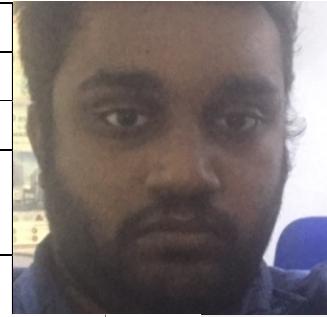
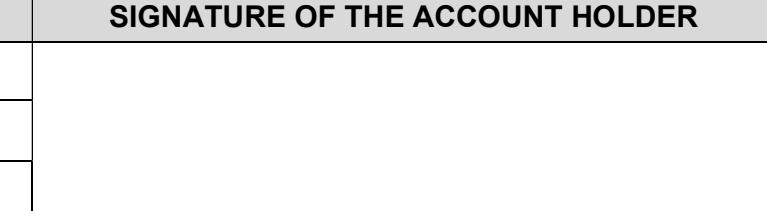


<b>Know Your Client (KYC) Application Form (For Individuals Only)</b>		 <b>dhan</b> Moneylicious Securities Private Limited					
<ul style="list-style-type: none"> <li>Please fill the form in ENGLISH and in BLOCK letters</li> <li>Fields marked * are mandatory.</li> <li>Fields marked * are pertaining to CKYC and mandatory only if processing CKYC also</li> </ul>		Application Number : Application Type : <input checked="" type="checkbox"/> New KYC <input type="checkbox"/> Modification KYC					
<b>KYC Mode: (Please tick)</b> <input type="checkbox"/> Normal <input type="checkbox"/> EKYC OTP <input type="checkbox"/> EKYC Biometric <input type="checkbox"/> Online KYC <input type="checkbox"/> Offline KYC <input checked="" type="checkbox"/> Digilocker							
<b>1. Identity Details (Please Refer Guidelines Overleaf)</b>							
PAN Number	FLWPK9959N						
Client Name	JAGADEESH KUMAR						
Maiden Name							
Father/Spouse Name	SELVAM						
Date Of Birth	23/09/1996						
Gender	<input checked="" type="checkbox"/> Male	<input type="checkbox"/> Female	<input type="checkbox"/> Transgender				
Marital Status	<input checked="" type="checkbox"/> Single	<input type="checkbox"/> Married					
Nationality	<input checked="" type="checkbox"/> Indian	<input type="checkbox"/> Others _____					
Residential Status	<input checked="" type="checkbox"/> Resident Individual	<input type="checkbox"/> Non-Resident Indian	 <small>(Passport mandatory for NRIs and Foreign Nationals. PIO selection is only for CKYC and not for KRA KYC, Select NRI or Foreign National based on Nationality of the individual)</small>				
	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Person of Indian Origin					
<b>Proof of Identity (POI) submitted for PAN exempted cases (Please tick)</b>							
<input checked="" type="checkbox"/> A - Aadhaar Card <u>XXXX - XXXX - 1126</u> 							
<input type="checkbox"/> B - Passport No. _____							
<input type="checkbox"/> C - Voter ID _____							
<input type="checkbox"/> D - DL _____							
<input type="checkbox"/> E - NREGA Card _____							
<input type="checkbox"/> F - NPR _____							
<input type="checkbox"/> Z - Others _____							
<b>2. Address Details (Please Refer Guidelines Overleaf)</b>							
<b>A. Correspondence Address / Local Address</b>							
Address Line 1	C/O Selvam No 28 Velacherry Chennai						
Address Line 2	Velacherry Chennai Kokilam Street						
Address Line 3	Tamil Nadu						
City / Town / Village	Chennai	District	Chennai	PIN	600042		
State	Tamil Nadu	Country	INDIA				
Address Type	<input type="checkbox"/> Residential/Business	<input checked="" type="checkbox"/> Residential	<input type="checkbox"/> Business	<input type="checkbox"/> Registered Office	<input type="checkbox"/> Unspecified		
<b>NAME OF THE ACCOUNT HOLDER</b>		<b>SIGNATURE OF THE ACCOUNT HOLDER</b>					
JAGADEESH KUMAR							
Place	Mumbai						
Date	22/07/2024						

**B. Permanent Residence Address of applicant, if different from above A**

Address Line 1	C/O Selvam No 28 Velacherry Chennai			
Address Line 2	Velacherry Chennai Kokilam Street			
Address Line 3	Tamil Nadu			
City / Town / Village	Chennai	District	Chennai	PIN 600042
State	Tamil Nadu	Country	INDIA	
Address Type	<input type="checkbox"/> Residential/Business <input checked="" type="checkbox"/> Residential <input type="checkbox"/> Business <input type="checkbox"/> Registered Office <input type="checkbox"/> Unspecified			

**Proof of Address** (attested copy of any 1 POA for correspondence and permanent address each to be submitted)

<input checked="" type="checkbox"/> A - Aadhaar Card	XXXX - XXXX - 1126
<input type="checkbox"/> B - Passport No.	
<input type="checkbox"/> C - Voter ID	
<input type="checkbox"/> D - DL	
<input type="checkbox"/> E - NREGA Card	
<input type="checkbox"/> F - NPR	
<input type="checkbox"/> Z - Others	

**3. Contact Details (in CAPITAL)**

Email ID	jagadeesh_2k17@proton.me		
Mobile Number	+91 - 7397285837		
Tel No (Off)		Tel No. (Res)	

**4. Applicant Declaration**

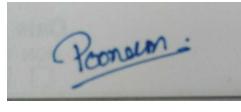
I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
Place	MUMBAI	
Date	22/07/2024	

**FOR OFFICE USE ONLY**

In-Person Verification (IPV) carried out by			 
Intermediary Name	Moneylicious Securities Pvt Ltd		
AMC / Intermediary Code	1200006593		
Employee Name	Poonam Patil		
Employee Code	100049		
Employee Designation	KYC OFFICER		
IPV Date	22-07-2024		
<input checked="" type="checkbox"/> Self Certified document copies received (OVD) <input type="checkbox"/> True copies of documents received (Attested)			

