\ v_2, v_3)$         | $= inr\ (v_2, v_3)$                                  |
| $factor \triangleright (inl\ (v_1, v_3))$     | $= (inl\ v_1, v_3)$                                  |
| $factor \triangleright (inr\ (v_2, v_3))$     | $= (inr\ v_2, v_3)$                                  |
| $id \triangleright v$                         | $= v$  |
| $(c_1 \circ c_2) \triangleright v$            | $= c_2 \triangleright (c_1 \triangleright v)$        |
| $(c_1 \oplus c_2) \triangleright (inl\ v)$    | $= inl\ (c_1 \triangleright v)$                      |
| $(c_1 \oplus c_2) \triangleright (inr\ v)$    | $= inr\ (c_2 \triangleright v)$                      |
| $(c_1 \otimes c_2) \triangleright (v_1, v_2)$ | $= (c_1 \triangleright v_1, c_2 \triangleright v_2)$ |

Figure 2. Operational Semantics

In the remainder of this paper, we will be more interested in a model based on groupoids. But first, we give an operational semantics for  $\Pi$ . Operationally, the semantics consists of a pair of mutually recursive evaluators that take a combinator and a value and propagate the value in the “forward”  $\triangleright$  direction or in the “backwards”  $\triangleleft$  direction. We show the complete forward evaluator in Fig. 2; the backwards evaluator differs in trivial ways.

### 3.3 Groupoid Model

Instead of modeling the types of  $\Pi$  using sets and the combinators using permutations we use a semantics that identifies  $\Pi$ -combinators with *paths*. More precisely, we model the universe of  $\Pi$ -types as a space  $\mathcal{U}$  whose points are the individual  $\Pi$ -types (which are themselves spaces  $t$  containing points). We then postulate that there is path between the spaces  $t_1$  and  $t_2$  if there is a  $\Pi$ -combinator  $c : t_1 \leftrightarrow t_2$ . Our postulate is similar in spirit to the univalence axiom but, unlike the latter, it has a simple computational interpretation. A path directly corresponds to a type isomorphism with a clear operational semantics as presented in the previous section. As we will explain in more detail below, this approach replaces the datatype  $\equiv$  modeling propositional equality with the datatype  $\leftrightarrow$  modeling type isomorphisms. With this switch, the  $\Pi$ -combinators of Fig. 1 become *syntax* for the paths in the space  $\mathcal{U}$ . Put differently, instead of having exactly one constructor `refl` for

paths with all other paths discovered by proofs (see Secs. 2.5–2.12 of the HoTT book [2013]) or postulated by the univalence axiom, we have an *inductive definition* that completely specifies all the paths in the space  $U$ .

We begin with the datatype definition of the universe  $U$  of finite types which are constructed using **ZERO**, **ONE**, **PLUS**, and **TIMES**. Each of these finite types will correspond to a set of points with paths connecting some of the points. The underlying set of points is computed by  $\llbracket \_ \rrbracket$  as follows: **ZERO** maps to the empty set  $\perp$ , **ONE** maps to the singleton set  $\top$ , **PLUS** maps to the disjoint union  $\uplus$ , and **TIMES** maps to the cartesian product  $\times$ .

```
data U : Set where
  ZERO    : U
  ONE     : U
  PLUS    : U → U → U
  TIMES   : U → U → U

[ ] : U → Set
[ ZERO ]      =  $\perp$ 
[ ONE ]       =  $\top$ 
[ PLUS t1 t2 ] =  $\llbracket t_1 \rrbracket \uplus \llbracket t_2 \rrbracket$ 
[ TIMES t1 t2 ] =  $\llbracket t_1 \rrbracket \times \llbracket t_2 \rrbracket$ 
```

We want to identify paths with  $\Pi$ -combinators. There is a small complication however: paths are ultimately defined between points but the  $\Pi$ -combinators of Fig. 1 are defined between spaces. We can bridge this gap using a popular HoTT concept, that of *pointed spaces*. A pointed space  $\bullet[t, v]$  is a space  $t : U$  with a distinguished value  $v : \llbracket t \rrbracket$ :

```
record U• : Set where
  constructor •[_,_]
  field
    [ ] : U
    • : [ ]
```

Given pointed spaces, it is possible to re-express the  $\Pi$ -combinators as shown in Fig. 3. The new presentation of combinators directly relates points to points and in fact subsumes the operational semantics of Fig. 2. For example **swap1<sub>+</sub>** is still an operation from the space **PLUS**  $t_1$   $t_2$  to itself but in addition it specifies that, within that spaces, it maps the point **inj<sub>1</sub>**  $v_1$  to the point **inj<sub>2</sub>**  $v_1$ .

We note that the refinement of the  $\Pi$ -combinators to combinators on pointed spaces is given by an inductive family for *heterogeneous* equality that generalizes the usual inductive family for propositional equality. Put differently, what used to be the only constructor for paths **refl** is now just one of the many constructors (named **id $\leftrightarrow$**  in the figure). Among the new constructors and we have  $\odot$  that constructs path compositions. By construction, every combinator has an inverse calculated as shown in Fig. 4. These constructions are sufficient to guarantee that the universe  $U$  is a groupoid. Additionally, we have paths that connect values in different but isomorphic spaces like  $\bullet[\text{TIMES } t_1 \ t_2, (v_1, v_2)]$  and  $\bullet[\text{TIMES } t_2 \ t_1, (v_2, v_1)]$ .

The example **notpath** which earlier required the use of the univalence axiom can now be directly defined using **swap1<sub>+</sub>** and **swap2<sub>+</sub>**. To see this, note that **Bool** can be viewed as a shorthand for **PLUS ONE ONE** with **true** and **false** as shorthands for **inj<sub>1</sub>** **tt** and **inj<sub>2</sub>** **tt**. With this in mind, the path corresponding to boolean negation consists of two “fibers”, one for each boolean value as shown below:

```
data Path (t1 t2 : U•) : Set where
  path : (c : t1  $\leftrightarrow$  t2) → Path t1 t2

Bool : U
Bool = PLUS ONE ONE
```

```
TRUE FALSE : [ Bool ]
TRUE      = inj1 tt
FALSE     = inj2 tt

Bool•F : U•
Bool•F = •[ Bool , FALSE ]

Bool•T : U•
Bool•T = •[ Bool , TRUE ]

NOT•T : Bool•T  $\leftrightarrow$  Bool•F
NOT•T = swap1+

NOT•F : Bool•F  $\leftrightarrow$  Bool•T
NOT•F = swap2+

notpath h•T : Path Bool•T Bool•F
notpath h•T = path NOT•T

notpath h•F : Path Bool•F Bool•T
notpath h•F = path NOT•F
```

In other words, a path between spaces is really a collection of paths connecting the various points. Note however that we never need to “collect” these paths using a universal quantification.

