

Imbalanced Data

Nguyen Khoa



CONTENT

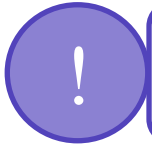
(1) – Pre-Processing

(2) – Special-purpose Learning Methods

(3) – Post-Processing



Data Pre-processing



Introduction

Advantages:

- Can be applied to any existing learning tool
- The chosen models are biased to the goals of the user (because the data distribution was previously changed to match these goals), and thus it is expected that the models are more interpretable in terms of these goals.

Disadvantages:

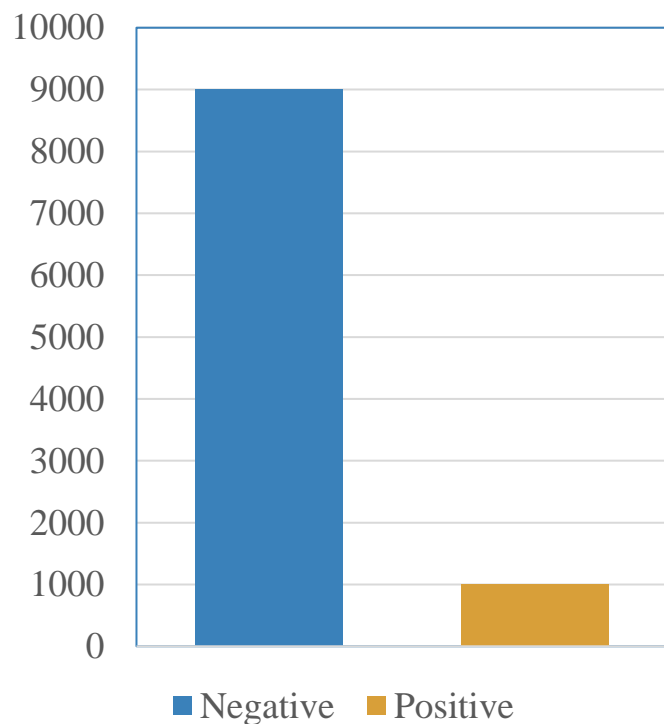
- Mapping the given data distribution into an optimal new distribution according to the user goals is not easy.
- It was proved for classification tasks that a perfectly balanced distribution does not always provide optimal results

