Sub: Compiler Construction Syntax Analysis PART 5

Compiled for: 7th Sem, CE, DDU

Compiled by: Niyati J. Buch

Ref.: Compilers: Principles, Techniques, and Tools, 2nd Edition Alfred V. Aho, Monica S. Lam, Ravi Sethi, Jeffrey D. Ullman

Topics Covered

- Bottom-up parsing
 - CLR
 - Constructing LR(1) item set
 - Constructing LR(1) parsing table
 - LALR
 - LALR(1) item sets
 - LALR(1) parsing table
 - Example to demonstrate reduce/reduce conflict after merger
 - Show that the grammar is LALR(1) but not SLR(1)
 - Show that the grammar is LR(1) but not LALR(1)
 - Ambiguous grammar
 - Expression grammar
 - Dangling else grammar
 - Error Recovery

More Powerful LR Parsers

- 1. The "canonical-LR" or just "LR" method, which makes full use of the lookahead symbol(s).
 - This method uses a large set of items, called the LR(1) items.
- 2. The "lookahead-LR" or "LALR" method, which is based on the LR(0) sets of items, and has many fewer states than typical parsers based on the LR(1) items.
 - By carefully introducing lookaheads into the LR(0) items, we can handle many more grammars with the LALR method than with the SLR method, and build parsing tables that are no bigger than the SLR tables.
 - LALR is the method of choice in most situations.

LR(1) Item

- The extra information is incorporated into the state by redefining items to include a terminal symbol as a second component. The general form of an item becomes $[A \rightarrow \alpha \cdot \beta, a]$, where $A \rightarrow \alpha \beta$ is a production and a is a terminal or the right endmarker \$. We call such an object an LR(1) item. The 1 refers to the length of the second component, called the lookahead of the item.
- The lookahead has no effect in an item of the form $[A \to \alpha \cdot \beta, a]$ where β is not \in , but an item of the form $[A \to \alpha \cdot, a]$ calls for a reduction by $A \to \alpha$ only if the next input symbol is a. Thus, we are compelled to reduce by $A \to \alpha$ only on those input symbols a for which $[A \to \alpha \cdot, a]$ is an LR(1) item in the state on top of the stack.

```
SetOfItems GOTO(I,X) {
    initialize J to be the empty set;
    for ( each item [A \to \alpha \cdot X\beta, a] in I )
        add item [A \to \alpha X \cdot \beta, a] to set J;
    return CLOSURE(J);
}
```

Consider the following augmented grammar.

```
S' \rightarrow S

S \rightarrow CC

C \rightarrow cC \mid d
```

- The general form of an item becomes $[A \rightarrow \alpha \cdot \beta, a]$, where $A \rightarrow \alpha \beta$ is a production and **a** is a terminal or the right endmarker \$
- For all productions B $\rightarrow \gamma$ add [B $\rightarrow \cdot \gamma$, b] where b is FIRST(β a)

Consider the following augmented grammar.

```
S' \rightarrow S

S \rightarrow CC

C \rightarrow cC \mid d
```

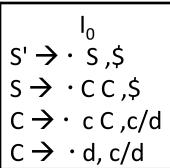
- The general form of an item becomes [A $\rightarrow \alpha \cdot \beta$, a], where A $\rightarrow \alpha \beta$ is a production and a is a terminal or the right endmarker \$
- [S' \rightarrow · S, \$] compare with [A \rightarrow α ·B β , a], A is S', α is \in , B is S, β is \in and a is \$
- For all productions $B \rightarrow \gamma$ i.e. $S \rightarrow CC$, add $[B \rightarrow \cdot \gamma, b]$ where b is FIRST(β a) = FIRST(ξ) = ξ . Hence, $[S \rightarrow \cdot CC, \xi]$ is added.
- [S \rightarrow · CC, \$] compare with [A \rightarrow α ·B β , a], A is S, α is \in , B is C, β is C and a is \$
- For all productions $B \rightarrow \gamma$ i.e $C \rightarrow cC|d$, add $[B \rightarrow \cdot \gamma, b]$ where b is FIRST(β a) = FIRST(β) = {c,d}. Hence, $[C \rightarrow \cdot cC, c/d]$ and $[C \rightarrow \cdot d, c/d]$ are added.

Consider the following augmented grammar.

```
S' \rightarrow S

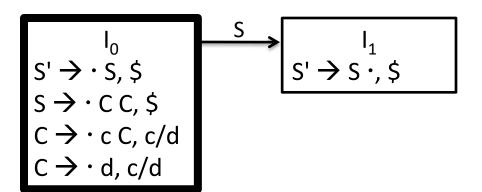
S \rightarrow CC

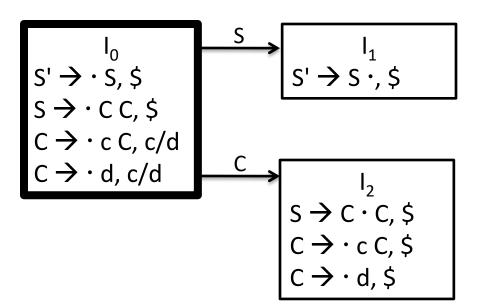
C \rightarrow cC \mid d
```

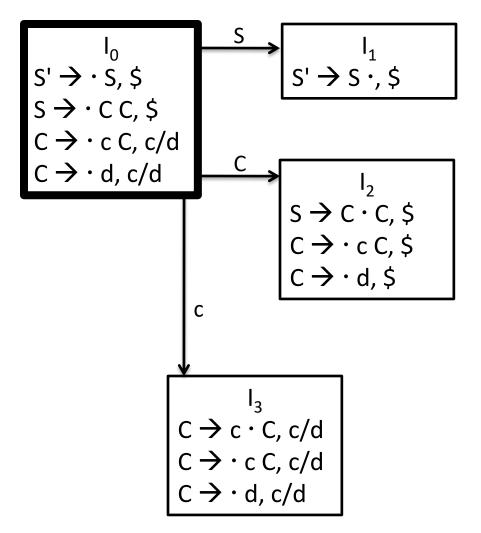


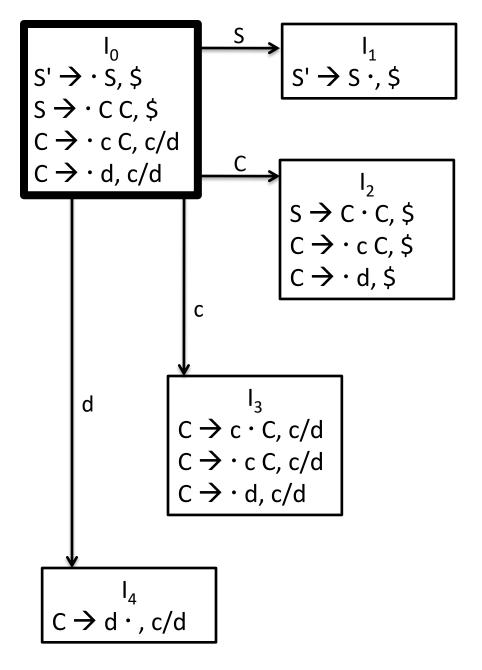
- The general form of an item becomes $[A \rightarrow \alpha \cdot \beta, a]$, $C \rightarrow \cdot d$, c/d where $A \rightarrow \alpha\beta$ is a production and **a** is a terminal or the right endmarker \$
- [S' \rightarrow · S, \$] compare with [A \rightarrow α ·B β , a], A is S', α is \in , B is S, β is \in and a is \$
- For all productions $B \rightarrow \gamma$ i.e. $S \rightarrow CC$, add $[B \rightarrow \cdot \gamma, b]$ where b is FIRST(βa) = FIRST(ξs) = ξs . Hence, s0 · CC, s1 is added.
- [S \rightarrow · CC, \$] compare with [A \rightarrow α ·B β , a], A is S, α is \in , B is C, β is C and a is \$
- For all productions $B \rightarrow \gamma$ i.e $C \rightarrow cC | d$, add $[B \rightarrow \cdot \gamma, b]$ where b is FIRST(β a) = FIRST(β) = {c,d}. Hence, $[C \rightarrow \cdot cC, c/d]$ and $[C \rightarrow \cdot d, c/d]$ are added.

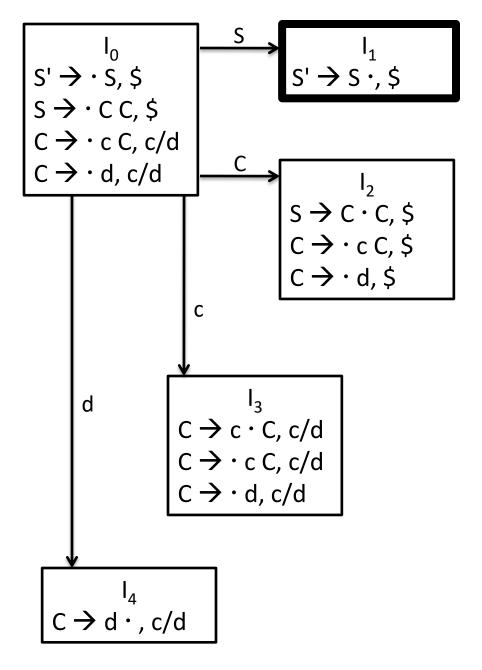
 I_0 S' $\rightarrow \cdot$ S, \$
S $\rightarrow \cdot$ C C, \$
C $\rightarrow \cdot$ c C, c/d
C $\rightarrow \cdot$ d, c/d