## 4. Computing with Paths

The previous section presented a language  $\Pi$  whose computations are all the possible isomorphisms between finite types. (Recall that the commutative semiring structure is sound and complete for isomorphisms between finite types [Fiore 2004; Fiore et al. 2006].) Instead of working with arbitrary functions, then restricting them to equivalences, and then postulating that these equivalences give rise to paths, the approach based on  $\Pi$  starts directly with the full set of possible isomorphisms and encodes it as an inductive datatype of paths between pointed spaces. The resulting structure is evidently a 1-groupoid as the isomorphisms are closed under inverses and composition. We now investigate the higher groupoid structure.

### 4.1 Examples

We start with a few examples where we define a collection of paths  $p_1$  to  $p_5$  all from the pointed space  $\bullet[\text{Bool} , \text{TRUE}]$  to the pointed space  $\bullet[\text{Bool} , \text{FALSE}]$ :

```
T  $\leftrightarrow$  F : Set
T  $\leftrightarrow$  F = Path Bool•T Bool•F

p1 p2 p3 p4 p5 : T  $\leftrightarrow$  F
p1 = path NOT•T
p2 = path (id $\leftrightarrow$   $\odot$  NOT•T)
p3 = path (NOT•T  $\odot$  NOT•F  $\odot$  NOT•T)
p4 = path (NOT•T  $\odot$  id $\leftrightarrow$ )
p5 = path (unit $\star$   $\odot$  swap $\star$   $\odot$  (NOT•T  $\otimes$  id $\leftrightarrow$ )  $\odot$ 
           swap $\star$   $\odot$  unite $\star$ )
```

All the paths start at **TRUE** and end at **FALSE** but follow different intermediate steps along the way. Informally  $p_1$  is the most “efficient” canonical way of connecting **TRUE** to **FALSE** via the appropriate fiber of the boolean negation. Path  $p_2$  starts with the trivial path from **TRUE** to itself and then uses the boolean negation. The first step is clearly superfluous and hence we expect, via the groupoid laws, to have a 2path connecting  $p_2$  to  $p_1$ . Path  $p_3$  does not syntactically refer to a trivial path but instead uses what is effectively a trivial path that follows a negation path and then its inverse. We also expect to have a 2path between this path and the

data  $\_ \leftrightarrow \_ : \mathbf{U} \bullet \rightarrow \mathbf{U} \bullet \rightarrow \text{Set where}$

```

unite+ :  $\forall \{t\ v\} \rightarrow \bullet[\text{PLUS ZERO } t, \text{inj}_2\ v] \leftrightarrow \bullet[t, v]$ 
uniti+ :  $\forall \{t\ v\} \rightarrow \bullet[t, v] \leftrightarrow \bullet[\text{PLUS ZERO } t, \text{inj}_2\ v]$ 
swap1+ :  $\forall \{t_1\ t_2\ v_1\} \rightarrow$ 
   $\bullet[\text{PLUS } t_1\ t_2, \text{inj}_1\ v_1] \leftrightarrow \bullet[\text{PLUS } t_2\ t_1, \text{inj}_2\ v_1]$ 
swap2+ :  $\forall \{t_1\ t_2\ v_2\} \rightarrow$ 
   $\bullet[\text{PLUS } t_1\ t_2, \text{inj}_2\ v_2] \leftrightarrow \bullet[\text{PLUS } t_2\ t_1, \text{inj}_1\ v_2]$ 
assocl1+ :  $\forall \{t_1\ t_2\ t_3\ v_1\} \rightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_1\ v_1] \leftrightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_1 (\text{inj}_1\ v_1)]$ 
assocl2+ :  $\forall \{t_1\ t_2\ t_3\ v_2\} \rightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_2 (\text{inj}_1\ v_2)] \leftrightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_1 (\text{inj}_2\ v_2)]$ 
assocl3+ :  $\forall \{t_1\ t_2\ t_3\ v_3\} \rightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_2 (\text{inj}_2\ v_3)] \leftrightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_2\ v_3]$ 
assocr1+ :  $\forall \{t_1\ t_2\ t_3\ v_1\} \rightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_1 (\text{inj}_1\ v_1)] \leftrightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_1\ v_1]$ 
assocr2+ :  $\forall \{t_1\ t_2\ t_3\ v_2\} \rightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_1 (\text{inj}_2\ v_2)] \leftrightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_2 (\text{inj}_1\ v_2)]$ 
assocr3+ :  $\forall \{t_1\ t_2\ t_3\ v_3\} \rightarrow$ 
   $\bullet[\text{PLUS } (\text{PLUS } t_1\ t_2)\ t_3, \text{inj}_2\ v_3] \leftrightarrow$ 
   $\bullet[\text{PLUS } t_1 (\text{PLUS } t_2\ t_3), \text{inj}_2 (\text{inj}_2\ v_3)]$ 
unite* :  $\forall \{t\ v\} \rightarrow \bullet[\text{TIMES ONE } t, (\text{tt}, v)] \leftrightarrow \bullet[t, v]$ 
uniti* :  $\forall \{t\ v\} \rightarrow \bullet[t, v] \leftrightarrow \bullet[\text{TIMES ONE } t, (\text{tt}, v)]$ 
swap* :  $\forall \{t_1\ t_2\ v_1\ v_2\} \rightarrow$ 
   $\bullet[\text{TIMES } t_1\ t_2, (v_1, v_2)] \leftrightarrow \bullet[\text{TIMES } t_2\ t_1, (v_2, v_1)]$ 
assoc* :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow$ 
   $\bullet[\text{TIMES } t_1 (\text{TIMES } t_2\ t_3), (v_1, (v_2, v_3))] \leftrightarrow$ 
   $\bullet[\text{TIMES } (\text{TIMES } t_1\ t_2)\ t_3, ((v_1, v_2), v_3)]$ 

