# Non-split alternating links bound unique minimal genus Seifert surfaces up to isotopy in the 4-ball

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— Seminar

### 2019

#### MIMIC

Sungkyunkwan Universtiy

#### Abstract

수학 학술 동아리 MIMIC에서 신입 회원을 모집합.

Definition 1. MIMIC is Math Interchange Club.

Theorem 1. 다음 조건을 만족하는 사람은 MIMIC에 지원할 수 있다.

(1) 자유롭고 학술적인 분위기에서 수학을 공부하고 싶은 사람.

(2) 함께 스터디 할 사람이 필요한 사람.

(3) 수학공부에 어려움을 느끼는 사람.

**Proof.** It suffices to prove in the following steps.

Step 1 매주 화요일 저녁 7시에 정기 세미나.

Step 2 등아리 내 튜터링 및 스터디 자체 운영

#### Remark.

(1) 개강종회 : 9월 3일 화요일 저녁 6시 31316 (수학과 전공 강의실)

- (2) 회장 이호빈 010-9137-2087
- (3) 종무 박승열 010-2770-4915

# Once upon a time

#### Baire's category Theorem

Question 1. 또한 유리수에서 불면속이고 또한 무리수에서 연속인 방수가 존재하는가? Question 2. 또한 무리수에서 불면속이고 또한 유리수에서 연속인 방수가 존재하는가?

E가 위상공간 XN 부분성합이라고 하자.

Definition 1.  $\overline{E} = X \Leftrightarrow \mathfrak{A}, \ E \cup X \Leftrightarrow A \Leftrightarrow \text{ dense set} \Leftrightarrow \mathbb{Z} \Leftrightarrow \mathbb{Q}.$ 

Definition 4. EV  $X^{(q,q)}$  set of first category?  $^{(q,q)}U^{(q)}$ , EV  $X^{(q,q)}$  set of second category? Z.  $U^{(q)}$ .

Lemma 5.  $(X, \rho)^{\gamma}$  complete metric space\* $|Z|[E_{\alpha}]^{\gamma}|X^{\alpha}|^{\gamma}$  denne open net\* $|\mathcal{Q}|, E_{\alpha}$  %  $|X|^{\alpha}|E| X^{\alpha}|^{\gamma}$  denne net\* $|\mathcal{Q}|, E_{\alpha}$   $|X|^{\alpha}|E| X^{\alpha}|^{\gamma}$  denne net\* $|\mathcal{Q}|$  proof\* $|Y|_{X} \in X, Y_{\alpha} > 0$  %  $|X|^{\alpha}$ .

 $E_i \cap X \cap A$  dense set  $0 \boxtimes X$ ,  $x_i \subseteq E_i \cap B_i(x_k) \cap A \cap B \cap A$  $E_i \cap B_i(x_k) \cap A$  open set  $0 \boxtimes X$ ,  $\exists e_i > 0$  such that  $B_i(x_k) \subseteq E_i \cap B_i(x_k) \cap A \cap A$ 

$$\begin{split} &\delta_i := \min \left[\frac{\epsilon_1}{2}, 1\right] \Leftrightarrow \Leftrightarrow \lambda. \ E_i \Leftrightarrow X \Leftrightarrow i \text{ dense are } \forall \exists \Xi_i, \ x_j \in E_j \cap B_i(x_1) \cap \exists \exists \exists \exists i \in A_i \in A_i \cap B_i(x_1) \cap \text{ open are } i \exists \Xi_i, \ \exists \epsilon_2 > 0 \text{ such that } B_i(x_1) \cap \subseteq E_i \cap B_i(x_1) \circ i \cap I. \end{split}$$