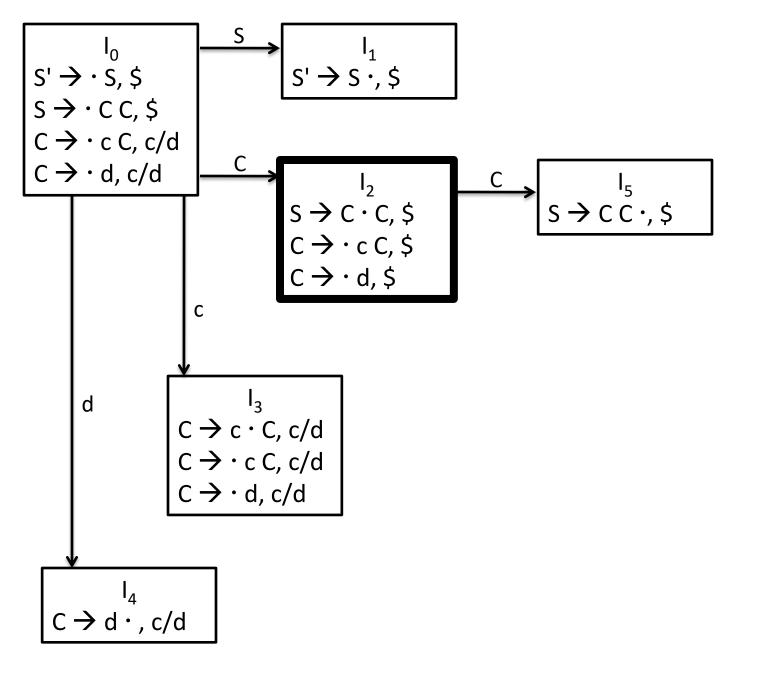


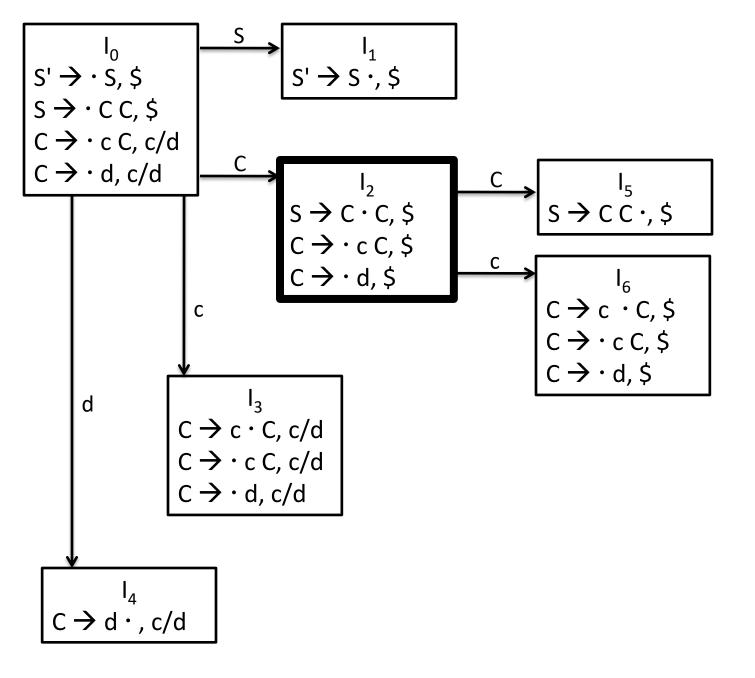


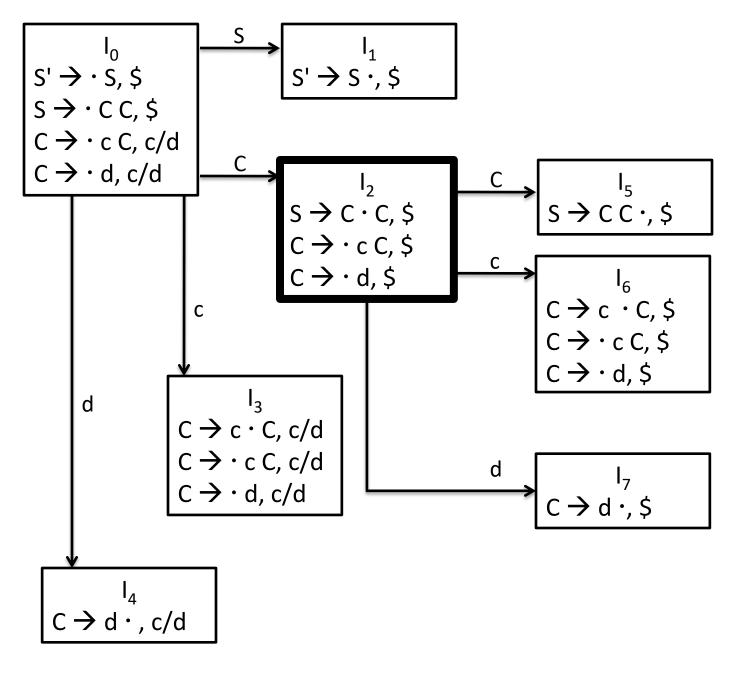


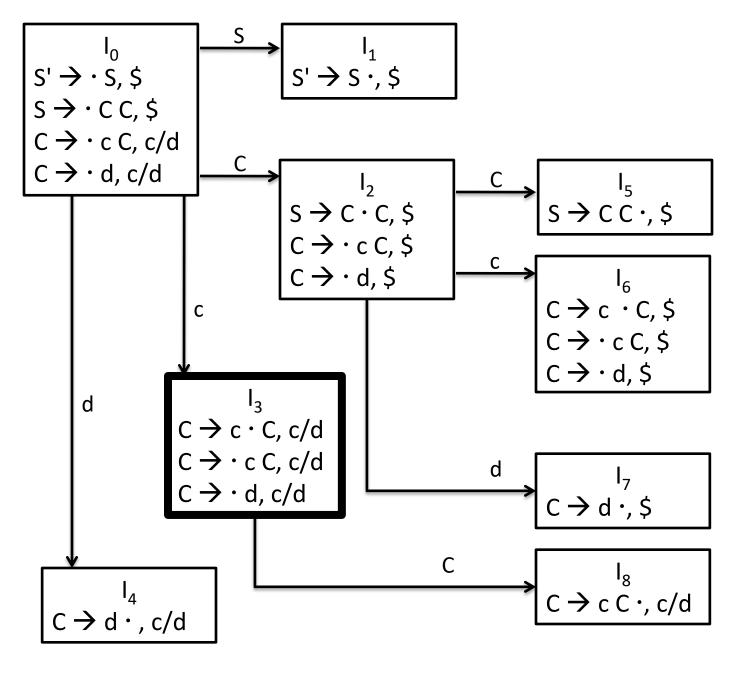


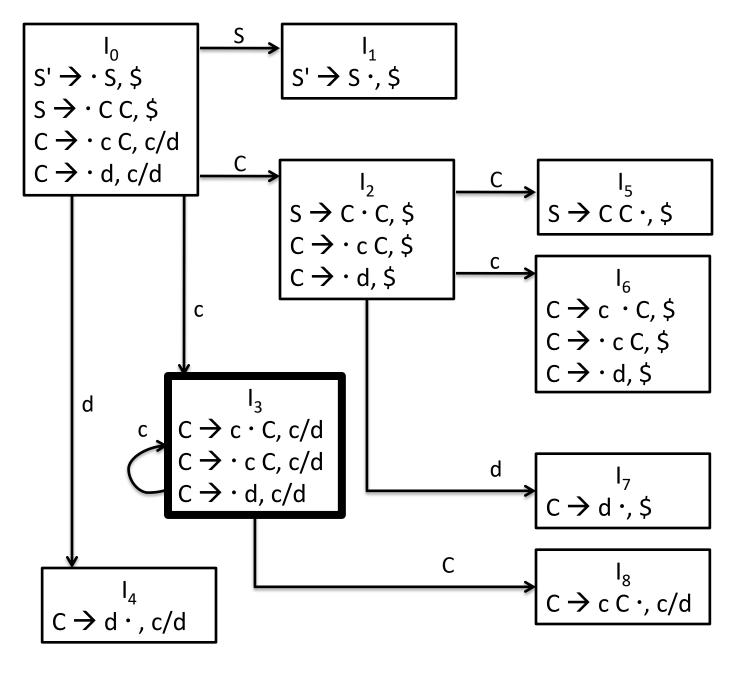


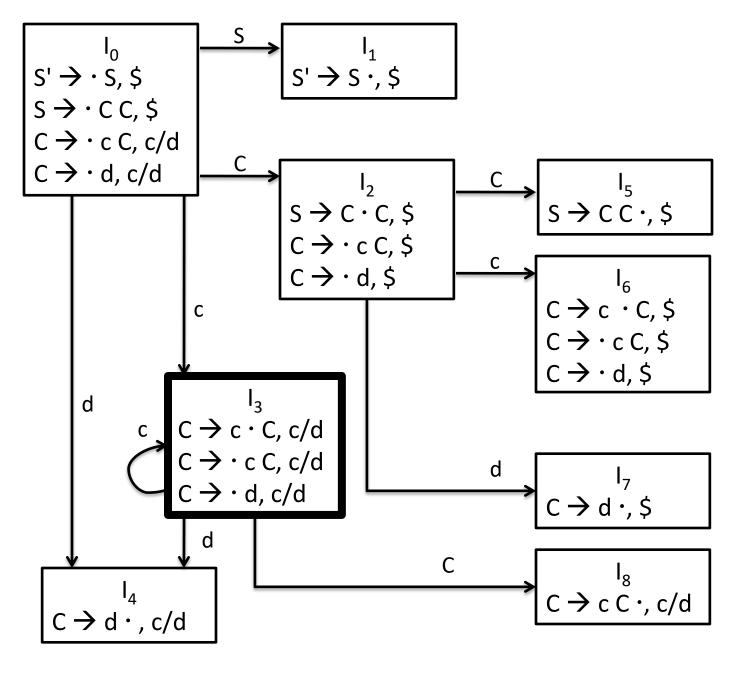


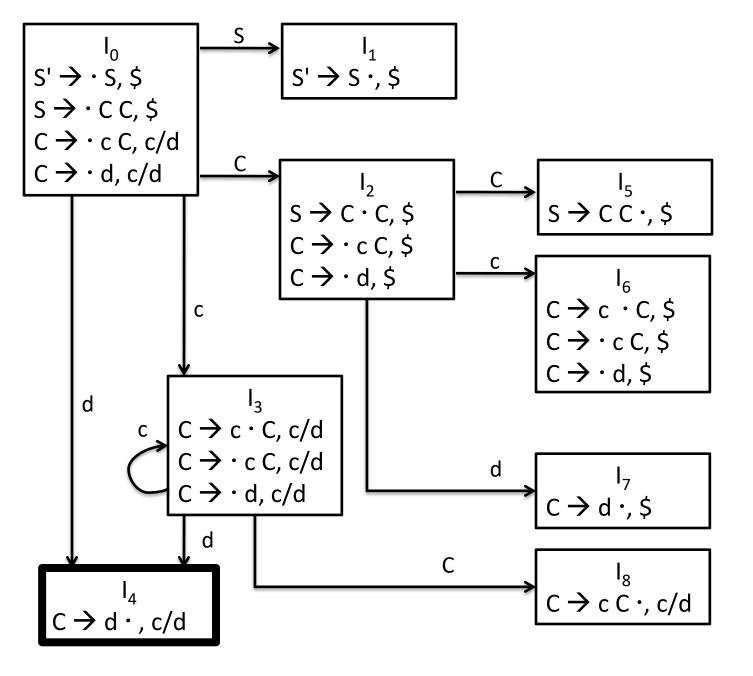


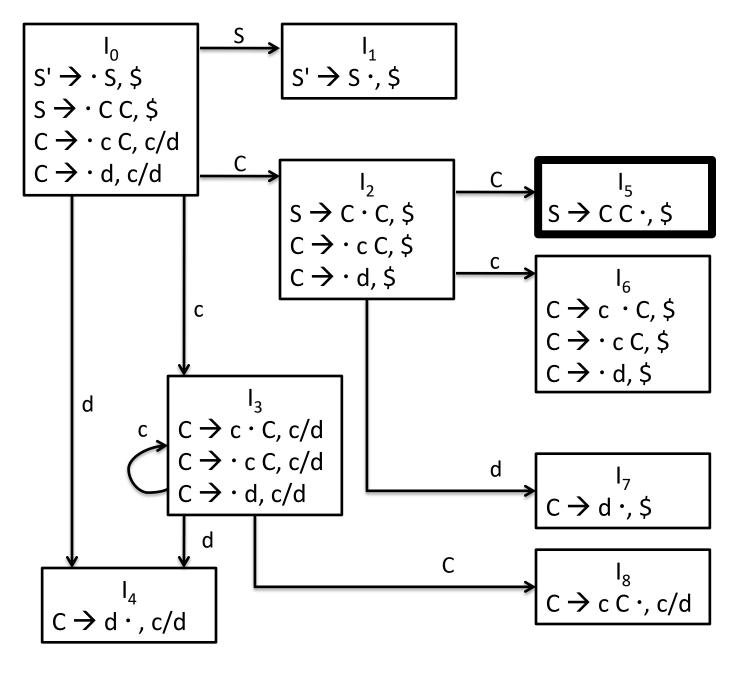


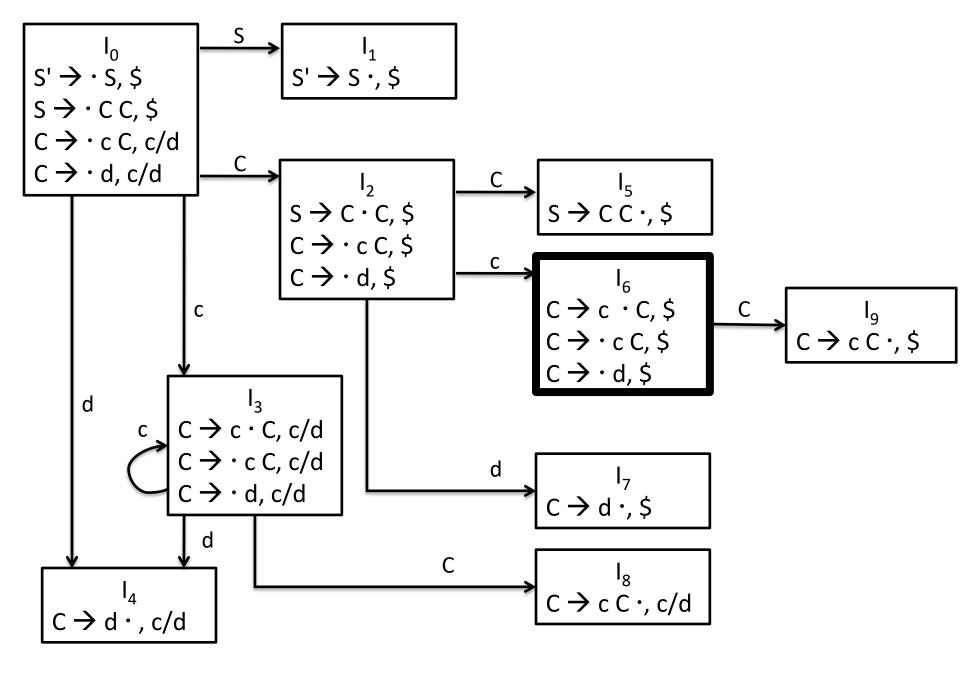


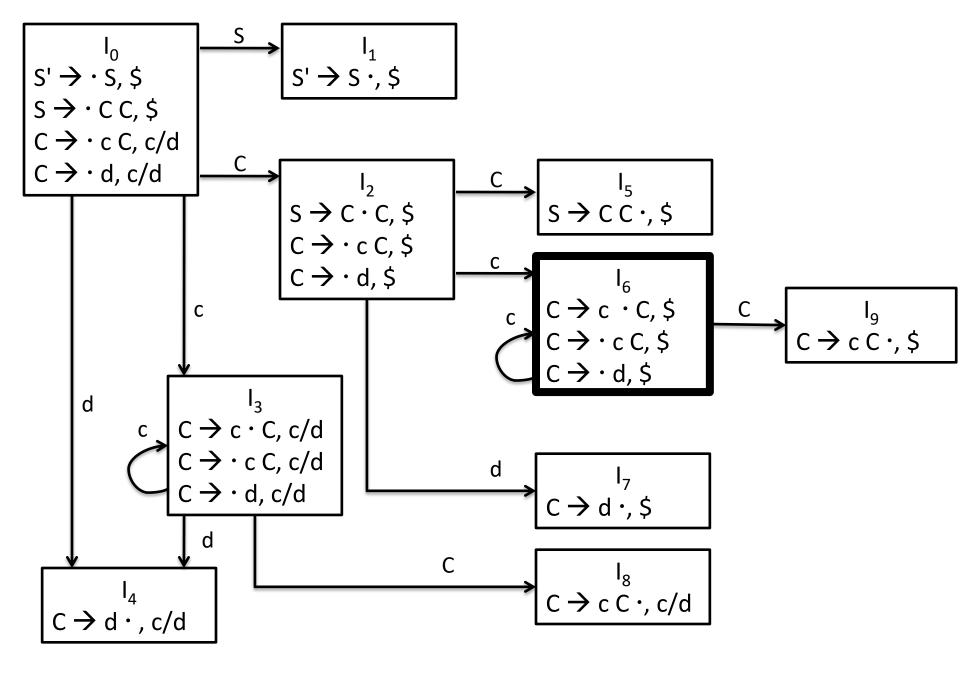