```

```

assocr* :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow$ 
   $\bullet[\text{TIMES } (\text{TIMES } t_1\ t_2)\ t_3, ((v_1, v_2), v_3)] \leftrightarrow$ 
   $\bullet[\text{TIMES } t_1 (\text{TIMES } t_2\ t_3), (v_1, (v_2, v_3))]$ 
distz :  $\forall \{t\ v\ \text{absurd}\} \rightarrow$ 
   $\bullet[\text{TIMES ZERO } t, (\text{absurd}, v)] \leftrightarrow \bullet[\text{ZERO}, \text{absurd}]$ 
factorz :  $\forall \{t\ v\ \text{absurd}\} \rightarrow$ 
   $\bullet[\text{ZERO}, \text{absurd}] \leftrightarrow \bullet[\text{TIMES ZERO } t, (\text{absurd}, v)]$ 
dist1 :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_3\} \rightarrow$ 
   $\bullet[\text{TIMES } (\text{PLUS } t_1\ t_2)\ t_3, (\text{inj}_1\ v_1, v_3)] \leftrightarrow$ 
   $\bullet[\text{PLUS } (\text{TIMES } t_1\ t_3) (\text{TIMES } t_2\ t_3), \text{inj}_1 (v_1, v_3)]$ 
dist2 :  $\forall \{t_1\ t_2\ t_3\ v_2\ v_3\} \rightarrow$ 
   $\bullet[\text{TIMES } (\text{PLUS } t_1\ t_2)\ t_3, (\text{inj}_2\ v_2, v_3)] \leftrightarrow$ 
   $\bullet[\text{PLUS } (\text{TIMES } t_1\ t_3) (\text{TIMES } t_2\ t_3), \text{inj}_2 (v_2, v_3)]$ 
factor1 :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_3\} \rightarrow$ 
   $\bullet[\text{PLUS } (\text{TIMES } t_1\ t_3) (\text{TIMES } t_2\ t_3), \text{inj}_1 (v_1, v_3)] \leftrightarrow$ 
   $\bullet[\text{TIMES } (\text{PLUS } t_1\ t_2)\ t_3, (\text{inj}_1\ v_1, v_3)]$ 
factor2 :  $\forall \{t_1\ t_2\ t_3\ v_2\ v_3\} \rightarrow$ 
   $\bullet[\text{PLUS } (\text{TIMES } t_1\ t_3) (\text{TIMES } t_2\ t_3), \text{inj}_2 (v_2, v_3)] \leftrightarrow$ 
   $\bullet[\text{TIMES } (\text{PLUS } t_1\ t_2)\ t_3, (\text{inj}_2\ v_2, v_3)]$ 
id $\leftrightarrow$  :  $\forall \{t\ v\} \rightarrow \bullet[t, v] \leftrightarrow \bullet[t, v]$ 
 $\circledast$  :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow (\bullet[t_1, v_1] \leftrightarrow \bullet[t_2, v_2]) \rightarrow$ 
   $(\bullet[t_2, v_2] \leftrightarrow \bullet[t_3, v_3]) \rightarrow (\bullet[t_1, v_1] \leftrightarrow \bullet[t_3, v_3])$ 
 $\oplus_1$  :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(\bullet[t_1, v_1] \leftrightarrow \bullet[t_3, v_3]) \rightarrow (\bullet[t_2, v_2] \leftrightarrow \bullet[t_4, v_4]) \rightarrow$ 
   $(\bullet[\text{PLUS } t_1\ t_2, \text{inj}_1\ v_1] \leftrightarrow \bullet[\text{PLUS } t_3\ t_4, \text{inj}_1\ v_3])$ 
 $\oplus_2$  :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(\bullet[t_1, v_1] \leftrightarrow \bullet[t_3, v_3]) \rightarrow (\bullet[t_2, v_2] \leftrightarrow \bullet[t_4, v_4]) \rightarrow$ 
   $(\bullet[\text{PLUS } t_1\ t_2, \text{inj}_2\ v_2] \leftrightarrow \bullet[\text{PLUS } t_3\ t_4, \text{inj}_2\ v_4])$ 
 $\otimes$  :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(\bullet[t_1, v_1] \leftrightarrow \bullet[t_3, v_3]) \rightarrow (\bullet[t_2, v_2] \leftrightarrow \bullet[t_4, v_4]) \rightarrow$ 
   $(\bullet[\text{TIMES } t_1\ t_2, (v_1, v_2)] \leftrightarrow \bullet[\text{TIMES } t_3\ t_4, (v_3, v_4)])$ 

```

**Figure 3.** Pointed version of  $\Pi$ -combinators or inductive definition of paths

|   |                      |                               |                               |
|---|----------------------|-------------------------------|-------------------------------|
| $! : \{t_1\ t_2 : \mathbf{U} \bullet\} \rightarrow (t_1 \leftrightarrow t_2) \rightarrow (t_2 \leftrightarrow t_1)$ |                      |                               |                               |
| $! \text{unite}_+$  | $= \text{uniti}_+$   | $! \text{assocr}_*$           | $= \text{assocr}_*$           |
| $! \text{uniti}_+$  | $= \text{unite}_+$   | $! \text{assocr}_*$           | $= \text{assocr}_*$           |
| $! \text{swap1}_+$  | $= \text{swap2}_+$   | $! \text{distz}$              | $= \text{factorz}$            |
| $! \text{swap2}_+$  | $= \text{swap1}_+$   | $! \text{factorz}$            | $= \text{distz}$              |
| $! \text{assocl1}_+$  | $= \text{assocr1}_+$ | $! \text{dist1}$              | $= \text{factor1}$            |
| $! \text{assocl2}_+$  | $= \text{assocr2}_+$ | $! \text{dist2}$              | $= \text{factor2}$            |
| $! \text{assocl3}_+$  | $= \text{assocr3}_+$ | $! \text{factor1}$            | $= \text{dist1}$              |
| $! \text{assocr1}_+$  | $= \text{assocl1}_+$ | $! \text{factor2}$            | $= \text{dist2}$              |
| $! \text{assocr2}_+$  | $= \text{assocl2}_+$ | $! \text{id} \leftrightarrow$ | $= \text{id} \leftrightarrow$ |
| $! \text{assocr3}_+$  | $= \text{assocl3}_+$ | $! (c_1 \circledast c_2)$     | $= ! c_2 \circledast ! c_1$   |
| $! \text{unite}_*$  | $= \text{uniti}_*$   | $! (c_1 \oplus_1 c_2)$        | $= ! c_1 \oplus_1 ! c_2$      |
| $! \text{uniti}_*$  | $= \text{unite}_*$   | $! (c_1 \oplus_2 c_2)$        | $= ! c_1 \oplus_2 ! c_2$      |
| $! \text{swap}_*$   | $= \text{swap}_*$    | $! (c_1 \otimes c_2)$         | $= ! c_1 \otimes ! c_2$       |

**Figure 4.** Pointed Combinators (or paths) inverses

other ones. Path  $p_4$  is also evidently equivalent to the others as it composes the negation path with the trivial path.

