Presentation for use with the textbook Data Structures and Algorithms in Java, 6th edition, by M. T. Goodrich, R. Tamassia, and M. H. Goldwasser, Wiley, 2014

Priority Queues

Priority Queue ADT

- A priority queue stores a collection of entries
- Each entry is a pair (key, value)
- Main methods of the Priority Queue ADT
  - insert(k, v)
    inserts an entry with key k and value v
  - removeMin()
    removes and returns the entry with smallest key, or null if the the priority queue is empty
- Additional methods
  - min()
    returns, but does not remove, an entry with smallest key, or null if the the priority queue is empty
  - size(), isEmpty()
- Applications:
  - Standby flyers
  - Auctions
  - Stock market

Example

- A sequence of priority queue methods:

Method	Return Value	Priority Queue Contents
insert(5,A)		{ (5,A) }
insert(9,C)		{ (5,A), (9,C) }
insert(3,B)		{ (3,B), (5,A), (9,C) }
min( )	(3,B)	{ (3,B), (5,A), (9,C) }
removeMin( )	(3,B)	{ (5,A), (9,C) }
insert(7,D)		{ (5,A), (7,D), (9,C) }
removeMin( )	(5,A)	{ (7,D), (9,C) }
removeMin( )	(7,D)	{ (9,C) }
removeMin( )	(9,C)	{ }
removeMin( )	null	{ }
isEmpty( )	true	{ }

Total Order Relations

- Keys in a priority queue can be arbitrary objects on which an order is defined
- Two distinct entries in a priority queue can have the same key
- Mathematical concept of total order relation $\leq$
  - Comparability property: either $x \leq y$ or $y \leq x$
  - Antisymmetric property: $x \leq y$ and $y \leq x \Rightarrow x = y$
  - Transitive property: $x \leq y$ and $y \leq z \Rightarrow x \leq z$

Entry ADT

- An entry in a priority queue is simply a key-value pair
- Priority queues store entries to allow for efficient insertion and removal based on keys
- Methods:
  - getKey: returns the key for this entry
  - getValue: returns the value associated with this entry

- As a Java interface:

```
/**
 * Interface for a key-value
 * pair entry
 **/
public interface Entry<K,V>
  {
     K getKey();
     V getValue();
  }
```

Comparator ADT

- A comparator encapsulates the action of comparing two objects according to a given total order relation
- A generic priority queue uses an auxiliary comparator
- The comparator is external to the keys being compared
- When the priority queue needs to compare two keys, it uses its comparator

- Primary method of the Comparator ADT
- compare(x, y): returns an integer i such that
  - $i < 0$ if $a < b$,
  - $i = 0$ if $a = b$
  - $i > 0$ if $a > b$
  - An error occurs if a and b cannot be compared.

Example Comparator

- Lexicographic comparison of 2-D points:

```
/** Comparator for 2D points under the
    standard lexicographic order. */
public class Lexicographic implements
    Comparator {
  int xa, ya, xb, yb;
  public int compare(Object a, Object b)
    throws ClassCastException {
      xa = ((Point2D) a).getX();
      ya = ((Point2D) a).getY();
      xb = ((Point2D) b).getX();
      yb = ((Point2D) b).getY();
      if (xa != xb)
            return (xb - xa);
      else
            return (yb - ya);
  }
}
```

- Point objects:

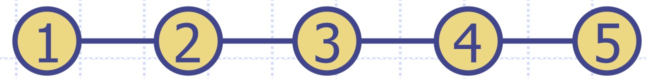
```
/** Class representing a point in the
    plane with integer coordinates */
public class Point2D {
  protected int xc, yc; // coordinates
  public Point2D(int x, int y) {
    xc = x;
    yc = y;
  }
  public int getX() {
        return xc;
  }
  public int getY() {
        return yc;
  }
}
```

Sequence-based Priority Queue

- Implementation with an unsorted list

- Performance:
  - insert takes $O(1)$ time since we can insert the item at the beginning or end of the sequence
  - removeMin and min take $O(n)$ time since we have to traverse the entire sequence to find the smallest key

- Implementation with a sorted list



- Performance:
  - insert takes $O(n)$ time since we have to find the place where to insert the item
  - removeMin and min take $O(1)$ time, since the smallest key is at the beginning

Unsorted List Implementation

```java
/** An implementation of a priority queue with an unsorted list. */
public class UnsortedPriorityQueue<K,V> extends AbstractPriorityQueue<K,V> {
  /** primary collection of priority queue entries */
  private PositionalList<Entry<K,V>> list = new LinkedPositionalList<>();

  /** Creates an empty priority queue based on the natural ordering of its keys. */
  public UnsortedPriorityQueue() { super(); }
  /** Creates an empty priority queue using the given comparator to order keys. */
  public UnsortedPriorityQueue(Comparator<K> comp) { super(comp); }

  /** Returns the Position of an entry having minimal key. */
  private Position<Entry<K,V>> findMin() {    // only called when nonempty
    Position<Entry<K,V>> small = list.first();
    for (Position<Entry<K,V>> walk : list.positions())
      if (compare(walk.getElement(), small.getElement()) < 0)
        small = walk;          // found an even smaller key
    return small;
  }