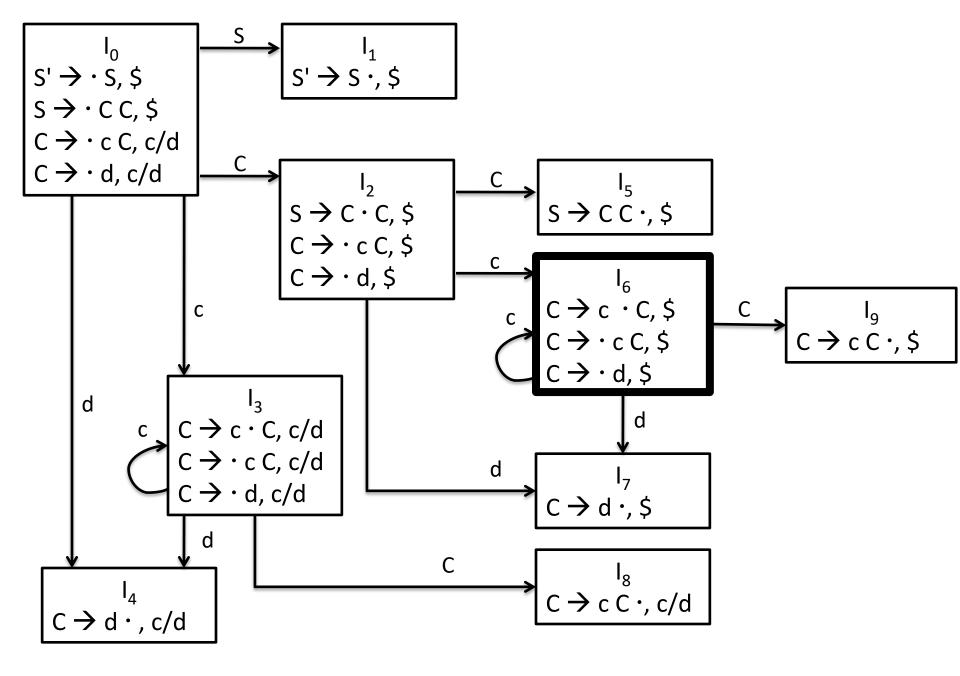


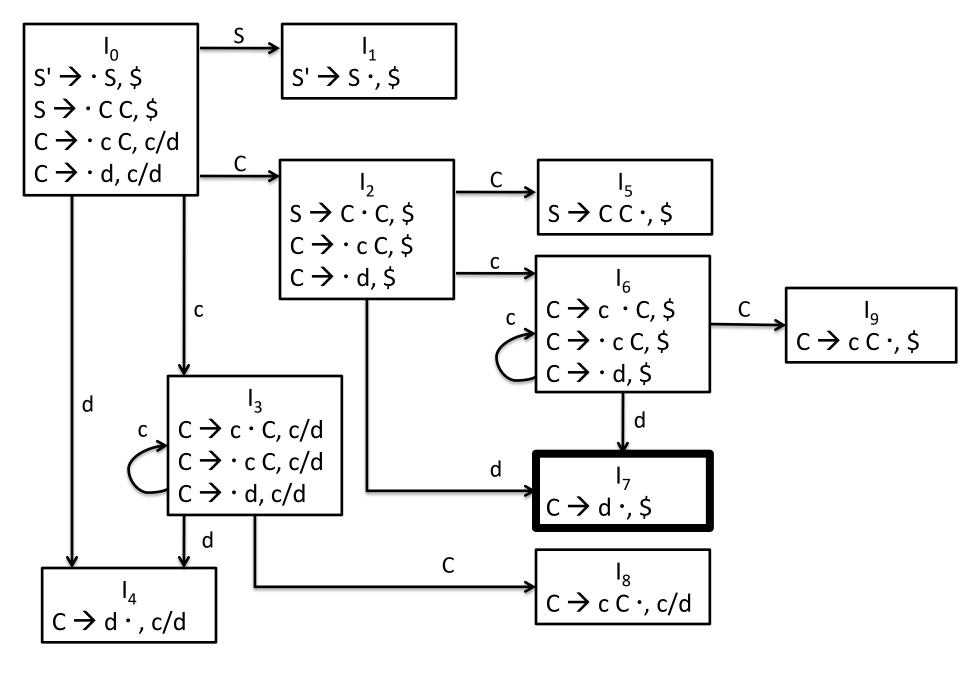


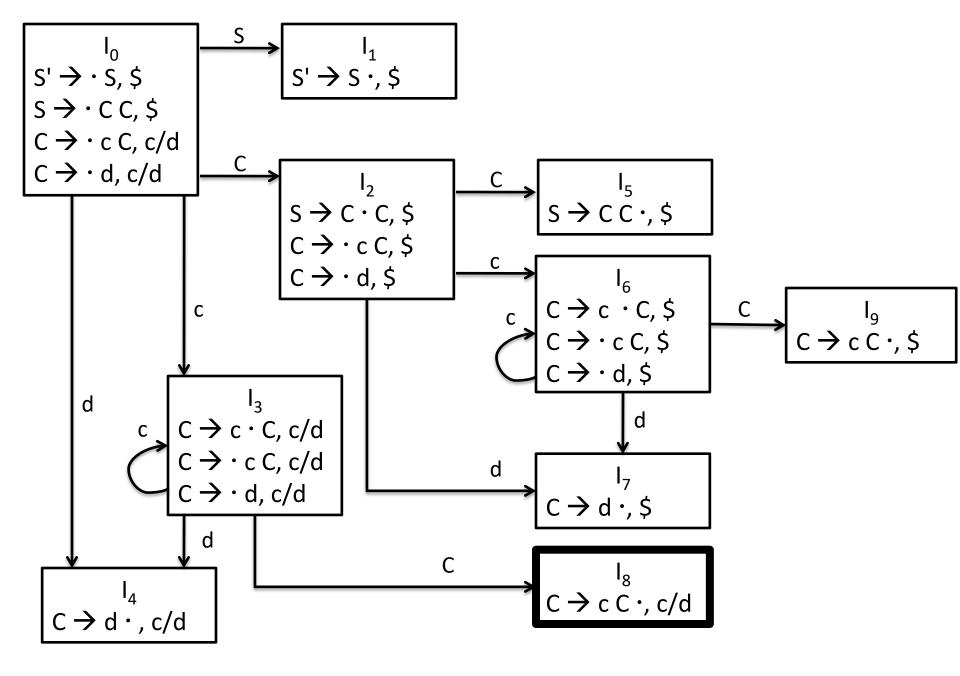


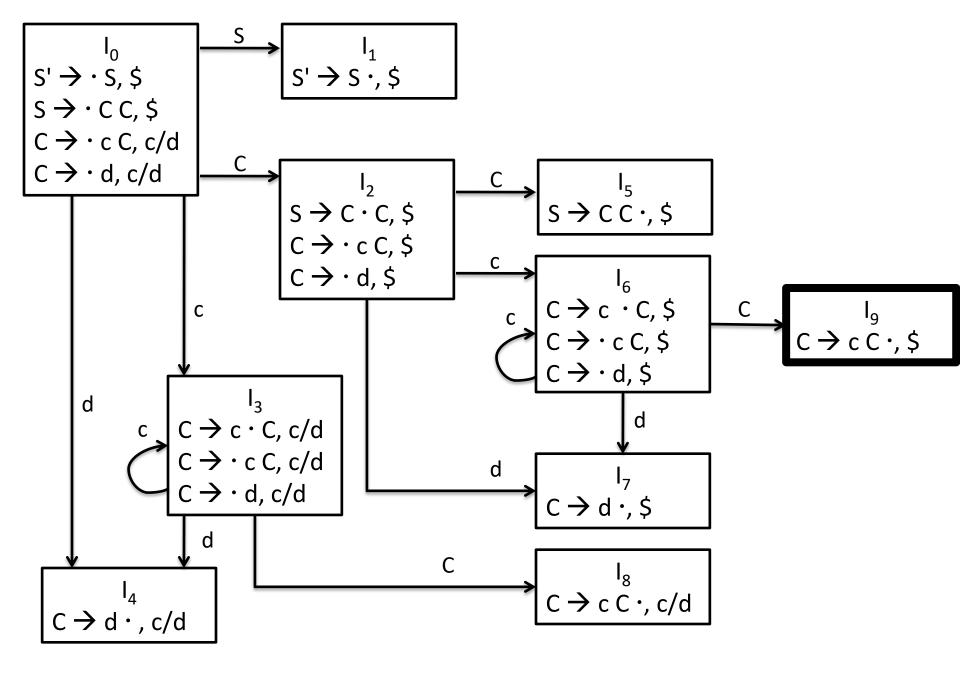












STATE	ACTION			GOTO		
	С	d	\$	S	С	
0	s3	s4		1	2	
1						
2						
3						
4						
5						
6						
7						
8						
9						

STATE	ACTION			GOTO	
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2					
3					
4					
5					
6					
7					
8					
9					