The situation with path  $p_5$  is more subtle. Viewed extensionally, path  $p_5$  is obviously equivalent to the other paths as it has the same input-output behavior connecting **TRUE** to **FALSE**. In the conventional approach to programming language semantics, this extensional equivalence would then be used to justify the existence of a 2path. In our setting, we do *not* want to reason using extensional methods. Instead, we would like to think of 2paths are resulting

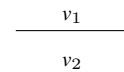
from homotopies (i.e., “smooth deformations”) of paths into each other.

## 4.2 Graphical Language

The question of “smooth deformations” of paths can be addressed in many ways. A particularly appealing way is our setting is to use the graphical languages (or wiring diagrams) associated with monoidal categories and the associated *coherence theorems* that relate them to various special cases of homotopies called isotopies. (See Selinger’s paper [2011] for an excellent survey and the papers by Joyal and Street [1988; 1991] for the original development.)

The conventional presentation of wiring diagrams is for unpointed spaces. We adapt it for pointed spaces. First we show how to represent each possible pointed space as a collection of “wires” and then we show how each combinator “shuffles” or “transforms” the wires:

- It is not possible to produce a pointed space  $\bullet[\text{ZERO}, v]$  for any  $v$ .
- The pointed space  $\bullet[\text{ONE}, \text{tt}]$  is invisible in the graphical notation.
- The pointed space  $\bullet[\text{TIMES } t_1\ t_2, (v_1, v_2)]$  is represented using two parallel wires labeled  $v_1$  and  $v_2$ :





Note that if one of the types is **ONE**, the corresponding wire disappears. If both the wires are **ONE**, they both disappear.

- The pointed space  $\bullet[\text{PLUS } t_1 \ t_2, \text{inj}_1 \ v_1]$  is represented by a wire labeled with  $\text{inj}_1 \ v_1$ . The pointed space  $\bullet[\text{PLUS } t_1 \ t_2, \text{inj}_2 \ v_2]$  is similarly represented by a wire labeled with  $\text{inj}_2 \ v_2$ .



- Knowing how points are represented, we now show how various combinators act on the wires. The combinator  $\text{id} \leftrightarrow$  is invisible. The combinator  $\odot$  connects the outgoing wires of one diagram to the input wires of the other. The associativity of  $\odot$  is implicit in the graphical notation.

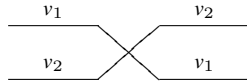
- The combinators  $\text{unite}_+$  and  $\text{uniti}_+$  are represented as follows:



- All other combinators that just re-label a value are similarly represented as one box with one incoming wire labeled by the input value and one outgoing wires labeled by the resulting value.
- The combinators that operate on **TIMES** types are a bit more involved as shown below. First, although the unit value **tt** is invisible in the graphical notation, the combinators  $\text{unite}_*$  and  $\text{uniti}_*$  are still represented as boxes as shown below:

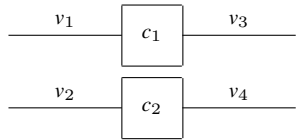


The combinator  $\text{swap}_*$  is represented by crisscrossing wires:

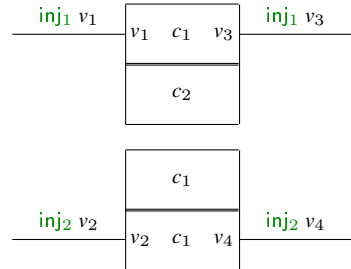


As discussed below, it is possible to consider a 3d variation which makes explicit which of the wires is on top and which is on bottom. The combinators  $\text{assocl}_*$  and  $\text{assocr}_*$  are invisible in the graphical notation as associativity of parallel wires is implicit. In other words, three parallel wires could be seen as representing  $((v_1, v_2), v_3)$  or  $(v_1, (v_2, v_3))$ .

- The composite combinator  $c_1 \otimes c_2$  is the parallel composition shown below:



- The combinators  $c_1 \oplus_1 c_2$  and  $c_1 \oplus_2 c_2$  are represented as follows:



- Finally, when a box  $c$  is sequentially composed with its mirror image  $!c$  (in either order), both boxes disappear.

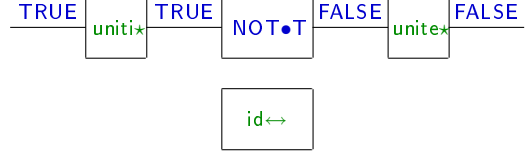
Let us draw the five paths  $p_1$  to  $p_5$  introduced in the previous section. Since  $\text{id} \leftrightarrow$  is invisible, the three paths  $p_1$ ,  $p_2$ , and  $p_4$ , are all represented as follows:



Path  $p_3$  would be represented as:



but then we notice that any two of the adjacent boxes are mirror images and erase them to produce the same wiring diagram as the previous three paths. For  $p_5$ , we have the following representation:



where the occurrences of  $\text{swap}_*$  have disappeared since one of the wires is invisible. The occurrences of  $\text{id} \leftrightarrow$  that acts on the invisible wire does *not*, however, disappear.

The diagrammatic notation is justified by the following *coherence theorems*.

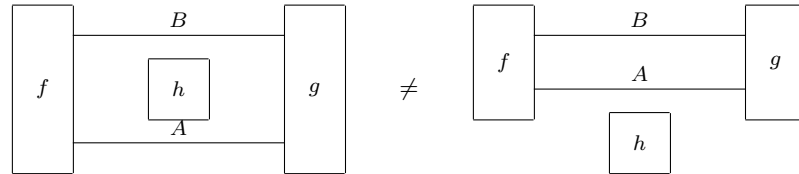
**Theorem 1** (Joyal and Street [1991]). *A well-formed equation between morphisms in the language of symmetric monoidal categories follows from the axioms of symmetric monoidal categories if and only if it holds, up to isomorphisms of diagrams, in the graphical language.*

Two diagrams are considered isomorphic, if their wires and boxes are in bijective correspondence that preserves the connections between boxes and wires.

