

# FortEPiaNO technical notes

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We present here the main features of our code, **FORTRAN-Evolved Primordial Neutrino Oscillations** (**FortEPiaNO**) [1]. The code is publicly available at the url [https://bitbucket.org/ahp\\_cosmo/fortepiano\\_public](https://bitbucket.org/ahp_cosmo/fortepiano_public).

## I. EQUATIONS

**FortEPiaNO** can compute oscillations with up to six neutrinos<sup>1</sup> in the early universe. Neutrinos, including the sterile ones, are always treated as ultra-relativistic particles, which is a good approximation if the neutrino masses do not exceed  $\mathcal{O}(\text{a few keV})$ , i.e. neutrinos are still fully relativistic at decoupling. For larger masses, neutrinos may start to become non-relativistic before decoupling, and in that case one should take into account the effect of their mass.

The code computes the evolution of the  $N \times N$  neutrino density matrix [2–5]

$$\varrho(x, y) = \begin{pmatrix} \varrho_{ee} & \varrho_{e\mu} & \varrho_{e\tau} & \varrho_{es_1} & \cdots \\ \varrho_{\mu e} & \varrho_{\mu\mu} & \varrho_{\mu\tau} & \varrho_{\mu s_1} & \\ \varrho_{\tau e} & \varrho_{\tau\mu} & \varrho_{\tau\tau} & \varrho_{\tau s_1} & \\ \varrho_{s_1 e} & \varrho_{s_1 \mu} & \varrho_{s_1 \tau} & \varrho_{s_1 s_1} & \\ \vdots & & & & \ddots \end{pmatrix}, \quad (1)$$

which is assumed to be the same for neutrinos and antineutrinos, in terms of the comoving coordinates  $x \equiv m_e a$ ,  $y \equiv p a$ ,  $z \equiv T_\gamma a$  and  $w \equiv T_\nu a$ . The momentum dependence of the density matrix  $\varrho$  is taken into account using a discrete grid of momenta, as described in section IV.

The main goal of the code is to use the final value of  $\varrho(x, y)$  to obtain the effective number of relativistic degrees of freedom in the early Universe,  $N_{\text{eff}}$ . Such number is well defined only before or after the transition of electrons to the non-relativistic regime. This means we have two possibilities:

- at very early times, when neutrinos are completely coupled to the plasma and electrons are fully relativistic, neutrinos and photons share the same temperature and we have

$$N_{\text{eff}}^e = \frac{8}{7} \frac{\sum_i \rho_{\nu_i}}{\rho_\gamma} \quad \text{at early times,} \quad (2)$$

- at late times, electrons become non-relativistic and their entropy is transferred to photons in the process. Neutrinos are already partially decoupled, so that their energy density is not increased by the entropy transfer and they end up with a smaller temperature than photons, so that the new definition of  $N_{\text{eff}}$  is

$$N_{\text{eff}}^l = \frac{8}{7} \left( \frac{11}{4} \right)^{4/3} \frac{\sum_i \rho_{\nu_i}}{\rho_\gamma} \quad \text{at late times,} \quad (3)$$

In both cases,  $\rho_\gamma$  is the comoving energy density of photons and  $\rho_{\nu_i}$  is the one of the  $i$ -th neutrino.

In order to write the evolution equations for the density matrix  $\varrho$ , several quantities must be defined. One of them is the neutrino mixing matrix, of which we ignore the complex nature connected to the existence of CP violation (the CP violating phase is set to zero). When using  $N$  neutrinos, the mixing matrix is defined as

$$U = R^{(N-1)N} \dots R^{1N} R^{(N-2)(N-1)} \dots R^{1(N-1)} \dots R^{34} R^{24} R^{14} R^{23} R^{13} R^{12}, \quad (4)$$

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<sup>1</sup> The number six is hard-coded for implementation reasons, but it can be changed.

following and extending the convention presented in Eq. (12) of [6], where each  $R^{ij}$  is a real rotation matrix described by the angle  $\theta_{ij}$ , containing  $\cos \theta_{ij}$  in the diagonal elements  $ii$  and  $jj$ , 1 in the remaining diagonal elements,  $\sin \theta_{ij}$  ( $-\sin \theta_{ij}$ ) in the off-diagonal element  $ij$  ( $ji$ ) and zero otherwise:

$$[R^{ij}]_{rs} = \delta_{rs} + (\cos \theta_{ij} - 1)(\delta_{ri}\delta_{sj} + \delta_{rj}\delta_{si}) + \sin \theta_{ij}(\delta_{ri}\delta_{sj} - \delta_{rj}\delta_{si}). \quad (5)$$

