
Algoritem 1 FederatedAverage.

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k

FedAvg():

Inicializacija globalnih uteži $w^{(1)}$

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

$\Delta w_k^{(r)} \leftarrow \text{LocalSGD}(k, w^{(r)})$

$$w^{(r+1)} = w^{(r)} + \eta_s \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} p_k \right], \text{ kjer je } p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$$

LocalSGD(k, w):

$w_k = w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k = w_k - \eta_k \nabla \ell(w_k; b)$

return $\Delta_k \leftarrow (w_k - w)$

Algoritem 2 FedProx.

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k, μ vpliv dodatnega člena

FedProx():

Inicializacija uteži $w^{(1)}$

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

$\Delta w_k^{(r)} \leftarrow \text{LocalSGD}(k, w^{(r)})$

$$w^{(r+1)} = w^{(r)} + \eta_s \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} p_k \right], \text{ kjer je } p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$$

LocalSGD(k, w):

$w_k = w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k = w_k - \eta_k \nabla (\ell(w_k; b) + \frac{\mu_k}{2} \|w_k - w\|^2)$

return $\Delta_k \leftarrow (w_k - w)$

Algoritem 4 SCAFFOLD: Stochastic Controlled Averaging for federated learning.

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k

SCAFFOLD():

Inicializacija $w^{(1)}, c^{(1)}$

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow$ (naključno izberi m klientov) $\subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

$\Delta w_k^{(r)}, \Delta c_k^{(r)} \leftarrow \text{LocalSGD}(k, w^{(r)}, c^{(r)})$

$w^{(r+1)} = w^{(r)} + \eta_s \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} p_k \right]$, kjer je $p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$

$c^{(r+1)} = c^{(r)} + \frac{m}{N} \left[\sum_{k \in S^{(r)}} \Delta c_k^{(r)} p_k \right]$, kjer je $p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$

LocalSGD(k, w, c):

$w_k = w$

$\mathcal{B} \leftarrow$ (razdeli \mathcal{D}_k na podmnožice velikosti B_k)

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k = w_k - \eta_k (\nabla \ell(w_k; b) - c_k + c)$

$c_k^+ = c_k - c + \frac{1}{E_k |\mathcal{B}| \eta_k} (w - w_k)$

$(\Delta w_k, \Delta c_k) \leftarrow (w_k - w, c_k^+ - c_k)$

$c_k = c_k^+$

return $(\Delta w_k, \Delta c_k)$

$$w_k = w_k - \eta_k \nabla \ell(w_k; b)$$

$$w^{(r+1)} = w^{(r)} + \eta_s \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} p_k \right]$$

$$c^{(r+1)} = c^{(r)} + \frac{m}{N} \left[\sum_{k \in S^{(r)}} \Delta c_k^{(r)} p_k \right]$$

$$p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{k \in S^{(r)}} |\mathcal{D}_k|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$$

Algoritem 5 FedVARP: Variance Due to Partial Client Participation in Federated Learning.

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k

FedVARP():

Inicializacija $w^{(1)}$

Inicializacija $y_k^{(1)} = 0; \forall k \in [N]$

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

$\Delta w_k^{(r)} \leftarrow \text{LocalSGD}(k, w^{(r)})$

$v^{(r)} = \frac{1}{m} \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} - y_k^{(r)} \right] + \frac{1}{N} \left[\sum_{j=1}^N y_j^{(r)} \right]$

$w^{(r+1)} = w^{(r)} + \eta_s v^{(r)}$

for client $k \in [N]$ **do**

$y_k^{(r+1)} = \begin{cases} \Delta w_k^{(r)}, & \text{if } k \in S^{(r)} \\ y_k^{(r)}, & \text{otherwise} \end{cases}$

LocalSGD(k, w):