이러한 과정을 반복하면 점점의 자연수 n의 대하여, 
$$B_{i_{n+1}}(x_{n+1}) \subseteq E_{n+1} \cap B_{i_n}(x_n), \ \delta_i := \min\left(\frac{\epsilon_n}{2}, \frac{1}{n}\right)$$

$$\begin{split} &B_{k_m}(x_{n+1}) \subset B_{k_m}(x_{n+1}) \subset B_k(x_n) \text{ old.}, \ m > n \text{old.} \text{ old.}, \ x_n \subset B_k(x_n) \text{ old.} \\ &\delta_n \leq \frac{1}{n} \text{ old.}, \ p(x_m, x_n) < \frac{1}{n} \text{ old.} \text{ old.} \text{ old.} \text{ old.} \text{ old.} \end{split}$$

 $\delta_i \leq \frac{1}{n}$  of  $(\mathbf{x}, \mathbf{x}_i) < \frac{1}{n}$  of  $(\mathbf{x}, \mathbf{x}_i) < \frac{1}{n}$  of  $(\mathbf{x}, \mathbf{x}_i)$  conceptor. Also combined  $(\mathbf{x}, \mathbf{x}_i) \in \mathcal{M}$  of  $(\mathbf{x$ 

(a) Freshman can do



#### 1 Inner Product Space

1.1 Vector Space

We use the symbol F to denote either the real number field  $\mathbb R$  or the complex number field  $\mathbb C.$ 

#### 1.2 Inner Product Space

**Definition 1.1.** Let V be a vector space over K. A function from  $V \times V$  to F is allced an inner product in V if, for any pair of vectors  $x_{i,3} \in V$ , the inner product  $(x_{i,3}) \mapsto (x_{i,3}) \in F$  satisfies the following conditions.

- (i)  $\forall x,y \in V (y,x) = \overline{(x,y)}$
- (ii)  $\forall \alpha, \beta \in F \forall x, y, z \in V \ (\alpha x + \beta y, z) = \alpha(x, z) + \beta(y, z)$ (iii)  $\forall x \in V \ (x, x) > 0$
- $0 \otimes (x,x) = 0 \iff x = 0$

#### A vector space on which an inner product is defined is called an inner product space

#### 1.3 The Space C<sup>2</sup>

For any two functions f and g in the vector space C([a,b]) of complex continuous functions on a real interval [a,b], we defined the inner product

$$\langle f, g \rangle = \int_{\Gamma} f(x)\overline{g}(\overline{x})dx$$
. (1)  
from which delieved the definition of the atom:  
$$\|f\| = \sqrt{\langle f, f \rangle} = \int_{0}^{\pi} \|f(x)\|^{2}dx.$$
 (2)

It is clear that the inner product (1) satisfies the definition 1.1. As in  $\mathbb{R}^n$ , we can also show directly that the Cauchy-Schwarz inequality holds in C([a,b]). For any  $f,g\in C([a,b])$ , we have

where we assumed that 
$$|I| \le \log I$$
 is  $|I| = \int_0^1 \frac{|I| |I|}{|I|} - \int_0^1 \frac{|I| |I|}{|I|} + \frac{|I|}{|I|} \frac{|I|}{|I|} \frac{|I|}{|I|} + \frac{|I|}{|I|} \frac{|I|$ 

we therefore conclude that  $||f_{s}(x)|| \leq ||f_{s}(x)|| \leq ||f'(x)||$ 

(b) Can do if you know L.A.

Before Starting

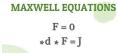
Seminar

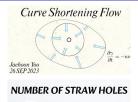
# $2023 \sim 2024$



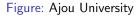












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- 1 Knots & Links
- 2 Seifert Surface

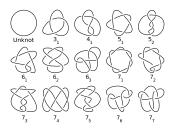
3 Main Theorem

### Knots & Links

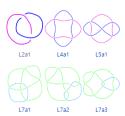
#### Links

A link L of m components is a subspace of  $S^3 = R^3 \cup \{\infty\}$ , that consists of m disjoint, smooth, simple closed curves. A link of one component is a knot.

In this talk, all the links are oriented.



(a) Knot Table



(b) Link Table

# Link Diagram

Also the link diagram is the image of a projection  $\pi:S^3\to R^2$  with under and over information in each crossing.

