

# COMP 5711: Advanced Algorithms

## Fall 2020 Final Exam Solution

### Part 1

1. (a) True.  
(b) False.  
(c) False. Recall Q1(a) in the midterm exam. Let  $\text{op}_1$  be increment and  $\text{op}_2$  be decrement, then  $c_1 = O(1)$  and  $c_2 = O(1)$ . However, the amortized cost is  $\Omega(\log n)$  when the sequence consists of both  $\text{op}_1$  and  $\text{op}_2$ .
2. (a) We need to prove  $((u, b_1) \oplus (v, b_2)) \oplus (w, b_3) = (u, b_1) \oplus ((v, b_2) \oplus (w, b_3))$ . When  $b_3 = 1$ , it is obvious that both sides are equal to  $(w, 1)$ . Now consider  $b_3 = 0$ . If  $b_2 = 1$ , then the left side is  $(v, 1) \oplus (w, 0) = (v + w, 1)$  and the right side is  $(u, b_1) \oplus (v + w, 1) = (v + w, 1)$ . Now we consider  $b_2 = 0$ , then both sides are equal to  $(u + v + w, b_1)$ . Since we have verified all the cases, we conclude that  $\oplus$  is associative.  
(b) We can simply use the prefix sum algorithm introduced in class, by simply changing the addition operation to  $\oplus$ . The algorithm is described as follows:

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Segment-Prefix-Sum(A, M)
  if |A|=1 then return (A, M);
  for i=1 to |A|/2 in parallel do
    (B[i], N[i]) = (A[i*2-1], M[i*2-1])  $\oplus$  (A[i*2], M[i*2]);
  (C, P) = Segment-Prefix-Sum(B, N);
  for i=1 to |A| in parallel do
    if i is even (D[i], Q[i]) = (C[i/2], P[i/2]);
    else (D[i], Q[i]) = (C[(i-1)/2], P[(i-1)/2])  $\oplus$  (A[i], M[i]);
  return (D, Q);

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The work and time of this algorithm is the same with the original prefix sum algorithm, so it has  $O(n)$  work and  $O(\log n)$  time. Let  $\{(B_i, N_i)\}$  be the output of running the above algorithm, then  $(B_i, N_i) = \oplus_{j=1}^i (A_j, M_j)$ . Now we prove  $\{B_i\}$  correctly computes segment prefix sum by induction.

When  $i = 1$  this is obviously true. Suppose for  $i \leq k$  ( $k \geq 1$ ),  $B_i$  is the segment prefix sum. Consider  $i = k + 1$ . If  $M_{k+1} = 1$ , then a new segment starts from the index  $k + 1$ , so the true value of  $B_{k+1}$  should be  $A_{k+1}$ , which is correctly computed because  $(B_{k+1}, N_{k+1}) = (B_k, N_k) \oplus (A_{k+1}, 1) = (A_{k+1}, 1)$ . If  $M_{k+1} = 0$ , then  $A_k$  and  $A_{k+1}$  belong to the same segment, so the true value of  $B_{k+1}$  should be  $B_k + A_{k+1}$ , which is also correctly computed because  $(B_{k+1}, N_{k+1}) = (B_k, N_k) \oplus (A_{k+1}, 0) = (B_k + A_{k+1}, N_k)$ .

3. (a) Let  $Y_{ij} = 1$  if  $A_i = j$ , and  $Y_{ij} = 0$  otherwise. Since  $\{A_i\}_{i=1}^n$  are mutually independent, we have  $\{Y_{ij}\}_{i=1}^n$  are also mutually independent. Since  $X_j = \sum_{i=1}^n Y_{ij}$ , by Chernoff bound, we have

$$\Pr(X_j \geq \ln(n)) < \left(\frac{e}{\ln(n)}\right)^{\ln(n)} = \frac{n}{n^{\ln \ln n}}.$$

By union bound, for any constant  $c$ ,

$$\Pr(X \geq \ln(n)) = \Pr(X_j \geq \ln(n) \text{ for some } j) \leq \sum_{j=1}^n \Pr(X_j \geq \ln(n)) = \frac{n^2}{n^{\ln \ln n}} = o\left(\frac{1}{n^c}\right).$$

