

Date of publication xxxx 00, 0000, date of current version xxxx 00, 0000.

Digital Object Identifier 10.1109/ACCESS.2017.DOI

DHTCUN: Deep Hybrid Transformer CNN U Network for Single-Image Super-Resolution

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This research is funded by the Second Century Fund (C2F), Department of Electrical Engineering, Faculty of Engineering, Chulalongkorn University Bangkok, 10330, Thailand. This research is also funded by Thailand Science Research and Innovation Fund Chulalongkorn University (CU_FRB65_ind (9)_157_21_23), The NSRF via the Program Management Unit for Human Resources & Institutional Development, Research and Innovation [grant number B04G640053] and also funded by Thailand Science research and Innovation Fund Chulalongkorn University (IND66210019).

ABSTRACT Recent advances in image super-resolution have investigated various transformer and CNN techniques to improve quantitative and perceptual outcomes. Reconstructing high-resolution images from their low-resolution equivalents by combining the power of transformers and CNN has been a crucial task in recent times. We propose a novel U-shaped architecture that integrates transformers and convolutional neural networks (CNNs) to leverage the strengths of both approaches. The network incorporates a novel Parallel Hybrid Transformer CNN Block (PHTCB) on the backbone of the U-shaped design, ensuring computational efficiency and robust hierarchical feature representation. Our architecture incorporates triple enhanced spatial-attention mechanisms, and a Transformer CNN (TCN) Block in PHTCB. The TCN Block helps preserving sharp edges and intricate details that are often lost in traditional SISR methods and enhances the visual fidelity of the reconstructed high-resolution images. Additionally, we introduce the triple-enhanced spatial attention (TESA) approach that helps in precise localization of important features. By focusing on these critical areas, blurring can be reduced for crucial features because of the network's ability to control features at various scales. Experiments demonstrate that our proposed method yields better quantitative measurements, including visually appealing high-resolution image reconstructions, peak signal-to-noise ratio (PSNR), and structural similarity index (SSIM).

INDEX TERMS CNN; Enhanced Spatial Attention; Single-Image super-resolution; Transformer.

I. INTRODUCTION

IN the rapidly evolving field of image processing and computer vision, the pursuit of high-fidelity image super-resolution (SISR) stands as a cornerstone challenge. The primary goal of SISR is to reconstruct high-resolution images from their low-resolution counterparts, a task that holds significant importance across a multitude of applications, including medical imaging [1], satellite imagery analysis [2], surveillance [3], and multimedia enhancement [4]. Recent years have seen a surge in research focused on improving both the quantitative metrics and perceptual quality of super-resolved images. Central to these advancements is the syn-

ergistic integration of transformer models and convolutional neural networks (CNNs), leveraging the unique strengths of each to achieve superior results.

Due to the creation of numerous High-Resolution (HR) images that correspond to a single Low-Resolution (LR) image, SISR is an ill-posed problem. In recent years, single image super-resolution (SISR) has seen remarkable advancements, with convolutional neural networks (CNNs) emerging as the dominant approach. These CNN-based models have significantly improved the quality of generated high-resolution (HR) images, making them the mainstream method for SISR tasks. The advent of convolutional neural

networks (CNNs) was pioneered in Super-Resolution Convolutional Neural Networks (SRCNN) [5], by Dong et al. Following the SRCNN approach, researchers have delved into various aspects of image super-resolution, including model frameworks, up-sampling methods, network design, and learning strategies in models like FSRCNN [6], VDSR [7], LapSRN [8], MemNet [9], EDSR [10], RCAN [11], NLSN [12] and DANS [13]. This exploration has yielded a variety of sophisticated techniques aimed at enhancing the performance and efficiency of SISR models. However, despite their success, CNN-based models encounter several limitations, including constrained receptive field, introduction of blurring, jagged patterns or over-smoothing. These limitations hinder their efficiency and scalability, especially when dealing with large and complex images.

On the other hand, Vision Transformers (ViTs) [14] have demonstrated superior modeling capabilities and a larger receptive field, enabling them to capture long-range dependencies and global context more effectively than CNNs. ViTs leverage the self-attention mechanism to process the entire image as a sequence of patches, allowing them to model relationships between distant pixels and capture holistic image features. The self-attention mechanism in Transformers, while powerful, is computationally expensive, especially as the resolution of the input image increases. This high resource demand can be prohibitive for practical applications, particularly in environments where computational efficiency and memory usage are critical considerations. The introduction of ViT led to several advancements and modifications to address the challenges associated with applying Transformers to SISR such as Swin IR [15], Swin Transformer [16] SRFormer [17], ELAN [18], TCDFN [19], and HNCT [20]. However, the application of Transformers to SISR tasks comes with its own set of challenges. Notably, Transformers require extensive computing power and memory, which can be prohibitive for practical applications. The high resource demands of Transformers limit their widespread adoption in scenarios where computational efficiency and memory usage are critical considerations.

Given the current trend towards fast processing devices minimizing model size is crucial for achieving rapid and state-of-the-art (SOTA) comparable results for higher scale factors. Although CNN as well as transformers have greatly succeeded to enhance network performance, they still encounter certain limitations.

(1) Sometimes, these methods can introduce distortions into super-resolved images such as jagged patterns, blurring or over-smoothing in extremely textured regions.

(2) The previously mentioned techniques require significant processing power and time, and they are computationally expensive. Moreover, processing large datasets or high-resolution images further exacerbates the computational burden, potentially leading to longer processing times and reduced real-time performance.

(3) In some cases, attempts to enhance image resolution may inadvertently amplify noise or artifacts, resulting in

degraded image quality and increased visual distortion. As a consequence, achieving satisfactory super-resolution outcomes for extremely low-resolution and noisy input images remains a significant challenge in the field of image processing.

One way to efficiently address these challenges is to merge the complementary abilities of CNNs and Transformers and integrate their strengths. Transformers have been proven to capture long-range dependencies effectively where as the CNNs advance in enhancing the quality of noisy images without compromising the computational expense. Some of the Hybrid models have already been proposed such as EHNet [21], HNCT [20], and TCDFN [19]. Utilizing comparable methodologies, we put forth a unique strategy for single-image super-resolution that combines the CNN and Transformer in a U-shaped architecture with skip connections. The U shaped design with skip connections acts as the backbone of our proposed method and helps the network to extract features differently from different layers without increasing the computational burden. The transformer helps to capture long range dependencies and global context and reduce the artifacts like jagged patterns or blurring. The CNN help in better enhancing the quality of noisy images and prevent the amplification of noise during restoration of noisy images. This synergetic combination allows the model to enhance the super-resolution performance while reducing its computational burden.

The following is a summary of the primary contributions made by our suggested model:

(i) Propose a Parallel Hybrid Transformer CNN Block (PHTCB) having a Transformer CNN (TCN) Block which combines the powers of CNNs and Transformer to capture long range dependencies, reduce artifacts and simultaneously prevents the amplification of noise in super-resolution of noisy images.

(ii) Put forth a Triple Enhanced Spatial Attention (TESA) block to improve the performance of the model by focusing on relevant image regions while suppressing irrelevant or noisy areas.

(iii) Suggest a U-shaped backbone with skip connection to extract features differently from different layers without increasing the computational burden.

The article's remaining sections are Section II, which examines relevant research on the suggested approach, and Section III, which outlines the network's methodology. The experimental results and a comparative analysis using cutting-edge techniques are presented in Section IV. Sections V and VI contain a discussion and conclusion along with suggestions for further work.

II. RELATED WORK

Throughout the past ten years, the field of image super-resolution (ISR) has made great strides, mostly due to the development of various deep learning algorithms. Convolutional neural networks (CNNs), generative adversarial networks (GANs), attention mechanisms, and transformer-based

models are some of the major categories into which these developments can be divided.

The foundation of many advances in ISR has been Convolutional Neural Networks (CNNs). A major turning point in the discipline was reached when Dong *et al.* introduced SRCNN [5], showcasing deep learning's potential for super-resolution applications. In order to improve performance, later efforts have concentrated on strengthening CNNs' architecture and training methodologies. A significant contribution in this domain is the Fast Super-Resolution Convolutional Neural Network (FSRCNN) [6] by Dong *et al.* FSRCNN [6] was designed to be a faster and more efficient model than its predecessors. LapSRN [8] developed by Lai *et al.* uses a progressive reconstruction approach via a pyramid of images, improving both training and testing efficiency. A Memory persistent network MemNet [9] was introduced by Tai *et al.* to better handle the memory requirements of the network. Li *et al.* proposed the Super-Resolution Feedback Network (SRFBN) [22], which uses feedback connections to refine feature representations iteratively, resulting in enhanced super-resolution quality. To get better results, Kim *et al.*, for example, proposed the VDSR [7] model, which used an extremely deeper CNN layers and residual learning to enhance super-resolution performance. Deeply-Recursive Convolutional Network (DRCN) [23] and Deeply-Recursive Residual Network (DRRN) [24] by Kim *et al.* leverage recursive learning to improve depth and performance with fewer parameters. As deeper networks were not suitable for the current cutting edge devices, by deleting pointless modules from conventional CNNs, the EDSR [10] model by Lim *et al.* stretched the envelope even farther and produced a more potent and effective network by improving optimization strategy and winning the New Trends in Image Restoration and Enhancement (NTIRE) 2017 challenge on single image Super-Resolution: Dataset and Study. To handle multiple scale factors in a single model Multi-Scale Deep Cross Network for Image Super-Resolution (MDCN) [25] was introduced. To further improve the visual quality, Generative Adversarial Networks (GANs) introduced a new paradigm in ISR by focusing on generating more realistic and perceptually pleasing images. Ledig *et al.* introduced SRGAN [26], that utilized GANs for ISR, producing high-resolution images with sharper details. Subsequent models, such as ESRGAN [27] by Wang *et al.*, improved upon SRGAN by incorporating a deeper and more complex generator and discriminator architecture, furthermore EnhanceNet [28] was also introduced which led to further enhancements in image quality.

