

ROLL NO: 20MIT3308

NAME: JAYNIL PATEL

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TOPIC: PRACTICAL 2

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# AIM

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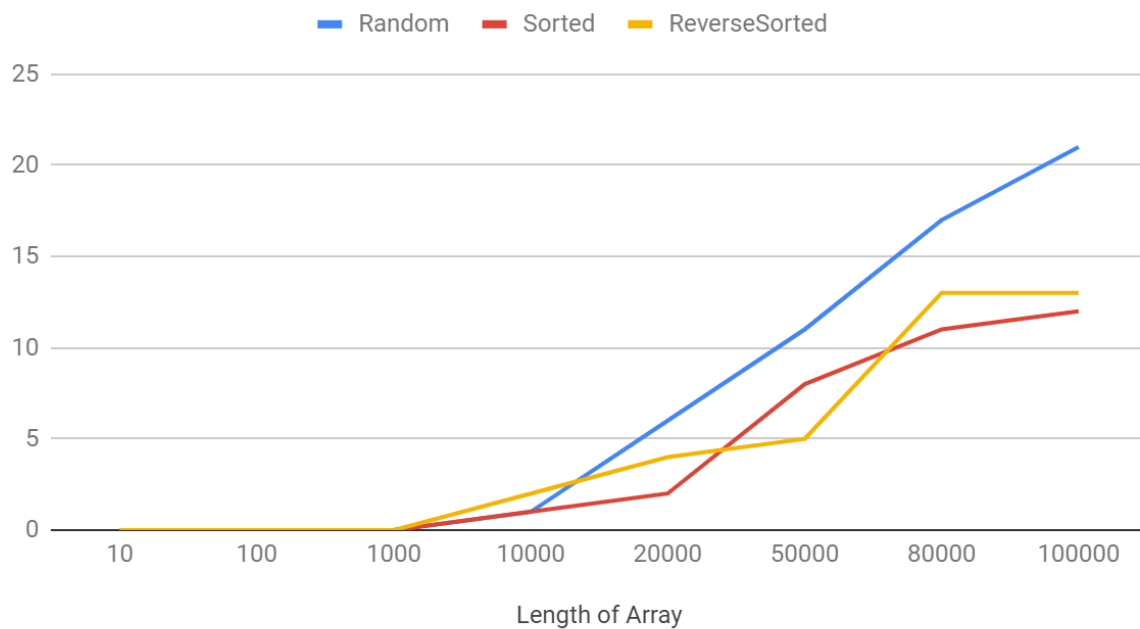
Implement following sorting algorithms.

- a) 2 Way - Merge Sort
  - b) External Merge Sort
- Evaluate the time complexity of algorithm on an already sorted (ascending and descending) and non-sorted input values with varying size of input values.
  - Visualize the same using graphical representation.

# 2- WAY MERGE SORT

| Length | Random | Sorted | Reverse Sorted |
|--------|--------|--------|----------------|
| 10     | 0      | 0      | 0              |
| 100    | 0      | 0      | 0              |
| 1000   | 0      | 0      | 0              |
| 10000  | 1      | 1      | 2              |
| 20000  | 6      | 2      | 4              |
| 50000  | 11     | 8      | 5              |
| 80000  | 17     | 11     | 13             |
| 100000 | 21     | 12     | 13             |