#### Reduced diagram

If the diagram has minimal crossing, it is a reduced diagram.

Figure: Reducing

# Alternating Link

#### Alternating diagram

A link diagram is alternating if the crossings alternate under, over, under, over, as one travels along each component of the link.



Figure: Alternating Diagram

#### Alternating Link

A link is alternating if it has an alternating diagram.

# Non-split Link

#### Definition

A link diagram D in  $S^2$  is a split diagram if there is a simple closed curve in  $S^2 - D$  separating  $S^2$  into two discs each containing part of D. A link is a split link, if it has a split diagram.



Figure: Split diagram

### Menasco's result

There is a relation between non-split link and alternating link.

#### Theorem [Men84]

An alternating link, will be non-split if and only if its alternating diagram is non-split.



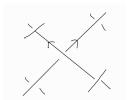
Figure: Non-split diagram

# **Smoothing**



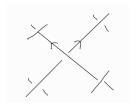
# After smoothing

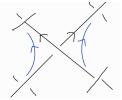
Smoothing does not change the alternatingness, non-splittness. If the link diagram D is alternating, non-split and reduced, the smoothed diagram D' is alternating and non-split.



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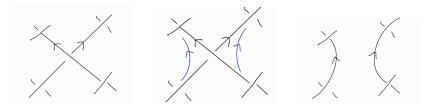


Figure: Smoothing does not change the alternatingness.

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### Seifert Surface

#### Definition

A Seifert surface S for a knot or link  $L \subset S^3$  is a smooth, compact manifold with  $\partial S = L$ . Also, it should not have closed manifold component.

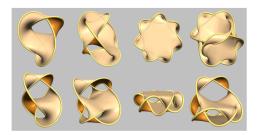


Figure: Seifert surfaces of some links

# Seifert Algorithm

Every links have a Seifert surface through the Seifert algorithm.

#### Seifert Algorithm

- 1 For all crossings, smoothing.
- 2 For each components, attaching discs.
- 3 Attach the twisted band in the position of the crossing.

# Figure 8 example

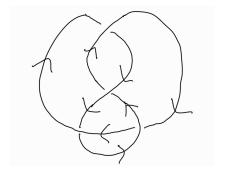
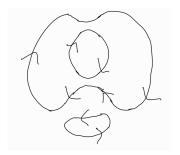


Figure: figure 8 knot with orientation

# **Smoothing**



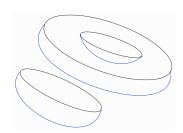
(a) Smoothing



(b) After smoothing

Figure: Smoothing Algorithm

# Attaching discs



(a) Attaching discs in a side view



(b) Attaching discs in projections

Figure: Attaching discs

# Twisted Bands

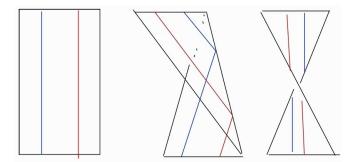


Figure: Twisted band

# Attaching Twisted Bands

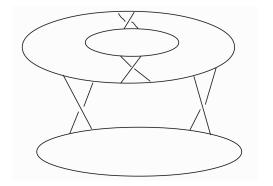


Figure: Attatching Twisted Band

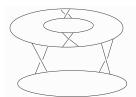
### Genus of the Seifert Surface

#### Euler characteristic

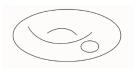
We can use the Euler characteristic argument to check the genus.

$$\chi(S) = \# \text{discs} - \# \text{twisted bands} = 2 - 2g(S) - n$$

where n is the number of the link components.



(a) Seifert surface of figure 8 knot with embedding.



(b) Same Seifert surface of figure 8 knot without embedding.

### Does not need to minimal Genus

#### Remark

Definition does not need to have minimal genus.

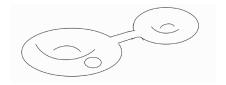


Figure: Do not need to minimal genus

### Genus of Knot

#### Genus of knot

The genus g(S) of a link L is defined by

$$g(L) = \min\{g(S) : F \text{ is a Seifert surface for } L\}.$$

Also that F is a minimal Seifert surface.