The neutrino mixing matrix enters the calculation of the rotated mass matrix  $\mathbb{M}_F = U\mathbb{M}U^\dagger$ , where the diagonal mass matrix is  $\mathbb{M} = \text{diag}(m_1^2, \dots, m_N^2)$ . Other matrices that we need to define are

$$\mathbb{E}_\ell = \text{diag}(\rho_e, \rho_\mu, 0, \dots), \quad \mathbb{P}_\ell = \text{diag}(P_e, P_\mu, 0, \dots), \quad \mathbb{E}_\nu = S_a \frac{1}{\pi^2} \left( \int dy y^3 \varrho \right) S_a \quad \text{with } S_a = \text{diag}(1, 1, 1, 0, \dots), \quad (6)$$

while the interaction matrices used in the collision terms, presented in section II, are

$$G^L = \text{diag}(g_L, \tilde{g}_L, \tilde{g}_L, 0, \dots), \quad G^R = \text{diag}(g_R, g_R, g_R, 0, \dots), \quad (7)$$

where  $g_L = \sin^2 \theta_W + 1/2$ ,  $\tilde{g}_L = \sin^2 \theta_W - 1/2$ ,  $g_R = \sin^2 \theta_W$ , and  $\theta_W$  is the weak mixing angle. Note that, in principle, one should use the values of  $g_L$ ,  $\tilde{g}_L$  and  $g_R$  at zero momentum transfer [7], instead of the ones obtained by measuring the weak mixing angle at the  $Z$ -pole. Changing between the two possible sets of values (see section IX) does not alter the final result on  $N_{\text{eff}}$  by more than  $10^{-4}$ .

The definitions of comoving energy density and pressure, which can be combined to obtain the comoving entropy density, are written as:

$$\rho_i = g_i \int \frac{dp}{2\pi^2} p^2 E_p \frac{1}{e^{E_p/z} \pm 1}, \quad (8)$$

$$P = g_i \int \frac{dp}{2\pi^2} \frac{p^4}{3E_p} \frac{1}{e^{E_p/z} \pm 1}, \quad (9)$$

$$s = \frac{\rho + P}{z}, \quad (10)$$

where the  $+$  ( $-$ ) applies for fermions (bosons),  $i$  denotes the species, which has  $g_i$  degrees of freedoms,  $p$  is the comoving momentum,  $E_p = \sqrt{p^2 + m_i^2}$ , being  $m_i$  the comoving mass of the particle, and  $z$  must be substituted with  $w$  in the case of neutrinos.

To take into account finite temperature QED (FTQED) corrections [5, 8, 9], we have to modify the total pressure and energy density of the fluid:

$$P = \sum_{i=\gamma, \nu_i, e, \mu} P_i + \delta P(x, z), \quad (11)$$

$$\rho = \sum_{i=\gamma, \nu_i, e, \mu} \rho_i + \delta \rho(x, z), \quad (12)$$

where  $\delta P$  and  $\delta \rho$  are contributions that can be computed using FTQED. It is convenient to define them in terms of the following functions:

$$J_a(r) = \frac{1}{\pi^2} \int_0^\infty du u^a \frac{\exp(\sqrt{u^2 + r^2})}{\left[ \exp(\sqrt{u^2 + r^2}) + 1 \right]^2}, \quad (13)$$

$$K_a(r) = \frac{1}{\pi^2} \int_0^\infty du \frac{u^a}{\sqrt{u^2 + r^2}} \frac{1}{\exp(\sqrt{u^2 + r^2}) + 1}. \quad (14)$$

Let us also define for convenience:

$$\mathcal{N}_p = \frac{2}{e^{E_p/z} + 1}, \quad (15)$$

$$\partial_x \mathcal{N}_p = -\frac{x e^{E_p/z} \mathcal{N}_p^2}{2z E_p}, \quad (16)$$

$$\partial_z \mathcal{N}_p = \frac{e^{E_p/z} E_p \mathcal{N}_p^2}{2z^2}, \quad (17)$$

$$\partial_x \partial_z \mathcal{N}_p = \frac{x e^{E_p/z} \mathcal{N}_p^2}{2z^3} \left( 1 - e^{E_p/z} \mathcal{N}_p + \frac{z}{E_p} \right). \quad (18)$$