Employee  
Signature

## Proof of Identity



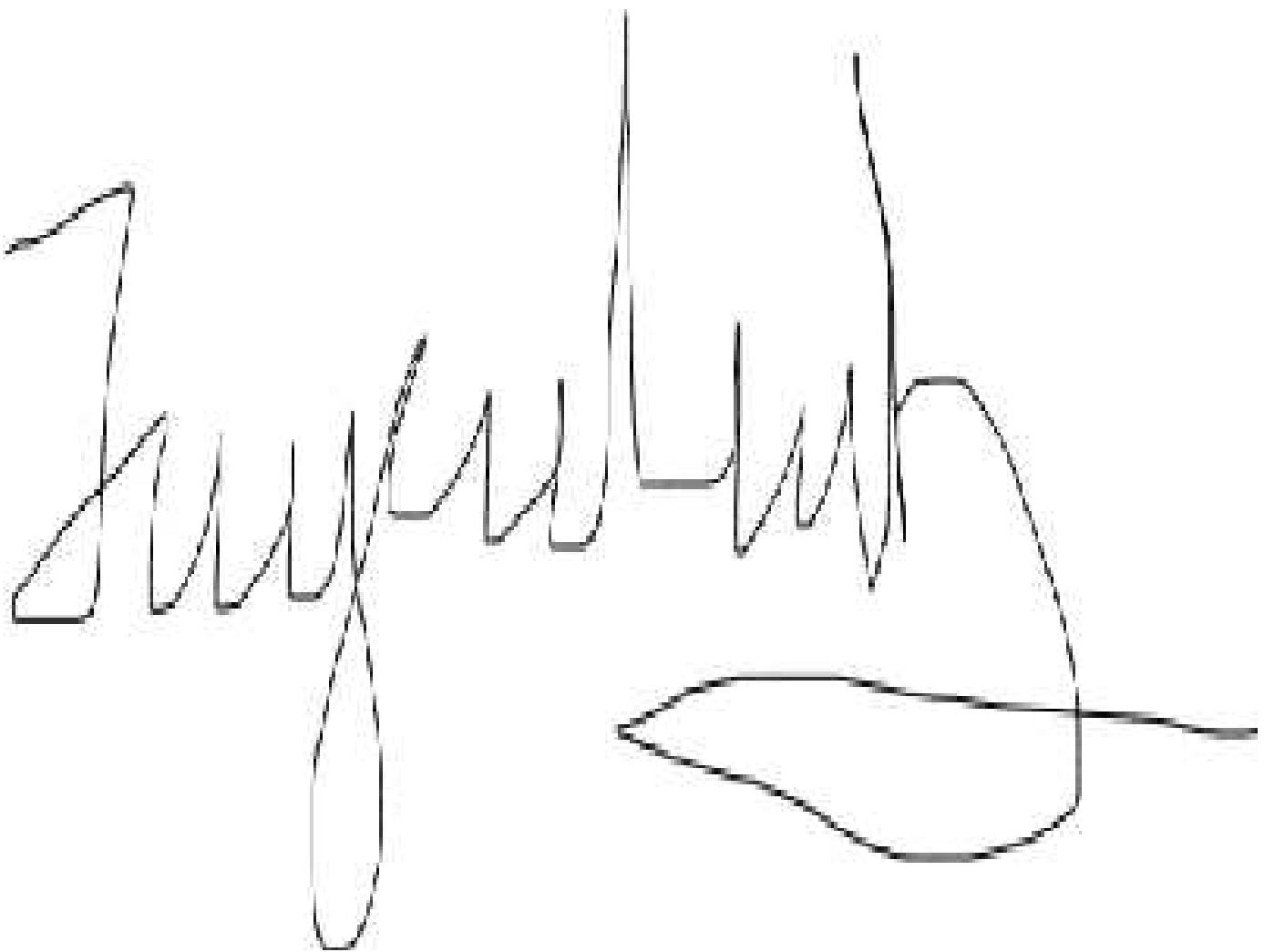
Client Name	JAGADEESH KUMAR
Pan Number	FLWPK9959N



**DIGILOCKER VERIFIED E-AADHAAR**

This document is generated from verified Aadhaar XML obtained  
from DigiLocker with due user consent and authentication

<b>Document Type</b>	e-Aadhaar generated from DigiLocker verified Aadhaar XML		
<b>Generation Date</b>	2024-07-22T15:58:39.246Z	<b>Download Date</b>	2024-07-22T15:58:39.246Z
<b>Masked Aadhaar Number</b>	xxxxxxxxx1126		
<b>Name</b>	Jagadeesh Kumar S		
<b>Date of Birth</b>	23/09/1996		
<b>Gender</b>	Male		
<b>c/o, s/o</b>	C/O: Selvam		
<b>Address</b>	C/O: Selvam No 28 Kokilam Street Velacherry Chennai Velacherry Chennai Tamil Nadu 600042 India		
<b>Landmark</b>		<b>District</b>	Chennai
<b>City</b>	Chennai		
<b>Pincode</b>	600042	<b>State</b>	Tamil Nadu

**Signature**

Client Name	JAGADEESH KUMAR
-------------	-----------------





# Account Opening Form

Equity • F&O • Currency • Commodity • Mutual Funds



**#MadeForTrade**

## INSTRUCTIONS & CHECKLIST FOR FILLING ACCOUNT OPENING FORM & KYC

### **A. IMPORTANT POINTS:**

1. Self-attested copy of the PAN card is mandatory for all clients.
2. Copies of all the documents submitted by the applicant should be self-attested and accompanied by originals for verification. In case the original of any document is not produced for verification, then the copies should be properly attested by entities authorized for attesting the documents, as per the below mentioned list.
3. If any proof of identity or address is in a foreign language, then translation into English is required.
4. Name & address of the applicant mentioned on the KYC form, should match with the documentary proof submitted.
5. If correspondence & permanent address are different, then proofs for both have to be submitted.
6. Sole proprietor must make the application in his individual name & capacity.
7. For non-residents and foreign nationals, (allowed to trade subject to RBI and FEMA guidelines), copy of passport/PIOCard/OCICard and overseas address proof is mandatory.
8. For foreign entities, CIN is optional; and in the absence of DIN no. for the directors, their passport copy should be given.
9. In case of Merchant Navy NRI's, Mariner's declaration or certified copy of CDC (Continuous Discharge Certificate) is to be submitted.
10. For opening an account with a Depository participant or Mutual Fund, for a minor, photocopy of the School Leaving Certificate/Mark sheet issued by the Higher Secondary Board/Passport of Minor/Birth Certificate must be provided.
11. Politically Exposed Persons (PEP) are defined as individuals who are or have been entrusted with prominent public functions in a foreign country, e.g., Heads of States or of Governments, senior politicians, senior Government/judicial/military officers, senior executives of state-owned corporations, important political party officials, etc.

### **B. PROOF OF IDENTITY (POI):**

List of documents admissible as Proof of Identity:

1. PAN card with photograph.
2. Unique Identification Number (UID) (Aadhaar) / Passport / Voter ID card / Driving license.
3. Identity card/ document with applicant's Photo, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities, Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members; and Credit cards/Debit cards issued by Banks.

### **C. PROOF OF ADDRESS (POA):**

List of documents admissible as Proof of Address: (Documents having an expiry date should be valid on the date of submission)

1. UID/Passport/Voters Identity Card/Ration Card/Registered Lease or Sale Agreement of Residence/Driving License/Flat Maintenance bill/Insurance Copy/Unique Identification Number (UID) (Aadhaar).
2. Utility bills like Telephone Bill (only land line), Electricity bill or Gas bill - Not more than 3 months old.
3. Bank Account Statement/Passbook - Not more than 3 months old.
4. Self-declaration by High Court and Supreme Court judges, giving the new address in respect of their own accounts.
5. Proof of address issued by any of the following: Bank Managers of Scheduled Commercial Banks/Scheduled Co-Operative Bank/Multinational Foreign Banks/Gazetted Officer/Notary public/Elected representatives to the Legislative Assembly / Parliament/Documents issued by any Govt. or Statutory Authority.
6. Identity card/document with address, issued by any of the following: Central/State Government and its Departments, Statutory/Regulatory Authorities, Public Sector Undertakings, Scheduled Commercial Banks, Public Financial Institutions, Colleges affiliated to Universities and Professional Bodies such as ICAI, ICWAI, ICSI, Bar Council etc., to their Members.
7. For FII/sub account, Power of Attorney given by FII/sub-account to the Custodians (which are duly notarized and/or apostille or consularized) that gives the registered address should be taken.
8. The proof of address in the name of the spouse may be accepted.

### **D. EXEMPTIONS/CLARIFICATIONS TO PAN:**

Sufficient documentary evidence in support of such claims to be collected.

1. In case of transactions undertaken on behalf of Central Government and / or State Government and by officials appointed by Courts e.g. Official liquidator, Court receiver etc.
2. Investors residing in the state of Sikkim.
3. UN entities / multilateral agencies exempt from paying taxes / filing tax returns in India.
4. SIP of Mutual Funds up to ₹50,000/- p.a.
5. In case of institutional clients, namely, FIIs, MFs, VCFs, FVCIs, Scheduled Commercial Banks, Multilateral and Bilateral Development Financial Institutions, State Industrial Development Corporations, Insurance Companies registered with IRDA and Public Financial Institution as defined under section 4A of the Companies Act, 1956, Custodians shall verify

the PAN card details with the original PAN card and provide duly certified copies of such verified PAN details to the intermediary.

