

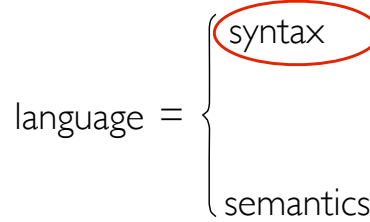
# CS 320: Principles of Programming Languages

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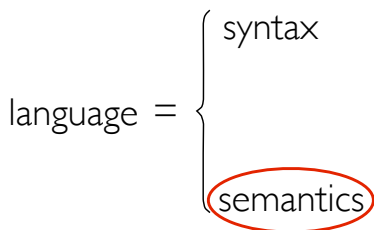
Week 4: Types

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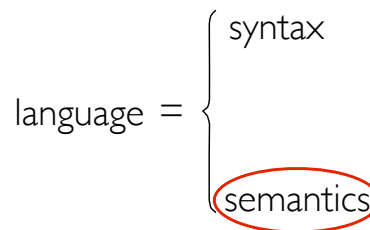
**syntax:** the written/spoken/symbolic/physical form; how things are communicated

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**semantics:** what the syntax means or represents

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**semantics:** what **values** do programs produce?  
what **types** can values have?

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## What is a type?

- A first attempt: types are sets of values:
  - The Boolean type corresponds to the set {False, True}
  - The integer type corresponds to the set {0, 1, -1, 2, -2, 3, -3, ...}
  - The character type corresponds to the set {'a', 'b', 'c', 'd', ...}
  - The type  $A \rightarrow B$  corresponds to the set of functions that map values of type A to values of type B ...
- Types give us a way to **classify** or organize the values that we work with in our programs
- But does it make sense to think of *any* set of values as a type?
  - Is {128, 192, 256} a useful type?
  - Is {1, 17, 'a', False} a useful type?

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## What makes Booleans special?

- What can we do with a Boolean value?
    - AND it with another Boolean value
    - OR it with another Boolean value
    - invert it using NOT
    - use it to make a decision: if b then t else f
  - The following also make sense with Boolean values:
    - read or write a value stored in a variable
    - pass a value as an argument to a function
    - return a value as the result of a function
- But can't we do these things with *any* value?

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## What makes integers special?

- What can we do with an integer value?
    - add it to or subtract it from another integer
    - multiply or divide it by another integer
    - raise it to the power of another integer
    - compare it with another integer
    - ...
  - The following also make sense with integer values:
    - read or write a value stored in a variable
    - pass a value as an argument to a function
    - return a value as the result of a function
- } But can't we do these things with *any* value?

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## What makes characters special?

- What can we do with an character value?
    - display it on a screen, or write it to a file
    - compare it with another character
    - combine it with other characters in a string
    - calculate a numeric integer code for it
    - ...
  - The following also make sense with character values:
    - read or write a value stored in a variable
    - pass a value as an argument to a function
    - return a value as the result of a function
- } But can't we do these things with *any* value?

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## What makes functions special?

- What can we do with a function value of type  $A \rightarrow B$ ?
    - apply it to an argument
    - compose it with a function of type  $B \rightarrow C$
    - compose it with a function of type  $D \rightarrow A$
    - ...
  - The following also make sense with function values:
    - read or write a value stored in a variable
    - pass a value as an argument to a function
    - return a value as the result of a function
- } But, in many languages, we can't do these things with functions!

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## Lessons learned

- Types are **sets of values together with specific operations**
  - the operations that the values have in common are what distinguishes a type from an arbitrary set of values
  - this distinction is subjective: it might not always make sense to consider  $\{128, 192, 256\}$  as a type ... but it would make good sense if you're working with AES encryption
- A lot of the time, we work with "first-class values":
  - they can be read from or written to a variable
  - they can be passed as an argument to a function
  - they can be returned as the result of a function
- But, apparently, not all values are first class ...

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## Type system building blocks

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## Primitive types

- Primitive types cannot be broken down into smaller constituents
- A programming language will typically provide
  - literal syntax for writing/constructing values of primitive types
  - built-in operators and/or statement forms for manipulating values of primitive types
- Primitive types often (but not always) reflect basic machine-level types such as integers, floating point numbers, characters, etc...
  - The numeric types are superficially familiar from mathematics, but may have limited range or precision

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## Constructed types

Common methods for constructing more interesting types include:

- products (aka tuples, records, structs, arrays)
- sums (aka variants, unions)
- functions
- recursion
- parameterization
- polymorphism

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## Products

- A **product type** allows us to package multiple values up as a single, composite (or aggregate) value
- Different programming languages support different notions of products, trading off such features as:
  - Access methods: named, numbered, positional, ...
  - Accuracy of typing: heterogeneous vs. homogeneous (e.g., type `list` in Python vs `T[]` in Java)
  - ...