The contributions  $\delta P$  and  $\delta \rho$  can be expanded as a series of powers of the electron charge  $e^2 = 4\pi\alpha$ , where  $\alpha$  is the fine structure constant. Taking into account the first orders of the expansion, and using  $r = x/z$ , for the pressure one has [9]

$$\delta P(x, z) = \delta P^{(2)}(x/z) + \delta P^{(2+\ln)}(x, z) + \delta P^{(3)}(x/z) + \dots, \quad (19)$$

$$\delta P^{(2)}(r) = -e^2 z^4 K_2 \left( \frac{1}{6} + \frac{K_2}{2} \right), \quad (20)$$

$$\delta P^{(2+\ln)}(x, z) = \frac{e^2 x^2}{16\pi^4} \iint_0^\infty dy dk \frac{y k}{E_y E_k} \ln \left| \frac{y+k}{y-k} \right| \mathcal{N}_y \mathcal{N}_k, \quad (21)$$

$$\delta P^{(3)}(r) = \frac{2e^3 z^4}{3\pi} \left( K_2 + \frac{r^2}{2} K_0 \right)^{3/2}, \quad (22)$$

while for the energy density the various terms are

$$\delta \rho(x, z) = \delta \rho^{(2)}(x/z) + \delta \rho^{(2+\ln)}(x, z) + \delta \rho^{(3)}(x/z) + \dots, \quad (23)$$

$$\delta \rho^{(2)}(r) = e^2 z^4 \left( \frac{K_2^2}{2} - \frac{K_2 + J_2}{6} - K_2 J_2 \right), \quad (24)$$

$$\delta \rho^{(2+\ln)}(x, z) = \frac{e^2 x^2}{16\pi^4} \iint_0^\infty dy dk \frac{y k}{E_y E_k} \ln \left| \frac{y+k}{y-k} \right| \mathcal{N}_y \left( 2z \partial_z \mathcal{N}_k - \mathcal{N}_k \right), \quad (25)$$

$$\delta \rho^{(3)}(r) = \frac{e^3 z^4}{\pi} \left( K_2 + \frac{r^2}{2} K_0 \right)^{1/2} \left( J_2 + \frac{r^2}{2} J_0 \right). \quad (26)$$

In order to compute the evolution of the neutrino density matrix (1), we have to compute both the derivative of  $\varrho$  (as a function of the momentum) and of the comoving photon temperature  $z$  with respect to our time parameter  $x$ . The differential equations which the code solves are the following [2–5]:

$$\begin{aligned} \frac{d\varrho(y)}{dx} &= \sqrt{\frac{3m_{\text{Pl}}^2}{8\pi\rho}} \left\{ -i \frac{x^2}{m_e^3} \left[ \frac{\mathbb{M}_F}{2y} - \frac{2\sqrt{2}G_F y m_e^6}{x^6} \left( \frac{\mathbb{E}_\ell + \mathbb{P}_\ell}{m_W^2} + \frac{4}{3} \frac{\mathbb{E}_\nu}{m_Z^2} \right), \varrho \right] + \frac{m_e^3}{x^4} \mathcal{I}(\varrho) \right\}, \\ \frac{dz}{dx} &= \frac{\sum_{\ell=e,\mu} \left[ \frac{r_\ell^2}{r} J_2(r_\ell) \right] + G_1(r) - \frac{1}{2\pi^2 z^3} \int_0^\infty dy y^3 \sum_{\alpha=e}^{s_{N_s}} \frac{d\rho_{\alpha\alpha}}{dx}}{\sum_{\ell=e,\mu} \left[ r_\ell^2 J_2(r_\ell) + J_4(r_\ell) \right] + G_2(r) + \frac{2\pi^2}{15}}, \end{aligned} \quad (27)$$

where  $r_\ell = m_\ell/m_e r$ . The expressions for the  $G_1$  and  $G_2$  functions, which again take into account the FTQED corrections, are written as [5, 9]:

$$G_{1,2}(x, z) = G_{1,2}^{(2)}(x/z) + G_{1,2}^{(2+\ln)}(x, z) + G_{1,2}^{(3)}(x/z) + \dots, \quad (28)$$