Attention mechanisms have been pivotal in enhancing feature representation in ISR models. The integration of attention mechanisms into ISR models has significantly improved their ability to focus on important features of the image. The work by Zhang *et al.* on the Residual Channel Attention Network (RCAN) [11] demonstrated the effectiveness of channel attention mechanisms in enhancing feature representation. Similarly, Dai *et al.* proposed the Second-order

Attention Network (SAN) [29], which leverages second-order channel attention to capture more complex feature interactions. Cross-Scale Non-Local (CSNL) [30] attention network by Mei *et al.*, which captures dependencies across different scales to enhance the representation of complex image structures. The Holistic Attention Network (HAN) [31] by Niu *et al.* integrates both spatial and channel-wise attention mechanisms at multiple levels of the network to effectively capture fine-grained details. MFCC [32], which leverages multi-frequency information through channel attention, improving the network's ability to distinguish between different textures and details in the image. Mei *et al.* developed the Non-Local Sparse Attention Network (NLSN) [12], which uses non-local operations to capture long-range dependencies and sparse attention mechanisms to reduce computational complexity while maintaining performance. These advancements have shown that attention mechanisms can significantly boost the performance of CNN-based ISR models by allowing them to dynamically adjust their focus based on the input image. DANS [13] refine feature maps at various stages of the network, significantly enhancing the quality of super-resolved images and SENext [33] by W. Muhammad *et al.* incorporates advanced channel-wise attention mechanisms to recalibrate feature responses dynamically, improving super-resolution performance while simultaneously reducing computational cost and prevents overfitting. But careful consideration and investigation of these issues are still required.

Enhanced spatial attention mechanisms have been explored to improve the performance of ISR models. Woo *et al.* introduced the Convolutional Block Attention Module (CBAM) [34], which combines spatial and channel attention mechanisms to refine feature representations. This approach has been shown to effectively enhance image quality by allowing the model to focus on relevant regions and suppress noise. Similarly, the dual attention mechanism proposed by Zhang *et al.* in the DANet [35] model demonstrated the benefits of incorporating both spatial and channel attention for image super-resolution tasks.

Recently, transformer-based models have gained popularity in ISR due to their capability to capture long-range dependencies and model global context more effectively than CNNs. Vision Transformers (ViTs) [14], introduced by Dosovitskiy *et al.*, demonstrated the potential of transformers in various vision tasks. The Swin Transformer by Liu *et al.* [16], proposed a hierarchical transformer model with shifted windows, which significantly reduced computational complexity while maintaining high performance. Liang *et al.* introduced the SwinIR [15] model, which integrated the Swin Transformer into a residual network, showcasing its effectiveness in image restoration tasks. ELAN [18] architecture is designed to harness the power of long-range attention mechanisms while maintaining computational efficiency. SRFormer [17] introduces multi-head self-attention mechanisms to capture complex relationships between distant pixels, improving the network's ability to reconstruct fine details and textures in

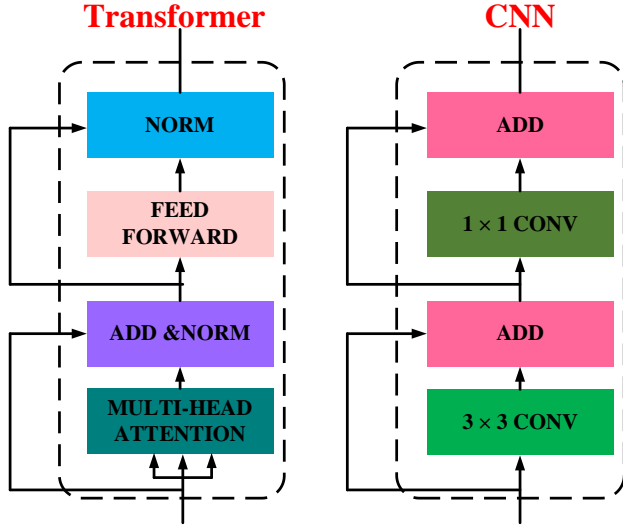


FIGURE 1. General Architecture of Transformer and CNN networks.

the super-resolved images. SRFormer incorporates positional encoding, which helps the model understand the relative positions of pixels, enhancing its spatial awareness. Hybrid models combining the strengths of CNNs and transformers have been proposed to leverage both local feature extraction and global context modeling. For instance, the TransCNN [36] model by Waseem et al. combined CNN and transformer blocks to achieve state-of-the-art results in ISR. The IPT [37] model by Chen et al. used a large-scale pre-trained transformer for various image processing tasks, including ISR, HNCT [20] and TCDN [19] are also some of the hybrid approaches highlighting the versatility and power of transformer-based approaches.

The landscape of image super-resolution has been significantly enriched by advancements in CNNs, GANs, attention mechanisms, transformer-based models, hybrid approaches, and efficient model design. Each of these techniques has contributed to improving the quality and performance of ISR models, addressing various challenges such as computational complexity, memory requirements, and the need for real-time processing on edge devices. The ongoing research and development in these areas continue to push the boundaries of what is possible in image super-resolution, paving the way for more sophisticated and practical applications. In this work, a novel approach to U-Shaped network architecture combining CNN and transformer is laid out. The goal of bringing together these parts is to further improve image super-resolution performance by utilizing the advantages of both transformers and CNNs.

To sum up, advances in image super-resolution have been made possible by deep learning-based methods, CNNs, transformers, and network designs. By combining these methods, reconstruction quality has significantly improved, allowing for the creation of more detailed high-resolution images. Research issues include adapting SR approaches to video super-

resolution, generalizing across domains, and maximizing computational efficiency. These developments are needed to realize the full potential of image super-resolution in various applications, including digital content creation [38], medical imaging [1], surveillance [3], remote sensing [39], and facial image super-resolution [40].

III. PROPOSED METHODOLOGY

This section presents our proposed novel hybrid approach in single image super-resolution by fusion of Transformer and CNN in a Parallel Hybrid Transformer CNN Block (PHTCB) in a U-shaped design framework. Furthermore, we employed the enhanced spatial attention in the network architecture to refine feature representations and allows the model to focus on relevant regions and suppress noise. Moreover, the information from PHTCB is transferred using skip connections to transmit low-frequency information at each stage of the network to reduce the parameters for the computation. Finally, we use pixel shuffle for reconstructing the high resolution image.

Figure 2 shows, our proposed Deep Hybrid transformer U-Network for Single Image Super-Resolution (DHTCUN) consisting of five Parallel Hybrid Transformer CNN Blocks (PHTCB) described in the section 3A. The initial low-resolution features are extracted using a normal convolution 1×1 . Then the features are transmitted in the U shaped architecture designed using the PHTCB. The less complex features are directly transmitted from the first PHTCB to the last PHTCB using a skip connection where the more complex features traverse through second PHTCB to the second-last PHTCB. The higher the complexity of the feature, the more they traverse through the PHTCB to attain better refinement. These features after passing from the U-shaped framework, pass through the Enhanced spatial attention block followed by a 1×1 convolution layer to achieve better refinement by focusing on the relevant features and noise suppression. Finally, the High-resolution image is reconstructed using a Pixel Shuffle followed by two layers of the 3×3 convolution.

The initial features extraction is indicated in Equation 1.

$$H_0 = F_{Conv1}(H_{LR}), \quad (1)$$

Here, H_{LR} is the input Low-Resolution (LR) image, $F_{Conv1}(\cdot)$ represents 1×1 convolution operation for extracting initial features, and H_0 is the output of the convolution layer.

After passing through the initial feature extraction stage, the features are transmitted to the PHTCB in the U-shaped framework.

A. PARALLEL HYBRID TRANSFORMER CNN BLOCK (PHTCB)

Parallel Hybrid Transformer CNN Block (PHTCB) is used to capture long range dependencies, reduce artifacts and

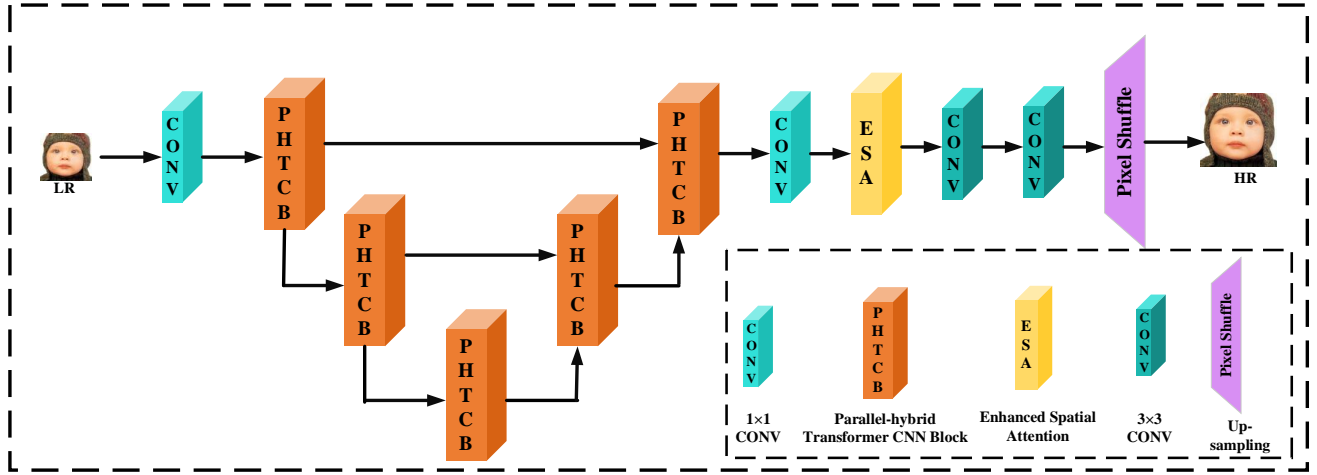


FIGURE 2. Deep Hybrid Transformer CNN U-Net for Single Image Super Resolution's (DHTCUN) proposed network architecture.

simultaneously prevents the amplification of noise in super-resolution of noisy images. The architecture of PHTCB is shown in Figure 3. It consist of Triple Enhanced Spatial Attention (TESA) described in section 3 A.1 Block and Transformer CNN (TCN) Blocks described in section 3 A.2. Since the hybrid combination of Transformer and CNN is parallelly connected in this block, that's why the Block is named as Parallel Hybrid Transformer CNN Block (PHTCB).

