

# Khovanov Homology

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# Knot and Link

## Link

A link is simply a collection of (finitely-many) disjoint closed loops of string in  $\mathbb{R}^3 \cup \{\infty\}$ ; each loop is called a component of the link.

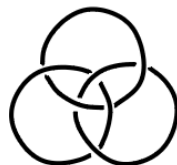
Hopf link



unlink



Borromean rings



Whitehead link



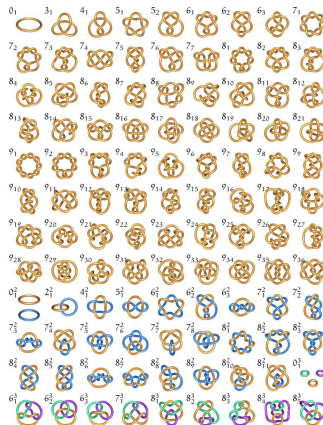
doubled trefoil



## Knot

A knot is a closed loop of string in  $\mathbb{R}^3 \cup \{\infty\}$ ; two knots are equivalent if one can be wiggled around, stretched, tangled and untangled until it coincides with the other. Cutting and rejoining is not allowed.

## Knot



# Isotopic

## Isotopic

Isotopy is a homotopy  $H$  such that for each fixed  $t$ ,  $H(x, t)$  gives an homeomorphism.

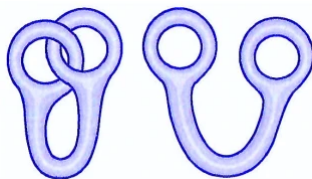


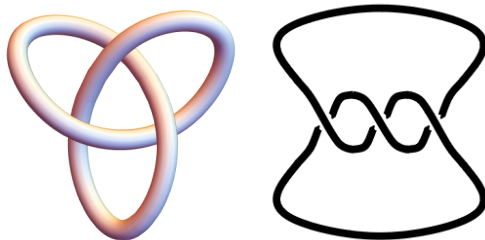
Figure: Isotopic



Figure: Isotopic

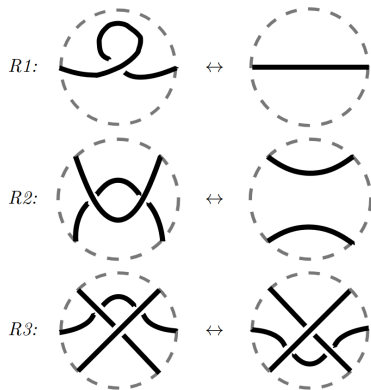
# Diagram

We have many different diagrams of the same knot!  
Example)

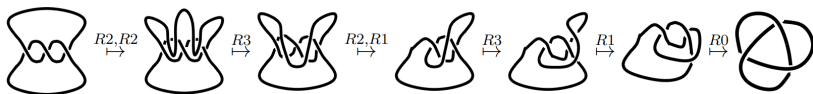




# Reidemeister moves

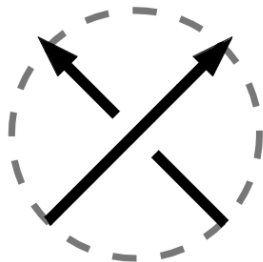


# Reidemeister moves example

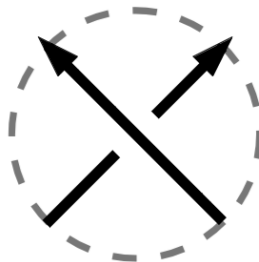


# Orientation

We can give an orientation to the knot diagrams.



+ 1



- 1

Let's define  $n_+, n_-$  for each one means the number of  $\pm$  crossings.

# Jones polynomial

We can compute the Jones polynomial with some rules for links  $K$ .  
First,

$$\langle \emptyset \rangle = 1$$

.

# Smoothing

$$\langle \text{crossing} \rangle = \langle \text{A-smoothing} \rangle - q \langle \text{B-smoothing} \rangle$$

Let the first one be the  $A$ -smoothing, and the second one be the  $B$ -smoothing.

Some authors write in 0 & 1 smoothing or 1 &  $x$ .