### 4.3 Isotopies

**Theorem 2.** *A well-formed equation between morphism terms in the language of monoidal categories follows from the axioms of monoidal categories if and only if it holds, up to planar isotopy, in the graphical language.*

Translating to our language and notation, the theorem says the following. We are given two wiring diagrams representing two paths and we are asking whether the paths should be treated as equivalent. The answer is yes if it possible to transform one diagram to the other by moving wires and boxes around without crossing, cutting, or gluing any wires and without detaching them from the plane. As an example, consider the paths  $p_1$  to  $p_5$  introduced in the previous section. The three paths  $p_1$ ,  $p_2$ , and  $p_4$  have identical diagrams (shown on the left below) and hence should be treated as equivalent. Path  $p_3$  (shown on the right) is also equivalent to them as one of the level shifts can be flattened:

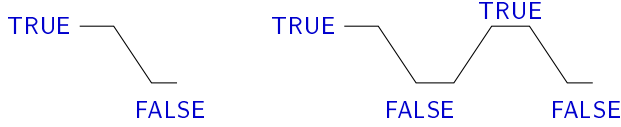


$f : A \text{ TIMES } B \rightarrow A \text{ TIMES } B$   
 $f = \text{id}$

$h : \text{ONE} \rightarrow \text{ONE}$   
 $h = \text{id}$

```
g : A TIMES B -> A TIMES B
g = id
```

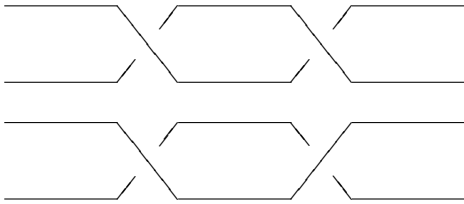
```
left diagram = f ; ((uniti* ; (h x id_A) ; unite* x id_B) ; g)
right diagram = f ; ((uniti* ; swap* ; (id_A x h) ; swap* ; unite*) x id_B) ; g
```



Path  $p_5$  is more subtle as it includes two occurrences of  $\text{swap}^*$ . Consider the following diagram for  $\text{swap}^*$  where we added a third dimension making explicit which path is crossing over which during the swap operation:



It follows that a sequence of two swaps might represent one of the following two diagrams:



In 3 dimensions, the first diagram creates a “knot” but the second reduces to trivial identity paths. In 2 dimensions, the distinction between the two diagrams vanishes and they become equivalent.

The original presentation of  $\Pi$  assumes the simple planar situation and we will continue our development with that assumption, leaving the possible investigation of other notions of homotopies to future work. The relevant coherence theorem in our situation is that two diagrams are equivalent if and

check axioms:

```
category:
id o f = f and f o id = f => same diagram
assoc seq comp => same diagram
```

```
monoidal:
tensors assoc = same diagram
0 + A = A and A + 0 = A => same diagram
1 * A = A and A * 1 = A => different diagrams ??? ==> units must be invisible!
triangle and pentagon => same diagram
```

```
symmetric: swap o swap isomorphic to id
```

```
bimonoidal: distrib/factor: produces same diagram
coherence conditions: A(B+C) -> AB+AC -> AC+AB
                     A(C+B)
```

```
dagger: !(c) is identical to c
all c o ! c and ! c o c are isom to id
```

so any two paths that produce the same diagram should have a 2path between them

need one example of two paths connecting the same values that do not produce the same diagram; does the example on p.11 of selinger's paper work?  $\odot c_0$

it's not too bad to add 2path between any two paths that produce the same diagram but what about the coherence conditions; do we have them or are these at the next level?

#### 4.4 $\Pi$ Lifted and with Groupoid Axioms

To summarize, we will consider two paths to be equivalent, i.e., to be related by a 2path, if and only if the paths are related by the groupoid axioms. So there will be 2paths between  $p_1$ ,  $p_2$ ,  $p_3$ , and  $p_4$ , but not  $p_5$ . More generally, we lift the entire  $\Pi$  language from representing 1paths between points to representing 2paths between 1paths. The only difference between the two levels is that points had no structure and hence there were no non-trivial paths between the points themselves. However for 1paths are built using  $\text{id} \leftrightarrow$ , inverses  $!$ , and compositions  $\odot$  that are subject to the groupoid laws. What is pleasant is that 2paths have a similar structure, and hence the entire scheme can be repeated over and over lifting  $\Pi$  to higher and higher levels to capture the concept of weak  $\infty$ -groupoids.

We now present the detailed construction of the next level of  $\Pi$ .

```
data 1U : Set where
  1ZERO   : 1U
  1ONE    : 1U
  1PLUS   : 1U -> 1U -> 1U
  1TIMES  : 1U -> 1U -> 1U
  PATH    : U -> U -> 1U
```

```
1[ ] : 1U -> Set
1[ 1ZERO ] = 1
1[ 1ONE ] = 1
1[ 1PLUS t1 t2 ] = 1[ t1 ] + 1[ t2 ]
1[ 1TIMES t1 t2 ] = 1[ t1 ] * 1[ t2 ]
1[ PATH t1 t2 ] = Path t1 t2
```

The new universe  $1U$  is a universe whose spaces are path spaces. The space  $1ZERO$  is the empty set of paths. The space  $1ONE$  is the space of paths containing one path that is the identity for path products. Sums and products of paths are representing using disjoint union and cartesian products. In addition, all paths from Fig. 3 are reified as values in  $1U$ .