As seen in Figure 3, the PHTCB consist of the TESA and the TCN Blocks. The input to the PHTCB first passess through the TESA and then is parallelly distributed through both the Hybrid TCN Blocks. The TCN block is the Hybrid block cascading together the Swin Transfomer Layer (STL) and the convolutional layer. Finally the features pass through a convolutional layer and passed through a TESA followed by an arithmetic addition.

The mathematical expression of PHTCB is given in Equation 2, 3, 4, 5 and 6.

The input to the PHTCB is fed to the TESA block. The equation of the TESA Block is given by Equation 2.

$$H_{TESA} = F_{TESA}(H_I), \quad (2)$$

Here, H_I is the input to the PHTCB block, $F_{TESA}(\cdot)$ is the TESA Block function and H_{TESA} is the output of the TESA block.

The output of the TESA block is distributed parallelly to the TCN blocks. The output of each TCN block is shown by Equation 3.

$$H_{TCN} = (F_{STL}(F_{Conv3}(H_{TESA}))) \quad (3)$$

Here, $F_{Conv3}(\cdot)$ is the 3×3 convolution function, $F_{STL}(\cdot)$ is the STL function and H_{TCN} is the output of the TCN block

The outputs of both the TCN blocks are added and passed through th convolution layer in Equation 4 and 5.

$$H_{Con_{i/p}} = H_{TCN1} + H_{TCN2}, \quad (4)$$

Here, $H_{Con_{i/p}}$ is the input to the 1×1 convolution layer, H_{TCN1} and H_{TCN2} are the outputs from both the TCN block. $H_{TCN1} = H_{TCN2} = H_{TCN}$

$$H_{Con_{o/p}} = F_{Conv1}(H_{Con_{i/p}}), \quad (5)$$

Here, $H_{Con_{o/p}}$ is the output of the 1×1 convolution layer and $F_{Conv1}(\cdot)$ represents 1×1 convolution operation.

Finally, all the features are passed through the TESA block.

$$H_{PHTCB} = F_{TESA}(H_{Con_{o/p}}), \quad (6)$$

Here H_{PHTCB} denotes the ouput of the PHTCB block

1) Triple Enhanced Spatial Attention (TESA) Block

The Triple enhancece Spatial Attention as seen in Figure 4, consists of three Enhanced Spatial Attention (ESA) modules stacked together. This approach enhances image quality by focusing on relevant regions of the image. TESA employs an iterative approach where the output of one attention module is refined by subsequent modules, progressively enhancing the focus on critical features. The output of TESA is shown by Equation 7.

$$H_{TESA} = F_{ESA}(F_{ESA}(F_{ESA}(H_{i/p}))), \quad (7)$$

Here $F_{ESA}(\cdot)$ represents the Enhanced Spatial Attention (ESA) module, and $(H_{i/p})$ is the input fed into the TESA block and H_{TESA} is the output of the TESA Block.

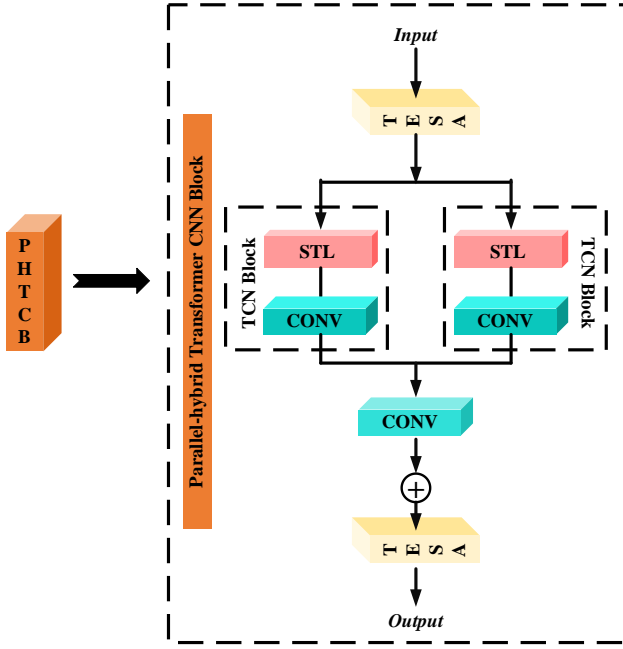


FIGURE 3. The structure of Parallel Hybrid Transformer CNN Block (PHTCB).

2) Transformer CNN (TCN) Block

As already seen in Figure 2, the Transformer CNN (TCN) Block is a hybrid block formed by cascading the Swin transformer Layer and the CNN layer. It combines the powers of CNNs and Transformer to capture long range dependencies, reduce artifacts and simultaneously prevents the amplification of noise in super-resolution of noisy images. In our proposed architecture, we have used two TCN connected in parallel in each PHTCB. The output of the TCN Block is given in Equation 8.

$$H_{TCN} = F_{Conv3}(F_{STL}(H_{In})), \quad (8)$$

Here $F_{STL}(\cdot)$ represents STL function, (F_{Conv3}) is the 3 convolution operation, H_{In} is the input of the TCN block and H_{TCN} is the output of the TCN block.

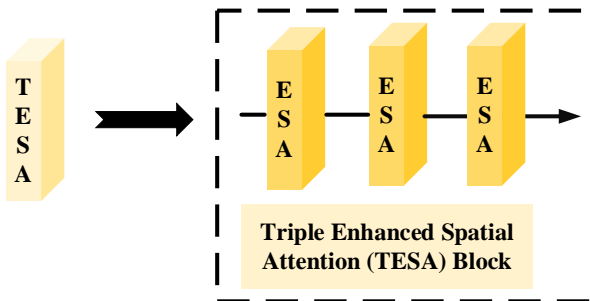


FIGURE 4. The structure of Triple Enhanced Spatial Attention (TESA) Block.

B. ENHANCED SPATIAL ATTENTION (ESA)

Enhanced Spatial Attention (ESA) is a critical components in image super-resolution (ISR) models, designed to selectively focus on significant features within an image. This mechanisms aims to improve the network's ability to discern and enhance crucial details, such as edges, textures, and fine patterns, leading to higher-quality super-resolved images.

Enhanced Spatial Attention mechanisms refine traditional spatial attention by incorporating more sophisticated methods to identify and focus on critical image areas. This selective focus helps the network preserve and enhance fine details that are often lost in conventional methods. ESA dynamically adjusts the weights assigned to different regions based on the context and content of the image, allowing for adaptive attention that responds to varying image characteristics.

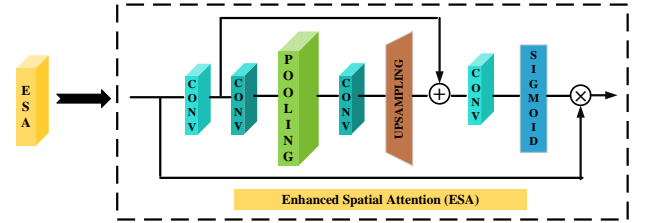


FIGURE 5. Enhanced Spatial Attention (ESA).

Figure 5 shows diagram representation of the ESA mechanism, Attention maps are generated by adding the input feature maps through a series of convolutional, pooling and upsampling layers. These maps indicate the importance of each spatial location. The generated attention maps are then multiplied element-wise followed by an activation function (often sigmoid) with the input feature maps, emphasizing the significant regions while suppressing less important areas.

The mathematical expression of ESA is describes in Equations 9, 10, 11 and 12,

$$H_{E1} = F_{up}(F_{Conv3}(F_{pool}(F_{Conv3}(F_{Conv1}(H_{E1i/p}))))), \quad (9)$$

Here, $H_{E1i/p}$ is the input to the ESA, $F_{Conv1}(\cdot)$ is the 1×1 convolution operation and $F_{Conv3}(\cdot)$ is the 3×3 convolution operation fuction, $F_{up}(\cdot)$ is the upsampling function and H_{E1} is one of the input to the addition operation in ESA.

$$H_{E2} = F_{Conv1}(H_{E1i/p}), \quad (10)$$

H_{E2} is another input to the addition operation in ESA as seen in Figure 5.

$$H_{E3} = H_{E1} + H_{E2}, \quad (11)$$

H_{E3} is the added output of the addition operation in ESA.

$$H_{ESA} = H_{E1} \times \sigma(F_{Conv3}(H_{E3})) \quad (12)$$

Here, \times denotes the element wise multiplication, σ denotes the sigmoid function and H_{ESA} is the output of the Enhanced Spatial Attention (ESA) mechanism.

C. SWIN TRANSFORMER LAYER (STL)

The Swin Transformer layer is the transformer component of the proposed architecture, which is designed for efficient and scalable vision tasks, including image super-resolution. Unlike the original STL which uses the components twice, we have used the components inside STL just once to reduce the computation for self-attention across input feature map. It uses a hierarchical approach to model long-range dependencies and global context efficiently. We apply global self-attention across the entire input feature map. After each self-attention operation, a two-layer MLP is applied to transform the features further. Each MSA and MLP block is preceded by Layer Normalization to stabilize and improve the training process. Residual connections are used around each MSA and MLP block to enhance gradient flow and mitigate the vanishing gradient problem.