# Skein Relation

$$\langle \bigcirc \rangle = q + q^{-1}$$

$$\langle K \bigcirc \rangle = (q + q^{-1}) \langle K \rangle$$

$$\langle \diagdown \rangle = q^{-1} \langle \smile \rangle$$

$$\langle \diagup \rangle = -q^2 \langle \smile \rangle$$

$$\langle \overline{\smile} \rangle = -q \langle \bigcirc \rangle$$

# Knot category

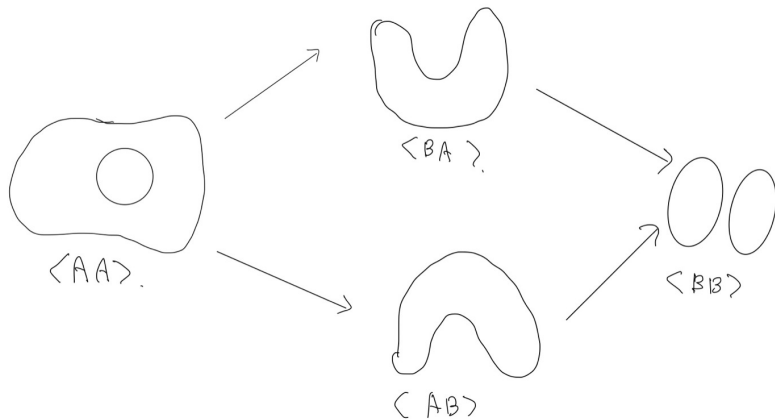
Let  $S(K)$  denote a category associated with the states of the bracket for a diagram  $K$  whose objects are the states, with sites labeled 0 and 1. In addition, a morphism in this category is an arrow from a state with a given number of  $A$ 's to a state with fewer  $B$ 's[2].

# Cube Category

Let  $D^n = \{A, B\}^n$  be the  $n$ -cube category whose objects are the  $n$ -sequences from the set  $\{A, B\}$  and whose morphisms are arrows from sequences with greater numbers of  $A$ 's to sequence with fewer numbers of  $A$ 's which is the poset category of subsets of  $\{1, 2, \dots, n\}$ .



# Hopf Link Smoothing



# Categorification

## Functor

Define the functor  $R : D^n \rightarrow S(K)$  and  $S : S(K) \rightarrow D^n$ .

## Object

Bracket state to the sequences in the cube category.

## Morphism

Smoothing to sequences.

Then the two categories are equivalent.

# Jones polynomial

For  $\alpha \in \{A, B\}^n$ , we will denote the associated smoothing by  $\Gamma_\alpha$ .

Define

$r_\alpha =$  the number of B's in  $\alpha$

and

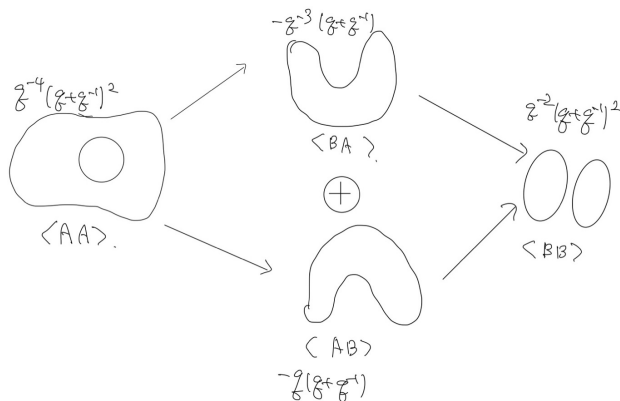
$k_\alpha =$  the number of circles in  $\Gamma_\alpha$ .

Then

Jones Polynomial[5]

$$J(L) = \sum_{\alpha \in \{A, B\}^n} (-1)^{r_\alpha + n_-} q^{r_\alpha + n_+ - 2n_-} (q + q^{-1})^{k_\alpha}.$$

# Jones Polynomial of Hopf link



$$J(L) = q^{-6} + q^{-4} + q^{-2} + 1.$$

# Conjectures

## Unknotting problem

The unknot is the unique knot  $K$  with  $V(K) = 1$ .

Even though the Jones polynomial is the strong knot invariant, it cannot detect the unknot until now.

$$D^n \rightarrow V$$

Again the functor  $F : D^n \rightarrow V$ , where  $V$  is a vector space (abelian group).

# Graded Vector Space

## Graded Vector Space

Let  $V = \mathbb{Q}\{1, x\}$  (the  $\mathbb{Q}$ -vector space with basis 1 and  $x$ ) and grade the two basis elements by  $\deg(1) = 1$  and  $\deg(x) = -1$ .

## Graded dimension

For a graded vector space  $W = \bigoplus_m V^m$ , defined the graded dimension

$$q\dim(W) = \sum_m q^m \dim(W^m)$$

.