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## Records/structs/classes

- A **record** holds a collection of values, accessed by name
- Different fields can have different types (heterogeneous)
- Constant time access to each field

```
class Person {  
    String name;  
    Date   dob;  
    long   ssn;  
}
```

For example:



Grace	12/09/1906	876543210
-------	------------	-----------

```
print("hello" + user.name);
```

(Java)

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## Tuples

- A **tuple** holds a collection of values, accessed by position
- The type  $T \times S$  contains pairs  $(t, s)$  where  $t \in T$  and  $s \in S$
- Lightweight syntax for constructing tuples, pattern matching or numbered selectors for taking them apart
- Different fields can have different types of value
- Constant time access to each field

For example:

```
type Person = (String, Date, SSN)  
type Date   = (Month, Day, Year)  
grace       = ("Grace", (Dec, 9, 1906), 876543210)  
  
greet (name, dob, ssn) = "hello " ++ name
```

(Haskell)

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## Arrays

- An **array** stores a collection of values, accessed by position/index
- Typically, all values have the same type
- Constant time access to each value

```
int[] arr;  
a[0] = a[m] + a[n];
```

(Java)

For example:

31	28	31	30	31	30	31	31	30	31	30	31
----	----	----	----	----	----	----	----	----	----	----	----

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## Dictionaries/generalized arrays

- Dicts in Python, for example, are not restricted to integer indices and can store different types of value in each position.

```
grace = { 'name': 'Grace',  
          'dob': (12, 09, 1906),  
          'ssn': 876543210 }
```
- We can access the values defined in `grace` by using array-like notation with run-time computed keys

```
key = 'name'  
print(grace[key])
```
- Still roughly constant time access (using hash tables)
- Python's dictionaries are heterogeneous (different keys can be associated to different types of values)

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## Sums/variants

- **Variants** or **sum types** allow us to capture alternatives
- The sum type  $(T + S)$  contains all the values of type  $T$  and all the values of type  $S$ , with some way to distinguish between them
- Example: in Haskell, the type `Either A B` is a sum type that contains all the values of the form `Left x` (if  $x \in A$ ) and all the values of the form `Right y` (if  $y \in B$ )
- The type `Either Bool Char` contains:  
`Left True, Right 'a', Left False, Right 'z', ...`
- We sometimes refer to `Left` and `Right` here as **tags**

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## Unions and enumerations

- **Unions** in C provide space to store exactly one of each listed component, without a tag:  
`union intOrString { int num; char* str; }`
- **Enumerations** are variants in which the only data is a tag:  
`enum tag { NUM, STR };`
- We can combine these constructs to make our own sum:  
`struct tagged { enum tag t;  
                  union intOrString data; }`
- But nothing ensures that the `t` and the `data` components here will always be consistent (to do that, we would have to hide the structure of `tagged` using private state)

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## Inheritance and variants

- Variants are often coded in an OOP language using inheritance:  

```
abstract class IntOrString { ... }
class AnInteger extends IntOrString {
    int n; ...
}
class AString extends IntOrString {
    String str; ...
}
```
- Typically requires elements of dynamic typing, even in a statically typed language  
run time type tests  
type casting/conversion operators (that could fail at run time)

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## Algebraic datatypes

- A unified approach for defining products and sums (and "sum of products" combinations):  
Products: `data DateOfBirth = DOB Day Month Year`  
Sums: `data Month = Jan|Feb|Mar|Apr|...|Dec`  
Combined: `data Opt = Missing | Entered String`
- Found in many languages (e.g., Haskell, ML, Rust, ...)
- Run time checks are replaced by pattern matching constructs with full static typing  

```
greet Missing      = "we haven't been introduced"
greet (Entered n) = "hello " ++ n
```

(Haskell)

