

MATH1061 - Course Notes

Tom Stephen, Edited by Ben Kruger, Stylised by Jake Moss

June 22, 2020

Contents

1	Logic	2
1.1	Logical Form	2
1.2	Logical Equivalence	3
1.3	Conditional Statements	4
1.4	Arguments	5
1.5	Quantified Statements	6
2	Number Theory	9
2.1	Modulo Arithmetic	9
2.2	Euclidean Algorithm	9
2.3	Sequences	10
2.4	Summation Notation	11
2.5	Dummy Variable	11
2.6	Product Notation	11
2.7	Factorial	11
2.8	Properties of Summation and Product Notation	11
2.9	Mathematical Induction	12
3	Recursive Definitions	14
3.1	Ways to Define Sequences	14
3.2	Showing a Sequence Satisfies a Recurrence Relation	14
3.3	Generalised	14
4	Functions	16
4.1	One-to-one	16
4.2	Onto	16
4.3	Inverse	17
4.4	Composition of Functions	17
5	Set Theory	18
5.1	Operations on Sets	18
5.2	More Definitions for Sets	19
5.3	Intervals	20
5.4	Cardinality	20
5.5	Counting Sets	21
5.6	Relations on Sets	23
6	Groups	26
6.1	Subgroup	27
7	Counting	29
7.1	Inclusion and Exclusion	32
7.2	Pigeonhole Principle	33
8	Graph Theory	35
8.1	Getting Between Vertices	37

1 Logic

1.1 Logical Form

Definition

A statement or proposition is a sentence that is either true or false, but not both.

Example

Statements:

- The number 5 is even
- $\pi > 3$
- Leonhard Euler was born in 1707

Not statements:

- How are you?
- Stop!
- She likes maths. (we do not know who she is)
- $x^2 = 2x - 1$ (we do not know the value of x)

Definition

Let p be a statement. The negation of p is denoted as $\sim p$ or $\neg p$ (read as “not p ”).

p	$\sim p$
T	F
F	T

Let p and q be statements.

Definition

The conjunction of p and q is denoted $p \wedge q$ (read as “ p and q ”)

p	q	$p \wedge q$
T	T	T
T	F	F
F	T	F
F	F	F

Definition

The disjunction of p and q is denoted $p \vee q$ (read as “ p or q ”)

p	q	$p \vee q$
T	T	T
T	F	T
F	T	T
F	F	F

Think of p, q, r as statement variables.

Definition

A statement form is made up from statement variables (p, q, r) and the symbols \sim, \wedge, \vee with unambiguous parentheses.

Example

$$P = \sim (p \vee r) \wedge (\sim r)$$

is a statement form. How many rows will a truth table for P need? We realise we have 3 statement variables, so we will need $2^3 = 8$ rows.

1.2 Logical Equivalence

Definition

Two statement forms P and Q are logically equivalent, denoted $P \equiv Q$, if they have identical truth values for every possible combination of truth values for their statement variables.

Example

$$\sim (\sim p) \equiv p$$

1.2.1 Demorgan's Law

$$\sim (p \wedge q) \equiv \sim p \vee \sim q$$

$$\sim (p \vee q) \equiv \sim p \wedge \sim q$$

1.2.2 Commutativity

$$p \wedge q \equiv q \wedge p$$

$$p \vee q \equiv q \vee p$$

1.2.3 Associativity

$$p \wedge (q \wedge r) \equiv (p \wedge q) \wedge r$$

$$p \vee (q \vee r) \equiv (p \vee q) \vee r$$

1.2.4 Distributive Laws

$$p \wedge (q \vee r) \equiv (p \wedge q) \vee (p \wedge r)$$

$$p \vee (q \wedge r) \equiv (p \vee q) \wedge (p \vee r)$$

1.2.5 Double Negative

$$\sim (\sim p) \equiv p$$

1.2.6 Idempotent Laws

$$p \wedge p \equiv p$$

$$p \vee p \equiv p$$

1.2.7 Absorption Laws

$$p \vee (p \wedge q) \equiv p$$

$$p \wedge (p \vee q) \equiv p$$

1.2.8 Tautolgy and Contradiction

Definition

A tautolgy is a statement form which always takes the truth value *true* for all possible truth values of its variables.

Example

p	$\sim p$	$p \vee \sim p$
T	F	T
F	T	T

Definition

A contradiction is a statement form which always takes the truth value *false* for all possible truth values of its variables.

Example

p	$\sim p$	$p \wedge \sim p$
T	F	F
F	T	F

1.2.9 Identity Laws

$$p \wedge (\text{tautolgy}) \equiv p$$

$$p \vee (\text{contradiction}) \equiv p$$

1.2.11 Negation Laws

$$p \vee \sim p \equiv \text{tautolgy}$$

$$p \wedge \sim p \equiv \text{contradiction}$$

1.2.10 Universal Bound Law

$$p \vee (\text{tautolgy}) \equiv (\text{tautolgy})$$

$$p \wedge (\text{contradiction}) \equiv (\text{contradiction})$$

1.2.12 Negations

$$\sim (\text{tautolgy}) \equiv \text{contradiction}$$

$$\sim (\text{contradiction}) \equiv \text{tautolgy}$$

1.3 Conditional Statements

Definition

Let p and q be statement variables. The conditional from p to q , denoted $p \rightarrow q$ (read as “ p implies q ” or “if p then q ”), is defined by the following truth table:

p	q	$p \rightarrow q$
T	T	T
T	F	F
F	T	T
F	F	T

1.3.1 Expressing the Conditional with Logical Connectives

$$p \rightarrow q \equiv \sim p \vee q$$

1.3.2 Contrapositive

Definition

The contrapositive of $p \rightarrow q$ is $\sim q \rightarrow \sim p$. These are logically equivalent.

1.3.3 Negation of $p \rightarrow q$

$$\sim (p \rightarrow q) \equiv p \wedge \sim q$$

1.3.4 Biconditional Statements

Definition

Let p and q be statement variables. The biconditional of p and q , denoted $p \leftrightarrow q$ (read as “ p if and only if q ”), is defined by the following truth table:

p	q	$p \leftrightarrow q$
T	T	T
T	F	F
F	T	F
F	F	T

1.4 Arguments

Definition

Given a collection of statements p_1, p_2, \dots, p_n (called premises) and another statement q (called the conclusion), an argument is the assertion that the conjunction of the premises implies the conclusion. Symbolically, this is represented as

$$\begin{array}{c}
p_1 \\
p_2 \\
\vdots \\
p_n \\
\hline
\therefore q
\end{array}$$

Definition

An argument is valid if whenever all of the premises are true, the conclusion is also true.

Thus, an argument is valid if

$$(p_1 \wedge p_2 \wedge \dots \wedge p_n) \rightarrow q$$

is a tautology.

