

## Financial Market Certification



**Financial Market Certification** is a meticulously designed course which provides awareness about financial world and will give in-depth understanding about the role played by various market participants and the current business model of BNY Mellon, which is primarily focused on Investment Services. The course is scientifically structured to help participants understand numerous key financial instruments traded across the world terminologies and concepts on which these instruments are designed. By the end of this course one shall have a solid grasp of the language and concepts behind financial markets operations and be able to use this further in their day to day tasks.

**Delivery mode:** Classroom

**Duration:** Seven days (3 Hours a day)

**Course level:** Foundation level

### Program Features

#### Seven days of classroom sessions each day for 3 hours

- ✓ 21 hours of classroom sessions in seven working days (3 Hours a day),
- ✓ Covering various important topics starting from securities markets overview, basic accountancy, various financial products (Equity, Fixed income, Derivatives, Packaged products ), market participants, trade life cycle and regulatory bodies
- ✓ Delivered by expert trainers. Bringing in leading-edge of industry experience to the program and provide the best mix of theory and practice.
- ✓ The sessions are interactive and encourage dynamic exchange of ideas that push participants to analyze critical business issues from different angles

## **Objective of the program**

- ✓ Financial Market Certification aims at providing financial market knowledge and enhances skill sets of employees to work and deliver the assigned tasks more efficiently.
- ✓ Program has been designed to provide participative classroom learning and also practical understanding of financial world.
- ✓ Structured such that twenty one hours deal with all essential subjects that provide a sound foundation and better hold on the topic covered under the curriculum.

## **Curriculum**

### **Day-1 Securities Industry Overview, Regulation**

- ✓ Overview of securities Industry
- ✓ Role of BNY Mellon
- ✓ Custody Functions
- ✓ The role and importance of key market participants like Clearinghouses, Custodians, Regulators, Brokers and Investment managers.

### **Day- 2 Basic Concepts of Financial Accounting**

- ✓ Basic Principles of Accounting.
- ✓ Trial Balance & Ledger
- ✓ Balance Sheet
- ✓ Profit & Loss Statement
- ✓ Cash Flow Statement
- ✓ Concept of Revenue, expenditure & Depreciations

### **Day-3 Fixed Income Products**

- ✓ Money Market and Instruments.
- ✓ Domestic, international governments and quasi governments, domestic and international corporate issuers.
- ✓ Concept of Rating, YTM, Coupon, Maturity, Yield Curve

### **Day-4 Equities**

- ✓ Common stocks and Preferred stocks.
- ✓ The Primary and Secondary market
- ✓ Source of capital
- ✓ The role and importance of exchanges
- ✓ Index Calculations and their usage in investing.
- ✓ Valuation and key ratios

### **Day-5 Derivatives**

- ✓ Introduction to derivatives contracts, various types (Forward, Future, Options and Swaps)
- ✓ Introduction to the concept of open interest.
- ✓ Call and Put writing.
- ✓ The practical application of hedging.

### **Day-6 Packaged products and Other Securities**

- ✓ Introduction to mutual funds, ETF, Hedge Funds and other packaged products
- ✓ Annuities characteristics, Depository Receipts and RIETs.
- ✓ Introduction to Foreign Exchange & Treasury

### **Day-7 Processing**

- ✓ Trade life cycle.
- ✓ Clearing and Settlement process.
- ✓ Trade Processing
- ✓ Other Settlement Events.
- ✓ Corporate Actions.

### **\*\*Additional Material: Regulatory Overview**

### **Key Takeaways**

At the end of this program, participants are expected to gain awareness of:

- ✓ Comprehensive knowledge of financial markets
- ✓ Participants and products across various financial markets
- ✓ Basics of Accounting.
- ✓ Operational and trading aspects of financial markets
- ✓ Regulatory overview

### **Program is ideal for**

- ✓ All employees at i Nautix