Data Pre-processing

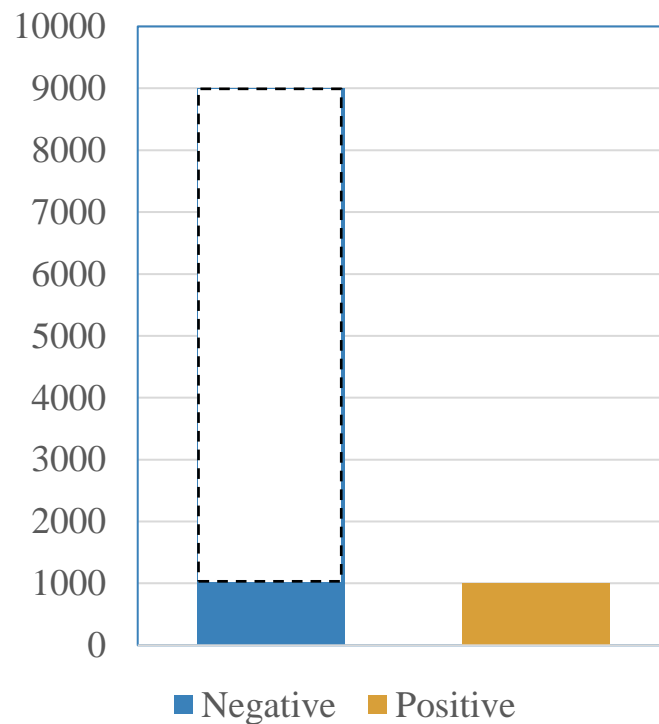


Re-Sampling / Random Under-sampling and Over-sampling

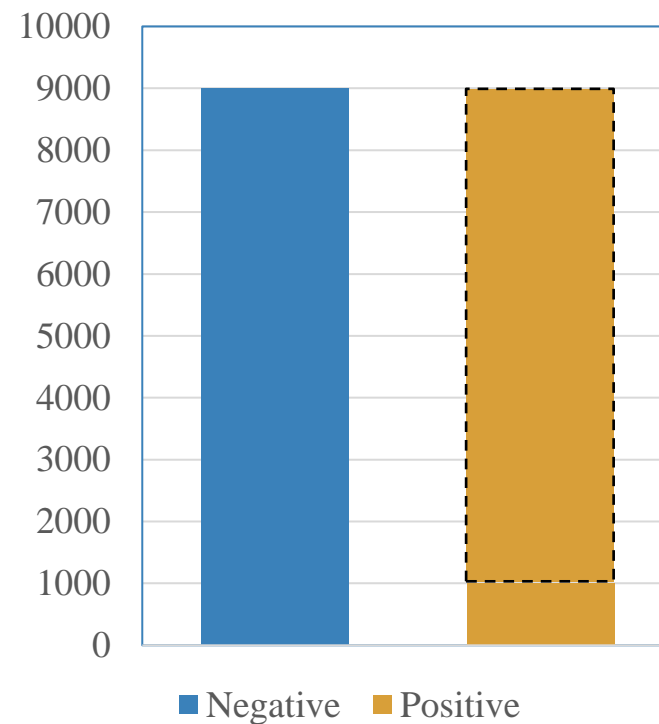
Original Data



Undersampling Data



Oversampling Data

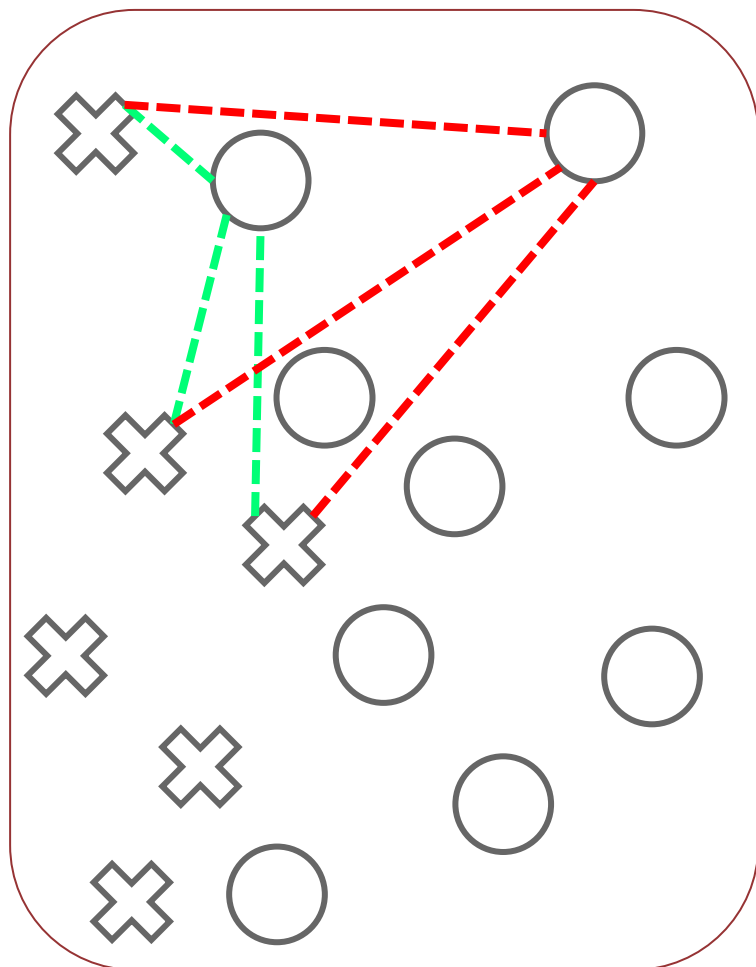