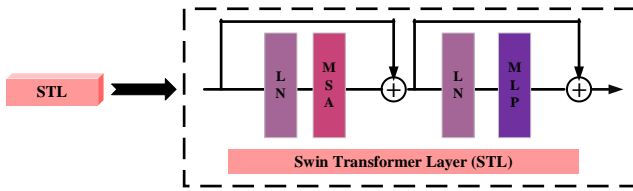


FIGURE 6. Inception Block

Figure 6 represents the STL used in our proposed method. The mathematical expression for STL is given by Equations 13 and 14.

$$H_{S1} = H_{S1i/p} + (F_{LN}(F_{MSA}(H_{S1i/p}))), \quad (13)$$

Here, $H_{S1i/p}$ is the input to the STL, $F_{MSA}(\cdot)$ is the Multi-Head Self Attention function, $F_{LN}(\cdot)$ is the Layer Normalization function, and H_{S1} is the output of the addition operation.

$$H_{STL} = H_{S1} + (F_{LN}(F_{MLP}(H_{S1}))), \quad (14)$$

Here, $F_{MLP}(\cdot)$ is Multi-Layer Perceptron, H_{STL} is final output of the STL.

IV. EXPERIMENTAL RESULTS

In the experimental results section we have demonstrated the results of various qualitative, quantitative experiments conducted on our proposed method. We have also shown the comparative analysis of our proposed DHTCUN for parameters, average PSNR as well as SSIM, execution time and time complexity of our proposed method. Furthermore, an ablation study has also been conducted by checking different number of PHTCB block to be used in model, by changing the structure of TCN block and by changing the configuration of TCN block in PHTCH, PSNR versus Multi-add analysis and finally convergence analysis of the model.

A. EXPERIMENTAL SETUP

In order to test the efficacy of our suggested model on publicly available datasets, this section presents the evaluation metrics, training specifics, and datasets. It should be mentioned that the testing and training sets are not the same.

1) Training and Testing Setup

Training our model involved randomly cropping low-resolution patches of 48×48 . A window size of 24×24 has been selected. For $\times 2$, $\times 3$, $\times 4$, and $\times 8$, low-resolution images were created using MATLAB R2022b. To train the proposed network, a 24-GB NVIDIA GeForce GTX 2080ti GPU is utilized. Python 3.6 and the PyTorch 1.1.0 platform were used to write the algorithm for the proposed model. Model training involves obtaining 800 high-quality samples from DIV2K [41] datasets. We choose an Adam optimizer with $\beta_2 = 0.99$ and $\beta_1 = 0.90$ for optimization. For every 200 epochs, the learning rate of the suggested model is halved from 10^{-4} .

Five common benchmark datasets, namely Set5 [42], Set14 [43], BSD100 [44], Urban100 [45], and Manga109 [46], were used to test our proposed model. Bicubic kernels are used to downsample the HR images in order to produce the LR image. Each batch size of eight training samples is divided up. Furthermore, by flipping and rotating at random angles of 90, 180, and 270 degrees, data augmentation produces extra samples for the computation. The image intensity range $[-1, 1]$ has been used to compute the Mean Squared Error (MSE). Standard evaluation metrics like PSNR and SSIM can be used to quantitatively compare our model with the most advanced techniques.

B. QUANTITATIVE EVALUATIONS IN COMPARISON TO STATE-OF-THE-ART METHODS

Five benchmark test datasets are tabulated and compared using standard metrics in Table 1. We quantitatively compare our proposed DHTCUN with seventeen SOTA methods: Bicubic, SRCNN [5], FSRCNN [6], VDSR [7], RDN [47], LapSRN [8], SENet [33], RCAN [11], MemNet [9], MFCC [32], EDSR [10], HAN [31], SwinIR [15], and NLSN [12], SRFormer [17], TCDFN [19], HNCT [20], MSRN [48], AWSRN [49], DBPN [50] and RDN [51]. Our suggested DHTCUN quantitative results have considerably surpassed the state-of-the-art techniques in terms of PSNR and SSIM, as indicated in Table 1. For scale factors $\times 2$, $\times 3$, $\times 4$, and $\times 8$, our suggested DHTCUN model performs better across all test datasets. Additionally, when compared to other SOTA models, our suggested approach produced a higher average PSNR/SSIM value on all image SR test datasets.

C. COMPARATIVE STUDY USING THE QUANTITY OF MODEL PARAMETERS

The parameters and PSNR comparison for our suggested DHTCUN model is displayed in Figure 7. The effectiveness of our suggested model, DHTCUN, with a scale factor of $\times 2$, is assessed using the Set5 [42] test dataset. Lowering the

TABLE 1. Comparison of the most sophisticated SR methods for upscaling factors $\times 2$, $\times 3$, $\times 4$, and $\times 8$ with our suggested DHTCUN evaluated using standard metrics. The highest score is displayed in bold and is colored Red. Blue indicates and displays the score that comes in second place.