$w_k \leftarrow w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k \leftarrow w_k - \eta_k \nabla \ell(w_k; b)$

return $\Delta_k \leftarrow (w_k - w)$

$$v^{(r)} = \frac{1}{m} \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} - y_k^{(r)} \right] + \frac{1}{N} \left[\sum_{j=1}^N y_j^{(r)} \right]$$

$$w^{(r+1)} = w^{(r)} + \eta_s v^{(r)}$$

$$y_k^{(r+1)} = \begin{cases} \Delta w_k^{(r)}, & \text{if } k \in S^{(r)} \\ y_k^{(r)}, & \text{otherwise} \end{cases}$$

Algoritem 6 ClusterFedVARP: Variance Due to Partial Client Participation in Federated Learning.
Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_g hitrost učenja globalnega modela, η_k hitrost učenja klienta k , K število gruč, \mathbb{C} množica gruč, $c_k \in [K]$ indeks gruč klienta k

ClusterFedVARP():

Inicializacija $w^{(1)}$

Inicializacija $y_k^{(1)} = 0; \forall k \in [K]$

(vsakemu klientu dodeli gručo $c_j \in [K]; \forall j \in [N]$)

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

$\Delta w_k^{(r)} \leftarrow \text{LocalSGD}(k, w^{(r)})$

$v^{(r)} = \frac{1}{m} \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} - y_{c_k}^{(r)} \right] + \frac{1}{N} \left[\sum_{j=1}^N y_{c_j}^{(r)} \right]$

$w^{(r+1)} = w^{(r)} + \eta_g v^{(r)}$

for cluster $k \in [K]$ **do**

$$y_k^{(r+1)} = \begin{cases} \frac{\sum_{i \in S^{(r)} \cap \mathbb{C}_k} \Delta w_i^{(r)}}{|S^{(r)} \cap \mathbb{C}_k|}, & \text{if } |S^{(r)} \cap \mathbb{C}_k| \neq 0 \\ y_k^{(r)}, & \text{otherwise} \end{cases}$$

LocalSGD(k, w):

$w_k \leftarrow w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k \leftarrow w_k - \eta_k \nabla \ell(w_k; b)$

return $\Delta_k \leftarrow (w_k - w)$

$$v^{(r)} = \frac{1}{m} \left[\sum_{k \in S^{(r)}} \Delta w_k^{(r)} - y_{c_k}^{(r)} \right] + \frac{1}{N} \left[\sum_{j=1}^N y_{c_j}^{(r)} \right]$$

$$y_k^{(r+1)} = \begin{cases} \frac{\sum_{i \in S^{(r)} \cap \mathbb{C}_k} \Delta w_i^{(r)}}{|S^{(r)} \cap \mathbb{C}_k|}, & \text{if } |S^{(r)} \cap \mathbb{C}_k| \neq 0 \\ y_k^{(r)}, & \text{otherwise} \end{cases}$$

Algoritem 7 *FedRolex*.

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, β velikost nevronske mreže, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k

***FedAvg()*:**

Inicializacija uteži $w^{(1)}$

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

(izberi pod-model glede na rundo in velikost, indeksi $I(r, \beta_k) \rightarrow w_{I(r, \beta_k)}^{(r)} \subseteq w^{(r)}$)

$\Delta w_{k, I(r, \beta_k)}^{(r)} \leftarrow \text{LocalSGD}(k, w_{I(r, \beta_k)}^{(r)})$

$w^{(r+1)} = w^{(r)} + \frac{1}{\sum_{k \in S^{(r)}} p_k} \left[\sum_{k \in S^{(r)}} \Delta w_{k, I(r, \beta_k)}^{(r)} p_k \right],$

kjer je $p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$

***LocalSGD(k, w)*:**

$w_k \leftarrow w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k \leftarrow w_k - \eta_k \nabla \ell(w_k; b)$

return $\Delta w_k \leftarrow (w_k - w)$

Za vsak sloj se izbere premikajoče okno dolžine β_k sloja ki se začne na indeksu trenutne runde, v primeru konvolucijske nevronske mreže namesto posameznih uteži izbiramo filtre. Klient uči samo izbrane uteži

$$I(r, \beta) = \begin{cases} \{j, j+1, \dots, j + \lfloor \beta_k |\mathcal{D}_k| \rfloor - 1\}, & \text{if } j + \lfloor \beta_k |\mathcal{D}_k| \rfloor \leq |\mathcal{D}_k| \\ \{j, j+1, \dots, |\mathcal{D}_k| - 1\} \cup \{0, 1, \dots, j + \lfloor \beta_k |\mathcal{D}_k| \rfloor - 1 - |\mathcal{D}_k|\}, & \text{else} \end{cases}$$

