

## STATE SPACE SEARCH AND HEURISTIC TECHNIQUE

State Space Search: is a method of AI where a problem is solved by exploring all possible states (situations) the system can be in, until it find the goal state (solution).

State: situation or condition at a point <sup>in</sup> of time  
State Space: set of all possible states you can reach from initial state (starting point)

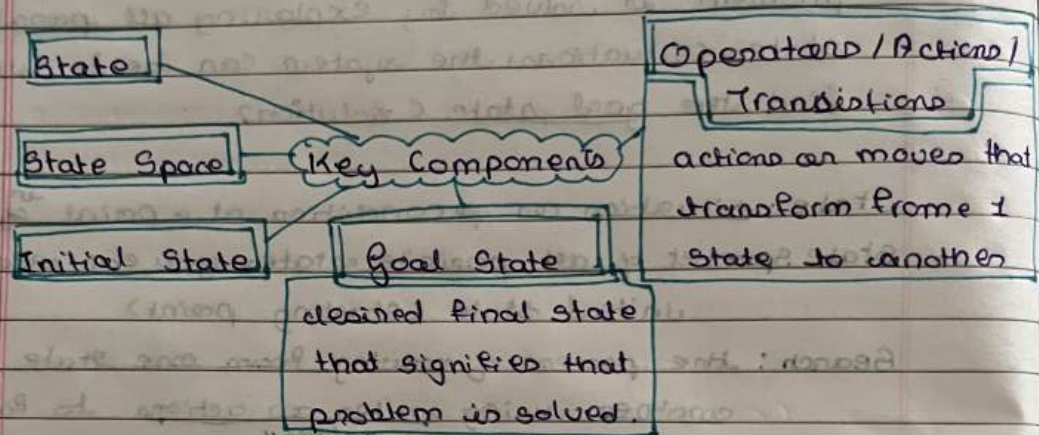
Search: the process of moving from one state to another using rules or actions to find the goal  
"algorithms"

### Solving Problems As State Space Search

The core idea of State Space Search is representing a problem as a State Space

Imagine a problem as a collection of possible configurations or STATES. you start at one state (the initial state) and want to reach another (the goal state) by performing valid actions. The entire of these possible states and the connections

between them forms STATE SPACE.



### The Search Process

Solving a problem as state space search means

Finding a sequence of operators (actions) that leads from the initial state to final state

This sequence is called "SOLUTION PATH"

Eg. Finding a Route on Map

State: Your current location

State Space: All possible cities, towns, junctions

Operators: Travelling along a road from from location to another



Initial state: Your starting city

Goal state: city you want to reach

Solution: the sequence of roads (ops) and cities (states) that takes you from the start to the destination.

## PRODUCTION SYSTEM

• type of AI architecture or framework commonly used for implementing rule-based systems, expert systems and for solving problems that can be represented as state space search problems.

### Components

#### 1. Global Database / Working memory

• central data structure that holds all the current info or facts about the problem at hand.

in a robot navigation it may have data like  
robot at  $(x, y)$ , obstacle at  $(x+1, y)$ , goal at  $(gx, gy)$

• data in this can be modified by production rules

#### 2. Production Rules / Knowledge Base

• heart of the system representing knowledge

• each rule is typically in an IF-THEN or CONDITION-ACTION format.

• IF part: Set of conditions that must be true for the rule to be applicable

• THEN: Set of actions to be performed if the condition is true (met).

e.g. IF robot at  $(x, y)$  AND no obstacle ahead then move forward  $(x, y)$

### 3. Interpreter (Control Strategy) (Inference Engine)

• This is the brain that decides which rule to apply and when.

• It manages the execution of the production rule.

• Its primary task is to recognize-act cycle.

a. Recognize (Scan global database, Find production rules with satisfied IFs)

(the IFs that match are called

ACTIVE or CONFLICT SET RULES)

b. Conflict Resolution: if multiple rules were active, the interpreter uses a strategy to select only one rule to execute.

Strategies:

- First rule
- rule with ↑ specificity
- ↑ priority rule
- rule that refers to the most recently added facts.

c. Act: execution of the selected rule, modifying global database with changes leading to new state



- It repeats until goal state achieved  
no rules are applicable  
or predefined termination

### Production System in State Space Search context

global database → current state

production rules → operations / actions

interpreter → search

e.g. Imagine a cooking recipe book.

- Global Database: Kitchen counter with ingredients

- Production rules: each step

- Interpreter: you checking if conditions match  
then taking next action

### PROBLEM CHARACTERISTICS

- Features of a problem that help us understand its nature and more details
- it guides us to select suitable search strategy.

### Key Characteristics

#### 1. Is the problem decomposable?

- can the problem be broken down into smaller independent sub problems, each of which can be

understand all the steps and concepts involved from underpinning theory.

solved separately? If so, solutions of sub problems can be combined to form original solution.

- Decomposable problems can often be solved more efficiently by tackling parts independently.

2. Can solution steps be ignored, undone, or must be irreversible.

- Ignorable: If a step taken turns out to be wrong, it can simply be ignored or forgotten, and another path can be tried without any significant cost or consequences.

eg. Proving a theorem - if one line of reasoning doesn't lead to a solution, you can simply abandon it and try another approach without having to undo everything.