#### **E. LIST OF PEOPLE AUTHORIZED TO ATTEST THE DOCUMENTS:**

1. Notary Public, Gazetted Officer, Manager of a Scheduled Commercial / Co-operative Bank or Multinational Foreign Banks (Name, Designation & Seal should be affixed on the copy).
2. In case of NRIs, authorized officials of overseas branches of Scheduled Commercial Banks registered in India, Notary Public, Court Magistrate, Judge, Indian Embassy / Consulate General in the country where the client resides are permitted to attest the documents.

#### **F. ADDITIONAL DOCUMENTS IN CASE OF TRADING IN DERIVATIVES SEGMENTS – illustrative List:**

Copy of ITR Acknowledgement	Copy of Annual Accounts
In case of salary income - Salary Slip, Copy of Form 16	Net worth certificate
Copy of demat account holding statement.	Bank account statement for last 6 months
Any other relevant documents substantiating ownership of Assets.	Self-declaration with relevant supporting documents

\*In respect of other clients, documents as per risk management policy of the stock-broker need to be provided by the client from time to time.

#### **G. BANK ACCOUNT PROOF:**

1. Copy of cancelled cheque leaf/passbook/bank statement specifying name of the constituent, MICR Code or/ and IFSC Code of the bank should be submitted.

H. Demat Master or recent holding statement issued by DP bearing name of the client.

#### **I. FOR INDIVIDUALS:**

1. Stockbroker have an option of doing 'in-person' verification through a web camera at the branch office of the stock-broker /sub-broker's office.
2. In case of non-resident clients, employees at the stock-broker's local office, overseas can do in-person verification. Further, considering the infeasibility of carrying out 'In-person' verification of the non- resident clients by the stock-broker's staff, attestation of KYC documents by Notary Public, Court, Magistrate, Judge, Local Banker, Indian Embassy / Consulate General in the country where the client resides may be permitted.

#### **I. FOR NON-INDIVIDUALS:**

Clients also have the option to do their own 'in-person' verification via video captured through mobile or computer or internet connected device with ability of demonstrating that it was live and conducted for the purpose of verification.'

1. For non-individuals: Form need to be initialized by all the authorized signatories.
2. Copy of Board Resolution or declaration (on the letterhead) naming the persons authorized to deal in securities on behalf of company/firm/others and their specimen signatures
3. In case of non-individuals, name, designation, PAN, UID, signature, residential address and photographs of persons authorized to deal in securities on behalf of company/firm/others

# INDEX

## MANDATORY DOCUMENTS AS PRESCRIBED BY SEBI & EXCHANGES

SR. NO.	NAME OF DOCUMENT	BRIEF SIGNIFICANCE OF THE DOCUMENTS	PAGE NO.	
1.	Account Opening Form	<ul style="list-style-type: none"> <li>• KYC Form – Document captures the basic information about the constituent and an instruction / check list.</li> <li>• Document captures the additional information about the constituent relevant to Trading /Demat account and an instruction / check list.</li> </ul>	1 – 14	<b>Booklet - 1</b>
2.	Tariff Sheet	Document detailing the rate/amount of brokerage & other charges levied on the client for trading on stock exchange(s) & DP Service charges / Fee Structure.	15 – 16	
3.	Nomination Form	Collects details of any nomination made for the account	17 – 18	
4.	Internet & Wireless Technology	Internet & wireless technology-based trading facility provided by stock-broker to client.	19	
5.	MITC	Declaration of Most Important Terms and Conditions (MITC)	23	
6.	Acknowledgement	Copy of Acknowledgement	25	
7.	Rights & Obligations	Document stating the Rights & Obligations of stock broker/trading member, sub-broker and client for trading on Exchanges / Depository Participant (Including additional rights & obligations in case of interne/wireless technology based trading).	2 - 6	
8.	Risk Disclosure Document (RDD)	Document detailing risks associated with dealing in the securities market.	7 - 9	
9.	Guidance Note	Document detailing do's and don'ts for trading on exchange, for the education of the investors.	10	
10.	Policies & Procedures	Document describing significant policies and procedures of the stock broker ( <i>to be added by the stock broker</i> ).	11 – 15	

## VOLUNTARY DOCUMENTS

11.	Running Account Authorization & Issuance of DIS Booklet	<ul style="list-style-type: none"> <li>• Authorization Letter for Running Account</li> <li>• Option form for issue DIS (Delivery Instruction Slip) Booklet</li> </ul>	20	<b>Booklet - 2</b>
12.	ECN / SMS & Email Alerts	<ul style="list-style-type: none"> <li>• Letter for Authorization for Electronic Contract Notes (ECN)</li> <li>• SMS And Email Alerts to Investors by Stock Exchange</li> </ul>	21	
13.	Declaration / Consent	<ul style="list-style-type: none"> <li>• Declaration from client</li> <li>• Commodity option consent</li> </ul>	22	
14.	Undertaking/Authorization	With respect to Member-Constituent Relationship and Mandatory & Voluntary (optional) documents executed.	16 - 17	<b>Booklet - 2</b>
15.	Rights & Obligations - MTF	Rights & Obligations relating to Margin Trading Facility.	18 - 19	

## MEMBERSHIP DETAILS

Name of Trading Member	<b>Moneylicious Securities Private Limited</b> Hereinafter referred to as <b>Dhan</b> or <b>Moneylicious</b>		
SEBI Registration Number	Stock-Broker (INZ000006031) effective 06.04.2015 Depository Participant (IN-DP-289-2016) effective 19.09.2016		
Exchanges and Segments	NSE	Trading Member Code: 90133 & Clearing Code: M52027 - Cash, F&O, Currency, Commodities	
	BSE	Trading Member Code: 6593 - Cash, F&O, Currency, Commodities	
	MCX	Trading Member Code: 56320 & Clearing Code: 7085 - Commodities	
	CDSL	Membership: IN-DP-289-2016, DP ID - 83400	
Registered Office Address	14D, 4th Floor, Shri Krishna Chambers, 78, Bentinck Street, Kolkata - 700001, West Bengal, India		
Correspondence Address	302, 3rd Floor, The Western Edge I, Off Western Express Highway, Borivali (East), Mumbai - 400066, Maharashtra, India Phone: (91) 9987761000 Fax: (91) (22) 4311 6601		
Compliance Officer	Mr. Manish Garg Email Id: complianceofficer@dhan.co Phone: (91) 8655740961		
CEO / Director Details	Mr. Pravin Jadhav & Mr. Jay Prakash Gupta Email Id: founders@dhan.co Phone: (91) 8976718243		
Customer Service	Mr. Jay Khatnani, Head - Customer Service Email: head.customerservice@dhan.co Phone: (91) 9987761000		
CIN	U74999WB2012PTC184187		
Website	<a href="http://www.dhan.co">www.dhan.co</a>		

For any grievance, please email Dhan on [grievance@dhan.co](mailto:grievance@dhan.co), [grievancedp@dhan.co](mailto:grievancedp@dhan.co) or call us on (91) 9987761000

### If you are not satisfied with the response, please contact the concerned exchange at:

NSE	<a href="mailto:ignse@nse.co.in">ignse@nse.co.in</a> or contact at 1800-266-0058
BSE	<a href="mailto:is@bseindia.com">is@bseindia.com</a> or contact at +91-22-2272-1234/33, Fax No. +91-22-2272-3677
MCX	<a href="mailto:grievance@mcxindia.com">grievance@mcxindia.com</a> or contact at 022 - 6731 8888
CDSL	<a href="mailto:complaints@cdslindia.com">complaints@cdslindia.com</a> or contact at 1800-22-5533

You can also lodge your grievances with SEBI at <https://scores.sebi.gov.in>. For any queries, feedback or assistance, please contact SEBI office or toll-free Helpline at 1800-22-7575 / 1800-266-7575

### Disclosure in Terms of SEBI Circular No.: SEBI/MRD/SE/CIR-42/2003 Dated November 19, 2003

Moneylicious Securities Private Limited engages in proprietary trades on BSE/NSE/MCX exchanges, encompassing both cash/derivatives/currency and commodity segments.