1.4.1 Rules of Inference

Modus Ponens

$$\begin{array}{c}
p \rightarrow q \\
p \\
\hline
\therefore q
\end{array}$$

Modus Tollens

$$\begin{array}{l}
p \rightarrow q \\
\sim q \\
\therefore \sim p
\end{array}$$

Elimination

$$\begin{array}{ll}
p \vee q & p \vee q \\
\sim q & \sim p \\
\therefore p & \therefore q
\end{array}$$

Generalisation

$$\begin{array}{ll}
p & q \\
\therefore p \vee q & \therefore p \vee q
\end{array}$$

Transitivity

$$\begin{array}{l}
p \rightarrow q \\
q \rightarrow r \\
\therefore p \rightarrow r
\end{array}$$

Specialisation

$$\begin{array}{ll}
p \wedge q & p \wedge q \\
\therefore p & \therefore q
\end{array}$$

Proof by Division into Cases

$$\begin{array}{l}
p \vee q \\
p \rightarrow r \\
q \rightarrow r \\
\therefore r
\end{array}$$

Conjunction

$$\begin{array}{l}
p \\
q \\
\therefore p \wedge q
\end{array}$$

Contradiction rule

$$\begin{array}{l}
\sim p \rightarrow (\text{contradiction}) \\
\therefore p
\end{array}$$

1.5 Quantified Statements

Definition

A predicate is a sentence that contains finitely many variables, and which becomes a statement if the variables are given specific values. The domain of each variable in a predicate is the set of all possible values that may be assigned to it.

Definition

The truth set of a predicate $P(x)$ is the set of all values in the domain that, when assigned to x , make $P(x)$ a true statement.

Example

Let $P(x)$ be the predicate “ x divides 5” with the set of integers as the domain of x . The truth set of $P(x)$ is $\{-5, -1, 1, 5\}$

1.5.1 Common Domains

Domain	Symbol	Example
Integers	\mathbb{Z}	$\{\dots, -3, -2, -1, 0, 1, 2, 3, \dots\}$
Positive Integers	\mathbb{Z}^+	$\{1, 2, 3, \dots\}$
Non-negative Integers	$\mathbb{Z}^{\text{nonneg}}$ or $\mathbb{Z}^{\geq 0}$	$\{0, 1, 2, 3, \dots\}$
Natural Numbers	\mathbb{N}	$\{1, 2, 3, \dots\}$
Rational Numbers	\mathbb{Q}	$\{\frac{a}{b} a, b \in \mathbb{Z} \wedge b \neq 0\}$
Real Numbers	\mathbb{R}	the entire number line

Definition

The Universal Quantifier: The symbol \forall denotes “for all” (or “for each” or “for every”) and is called the universal quantifier. Let $Q(x)$ be a predicate and D be the domain of x . The universal statement

$$\forall x \in D, Q(x)$$

is true if and only if $Q(x)$ is true for every x in D . It is false if and only if $Q(x)$ is false for at least one x in D .

Definition

The Existential Quantifier: The symbol \exists denotes “there exists” (or “there is” or “there are”) and is called the existential quantifier. Let $Q(x)$ be a predicate and D be the domain of x . The existential statement

$$\exists x \in D \text{ such that } Q(x)$$

is true if and only if $Q(x)$ is true for at least one x in D . It is false if $Q(x)$ is false for every x in D .

1.5.2 Universal Conditional Statements

One of the most important statement forms in mathematics is

$$\forall x \in D \text{ if } P(x) \text{ then } Q(x)$$

or equivalently,

$$\forall x \in D, (P(x) \rightarrow Q(x))$$

1.5.3 Negation

Recall universal statement

$$\forall x \in D, Q(x)$$

The negation of this statement is logically equivalent to

$$\exists x \in D \text{ such that } \sim Q(x)$$

Recall existential statement

$$\exists x \in D \text{ such that } R(x)$$

The negation of this statement is logically equivalent to

$$\forall x \in D, \sim R(x)$$

Recall universal conditional statement

$$\forall x \in D \text{ if } P(x) \text{ then } Q(x)$$

The negation of this statement is logically equivalent to

$$\exists x \in D \text{ such that } \sim (P(x) \rightarrow Q(x))$$

which is

$$\exists x \in D \text{ such that } P(x) \wedge \sim Q(x)$$

2 Number Theory

2.1 Modulo Arithmetic

2.1.1 Floor and Ceiling

Definition

Given any $x \in \mathbb{R}$, the floor of x , denoted $\lfloor x \rfloor$, is the unique integer n such that $n \leq x < n + 1$

Given any $x \in \mathbb{R}$, the ceiling of x , denoted $\lceil x \rceil$, is the unique integer n such that $n - 1 < x \leq n$

2.2 Euclidean Algorithm

Definition

For integers $a, b \in \mathbb{Z}$, not both zero, the greatest common divisor of a and b , denoted $\gcd(a, b)$, is the integer d which satisfies the following two properties:

- $d|a$ and $d|b$
- for all $c \in \mathbb{Z}$, if $c|a$ and $c|b$, then $c \leq d$

Thus d is the largest integer for which $d|a$ and $d|b$

If $\gcd(a, b) = 1$ then a and b have no common factors other than ± 1 and we call a and b co-prime or relatively prime.

Fact: If a and b are integers with $b \neq 0$ and if q and r are integers such that

$$a = bq + r$$

then

$$\gcd(a, b) = \gcd(b, r)$$

Definition

The Euclidean Algorithm: to find $\gcd(a, b)$ where $a, b \in \mathbb{Z}$ and $a \geq b > 0$,

- write $a = bq + r$, as in the quotient-remainder theorem
- if $r = 0$, then terminate with $\gcd(a, b) = b$
- otherwise replace (a, b) with (b, r) and repeat

Definition

For non-zero integers $a, b \in \mathbb{Z}$, the lowest common multiple of a and b is the smallest positive integer n for which $a|n$ and $b|n$. We write this as $\text{lcm}(a, b)$

Fact: suppose $a, b \in \mathbb{Z}$ where $a \geq b > 0$. Then

$$\gcd(a, b) \cdot \text{lcm}(a, b) = a \cdot b$$

2.3 Sequences

Definition

A sequence is an ordered list of elements. It can be infinite or finite. Each individual element is called a term. We often denote the terms of sequences by lower case letters with subscripts.

An explicit formula or general formula for a sequence is a rule showing how the value of a general term a_k depends upon k .

Example

$$1, 2, 3, 4, 5, \dots$$

The listed terms a_0, a_1, a_2, \dots follow a pattern, where $a_k = 2^k$.

Different notations are used to denote such a sequence, such as

$$\{2^k\}_{k \geq 0} \text{ or } \{2^k\}_{k=0}^{\infty} \text{ or } (2^k)_{k \geq 0} \text{ or } (2^k)_{k=0}^{\infty}$$

Example

Write the first 5 terms of $\left\{\frac{(-1)^n}{n}\right\}_{n \geq 1}$

$$a_1 = -1, a_2 = \frac{1}{2}, a_3 = -\frac{1}{3}, a_4 = \frac{1}{4}, a_5 = -\frac{1}{5}$$

Definition

An alternating sequence is a sequence in which the terms alternate between positive and negative, such as the previous example.

It is often useful to find a general term from initial terms.