As before, we define pointed spaces (now of paths instead of points) and define introduce  $\Pi$  combinators on these pointed path spaces. In addition to the commutative semiring combinators, there are also combinators that witness the groupoid equivalences. (See Fig. 5.)

```
record 1U : Set where
  constructor 1[_,_]
  field
    1[ ] : 1U
    1[ ] : 1U
```

To verify that the universe  $U$  with  $\leftrightarrow$  as 1paths and  $\Leftrightarrow$  as 2paths is indeed a groupoid, we have developed a small library inspired by Thorsten Altenkirch's definition of groupoids (see <http://github.com/txa/OmegaCats>) and copumpkin's definition of category (see <http://github.com/copumpkin/categories>). The proof is shown below:

```
G : 1Groupoid
G = record
  { set = U
  ; _~_ = \ t1 t2 . c0 c1 ->
    1[ PATH t1 t2 , path c0 ] \ 1[ PATH t1 t2 , path c1 ]
  ; _id_ = id
  ; _comp_ = \ c0 c1 . c0 \ c1
```

data  $\_ \Leftarrow \_ : 1U \bullet \rightarrow 1U \bullet \rightarrow \text{Set where}$

- Commutative semiring combinators

```

unite+ :  $\forall \{t v\} \rightarrow 1\bullet[1PLUS\ 1ZERO\ t, inj_2\ v] \Leftrightarrow 1\bullet[t, v]$ 
uniti+ :  $\forall \{t v\} \rightarrow 1\bullet[t, v] \Leftrightarrow 1\bullet[1PLUS\ 1ZERO\ t, inj_2\ v]$ 
swap1+ :  $\forall \{t_1\ t_2\ v_1\} \rightarrow$ 
   $1\bullet[1PLUS\ t_1\ t_2, inj_1\ v_1] \Leftrightarrow 1\bullet[1PLUS\ t_2\ t_1, inj_2\ v_1]$ 
swap2+ :  $\forall \{t_1\ t_2\ v_2\} \rightarrow$ 
   $1\bullet[1PLUS\ t_1\ t_2, inj_2\ v_2] \Leftrightarrow 1\bullet[1PLUS\ t_2\ t_1, inj_1\ v_2]$ 
assoc1+ :  $\forall \{t_1\ t_2\ t_3\ v_1\} \rightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_1\ v_1] \Leftrightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_1\ (inj_1\ v_1)]$ 
assoc2+ :  $\forall \{t_1\ t_2\ t_3\ v_2\} \rightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_2\ (inj_1\ v_2)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_2\ (inj_2\ v_2)]$ 
assoc3+ :  $\forall \{t_1\ t_2\ t_3\ v_3\} \rightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_2\ (inj_2\ v_3)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_2\ v_3]$ 
assocr1+ :  $\forall \{t_1\ t_2\ t_3\ v_1\} \rightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_1\ (inj_1\ v_1)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_1\ v_1]$ 
assocr2+ :  $\forall \{t_1\ t_2\ t_3\ v_2\} \rightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_1\ (inj_2\ v_2)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_2\ (inj_1\ v_2)]$ 
assocr3+ :  $\forall \{t_1\ t_2\ t_3\ v_3\} \rightarrow$ 
   $1\bullet[1PLUS\ (1PLUS\ t_1\ t_2)\ t_3, inj_2\ v_3] \Leftrightarrow$ 
   $1\bullet[1PLUS\ t_1\ (1PLUS\ t_2\ t_3), inj_2\ (inj_2\ v_3)]$ 
unite* :  $\forall \{t v\} \rightarrow 1\bullet[1TIMES\ 1ONE\ t, (tt, v)] \Leftrightarrow 1\bullet[t, v]$ 
uniti* :  $\forall \{t v\} \rightarrow 1\bullet[t, v] \Leftrightarrow 1\bullet[1TIMES\ 1ONE\ t, (tt, v)]$ 
swap* :  $\forall \{t_1\ t_2\ v_1\ v_2\} \rightarrow$ 
   $1\bullet[1TIMES\ t_1\ t_2, (v_1, v_2)] \Leftrightarrow 1\bullet[1TIMES\ t_2\ t_1, (v_2, v_1)]$ 
assoc* :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow$ 
   $1\bullet[1TIMES\ t_1\ (1TIMES\ t_2\ t_3), (v_1, (v_2, v_3))] \Leftrightarrow$ 
   $1\bullet[1TIMES\ (1TIMES\ t_1\ t_2)\ t_3, ((v_1, v_2), v_3)]$ 
assocr* :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow$ 

```

```

   $1\bullet[1TIMES\ (1TIMES\ t_1\ t_2)\ t_3, ((v_1, v_2), v_3)] \Leftrightarrow$ 
   $1\bullet[1TIMES\ t_1\ (1TIMES\ t_2\ t_3), (v_1, (v_2, v_3))]$ 
distz :  $\forall \{t v\} \rightarrow$ 
   $1\bullet[1TIMES\ 1ZERO\ t, (absurd, v)] \Leftrightarrow 1\bullet[1ZERO, absurd]$ 
factorz :  $\forall \{t v\} \rightarrow$ 
   $1\bullet[1ZERO, absurd] \Leftrightarrow 1\bullet[1TIMES\ 1ZERO\ t, (absurd, v)]$ 
dist1 :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_3\} \rightarrow$ 
   $1\bullet[1TIMES\ (1PLUS\ t_1\ t_2)\ t_3, (inj_1\ v_1, v_3)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ (1TIMES\ t_1\ t_3)\ (1TIMES\ t_2\ t_3), inj_1\ (v_1, v_3)]$ 
dist2 :  $\forall \{t_1\ t_2\ t_3\ v_2\ v_3\} \rightarrow$ 
   $1\bullet[1TIMES\ (1PLUS\ t_1\ t_2)\ t_3, (inj_2\ v_2, v_3)] \Leftrightarrow$ 
   $1\bullet[1PLUS\ (1TIMES\ t_1\ t_3)\ (1TIMES\ t_2\ t_3), inj_2\ (v_2, v_3)]$ 
factor1 :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_3\} \rightarrow$ 
   $1\bullet[1PLUS\ (1TIMES\ t_1\ t_3)\ (1TIMES\ t_2\ t_3), inj_1\ (v_1, v_3)] \Leftrightarrow$ 
   $1\bullet[1TIMES\ (1PLUS\ t_1\ t_2)\ t_3, (inj_1\ v_1, v_3)]$ 
factor2 :  $\forall \{t_1\ t_2\ t_3\ v_2\ v_3\} \rightarrow$ 
   $1\bullet[1PLUS\ (1TIMES\ t_1\ t_3)\ (1TIMES\ t_2\ t_3), inj_2\ (v_2, v_3)] \Leftrightarrow$ 
   $1\bullet[1TIMES\ (1PLUS\ t_1\ t_2)\ t_3, (inj_2\ v_2, v_3)]$ 
id $\Leftarrow$  :  $\forall \{t v\} \rightarrow 1\bullet[t, v] \Leftrightarrow 1\bullet[t, v]$ 
_ $\otimes$ _ :  $\forall \{t_1\ t_2\ t_3\ v_1\ v_2\ v_3\} \rightarrow (1\bullet[t_1, v_1] \Leftrightarrow 1\bullet[t_2, v_2]) \rightarrow$ 
   $(1\bullet[t_2, v_2] \Leftrightarrow 1\bullet[t_3, v_3]) \rightarrow$ 
   $(1\bullet[t_1, v_1] \Leftrightarrow 1\bullet[t_3, v_3])$ 
_ $\oplus$ _ :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(1\bullet[t_1, v_1] \Leftrightarrow 1\bullet[t_3, v_3]) \rightarrow (1\bullet[t_2, v_2] \Leftrightarrow 1\bullet[t_4, v_4]) \rightarrow$ 
   $(1\bullet[1PLUS\ t_1\ t_2, inj_1\ v_1] \Leftrightarrow 1\bullet[1PLUS\ t_3\ t_4, inj_1\ v_3])$ 
_ $\oplus$ _ :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(1\bullet[t_1, v_1] \Leftrightarrow 1\bullet[t_3, v_3]) \rightarrow (1\bullet[t_2, v_2] \Leftrightarrow 1\bullet[t_4, v_4]) \rightarrow$ 
   $(1\bullet[1PLUS\ t_1\ t_2, inj_2\ v_2] \Leftrightarrow 1\bullet[1PLUS\ t_3\ t_4, inj_2\ v_4])$ 
_ $\otimes$ _ :  $\forall \{t_1\ t_2\ t_3\ t_4\ v_1\ v_2\ v_3\ v_4\} \rightarrow$ 
   $(1\bullet[t_1, v_1] \Leftrightarrow 1\bullet[t_3, v_3]) \rightarrow (1\bullet[t_2, v_2] \Leftrightarrow 1\bullet[t_4, v_4]) \rightarrow$ 
   $(1\bullet[1TIMES\ t_1\ t_2, (v_1, v_2)] \Leftrightarrow 1\bullet[1TIMES\ t_3\ t_4, (v_3, v_4)])$ 