Method	Factor	#Param	Set5 [42]		Set14 [43]		BSD100 [44]		Urban100 [45]		Manga109 [46]		Average	
			PSNR↑	SSIM↑	PSNR↑	SSIM↑	PSNR↑	SSIM↑	PSNR↑	SSIM↑	PSNR↑	SSIM↑	PSNR↑	SSIM↑
Bicubic	×2	-/-	33.68	0.9304	30.24	0.8691	29.56	0.8435	26.88	0.8405	31.05	0.9349	30.23	0.8832
SRCNN [5]	×2	57K	36.66	0.9542	32.45	0.9067	31.36	0.8879	29.51	0.8946	35.72	0.9680	33.11	0.9219
FSRCNN [6]	×2	12K	36.98	0.9556	32.62	0.9087	31.50	0.8904	29.58	0.9009	36.62	0.9710	33.56	0.9260
VDSR [7]	×2	665K	37.53	0.9587	33.05	0.9127	31.90	0.8960	30.77	0.9141	37.16	0.9740	33.24	0.9314
MemNet [9]	×2	677K	37.78	0.9597	33.28	0.9142	32.08	0.8978	31.31	0.9195	37.72	0.9740	34.43	0.9330
LapSRN [8]	×2	812K	37.52	0.9591	32.99	0.9124	31.80	0.8949	30.41	0.9101	37.53	0.9740	33.87	0.9302
SENext [33]	×2	97K	38.04	0.9608	34.24	0.9181	32.21	0.8997	32.43	0.9287	38.79	0.9774	35.14	0.9369
RCAN [11]	×2	16,000K	38.27	0.9614	34.12	0.9216	32.41	0.9027	33.34	0.9384	39.44	0.9786	35.52	0.9405
MFCC [32]	×2	1,861K	38.16	0.9606	33.85	0.9195	32.28	0.9010	32.65	0.9331	39.11	0.9780	35.21	0.9384
EDSR [10]	×2	43,000K	38.11	0.9602	33.92	0.9195	32.32	0.9013	32.93	0.9351	39.10	0.9773	35.28	0.9386
HAN [31]	×2	3,230K	38.27	0.9614	34.16	0.9217	32.41	0.9027	33.35	0.9385	39.46	0.9785	35.53	0.9405
NLSN [12]	×2	4,475K	38.34	0.9618	34.08	0.9231	32.43	0.9027	33.42	0.9394	39.59	0.9789	35.57	0.9412
SwinIR [15]	×2	878K	38.38	0.9620	34.24	0.9233	32.47	0.9032	33.51	0.9401	39.70	0.9794	35.66	0.9416
ELAN [18]	×2	621K	38.36	0.9620	34.20	0.9228	32.45	0.9030	33.44	0.9391	39.62	0.9783	35.61	0.9412
SRFormer [17]	×2	853K	38.45	0.9622	34.21	0.9236	32.51	0.9038	33.86	0.9426	39.69	0.9786	35.74	0.9422
TCDFN [19]	×2	573K	38.16	0.9612	33.89	0.9206	32.26	0.9002	32.79	0.9341	39.12	0.9780	35.24	0.9388
HNCT [20]	×2	356K	38.08	0.9608	33.65	0.9182	32.22	0.9001	32.22	0.9294	38.87	0.9774	35.01	0.9372
DHTCUN (Ours)	×2	2,375K	38.48	0.9624	34.25	0.9234	32.54	0.9040	33.88	0.9426	39.70	0.9786	35.77	0.9422
Bicubic	×3	-/-	30.40	0.8686	27.54	0.7741	27.21	0.7389	24.46	0.7349	26.95	0.8566	27.31	0.7945
SRCNN [5]	×3	57K	32.75	0.9090	29.29	0.8215	28.41	0.7863	26.24	0.7991	30.48	0.9117	29.44	0.8455
FSRCNN [6]	×3	12K	33.16	0.9140	29.42	0.8242	28.52	0.7893	26.41	0.8064	31.10	0.9210	29.70	0.8516
VDSR [7]	×3	665K	33.66	0.9213	29.78	0.8318	28.83	0.7976	27.14	0.8279	32.01	0.9340	30.28	0.8624
MemNet [9]	×3	677K	34.09	0.9248	30.00	0.8350	28.96	0.8001	27.56	0.8376	32.51	0.9369	30.62	0.8669
LapSRN [8]	×3	812K	33.82	0.9227	29.79	0.8320	28.82	0.7973	27.07	0.8271	32.21	0.9350	30.36	0.8631
SENext [33]	×3	54K	34.32	0.9255	31.08	0.8419	29.11	0.8047	28.60	0.8519	33.63	0.9451	31.35	0.8738
RCAN [11]	×3	16,000K	34.74	0.9299	30.65	0.8482	29.32	0.8111	29.09	0.8702	34.44	0.9499	31.64	0.8818
MFCC [32]	×3	2,230K	34.67	0.9294	30.51	0.8456	29.22	0.8080	28.64	0.8616	34.15	0.9478	31.43	0.8793
EDSR [10]	×3	43,000K	34.65	0.9280	30.52	0.8462	29.25	0.8093	28.80	0.8653	34.17	0.9476	31.48	0.8792
HAN [31]	×3	3,230K	34.75	0.9299	30.67	0.8483	29.32	0.8110	29.10	0.8705	34.48	0.9500	31.66	0.8819
NLSN [12]	×3	4,475K	34.85	0.9306	30.70	0.8485	29.34	0.8117	29.25	0.8726	34.57	0.9508	31.74	0.8824
SwinIR [15]	×3	886K	34.89	0.9312	30.77	0.8503	29.37	0.8124	29.29	0.8744	34.74	0.9518	31.81	0.8840
ELAN [18]	×3	629K	34.90	0.9313	30.80	0.8504	29.38	0.8124	29.32	0.8745	34.73	0.9517	31.82	0.8841
SRFormer [17]	×3	861K	34.94	0.9318	30.81	0.8518	29.41	0.8142	29.52	0.8786	34.78	0.9524	31.89	0.8857
TCDFN [19]	×3	582K	34.63	0.8287	30.56	0.8466	29.23	0.8082	28.71	0.8624	34.98	0.9477	31.62	0.8587
HNCT [20]	×3	363K	34.96	0.9329	30.88	0.8512	29.42	0.8132	29.31	0.8752	34.88	0.9519	31.89	0.8848
DHTCUN (Ours)	×3	2,386K	34.98	0.9320	30.89	0.8515	29.44	0.8143	29.34	0.8754	34.91	0.9526	31.91	0.8852
Bicubic	×4	-/-	28.43	0.8109	26.00	0.7023	25.96	0.6678	23.14	0.6574	25.15	0.7890	25.68	0.7250
SRCNN [5]	×4	57K	30.48	0.8628	27.50	0.7513	26.90	0.7103	24.52	0.7226	27.66	0.8580	27.40	0.7785
FSRCNN [6]	×4	12K	30.70	0.8657	27.59	0.7535	26.96	0.7128	24.60	0.7258	27.89	0.8590	27.57	0.7850
VDSR [7]	×4	665K	31.35	0.8838	28.02	0.7678	27.29	0.7252	25.18	0.7525	28.82	0.8860	28.13	0.8031
MemNet [9]	×4	677K	31.74	0.8893	28.26	0.7723	27.40	0.7281	25.50	0.7630	29.42	0.8942	28.46	0.8094
LapSRN [8]	×4	812K	31.54	0.8866	28.09	0.7694	27.32	0.7264	25.21	0.7553	29.09	0.8900	28.27	0.8060
SENext [33]	×4	54K	31.50	0.8947	28.99	0.7812	28.49	0.7357	26.64	0.7839	30.48	0.9084	29.22	0.8208
RCAN [11]	×4	16,000K	32.63	0.9002	28.87	0.7889	27.77	0.7436	26.82	0.8087	31.22	0.9173	29.46	0.8317
MFCC [32]	×4	2,157K	32.42	0.8973	28.73	0.7849	27.67	0.7399	26.48	0.7977	30.98	0.9131	29.25	0.8265
EDSR [10]	×4	43,000K	32.46	0.8968	28.80	0.7876	27.71	0.7420	26.64	0.8033	31.02	0.9148	29.32	0.8289
HAN [31]	×4	3,230K	32.64	0.9002	28.90	0.7890	27.80	0.7442	26.85	0.8094	31.42	0.9177	29.52	0.8321
NLSN [12]	×4	4,475K	32.59	0.9000	28.87	0.7891	27.78	0.7444	26.96	0.8109	31.27	0.9184	29.49	0.8325
SwinIR [15]	×4	897K	32.72	0.9021	28.94	0.7914	27.83	0.7459	27.07	0.8164	31.67	0.9226	29.64	0.8356
ELAN [18]	×4	621K	32.75	0.9022	28.96	0.7914	27.83	0.7459	27.13	0.8167	31.68	0.9226	29.67	0.8357
SRFormer [17]	×4	873K	32.81	0.9029	29.01	0.7919	27.85	0.7472	27.20	0.8189	31.75	0.9237	29.72	0.8369
TCDFN [19]	×4	591K	32.44	0.8976	28.79	0.7861	27.71	0.7381	26.51	0.7981	30.90	0.9151	29.27	0.8270
HNCT [20]	×4	372K	32.78	0.9028	28.98	0.7928	27.97	0.7468	27.32	0.8189	31.74	0.9228	29.76	0.8368
DHTCUN (Ours)	×4	2,395K	32.83	0.9029	29.01	0.7929	27.98	0.7474	27.34	0.8191	31.78	0.9230	29.79	0.8371
Bicubic	×8	-/-	24.40	0.6580	23.10	0.5660	23.67	0.5480	20.74	0.5160	21.47	0.6500	22.68	0.5876
SRCNN [5]	×8	57K	25.33	0.6900	23.76	0.5910	24.13	0.5660	21.29	0.5440	22.46	0.6950	23.42	0.5739
FSRCNN [6]	×8	12K	25.60	0.6970	24.00	0.5990	24.31	0.5720	21.45	0.5500	22.72	0.6920	23.46	0.5696
VDSR [7]	×8	665K	25.93	0.7240	24.26	0.6140	24.49	0.5830	21.70	0.5710	23.16	0.7250	23.50	0.5800
MemNet [9]	×8	677K	26.16	0.7414	24.38	0.6199	24.58	0.5842	21.89	0.5825	23.56	0.7387	24.11	0.6529
LapSRN [8]	×8	812K	26.15	0.7380	24.35	0.6200	24.54	0.5860	21.81	0.5810	23.39	0.7350	24.04	0.6520
MSRN [48]	×8	6,226K	26.59	0.7254	24.88	0.5961	24.70							

number of parameters indicates lower computational costs. The DHTCUN model more effectively reduces the model's size when compared to other state-of-the-art deep learning models. Around 92% less parameters are found in DHTCUN than in EDSR [10], 81% less in RCAN [11], 85% less in RDN [51], 42% less in NLSN [12], and 22% less in HAN [31]. Comparing our suggested method to five other cutting-edge approaches, Figure 7 demonstrates that our suggested method has fewer parameters. This indicates our model's efficiency in reducing the computational burden.

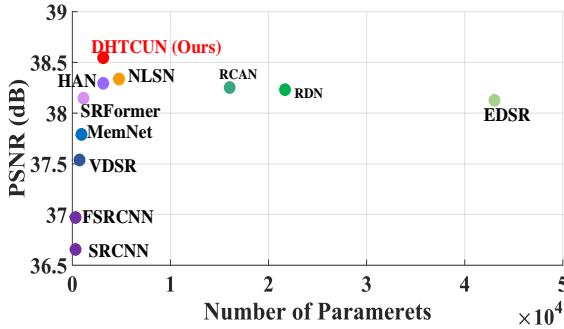


FIGURE 7. Analysis of model parameters in relation to PSNR using the $\times 2$ enlargement factor on the Set5 [42] image dataset.

D. COMPARISON OF THE MEAN PSNR AND SSIM OF THE IMAGE SR DATASETS FOR $\times 4$ AND $\times 8$ ENLARGEMENT FACTORS.

Using standard objective measures, Figures 8 and 9 compare the average PSNR and SSIM of various existing image SR methods on benchmark datasets (Set5 [42], Set14 [43], BSD100 [44], Urban100 [45], Manga109 [46]) for enlargement factors of $\times 4$ and $\times 8$. According to the quantitative results, our proposed DHTCUN outperforms HNCT [20], SRFormer [17], NLSN [12], RCAN [11], EDSR [10], and MemNet [9] when it comes to the enlargement factor of $\times 4$, and SRCNN [5], LapSRN [8], MemNet [9], RCAN [11], HAN [31], RDN [51], EDSR [10], and AWSRN [49] when it comes to the enlargement factor of $\times 8$. The average quantitative PSNR and SSIM values for Figure 8 and Figure 9 are given in Table 1.

E. PSNR VERSUS EXECUTION TIME: A QUANTITATIVE ANALYSIS

Execution time refers to the duration required for an ISR model to process an image and produce a high-resolution output. As seen in Figure 10, this section displays the DHTCUN's performance in terms of PSNR versus execution time. The state-of-the-art techniques were assessed using an NVIDIA GeForce GTX 2080ti GPU with 24GB of memory. On Set14 [43] scale factor $\times 4$, Figure 10 illustrates the trade-off between PSNR and execution time. Our suggested approach outperforms five state-of-the-art techniques (HNCT

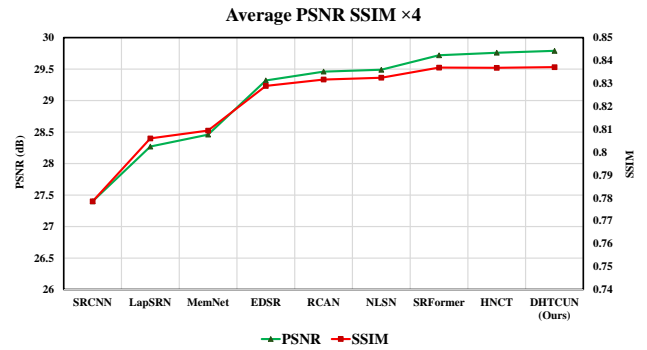


FIGURE 8. Comparison of Image SR Test Data Sets for PSNR and SSIM based on $\times 4$ enlargement factor.

