## Exercise 7. Prove

Theorem 8.4 (Principle of recursive definition). Let A be a set; let  $a_0$  be an element of A. Suppose  $\rho$  is a function that assigns, to each function f mapping a nonempty section of the positive integers into A, an element of A. Then there exists a unique function

$$h: \mathbb{Z}_+ \to A$$

such that

$$h(1) = a_0$$
  
 $h(i) = \rho(h|\{1, \dots, i-1\})$  for  $i > 1$ . (1)

Let us follow the schema that was used earlier in the section and prove the following results:

**Lemma 1.** Given  $n \in \mathbb{Z}_+$ , there exists a function  $h : \{1, ..., n\} \to A$  that verifies (1) for all i in its domain.

*Proof.* Let B be the subset of  $\mathbb{Z}_+$  such that the lemma is true. If n=1, then (1) gives h(1)=1, which completely defines h, so  $1 \in B$ . Suppose now that the lemma is true for some  $n \geq 1$ , and let  $h: \{1, \ldots, n\} \to A$  be defined by (1). Let

$$h':\{1,\dots,n+1\}\to A$$
 
$$x\mapsto \begin{cases} h(x) & \text{if } x\leq n\\ \rho(h) & \text{otherwise} \end{cases}$$

The induction hypothesis allows us to conclude that h' is well-defined for  $x \in \{1, ..., n\}$ . Since h is defined from  $\{1, ..., n\}$  to A, it is in the domain of  $\rho$ , so  $\rho(h)$  exists and is an element of A. Therefore  $h': \{1, ..., n+1\} \to A$  is well-defined by the above formula. Furthermore, we have  $h'(1) = h(1) = a_0$ , and for  $2 \le i \le n$ ,

$$h'(i) = h(i) = \rho(h|\{1, \dots, i-1\}) = \rho(h'|\{1, \dots, i-1\})$$

since h = h' for  $i \le n$ . Last,  $h'(n+1) = \rho(h) = \rho(h'|\{1, ..., n\})$ . From this we deduce that h' verifies (1), so B is inductive, and therefore  $B = \mathbb{Z}_+$ .  $\square$ 

**Lemma 2.** Given  $f: \{1, ..., n\} \to A$  and  $g: \{1, ..., m\} \to A$  two functions that verify (1) on their domains, f(i) = g(i) for all  $i \in \{1, ..., n\} \cap \{1, ..., m\}$ .

*Proof.* Suppose that there exists  $i \in \{1, ..., n\} \cap \{1, ..., m\}$  such that  $f(i) \neq g(i)$ . Then let  $i_0$  be the smallest such i. Note that (1) gives  $f(1) = g(1) = a_0$ 

so  $i_0 > 1$ . For all  $1 \le i < i_0$ , we have f(i) = g(i). Since f and g verify (1), we have

$$f(i_0) = \rho(f|\{1,\ldots,i_0-1\}) = \rho(g|\{1,\ldots,i_0-1\}) = g(i_0)$$

This contradicts our hypothesis that  $f(i_0) \neq g(i_0)$ , so that  $i_0$  does not exist, and therefore for all  $i \in \{1, ..., n\} \cap \{1, ..., m\}$ , f(i) = g(i)

Let us now show that there exists a unique function  $h: \mathbb{Z}_+ \to A$  that satisfies (1).

*Proof.* From lemma 1, for all  $n \in \mathbb{Z}_+$  there is a function  $f_n : \{1, \ldots, n\} \to A$  which verifies (1), and from lemma 2, this function is unique. Let us consider  $R \subset \mathbb{Z}_+ \times A$  the union of the rules of  $f_n$  for all n. Let  $i \in \mathbb{Z}_+$ , for all  $n \geq i$  and  $m \geq i$ , lemma 2 gives us  $f_n(i) = f_m(i)$ , so that there is a unique tuple  $(i, f_n(i))$  in R with i as its first coordinate. From this we deduce that R is the rule of a function  $h : \mathbb{Z}_+ \to A$ .

Since for all  $n \in \mathbb{Z}_+$ ,  $f_n(1) = a_0$ , we deduce that  $h(1) = a_0$ . Then, for all n > 1, we have

$$h(n+1) = f_{n+1}(n+1)$$
 by definition of  $R$   
=  $\rho(f_{n+1}|\{1,\ldots,n\})$  since  $f_{n+1}$  verifies (1)  
=  $\rho(h|\{1,\ldots,n\})$  by definition of  $R$ 

so h verifies (1).

Last, suppose that there exist h and h' that satisfy (1) and are such that  $h \neq h'$ . Let  $i_0$  be the smallest element of  $\mathbb{Z}_+$  such that  $h(i_0) \neq h'(i_0)$ . We have  $h(1) = h'(1) = a_0$  so that  $i_0 > 1$ . Also,

$$h'(i_0) = \rho(h'|\{1,\ldots,i_0-1\}) = \rho(h|\{1,\ldots,i_0-1\}) = h(i_0)$$

since h' and h are equal for all  $i < i_0$ . The above equation contradicts our hypothesis on the existence of  $i_0$ , so h = h'.