Example

Find a general formula for a sequence that has the following initial terms

$$2, \frac{3}{4}, \frac{4}{9}, \frac{5}{16}, \frac{6}{25}, \frac{7}{36}, \dots$$

Let a_n denote the general term and suppose the initial term is a_1 . Observe that the denominator of each term is a perfect square and we can rewrite the terms as

$$\frac{1+1}{1^2}, \frac{2+1}{2^2}, \frac{3+1}{3^2}, \frac{4+1}{4^2}, \frac{5+1}{5^2}, \frac{6+1}{6^2}, \dots$$

Thus, the general term

$$a_n = \frac{n+1}{n^2}$$

or, the sequence $\left\{\frac{n+1}{n^2}\right\}_{n \geq 1}$ has the given initial terms.

2.4 Summation Notation

We use greek capital Sigma Σ to indicate a sum. If $m, n \in \mathbb{Z}$ and $m \leq n$, then

$$\sum_{i=m}^n a_i = a_m + a_{m+1} + \cdots + a_{n-1} + a_n$$

2.5 Dummy Variable

Example

The variable i in $\sum a_i$ is a dummy variable. You can use any letter here, as long as it does not have another meaning.

2.6 Product Notation

We use greek capital Pi Π to indicate a product. If $m, n \in \mathbb{Z}$ and $m \leq n$, then

$$\prod_{i=m}^n a_i = a_m \cdot a_{m+1} \cdot \cdots \cdot a_{n-1} \cdot a_n$$

2.7 Factorial

For $n \in \mathbb{Z}^+$, we define $n!$ (read “ n factorial”) to be

$$n! = n(n-1)(n-2) \cdots 3 \cdot 2 \cdot 1 = \prod_{i=1}^n$$

also, $0! = 1$

2.8 Properties of Summation and Product Notation

If $a_m, a_{m+1}, a_{m+2}, \dots$ and $b_m, b_{m+1}, b_{m+2}, \dots$ are sequences of real numbers, and c is any real number, then, for any integer $n \geq m$, the following hold.

1. $\sum_{i=m}^n a_i \pm \sum_{i=m}^n b_i = \sum_{i=m}^n a_i \pm b_i$
2. $\sum_{i=m}^n ca_i = c \sum_{i=m}^n a_i$
3. $\left(\prod_{i=m}^n a_i \right) \left(\prod_{i=m}^n b_i \right) = \prod_{i=m}^n a_i b_i$

2.9 Mathematical Induction

Definition

The Principle of Mathematical Induction.

Let $P(n)$ be a predicate that is defined for every integer $n \geq a$, where a is some fixed integer. Suppose

1. $P(a)$ is true.
2. For every integer $k \geq a$, $P(k) \rightarrow P(k+1)$

Then $P(n)$ is true for every integer $n \geq a$

2.9.1 Strong Mathematical Induction

Definition

The Principle of Strong Mathematical Induction.

Let $P(n)$ be a predicate that is defined for every integer $n \geq a$, where a is some fixed integer, and let b be an integer where $b \geq a$. Suppose:

- *Base step:* $P(a), P(a+1), \dots, P(b)$ are all true
- *Inductive Step:* For every integer $x \geq b$, if $P(a), P(a+1), \dots, P(k)$ are all true, then $P(k+1)$ is true.

The $P(n)$ is true for every integer $n \geq a$.

Prove that for every integer $n \geq 8$, we can form n cent postage using only 3c and/or 5c stamps.

Before starting a proof, we observe:

$$8 = 5 + 3 \rightarrow 11 = (5 + 3) + 3$$

$$9 = 3 + 3 + 3 \rightarrow 12 = (3 + 3 + 3) + 3$$

$$10 = 5 + 5 \rightarrow 13 = (5 + 5) + 3$$

We can now use this idea in a formal proof.

Proof. Let $P(n)$ be the predicate “ n cent postage can be formed using only 3c and/or 5c stamps”

Basis Step: We can prove $P(8), P(9), P(10)$ direction, since

$$8 = 5 + 3$$

$$9 = 3 + 3 + 3$$

$$10 = 5 + 5$$

Inductive Hypothesis: Suppose that for some integer $k \geq 10$, $P(8), \dots, P(k)$ are all true. We will use this to prove $P(k+1)$.

Since $k \geq 10$, we have $k-2 \geq 8$. Thus, by the Inductive Hypothesis, we can form $(k-2)c$ using 3c and 5c stamps. Now we can add 1 more 3c stamp to make $(k+1)c$ postage and so $P(k+1)$ is true.

Therefore, by strong induction, it follows that $P(n)$ is true for every integer $n \geq 8$. □

2.9.2 Well Ordering Principle

Definition

The *Well Ordering Principle* for the integers.

If S is a non-empty set of integers, all of which are greater than some fixed integer, then S has a least element.

3 Recursive Definitions

Example

The sequence

$$1, 1, 2, 3, 5, 8, 13, 21, 34, 55, \dots$$

is called the Fibonacci Sequence

Definition

A recurrence relation for a sequence a_0, a_1, a_2, \dots is a formula that relates each term a_k to some of its predecessors a_{k-1}, \dots, a_{k-i} where $i \in \mathbb{Z}$ and $k - i \geq 0$

The initial conditions for such a recurrence relation specify the values of some of the initial terms.

Example

The Fibonacci sequence is defined recursively by

$$F_0 = 1, F_1 = 1, \text{ and } F_n = F_{n-1} + F_{n-2}$$

3.1 Ways to Define Sequences

A sequence can be defined

- *informally*, by listing the first few terms of the sequence until the pattern becomes obvious
- *with a general formula*, by stating how a term a_n depends on n and stating where it starts
- *recursively*, by giving a recurrence relation relating terms in the sequence to earlier ones and also some initial conditions

3.2 Showing a Sequence Satisfies a Recurrence Relation

Example

Show that the sequence

$$a_k = 3 \cdot 2^k, \text{ for } k \geq 0$$

satisfies the recurrence relation

$$a_n = 2a_{n-1}, \text{ for } n \geq 1$$

The sequence is $\{3 \cdot 2^k\}_{k \geq 0} = 3, 6, 12, 24, 48, \dots$

For every integer $n \geq 1$ we have $a_n = 3 \cdot 2^n$ and $a_{n-1} = 3 \cdot 2^{n-1}$

Hence,

$$a_n = 3 \cdot 2^n = 3 \cdot 2 \cdot 2^{n-1} = 2(3 \cdot 2^{n-1}) = 2a_{n-1}$$

3.3 Generalised

We have seen that sequences of numbers can be defined recursively. Many other objects can be defined recursively as well, such as: sets, sums, products and function.

A recursive definition for a set of objects requires three things:

1. BASE: a statement that a certain object belongs in the set
2. RECURSION: a collection of rules showing how to form new objects for the set from existing ones in the set
3. RESTRICTION: a statement that no objects belong to the set other than those arising from steps 1 and 2

Example

Consider the set of all valid bracketings. Every left bracket (is matched with a right bracket) and at every stage, reading left to right, there are at least as many left brackets as right brackets.