```

© 2014 Goodrich, Tamassia, Goldwasser

Unsorted List Implementation, 2

```
/** Inserts a key-value pair and returns the entry created. */
public Entry<K,V> insert(K key, V value) throws IllegalArgumentException {
  checkKey(key);      // auxiliary key-checking method (could throw exception)
  Entry<K,V> newest = new PQEntry<>(key, value);
  list.addLast(newest);
  return newest;
}

/** Returns (but does not remove) an entry with minimal key. */
public Entry<K,V> min() {
  if (list.isEmpty()) return null;
  return findMin().getElement();
}

/** Removes and returns an entry with minimal key. */
public Entry<K,V> removeMin() {
  if (list.isEmpty()) return null;
  return list.remove(findMin());
}

/** Returns the number of items in the priority queue. */
public int size() { return list.size(); }
}
```

© 2014 Goodrich, Tamassia, Goldwasser

Sorted List Implementation

```
/** An implementation of a priority queue with a sorted list. */
public class SortedPriorityQueue<K,V> extends AbstractPriorityQueue<K,V> {
  /** primary collection of priority queue entries */
  private PositionalList<Entry<K,V>> list = new LinkedPositionalList<>();

  /** Creates an empty priority queue based on the natural ordering of its keys. */
  public SortedPriorityQueue() { super(); }
  /** Creates an empty priority queue using the given comparator to order keys. */
  public SortedPriorityQueue(Comparator<K> comp) { super(comp); }

  /** Inserts a key-value pair and returns the entry created. */
  public Entry<K,V> insert(K key, V value) throws IllegalArgumentException {
    checkKey(key);      // auxiliary key-checking method (could throw exception)
    Entry<K,V> newest = new PQEntry<>(key, value);
    Position<Entry<K,V>> walk = list.last();
    // walk backward, looking for smaller key
    while (walk != null && compare(newest, walk.getElement()) < 0)
      walk = list.before(walk);
    if (walk == null)
      list.addFirst(newest);                      // new key is smallest
    else
      list.addAfter(walk, newest);                // newest goes after walk
    return newest;
  }

```

Sorted List Implementation, 2

```java
  /** Returns (but does not remove) an entry with minimal key. */
  public Entry<K,V> min() {
    if (list.isEmpty()) return null;
    return list.first().getElement();
  }

  /** Removes and returns an entry with minimal key. */
  public Entry<K,V> removeMin() {
    if (list.isEmpty()) return null;
    return list.remove(list.first());
  }

  /** Returns the number of items in the priority queue. */
  public int size() { return list.size(); }
}
```

© 2014 Goodrich, Tamassia, Goldwasser

Priority Queue Sorting

- We can use a priority queue to sort a list of comparable elements
  1. Insert the elements one by one with a series of insert operations
  2. Remove the elements in sorted order with a series of removeMin operations
- The running time of this sorting method depends on the priority queue implementation

Algorithm *PQ-Sort*(S, C)
  Input list S, comparator C for the elements of S
  Output list S sorted in increasing order according to C
  $P \leftarrow$ priority queue with
    comparator C
  while $\neg S.isEmpty()$
    $e \leftarrow S.remove(S.first())$
    $P.insert(e, \emptyset)$
  while $\neg P.isEmpty()$
    $e \leftarrow P.removeMin().getKey()$
    $S.addLast(e)$

Selection-Sort

- Selection-sort is the variation of PQ-sort where the priority queue is implemented with an unsorted sequence
- Running time of Selection-sort:
  1. Inserting the elements into the priority queue with n insert operations takes $O(n)$ time
  2. Removing the elements in sorted order from the priority queue with n removeMin operations takes time proportional to

$$1 + 2 + \ldots + n$$

- Selection-sort runs in $O(n^2)$ time

Selection-Sort Example

	Sequence S	Priority Queue P
Input:	(7,4,8,2,5,3,9)	()
Phase 1		
(a)	(4,8,2,5,3,9)	(7)
(b)	(8,2,5,3,9)	(7,4)
..	..	..
(g)	()	(7,4,8,2,5,3,9)
Phase 2		
(a)	(2)	(7,4,8,5,3,9)
(b)	(2,3)	(7,4,8,5,9)
(c)	(2,3,4)	(7,8,5,9)
(d)	(2,3,4,5)	(7,8,9)
(e)	(2,3,4,5,7)	(8,9)
(f)	(2,3,4,5,7,8)	(9)
(g)	(2,3,4,5,7,8,9)	()

Insertion-Sort

- Insertion-sort is the variation of PQ-sort where the priority queue is implemented with a sorted sequence
- Running time of Insertion-sort:
  1. Inserting the elements into the priority queue with n insert operations takes time proportional to

$$1 + 2 + \ldots + n$$

  2. Removing the elements in sorted order from the priority queue with a series of n removeMin operations takes $O(n)$ time
- Insertion-sort runs in $O(n^2)$ time

Insertion-Sort Example

	Sequence S	Priority queue P
Input:	(7,4,8,2,5,3,9)	()
Phase 1		
(a)	(4,8,2,5,3,9)	(7)
(b)	(8,2,5,3,9)	(4,7)
(c)	(2,5,3,9)	(4,7,8)
(d)	(5,3,9)	(2,4,7,8)
(e)	(3,9)	(2,4,5,7,8)
(f)	(9)	(2,3,4,5,7,8)
(g)	()	(2,3,4,5,7,8,9)
Phase 2		
(a)	(2)	(3,4,5,7,8,9)
(b)	(2,3)	(4,5,7,8,9)
..	..	..
(g)	(2,3,4,5,7,8,9)	()

In-place Insertion-Sort

- Instead of using an external data structure, we can implement selection-sort and insertion-sort in-place
- A portion of the input sequence itself serves as the priority queue
- For in-place insertion-sort
  - We keep sorted the initial portion of the sequence
  - We can use swaps instead of modifying the sequence