Data Pre-processing

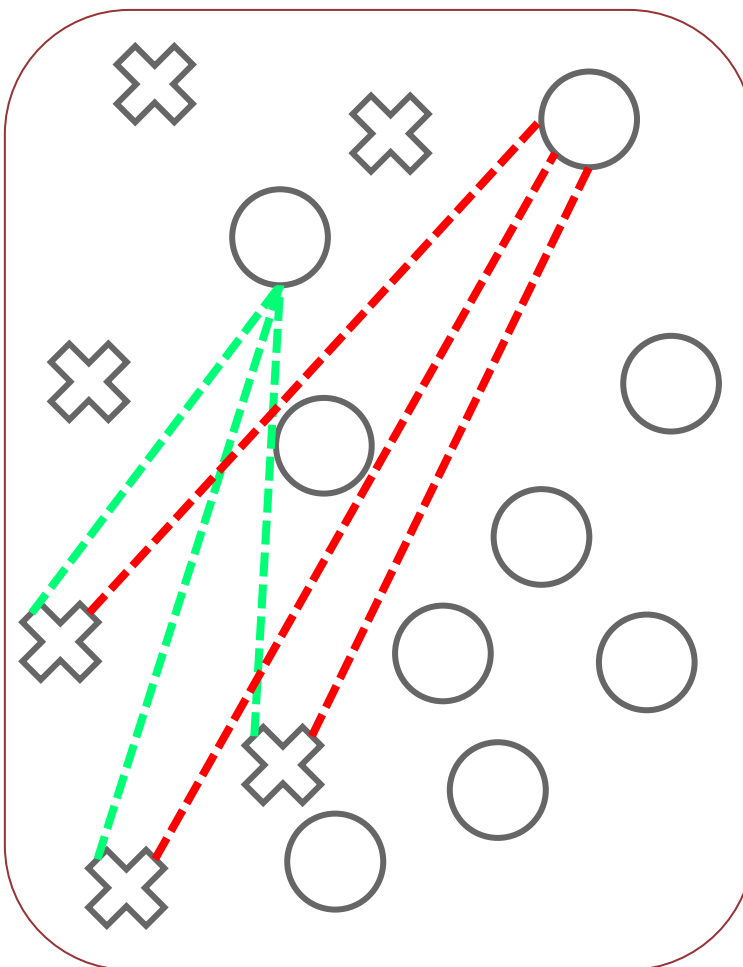


Re-Sampling / Distance-Based / NearMiss

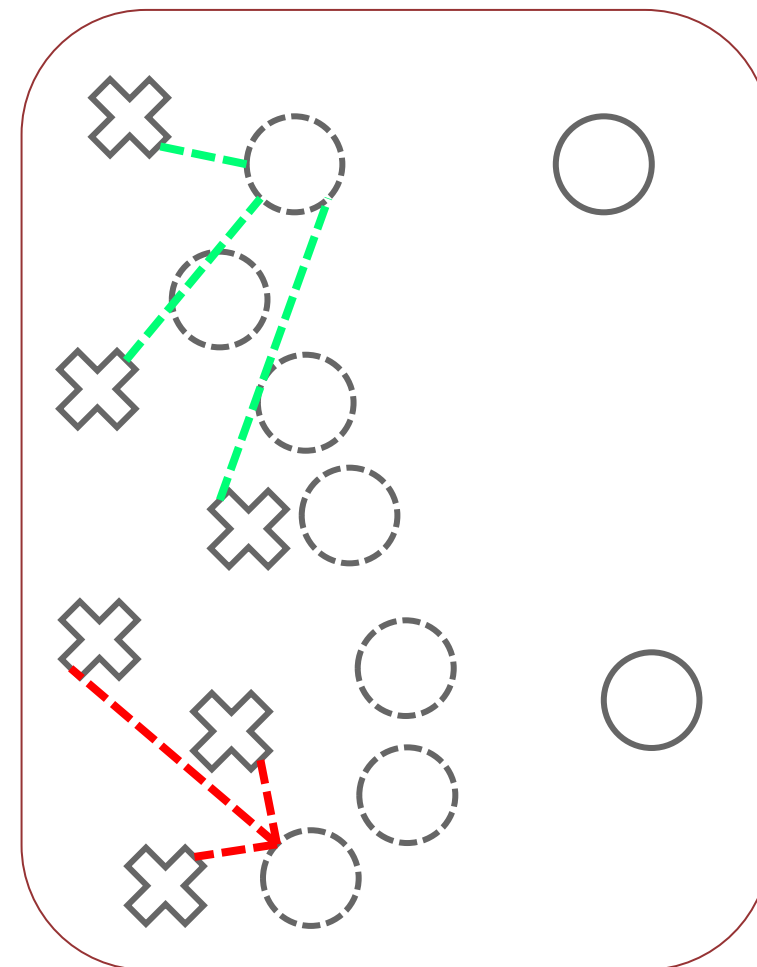
NearMiss1



NearMiss2



NearMiss1

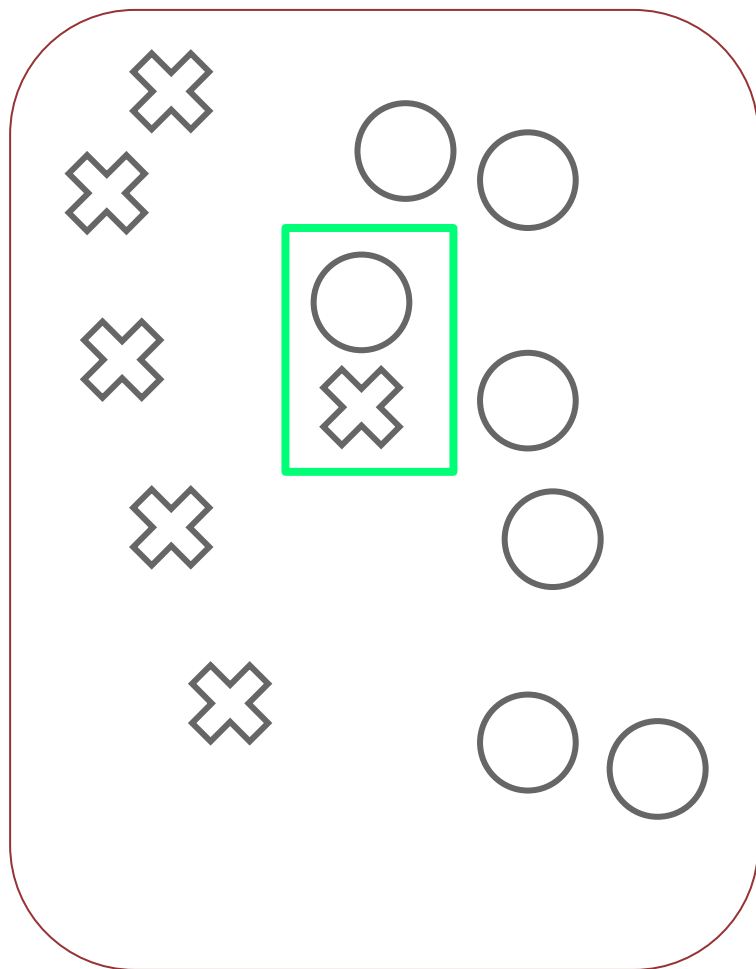


Data Pre-processing

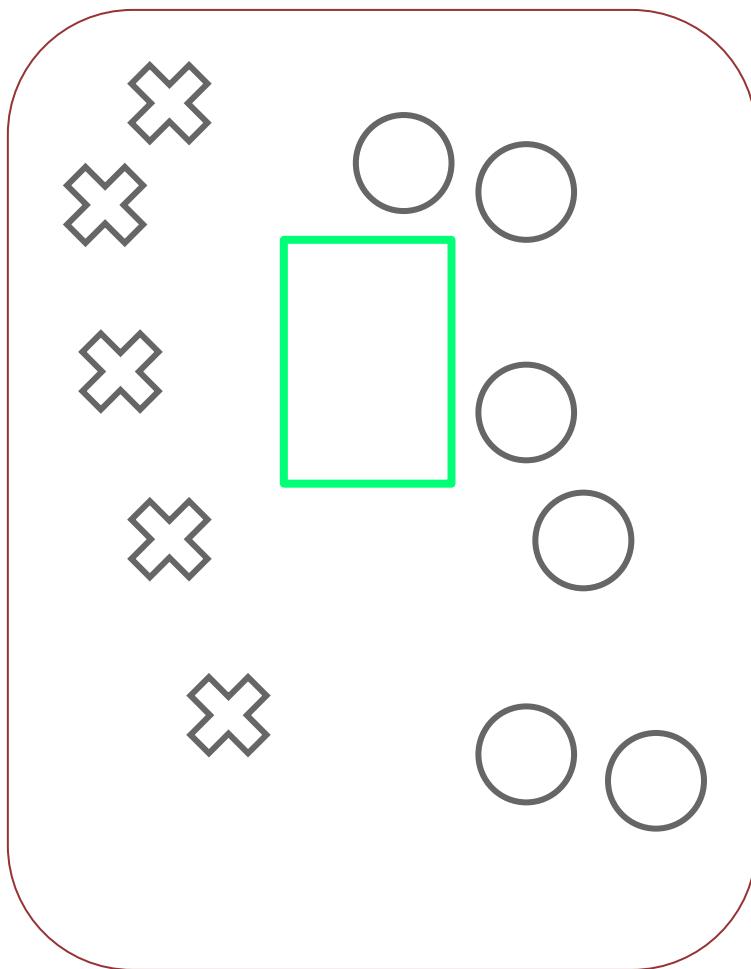