$(())()$ is valid

$()()()$ is valid

$()()()$ is invalid

Recursive definition of the set of valid brakcetings

1. Base: an empty expression with no brackets is valid
2. Recursion:
 - (a) if B is valid, the (B) is also valid
 - (b) if B and C are valid, then BC is also valid
3. Restriction: Any expression not derived from the rules above is not valid

Definition

Arithmetic Sequences are defined as such:

$$a_k = a_{k-1} + d \quad a_n = a_0 + dn$$

Geometric Sequences are defined as such:

$$a_k = r \cdot a_{k-1} \quad a_n = a_0 \cdot r^n$$

4 Functions

4.1 One-to-one

Definition

Let f be a function from a set X to a set Y . The function f is one-to-one (or injective) if and only if for all elements x_1 and x_2 in X ,

$$\text{if } f(x_1) = f(x_2), \text{ then } x_1 = x_2$$

Or, equivalently, for all elements x_1 and x_2 in X ,

$$\text{if } x_1 \neq x_2, \text{ then } f(x_1) \neq f(x_2)$$

A function $f : X \rightarrow Y$ is not one-to-one if and only if there exist some x_1 and x_2 in X such that $f(x_1) = f(x_2)$ and $x_1 \neq x_2$

1. To prove a function $f : X \rightarrow Y$ is one-to-one, we typically use a direct proof:
 - (a) suppose x_1 and x_2 are element of X , and $f(x_1) = f(x_2)$
 - (b) show that $x_1 = x_2$
2. To prove that a function $f : X \rightarrow Y$ is not one-to-one, we typically find elements x_1 and x_2 in X such that $f(x_1) = f(x_2)$ but $x_1 \neq x_2$

Example

Let $f : \mathbb{R} \rightarrow \mathbb{R}$ be defined by $f(x) = x^2$. Is f one-to-one?

Proof that f is not one-to-one:

Proof. Take $x_1 = 2$ and $x_2 = -2$

Since $f(2) = 4$ and $f(-2) = 4$, we have found different elements of the domain with the same image. Thus f is not one-to-one. \square

4.2 Onto

Definition

Let f be a function from a set X to a set Y . The function f is onto (or surjective) if and only if given any element $y \in Y$, it is possible to find an element $x \in X$ with the property that $y = f(x)$.

Equivalently, $f : X \rightarrow Y$ is onto if and only if $\forall y \in Y, \exists x \in X$ such that $f(x) = y$

A function $f : X \rightarrow Y$ is not onto if and only if there exists some $y \in Y$ such that for all $x \in X$, $f(x) \neq y$.

- To prove that a function $f : X \rightarrow Y$ is onto, we usually
 - suppose that $y \in Y$
 - construct an element x of X with $f(x) = y$
- To prove that a function $f : X \rightarrow Y$ is not onto, we usually
 - find an element $y \in Y$ such that $y \neq f(x)$ for any $x \in X$

4.3 Inverse

Theorem

Suppose some function $f : X \rightarrow Y$ is a bijection. Then,

$$\exists f^{-1} : Y \rightarrow X$$

That is defined,

Given any $y \in Y$, $f^{-1}(y) = x$ for some unique element $x \in X$ such that $f(x) = y$.

4.4 Composition of Functions

Let $f : X \rightarrow Y$ and $g : Y \rightarrow Z$ be functions.

The composition of f and g is the function $g \circ f : X \rightarrow Z$.

This is defined by $(g \circ f)(x) = g(f(x))$, $\forall x \in X$.

The domain of $g \circ f$ is X and the co-domain is Z .

The range of $g \circ f$ is the image under g of the range of f .

Theorem

If $f : X \rightarrow Y$ is a function and η_x is the identity function on x and η_y is the identity function on Y then,

$$f \circ \eta_x = f \quad \eta_y \circ f = f$$

Theorem

Let $f : X \rightarrow Y$ be a bijection with inverse function $f^{-1} : Y \rightarrow X$. Then, $f^{-1} \circ f = \eta_x$ and $f \circ f^{-1} = \eta_y$.

Theorem

If $f : X \rightarrow Y$, $g : Y \rightarrow Z$ are both injective functions, then $g \circ f$ is injective.

Theorem

If $f : X \rightarrow Y$, $g : Y \rightarrow Z$ are both onto functions, then $g \circ f$ is onto.

5 Set Theory

Together with logic, set theory provides a significant foundation of mathematics.

Definition

A set S is a collection of objects, which are called the elements of S .

If x is in S , we write $x \in S$. If not, we write $x \notin S$.

We can sometimes list the elements of S with curly braces:

$$S = \{x_1, x_2, x_3, \dots\}$$

The order of elements, and repetitions are ignored.

You may define a set by a *property* that its element must satisfy.

$$A = \{x \in S | P(x)\}$$

means that the elements of A are precisely those elements of S for which the predicate $P(x)$ is true.

The elements of a set can be sets themselves.

Definition

If A and B are sets, A is called a subset of B , written $A \subseteq B$, if and only if every element of A is also an element of B .

$$A \subseteq B \implies \forall x, x \in A \rightarrow x \in B$$

Note: every set is a subset of itself.

Definition

Two sets are equal if they contain the same elements.

Definition

The empty set is the set containing no elements and is denoted by \emptyset .

$$\emptyset = \{\}$$

5.1 Operations on Sets

Let A and B be any sets.

The union of sets A and B , denoted $A \cup B$, is the set of all elements x such that $x \in A$ or $x \in B$ (or both).

$$A \cup B = \{x | x \in A \text{ or } x \in B\}$$

The intersection of sets A and B , denoted $A \cap B$, is the set of all elements x such that $x \in A$ and $x \in B$.

$$A \cap B = \{x | x \in A \text{ and } x \in B\}$$

The set difference of B minus A , denoted $B - A$, and sometimes $B \setminus A$, is the set of all elements x such that $x \in B$ and $x \notin A$.

$$B - A = \{x | x \in B \text{ and } x \notin A\}$$

If the sets we are considering are all subsets of some set U , called the universal set, then $U - A$ is called the complement of A and is denoted A^c .

$$A^c = \{x \in U | x \notin A\}$$

5.2 More Definitions for Sets

Definition

For any set S , the power set of S , denoted by $\mathcal{P}(S)$, is the set of all subsets of S .

$$\mathcal{P}(S) = \{X | X \subseteq S\}$$

Example

For set $S = \{1, 3\}$, the power set will be $\mathcal{P}(S) = \{\emptyset, \{1\}, \{3\}, \{1, 3\}\}$

If $|S| = n$, then $|\mathcal{P}(S)| = 2^n$

Definition

Two sets A and B are disjoint if and only if $A \cap B = \emptyset$

Definition

Sets A_1, A_2, A_3, \dots are mutually disjoint (or pairwise disjoint or nonoverlapping) if and only if $A_i \cap A_j = \emptyset$ whenever $i \neq j$.

Definition

A finite or infinite collection of non-empty sets $\{A_1, A_2, A_3, \dots\}$ is a partition of a set A if and only if A is the union of all the A_i and A_1, A_2, A_3, \dots are mutually disjoint.

Definition

Let $n \in \mathbb{Z}^+$ and let x_1, x_2, \dots, x_n be n not necessarily distinct elements. The ordered n-tuple, denoted (x_1, x_2, \dots, x_n) , consists of the n elements with their ordering: first x_1 , then x_2 , and so on up to x_n .