- (b) We define  $Y_{ij}$  the same as above. Now  $Y_{ij}$  is just pairwise independent, so we use Chebyshev inequality instead. Since  $Y_{ij}$  is a Bernoulli variable with parameter  $1/n$ , we have  $\mathbb{E}[Y_{ij}] = 1/n$  and  $\text{Var}(Y_{ij}) = 1/n - 1/n^2$ , and hence  $\mathbb{E}[X_j] = 1$  and  $\text{Var}(X_j) = 1 - 1/n$ . Therefore, when  $n \geq 2$ ,

$$\Pr(X_j \geq \sqrt{2n} + 1) = \Pr\left(X_j \geq \mathbb{E}[X_j] + \frac{\sqrt{2n}}{\sqrt{1 - 1/n}} \sqrt{\text{Var}(X_j)}\right) \leq \frac{1}{2n}.$$

Similarly, by union bound,  $\Pr(X \geq \sqrt{2n} + 1) \leq n \cdot 1/(2n) = 1/2$ .

- (c) It is direct that  $\Pr(A_n = j) = 1/n$ . For simplicity, we define the operator  $\hat{+}$ , where  $a \hat{+} b = a + b$  if  $a + b \leq n$ , and  $a \hat{+} b = a + b - n$  otherwise. For any  $i \in [n-1], j \in [n]$ ,

$$\begin{aligned} \Pr(A_i = j) &= \Pr(A_i = j \mid K = j) \cdot \Pr(K = j) \\ &+ \sum_{k \neq j} \Pr(K = k) \cdot \Pr(\pi(i) \hat{+} k = j) \cdot \Pr(A_i = k + \pi(i) \mid K = k, \pi(i) \hat{+} K = j) \\ &= \frac{1}{\sqrt{n}} \cdot \frac{1}{n} + \frac{n-1}{n} \cdot \frac{1}{n-1} \left(1 - \frac{1}{\sqrt{n}}\right) = \frac{1}{n}. \end{aligned}$$

- (d) Given  $K$ ,  $\mathbb{E}[X_K \mid K] = \sum_{i=1}^n \Pr(A_i = K \mid K) = (n-1)/\sqrt{n} + 1/n \geq 0.9\sqrt{n}$  when  $n$  is large enough, and

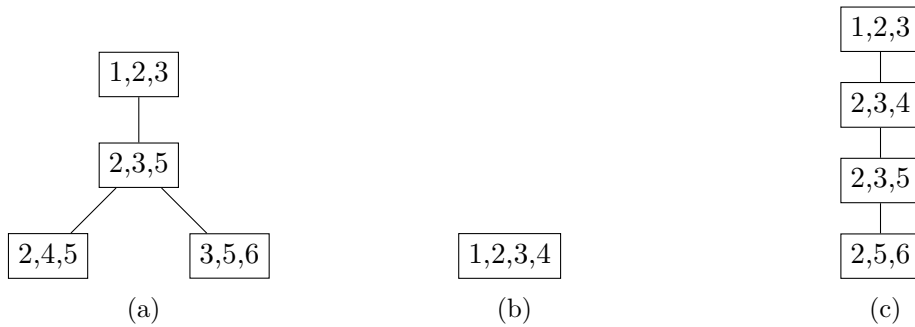
$$\text{Var}(X_K \mid K) = (n-1) \cdot \frac{1}{\sqrt{n}} \left(1 - \frac{1}{\sqrt{n}}\right) + \frac{1}{n} \left(1 - \frac{1}{n}\right) < \sqrt{n}.$$

By Chebyshev inequality,  $\Pr(X_K \leq \sqrt{n}/2 \mid K) \leq \frac{1}{0.4^2 \sqrt{n}} = o(1)$ . Therefore,

$$\begin{aligned} \Pr(X \leq \sqrt{n}/2) &= \sum_{k=1}^n \Pr(K = k) \Pr(X \leq \sqrt{n}/2 \mid K = k) \\ &\leq \sum_{k=1}^n \Pr(K = k) \Pr(X_K \leq \sqrt{n}/2 \mid K = k) = o(1). \end{aligned}$$

## Part 2

1. The tree decompositions with minimal tree width are given below.



2. For any  $u, v$  on the unit circle, let  $0 \leq \theta \leq \pi$  be the angle between them. The two vectors fall into the different quadrants if and only if one of the axes falls in between them after rotation. Thus we have

$$\Pr(h(u) = h(v)) = \begin{cases} 1 - 2\theta/\pi, & \text{if } \theta \leq \pi/2; \\ 0, & \text{if } \theta > \pi/2. \end{cases}$$

By  $d(u, v) = 2 \sin(\theta/2)$ , we have  $\theta = 2 \sin^{-1}(d(u, v)/2)$ . Plugging in the given values of  $r$  and  $c$ , we obtain  $p_1 = 1/3$ ,  $p_2 = 0$ .