$$G_a(r) = \frac{K_2'}{6} - K_2 K_2' + \frac{J_2'}{6} + K_2' J_2 + K_2 J_2', \quad (29)$$

$$G_1^{(2)}(r) = 2\pi\alpha \left[ \frac{1}{r} \left( \frac{K_2}{3} + 2K_2^2 - \frac{J_2}{6} - K_2 J_2 \right) + G_a \right], \quad (30)$$

$$G_2^{(2)}(r) = -8\pi\alpha \left( \frac{K_2}{6} + \frac{J_2}{6} - \frac{1}{2} K_2^2 + K_2 J_2 \right) + 2\pi\alpha r G_a, \quad (31)$$

$$\begin{aligned} G_1^{(2+\ln)}(x, z) &= \frac{e^2 x}{16\pi^4 z^3} \iint_0^\infty dy dk \frac{y k}{E_y E_k} \ln \left| \frac{y+k}{y-k} \right| \left\{ -x \left[ z \left( \partial_x \mathcal{N}_y \partial_z \mathcal{N}_k + \mathcal{N}_y \partial_x \partial_z \mathcal{N}_k \right) - \mathcal{N}_y \partial_x \mathcal{N}_k \right] \right. \\ &\quad \left. - \mathcal{N}_y \mathcal{N}_k - z \mathcal{N}_y \partial_z \mathcal{N}_k + \frac{x^2 (E_y^2 + E_k^2)}{2E_y^2 E_k^2} \left( 2z \mathcal{N}_y \partial_z \mathcal{N}_k - \mathcal{N}_y \mathcal{N}_k \right) \right\}, \end{aligned} \quad (32)$$

$$G_2^{(2+\ln)}(x, z) = \frac{e^2 x^2}{16\pi^4 z^2} \iint_0^\infty dy dk \frac{y k}{E_y E_k} \ln \left| \frac{y+k}{y-k} \right| \partial_z \left( \mathcal{N}_y \partial_z \mathcal{N}_k \right), \quad (33)$$

$$G_b(r) = \sqrt{K_2 + r^2 \frac{K_0}{2}}, \quad (34)$$

$$G_c(r) = \frac{2J_2 + r^2 J_0}{2(2K_2 + r^2 K_0)}, \quad (35)$$

$$G_1^{(3)}(r) = \frac{e^3}{4\pi} G_b \left\{ \frac{1}{r} (2J_2 - 4K_2) - 2J_2' - r^2 J_0' - r(2K_0 + J_0) - G_c [r(K_0 - J_0) + K_2'] \right\}, \quad (36)$$

$$G_2^{(3)}(r) = \frac{e^3}{4\pi} G_b \left[ G_c (2J_2 + r^2 J_0) - \frac{2}{r} J_4' - r(3J_2' + r^2 J_0') \right], \quad (37)$$

where the prime denotes derivative with respect to  $r$  and we dropped the explicit dependence on  $r$  in the expressions for the  $G$  functions. For the sake of computational speed, the code can calculate and store lists for all the terms of Eq. (27) which do not depend on the neutrino density matrix at the initialisation stage, and compute their values through interpolation during the real calculation. The same happens for the energy densities of charged leptons, for which performing an interpolation is much faster than computing an integral. The interpolation, however, can be disabled during compilation (see section IX), with a  $\lesssim 20\%$  increase of the running time.

The finite-temperature electromagnetic corrections are also taken into account in the calculation of the electron mass, used in the collision terms, discussed in the following section. The additional contribution to the electron mass, in comoving coordinates, is obtained as [5, 8, 9]:

$$\delta m_e^2(x, y, z) = \frac{2\pi\alpha z^2}{3} + \frac{4\alpha}{\pi} \int_0^\infty dk \frac{k^2}{E_k} \frac{1}{e^{E_k/z} + 1} - \frac{x^2\alpha}{\pi y} \int_0^\infty dk \frac{k}{E_k} \log \left| \frac{y+k}{y-k} \right| \mathcal{N}_k, \quad (38)$$

so that the comoving electron mass must be replaced using  $x^2 \rightarrow x^2 + \delta m_e^2$ . In the calculation of the collision integrals we ignore the log term that depends on  $y$ .

Finally, in order to estimate the effective comoving neutrino temperature  $w$ , which is not needed for the calculation but useful to understand the final results, we use an equation similar to Eq. (27), but considering only relativistic electrons, i.e. fixing  $r_e = 0$  in the equation.