## TRADING AND DEMAT ACCOUNT RELATED DETAILS

### A. BANK / DIVIDEND BANK ACCOUNT DETAILS (DEFAULT)

Bank Name	City Union Bank		
Bank Branch Address	24 38 rajaji salai opp to pf office tambaram west chennai kancheepuram		
Bank Account No.	500101010184855	A/c Type	<input checked="" type="checkbox"/> Savings <input type="checkbox"/> Current
MICR Code	572054002	IFS Code	CIUB0000117

### B. DEPOSITORY DETAILS (DEFAULT)

Depository Name	MONEYLICIOUS SECURITIES PRIVATE LIMITED		
Depository Type	<input checked="" type="checkbox"/> CDSL <input type="checkbox"/> NSDL	DP ID	12083400
Beneficiary Owner Name	JAGADEESH KUMAR		
Beneficiary ID (BO ID):	1208340018540945		

### C. OTHER DETAILS

1. Annual Income OR Net worth	<input type="checkbox"/> Below 1 Lac <input checked="" type="checkbox"/> 1-5 Lac <input type="checkbox"/> 5-10 Lac <input type="checkbox"/> 10-25 Lac <input type="checkbox"/> 25 L – 1 Cr <input type="checkbox"/> Above 1 Cr
	(Net worth should not be older than 1 year) Rs. _____ as on Date
2. Occupation	<input checked="" type="checkbox"/> Private Sector <input type="checkbox"/> Public sector <input type="checkbox"/> Professional <input type="checkbox"/> Business <input type="checkbox"/> Government Service <input type="checkbox"/> Agriculturist <input type="checkbox"/> Housewife <input type="checkbox"/> Student <input type="checkbox"/> Retired <input type="checkbox"/> Others _____
3. Please Tick	<input type="checkbox"/> Politically Exposed Person <input type="checkbox"/> Related to a Politically Exposed Person <input checked="" type="checkbox"/> NA
<input type="checkbox"/> Whether you wish to receive (a) Physical contract note <input checked="" type="checkbox"/> Electronic Contract Note (ECN) If ECN Specify your Email ID: <u>jagadeesh_2k17@proton.me</u>	
Whether you wish to avail the facility of internet trading/wireless technology/mobile trading <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
Number of years of Investment/Trading Experience: <input type="checkbox"/> NIL <input type="checkbox"/> 1-5 Years <input checked="" type="checkbox"/> > 5 Years	

### D. IN WHAT CAPACITY DO YOU TRADE IN COMMODITY

<input type="checkbox"/> Value Chain Participant <input checked="" type="checkbox"/> Others
In case you are trading in the capacity as a Farmer/FPO, Domestic Financial Institutional investor or foreign participant then please send us the intimation on <a href="mailto:help@dhan.co">help@dhan.co</a>

### E. PAST ACTIONS

Details of any action/proceedings initiated/pending/ taken by SEBI/ Stock Exchange/any other authority against the applicant/constituent or its Partners/ Promoters/ Whole-Time Directors/ Authorized Persons in charge of dealing in securities during the last 3 years: _____
---

### F. ADDRESS IN THE JURISDICTION DETAILS WHERE APPLICANT IS RESIDENT OUTSIDE INDIA FOR TAX PURPOSE

Address				
City / Town		District		PIN Code
State / U. T.		Country / ISO Code		

#### G. DEALINGS THROUGH SUB-BROKERS AND OTHER STOCK BROKERS

1. If Client is dealing through the Sub-Broker, provide the following details:

Sub-Broker's Name		SEBI Registration Number	
Registered Office Address			
Phone	Fax	Website	
2. Whether dealing with any other Stock Broker/Sub-Broker (If applicable, kindly provide following details)			
Name of Stock Broker		Name of Sub-Broker, if any	
Client Code		Exchange's	
Details of disputes/dues pending from/to such stock broker/sub-broker _____			

#### H. INTRODUCER DETAILS (Optional)

Full Name of the Introducer	Address & Mobile Number		
Status of the Introducer			
Type of the Introducer:	<input type="checkbox"/> Sub-broker	<input type="checkbox"/> Existing client	<input type="checkbox"/> Authorized Person
Signature of the Introducer			

#### I. TRADING PREFERENCE

\*Please sign in the relevant boxes where you wish to trade. Please strike off the segment not chosen by you.

EXCHANGES	NSE, BSE				NSE, BSE & MCX
All Segments	Cash/Mutual Fund	F&O	Currency	Debt	Commodity

If you do not wish to trade in any of segments / Mutual Fund, please write to us at [help@dhan.co](mailto:help@dhan.co)

#### DECLARATION

- I/We hereby declare that the details furnished above are true and correct to the best of my/our knowledge and belief and I/We undertake to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/ We are aware that I/We may be held liable for it.
- I/We confirm having read/been explained and understood the contents of the document on policy and procedures of the Stock Broker and the Tariff Sheet.
- I/We further confirm having read and understood the contents of the 'Rights and Obligations' document(s) and 'Risk Disclosure Document'. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for Information on Stock Broker's designated website, if any.
- I/We have received and read the Rights and Obligations document and terms & conditions and agree to abide by and be bound by the same and by the Bye Laws as are in force from time to time. I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application. I/We agree and undertake to intimate the DP any change(s) in the details / Particulars mentioned by me/ us in this form. I/We further agree that any false / misleading information given by me/ us or suppression of any material information will render my/ our account liable for termination and suitable action.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER	
JAGADEESH KUMAR			
Place	MUMBAI		
Date	22/07/2024		

## ADDITIONAL DETAILS FOR OPENING A DEMAT ACCOUNT – FOR INDIVIDUALS

Application No.	1103883010	DP Internal Reference No.	1103883010
DP ID	12083400	Client ID	18540945
UCC Code	THRL45410S	Date	22/07/2024

(To be filled by the applicant in BLOCK LETTERS in English)

I/We request you to open a demat account in my/ our name as per following details:-

### HOLDER'S DETAILS

Sole / First Holder Name	JAGADEESH KUMAR	1st PAN	FLWPK9959N
Exchange Name & ID	NSE - 90133 , BSE - 6593 and MCX - 56320	1st UID	xxxxxxxxx1126
Second Holder Name		2nd PAN	
		2nd UID	
Third Holder Name		3rd PAN	
		3rd UID	

\*In case of Firms, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., although the account is opened in the name of the natural persons, the name of the Firm, Association of Persons (AOP), Partnership Firm, Unregistered Trust, etc., should be mentioned above.