If  $\alpha$  is chosen uniformly at random from  $[0, 2\pi/3]$ , the collision probability doesn't only depend on  $d(u, v)$ , but also the positions of  $u, v$ , and we should consider the worst case. For  $d(u, v) = 1$ , a worst case is  $u = (0, 1), v = (\cos(\pi/6), \sin(\pi/6))$ . In this case, they collide when  $\alpha \in [\pi/3, \pi/2]$ . So the collision probability is  $p_1 = (\pi/6)/(2\pi/3) = 1/4$ . When  $d(u, v) = cr = \sqrt{2}$ , they still never collide, so  $p_2 = 0$ .

3. (a) Let  $s_1, \dots, s_n$  be all the different elements in the stream. We compute

$$\begin{aligned} \Pr(X > t) &= \Pr(h(s_i) > t \text{ for } i \in [n]) + \sum_{i=1}^n \Pr(h(s_i) \leq t) \cdot \Pr(h(s_j) > t \text{ for all } j \neq i) \\ &= (1-t)^n + nt(1-t)^{n-1}. \end{aligned}$$

Therefore  $F_X(t) = 1 - \Pr(X > t) = 1 - (1-t)^n - nt(1-t)^{n-1}$  and  $f_X = n(n-1)t(1-t)^{n-2}$ .

- (b) We compute

$$\mathbb{E}[Y] = \mathbb{E}[1/X] = \int_0^1 \frac{f_X(t)}{t} dt = n \int_0^1 (n-1)(1-t)^{n-2} dt = n,$$

which means  $Y$  is an unbiased estimator.

- (c)

$$\begin{aligned} \Pr[|Y - n| > c_1 n] &= \Pr[|1/X - n| > c_1 n] \\ &= \Pr[1/X < (1 - c_1)n] + \Pr[1/X > (1 + c_1)n] \\ &= \Pr[X > \frac{1}{(1 - c_1)n}] + \Pr[X < \frac{1}{(1 + c_1)n}]. (*) \end{aligned}$$

For the second term in (\*), define  $p = \frac{1}{(1+c_1)n}$ . Let  $Z_i = 1$  if the hash value of the  $i$ -th distinct element is smaller than  $p$ . Then  $\Pr[Z_i = 1] = p$  and  $\{Z_i\}_{i=1}^n$  are pairwise independent. Let  $Z = \sum_{i=1}^n Z_i$ , then  $\Pr(X < p) = \Pr(Z \geq 2)$ . Since  $\mathbb{E}[Z] = pn$  and  $\text{Var}(Z) = p(1-p)n$ , by Chebyshev inequality,

$$\begin{aligned} \Pr(Z \geq 2) &= \Pr\left(Z - \mathbb{E}[Z] \geq \frac{2 - pn}{\sqrt{p(1-p)n}} \cdot \sqrt{\text{Var}(Z)}\right) \\ &\leq \frac{p(1-p)n}{(2 - pn)^2} \leq pn = 1/(1 + c_1), \end{aligned}$$

where the last inequality holds because  $pn < 1$ . Similarly, for the first term in (\*), we set  $p = \frac{1}{(1-c_1)n}$ . Then,

$$\begin{aligned} \Pr(X > p) &= \Pr(Z \leq 1) = \Pr\left(Z - \mathbb{E}[Z] \leq -\frac{pn - 1}{\sqrt{p(1-p)n}} \cdot \sqrt{\text{Var}(Z)}\right) \\ &\leq \frac{p(1-p)n}{(pn - 1)^2} \leq \frac{pn}{(pn - 1)^2} = (1 - c_1)/c_1^2. \end{aligned}$$

So we can set  $c_2 = \frac{1}{1+c_1} + \frac{1-c_1}{c_1^2}$ . Note that as  $c_1$  goes to 1, the first term goes to  $1/2$  and the second term goes to 0. So such constants  $0 < c_1, c_2 < 1$  must exist. For example, we may take  $c_1 = 0.9$  and  $c_2 = 0.65$ .