### A. Non-Standard Interactions (NSI)

In case NSI between neutrinos and electrons are active (the code must be compiled with `FULL_F_AB=1`), some of the previous equations are modified to take into account the new couplings, see e.g. [2]. The interactions described by the  $G^{L,R}$  matrices in equation (7), which enter the collision terms described in the following section, are modified to take into account the possible additional couplings. The matrices  $G^{L,R}$ , therefore, become:

$$G^L = \begin{pmatrix} g_L + \epsilon_{ee}^L & \epsilon_{e\mu}^L & \epsilon_{e\tau}^L & \cdots \\ \epsilon_{e\mu}^L & \tilde{g}_L + \epsilon_{\mu\mu}^L & \epsilon_{\mu\tau}^L & \cdots \\ \epsilon_{e\tau}^L & \epsilon_{\mu\tau}^L & \tilde{g}_L + \epsilon_{\tau\tau}^L & \cdots \\ \vdots & & & \ddots \end{pmatrix} \quad \text{and} \quad G^R = \begin{pmatrix} g_R + \epsilon_{ee}^R & \epsilon_{e\mu}^R & \epsilon_{e\tau}^R & \cdots \\ \epsilon_{e\mu}^R & g_R + \epsilon_{\mu\mu}^R & \epsilon_{\mu\tau}^R & \cdots \\ \epsilon_{e\tau}^R & \epsilon_{\mu\tau}^R & g_R + \epsilon_{\tau\tau}^R & \cdots \\ \vdots & & & \ddots \end{pmatrix}. \quad (39)$$

Defining  $\epsilon_{\alpha\beta} = \epsilon_{\alpha\beta}^L + \epsilon_{\alpha\beta}^R$ ,

$$\mathbb{E}_e^{\text{NSI}} = \begin{pmatrix} 1 + \epsilon_{ee} & \epsilon_{e\mu} & \epsilon_{e\tau} & \cdots \\ \epsilon_{e\mu} & \epsilon_{\mu\mu} & \epsilon_{\mu\tau} & \cdots \\ \epsilon_{e\tau} & \epsilon_{\mu\tau} & \epsilon_{\tau\tau} & \cdots \\ \vdots & & & \ddots \end{pmatrix} \quad \text{and} \quad \mathbb{E}_\mu^{\text{NSI}} = \begin{pmatrix} 0 & 0 & 0 & \cdots \\ 0 & 1 & 0 & \cdots \\ 0 & 0 & 0 & \cdots \\ \vdots & & & \ddots \end{pmatrix}, \quad (40)$$

the matter potentials involving leptons in equation (6) are also modified to:

$$\mathbb{E}_\ell = \rho_e \mathbb{E}_e^{\text{NSI}} + \rho_\mu \mathbb{E}_\mu^{\text{NSI}}, \quad \mathbb{P}_\ell = P_e \mathbb{E}_e^{\text{NSI}} + P_\mu \mathbb{E}_\mu^{\text{NSI}}. \quad (41)$$

An analysis of the effect of NSI on the neutrino decoupling, and in particular the variations of  $N_{\text{eff}}$  as a function of the  $\epsilon$  parameters, is available in reference [10].

## II. COLLISION INTEGRALS

The full collision terms are defined by the sum of the contributions from neutrino–electron/positron scattering ( $\bar{\nu}e \leftrightarrow \bar{\nu}e$ ) and  $e^\pm$  annihilation into neutrinos ( $\nu\bar{\nu} \leftrightarrow e^+e^-$ ), plus neutrino–neutrino interactions. We neglect other reactions, such as  $\mu^\pm$  annihilation (which only affects at very early temperatures when everything is in equilibrium). We therefore have [2, 11]

$$\mathcal{I}[\varrho(y)] = \frac{G_F^2}{(2\pi)^3 y^2} \mathcal{I}^u, \quad (42)$$

$$\mathcal{I}^u \equiv \mathcal{I}_{\text{sc}}^u + \mathcal{I}_{\text{ann}}^u + \mathcal{I}_{\nu\nu}^u + \mathcal{I}_{\nu\bar{\nu}}^u, \quad (43)$$