Re-Sampling / Data Cleaning / Tomek Links

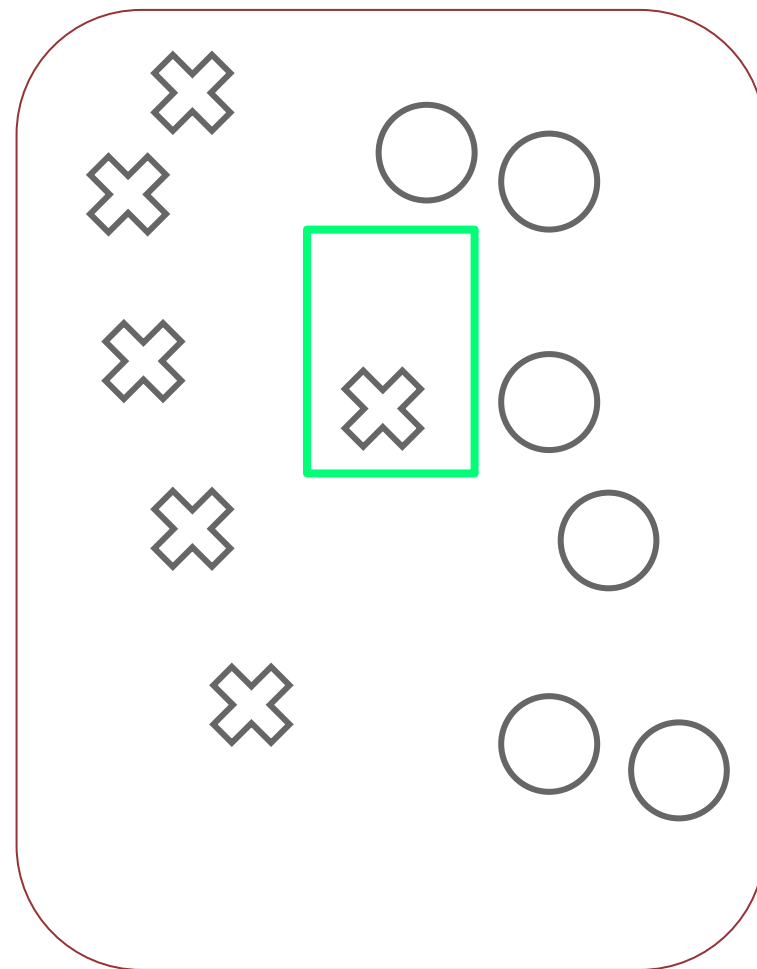
Tomek Links



Approach 1: Remove All



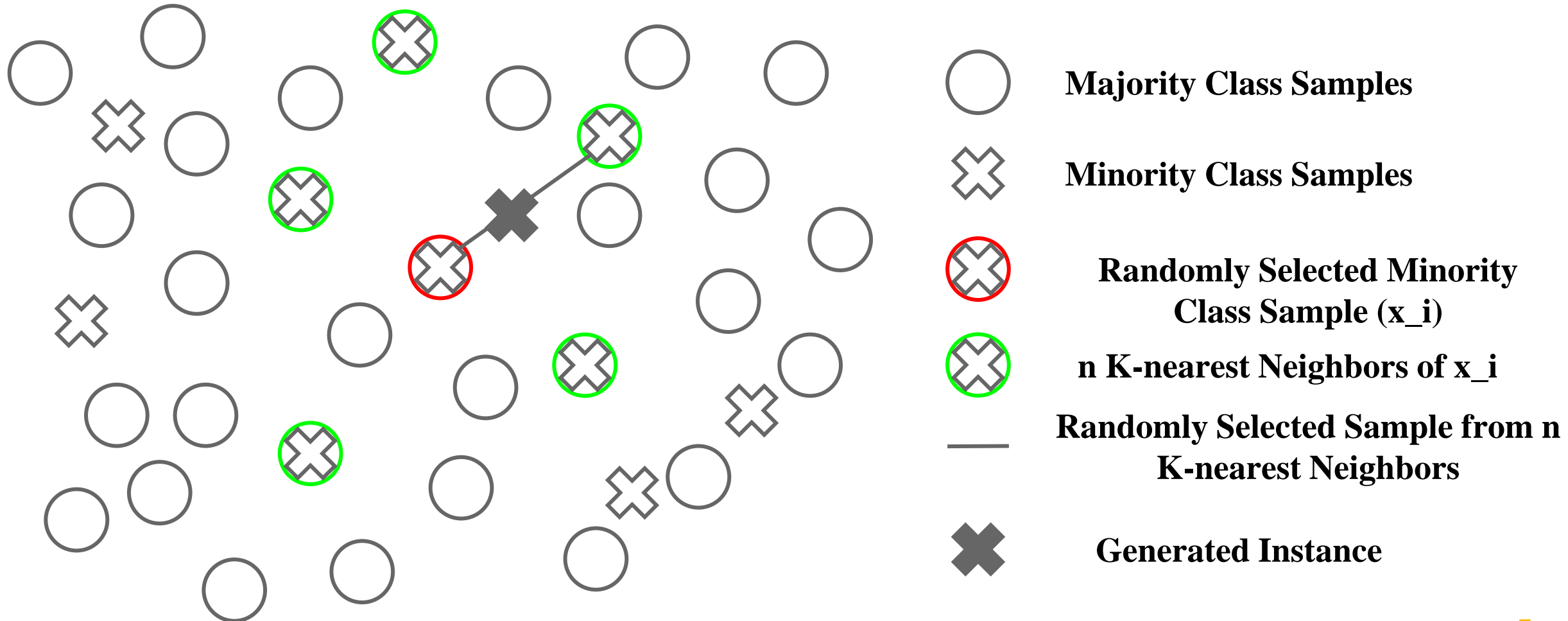
Approach 1: Remove Majority



Data Pre-processing



Re-Sampling / Synthesising New Data / SMOTE