# Grade Shifting

## Graded shift

For a graded vector space  $W$  and an integer  $l$  we can define a new graded vector space  $W\{l\}$  by

$$W\{l\}^m = W^{m-l}$$

and notice that  $q\dim(W\{l\}) = q^l q\dim(W)$ .

## Properties

$$q\dim(W \otimes W') = q\dim(W) q\dim(W'),$$

$$q\dim(W \oplus W') = q\dim(W) + q\dim(W')$$



# Cochain complex

To each  $\alpha \in \{A, B\}^n$ , associate the graded vector space

$$V_\alpha = V^{\otimes \{r_\alpha + n_+ - 2n_-\}}$$

and define

$$C^{i,*}(L) = \bigoplus_{\substack{\alpha \in \{A, B\}^n \\ r_\alpha = i + n_-}} V_\alpha.$$

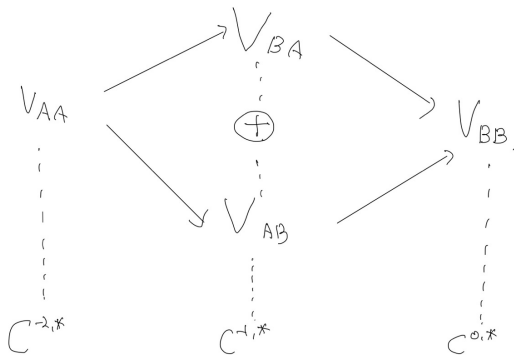
# Bi-grading

An element of  $C^{i,j}(L)$  is said to have homological grading  $i$  and  $q$ -grading  $j$ . If  $v \in V_\alpha \subset C^{*,*}(L)$ ,

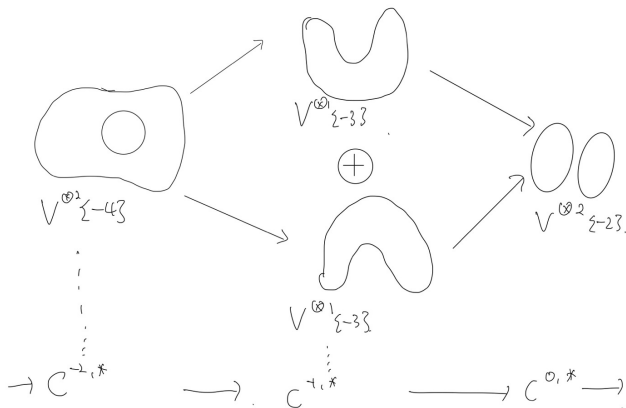
$$i = r_\alpha - n_-$$

$$j = \deg(v) + i + n_+ - n_-$$

# Vector Space



# Vector Space



# Linear map

Through the smoothing, two circles merge one circle, and one circle split to the one circle.

So we can define the linear map

$$\Delta : V \otimes V \rightarrow V$$

$$m : V \rightarrow V \otimes V.$$

such that

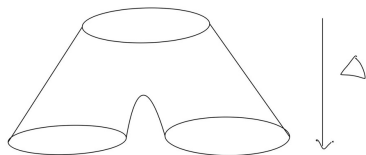
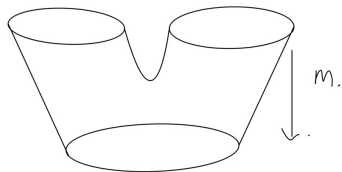
$$1 \otimes 1 \mapsto 1, 1 \otimes x, x \otimes 1 \mapsto x, x \otimes x \mapsto 0$$

and

$$1 \mapsto 1 \otimes x + x \otimes 1, x \mapsto x \otimes x.$$

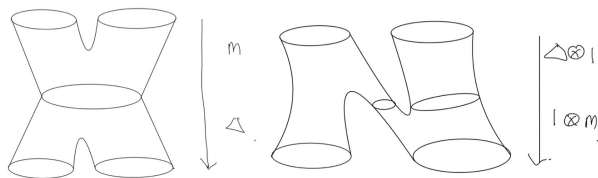
# Cobordism

These maps can be represented by the surface cobordism.