Algoritem 8 FedSCAVAR

Vhodi: \mathcal{D} množica učnih podatkov, N število klientov, λ delež klientov ki prispeva k učenju, B velikost lokalnega minibatcha, E število epoh lokalnega učenja, R število ponovitev federativnega učenja, η_s hitrost učenja globalnega modela, η_k hitrost učenja klienta k , K število gruč, \mathcal{C} množica gruč, c_k indeks gruč klienta $k \in [K]$

SCAFFOLD():

Inicializacija $w^{(1)}, c^{(1)}$

Inicializacija $y_k^{(1)} = 0; \forall k \in [K]$

(vsakemu klientu nastavi gručo $c_j \in [K]; \forall j \in [N]$)

for round $r = 1, 2, \dots, R$ **do**

$m = \max(\lambda \cdot N, 1)$

$S^{(r)} \leftarrow (\text{naključno izberi } m \text{ klientov}) \subseteq [N]$

for client $k \in S^{(r)}$ **in parallel do**

(izberi uteži iz globalnega modela glede na indekse $I(r, \beta_k) \rightarrow w_{I(r, \beta_k)}^{(r)} \subseteq w^{(r)}$)

(izberi uteži iz globalne kontrole glede na indekse $I(r, \beta_k) \rightarrow c_{I(r, \beta_k)}^{(r)} \subseteq c^{(r)}$)

$\Delta w_{k, I(r, \beta_k)}^{(r)}, \Delta c_{k, I(r, \beta_k)}^{(r)} \leftarrow \text{LocalSGD}(k, w_{I(r, \beta_k)}^{(r)}, c_{I(r, \beta_k)}^{(r)})$

$v^{(r)} = \frac{1}{m} \left[\sum_{k \in S^{(r)}} \Delta w_{k, I(r, \beta_k)}^{(r)} - y_{c_k, I(r, \beta_k)}^{(r)} \right] + \frac{1}{N} \left[\sum_{j=1}^N y_{c_j}^{(r)} \right]$

$w^{(r+1)} = w^{(r)} + \eta_s v^{(r)}$

$c^{(r+1)} = c^{(r)} + \frac{m}{N} \left[\sum_{k \in S^{(r)}} \Delta c_{k, I(r, \beta_k)}^{(r)} p_k \right]$, kjer je $p_k = \begin{cases} \frac{|\mathcal{D}_k|}{\sum_{i \in S^{(r)}} |\mathcal{D}_i|}, & \text{option 1} \\ \frac{1}{m}, & \text{option 2} \end{cases}$

for cluster $k \in [K]$ **do**

$y_k^{(r+1)} = \begin{cases} \frac{\sum_{i \in S^{(r)} \cap \mathcal{C}_k} \Delta w_i^{(r)}}{|S^{(r)} \cap \mathcal{C}_k|}, & \text{if } |S^{(r)} \cap \mathcal{C}_k| \neq 0 \\ y_k^{(r)}, & \text{otherwise} \end{cases}$

LocalSGD(k, w, c):

$w_k = w$

$\mathcal{B} \leftarrow (\text{razdeli } \mathcal{D}_k \text{ na podmnožice velikosti } B_k)$

for epoch $e = 1, 2, \dots, E_k$ **do**

for batch $b \in \mathcal{B}$ **do**

$w_k = w_k - \eta_k (\nabla(\ell(w_k; b) + \frac{\mu_k}{2} \|w_k - w\|^2) - c_k + c)$

$c_k^+ = c_k - c + \frac{1}{E_k |\mathcal{B}| \eta_k} (w - w_k)$

$(\Delta w_k, \Delta c_k) \leftarrow (w_k - w, c_k^+ - c_k)$

$c_k = c_k^+$

return $(\Delta w_k, \Delta c_k)$