- Recoverable: if a step proves incorrect, it's possible to backtrack and undo the move to return to a previous state.

eg. Playing chess (in casual games, you might retract a move) or solving a Rubik's Cube you can reverse moves.

- Irreversible: once step is taken, it cannot be undone. mistake have permanent consequences.

eg. Suppose robot snapping a fragile object.



3. Is the universe predictable?

do we know the exact outcome of every action we take? if yes then CERTAINTY SUDOKU  
if no then UNCERTAINTY DRIVING

4. Is a good solution absolute or relative?

• Are we looking for any solution that meets criteria, or the best possible solution acc to some measures

↳ if yes then Satisficing (need req. min) <sup>any</sup> route to reach  
↳ if no then Optimality (best) best route to destination <sup>to reach</sup> - for

5. Is solution a state or path?

• is goal simply reaching a particular state, or sequence of action

↳ State Solution Proving theorem

↳ Path Solution chess maybe, navigation

6. What is the role of knowledge

• does the problem provides additional info beyond its basic definition that can guide the search?

INFORMED <sup>HEURISTIC</sup> ~~BLIND~~ <sup>BLIND</sup> ~~HEURISTIC <sup>INFORMED</sup> ~~HEURISTIC <sup>BLIND</sup> ~~HEURISTIC <sup>INFORMED</sup> ~~HEURISTIC  
UNINFORMED <sup>BLIND</sup> ~~HEURISTIC <sup>INFORMED</sup> ~~HEURISTIC <sup>BLIND</sup> ~~HEURISTIC <sup>INFORMED</sup> ~~HEURISTIC  
if yes: WITH KNOWLEDGE solving maze without layout  
if no: WITHOUT KNOWLEDGE solving maze with layout~~~~~~~~~~~~~~~~

↳ DFS  
↳ BFS

7. Does problem require interaction?

- is the entire solution planned before any action is taken, or is planning interactively with acting and seeing the real world
- ↳ if planned before action then OFFLINE & it's
- ↳ if no the ONLINE driving car

#### 4) Depth First Search

search strategy that explores as far as possible along each branch before backtracking. Going deeper in every child where they exist, if not backtrack dive into another side

#### Working

1. Start at the initial state (root node)
2. Expand one of its children
3. keep expanding deeper into the tree/graph until
  - reach the goal state or
  - reach the dead (leaf) end
4. If a dead end is reached, backtrack to the most recently unexplored state.
5. Repeat until the goal state is found or all states are explored.

Data Structure Used : Stack (LIFO)



e.g maze with many paths

- DFS picks one direction (say left) and keeps left until it can't go any more.
- If dead end, go back where right was available
- Continue till exit found

### Characteristics

- 1> Completeness: x guaranteed (if  $\infty$  state space)
- 2> Optimality: (might not give shortest path)
- 3> Time Complexity: worse: might have to visit all nodes  
 $O(b^m)$   $b$  = branching factor  
 $m$  = maximum paths
- 4> Space Complexity:  $O(bm)$  comparatively memory efficient

### Advantages

- 1> memory efficient
- 2> explores everything
- 3> faster than BFS

### Disadvantages

- 1> can be trapped in  $\infty$  state space
- 2> x optimal (shortest path)
- 3> Can miss shallow solutions

### Breadth First Search

Search strategy which explores states level by level, ensuring that it fully examines all states at a given depth before moving to next deeper level.

### Working

1. Start at the initial state

2. Put it in a queue

3. While the queue is not empty

Suppose A

check for A

• remove the front node. (removing means visiting)

Suppose B

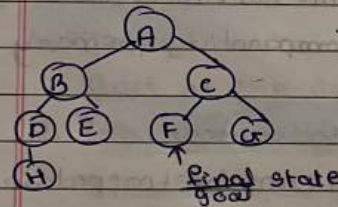
• check if it's the goal, yes  $\rightarrow$  stop.

• else enqueue all neighbors (unvisited) child

4. Repeat until goal found or queue is empty.

Data Structure: Queue, FIFO (to ensure nodes are explored in the exact order they are discovered)

Eg.



$\therefore$  note when we say we dequeue a node (remove), we mean we visit it.

a. start at root (A):

Queue = [A]

1. Dequeue A  $\rightarrow$  visit A

Queue = []

Enqueue A's children: [B, C]

visited nodes: A

2. Dequeue B (visit it)

Queue = [C]

Enqueue B's children: [D, E]

visit order: A  $\rightarrow$  B



3. Dequeue C (visit it)

Queue: [D, E]

enqueue children: [D, E, F, G]

visited order: A → B → C

4. Dequeue D (visit it)

Queue: [E, F, G]

enqueue children: [E, F, G, H]

visited order: A → B → C → D

5. Dequeue E

Queue: [F, G, H]

enqueue  
visit children: x children

visited order: A → B → C → D → E

6. Dequeue F

Queue: [G, H]

this is the goal state.