When $n = 2$, we call this an ordered pair. When $n = 3$, we call this an ordered triple.

Definition

The Cartesian Product of sets A and B , denoted $A \times B$ is

$$A \times B = \{(a, b) | a \in A, b \in B\}$$

5.3 Intervals

Given $a, b \in \mathbb{R}$ with $a \leq b$,

$$(a, b) = \{x \in \mathbb{R} | a < x < b\} \quad \text{open interval}$$

$$[a, b] = \{x \in \mathbb{R} | a \leq x \leq b\} \quad \text{closed interval}$$

$$(a, b] = \{x \in \mathbb{R} | a < x \leq b\} \quad \text{closed interval}$$

and similarly for $[a, b)$.

5.4 Cardinality

Definition

The cardinality of a set is a measure of how large it is.

We say that two sets X and Y have the same cardinality if and only if there is a bijection between them. We write this as $|X| = |Y|$

If $|X| = |Y|$ and $|Y| = |Z|$, then $|X| = |Z|$

A finite set is either one which has no elements at all, or one for which there exists a bijection with a set of the form $\{1, 2, \dots, n\}$ for some fixed positive integer n .

An infinite set is a non-empty set for which there does not exist any bijection with a set of the form $\{1, 2, \dots, n\}$ for any positive integer n .

5.4.1 Finite Sets

Theorem

Suppose X and Y are finite sets.

1. if $|X| > |Y|$, then there is no injective function $f : X \rightarrow Y$
2. if $|X| < |Y|$, then there is no surjective function $f : X \rightarrow Y$
3. There is a bijection $f : X \rightarrow Y$ if and only if $|X| = |Y|$

Corollary: For finite sets X and Y with $|X| = |Y|$, the following statements are equivalent:

$$f : X \rightarrow Y \quad \text{is injective}$$

$$f : X \rightarrow Y \quad \text{is surjective}$$

$$f : X \rightarrow Y \quad \text{is bijective}$$

5.4.2 Infinite Sets

Let $2\mathbb{Z} = \{n | n = 2k \text{ for some } k \in \mathbb{Z}\}$. Prove that $|\mathbb{Z}| = |2\mathbb{Z}|$

Proof. Define a function $f : \mathbb{Z} \rightarrow 2\mathbb{Z}$ as follows:

$$f(k) = 2k \quad \text{for every } k \in \mathbb{Z}$$

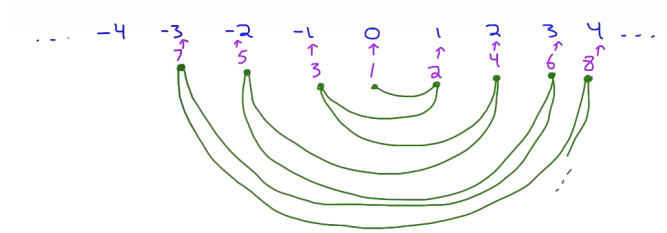
To show f is injective: Suppose $k_1, k_2 \in \mathbb{Z}$ and $f(k_1) = f(k_2)$. Then $2k_1 = 2k_2$, so, by dividing both sides by 2, we have $k_1 = k_2$. Hence f is injective.

To show f is surjective: Suppose $n \in 2\mathbb{Z}$. Then $n = 2k$ for some $k \in \mathbb{Z}$. Hence $f(k) = 2k = n$ so f is surjective. Thus f is a bijection from \mathbb{Z} to $2\mathbb{Z}$

$$\therefore |\mathbb{Z}| = |2\mathbb{Z}|$$

□

Fact: $|\mathbb{Z}^+| = |\mathbb{Z}|$.



The function $f : \mathbb{Z}^+ \rightarrow \mathbb{Z}$ defined by

$$f(n) = \begin{cases} \frac{n}{2} & \text{if } n \in \mathbb{Z}^+ \text{ is even} \\ -\frac{n-1}{2} & \text{if } n \in \mathbb{Z}^+ \text{ is odd} \end{cases}$$

is a bijection.

5.5 Counting Sets

Definition

A set is called countably infinite if and only if it has the same cardinality as the set of positive integers \mathbb{Z}^+

Example

\mathbb{Z} , $2\mathbb{Z}$, \mathbb{Q}^+ , \mathbb{Q} are all countably infinite.

Definition

A set is called countable if and only if it is finite or countably infinite. A set that is not countable is called uncountable.

Theorem

Any subset of any countable set is countable.

Corollary: any set with an uncountable subset is uncountable.

Theorem

The set $\{x \in \mathbb{R} | 0 < x < 1\}$ is uncountable.

Note: every real number between 0 and 1 has a unique decimal representation except that

$$0.199999 \dots = 0.2000 \dots$$

and for such numbers, we agree to take the one that ends in all 0's.

Proof. Suppose the theorem is false. Then $\{x \in \mathbb{R} | 0 < x < 1\}$ is countable, so the decimal representations of these numbers can be written in a list.

$$\begin{array}{l} 0.a_{11}a_{12}a_{13}a_{14}\dots a_{1n}\dots \\ 0.a_{21}a_{22}a_{23}a_{24}\dots a_{2n}\dots \\ 0.a_{31}a_{32}a_{33}a_{34}\dots a_{3n}\dots \\ \vdots \end{array}$$

We now construct a new decimal number

$$d = 0.d_1d_2d_3\dots d_n\dots$$

as follows

$$\begin{cases} 1 & \text{if } a_{nn} \neq 1 \\ 2 & \text{if } a_{nn} = 1 \end{cases}$$

For instance, take the above a numbers as

$$\begin{array}{l} 0.120411\dots \\ 0.201377\dots \\ 0.135600\dots \\ 0.897124\dots \\ \vdots \end{array}$$

So

$$d = 0.2112\dots$$

Note that for each integer $n \in \mathbb{Z}^+$, d differs from the n^{th} real number in the list because it differs in the n^{th} decimal place.

Thus, $d \in \{x \in \mathbb{R} | 0 < x < 1\}$ but d does not belong to the list of all real numbers between 0 and 1, which is a contradiction.

Therefore $\{x \in \mathbb{R} | 0 < x < 1\}$ is uncountable. □

This shows that since $(0, 1) \subseteq \mathbb{R}$ and $(0, 1)$ is uncountable, \mathbb{R} is uncountable.

5.5.1 Comparing Cardinalities

1. $|X| \leq |Y|$ if and only if \exists injective $f : X \rightarrow Y$
2. $|X| \geq |Y|$ if and only if \exists surjective $f : X \rightarrow Y$
3. $|X| < |Y|$ if and only if
 - (a) $\exists f : X \rightarrow Y$ such that f is injective
 - (b) $\nexists f : X \rightarrow Y$ such that f is bijective
4. $|X| > |Y|$ if and only if
 - (a) $\exists f : X \rightarrow Y$ such that f is surjective
 - (b) $\nexists f : X \rightarrow Y$ such that f is bijective

Definition

$|\emptyset| < |X|$ and $|X| > |\emptyset|$ for all $X \neq \emptyset$

5.5.2 The Schroder-Bernstein Theorem

If $|X| \leq |Y|$ and $|X| \geq |Y|$, then $|X| = |Y|$.