STATE	ACTION			GOTO	
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3					
4					
5					
6					
7					
8					
9					

STATE	ACTION			GOTO	
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6					
7					
8					
9					

STATE	ACTION			GOTO	
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6					
7					
8					
9					

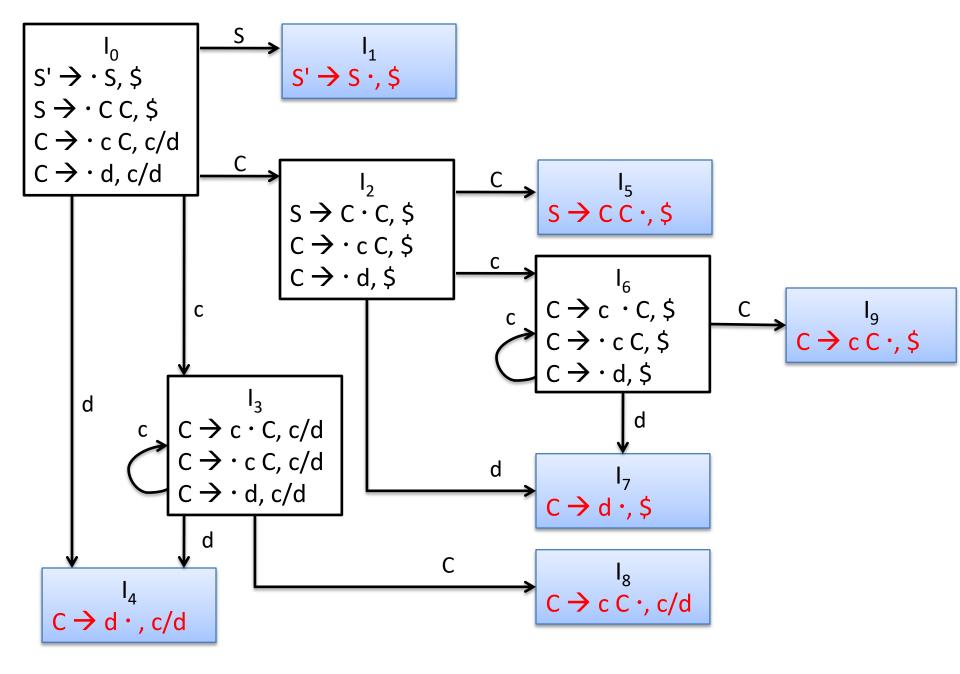
STATE	ACTION			GOTO	
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6					
7					
8					
9					

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					



1. $S \rightarrow CC$

Canonical LR(1) parsing table $2.c \rightarrow cc$

STATE		ACTION		GC	TO
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					

s' \rightarrow s', \$ canonical LR(1) parsing table $\begin{vmatrix} 2. c \rightarrow c c \\ 3. c \rightarrow d \end{vmatrix}$

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4					
5					
6	s6	s7			9
7					
8					
9					

 I_4 $C \rightarrow d \cdot , c/d$

Canonical LR(1) parsing table $\frac{2.c \rightarrow cc}{3.c \rightarrow d}$

STATE		ACTION		GC	ТО
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5					
6	s6	s7			9
7					
8					
9					

s \rightarrow cc, \Rightarrow conical LR(1) parsing table 1. S \rightarrow cc 2. C \rightarrow c C 3. C \rightarrow d

STATE		ACTION		GC	OTO
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7					
8					
9					

nonical LR(1) parsing table $\frac{2. c \rightarrow c c}{3. c \rightarrow d}$

STATE		ACTION		GC	GOTO	
	С	d	\$	S	С	
0	s3	s4		1	2	
1			acc			
2	s6	s7			5	
3	s3	s4			8	
4	r3	r3				
5			r1			
6	s6	s7			9	
7			r3			
8						
9						

c $\rightarrow c \stackrel{|_{8}}{\text{cononical LR(1)}}$ parsing table $\stackrel{|_{2.C} \rightarrow cC}{\text{3.c} \rightarrow d}$

STATE		ACTION		GC	то
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9					

c $\rightarrow c \stackrel{|_{g}}{c}$, anonical LR(1) parsing table $2.c \rightarrow c \stackrel{|_{g}}{c}$

STATE		ACTION		GC	OTO
	С	d	\$	S	С
0	s3	s4		1	2
1			асс		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

 $1. S \rightarrow CC$

Canonical LR(1) parsing table $2.c \rightarrow cc$

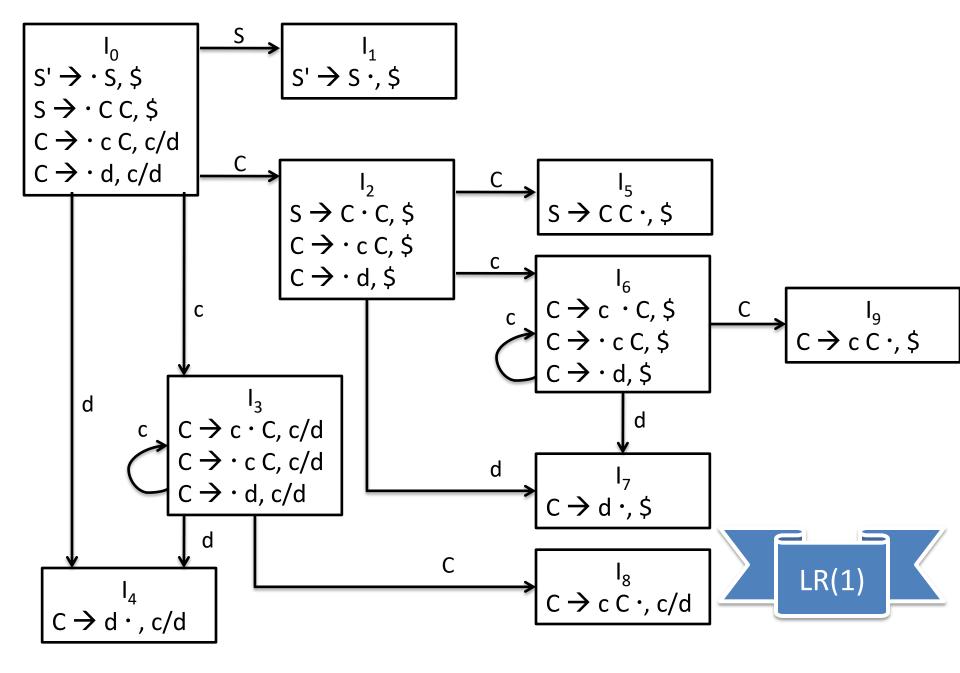
STATE		ACTION			то
	С	d	\$	S	С
0	s3	s4		1	2
1			acc		
2	s6	s7			5
3	s3	s4			8
4	r3	r3			
5			r1		
6	s6	s7			9
7			r3		
8	r2	r2			
9			r2		

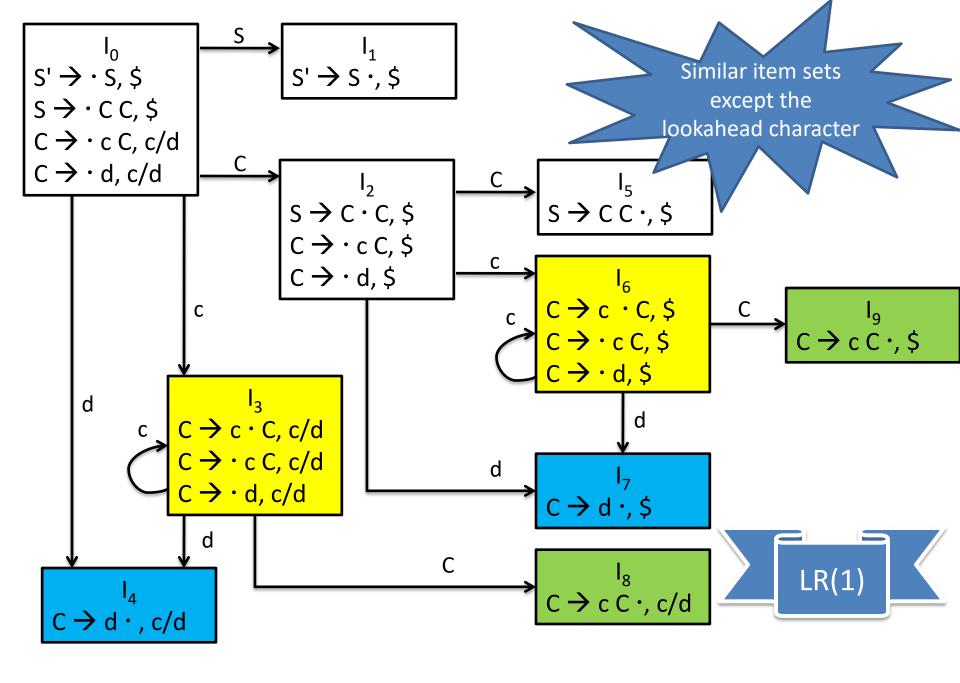
Notes

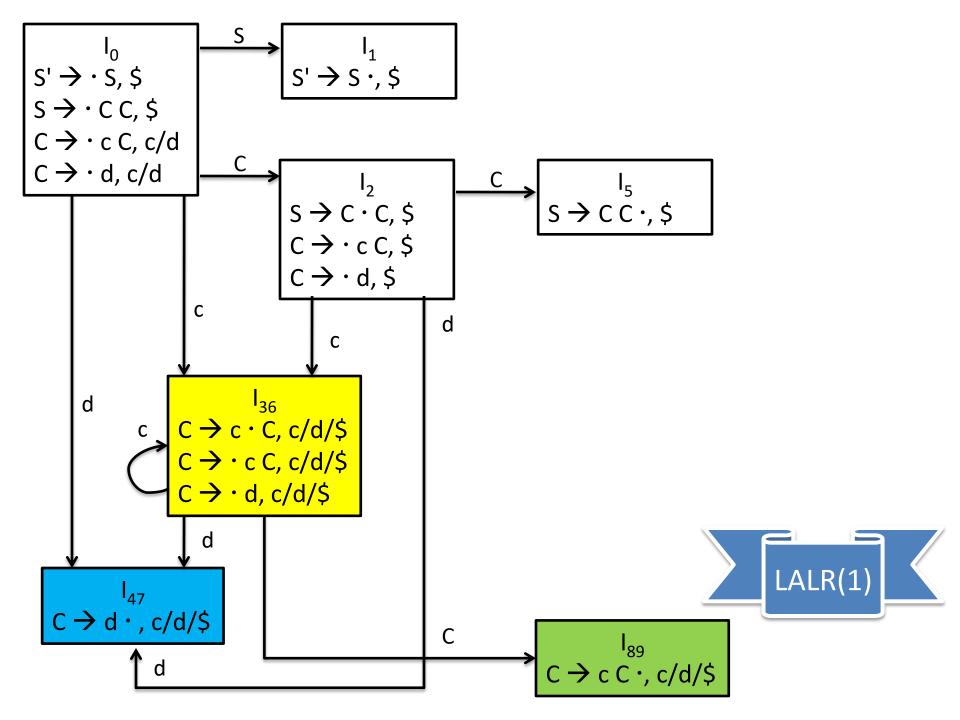
- The table formed from the parsing action and goto functions is called the canonical LR(1) parsing table.
- An LR parser using this table is called a canonical-LR(1) parser.
- If the parsing action function has no multiply defined entries, then the given grammar is called **an LR(1) grammar**. As before, we omit the "(1)" if it is understood.