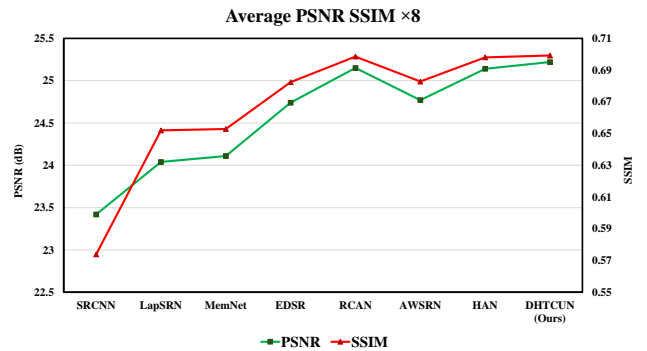


FIGURE 9. Comparison of Image SR Test Data Sets for PSNR and SSIM based on an enlargement factor of $\times 8$.

[20], SRFormer [17], NLSN [12], RNAN [52], and RDN [51]) with the highest PSNR of 29.06.

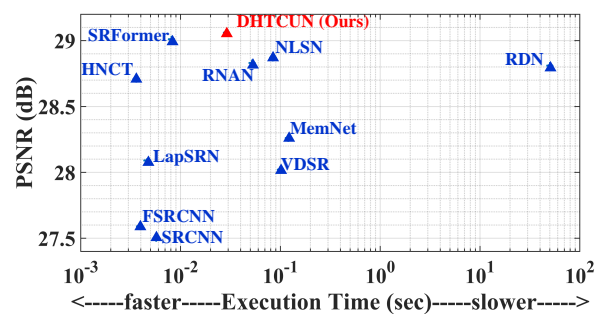


FIGURE 10. Measurement of execution time against PSNR using a scale factor of $\times 4$ on Set 14 [43].

F. EXAMINATION OF TIME COMPLEXITY

The length of time needed to finish each training epoch for a deep learning model illustrates how complex the model is in terms of time. The time complexity of these algorithms is a crucial factor, especially when aiming for real-time applications. The time complexity of image super-resolution (ISR) is primarily influenced by the size of the input image

($n \times m$), the depth of the network d , and the size of the convolutional kernel k .

The time required per epoch in 100 training epochs of the five state-of-the-art methods TCDFN [19], ELAN [18], HNCT [20], NLSN [12] and our suggested DHTCUN is displayed in Figure 11. There is a noticeable difference in the curves, suggesting that our suggested DHTCUN requires less training time for every epoch. DHTCUN therefore exhibits lower time complexity compared to the five state-of-the-art methods.

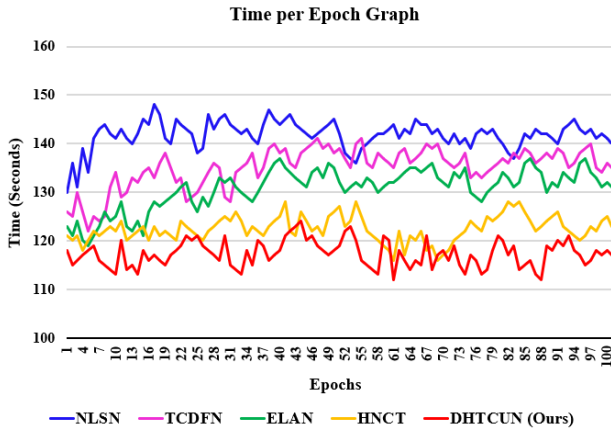


FIGURE 11. Estimation of Time complexity on DIV2K [41] Dataset for 100 epochs on scale factor $\times 4$.

G. A COMPARISON OF VISUAL QUALITY

For image SR test datasets, including Set5 [42], Set14 [43], BSD100 [44], Urban100 [45], and Manga109 [46], the visual quality of up-sampling factors $\times 4$ and $\times 8$ is displayed in Figures 12, 13, 14, 15, 16, 17, 18, and 19. Even so, it is challenging to improve an image for an enlargement factor of $\times 8$ our proposed method shows finer and detail oriented results because of the hybrid transformer CNN approach used in the model.

We used the following images from respective datasets for scale factor $\times 4$: Img_223061 from BSD100 [44], Img_098 from Urban100 [45], ARMS image from Manga109 [46], and zebra image from Set14 [43]. Similarly for the scale factor $\times 8$, we used Img_119082 from BSD100 [44], Img_044 from Urban100 [45], the foreman from Set14 [43] dataset, and KuroidoGanka image from Manga109 [46] datasets. In comparison to other state-of-the-art methods like Bicubic, MSRN [48], EDSR [10], AWSRN [49], RCAN [11], NLSN [12], SwinIR [15], SRFormer [17], TCDFN [19], and HNCT [20] for $\times 4$, better quantitative metrics (PSNR/SSIM) and aesthetically pleasing patches are displayed by our proposed DHTCUN. Likewise for scale factor $\times 8$ Bicubic, HAN [31], TCDFN [19], LapSRN [8], MSRN [48], RCAN [11], AWSRN [49], and DBPN [50] are comparable SOTA methods.

H. ABLATION EXAMINATION

Here, we analyze our proposed model through controlled experiments. Five Parallel Hybrid Transformer CNN Blocks (PHTCB) are included in the suggested model. The framework's pixel shuffle function was utilized for upsampling. In order to make the model lightweight, we finally add a skip connection. The suggested model's ablation study was carried out using the following methods: (1) By calculating the PSNR versus Multi-Add, (2) By changing the number of PHTCBs in the network, (3) by analysing the number of ESA for the Multi Enhanced Spatial Attention (MESA) inside the PHTCB, (4) through an examination of comparisons with conventional denoising methods, and (5) By calculating PSNR versus Epoch convergence. We carry out these tests to see how they affect the suggested model's performance.

1) Ablation investigation by calculating the PSNR versus Multi-Add

In the context of deep learning models, particularly those involving convolutional neural networks (CNNs) and transformers, "multi adds" typically refer to the multiplication and addition operations required for matrix multiplications, which are fundamental to both convolutional operations and transformer mechanisms. These operations are critical in determining the computational complexity and efficiency of the model. The total multi adds for a deep learning model is the sum of the multi adds for each layer. This sum provides an estimate of the computational complexity of the model, influencing the required computational power and execution time. Optimizing the number of multi adds is crucial for making models efficient, particularly for deployment on resource-constrained devices like smart edge devices or embedded systems on chips (SoC). Hence, understanding and optimizing multi adds is essential for developing efficient and effective deep learning models.

From figure 20, it is clearly evident that our proposed method benchmarks a few representative SR methods like MSRN, RCAN, NLSN and EDSR on the metrics of SR performance (PSNR), model size (number of parameters), and computation cost (number of Multi-Adds).

2) Ablation Study by changing the number of PHTCBs in the network

Table 2 shows the performance and computation of the model in terms of PSNR, SSIM and Multi-adds. We tried different number of Parallel Hybrid Transformer CNN Blocks (PHTBs) for our network. We trained the model for 300 epochs and compared the PSNR, SSIM and Multi-adds. The number of PHTBs showing the highest PSNR and SSIM value with lowest Multi-Adds count is chosen for the final training of the model. From Table 2 it is evident 5 PHTBs are well suited for the final model.

As such, it depends on the model's requirements. The model with four PHTBs is the best option if we need to compute less; however, if we need more performance, the model with five PHTBs is the best choice. It displays



FIGURE 12. Zebra image quality improvement on a scale factor of $\times 4$ from the Set14 [43] dataset.

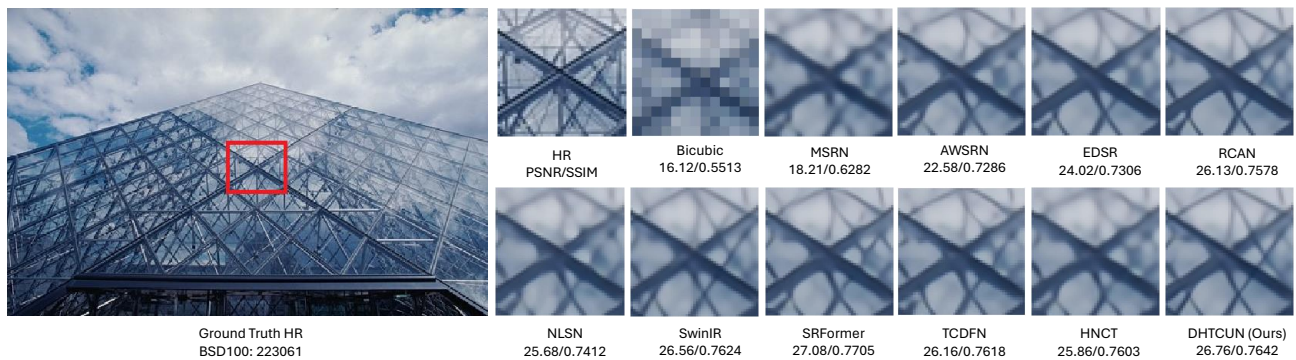


FIGURE 13. Img_223061 quality improvement on a scale factor of $\times 4$ from the BSD100 [44] dataset.

TABLE 2. Different Number of PHTCB in Network

Number of PHTCB	Average PSNR	Average SSIM	Multi-Adds
4	<u>37.73</u>	<u>0.9604</u>	345G
5	37.74	0.9606	<u>345.5G</u>
6	37.68	0.9600	348.5G
7	37.72	0.9601	352G
8	37.66	0.9598	353.5G
9	37.71	0.9602	368G
10	37.72	0.9603	372.5G

the second-lowest Multi-Adds count along with the highest PSNR and SSIM. **Red** represents the optimal value, while Blue represents the second-best value.

3) Analysing the number of ESA for the Multi-Enhanced Spatial Attention (MESA) inside the PHTCB

We did further experiments to check the most suitable number of ESA to use in a PHTCB. The module Triple Enhanced Spatial Attention (TESA) used in PHTCB comes from Multi- Enhanced Spatial Attention (MESA). MESA employs an iterative approach in which multiple ESA are cascaded together where the output of one attention module is refined by subsequent modules, progressively enhancing the focus on critical features. The experiments included the Dual Enhanced Spatial Attention (DESA) containing two ESA modules cascaded together, Triple Enhanced Spatial

TABLE 3. Evaluation of MESA in the PHTCB for Set5 [42] dataset. Bold text with the color **Red** indicates the best quantitative value. The blue color and underline indicate the second best quantitative value.