Thus, to show $|X| = |Y|$, it is enough to find

1. an injective function $X \rightarrow Y$ and
2. a surjective function $X \rightarrow Y$

OR

1. an injective function $X \rightarrow Y$ and
2. an injective function $Y \rightarrow X$

OR

1. a surjective function $X \rightarrow Y$ and
2. a surjective function $Y \rightarrow X$

This can be much easier than finding a bijection.

Example

Show $|\mathbb{Z}^+| = |\mathbb{Q}^+|$ using the Schroder-Bernstein theorem.

1. The function $f : \mathbb{Z}^+ \rightarrow \mathbb{Q}^+$ is defined by $f(n) = n \forall n \in \mathbb{Z}^+$ is injective $\therefore |\mathbb{Z}^+| \leq |\mathbb{Q}^+|$
2. Let $g : \mathbb{Q}^+ \rightarrow \mathbb{Z}^+$ be defined as follows: for each $q \in \mathbb{Q}^+$, let $q = \frac{a}{b}$ where $a, b \in \mathbb{Z}^+$, $b \neq 0$ and $\gcd(a, b) = 1$ and let $g(q) = 2^a 3^b$.

Proof that g is injective:

Let $q_1 = \frac{a}{b}$ and $q_2 = \frac{c}{d}$ as described above and suppose $g(q_1) = g(q_2)$. Then $2^a 3^b = 2^c 3^d$. By unique prime factorisation, $a = c$ and $b = d$. Hence, $q_1 = q_2$ so g is injective. $\therefore |\mathbb{Q}^+| \leq |\mathbb{Z}^+|$.

5.6 Relations on Sets

Definition

Given sets A and B , a binary relation R from A to B is a subset of $A \times B$. If $(x, y) \in R$ we also write xRy and say that x is related to y . Other symbols may be used to denote a relation (ρ, σ, τ , etc)

Definition

If R is a binary relation from A to B , the inverse relation R^{-1} is defined from B to A by

$$R^{-1} = \{(b, a) \in B \times A | (a, b) \in R\}$$

So for all $a \in A$ and $b \in B$,

$$(b, a) \in R^{-1} \text{ if and only if } (a, b) \in R$$

A relation on a set A , is a relation from A to A .

Definition

Let R be a relation on a set A .

1. R is reflexive if and only if $\forall x \in A, xRx$
2. R is symmetric if and only if $\forall x, y \in A$ if xRy then yRx
3. R is transitive if and only if $\forall x, y, z \in A$ if xRy and yRz , then xRz

Note that a relation R on a set A is symmetric if and only if $R = r^{-1}$.

Definition

R is an equivalence relation if R is symmetric, reflexive and transitive.

Definition

Let R be an equivalence relation on a set A and let $a \in A$.

The equivalence class of a is

$$[a] = \{x \in A | xRa\}$$

So, $\forall x \in A \quad x \in [a] \iff xRa$

Theorem

If R is an equivalence relation on a set A and $a, b \in A$ satisfy aRb , then $[a] = [b]$.

Theorem

If R is an equivalence relation on a set A and $a, b \in A$ and $[a] \cap [b] \neq \emptyset$ then $[a] = [b]$.

Theorem

If R is an equivalence relation on a set A then the set of equivalence classes of R forms a partition of A . That is, the union of all the classes is A and the intersection of any two distinct classes is empty.

Given a partition of a set A , the binary relation R induced by the partition is defined:

for all $x, y \in A, xRy \iff$ there is a set in the partition containing both x and y .

Theorem

Given a partition of a set A , and the binary relation R , induced by the partition, it follows that R is reflexive, symmetric and transitive.

Definition

A relation R on a set A is antisymmetric if and only if $\forall a, b \in A$ if aRb and bRa then $a = b$

Definition

Let R be a relation on a set A . Elements $a, b \in A$ are comparable if and only if aRb or bRa . Otherwise, a and b are non-comparable.

Definition

Let R be a relation on a set A . R is a total order relation if and only if, R is a partial order relation such that all elements are comparable.

That is, R is a total order relation if and only if: Reflexive, antisymmetric, transitive and $\forall a, b \in A$ either aRb or bRa .

6 Groups

Definition

Let G be a set and let $*$ be a binary operation $*$: $G \rightarrow G$. We call $(G, *)$ a *group* if it has the following properties:

1. Closure: for all $g, h \in G$, $g * h \in G$
2. Associative: for all $g, h, k \in G$, $(g * h) * k = g * (h * k)$
3. Identity: there exists some element $e \in G$ such that $e * g = g * e = g \ \forall g \in G$
4. Inverses: for all $g \in G$ there exists some element $g^{-1} \in G$ such that $g * g^{-1} = g^{-1} * g = e$

Definition

For a positive integer n , let \mathbb{Z}_n denote the equivalence classes of integers modulo n

$$\mathbb{Z}_n = \{[0], [1], [2], \dots, [n-1]\}$$

Define $+$: $\mathbb{Z}_n \times \mathbb{Z}_n \rightarrow \mathbb{Z}_n$ by

$$[a] + [b] = [a + b]$$

Define \cdot : $\mathbb{Z}_n \times \mathbb{Z}_n \rightarrow \mathbb{Z}_n$ by

$$[a] \cdot [b] = [a \cdot b]$$

Definition

A group is abelian if the operation $*$ is commutative. That is,

$$\forall g, h \in G \quad g * h = h * g$$

Theorem

Identity element is unique.

Every element in a group has a unique inverse.

6.1 Subgroup

Definition

Let $(G, *)$ be a group and let $H \subseteq G$. We say that H is a *subgroup* of G if $(H, *)$ is itself a group.

That is, it is a subgroup of G if

1. for all $g, h \in H$, we have $g * h \in H$ (closure)
2. if e is the identity for $(G, *)$ then $e \in H$ (identity)
3. for all $h \in H$, if h^{-1} is the inverse of h in $(G, *)$, then $h^{-1} \in H$ (inverses)

We write $H \leq G$ to denote H is a subgroup of G , when the context of groups is clear (i.e. not leq).

If $H \leq G$ and $H \neq G$, we say H is a proper subgroup of G .

If e is the identity of group G , the trivial subgroup of G is $\{e\}$.

Definition

Given a group $G, *$ and an element $g \in G$, we can define the powers of g as follows.

1. g^k denotes $g * g * \dots * g$ k times for some $k \in \mathbb{Z}^+$
2. g^{-k} denotes $g^{-1} * g^{-1} * \dots * g^{-1}$ k times for some $k \in \mathbb{Z}^+$
3. g^0 denotes the identity element, e .

Definition

Let $a \in G$ be an element of a group $(G, *)$. We let,

$$\begin{aligned} \langle a \rangle &= \{a^k \mid k \in \mathbb{Z}\} \\ &= \{\dots, a^{-2}, a^{-1}, e, a^1, a^2, \dots\} \end{aligned}$$

We call this the set generated by a .

Note that $\langle a \rangle$ is a subgroup of G and is called the cyclic subgroup. If G has a cyclic subgroup, G is cyclic and that a is the generator of G .