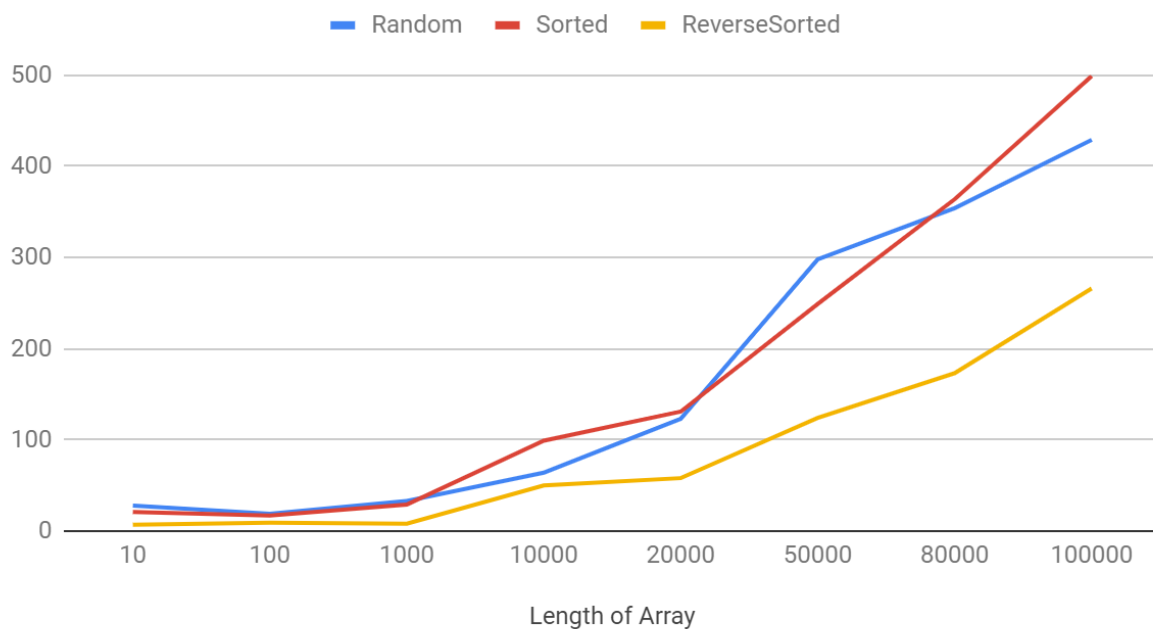
## 2 Way Merge Sort



# EXTERNAL MERGE SORT

| Length | Random | Sorted | Reverse Sorted |
|--------|--------|--------|----------------|
| 10     | 28     | 21     | 7              |
| 100    | 19     | 17     | 9              |
| 1000   | 33     | 29     | 8              |
| 10000  | 64     | 99     | 50             |
| 20000  | 123    | 131    | 58             |
| 50000  | 298    | 249    | 124            |
| 80000  | 354    | 364    | 173            |
| 100000 | 429    | 499    | 266            |

## External Merge Sort



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# CODE (C++)

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```
#include <bits/stdc++.h>
#include<cstdlib>
#include<ctime>
#include<algorithm>
#include <fstream>
#include <sstream>
#include <iostream>
#include<time.h>
using namespace std;

struct MinHeapNode {
    // The element to be stored
    int element;

    // index of the array from which
    // the element is taken
    int i;
};

// Prototype of a utility function
// to swap two min heap nodes
void swap(MinHeapNode* x, MinHeapNode* y);

// A class for Min Heap
class MinHeap {
    // pointer to array of elements in heap
    MinHeapNode* harr;

    // size of min heap
    int heap_size;

public:
    // Constructor: creates a min
    // heap of given size
    MinHeap(MinHeapNode a[], int size);

    // to heapify a subtree with
    // root at given index
    void MinHeapify(int);

    // to get index of left child
    // of node at index i
    int left(int i) { return (2 * i + 1); }
```

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    // to get index of right child
    // of node at index i
    int right(int i) { return (2 * i + 2); }

    // to get the root
    MinHeapNode getMin() { return harr[0]; }

    // to replace root with new node
    // x and heapify() new root
    void replaceMin(MinHeapNode x)
    {
        harr[0] = x;
        MinHeapify(0);
    }
};

MinHeap::MinHeap(MinHeapNode a[], int size)
{
    heap_size = size;
    harr = a; // store address of array
    int i = (heap_size - 1) / 2;
    while (i >= 0) {
        MinHeapify(i);
        i--;
    }
}

void MinHeap::MinHeapify(int i)
{
    int l = left(i);
    int r = right(i);
    int smallest = i;
    if (l < heap_size && harr[l].element < harr[i].element)
        smallest = l;
    if (r < heap_size && harr[r].element < harr[smallest].element)
        smallest = r;
    if (smallest != i) {
        swap(&harr[i], &harr[smallest]);
        MinHeapify(smallest);
    }
}

// A utility function to swap two elements
void swap(MinHeapNode* x, MinHeapNode* y)
{
    MinHeapNode temp = *x;
    *x = *y;
    *y = temp;
}

// Merges two subarrays of arr[].
// First subarray is arr[l..m]
// Second subarray is arr[m+1..r]
void merge(int arr[], int l, int m, int r)
{