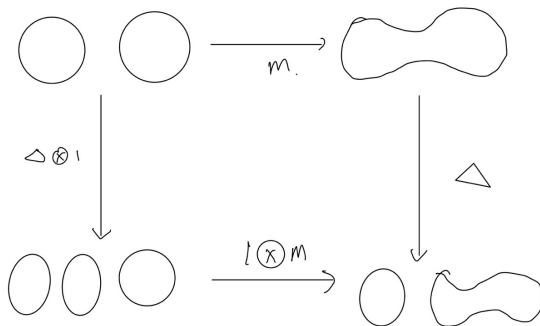
# TQFT and Frobenius Algebra

These cobordism can represent the Frobenius Algebra through the 1+1 Topological Quantum Field Theory.



# Boundary map

And it is equivalent to the commute digram.



So that  $d_1 d_2 = d_2 d_1$



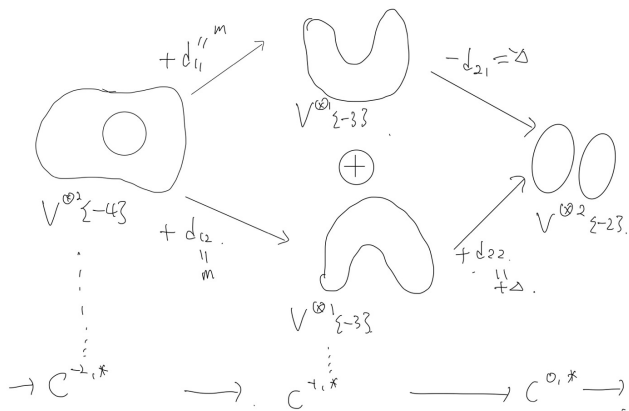
# Boundary map II

If we define the boundary map of the chain complex at slide 26 as

$$d^i(v) = \sum_{\substack{\xi \text{ such that} \\ \text{Tail}(\xi) = \alpha}} \text{sign}(\xi) d_\xi(v)$$

where  $\text{sign}(\xi) = (-1)^{\text{number of 1's to the left of } * \text{ in } \xi}$  and  $v \in V_\alpha \subset C^{i,*}(L)$ .

Then we can check that  $d^{r+1} \circ d^r = 0$  from the previous slide.



# Linear Map

Then we can define

$d^1$

$d^1 : V \otimes V \rightarrow V$  such that

$$d^1(v_1 \otimes v_2) = (m(v_1 \otimes v_2), m(v_1 \otimes v_2))$$

.

$d^2$

$d^2 : V \rightarrow V \otimes V$  such that

$$d^2(v_1, v_2) = -\Delta(v_1) + \Delta(v_2)$$

.

# Linear map computation

Write the vector spaces with the ordered basis,

$$V \otimes V = \mathbb{Q}1 \otimes 1 \oplus \mathbb{Q}x \otimes 1 \oplus \mathbb{Q}1 \otimes x \oplus x \otimes x$$

and

$$V \oplus V = \mathbb{Q}^2 1 \oplus \mathbb{Q}^2 x$$

.

# Linear map matrix

Then

$$d^1 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix} \quad d^2 = \begin{bmatrix} 0 & 0 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ -1 & 1 & 0 & 0 \\ 0 & 0 & -1 & 1 \end{bmatrix}$$

# Homology

Easily we can compute the kernel and image of each matrices. So

Homological degree	-2	-1	0
Cycles	$\{1 \otimes x - x \otimes 1, x \otimes x\}$	$\{(1, 1), (x, x)\}$	$\{1 \otimes 1, 1 \otimes x, x \otimes 1, x \otimes x\}$
Boundaries	-	$\{(1, 1), (x, x)\}$	$\{1 \otimes x + x \otimes 1, x \otimes x\}$
Homology	$\{1 \otimes x - x \otimes 1, x \otimes x\}$	-	$\{1 \otimes 1, 1 \otimes x\}$
$q$ -degrees	-4, -6		0, -2

# Khovanov Homology

$i \backslash j$	0	-1	-2	-3	-4	-5	-6
-2					$Q$		$Q$
-1							
0	$Q$		$Q$				

# Graded Euler Characteristic

Compute the graded Euler characteristic of this complex

$$\sum_i (-1)^i q^{\dim (C^{i,*}(L))} \in \mathbb{Q}[q^{\pm}]$$

is

$$q^{-6} + q^{-4} + q^{-2} + 1$$

that Jones polynomial of the Hopf link.



# Significancy

Why we use the Khovanov Homology?

Unknot detector[4]

Khovanov homology can detect the unknot.

Mathematical Physics[7]

Related to the Gauge theory via Floer homology.

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