Definition

Two groups $(G, *)$ and (H, \circ) are isomorphic if and only if there exists a bijection $f : G \rightarrow H$ such that for all $x, y \in G$,

$$f(x * y) = f(x) \circ f(y)$$

Such a bijection is called an isomorphism.

If G and H are isomorphic, then they must have the same properties. (number of elements, abelian, number of subgroups).

Theorem

For any prime P , $(\mathbb{Z}_p - \{0\}, \cdot)$ is isomorphic to $\mathbb{Z}_{p-1}, +$.

Theorem

Suppose $n, m \in \mathbb{Z}^+$.

$\mathbb{Z}_n \times \mathbb{Z}_m, +$ is isomorphic to $(\mathbb{Z}_{nm}, +)$ if and only if $\gcd(n, m) = 1$

7 Counting

Example

Suppose a restaurant has 5 types of cake and 2 types of ice cream to select for dessert.

1. How many choices for dessert are there if you select one cake and one ice cream? There are $5 \cdot 2 = 10$ choices. *This is a sequence of tasks - first, choose a dessert, then, choose an ice cream.*
2. How many choices for dessert are there if you select either one cake or one ice cream, but not both? There are $5 + 2 = 7$ choices. *These are two distinct cases.*

Example

Consider a password consisting of 3 letters from the set $\{A, B, C, \dots, Z\}$.

1. How many passwords are possible? There are 26 choices for each of the 1st, 2nd and 3rd letters, so there are

$$26 \cdot 26 \cdot 26 = 17576 \text{ possibilities}$$

2. How many passwords contain no repeated letters? There are 26 letters for the 1st letter, 25 for the 2nd and 24 for the 3rd.

$$\therefore 26 \cdot 25 \cdot 24 = 15600 \text{ possibilities}$$

3. How many passwords use only vowels or only consonants?

option 1 (only vowels) + option 2 (only consonants)

$$5^3 + 21^3 = 9386$$

Definition

A permutation of a set of objects is an arrangement of the objects into an order.

Example

How many permutations of the letters in the word *SWITCH* are there? i.e. SWITCH, CWITHS, etc.

$$\# \text{ of these} = 6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 6! = 720$$

Theorem

For any $n \in \mathbb{Z}^+$, the number of permutations of a set with n elements is $n!$.

Example

Consider the permutations of the letters of the word *OBJECTS*. How many permutations start with a vowel?

Option 1: start with “o”: $6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 6!$

Option 2: start with “e”: $6 \cdot 5 \cdot 4 \cdot 3 \cdot 2 \cdot 1 = 6!$

Answer: $6! + 6! = 1440$.

Example

Consider all license plates consisting of 3 digits from the set $\{0, 1, \dots, 9\}$ followed by 3 letters from the set $\{A, B, \dots, Z\}$

1. How many license plates are possible?

$$10 \cdot 10 \cdot 10 \cdot 26 \cdot 26 \cdot 26 = 17576000$$

2. How many license plates have no repeated symbols?

$$10 \cdot 9 \cdot 8 \cdot 26 \cdot 25 \cdot 24 = 11232000$$

3. How many license plates have at least one repeated symbol? We know the total number of license plates and the number of those with no repeated symbols, so the rest must have at least one repeated symbol.

$$\therefore 17576000 - 11232000 = 6344000$$

Let n and r to be non-negative integers.

Problem: *Select r elements from a set containing n elements. How many ways are there to do the selection?*

The answer depends on:

- whether or not order matters
- whether or not repetition is allowed

Case where order matters and repetition is allowed.

Example

We have a new ATM card and need to select a PIN. We may choose four digits from the set

$$\{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$$

Order matters, and we may repeat. How many PINs are there?

In each of the four selections we have 10 choices, and hence there are 10^4 possibilities.

Fact: the number of selections of r elements from a set containing n elements where order matters and repetition is allowed is n^r .

Case where order matters, and there is no repetition.

Example

If there are 7 runners, how many ways can 1st, 2nd and 3rd be awarded?

$$7 \cdot 6 \cdot 5 = 210$$

Definition

Let n and r be non-negative integers with $r \leq n$. An r -permutation of a set of n elements is an ordered selection of r elements taken from the set of n elements. The number of r -permutations of a set of n elements is denoted $P(n, r)$ or nPr .

Theorem

If $n, r \in \mathbb{Z}$ and $1 \leq r \leq n$, then

$$P(n, r) = n(n-1)(n-2) \dots (n-r+1) = \frac{n!}{(n-r)!}$$

Case where order doesn't matter, and repetition is not allowed.

Example

In how many ways can 5 students be selected from a class of 15 to form a committee?

If we assume order did matter, we would count as in case 2, to get

$$15 \cdot 14 \cdot 13 \cdot 12 \cdot 11 = 360360$$

But order does not matter, and each set of students was counted $5! = 120$ times, so the actual number of choices is

$$\frac{360360}{120} = 3003$$

Definition

Let n and r be non-negative integers with $r \leq n$. An r -combination of a set of n elements is a subset of r of the n elements. The number of r -combinations of a set of n elements is denoted $C(n, r)$ or nCr or, more commonly, $\binom{n}{r}$ which is read “ n choose r ”.

Theorem

If n and r are non-negative integers and $r \leq n$, then

$$\binom{n}{r} = \frac{P(n, r)}{r!} = \frac{n!}{r!(n-r)!}$$

Case where order does not matter, and repetition is allowed.

Example

Suppose a store has 4 large buckets, each with a different type of coloured candy: red, blue, yellow, pink. If you must select a total of 7 candies, how many different choices do you have?

Select 7 elements from $\{r, b, y, p\}$ (repetition allowed) where order doesn't matter (i.e. “rrbbyyy” is the same as “ryrybby”)

Answer = 120.

Example

How many permutations of the letters “ALFALFA” are there?

Method (1).

- select positions for the 3 A's
- select positions for the 2 F's
- put the 2 L's in the remaining positions

Answer:

$$\binom{7}{3} \cdot \binom{4}{2} \cdot 1 = 35 \cdot 6 = 210$$

note the 1 is really $\binom{2}{2} = 1$

Method (2). if the letters were distinct,

$$A_1 A_2 A_3 F_1 F_2 L_1 L_2$$

there would be $7! = 5040$ possibilities. Now, make the 3 A's indistinguishable. We have counted

$$A_1 F_1 F_2 L_2 L_1 A_2 A_3$$

and

$$A_2 F_1 F_2 L_2 L_1 A_1 A_3$$

as different solutions, so we have over counted by $3! = 6$ times. Thus, $\frac{5040}{6} = 840$ possible solutions.

Now make the 2 F's indistinguishable: we have over counted by 2 times, so we have $\frac{840}{2!} = 420$ possible solutions. Finally, make the 2 L's indistinguishable: we have over counted by $2!$ times, so we have $\frac{420}{2!} = 210$ possible solutions.