Number of ESA in MESA	Multi-Adds	Set5 [67]	
		PSNR	SSIM
Dual Enhanced Spatial Attention (DESA)	321G	32.84	0.7808
Triple Enhanced Spatial Attention (TESA)	<u>321.5G</u>	34.58	0.7848
Quadruple Enhanced Spatial Attention (QESA)	326G	<u>34.42</u>	<u>0.7826</u>

Attention (TESA) consisting of three ESA modules cascaded together and Quadruple Enhanced Spatial Attention (QESA) consisting of four ESA modules cascaded together. Table 3 demonstrated that TESA can be used as MESA inside PHTCB as it gives better PSNR, SSIM and Multi-Adds count as compared to DESA or QESA on Set5 dataset.

4) Analytical comparison with conventional denoising methods

Here, we present a comparative analysis of our proposed DHTCUN model on the Set5 [42] Dataset for a scale factor of $\times 2$ with other classical denoising techniques, including Color image denoising via sparse 3D collaborative filtering BM3D [53], Weighted Nuclear Norm Minimization with Application to Image Denoising (WNNM) [54], Denoising Convolutional Neural Network (DnCNN) [55], and Fast and

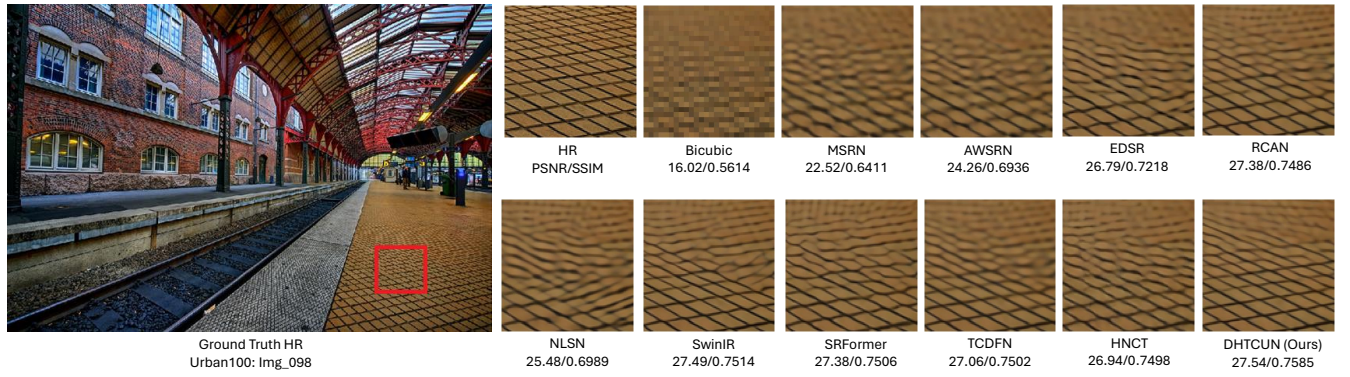


FIGURE 14. Img_098 quality improvement on a scale factor of $\times 4$ from the Urban100 [45] dataset.

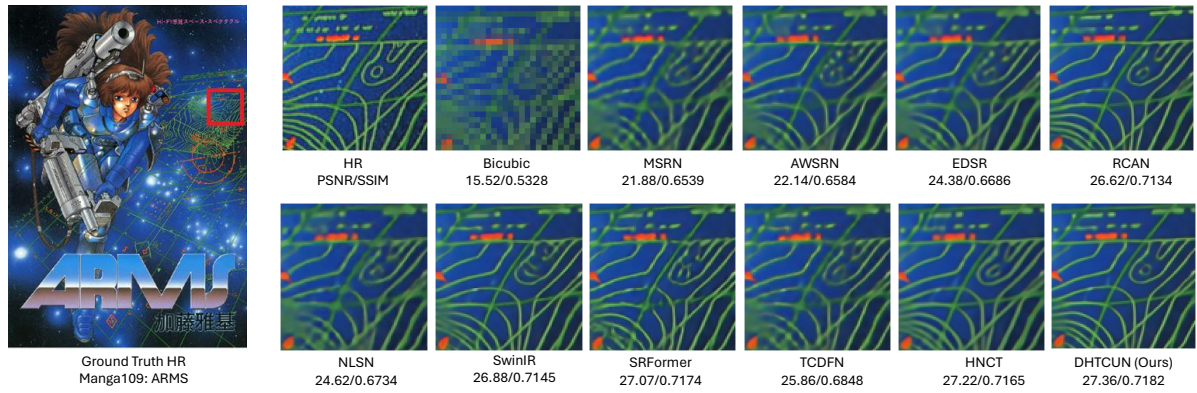


FIGURE 15. ARMS image quality improvement on a scale factor of $\times 4$ from the Manga109 [46] dataset.

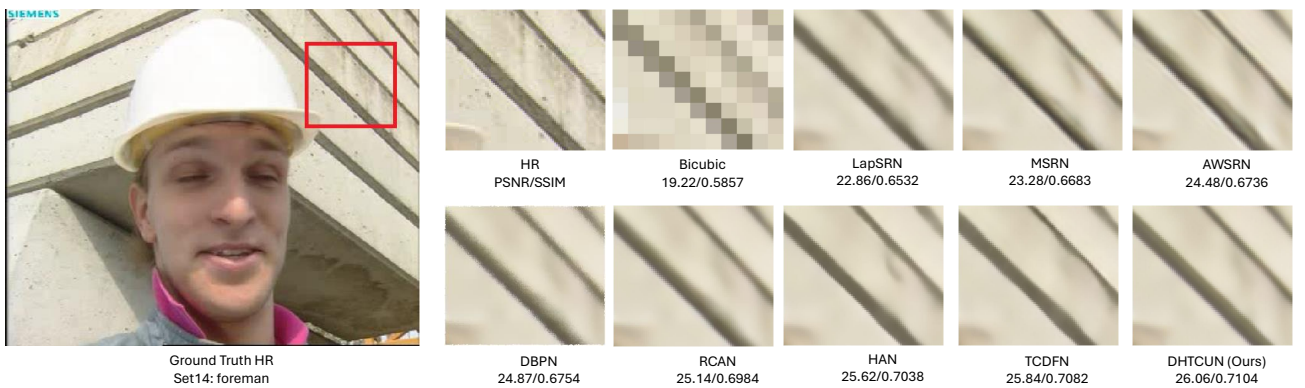


FIGURE 16. Foreman image quality improvement on scale factor of $\times 8$ from the Set14 [43] dataset.

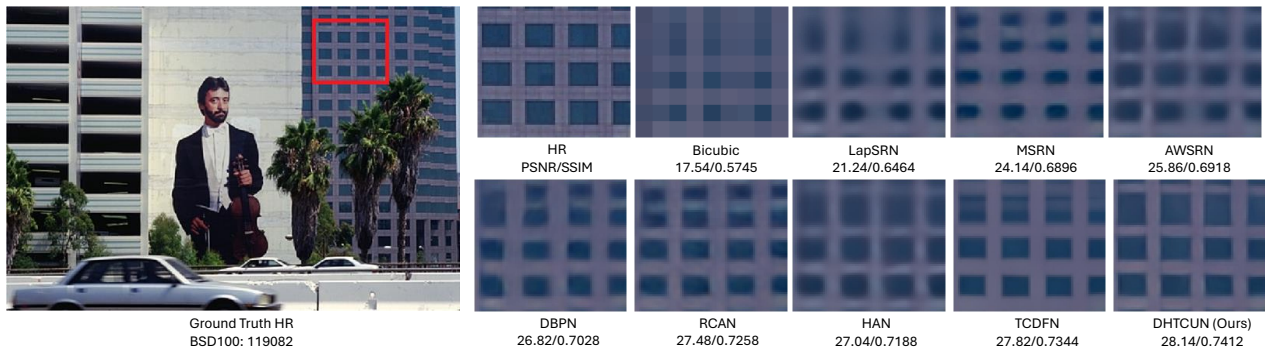


FIGURE 17. Img_119082 quality improvement on scale factor of $\times 8$ from the BSD100 [44] dataset.

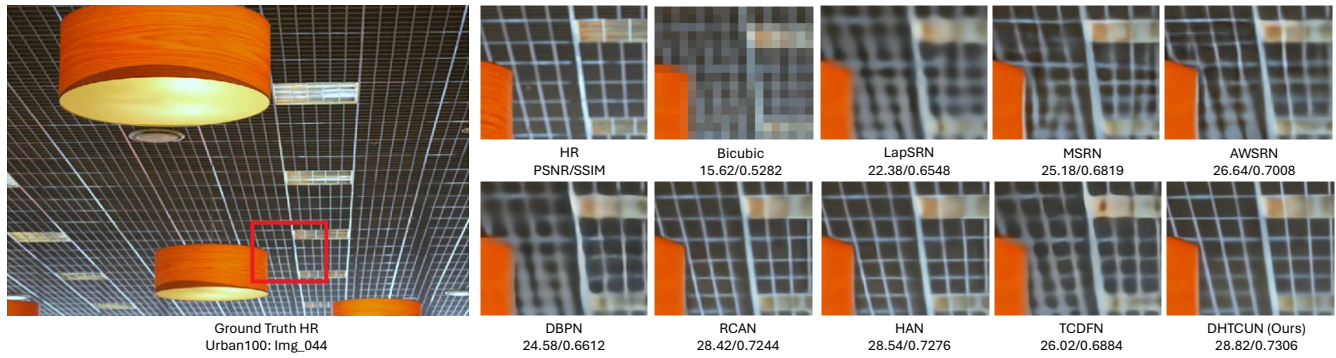


FIGURE 18. Img_044 quality improvement on the scale factor of $\times 8$ from the Urban100 [45] dataset.