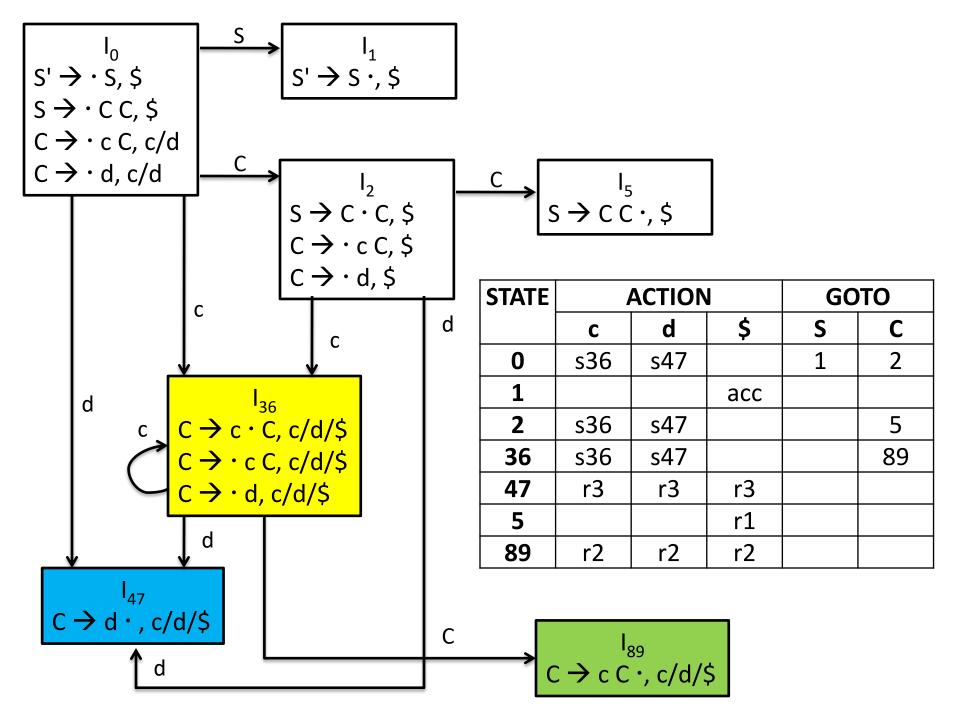
LALR Parsing [Look-Ahead LR]

- This method is often used in practice, because the tables obtained by it are considerably smaller than the canonical LR tables, yet most common syntactic constructs of programming languages can be expressed conveniently by an LALR grammar.
- It is **much easier and more economical** to construct SLR and LALR tables than the canonical LR tables.









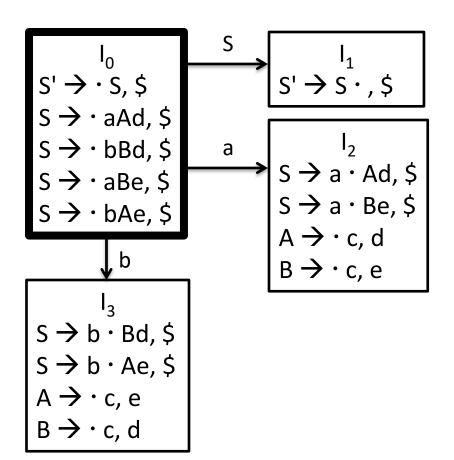
Issue in merger

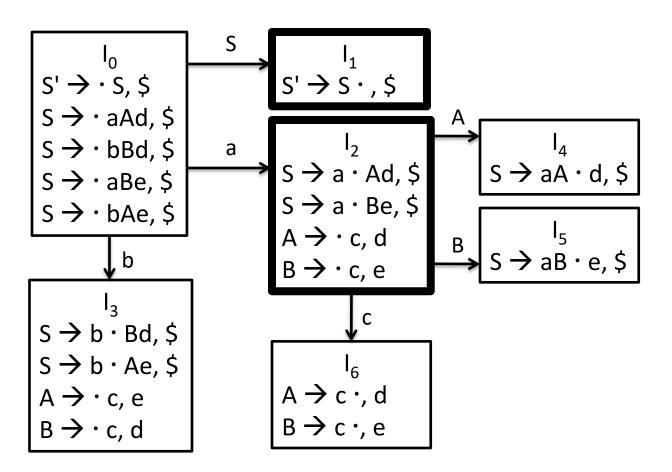
- The merging of states with common cores can never produce a shift/reduce conflict that was not present in one of the original states, because shift actions depend only on the core, not the lookahead.
- It is possible, however, that a merger will produce a reduce/reduce conflict.

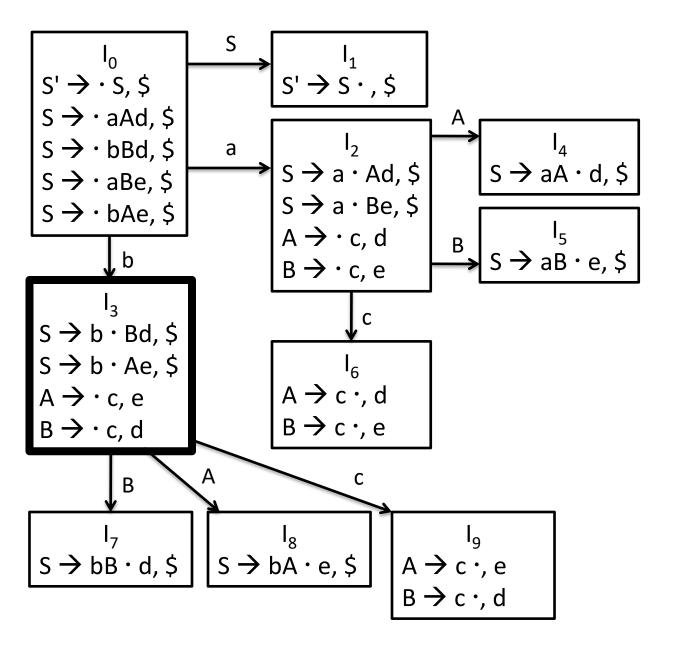
Example to demonstrate reduce/reduce conflict after merger

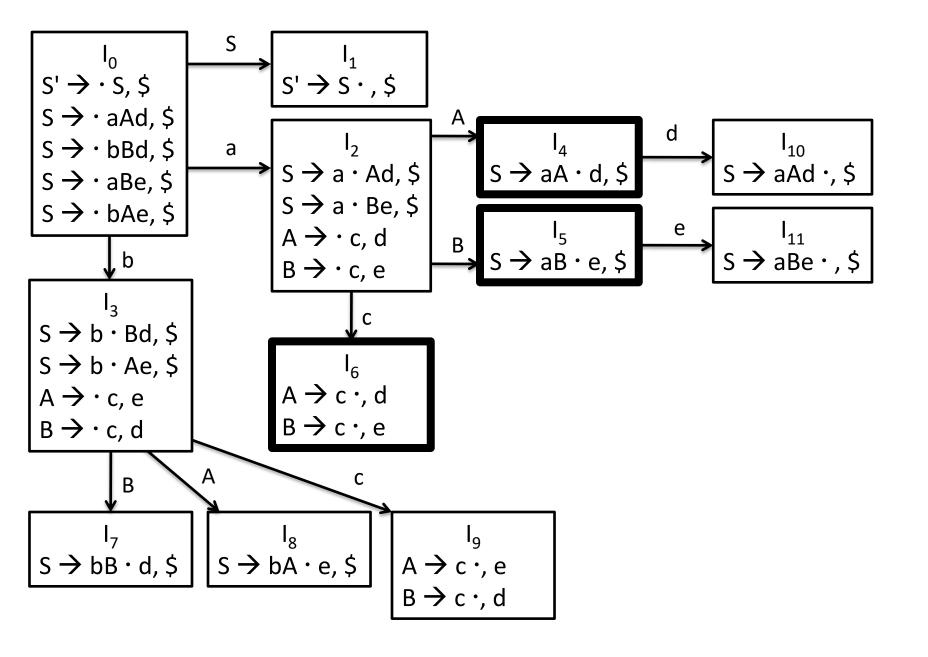
S' → S
 S → aAd | bBd | aBe | bAe
 A → c
 B → c

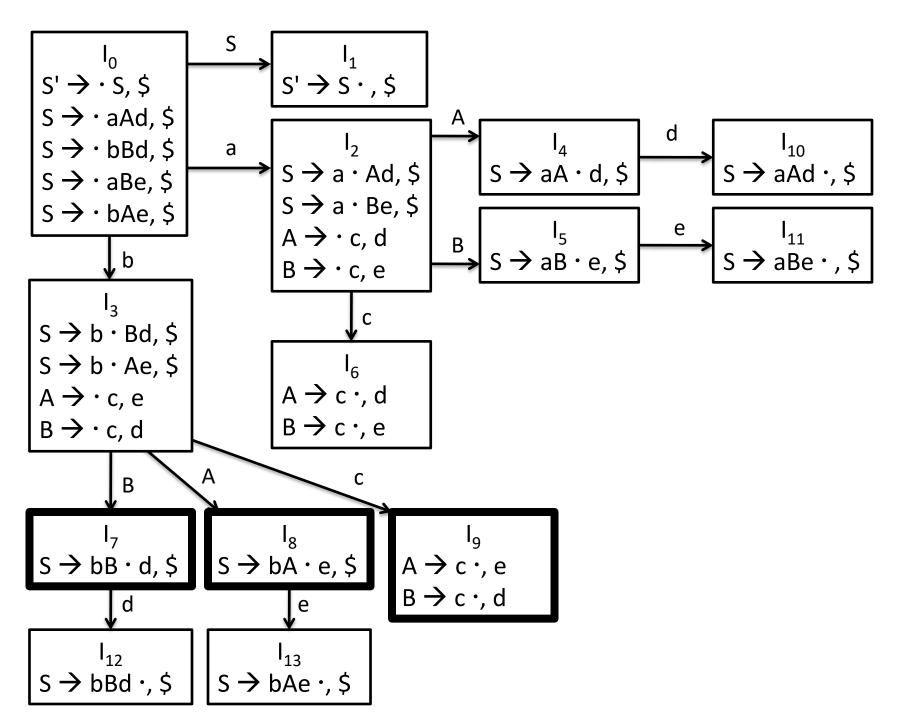
```
S' → · S, $
S → · aAd, $
S → · bBd, $
S → · aBe, $
S → · bAe, $
```