visited order: A → B → C → D → E → F

→ path A → B → C → D → E → F

### Properties

1. Completeness: Yes (guaranteed to find a solution)

2. Optimality: Yes finds the shortest path (in terms of number of steps, if all steps cost same)

3. Time complexity  ~~$O(b^d)$~~   $O(b^d)$   $b$  = branching factor  
 $d$  = depth of the shallowest solution

4. Space complexity  $O(b^d)$

### Advantages

1. shortest path

2. complete

3. simple (maybe)

### Disadvantages

1. ↑ memory

2. ↓ speed for deep problems

3. not suitable for ↑↑ state spaces

## Heuristic Function

- estimate of cost or distance from a given state  $(n)$  to nearest goal state
- It is a informed (with knowledge) search algo.
- denoted by  $h(n)$
- It doesn't guarantee best path but gives  
1. guess or 2. rule of thumb  
to guide the flow
- It returns numeric value.
- For understand imagine states and everything  
on a graph, states are point  
Flow is line  
this  $h(n)$  is also a point.

## Work Flow

1. For each node (state), calculate  $h(n)$
2. use this value to decide the order of exploration
3.  $\rightarrow$  Smaller  $h(n) \rightarrow$  seems closer to the goal
3. The search continues until the goal state is reached

## Properties

1. Admissibility: never overestimates the true cost to reach the goal state.



- Date: \_\_\_\_\_  
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2. Consistency (monotonicity): estimates always respects the triangle inequality (like ensured that  $h(n)$  is well behaved)
  3. Informedness: a good heuristic provides an estimate that is as close as possible to the true cost.
  4. Computability: it should be easy and fast to compute  $h(n)$

#### Advantages

1. efficient
2. informed
3.  $\downarrow$  time
4. optimal

#### Disadvantages

1. highly depends on  $h(n)$
2. not always easy
3. may be problem specific

### Hill Climbing

- local search algorithm that continuously moves in the direction of increasing (or decreasing)  $h(n)$  until it reaches a peak (local minimum (maximum))
- It's like climbing uphill - at each step, you look at her neighbours and moves to the one that looks better according to  $h(n)$  until you can't improve further
- Stops when no better neighbour is found  $\rightarrow$  risk of getting stuck in local optima.

### Working

1. Start with a initial state
2. Evaluate its heuristic value
3. Look at the neighbouring states and their  $h(n)$
4. move to the neighbor with best heuristic value
5. Repeat until
  - No better neighbor exists (local max, min) or
  - Goal state reached

### Types

#### ↳ Simple Hill Climbing :

It moves to first neighbour state that is better than current

#### ↳ Steepest Ascent Hill Climbing

examines all neighbors and moves to best

#### ↳ Stochastic Hill Climbing :

examines all neighbors chooses randomly from better neighbors

### Challenges & Limitations

1. Local Maxima (or minima): algo might reach a state that is better than all neighbors, but no globally best (true highest point)



(narrow path)

2. Ridges (narrow peaks hard to reach)

3. Plateaus (flat areas with no improvement) i.e. all (current, neighbours) have same  $h(n)$

4. X complete 3. X optimal

### Advantages

1. memory efficient
2. Simple heuristic
3. Fast for simple ones
4. works well when search space is smooth and has single peak.

### Best First Search

Search strategy that always expands the node which appears best according to some evaluation (mainly  $h(n)$ )

"It looks at all possible states picks the one that seems closest to goal state"

### Components

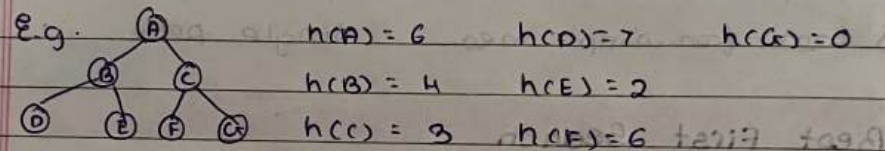
Heuristic Function ( $h(n)$ ): same, same, no difference

← Priority Queue (Open List): stores all generated but not yet explored (expanded) nodes.

Closed List: keeps track of nodes that are expanded

### Working

1. Initialize the open list with start node.
2. While open list is not empty
  - Remove the node with lowest  $h(n)$  (visit it)
  - If it's goal  $\rightarrow$  stop
  - Else, expand it, add children (acc to order)
3. Continue until the goal is found or queue is empty.



1. Start at  $A (h=6)$
2. Expand  $\rightarrow B (h=4), C (h=3)$ 
  - Queue =  $\{C(3), B(4)\}$
3. Pick  $C$  (lowest  $h=3$ ). Expand  $\rightarrow F(6), G(0)$ 
  - Queue =  $\{G(0), B(4), F(6)\}$
4. Pick  $G (h=0) \rightarrow$  Goal reached.

### Advantages

1. Faster
2. priority base
3. efficient

### Disadvantages

1. x optimal
2. may loop if x closed list
3.  $\uparrow$  memory required.



### Properties

- Xcomplete (may loop if graph cycles unhandled)
- Xoptimal (may not find shortest path)
- time complexity  $O(b^m)$  ( $b$  = branching factor  
 $m$  = depth)
- Space complexity  $O(b^m)$