### TYPE OF ACCOUNT (Please tick whichever is applicable)

Status	Sub-Status	
<input checked="" type="checkbox"/> Individual	<input checked="" type="checkbox"/> Individual Resident <input type="checkbox"/> Individual Director's Relative <input type="checkbox"/> Individual Promoter	<input type="checkbox"/> Individual-Director <input type="checkbox"/> Individual HUF/AOP <input type="checkbox"/> Minor
<input type="checkbox"/> NRI	<input type="checkbox"/> NRI Repatriable <input type="checkbox"/> NRI Repatriable Promoter <input type="checkbox"/> NRI – Depository Receipts	<input type="checkbox"/> NRI Non-Repatriable <input type="checkbox"/> NRI Non-Repatriable Promoter
<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National	<input type="checkbox"/> Foreign National Depository Receipts

### ADDITIONAL DETAILS

Whether you wish to receive *standard documents in electronic form	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Whether you wish to receive the confirmation, account statements and any other correspondence electronically or physically	<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic
Please specify your choice of receiving a copy of KYC form	<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic
I / We would like to instruct the DP to accept all the pledge instructions in my /our account without any other further instruction from my/our end.	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I/We would like to receive Statement of Account / Transaction-cum-Holding Statement / Daily Margin Statement and All Other Statement at my registered email ID	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to share the email ID with the RTA	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We instruct the DP to receive each and every credit in my/ our account	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
I / We would like to receive the Annual Report	<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic
I/ We wish to receive dividend / interest directly in to my/or bank account as given below through ECS (If not marked, the default option would be 'Yes') [ECS is mandatory for locations notified by SEBI from time to time]	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No

SMS Alert Facility	On registered Mobile Number (Mandatory if you are giving Power Of Attorney (POA). Ensure that the mobile number is provided in the KYC application form		Mobile Number: +91 7397285837
Account Statement Requirement	<input type="checkbox"/> As per SEBI Regulation <input type="checkbox"/> Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input checked="" type="checkbox"/> Monthly		
I/We hereby opt for	<input checked="" type="checkbox"/> Regular Demat Account <input type="checkbox"/> BSDA Account		
I/We would like to receive client copy of containing following.		<input type="checkbox"/> Physical <input checked="" type="checkbox"/> Electronic	
<ul style="list-style-type: none"> <li>▪ Rights and Obligations of Stock Rights and Obligations of Stock Brokers, Sub-Broker /AP /APs and Clients as prescribed by SEBI and Exchanges</li> <li>▪ Rights and Obligations of Beneficial Owner and Depository Participant as prescribed by SEBI and Depositories</li> <li>▪ Risk disclosure Document for Capital, Currency, Stock and Commodity Derivatives Segment</li> <li>▪ Guidance Note-Do's and Don'ts for Trading on the Exchange (s) for Investors</li> <li>▪ Policies and Procedures applicable to clients.</li> </ul>			
Transactions Using Secured Texting Facility (TRUST).	I/We wish to avail the TRUST facility using the Mobile number registered for SMS Alert Facility. I/We have read and understood the Terms and Conditions prescribed by CDSL for the same.		<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
	I/We wish to register the following clearing member IDs under my/our below Conditions Annexure – 2.6 mentioned BO ID registered for TRUST		
	Stock Exchange Name/ID	Clearing Member Name	Clearing Member ID (Optional)
	NSE / 90133	Moneylicious Securities Private Limited	INZ000006031
	BSE / 6593	Moneylicious Securities Private Limited	INZ000006031
MCX / 56320	Moneylicious Securities Private Limited	INZ000006031	
	To register for easi, please visit our website <a href="http://www.cdstindia.com">www.cdstindia.com</a> Easi allows a BO to view his ISIN balances, transactions, and value of the portfolio online.		

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER	
JAGADEESH KUMAR			
UCC Code	THRL45410S		
DP BO ID	1208340018540945		
Place	MUMBAI		
Date	22/07/2024		

FOR OFFICE USE ONLY				
UCC / Client Code	THRL45410S			
Documents verified with Originals / Client Interviewed By / In-Person Verification done by				
Organization Name:	<b>MONEYLICIOUS SECURITIES PRIVATE LIMITED</b>			
Employee Name	Poonam Patil		Employee Code	100049
Designation	KYC OFFICER	Branch Name	Head Office	Stamp & Signature of Authorized Signatory
Date	22-07-2024	Signature	Poonam	
Place	INDIA			
I / We undertake that we have made the client aware of 'Policy and Procedures', tariff sheet and all the non-mandatory documents. I/We have also made the client aware of 'Rights and Obligations' document (s), RDD and Guidance Note. I/We have given/sent him a copy of all the KYC documents. I/We undertake that any change in the 'Policy and Procedures', tariff sheet and all the non-mandatory documents would be duly intimated to the clients. I/We also undertake that any change in the 'Rights and Obligations' and RDD would be made available onmy/our website, if any, for the information of the clients.				



## TARIFF SHEET

**Brokerage, Charges, Fees applicable for your Investment, Trading and Demat account with Dhan**

### **Charges related to Exchange Transactions & Other Applicable Charges and Fees**

Type of Trade / Transaction	Amount / Fees / Brokerage / Charges (GST extra)
Delivery (Equity / ETF)	Zero Brokerage
Intraday (Equity / ETF)	₹20/- per Executed Order or 0.03% of Turnover - whichever is lower
Futures :- Equity / Currency / Commodity	₹20/- per Executed Order or 0.03% of Turnover - whichever is lower
Options :- Equity / Currency / Commodity	₹20/- per Executed Order
Square Off by System / Dhan	₹20/- per Executed Order (in addition to brokerage for all segments)
Call & Trade Service	₹50/- per Executed Order (in addition to brokerage for all segments)
IPO Bid or Allotment	Nil
Buyback / OFS / Takeover	₹20/- per Executed Order
Mutual Fund Transactions Charges	Nil
DP Charges	₹12.50 for every transaction (instruction) in the Scrip / ISIN

**Note:** Above charges do not include taxes. GST at applicable rates shall be applied separately.

Clients who opt to receive physical contract notes will be charged ₹100 per instance plus courier charges. A brokerage of 0.1% of the contract value will be charged for contracts where the client provides/takes physical delivery to/from exchanges. All physical settled contracts (Futures & Options) will also carry an applicable Exchange charge. Brokerage of ₹20 per executed order is also charged on expired, exercised, and assigned Options contracts.

Brokerage will not exceed the rates specified by SEBI and the Exchanges. All Statutory and Regulatory charges, taxes will be levied at actuals. In addition to the brokerage, the following charges will also be levied. These charges do not include taxes.

### **Additional Charges Applicable on Exchange Transactions**

Other Charges	Description of Charges
Exchange Turnover Charges	Charges levied by exchanges on each transaction
Securities Transaction Charges (STT)	Direct Tax levied under the Securities Transaction Tax (STT) Act
Commodity Transaction Charge (CTT)	Direct Tax levied by the Government for certain commodities
Stamp Duty	Charges levied by State Governments where investor resides
SEBI Turnover Fees	Charges levied by SEBI on each transaction
Clearing Charges	Charges levied by the Clearing Member
GST	Goods and Service Tax (GST) applicable

I agree with the terms and conditions mentioned above. I agree to pay the charges as per ones mentioned above with respect to my account (investment / trading / demat / user) on Dhan. I understand that the tariff is subject to change and I shall keep myself updated with the same from the pricing section on Dhan website.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024	

## ADDITIONAL TARIFF SHEET & STRUCTURE

Type of Trade / Transaction	Amount / Fees / Brokerage / Charges (GST extra)
<b>Investment Account Related Charges &amp; Demat Transaction Charges</b>	
KYC & Account Opening Fees & Annual Platform Fees (AMC)	Zero
Market Trades - Buy (Credit)	Nil
Market Trades - Sell (Debit)	₹12.50 for every transaction (instruction) in the Scrip / ISIN
Dematerialisation / Rematerialisation (Including MF)	₹250 per certificate + Courier charges
Bounced Contract Note (Physical Contract Note dispatch)	Up to ₹ 500/- per instance up to 5 pages & in multiple thereof
Courier charges per Demat/Remat	₹300 per courier
Pledge (Creation / Closure/Invocation)	₹12.50 for every transaction (instruction) in the Scrip / ISIN
Margin / MTF / Cuspa (Pledge / Unpledge/ Invocation)	₹12.50 for every transaction (instruction) in the Scrip / ISIN
Margin Repledge	Zero
Off Market Transfer (Debit)	₹12.50 for every transaction (instruction) in the Scrip / ISIN
MF Re-Purchase / Redumption	₹12.50 for every transaction (instruction) in the Scrip / ISIN
Beneficiary addition in Demat account (For Off market transfer)	₹ 25 per Demat account
Inter Settlement Pay-in Charges (From Dhan's POOL A/c)	₹12.50 for every transaction (instruction) in the Scrip / ISIN
<b>Payment Related Charges</b>	
Top-Up via UPI & Netbanking / Withdrawal	Nil
<b>Account Freezing / De-Freezing Charges / Modification / DDPI</b>	
Account Freeze	Nil (frozen / dormant as per regulations / guidelines)
Account De-Freeze / Reactivate	₹25/- per request
Online Modification's / Addition	₹25/- per request
DDPI Activation	₹100/- (Inclusive of GST)
<b>Periodic Statements / Ad Hoc Statements / Non-Payment of Dues / Obligations &amp; Other Charges</b>	
By Email (Periodic)	Nil
Physical (Periodic / Ad Hoc Request)	₹500/- per request for 10 pages + ₹50/- every additional page + Courier charges
By Email (Ad Hoc Request)	₹20/- per request
Bounced Cheque Charges	₹500/- per bounced cheque
KRA Upload / Download	₹50/- per request
Rate of Interest applicable on non-payment of dues or obligations related to depository	13% per annum

\*Above charges do not include taxes. GST at applicable rates shall be applied separately.