Theorem

Suppose you have n objects of which n_1 are of type 1, n_2 are of type 2, etc. The number of distinct permutations of the n objects is

$$\binom{n}{n_1} \binom{n-n_1}{n_2} \binom{n-n_1-n_2}{n_3} \cdots \binom{n-n_1-n_2-\cdots-n_{k-1}}{n_k} = \frac{n!}{n_1! n_2! \cdots n_k!}$$

7.1 Inclusion and Exclusion

Example

In a fishtank, there are 14 blue fish, 7 striped fish, and 4 fish that are both blue and striped. How many fish are blue or striped?

If we add the blue fish and the striped fish, we get $14 + 7 = 21$. However, we counted the blue striped fish twice (once for being blue, once for being striped), so the actual answer is $(14 + 7) - 4 = 17$.

Theorem

Let A and B be disjoint finite sets, the $|A \cup B| = |A| + |B|$

More generally,

Theorem

For any finite sets A and B , $|A \cup B| = |A| + |B| - |A \cap B|$

Definition

The Inclusion/Exclusion Principle (for 2 or 3 sets)...

If A, B, C are any finite sets, then

$$|A \cup B| = |A| + |B| - |A \cap B|$$

and

$$|A \cup B \cup C| = |A| + |B| + |C| - |A \cap B| - |A \cap C| - |B \cap C| + |A \cap B \cap C|$$

7.2 Pigeonhole Principle

Definition

The Pigeonhole Principle: Suppose you have n pigeons sitting in k pigeonholes. If $n > k$, then at least one of the pigeonholes contains at least two pigeons.

Example

5 pigeons, 4 holes, at least 1 hole must have more than 1 pigeon.

Equivalently, a function from a finite set to a smaller finite set cannot be one-to-one.

The contrapositive of the pigeonhole principle is: Suppose you have n pigeons sitting in k pigeonholes. If each pigeonhole contains at most one pigeon, then $n \leq k$.

Example

If you have 3 different colours in your drawer, what is the minimum number of socks you need to pull out in order to guarantee a matching pair?

Think of pigeons = socks, pigeonholes = colours. Pull out 4 socks to guarantee that at least two of them have the same colour.

Example

There are 680 people in a list. Must there be at least two people on the list with the same first and last initials? Explain.

pigeons = people, pigeonholes = initials.

There are $26 \cdot 26 = 676$ possible options for first and last initials. Since $680 > 676$, the pigeonhole principle implies at least 2 people must have the same initials.

Definition

The generalised pigeonhole principle

Suppose you have n pigeons sitting in k pigeonholes. If $n > k \cdot m$, then at least one of the pigeonholes contains at least $m + 1$ pigeons.

Contrapositive: suppose you have n pigeons sitting in k pigeonholes. If each pigeonhole contains at most m pigeons, then $n \leq km$.

Example

Show that in a group of 25 people, at least 3 must be born in the same month.

Let $n = 25$ and $m = 2$.

We have 25 pigeons (people) and 12 pigeonholes (months).

Since $n > 12 \cdot 2$, the generalised pigeonhole principle implies that there is a month which ≥ 3 people from the group have a birthday.

8 Graph Theory

Before we begin with formal definitions, let us start with an example. Suppose we have a group of people and some of them are friends. We may represent these by drawing a point for each person, and a line for each friendship. Obviously, the position of the dots and lines does *not* matter, just the connections.

Definition

A *graph* G consists of two finite sets:

- a non-empty set $V(G)$ of vertices, and
- a (possibly empty) set $E(G)$ of edges, where each edge is associated with a set $\{v, w\} \subseteq V(G)$

The vertices v and w are called the end points of the edge.

Example



This graph G has $V(G) = \{a, b, c, d\}$ and has 4 edges whose endpoints are

$$\{a, b\}, \{b, c\}, \{c, d\}, \{a, d\}$$

Definition

A loop is an edge whose endpoints are equal, which is denoted $\{v, v\}$ or $\{v\}$

Definition

Parallel edges (or multiple edges) are two or more edges with the same set of endpoints.

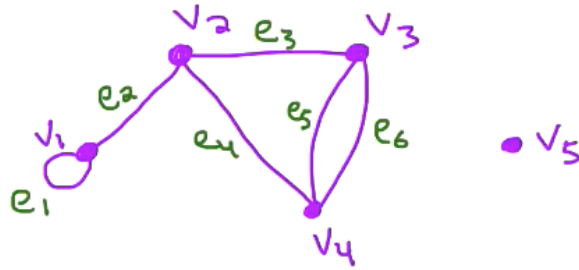
Definition

A simple graph is a graph with no loops or parallel edges.



Example

Referred to below.



Definition

An edge and a vertex are incident if and only if the vertex is an endpoint of the edge.

Ex. e_3 is incident with v_2 and v_3 . v_1 is incident with e_1 and e_2 .

Definition

Two edges are adjacent if they are incident with the same vertex.

The vertices are adjacent if they are connected by an edge (ie there is an edge they are both incident with).

Ex. e_2 and e_3 are adjacent. v_2 and v_4 are adjacent. v_1 and v_4 are non-adjacent.

Definition

An isolated vertex is a vertex which is incident with no edges. Namely, v_5 above.

Definition

The degree of a vertex v is the number of edges incident with v , where we count each loop *twice*. We write this as $\deg(v)$.

Theorem

The Handshake Theorem

Let G be a graph with n vertices

$$V(G) = \{v_1, v_2, v_3, \dots, v_n\}$$

Then

$$\sum_{i=1}^n \deg(v_i) = \deg(v_1) + \deg(v_2) + \dots + \deg(v_n) = 2 \cdot |E(G)|$$

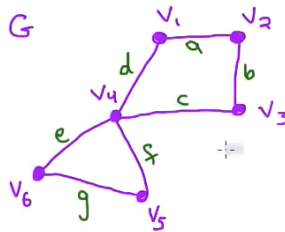
8.1 Getting Between Vertices

Let G be graph and let v and w be vertices in G . A *walk* from v to w is a finite alternating sequence

$$v_0 e_1 v_1 e_2 \dots v_{n-1} e_n v_n \quad (1)$$

of vertices and edges where $v_0 = v$, $v_n = w$, and e_i is an edge with endpoints $\{v_{i-1}, v_i\}$ for $i \in \{1, \dots, n\}$

Example



$v_5 f v_4 c v_3 c v_4 e v_6$ is a walk from v_5 to v_6 .

Also, $v_5 g v_6$ is a walk from v_5 to v_6 .

Definition

A graph G is connected if and only if, given any two vertices v and w in G , there is a walk from v to w .

A trail is a walk whose edges are distinct.

A circuit is a trail that starts and ends at the same vertex.

Definition

Let G be a graph. An Euler circuit for G is a circuit that contains every edge of G exactly once.

Lemma: if a graph G has an Euler circuit, then each vertex has even degree. Proof in lec 35.

Theorem

Let G be a connected graph. Then G has an Euler circuit if and only if every vertex of G has even degree.

Definition

Let G be a graph and let $u, v \in V(G)$. An Euler trail from u to v is a trail from u to v that uses every edge exactly once.

Theorem

Let G be a connected graph and let $u, v \in V(G)$.

If $u = v$ then G has an Euler trail from u to v if and only if every vertex of G has even degree.

If $u \neq v$ then G has an Euler trail from u to v iff u and v have odd degree and all other vertices have even degree.