$$\mathcal{I}_{\text{sc}}^u = \int dy_2 dy_3 \frac{y_2}{E_2} \quad (44)$$

$$\left\{ (\Pi_2^s(y, y_4) + \Pi_2^s(y, y_2)) \left[ F_{\text{sc}}^{LL} \left( \varrho^{(1)}, f_e^{(2)}, \varrho^{(3)}, f_e^{(4)} \right) + F_{\text{sc}}^{RR} \left( \varrho^{(1)}, f_e^{(2)}, \varrho^{(3)}, f_e^{(4)} \right) \right] \right. \\ \left. - 2(x^2 + \delta m_e^2) \Pi_1^s(y, y_3) \left[ F_{\text{sc}}^{RL} \left( \varrho^{(1)}, f_e^{(2)}, \varrho^{(3)}, f_e^{(4)} \right) + F_{\text{sc}}^{LR} \left( \varrho^{(1)}, f_e^{(2)}, \varrho^{(3)}, f_e^{(4)} \right) \right] \right\},$$

$$\mathcal{I}_{\text{ann}}^u = \int dy_2 dy_3 \frac{y_3}{E_3} \quad (45)$$

$$\left\{ \Pi_2^a(y, y_4) F_{\text{ann}}^{LL} \left( \varrho^{(1)}, \varrho^{(2)}, f_e^{(3)}, f_e^{(4)} \right) + \Pi_2^a(y, y_3) F_{\text{ann}}^{RR} \left( \varrho^{(1)}, \varrho^{(2)}, f_e^{(3)}, f_e^{(4)} \right) \right. \\ \left. + (x^2 + \delta m_e^2) \Pi_1^a(y, y_2) \left[ F_{\text{ann}}^{RL} \left( \varrho^{(1)}, \varrho^{(2)}, f_e^{(3)}, f_e^{(4)} \right) + F_{\text{ann}}^{LR} \left( \varrho^{(1)}, \varrho^{(2)}, f_e^{(3)}, f_e^{(4)} \right) \right] \right\},$$

$$\mathcal{I}_{\nu\nu}^u = \frac{1}{4} \int dy_2 dy_3 \Pi_2^\nu(y, y_2) F_{\nu\nu} \left( \varrho^{(1)}, \varrho^{(2)}, \varrho^{(3)}, \varrho^{(4)} \right), \quad (46)$$

$$\mathcal{I}_{\nu\bar{\nu}}^u = \frac{1}{4} \int dy_2 dy_3 \Pi_2^\nu(y, y_4) F_{\nu\bar{\nu}} \left( \varrho^{(1)}, \varrho^{(2)}, \varrho^{(3)}, \varrho^{(4)} \right), \quad (47)$$

where  $E_i^2 = \sqrt{x^2 + y_i^2 + \delta m_e^2}$  and

$$\Pi_1^s(y, y_3) = y y_3 D_1 + D_2(y, y_3, y_2, y_4), \quad (48)$$

$$\Pi_1^a(y, y_2) = y y_2 D_1 - D_2(y, y_2, y_3, y_4), \quad (49)$$

$$\Pi_2^s(y, y_2)/2 = y E_2 y_3 E_4 D_1 + D_3 - y E_2 D_2(y_3, y_4, y, y_2) - y_3 E_4 D_2(y, y_2, y_3, y_4), \quad (50)$$

$$\Pi_2^s(y, y_4)/2 = y E_2 y_3 E_4 D_1 + D_3 + E_2 y_3 D_2(y, y_4, y_2, y_3) + y E_4 D_2(y_2, y_3, y, y_4), \quad (51)$$

$$\Pi_2^a(y, y_3)/2 = y y_2 E_3 E_4 D_1 + D_3 + y E_3 D_2(y_2, y_4, y, y_3) + y_2 E_4 D_2(y, y_3, y_2, y_4), \quad (52)$$

$$\Pi_2^a(y, y_4)/2 = y y_2 E_3 E_4 D_1 + D_3 + y_2 E_3 D_2(y, y_4, y_2, y_3) + y E_4 D_2(y_2, y_3, y, y_4), \quad (53)$$

$$\Pi_2^\nu(y, y_2)/2 = y y_2 y_3 y_4 D_1 + D_3 - y y_2 D_2(y_3, y_4, y, y_2) - y_3 y_4 D_2(y, y_2, y_3, y_4), \quad (54)$$