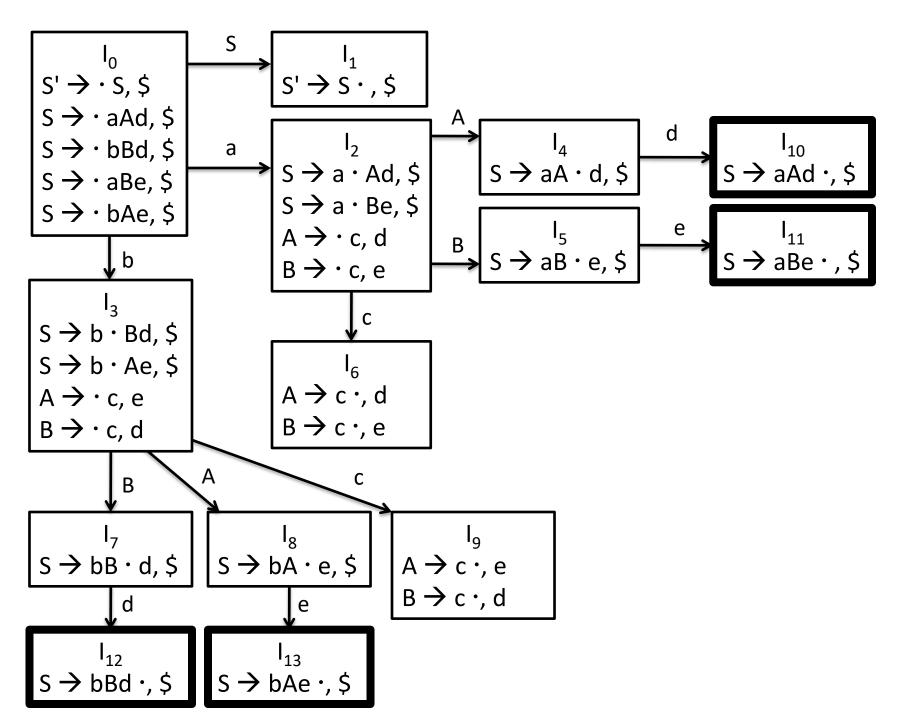


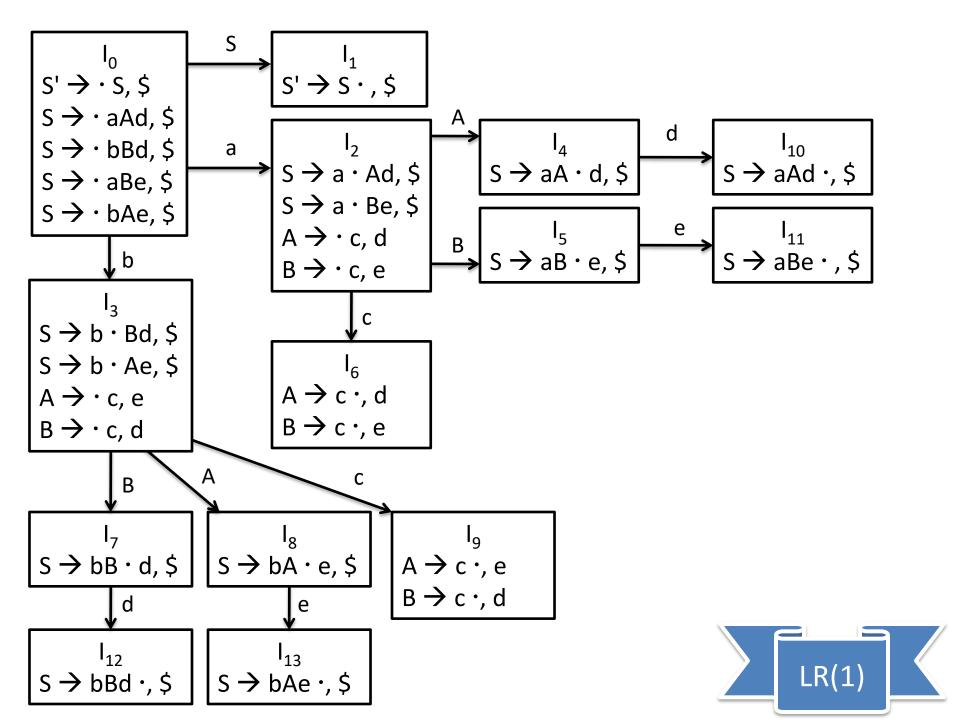


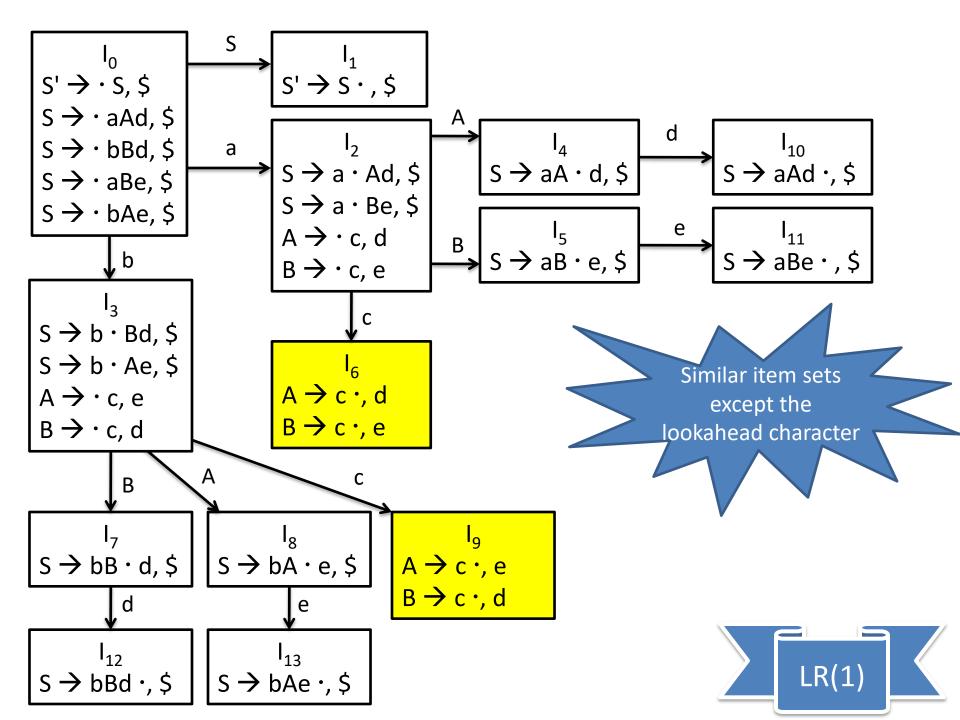


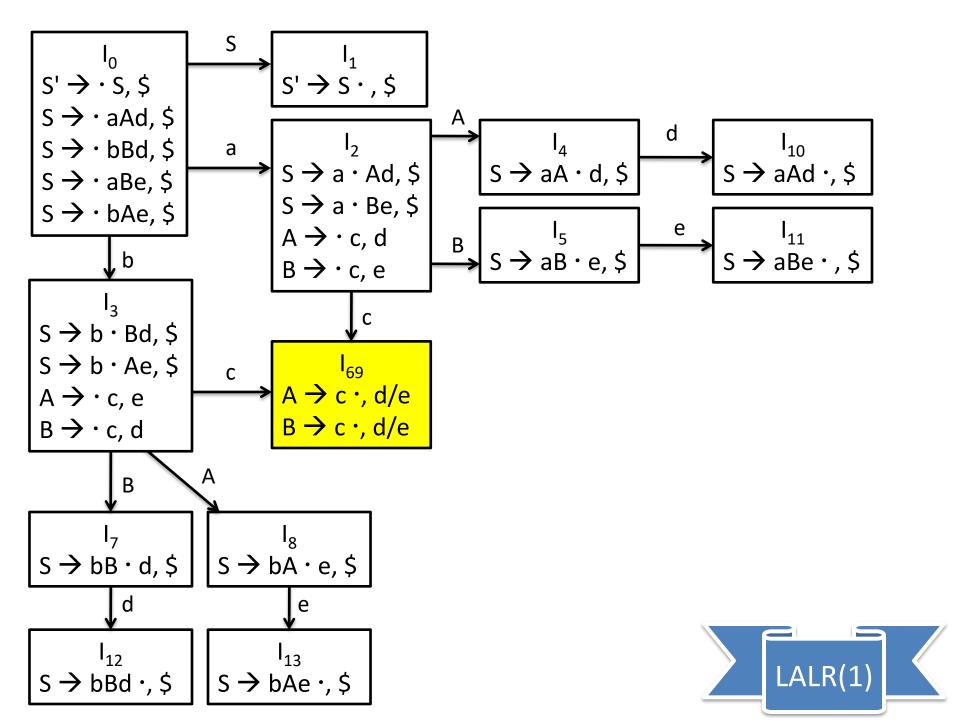


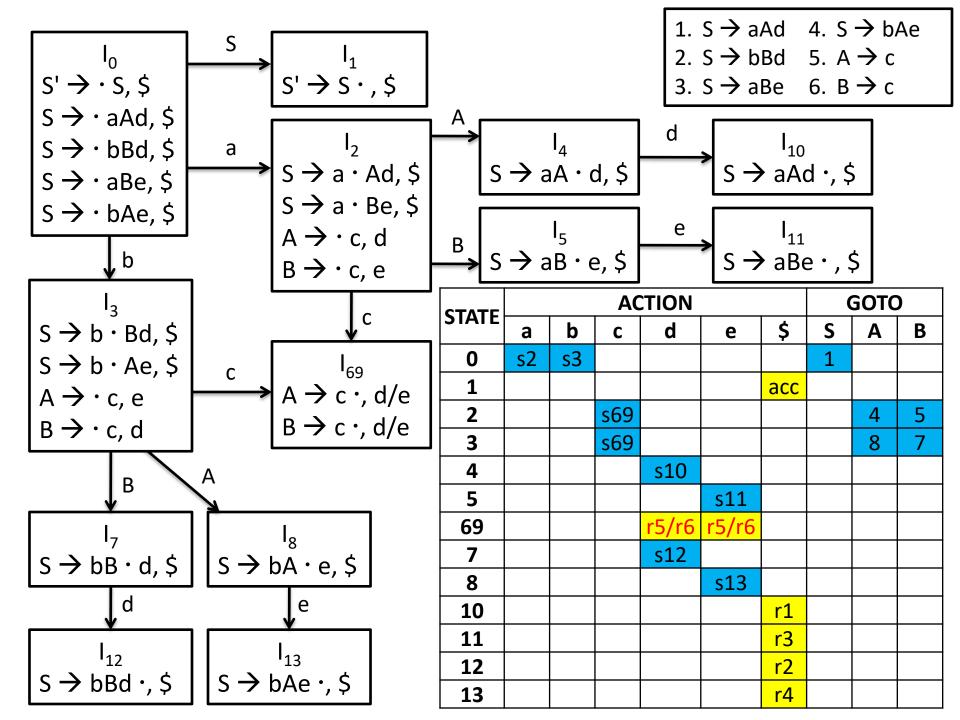


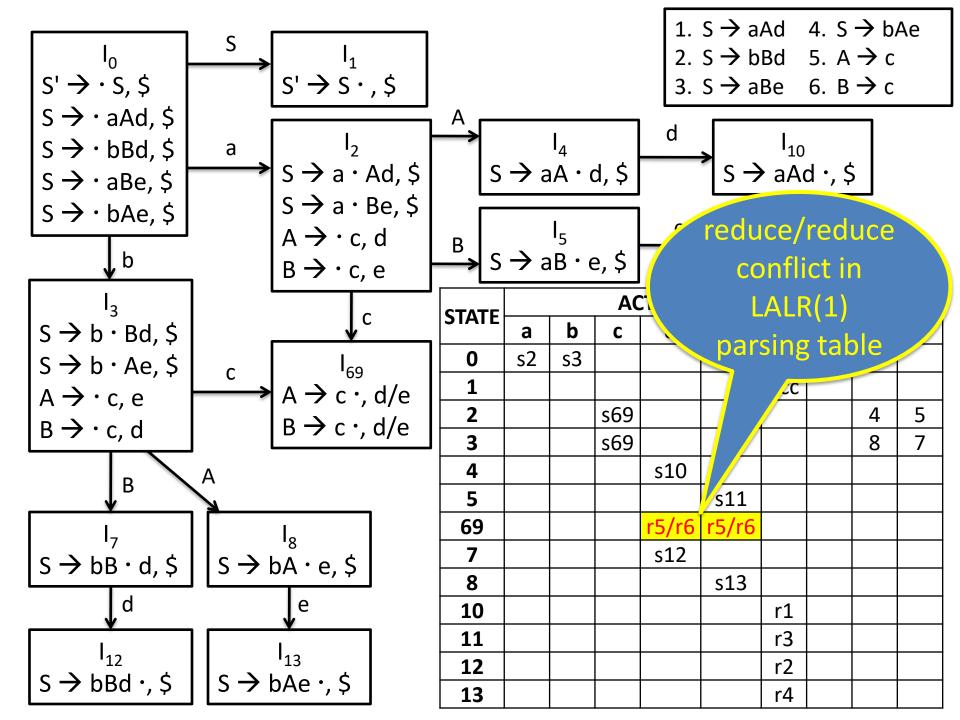












Show that the grammar is LALR(1) but not SLR(1)

 $S \rightarrow Aa | bAc | dc | bda$

A ightarrow d



Show that the grammar is LR(1) but not LALR(1)

 $A \rightarrow d$

 $\mathtt{B} o \mathtt{d}$



Ambiguous Grammar

1.
$$E \rightarrow E + E$$

2. $E \rightarrow E * E$

3. $E \rightarrow (E)$

4. $E \rightarrow id$

$$E' \rightarrow \cdot E$$

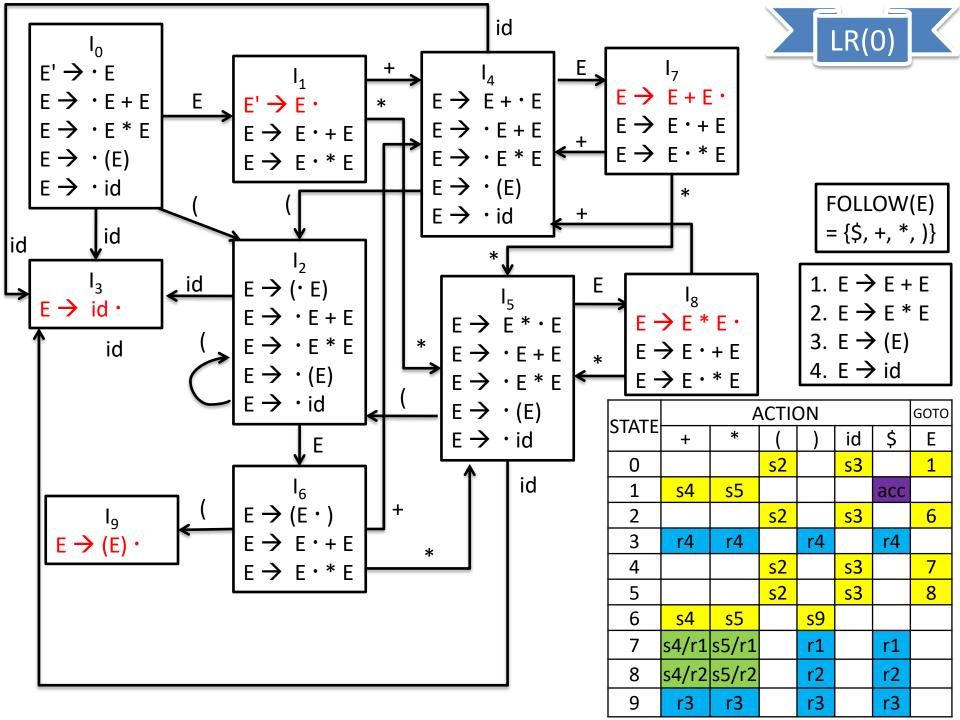
$$E \rightarrow \cdot E + E$$

$$E \rightarrow \cdot E * E$$

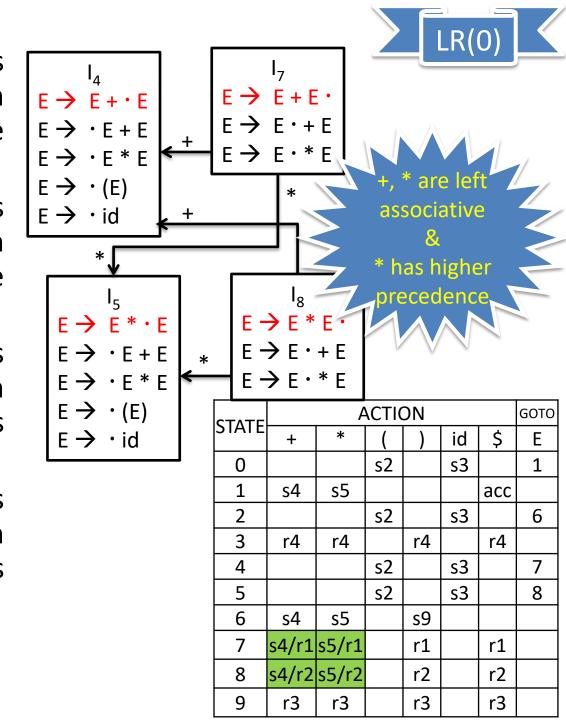
$$E \rightarrow \cdot E * E$$

$$E \rightarrow \cdot E * E$$