```

```

int i, j, k;
int n1 = m - l + 1;
int n2 = r - m;

/* create temp arrays */
int L[n1], R[n2];

/* Copy data to temp arrays L[] and R[] */
for (i = 0; i < n1; i++)
    L[i] = arr[l + i];
for (j = 0; j < n2; j++)
    R[j] = arr[m + 1 + j];

/* Merge the temp arrays back into arr[l..r]*/
// Initial index of first subarray
i = 0;

// Initial index of second subarray
j = 0;

// Initial index of merged subarray
k = l;
while (i < n1 && j < n2) {
    if (L[i] <= R[j])
        arr[k++] = L[i++];
    else
        arr[k++] = R[j++];
}

/* Copy the remaining elements of L[],
   if there are any */
while (i < n1)
    arr[k++] = L[i++];

/* Copy the remaining elements of R[],
   if there are any */
while (j < n2)
    arr[k++] = R[j++];
}

/* l is for left index and r is right index of the
   sub-array of arr to be sorted */
void mergeSort(int arr[], int l, int r)
{
    if (l < r) {
        // Same as (l+r)/2, but avoids overflow for
        // large l and h
        int m = l + (r - l) / 2;

        // Sort first and second halves
        mergeSort(arr, l, m);
        mergeSort(arr, m + 1, r);

        merge(arr, l, m, r);
    }
}

```

```

FILE* openFile(char* fileName, char* mode)
{
    FILE* fp = fopen(fileName, mode);
    if (fp == NULL) {
        perror("Error while opening the file.\n");
        exit(EXIT_FAILURE);
    }
    return fp;
}

// Merges k sorted files. Names of files are assumed
// to be 1, 2, 3, ... k
void mergeFiles(char* output_file, int n, int k)
{
    FILE* in[k];
    for (int i = 0; i < k; i++) {
        char fileName[2];

        // convert i to string
        snprintf(fileName, sizeof(fileName),
                 "%d", i);

        // Open output files in read mode.
        in[i] = openFile(fileName, "r");
    }

    // FINAL OUTPUT FILE
    FILE* out = openFile(output_file, "w");

    MinHeapNode* harr = new MinHeapNode[k];
    int i;
    for (i = 0; i < k; i++) {

        if (fscanf(in[i], "%d ", &harr[i].element) != 1)
            break;

        // Index of scratch output file
        harr[i].i = i;
    }
    // Create the heap
    MinHeap hp(harr, i);

    int count = 0;

    while (count != i) {

        MinHeapNode root = hp.getMin();
        fprintf(out, "%d \n", root.element);

        if (fscanf(in[root.i], "%d ",
                  &root.element)
            != 1) {
            root.element = INT_MAX;

```

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        count++;
    }

    hp.replaceMin(root);
}

// close input and output files
for (int i = 0; i < k; i++)
    fclose(in[i]);

fclose(out);
}

void printArray(int A[], int size)
{
    for(int i = 0; i < size; i++)
        cout << A[i] << " ";
}

void reverseArray(int arr[], int n){
    for (int low = 0, high = n - 1; low < high; low++, high--){
        swap(arr[low], arr[high]);
    }
}

void createInitialRuns(
    char* input_file, int run_size,
    int num_ways)
{
    // For big input file
    FILE* in = fopen(input_file, "r");

    // output scratch files

    FILE* out[num_ways];
    char fileName[run_size];
    for (int i = 0; i < num_ways; i++) {
        // convert i to string
        snprintf(fileName, sizeof(fileName),
            "%d", i);

        out[i] = fopen(fileName, "w");
    }

    int* arr = (int*)malloc(
        run_size * sizeof(int));

    bool more_input = true;
    int next_output_file = 0;

    int i;
    while (more_input) {

```



```

        for (i = 0; i < run_size; i++) {
            if (fscanf(in, "%d ", &arr[i]) != 1) {
                more_input = false;
                break;
            }
        }

        mergeSort(arr, 0, i - 1);

        for (int j = 0; j < i; j++)
            fprintf(out[next_output_file],
                    "%d ", arr[j]);

        next_output_file++;
    }

    for (int i = 0; i < num_ways; i++)
        fclose(out[i]);