\*\*CDSL charges, if any, are included in the amounts listed above.

For all purposes, the bill date shall be construed as the demand date and the bills will be considered as the bill cum notice for payment and Dhan reserves the right to freeze trading / depository / user account for debit transactions in case of non payment of charges after two days from the bill date.

I agree to pay the charges as per ones mentioned above with respect to my account (investment / trading / demat / user) on Dhan along with the transaction charges as included in this Account Opening Form. I understand that the tariff is subject to change and I shall keep myself updated with the same from the pricing section on Dhan website. All charges are non refundable. Taxes, Statutory and Regulatory charges are as applicable. Express Courier Charges as applicable.

**Note:** The charges quoted above are for the services listed. Apart from these, Dhan may also offer additional paid services. Dhan will be informing the customers with regards to such services and charges associated with the same will be billed separately by intimating on an upfront basis.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024	

## NOMINATION FORM

Moneylicious Securities Private Limited

302, 3<sup>rd</sup> Floor, Western Edge-I, Off. Western Express Highway, Borivali (East), Mumbai – 400066, Maharashtra

I/We wish to make a nomination and do hereby nominate the following person(s) who shall receive all the assets held in my / our account in the event of my / our death.

Applicant Name		DP BO ID	
UCC / Client Code		Date	

### NOMINATION DETAILS

NOMINATION CAN BE MADE UPTO THREE NOMINEES IN THE ACCOUNT		DETAILS OF 1 <sup>st</sup> NOMINEE		DETAILS OF 2 <sup>nd</sup> NOMINEE		DETAILS OF 3 <sup>rd</sup> NOMINEE	
1.	Name of the nominee(s) (Mr./Ms.)						
2.	Share of each Nominee	Equally [If not equally, please specify %]		%		%	%
<i>Any odd lot after division shall be transferred to the first nominee mentioned in the form.</i>							
3.	Relationship With the Applicant (If Any)						
4.	Address of Nominee(s)						
	City / Place: State & Country:						
	PIN Code						
5.	Mobile / Telephone No. of nominee(s)						
6.	Email ID of nominee(s)						
7.	Nominee Identification details - [Please tick any one-off following and provide details of same] <input type="checkbox"/> PAN Card <input type="checkbox"/> Aadhaar (UID) <input type="checkbox"/> Driving License <input type="checkbox"/> Voter ID <input type="checkbox"/> Passport <input type="checkbox"/> Others						

### SR. NOS. 8-14 SHOULD BE FILLED ONLY IF NOMINEE(S) IS A MINOR:

8.	Date of Birth {in case of minor nominee(s)}			
9.	Name of Guardian (Mr./Ms.) {in case of minor nominee(s)}			
10.	Address of Nominee(s)			
	City / Place: State & Country:			
	PIN Code			
11.	Mobile / Telephone no. of Guardian			
12.	Email ID of Guardian			
13.	Relationship of Guardian with nominee			

14.	Guardian Identification details - [Please tick any one-off following and provide details of same] <input type="checkbox"/> PAN Card <input type="checkbox"/> Aadhaar (UID) <input type="checkbox"/> Driving License <input type="checkbox"/> Voter ID <input type="checkbox"/> Passport <input type="checkbox"/> Others		
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<b>NAME(s) OF HOLDER(s)</b>		<b>SIGNATURE OF HOLDER(s)</b>
Sole / First Holder		
Second Holder		
Third Holder		

\* Signature of witness, along with name and address are required, if the account holder affixes thumb impression, instead of signature

**Note:**

1. This nomination shall supersede any prior nomination made by the account holder(s), if any.
2. The Trading Member/Depository Participant shall provide acknowledgement of the nomination form to the account holder(s).

### **DECLARATION FORM FOR OPTING OUT OF NOMINATION**

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

UCC Code	THRL45410S	Date	22/07/2024
DP ID	12083400	Client ID	18540945
Sole / First Holder Name	JAGADEESH KUMAR		
Second Holder Name			
Third Holder Name			

I / We hereby confirm that I / We do not wish to appoint any nominee(s) in my / our trading / demat account and understand the issues involved in non-appointment of nominee(s) and further are aware that in case of death of all the account holder(s), my / our legal heirs would need to submit all the requisite documents / information for claiming of assets held in my / our trading / demat account, which may also include documents issued by Court or other such competent authority, based on the value of assets held in the trading / demat account.

### **SIGNATURE OF THE ACCOUNT HOLDER(s)**

Signature of the 1 <sup>st</sup> Holder	Signature of the 2 <sup>nd</sup> Holder	Signature of the 3 <sup>rd</sup> Holder

## **INTERNET & WIRELESS TECHNOLOGY BASED TRADING FACILITY PROVIDED BY STOCK BROKERS TO CLIENT**

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

All the clauses mentioned in the 'Rights and Obligations' document(s) shall be applicable. Additionally, the clauses mentioned herein shall also be applicable.

1. Stock broker is eligible for providing Internet based trading (IBT) and securities trading through the use of wireless technology that shall include the use of devices such as mobile phone, laptop with data card, etc. which use Internet Protocol (IP). The stock broker shall comply with all requirements applicable to internet based trading/securities trading using wireless technology as may be specified by SEBI & the Exchanges from time to time.
2. The client is desirous of investing/trading in securities and for this purpose, the client is desirous of using either the internet based trading facility or the facility for securities trading through use of wireless technology. The Stock broker shall provide the Stock broker's IBT Service to the Client, and the Client shall avail of the Stock broker's IBT Service, on and subject to SEBI/Exchanges Provisions and the terms and conditions specified on the Stock broker's IBT Web Site provided that they are in line with the norms prescribed by Exchanges/SEBI.
3. The stock broker shall bring to the notice of client the features, risks, responsibilities, obligations and liabilities associated with securities trading through wireless technology/internet/smart order routing or any other technology should be brought to the notice of the client by the stock broker.
4. The stock broker shall make the client aware that the Stock Broker's IBT system itself generates the initial password and its password policy as stipulated in line with norms prescribed by Exchanges/SEBI.
5. The Client shall be responsible for keeping the Username and Password confidential and secure and shall be solely responsible for all orders entered and transactions done by any person whosoever through the Stock broker's IBT System using the Client's Username and/or Password whether or not such person was authorized to do so. Also the client is aware that authentication technologies and strict security measures are required for the internet trading/securities trading through wireless technology through an order routed system and undertakes to ensure that the password of the client and/or his authorized representative are not revealed to any third party including employees and dealers of stock broker.
6. The Client shall immediately notify the Stock broker in writing if he forgets his password, discovers security flaw in Stock Broker's IBT System, discovers/suspects discrepancies/ unauthorized access through his username / password / account with full details of such unauthorized use, the date, the manner and the transactions effected pursuant to such unauthorized use, etc.
7. The Client is fully aware of and understands the risks associated with availing of a service for routing orders over the internet/securities trading through wireless technology and Client shall be fully liable and responsible for any and all acts done in the Client's Username/ password in any manner whatsoever.
8. The stock broker shall send the order/trade confirmation through email to the client at his request. The client is aware that the order/ trade confirmation is also provided on the web portal. In case the client is trading using wireless technology, the stock broker shall send the order/trade confirmation on the device of the client.
9. The client is aware that trading over the internet involves many uncertain factors and complex hardware, software, systems, communication lines, peripherals, etc. are susceptible to interruptions and dislocations. The Stock broker and the Exchange do not make any representation or warranty that the Stock broker's IBT Service will be available to the Client at all times without any interruption.
10. The Client shall not have any claim against the Exchange or the Stockbroker on account of any suspension, interruption, non-availability or malfunctioning of the Stock broker's IBT System or Service or the Exchange's service or systems or non-execution of his orders due to any link/system failure at the Client/Stock brokers/Exchange end for any reason beyond the control of the stock broker/Exchanges.