$$\Pi_2^\nu(y, y_4)/2 = y y_2 y_3 y_4 D_1 + D_3 + y_2 y_3 D_2(y, y_4, y_2, y_3) + y y_4 D_2(y_2, y_3, y, y_4), \quad (55)$$

where the functions  $D_i$  have the following definitions [12]:

$$D_1(a, b, c, d) = \frac{16}{\pi} \int_0^\infty \frac{d\lambda}{\lambda^2} \prod_{i=a,b,c,d} \sin(\lambda i), \quad (56)$$

$$D_2(a, b, c, d) = -\frac{16}{\pi} \int_0^\infty \frac{d\lambda}{\lambda^4} \prod_{i=a,b} \left[ \lambda i \cos(\lambda i) - \sin(\lambda i) \right] \prod_{j=c,d} \sin(\lambda j), \quad (57)$$

$$D_3(a, b, c, d) = \frac{16}{\pi} \int_0^\infty \frac{d\lambda}{\lambda^6} \prod_{i=a,b,c,d} \left[ \lambda i \cos(\lambda i) - \sin(\lambda i) \right]. \quad (58)$$

These three integrals can be solved analytically, and therefore the three functions  $D_{1,2,3}$  can be coded in a more efficient way, not reported here for sake of brevity (see e.g. [13]).

Finally, the functions that define the phase space factors in the collision terms are [2, 11]:

$$F_{\text{sc}}^{ab} \left( \varrho^{(1)}, f_e^{(2)}, \varrho^{(3)}, f_e^{(4)} \right) = f_e^{(4)} (1 - f_e^{(2)}) \left[ G^a \varrho^{(3)} G^b (1 - \varrho^{(1)}) + (1 - \varrho^{(1)}) G^b \varrho^{(3)} G^a \right] \\ - f_e^{(2)} (1 - f_e^{(4)}) \left[ \varrho^{(1)} G^b (1 - \varrho^{(3)}) G^a + G^a (1 - \varrho^{(3)}) G^b \varrho^{(1)} \right], \quad (59)$$

$$F_{\text{ann}}^{ab} \left( \varrho^{(1)}, \varrho^{(2)}, f_e^{(3)}, f_e^{(4)} \right) = f_e^{(3)} f_e^{(4)} \left[ G^a (1 - \varrho^{(2)}) G^b (1 - \varrho^{(1)}) + (1 - \varrho^{(1)}) G^b (1 - \varrho^{(2)}) G^a \right] \\ - (1 - f_e^{(3)}) (1 - f_e^{(4)}) \left[ G^a \varrho^{(2)} G^b \varrho^{(1)} + \varrho^{(1)} G^b \varrho^{(2)} G^a \right], \quad (60)$$

$$F_{\nu\nu} \left( \varrho^{(1)}, \varrho^{(2)}, \varrho^{(3)}, \varrho^{(4)} \right) = (1 - \varrho^{(1)}) \varrho^{(3)} \left[ (1 - \varrho^{(2)}) \varrho^{(4)} + \text{Tr}(\dots) \right] \\ - \varrho^{(1)} (1 - \varrho^{(3)}) \left[ \varrho^{(2)} (1 - \varrho^{(4)}) + \text{Tr}(\dots) \right] + \text{h.c.} \quad (61)$$

$$F_{\nu\bar{\nu}} \left( \varrho^{(1)}, \varrho^{(2)}, \varrho^{(3)}, \varrho^{(4)} \right) = (1 - \varrho^{(1)}) (1 - \varrho^{(2)}) \left[ \varrho^{(4)} \varrho^{(3)} + \text{Tr}(\dots) \right] \\ - \varrho^{(1)} \varrho^{(2)} \left[ (1 - \varrho^{(4)}) (1 - \varrho^{(3)}) + \text{Tr}(\dots) \right] \\ + (1 - \varrho^{(1)}) \varrho^{(3)} \left[ \varrho^{(4)} (1 - \varrho^{(2)}) + \text{Tr}(\dots) \right] \\ - \varrho^{(1)} (1 - \varrho^{(3)}) \left[ (1 - \varrho^{(4)}) \varrho^{(2)} + \text{Tr}(\dots) \right] + \text{h.c.}, \quad (62)$$

where  $\varrho^{(i)} = \varrho(y_i)$  and  $f_e^{(i)} = f_{\text{FD}}(y_i, z)$  represent the momentum distribution function of the various particles, and  $\text{Tr}(\dots)$  denotes the trace of the term immediately before it. The full expression for these functions should take into account the lepton asymmetry and distinguish the momentum distributions of leptons/neutrinos from those of antilepton/antineutrinos. Since we do not include lepton asymmetry, we just report the expressions without the heavier notation required to distinguish the contribution of antiparticles.