```

- Groupoid combinators

```

xxx :  $\forall \{t_1\ t_2\} \rightarrow \{c_1\ c_2 : t_1 \leftrightarrow t_2\} \rightarrow$ 
   $1\bullet[PATH\ t_1\ t_2, path\ c_1] \Leftrightarrow 1\bullet[PATH\ t_1\ t_2, path\ c_2]$ 

```

Figure 5. Inductive definition of 2paths

```

; -1 = !
; lneutr =  $\lambda \_ \rightarrow xxx - ridl$ 
; rneutr =  $\lambda \_ \rightarrow xxx - lidl$ 
; assoc =  $\lambda \_ \_ \_ \rightarrow xxx - assocl$ 
; equiv = record { refl = id $\Leftarrow$ 
; sym =  $\lambda c \rightarrow 1!c$ 
; trans =  $\lambda c_0\ c_1 \rightarrow c_0 \odot c_1$  }
; linv =  $\lambda \{t_1\} \{t_2\} c \rightarrow xxx - linv\ c$ 
; rinu =  $\lambda \{t_1\} \{t_2\} c \rightarrow xxx - rinu\ c$ 
; o-resp  $\approx$  =  $\lambda f \leftrightarrow h\ g \leftrightarrow i \rightarrow xxx - resp \odot g \leftrightarrow i\ f \leftrightarrow h$ 
}

```

The proof refers to two simple (and omitted) functions `linv` and `rinu` with the following types:

```

linv :  $\{t_1\ t_2 : U\bullet\} \rightarrow (c : t_1 \leftrightarrow t_2) \rightarrow$ 
   $1\bullet[PATH\ t_1\ t_1, path\ (c \odot !c)] \Leftrightarrow 1\bullet[PATH\ t_1\ t_1, path\ id\Leftarrow]$ 
rinu :  $\{t_1\ t_2 : U\bullet\} \rightarrow (c : t_1 \leftrightarrow t_2) \rightarrow$ 
   $1\bullet[PATH\ t_2\ t_2, path\ (!c \odot c)] \Leftrightarrow 1\bullet[PATH\ t_2\ t_2, path\ id\Leftarrow]$ 

```

## 5. The Int Construction

In the context of monoidal categories, it is known that a notion of higher-order functions emerges from having an additional degree of *symmetry*. In particular, both the **Int** construction of Joyal, Street, and Verity [1996] and the closely related  $\mathcal{G}$  construction of linear logic [Abramsky 1996] construct higher-order *linear* func-

tions by considering a new category built on top of a given base traced monoidal category. The objects of the new category are of the form  $\boxed{\tau_1} \boxed{\tau_2}$  where  $\tau_1$  and  $\tau_2$  are objects in the base category. Intuitively, this object represents the *difference*  $\tau_1 - \tau_2$  with the component  $\tau_1$  viewed as conventional type whose elements represent values flowing, as usual, from producers to consumers, and the component  $\tau_2$  viewed as a *negative type* whose elements represent demands for values or equivalently values flowing backwards. Under this interpretation, and as we explain below, a function is nothing but an object that converts a demand for an argument into the production of a result.

We begin our formal development by extending  $\Pi$  with a new universe of types  $\mathbb{T}$  that consists of composite types  $\boxed{\tau_1} \boxed{\tau_2}$ :

(Id types)  $\mathbb{T} ::= \boxed{\tau_1} \boxed{\tau_2}$

In anticipation of future developments, we will refer to the original types  $\tau$  as 0-dimensional (0d) types and to the new types  $\mathbb{T}$  as 1-dimensional (1d) types. It turns out that, except for one case discussed below, the 1d level is a “lifted” instance of  $\Pi$  with its own notions of empty, unit, sum, and product types, and its corresponding notion of isomorphisms on these 1d types.