<b>NAME OF THE ACCOUNT HOLDER</b>		<b>SIGNATURE OF THE ACCOUNT HOLDER</b>
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024	

## RUNNING ACCOUNT AUTHORIZATION

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

To optimize the operational efficiency of my/our trading account, I/we request Dhan to maintain a running balance in my/our account, as opposed to effecting fund/securities settlements on a settlement-to-settlement basis.

**I/We authorise you as under:**

1. I/We hereby give my/our consent to maintain a running balance in my/our account and retain credit balance in any of my/our account and to use the unused funds towards my/our margin/pay-in/other future obligation(s) of any segment(s) of any or all the Exchange(s)/Clearing corporation unless/I/We instruct /revoke you otherwise.
2. I/We request you to settle my/our fund and securities account (choose one option)

Once In a Calendar Month

Once in a Calendar Quarter

Further I/We understand that settlement is for all funds except the funds given towards collaterals/margin in form of Bank Guarantee and/or Fixed Deposit Receipt.

3. The settlement of running account shall be done by you in accordance with the circulars/guidelines issued by SEBI/Exchanges from time to time and as per the Risk Management Policy of Dhan available on its website.
4. I/We hereby confirm that I/We shall promptly notify you of any disputes arising from the statement of accounts or settlement so made in writing, preferably within 7 working days from the date of receipt of funds/securities or the statement of account, or any related statement, as applicable.
5. Further, I/We authorise you to deposit/repledge any or all the securities kept as collateral by me/us with the Exchanges and/or their Clearing Corporation/House/Member towards margin, as permitted from time to time.
6. I/We can request for the release of pay-out any time after adjusting all dues towards Dhan.
7. I/We hereby confirm that I/We have the right to revoke the aforementioned authority at any time. This authorization for the running account shall remain in effect until such time as it is duly revoked by me/us.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
Place	MUMBAI	
Date	22/07/2024	

## OPTION FORM FOR ISSUE DIS (DELIVERY INSTRUCTION SLIP) BOOKLET

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

Dear Sir,

I/We hereby state that: (Select one of the options given below)

Option 1: I/We require you to issue Delivery Instruction Slip (DIS) booklet to me/us immediately on opening my CDSL account though for executing delivery instructions for settling stock exchange trades settlement related transactions) effected through such Clearing Member.

OR

Option 2: I/We do not require the Delivery Instruction Slip (DIS) for the time being, However, the Delivery Instruction Slip (DIS) booklet should be issued to me/us immediately on my request at any later date.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024	

## LETTER FOR AUTHORIZATION FOR ELECTRONIC CONTRACT NOTES (ECN)

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

I/we have been/shall be dealing through you as my broker on the Capital Market and/or Futures & Options and/or Currency Derivatives and/or Commodity Market and/or Interest Rate Futures Segments. As my broker i.e. agent I direct and authorize you to carry out trading/dealings on my behalf as per instructions given below.

I/we understand that I/we have the option to receive the contract notes in physically or electronic form. In pursuance of the same, I/we hereby opt to receive contract notes in electronic form. I understand that for the above purpose, you are required to take from the client “an appropriate email account” for you to send the electronic contract notes. Accordingly, please take the following email account /email id on your record for sending the contract notes to me/us:

Email ID	jagadeesh_2k17@proton.me
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I/we also agree that non-receipt of bounced mail notification by you shall amount to delivery at my email account / email id.

I/we agree not to hold you responsible for late/non-receipt of contract notes sent in electronic form and any other communication for any reason including but not limited to failure of email services, loss of connectivity, email in transit etc.

I/we agree that the log reports of your dispatching software shall be a conclusive proof of dispatch of contract notes to me and such dispatch shall be deemed to mean receipt by me and shall not be disputed by me on account of any non-receipt/delayed receipt for any reason whatsoever.

I/we understand that I/we required to intimate any change in the email id/email account mentioned herein above needs to be communicated by me through a physical letter to you, provided however that if we are internet client then in that event the request for change in email id/email account can be made by me through a secured access using client specific user id and password. Please treat this authorization as written ratification of my verbal directions/authorizations given and carried out by you earlier. I/we shall be liable for all losses, damages and actions which may arise as a consequence of your adhering to and carrying out my directions given above.

I/we understand that you shall send physical copy of the contract note within 24 hours if you received a bounced email.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024	

## SMS AND EMAIL ALERTS TO INVESTORS BY STOCK EXCHANGES

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066, Maharashtra.

I/We request you to enroll me for SMS and Email facility, offered by the Exchanges, pursuant to the mentioned SEBI Circular. (CIR/MIRSD/15/2011, dated 02.08.2011)

I hereby declare that following are my mobile number and email address. Further, I authorize Dhan that the same may be used for giving me any information / alerts / SMS via my mobile and/or email address.

Mobile	7397285837	Email ID	jagadeesh_2k17@proton.me
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I further declare that the above-mentioned information is provided by me and is true and correct.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
Place	MUMBAI	
Date	22/07/2024	

## DECLARATION

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, 3<sup>rd</sup> Floor,  
 Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066.

I/We undersigned hereby declare that I/We have not been involved in any unlawful activities and I have not been declared a defaulter or my name is not appearing in the defaulter database as per SEBI/ Various Exchange/ Regulatory bodies, etc.

PAN Number	FLWPK9959N	Client Name	JAGADEESH KUMAR
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I further declare that the above-mentioned information is provided by me and is true and correct.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024 YYYY	

## COMMODITY OPTION CONSENT

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, 3<sup>rd</sup> Floor,  
 Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066.  
 Maharashtra, India.

I/We am/are interested in availing the facility of trading in Options on Commodity Derivatives offered by Moneylicious Securities Private Limited. And dealing in Commodity Future through a Trading account. I/We do hereby undertake that I/we am/are competent in all respects to participate to trade in Options on Commodity Derivatives as may be permitted by Moneylicious Securities Private Limited / SEBI from time to time. I/We hereby confirm having read and clearly understood the contents of the “Additional Risk Disclosure documents for Options Trading”. I/We do hereby agree to be bound by such provisions as outlined in these documents. I/We have also been informed that the standard set of documents has been displayed for information on stock broker's designated website, if any. I/We hereby also agree and confirm that Moneylicious Securities Private Limited. may demand additional exposure margin for any particular option position or from a particular client as per its own discretion. I/We hereby also agree and confirm that Moneylicious Securities Private Limited. may liquidate any of my open option position in case of margin shortfall including shortfall arise on account of devolvement margin requirement on devolvement of option positions into futures. This undertaking is in addition to the undertaking given by me/us earlier to Moneylicious Securities Private Limited. I/We request Moneylicious Securities Private Limited. to provide all necessary facilities to enable me/us for trading in Options on Commodity.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024 YYYY	

## MOST IMPORTANT TERMS AND CONDITIONS (MITC)

(For non-custodial settled trading accounts)

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, 3<sup>rd</sup> Floor,  
 Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066.  
 Maharashtra, India.