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## Recursion

- Many interesting types can be defined once we allow recursive definitions
- For example, a list of integers is either:  
Empty; or else  
Nonempty, with an integer at its head and a (shorter) list of numbers as its tail.
- In the notations we have seen so far:  

```
data IntList = Empty | NonEmpty Int IntList // Haskell
struct IntList { int head; struct IntList* tail; }; // C
abstract class IntList {} // Java
class Empty extends IntList {}
class NonEmpty extends IntList { int head; IntList tail; }
```

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## Functions (exponentials)

- Conceptually, a function of type  $(A \rightarrow B)$  that takes an argument of type  $A$  and returns a result of type  $B$  is like an array in which:
  - Components are all indexed by values of type  $A$
  - All components store values of the same type  $B$
  - Values are computed on demand rather than stored
- Functions can be used to build data structures:  

```
type IntSet = Int -> Bool

intersect    :: IntSet -> IntSet -> IntSet
intersect s t = \n -> s n && t n
```

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## Lambda expressions

Lambda expressions (anonymous functions) provide a way to write functions without giving them a name.



Haskell	<code>\x -&gt; x + 1</code>	Java	<code>(x) -&gt; x + 1</code>
LISP	<code>(lambda (x)   (+ x 1))</code>	C++ II	<code>[] (int x) -&gt; int   { return x + 1; }</code>
Python	<code>lambda x: x + 1</code>	Scala	<code>x =&gt; x + 1</code>

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## Lambda calculus (Alonzo Church, 1930s)

- A simple language for describing calculations with functions:

$E \longrightarrow$	$x$	variables
$E \longrightarrow$	$c$	constants
$E \longrightarrow$	$E E$	function application
$E \longrightarrow$	$\lambda x . E$	lambda abstraction
$E \longrightarrow$	$( E )$	parentheses

- Examples:

- The identity function ( $\lambda x.x$ )
- The successor function ( $\lambda x.x+1$ )
- The doubling function ( $\lambda x.x*2$ )
- Function composition ( $\lambda f.\lambda g.\lambda x.f(g x)$ )
- ("x+1" is "syntactic sugar" for "plus x 1", where plus is a constant representing the function that adds two numbers)

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## Substitution in the lambda calculus

- We write  $[E'/x] E$  for the expression that is obtained by replacing all occurrences of  $x$  in  $E$  with  $E'$ .

- This operation is called **substitution**:

" $[E'/x]E$  is obtained by substituting  $E'$  for  $x$  in  $E$ "

- Examples:

$[3/x] (2 * x)$	$=$	$(2 * 3)$	
$[3/x] (x + x)$	$=$	$(3 + 3)$	
$[3/x] (y + y)$	$=$	$(y + y)$	
$[x+1/x] (2 * x)$	$=$	$(2 * (x+1))$	
$[1/x] (\lambda x.x)$	$=$	$(\lambda x.x)$	
$[y/x] (\lambda y.x)$	$=$	$[y/x] (\lambda z.x) = (\lambda z.y)$	

"Alpha conversion":  
renaming of bound variables  
 $(\lambda x. E) = (\lambda y. [y/x]E)$

- Surprisingly tricky to define precisely, but very widely used!

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## Evaluation in the lambda calculus

- The fundamental rule:

- "beta conversion" ("function application"):

$$(\lambda x. E) E' = [E'/x] E$$

- Examples (of beta reduction):

$$\begin{aligned}
 & (\lambda f.\lambda g.\lambda x.f(g x)) (\lambda x.x+1) (\lambda x.2*x) n \\
 &= (\lambda f.\lambda g.\lambda x.f(g x)) (\lambda y.y+1) (\lambda z.2*z) n \quad \text{renaming of bound variables} \\
 &= (\lambda g.\lambda x. (\lambda y.y+1) (g x)) (\lambda z.2*z) n \\
 &= (\lambda g.\lambda x. g x+1) (\lambda z.2*z) n \\
 &= (\lambda x. (\lambda z.2*z) x+1) n \\
 &= (\lambda x. (2*x)+1) n \\
 &= ((2*n)+1)
 \end{aligned}$$

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## What makes lambda calculus interesting?