    fclose(in);
}

void externalSort(
    char* input_file, char* output_file,
    int num_ways, int run_size)
{
    createInitialRuns(input_file,
                      run_size, num_ways);

    mergeFiles(output_file, run_size, num_ways);
}

int main()
{
    ofstream extmerges;
    extmerges.open("extmerge.csv");
    extmerges << "Length,Random,Sorted,ReverseSorted\n" ;
    int len_arr[] = {10,100,1000,10000,20000,50000,80000,100000};

    int debug_len = 8;
    for (int i = 0; i < debug_len; i++)
    {
        int run_size = len_arr[i];

        int num_ways = 5 ;
        extmerges << run_size << ",";
    }
}

```

```

char input_file[] = "input_random.txt";
char output_file[] = "output_random.txt";

FILE* in = fopen(input_file, "w");

srand(time(NULL));

// generate input
for (int i = 0; i < num_ways * run_size; i++)
    fprintf(in, "%d \n", rand());

fclose(in);

cout << "\nLength of random numbers = " << run_size << "\n";
cout<< "Seq Type = Random\n";

clock_t t;
t=clock();
externalSort(input_file, output_file, num_ways,run_size);
t=clock()-t;
double time_taken_1= ((double)t);
cout << fixed << time_taken_1 << setprecision(5) << "sec\t\n";
extmerges << fixed << time_taken_1 << setprecision(5) << ",";

char input_file_sorted[] = "output_random.txt";
char output_file_sorted[] = "output_sorted.txt";

cout<< "Seq Type = Sorted\n";
t=clock();
externalSort(input_file_sorted, output_file_sorted, num_ways,run_size);
t=clock()-t;
double time_taken_2= ((double)t);
cout << fixed << time_taken_2 << setprecision(5) << "sec\t\n";
extmerges << fixed << time_taken_2 << setprecision(5) << ",";

ifstream ifs("output_sorted.txt");
int x;

int temp_arr[run_size];
int p = 0;
while (ifs >> x)
{
    //cout<<x << "\n";
    temp_arr[p] = x;
    if(p < run_size)
    {

```

```

        p++;
    }

}
ifs.close();
reverseArray(temp_arr,run_size);
//printArray(temp_arr,run_size);

ofstream revsorted;
revsorted.open("input_rev_sorted.txt");

for (int i = 0; i < run_size; i++)
{
    revsorted << temp_arr[i] << "\n";
}
revsorted.close();
char input_file_rev_sorted[] = "input_rev_sorted.txt";
char output_file_rev_sorted[] = "output_rev_sorted.txt";

cout<< "Seq Type = ReverseSorted\n";
t=clock();
externalSort(input_file_rev_sorted, output_file_rev_sorted, num_ways,run_size);
t=clock()-t;
double time_taken_3= ((double)t);
cout << fixed << time_taken_3 << setprecision(5) << "sec\t\n";
extmerges << fixed << time_taken_3 << setprecision(5) << ",\n";

}
extmerges.close();
return 0;
}

```

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# OBSERVATIONS

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## 1.2 - Way Merge Sort

Merge Sort is a Divide and Conquer algorithm. It divides the input array into two halves, calls itself for the two halves, and then merges the two sorted halves. **The merge() function** is used for merging two halves. The merge(arr, l, m, r) is a key process that assumes that arr[l..m] and arr[m+1..r] are sorted and merges the two sorted sub-arrays into one..

- Time complexity of Merge Sort is  $\theta(n \log n)$  in all 3 cases (worst, average and best) as merge sort always divides the array into two halves and takes linear time to merge two halves.
- For Random inputs it takes maximum amount of time to run and for sorted and reverse sorted it takes almost similar time to run.

## 2. External Merge Sort

External sorting is required when the data being sorted do not fit into the main memory of a computing device (usually RAM) and instead, they must reside in the slower external memory (usually a hard drive).

- Time taken for merge sort is  $O(n \log n)$ , but there are at most run\_size elements. So the time complexity is  $O(\text{run\_size} \log \text{run\_size})$  and then to merge the sorted arrays the time complexity is  $O(n)$ . Therefore, the overall time complexity is  $O(n + \text{run\_size} \log \text{run\_size})$ . Here the run\_size = 2.
- For the Random inputs it takes maximum amount of time and for reverse sorted it takes minimum amount of time.