1. Your trading account has a “Unique Client Code” (UCC), different from your demat account number. Do not allow anyone (including your own stock broker, their representatives and dealers) to trade in your trading account on their own without taking specific instruction from you for your trades. Do not share your internet/ mobile trading login credentials with anyone else.
2. You are required to place collaterals as margins with the stock broker before you trade. The collateral can either be in the form of funds transfer into specified stock broker bank accounts or margin pledge of securities from your demat account. The bank accounts are listed on the stock broker website. Please do not transfer funds into any other account. The stock broker is not permitted to accept any cash from you.
3. The stock broker’s Risk Management Policy provides details about how the trading limits will be given to you, and the tariff sheet provides the charges that the stock broker will levy on you.
4. All securities purchased by you will be transferred to your demat account within one working day of the payout. In case of securities purchased but not fully paid by you, the transfer of the same may be subject to limited period pledge i.e. seven trading days after the pay-out (CUSPA pledge) created in favor of the stock broker. You can view your demat account balances directly at the website of the Depositories after creating a login.
5. The stock broker is obligated to deposit all funds received from you with any of the Clearing Corporations duly allocated in your name. The stock broker is further mandated to return excess funds as per applicable norms to you at the time of quarterly/ monthly settlement. You can view the amounts allocated to you directly at the website of the Clearing Corporation(s).
6. You will get a contract note from the stock broker within 24 hours of the trade.
7. You may give a one-time Demat Debit and Pledge Instruction (DDPI) authority to your stock broker for limited access to your demat account, including transferring securities, which are sold in your account for pay-in.
8. The stock broker is expected to know your financial status and monitor your accounts accordingly. Do share all financial information (e.g. income, networth, etc.) with the stock broker as and when requested for. Kindly also keep your email Id and mobile phone details with the stock broker always updated.
9. In case of disputes with the stock broker, you can raise a grievance on the dedicated investor grievance ID of the stock broker. You can also approach the stock exchanges and/or SEBI directly.
10. Any assured/guaranteed/fixed returns schemes or any other schemes of similar nature are prohibited by law. You will not have any protection/recourse from SEBI/stock exchanges for participation in such schemes.

NAME OF THE ACCOUNT HOLDER		SIGNATURE OF THE ACCOUNT HOLDER
JAGADEESH KUMAR		
UCC Code	THRL45410S	
DP BO ID	1208340018540945	
Place	MUMBAI	
Date	22/07/2024 YYYY	

## SELF-CERTIFICATION FOR INDIVIDUAL FATCA/CRS DECLARATION FORM

SEBI has issued a circular dated August 26, 2015 advising all registered intermediaries to implement FATCA and CRS as per the circular. Please seek appropriate advice from your tax professional on your tax residency and related FATCA & CRS guidance.

### FATCA RELATED INFORMATION

Are you a US Person?	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
Is your Tax Residency other than India?	<input type="checkbox"/> YES	<input checked="" type="checkbox"/> NO
If any of the above is yes, please indicate all the countries in which you are a resident for tax purpose and the associated Tax ID Number below:		
Country of Tax Residency*		
Tax Identification Number**		
Identification Type		

\*To include all countries other than India, where investor is Citizen/Resident/Green Card Holder/ Tax Resident in those respective countries.  
 \*\*Incase Tax Identification Number is not available, kindly provide its functional equivalent.

### DECLARATION AND UNDERTAKING

The Customer/account holder certifies that:

A. The information provided in the Form is in accordance with Section 285BA of the Income Tax Act, 1961 read with Rules 114F to 114H of the Income-tax Rules, 1962.

B. The information provided by me/us in the Form, its supporting Annexures as well as in the documentary evidence/provided by me/us are, to the best of our knowledge and belief, true, correct and complete and that I/we have no withheld any material information that may affect the assessment/categorization of the account as a Reportable account or otherwise.

C. I/we understand that the Stock Broker is relying on this information for the purpose of determining the status of the applicants named above in compliance with CRS/FATCA. The Stock Broker is not able to offer any tax advice on CRS or FATCA or its impact on the applicants and I/We shall seek advice from professional tax advisor for any tax questions. Further, I/We agree to submit a new form within 30 days if any information or certification on this form gets changed. I/We agree, as may be required by Regulatory authorities, Stock Broker shall be required to comply to report, reportable details to CBDT or close or suspend my/our account.

D. Under Penalty of perjury, I/We certify that:

1) I/We am/are ( i ) Taxable as a US person under the laws of the United States of America("U.S") or any state of political subdivision thereof or therein, including the District of Columbia or any other states of U.S., (ii) and state that the income of which is subject to U.S. federal income tax regardless of the source thereof.(This clause is applicable only if the account holder(s) is/are identified as a US person).

2) I/We am/are an applicant taxable as a tax resident under the laws of country outside India. (This clause is applicable only if the account holder is a tax resident outside of India)

E. I/We hereby confirm that I/we am/are not a US Person or a resident for tax purpose in any country other than India, though my/our Country of Birth suggests my/our relation with US or one or more parameters suggest my/our relation with the country outside India. I/ we am/are providing/ already provided copy of my PAN card and now providing a certificate of relinquishment of US citizenship (loss of nationality) OR reasons for not having such a certificate despite relinquishing US citizenship OR for not obtaining US citizenship at birth (only if born in US) Please specify reason:

Date. 22/07/2024

Place. MUMBAI

Signature of the applicant

### FEMA DECLARATION

This has reference to my/our application for opening of a Trading & DEMAT account with you.

In this connection, I/we hereby declare that I/we have complied and will continue to comply with FEMA Regulations with respect to buying and selling of securities in the Indian Capital Market.

Thanking you,

Signature of the applicant

## ACKNOWLEDGEMENT

To,  
 Moneylicious Securities Private Limited  
 302, Western Edge I, 3<sup>rd</sup> Floor,  
 Off Western Express Highway,  
 Borivali (East), Mumbai – 400 066.  
 Maharashtra, India.

**Subject :** Receipt of Account Opening Form, Voluntary Documents, Rights & Obligation of Trading & Demat, RDD, Policies & Procedures, Guidance Notes, Tariff Sheet.

This is to acknowledge the receipt of the following documents. I / We further state & confirm that I have read and understood all the clauses of following documents.

1. AOF – Account Opening Form (Trading & Demat)
2. Rights & Obligations of Stock Broker
3. Rights & Obligations of Beneficiary Owner & Depository Participant as prescribed by SEBI & Stock Exchanges.
4. Risk Disclosure Document (RDD)
5. Guidance Note - Do's & Don'ts for trading on the Exchange(s) for Investors.
6. Policies & Procedures
7. Voluntary Terms & Conditions
8. Rights & Obligations – MTF
9. Investor Charter

I/We hereby acknowledge that the above documents/ process were made available/ explained to me/us.

I hereby acknowledge that I will abide by all the rules and regulatory changes that may come into force from time to time.

I/We declare that the particulars given by me/us above are true and to the best of my/our knowledge as on the date of making this application and I/We undertake that it would be my/our responsibility to check, scrutinize the said forms and documents within 15 days of account opening and intimate discrepancies if any therein to Dhan immediately.

Further, you can access the document containing Rights & Obligations, Risk Disclosure Documents, Do's & Don'ts, Member-Constituent Relationship and Mandatory & Voluntary Documents, Policies and Procedures of the stock broker from our website <https://dhan.co/download-centre/> and Disclosure & Disclaimer, Terms & Conditions, Investor Charter from our website <https://dhan.co/>

I also confirm that I have received the relevant clarifications, if any, wherever required from the officials of Dhan. I hereby acknowledge that I will abide by all the rules and regulatory changes that may come into force from time to time.

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