Our next step is to define lifted versions of the 0d types:

$$\begin{array}{lcl}
0 & \triangleq & \boxed{0} \boxed{0} \\
1 & \triangleq & \boxed{1} \boxed{0} \\
\tau_1 \tau_2 \boxplus \tau_3 \tau_4 & \triangleq & \boxed{\tau_1 + \tau_3} \boxed{\tau_2 + \tau_4} \\
\tau_1 \tau_2 \boxtimes \tau_3 \tau_4 & \triangleq & \boxed{(\tau_1 * \tau_3) + (\tau_2 * \tau_4)} \boxed{(\tau_1 * \tau_4) + (\tau_2 * \tau_3)}
\end{array}$$

Building on the idea that  $\Pi$  is a categorification of the natural numbers and following a long tradition that relates type isomorphisms and arithmetic identities [Di Cosmo 2005], one is tempted to think that the **Int** construction (as its name suggests) produces a categorification of the integers. Based on this hypothesis, the definitions above can be intuitively understood as arithmetic identities. The same arithmetic intuition explains the lifting of isomorphisms to 1d types:

$$\boxed{\tau_1} \boxed{\tau_2} \Leftrightarrow \boxed{\tau_3} \boxed{\tau_4} \triangleq (\tau_1 + \tau_4) \leftrightarrow (\tau_2 + \tau_3)$$

In other words, an isomorphism between 1d types is really an isomorphism between “re-arranged” 0d types where the negative input  $\tau_2$  is viewed as an output and the negative output  $\tau_4$  is viewed as an input. Using these ideas, it is now a fairly standard exercise to define the lifted versions of most of the combinators in Table 1.<sup>3</sup> There are however a few interesting cases whose appreciation is essential for the remainder of the paper that we discuss below.

**Easy Lifting.** Many of the 0d combinators lift easily to the 1d level. For example:

$$\begin{array}{lcl}
id & : & \mathbb{T} \Leftrightarrow \mathbb{T} \\
& : & \boxed{\tau_1} \boxed{\tau_2} \Leftrightarrow \boxed{\tau_1} \boxed{\tau_2} \\
& \triangleq & (\tau_1 + \tau_2) \leftrightarrow (\tau_2 + \tau_1) \\
id & = & swap_+ \\
identl_+ & : & 0 \boxplus \mathbb{T} \Leftrightarrow \mathbb{T} \\
& = & assocr_+ \circ (id \oplus swap_+) \circ assocl_+
\end{array}$$

**Composition using trace.**

$$\begin{array}{lcl}
(\circ) & : & (\mathbb{T}_1 \Leftrightarrow \mathbb{T}_2) \rightarrow (\mathbb{T}_2 \Leftrightarrow \mathbb{T}_3) \rightarrow (\mathbb{T}_1 \Leftrightarrow \mathbb{T}_3) \\
f \circ g & = & trace (assocl_+ \circ (f \oplus id) \circ assoc_2 \circ (g \oplus id) \circ assoc_3)
\end{array}$$

**New combinators curry and uncurry for higher-order functions.**

$$\begin{array}{lcl}
\boxminus (\tau_1 \tau_2) & \triangleq & \boxed{\tau_2} \boxed{\tau_1} \\
\tau_1 \tau_2 \multimap \tau_3 \tau_4 & \triangleq & \boxminus (\tau_1 \tau_2) \boxplus \tau_3 \tau_4 \\
& \triangleq & \boxed{\tau_2 + \tau_3} \boxed{\tau_1 + \tau_4}
\end{array}$$

$$\begin{array}{lcl}
flip & : & (\mathbb{T}_1 \Leftrightarrow \mathbb{T}_2) \rightarrow (\boxminus \mathbb{T}_2 \Leftrightarrow \boxminus \mathbb{T}_1) \\
flip f & = & swap_+ \circ f \circ swap_+ \\
curry & : & ((\mathbb{T}_1 \boxplus \mathbb{T}_2) \Leftrightarrow \mathbb{T}_3) \rightarrow (\mathbb{T}_1 \Leftrightarrow (\mathbb{T}_2 \multimap \mathbb{T}_3)) \\
curry f & = & assocl_+ \circ f \circ assocr_+
\end{array}$$

$$\begin{array}{lcl}
uncurry & : & (\mathbb{T}_1 \Leftrightarrow (\mathbb{T}_2 \multimap \mathbb{T}_3)) \rightarrow ((\mathbb{T}_1 \boxplus \mathbb{T}_2) \Leftrightarrow \mathbb{T}_3) \\
uncurry f & = & assocr_+ \circ f \circ assocl_+
\end{array}$$

**The “phony” multiplication that is not a functor.** The definition for the product of 1d types used above is:

$$\boxed{\tau_1} \boxed{\tau_2} \boxtimes \boxed{\tau_3} \boxed{\tau_4} \triangleq \boxed{(\tau_1 * \tau_3) + (\tau_2 * \tau_4)} \boxed{(\tau_1 * \tau_4) + (\tau_2 * \tau_3)}$$

That definition is “obvious” in some sense as it matches the usual understanding of types as modeling arithmetic identities. Using this definition, it is possible to lift all the 0d combinators involving products *except* the functor:

$$(\otimes) : (\mathbb{T}_1 \Leftrightarrow \mathbb{T}_2) \rightarrow (\mathbb{T}_3 \Leftrightarrow \mathbb{T}_4) \rightarrow ((\mathbb{T}_1 \boxtimes \mathbb{T}_3) \Leftrightarrow (\mathbb{T}_2 \boxtimes \mathbb{T}_4))$$

After a few failed attempts, we suspected that this definition of multiplication is not functorial which would mean that the **Int** construction only provides a limited notion of higher-order functions at the cost of losing the multiplicative structure at higher-levels. This observation is less well-known that it should be. Further investigation reveals that this observation is intimately related to a well-known problem in algebraic topology and homotopy theory that was identified thirty years ago as the “phony” multiplication [Thomason 1980] in a special class categories related to ours. This problem was recently solved [Baas et al. 2012] using a technique whose fundamental ingredients are to add more dimensions and then take homotopy colimits. It remains to investigate whether this idea can be integrated with our development to get higher-order functions while retaining the multiplicative structure.

## 6. Conclusion

2paths are functions on paths; the int construction reifies these functions/2paths as 1paths

Add eta/epsilon and trace to Int category

Talk about trace and recursive types

talk about h.o. functions, negative types, int construction, ring completion paper

canonicity for 2d type theory; licata harper

triangle; pentagon rules; eckmann-hilton

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<sup>3</sup> See Krishnaswami’s [2012] excellent blog post implementing this construction in OCaml.

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