- Theory:

- A formal system in mathematical logic
- A universal model of computation (can simulate any single taped Turing machine, for example)
- Many interesting datatypes (natural numbers, tuples, sums, lists, trees, ...) can be encoded using lambda expressions
- Many varieties of lambda calculus are used and studied in advanced programming language research and design

- Practice

- A major influence on programming language design, from Lisp, to Haskell, to Javascript, and beyond
- A foundation for functional programming languages

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## Side-effects and purity

- In many languages, a function may have **side-effects** that are not reflected in its type, such as:

- Reading or writing memory outside the function's variables
- Performing I/O operations
- Throwing exceptions

- Sometimes such functions are executed only for their side-effects, and don't return an interesting type at all

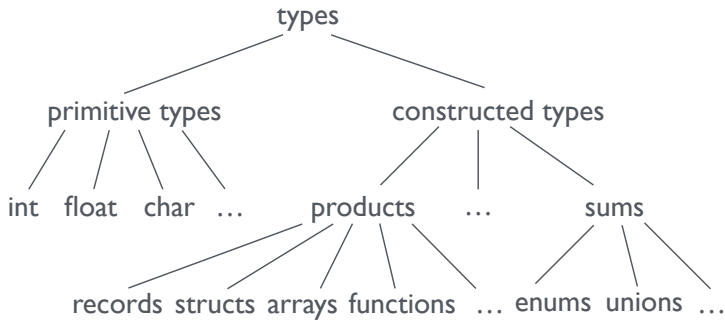
- Sometimes these are called "procedures"

- In **pure** functional languages such as Haskell, function side-effects are forbidden

- These functions are much closer to the mathematical idea of a (partial) function

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## A (partial) taxonomy of types



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## Why “products”, “sums”, “exponentials”?

If we work with **finite** types A and B, and write  $|T|$  for the number of different elements of type T ...

Then:

- $|A \times B| = |A| \times |B|$  (pairs (a,b) where  $a \in A, b \in B$ )
- $|A + B| = |A| + |B|$  (either an  $a \in A$  or a  $b \in B$ )
- $|A \rightarrow B| = |B|^{|A|}$   
(tuples  $(f(a_1), f(a_2), \dots, f(a_{|A|}))$  with  $a_i \in A, f(a_i) \in B$ )

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## Polymorphism and parameterized types

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## Parameterization and polymorphism

- Defining a type of lists of integers is easy, as is defining a function to reverse a list of integers
- But what if we also need to define and work with lists of other types?
- In general, when can we write code that works over more than one type?
- This is where parameterized types and polymorphism come in to the picture ...

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## Some examples

- Some operations work on only **one** type of value:
  - $e_1 \ \&\& \ e_2$  only makes sense if  $e_1$  and  $e_2$  are booleans
- Some operations work on **any** type of value:
  - $a[i]$  makes sense for any array  $a$  of type  $T[]$  (if  $i$  is an int)
- Some operations work only on **some** types of value:
  - $e_1 + e_2$  makes sense if  $e_1$  and  $e_2$  are integers, but not if they are characters
- Some operation names make sense for multiple types of value, but may mean something **different** for each type:
  - $e_1 + e_2$  may also make sense if  $e_1$  and  $e_2$  are strings, but meaning concatenation rather than addition

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## Monomorphism and Polymorphism

- A monomorphic operator works only on one type of argument (e.g., the  $\&\&$  operator)
- A polymorphic operator works on more than one type of argument.
  - Parametric polymorphism: essentially the same implementation/code/algorithm is used for all types of argument (e.g., array indexing)
  - Ad-hoc polymorphism: different implementations are used for different types of value (e.g., numeric operations, comparisons, etc...)
  - Sub-type polymorphism: an operator on a given type also accepts values of a sub-type

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## Polymorphism

- According to my dictionary:  
**polymorphism** [poli mawr fizm] n occurrence of several types of individual organism within one species
- From the Greek:  
polymorphism = “many shapes”
- In programming languages:  
a single value/function/object can be used at many different types

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## Enforced monomorphism

- Suppose that **A** and **B** are Haskell types, and consider the following function:  

```
swap      :: (A, B) -> (B, A)
swap (x, y) = (y, x)
```
- This definition will work for any choices of **A** and **B**
- The definition uses only polymorphic constructs
- But this function can only be used with pairs of the specific type **(A, B)**

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## Lifting the restriction

- Let's replace the fixed types **A** and **B** with **type variables** **a** and **b**, indicating that any types can be used:  

```
swap      :: (a, b) -> (b, a)
swap (x, y) = (y, x)
```
- **x** and **y** are parameters: any values will do ...
- **a** and **b** are parameters: any types will do ...