Special-purpose Learning Methods



Introduction

Advantages:

- The user goals are incorporated directly into the models

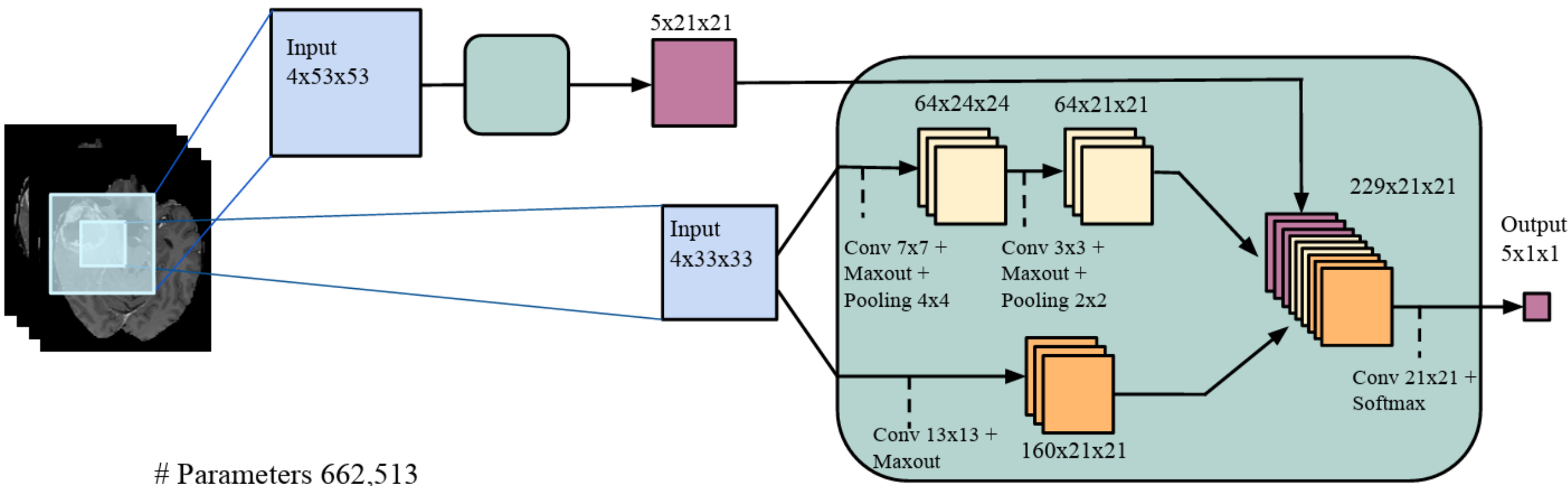
Disadvantages:

- restricted in his choice to the learning algorithms that have been modified to be able to optimise his goals, or has to develop new algorithms for the task
- may be necessary to introduce further modifications in the algorithm which may not be straightforward
- requires a deep knowledge of the learning algorithms implementations