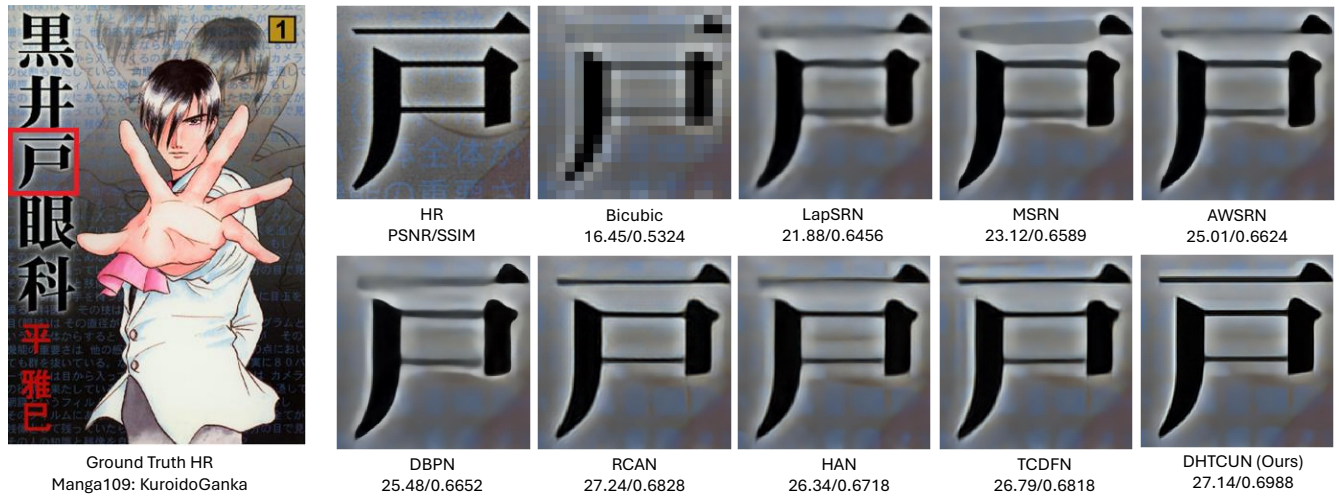


FIGURE 19. KuroidoGanka image quality improvement on the scale factor of $\times 8$ from the Manga109 [46] dataset.

TABLE 4. Assessment of image noise degradation performance on Set 5 [68] for scale factor $\times 2$. Bold text with the color **Red** indicates the best quantitative value. The **blue** color and underline indicate the second best quantitative value.

Methods / Noise Level	Factor	BM3D [53]	WNNM [54]	DnCNN [55]	FFDNet [56]	DHTCUN (Our)
$\sigma = 5$	$\times 2$	33.82	33.88	33.93	<u>33.96</u>	33.98
$\sigma = 10$	$\times 2$	32.58	32.64	32.70	<u>32.75</u>	32.88
$\sigma = 15$	$\times 2$	31.82	31.84	31.88	31.94	31.98

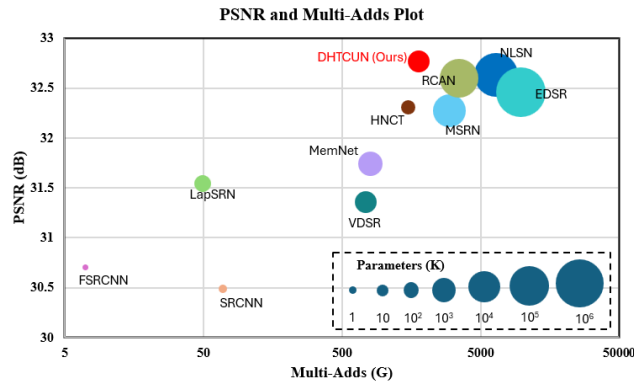


FIGURE 20. Metric assessment of PSNR (dB) versus Multi-add where the circle size represents the number of parameters on scale factor $\times 2$.

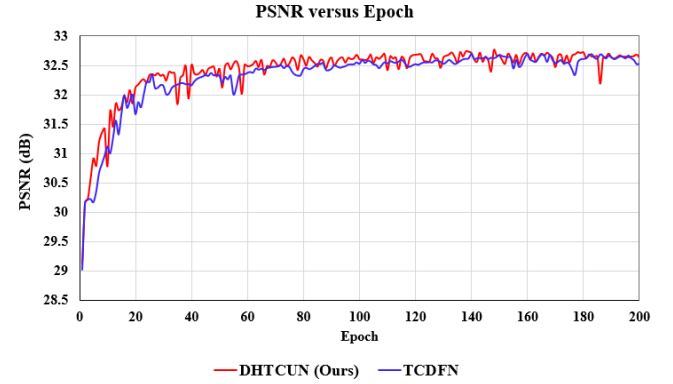


FIGURE 21. Convergence analysis of our model with the compared to another hybrid approach.

Flexible Solution for CNN-Based Image Denoising (FFDNet) [56]. The Gaussian noise keeping noise level (σ) is used in Table 4 to compare performance in terms of PSNR for the values of $\sigma = 5$, $\sigma = 10$, and $\sigma = 15$. Our suggested DHTCUN model performs better at noise level $\sigma = 5$ as seen in Table 4 proving that it reduces noise amplification during the reconstruction. Thus, our model is shows better performance for noisy images too.

5) Convergence analysis

Training convergence refers to the process by which a neural network's learning algorithm iteratively adjusts the model parameters to minimize the loss function, thereby improving performance on a given task. Achieving training convergence is a critical aspect of developing effective deep learning models.

We go over performance evaluation in this subsection as our model is being trained. Figure 21 displays the average PSNR (dB) for each epoch, illustrating how our model outperforms an existing SR model in terms of training convergence. To ensure a fair comparison, the training hyperparameters remain unchanged. This analysis is computed for 200 epochs of training with a $\times 4$ enlargement factor on the DIV2K [41] dataset.

V. DISCUSSION

The proposed hybrid model that combines Convolutional Neural Networks (CNNs) and Transformers in a U-shaped architecture with skip connections has demonstrated notable improvements in single-image super-resolution (SISR) tasks. This novel approach leverages the complementary strengths of CNNs and Transformers to address several inherent chal-

lenges in SISR, such as computational expense, noise amplification, and the generation of artifacts like jagged patterns or blurring. By integrating the capabilities of both architectures, the model efficiently captures long-range dependencies and global context while enhancing the quality of noisy images without compromising computational efficiency.

The introduction of the Parallel Hybrid Transformer CNN Block (PHTCB) within the proposed framework stands out as a significant innovation. This block synergizes the strengths of CNNs and Transformers, enabling the model to capture intricate image details and reduce artifacts. The Transformer component excels at modeling long-range dependencies and global context, essential for reconstructing high-fidelity images from low-resolution counterparts. Concurrently, the CNN component enhances image quality, particularly in noisy scenarios, by mitigating the amplification of noise during the super-resolution process.

Furthermore, the implementation of the Triple Enhanced Spatial Attention (TESA) block contributes to the model's performance by selectively focusing on relevant image regions while suppressing irrelevant or noisy areas. This selective attention mechanism ensures that the model prioritizes crucial features, thereby improving the overall quality and perceptual realism of the super-resolved images. The TESA block's ability to enhance the model's attention to pertinent details significantly contributes to the reduction of common SISR artifacts.

In terms of computational efficiency, the proposed U-shaped backbone with skip connections plays a crucial role. This design allows the model to extract features at different levels of abstraction without substantially increasing the

computational burden. The skip connections facilitate the flow of information across layers, ensuring that the model maintains a balance between capturing detailed features and preserving computational efficiency. This design choice is particularly beneficial for processing large and complex images, where computational resources are often a limiting factor.

The study's comparative analysis and experimental results support the efficacy of the suggested strategy. The model consistently outperforms the most advanced techniques in terms of perceptual quality and quantitative metrics. Especially, the suggested approach performs exceptionally well with a wide range of input image types, including extremely noisy and low-resolution images. This resilience demonstrates the model's adaptability and usefulness in real-world situations where computational effectiveness and image quality are crucial.

The proposed hybrid model represents a significant advancement in the field of SISR. By effectively combining the strengths of CNNs and Transformers within a U-shaped architecture, the model addresses several critical challenges, achieving superior image quality with reduced computational demands. The innovations introduced in the form of the PHTCB and TESA blocks further enhance the model's capabilities, making it a promising solution for high-fidelity image super-resolution tasks.

VI. CONCLUSIONS AND FUTURE WORK

In this work, we introduced a novel approach for single-image super-resolution (SISR) that synergizes the strengths of Convolutional Neural Networks (CNNs) and Transformers within a U-shaped architecture with skip connections. This hybrid model effectively addresses key challenges in SISR, including computational expense, noise amplification, and artifact generation. By leveraging the Parallel Hybrid Transformer CNN Block (PHTCB) and the Triple Enhanced Spatial Attention (TESA) block, the model captures long-range dependencies and global context while simultaneously enhancing the quality of noisy images. The U-shaped backbone with skip connections ensures efficient feature extraction at multiple levels of abstraction without increasing computational complexity. Our extensive experiments on benchmark datasets demonstrate that the proposed model consistently outperforms state-of-the-art methods in both quantitative metrics and perceptual quality. The robust performance across various image types, including those with extreme noise and low resolution, highlights the practical applicability and versatility of our approach. This work represents a significant advancement in the field of SISR, providing a promising solution for high-fidelity image reconstruction in various real-world applications. Subsequent research endeavors may delve into more refinements and expansions of this methodology, conceivably integrating supplementary attention mechanisms or sophisticated training tactics to bolster efficacy and present our model for the introduction of real-time and video super-resolution applications in intricate

scenarios.

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