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## Using a polymorphic function

- We can apply our polymorphic function to pairs of any type:  

```
swap (True, False) ==> (False, True)
swap (1, 2)         ==> (2, 1)
swap ('h', 'i')     ==> ('i', 'h')
```
- The type of the components can be determined automatically by a process of **type inference**

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## Parameterized types

Polymorphic functions start to become really useful in a language that has parameterized types:

- Pairs of **As** and **Bs**
- Arrays of **As**
- Lists of **As**
- Trees of **As**
- Hashtables of **As**
- Stacks of **As**
- ...

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## Linked lists

- Linked lists are a useful data structure:  

```
data List = Nil
          | Cons Value List
```
- What's special about **Value** here?
- Nothing! Any type would do ...

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## A profusion of linked lists

- But we often need lots of different list types in a program:

```
data ListS = NilS
           | ConsS String ListS

data ListI = NilI
           | ConsI Int ListI

data ListB = NilB
           | ConsB Bool ListB
```

- It is irritating to repeat this “boilerplate” over and over again
- And it makes the program harder to maintain too

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## A profusion of functions too!

- We often need similar functions for each list type too

```
lengthS      :: ListS -> Int
lengthS NilS      = 0
lengthS (ConsS x xs) = 1 + lengthS xs

lengthI      :: ListI -> Int
lengthI NilI      = 0
lengthI (ConsI x xs) = 1 + lengthI xs

lengthB      :: ListB -> Int
lengthB NilB      = 0
lengthB (ConsB x xs) = 1 + lengthB xs
```

Essentially the same code in each definition!

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## Parameterized types and polymorphism

- Linked lists are a useful data structure:

```
data List a = Nil
           | Cons a (List a)
```

- This is a parameterized datatype: `a` is a “type variable”
- Polymorphic functions work uniformly over many types:

```
length      :: List a -> Int
length Nil      = 0
length (Cons x xs) = 1 + length xs
```

- One concept, one definition, many instances!
- Less code to write, less code to understand, less code to maintain

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## Parameterized types in Haskell

- The names of Haskell types all begin with a capital letter
- Identifiers in Haskell types that begin with a lower case letter are type variables
- Examples:

```
data Maybe a = Nothing | Just a
data Either a b = Left a | Right b
data Prod a b = Pair a b
data List a = Nil | Cons a (List a)
data BST a = Leaf | Fork (BST a) a (BST a)
data RoseTree a = Node a (List (RoseTree a))
```

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## Examples

```
getName      :: Maybe String -> String
getName (Just n) = n
getName Nothing = "not named"
```

```
nums :: List Int
nums = Cons 1 (Cons 2 (Cons 3 Nil))
```

```
bools :: List Bool
bools = Cons True (Cons False Nil)
```

```
find      :: Int -> BST Int -> Bool
find n Leaf      = False
find n (Fork l m r) | n==m = True
                   | n < m = find n l
                   | n > m = find n r
```

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## Polymorphic operations in Haskell

```
fst      :: Prod a b -> a
fst (Pair x y) = x
```

works for any `a, b`

```
snd      :: Prod a b -> b
snd (Pair x y) = y
```

works for any `a, b`

```
length      :: List a -> Int
length Nil      = 0
length (Cons x xs) = 1 + length xs
```

```
find      :: Ord a => a -> BST a -> Bool
find n Leaf      = False
find n (Fork l m r) | n==m = True
                   | n < m = find n l
                   | n > m = find n r
```

`Ord a => ...` is Haskell notation for “any type `a` for which an ordering has been defined”

A Haskell “**type class**” supports ad hoc polymorphism ... but the details are beyond the scope for this class

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## Aside: Syntactic sugar

- **Syntactic sugar** is used to make the notation of a programming language “sweeter” (i.e., easier to read and write) without adding new expressive power
- Examples:
  - The `List a` and `Prod a b` types are actually written as `[a]`, and `(a, b)`, respectively.
  - The expressions `Nil`, `Cons x xs`, and `Pair p q`, are actually written as `[]`, `(x:xs)` and `(p, q)`, respectively.
- Figuring out where it is appropriate to use syntactic sugar is part of the “art” of good language design

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## Summary

- There are many standard building blocks in programming language type systems
- Support for first-class functions, originating in functional languages, is now becoming popular in other paradigms too
- Polymorphic type systems, in combination with parameterized types, can increase flexibility and reuse without compromising on type safety.

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