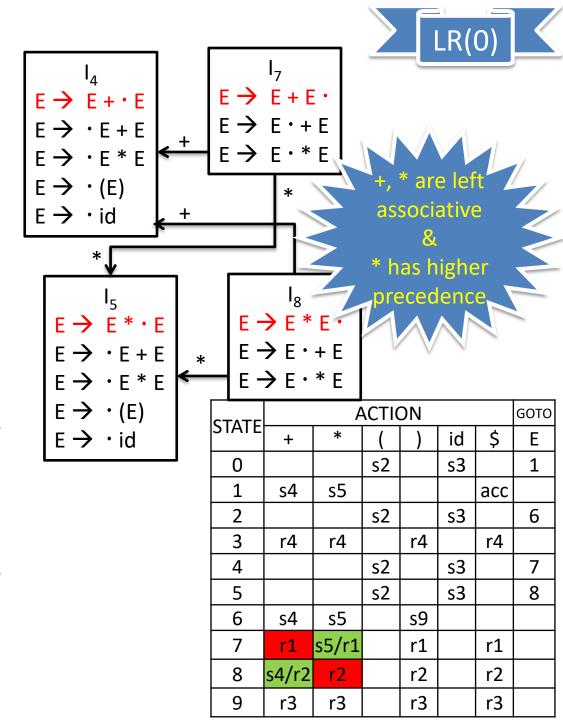
- Generate LR(0) Automaton
- Generate LR Parsing table



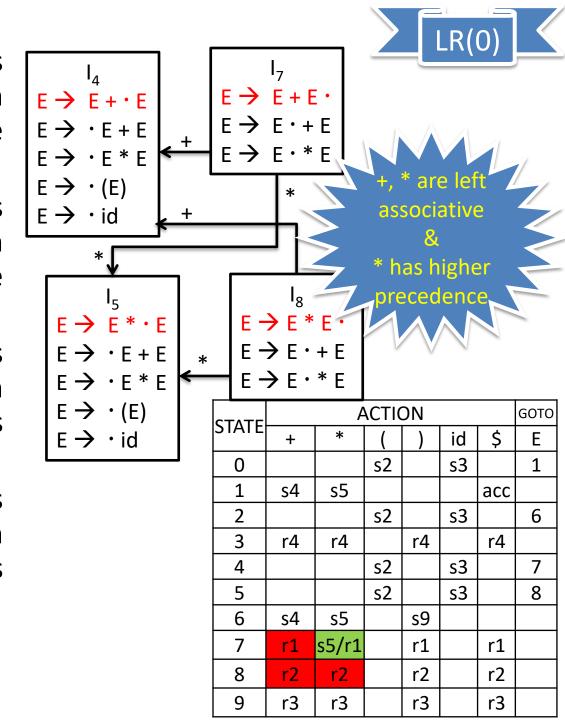
- [7, +]: + operation is performed and again the + operation to be performed.
- [7, *]: + operation is performed and then the * operation to be performed.
- [8, +]: * operation is performed and then the + operation is performed.
- [8, *]: * operation is performed and then the * operation is performed.



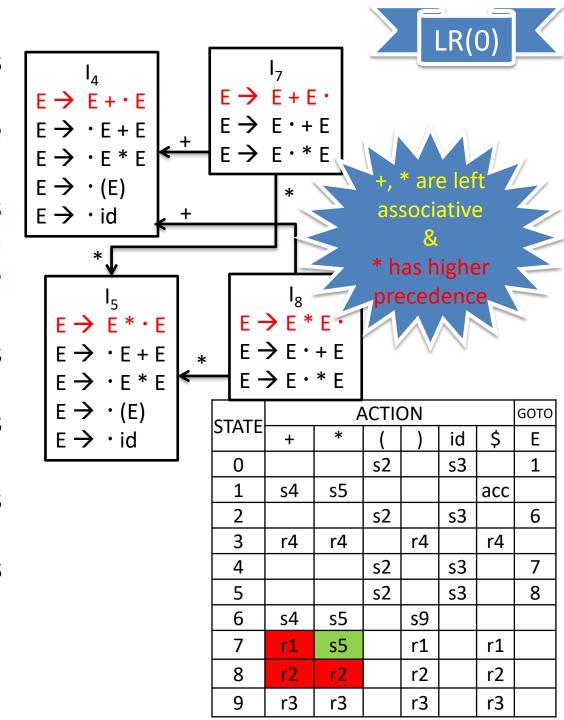
- [7, +]: + operation is performed and again the + operation to be performed. [reduce]
- [7, *]: + operation is performed and then the * operation to be performed.
- [8, +]: * operation is performed and then the + operation is performed.
- [8, *]: * operation is performed and then the * operation is performed. [reduce]