Special-purpose Learning Methods

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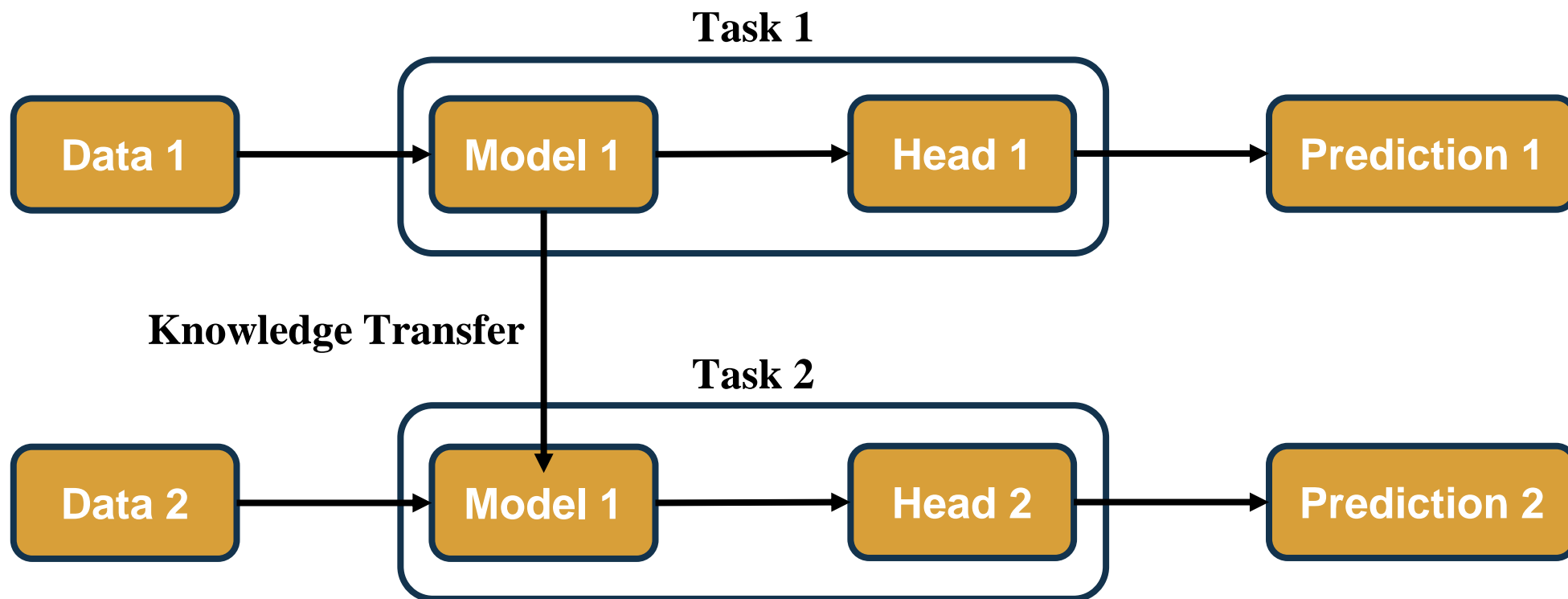
Two-Stage Learning / MFCascadeCNN