### Minimal Seifert Surface

#### **Theorem**

Let L be an alternating knot. If S is a Seifert surface for L obtained by applying Seifert's algorithm to an alternating diagram of L, then S is a minimal Seifert surface for L.

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#### Motivation

#### Link

If we push links in  $S^3$  to  $B^4$ , all links are equivalent to the unlinks.

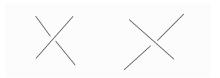


Figure: Two crossings in 4-dimension are equivalent.

# Motivation

#### Link

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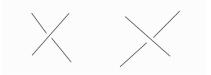


Figure: Two crossings in 4-dimension are equivalent.

#### Surface

How about the case for the surfaces in  $B^4$ , in particular fixing boundary in  $S^3$ ?

# Livingston's work and questions

In [Liv82], Livingston asked about the case when push the non-isotopic surface in  $S^3$  to  $B^4$ , rel to boundary.



Figure: Charles Livingston

#### **Answer**

#### Trotter's example

There is an example in [Tro75] are not isotopic in  $S^3$  but isotopic in  $B^4$ .

#### False

In [AFMW24, HKM<sup>+</sup>23], they found the examples not even topologically isotopic.

#### How many classes?

How many classes that topologically or smoothly isotopic?

# Construction in $S^3$

There is a sequence of minimal genus Seifert surfaces  $\Sigma_1 = S_1, S_2, \ldots, S_n = \Sigma_2$  for L such that the interiors of  $S_i$ ,  $S_{i+1}$  are disjoint for each i. [ST88, Kak92]

# Euler Characteristic Argument

Let  $F = \Sigma_1 \cup_L \Sigma_2$ . Since  $\Sigma_1$  and  $\Sigma_2$  are minimal Seifert surfaces, i.e. connected which has maximal Euler characteristic, F has a maximal Euler characteristic

$$g(F) = 1 - \chi(\Sigma_i).$$

If g(F) = 0,  $\chi(\Sigma_i) = 1$  that  $\Sigma_i$  is a disk that L is an unlink by the Schoenflies theorem. Let using the induction argument in g(F) with the base case 0.

Crossing Tube Lemma

# Crossing Tube Lemma [Kin18]

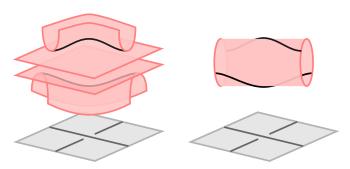


Figure: Crossing Tube Lemma

# Band attaching

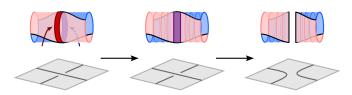


Figure: Band Attaching

# After Surgery

In point of the link, the link L' is still non-split, alternating link. So, we can do this process inductively in all crossings until  $g\left(F\right)=0$ .

└ Main Theorem

# Main Theorem

So, let's think in reverse way. Starting from the unlink, we can make the link L, and following  $\Sigma_1$  and  $\Sigma_2$ .

#### Main Theorem

Any two minimal genus Seifert surfaces for a non-split, alternating link are smoothly isotopic rel. boundary in  $B^4$ .

# In summary

#### In *S*<sup>3</sup>

For a non-split, alternating link, there are non-isotopic minimal Seifert surfaces in  $S^3$ .

#### In *B*4

However, when push those surfaces into  $B^4$ , they are isotopic.

#### **Future Open Questions**

How about the other link classes? Is there any lower bound or upper bound for the classes?

# In summary

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For a non-split, alternating link, there are non-isotopic minimal Seifert surfaces in  $S^3$ .

#### In *B*4

However, when push those surfaces into  $B^4$ , they are isotopic.

#### **Future Open Questions**

How about the other link classes? Is there any lower bound or upper bound for the classes?

Thank you for listening!

### References I



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