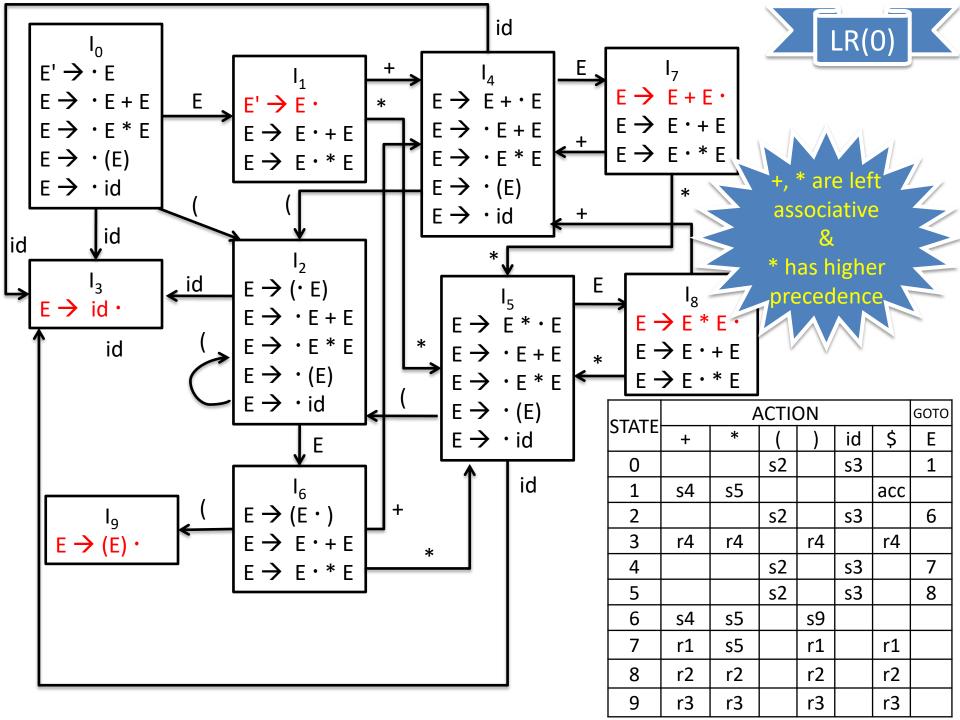


- [7, +]: + operation is performed and again the + operation to be performed. [reduce]
- [7, *]: + operation is performed and then the * operation to be performed.
- [8, +]: * operation is performed and then the + operation is performed. [reduce]
- [8, *]: * operation is performed and then the * operation is performed. [reduce]



- [7, +]: + operation is performed and again the + operation to be performed. [reduce]
- [7, *]: + operation is performed and then the * operation to be performed.
- [8, +]: * operation is performed and then the + operation is performed. [reduce]
- [8, *]: * operation is performed and then the * operation is performed. [reduce]





The "Dangling-Else" Ambiguity

- Consider the grammar for conditional statements:
 - stmt → if expr then stmt else stmt
 - if expr then stmt
 - | other
- Augmented Grammar

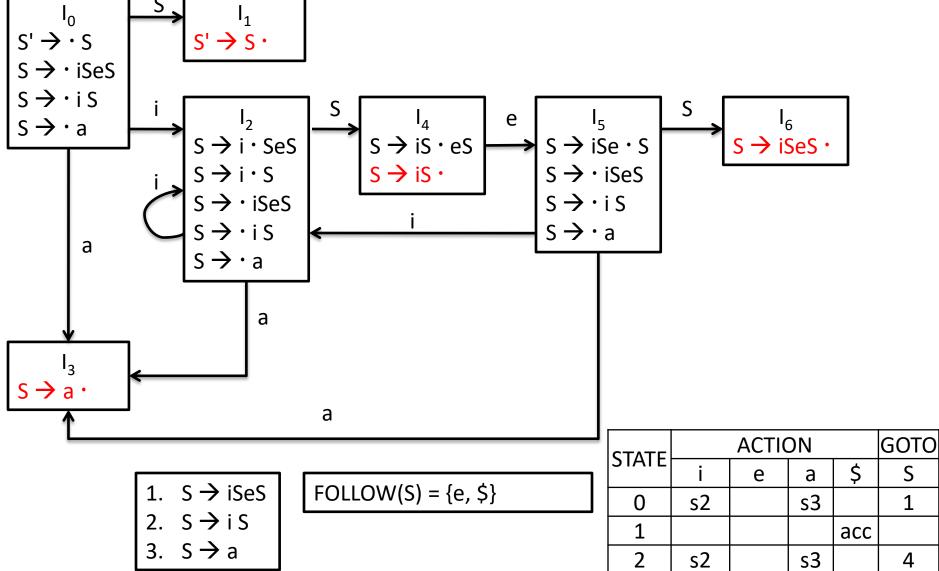
$$S' \rightarrow S$$

$$S \rightarrow iSeS$$

$$S \rightarrow iS$$

$$S \rightarrow a$$

$$\begin{array}{c}
I_0 \\
S' \rightarrow \cdot S \\
S \rightarrow \cdot iSeS \\
S \rightarrow \cdot iS \\
S \rightarrow \cdot a
\end{array}$$



4 6 3 6 6			•		ч	L
1. S → iSeS	FOLLOW(S) = {e, \$}	0	s2		s3	
2. S → iS		1				
3. S → a		2	s2		s3	
		3		r3		
		4		s5/r2		
		5	s2		s3	

6

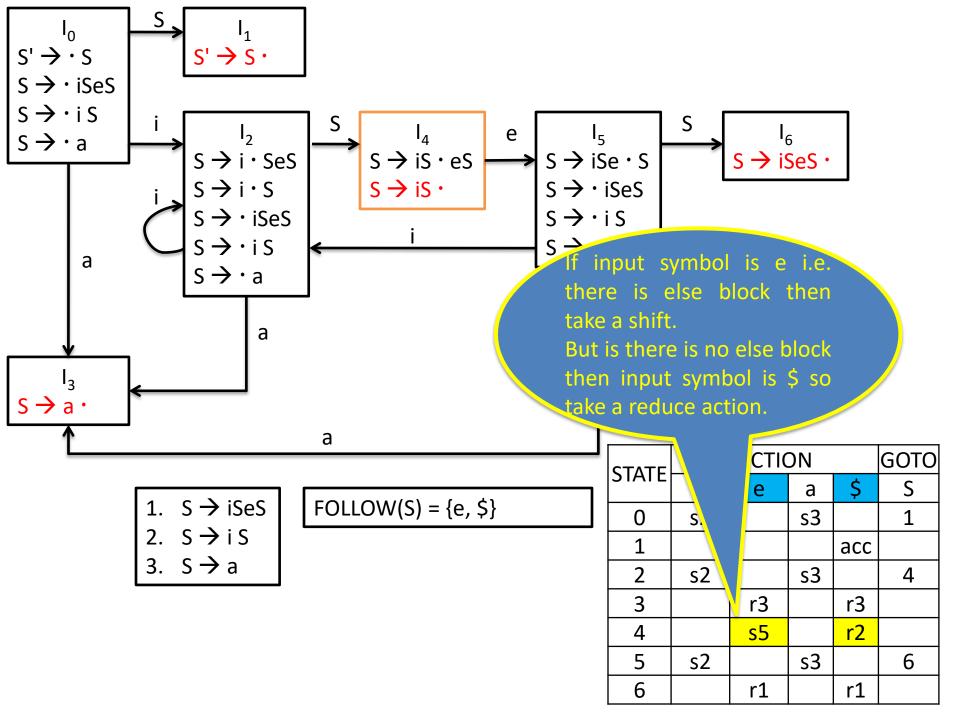
r3

r2

r1

r1

6



Error Recovery

- An LR parser will detect an error when it consults the parsing action table and finds an error entry.
- Errors are never detected by consulting the goto table.
- An LR parser will announce an error as soon as there is no valid continuation for the portion of the input thus far scanned.
- A canonical LR parser will not make even a single reduction before announcing an error.
- **SLR and LALR parsers** may make several reductions before announcing an error, but they will never shift an erroneous input symbol onto the stack.

STATE		GOTO					
SIAIE	+	*	()	id	\$	Е
0	e1	e1	s2		s3	e1	1
1	s4	s5				acc	
2	e1	e1	s2		s3	e1	6
3	r4	r4		r4		r4	
4	e1	e1	s2		s3	e1	7
5	e1	e1	s2		s3	e1	8
6	s4	s5		s9			
7	r1	s5		r1		r1	
8	r2	r2		r2		r2	
9	r3	r3		r3		r3	

e1:

- This routine is called from states 0, 2, 4 and 5, all of which expect the beginning of an operand, either an id or a left parenthesis.
- Instead, +, * , or the end of the input was found.
- push state 3 (the goto of states 0, 2, 4 and 5 on id);
- Issue diagnostic "missing operand"

СТАТЕ		GOTO					
STATE	+	*	()	id	\$	E
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5		e2		асс	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4		r4		r4	
4	e1	e1	s2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5		s9			
7	r1	s5		r1		r1	
8	r2	r2		r2		r2	
9	r3	r3		r3		r3	

e2:

- Called from states 0, 1, 2, 4 and 5 on finding a right parenthesis
- remove the right parenthesis from the input;
- issue diagnostic "unbalanced right parenthesis".

СТАТЕ		GOTO					
STATE	+	*	()	id	\$	E
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5	e3	e2	e3	acc	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4		r4		r4	
4	e1	e1	s2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5	e3	s9	e3		
7	r1	s5		r1		r1	
8	r2	r2		r2		r2	
9	r3	r3		r3		r3	

e3:

- Called from states 1 or 6 when expecting an operator, and an id or left parenthesis is found.
- push state 4 (corresponding to symbol +) onto the stack;
- push state 5 (corresponding to symbol *) onto the stack;
- issue diagnostic "missing operator".

СТАТГ		GOTO					
STATE	+	*	()	id	\$	Е
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5	e3	e2	e3	acc	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4		r4		r4	
4	e1	e1	s2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5	e3	s9	e3	e4	
7	r1	s5		r1		r1	
8	r2	r2		r2		r2	
9	r3	r3		r3		r3	

e4:

- Called from state 6 when the end of the input is found.
- push state 9 (for a right parenthesis) onto the stack;
- issue diagnostic "missing right parenthesis".

STATE		GOTO					
SIAIE	+	*	()	id	\$	Е
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5	e3	e2	e3	acc	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4		r4		r4	
4	e1	e1	s2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5	e3	s9	e3	e4	
7	r1	s5		r1		r1	
8	r2	r2		r2		r2	
9	r3	r3		r3		r3	

All other cells are filled with respective reduce entries.

STATE		GOTO					
SIAIE	+	*	()	id	\$	Е
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5	e3	e2	e3	acc	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4	r4	r4	r4	r4	
4	e1	e1	s2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5	e3	s9	e3	e4	
7	r1	s5	r1	r1	r1	r1	
8	r2	r2	r2	r2	r2	r2	
9	r3	r3	r3	r3	r3	r3	

All other cells are filled with respective reduce entries.

CTATE		GOTO					
STATE	+	*	()	id	\$	E
0	e1	e1	s2	e2	s3	e1	1
1	s4	s5	e3	e2	e3	acc	
2	e1	e1	s2	e2	s3	e1	6
3	r4	r4	r4	r4	r4	r4	
4	e1	e1	s 2	e2	s3	e1	7
5	e1	e1	s2	e2	s3	e1	8
6	s4	s5	e3	s 9	e3	e4	
7	r1	s5	r1	r1	r1	r1	
8	r2	r2	r2	r2	r2	r2	
9	r3	r3	r3	r3	r3	r3	