Special-purpose Learning Methods



Transfer Learning



Special-purpose Learning Methods



Loss / MFE & MSFE

$$FPE = \frac{1}{N} \sum_{i=1}^N \sum_n \frac{1}{2} (d_n^{(i)} - y_n^{(i)})^2$$

$$FNE = \frac{1}{P} \sum_{i=1}^N \sum_n \frac{1}{2} (d_n^{(i)} - y_n^{(i)})^2$$

$$MFE = FPE + FNE$$

$$MSFE = FPE^2 + FNE^2$$

MFE: Mean False Error

MSFE: Mean Square False Error

FPE: Mean False Positive Error

FNE: Mean False Negative Error

N: the numbers of samples in negative class

P: the numbers of samples in positive class

$d_n^{(i)}$: the desired value of i^{th} sample on n^{th} neuron

$y_n^{(i)}$: the corresponding predicted value of $d_n^{(i)}$

Special-purpose Learning Methods



Optimizer / ImbSAM

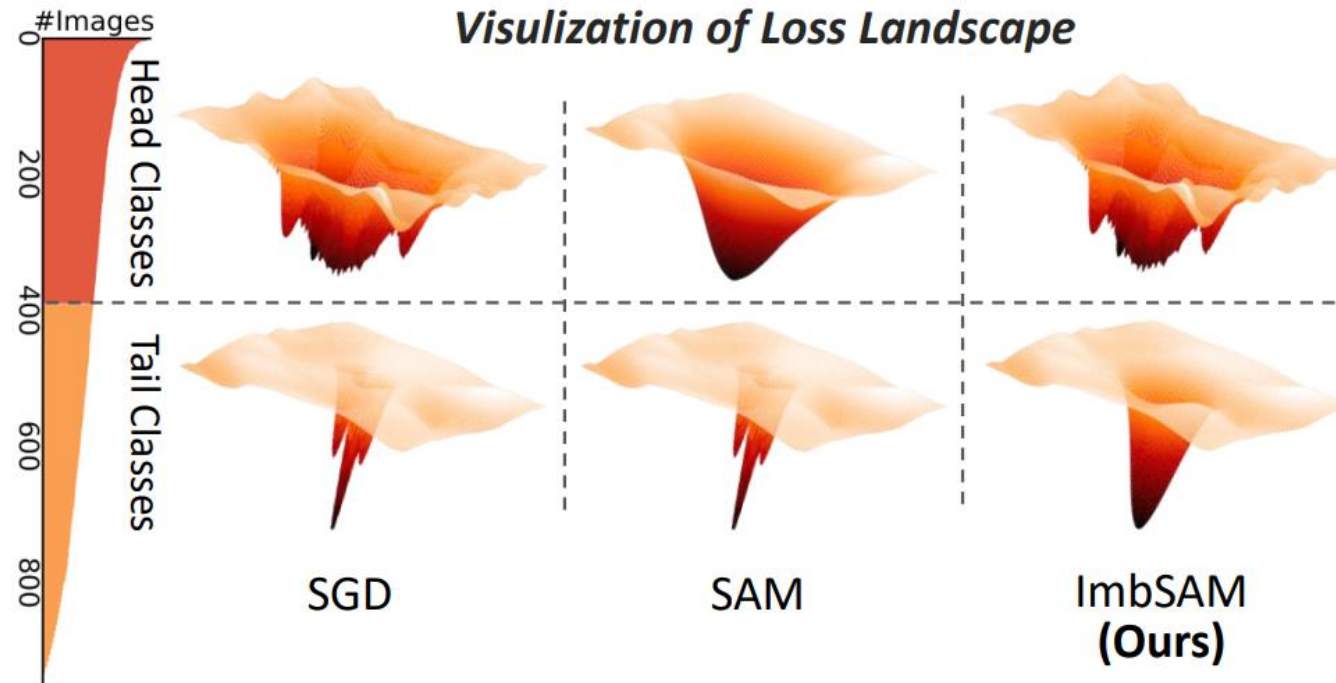
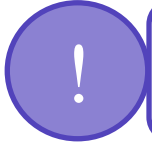


Figure 1: The visualization of separate loss landscape for *head* and *tail* classes in class-imbalanced recognition, optimized by SGD [6], SAM [17] and our ImbSAM respectively.



Prediction Post-processing



Introduction

Advantages:

- Not necessary to be aware of the user preference biases at learning time
- Be applied to different deployment scenarios without the need of re-learning the models
- Any standard learning tool can be used

Disadvantages:

- The models do not reflect the user preferences
- The models interpretability is meaningless

Prediction Post-processing



Thresholding

```
[2] 1 # Let's assume you have a classifier that outputs the following probabilities for a given datapoint x
    2 # These are just example values for demonstration purposes
    3 classifier_output_A = 0.3 # Probability that x belongs to Class A
    4 classifier_output_B = 0.7 # Probability that x belongs to Class B
    5
    6 # Number of instances in each class
    7 instances_A = 10
    8 instances_B = 70
    9
   10 # Total number of instances
   11 total_instances = instances_A + instances_B
   12
   13 # Calculating the prior probabilities for each class
   14 prior_prob_A = instances_A / total_instances
   15 prior_prob_B = instances_B / total_instances
   16
   17 # Adjusting the classifier's probabilities by the prior probabilities
   18 adjusted_prob_A = classifier_output_A / prior_prob_A
   19 adjusted_prob_B = classifier_output_B / prior_prob_B
   20
   21 # Normalizing the adjusted probabilities so that they sum up to 1
   22 sum_adjusted_probs = adjusted_prob_A + adjusted_prob_B
   23 normalized_prob_A = adjusted_prob_A / sum_adjusted_probs
   24 normalized_prob_B = adjusted_prob_B / sum_adjusted_probs
   25
   26 (adjusted_prob_A, adjusted_prob_B), (normalized_prob_A, normalized_prob_B)

((2.4, 0.7999999999999999), (0.75, 0.25))
```



Notion

<https://transparent-sesame-adf.notion.site/Imbalanced-4310cb6fbcfb4aa493145ef244c02f43>



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Thanks!

Any questions?