Concerning the neutrino–neutrino collision terms [11], there are few more points to be discussed. We can see from Eqs. (61)–(62) that the expressions include up to four products of the neutrino density matrices at different momentum modes. With a smart implementation of the integration methods (see sections IV and V), one can use for three of the terms (namely, the ones containing  $y_1$ ,  $y_2$  and  $y_3$ ) the values obtained on the momentum grid. For the fourth occurrence,  $\varrho(y_4)$ , an interpolation is needed. We tested several possibilities, and implemented a scheme that computes the linear interpolation of  $\varrho_{\alpha\alpha}/f_{\text{FD}}$  on the diagonal, and the linear interpolation of  $\varrho_{\alpha\beta}$  ( $\alpha \neq \beta$ ) for the off-diagonal, as it guarantees a better numerical stability (see [11]). We verified that interpolating also off-diagonal entries over  $\varrho_{\alpha\alpha}/f_{\text{FD}}$  has almost no effect on the final result. We have also verified that the numerical values of  $N_{\text{eff}}$  obtained including the integrals in Eqs. (46)–(47) does not vary significantly if we consider only the diagonal components of  $\varrho$ , or we instead consider the full matrix instead. The maximum variation we found is of the order  $2 \times 10^{-4}$ , in case oscillations are active. The reason is that the off-diagonal terms are typically much smaller than the diagonal ones, so that their combinations are suppressed and give a small contribution to the collision terms. Ignoring the off-diagonal terms, therefore, gives a rather precise result with a slightly smaller computational cost. Notice also that the implementation of the neutrino–neutrino collision terms as described here allows to compute off-diagonal collision terms taking into account the full neutrino density matrix [11].

### Damping approximation

The code can compute the collision terms according to Eqs. (44)–(47), but the integrals are very expensive. For the non-diagonal terms of the collision matrix we therefore allow the possibility to use damping approximations, in the form

$$\mathcal{I}_{\alpha\beta}^u(\varrho) = -D_{\alpha\beta}^u \varrho_{\alpha\beta}, \quad (63)$$

for  $\alpha \neq \beta$ . Notice that  $\mathcal{I}_{\alpha\beta}^u(\varrho)$  is the unnormalized term defined in Eq. (43). The expressions for the coefficients  $D_{\alpha\beta}^u$  depend on the elements considered. In case more than one sterile state is considered, the terms  $D_{s_i s_j}^u$  are always zero. For the rest of the terms, two possibilities are implemented in the code.

*a.* The first possibility arises from the calculations presented in the Appendix B of [11]. Notice that the appendix proposes also a damping approximation for diagonal collision terms, which however is not implemented in FortEPiANO. According to [11], we have a contribution from neutrino–neutrino interactions that is flavour-blind (as

expected, since in equilibrium there are the same number of neutrinos and antineutrinos of each flavour), and one from neutrino–electron collisions. We can write the damping terms in the following forms:

$$\{D^u(y)\}_{\alpha\beta} = \frac{1}{2} \left[ \{R^u(y)\}_{\alpha} + \{R^u(y)\}_{\beta} \right], \quad (64)$$

$$\begin{aligned} \{R_{\nu\nu}^u(y)\}_{\alpha} &= 2 \int dy_2 dy_3 [\Pi_2^{\nu}(y, y_2) + 2\Pi_2^{\nu}(y, y_4)] \\ &\quad \times \left( [1 - f_{\text{eq}}(y_2)]f_{\text{eq}}(y_3)f_{\text{eq}}(y_4) + f_{\text{eq}}(y_2)[1 - f_{\text{eq}}(y_3)][1 - f_{\text{eq}}(y_4)] \right) \\ &\equiv \mathcal{D}^u(y, z), \end{aligned} \quad (65)$$

$$\begin{aligned} \{R_{\nu e}^u(y)\}_{\alpha} &= \frac{1}{2} \left[ (2\sin^2 \theta_W \pm 1)_{\alpha}^2 + 4\sin^4 \theta_W \right] \int dy_2 dy_3 [\Pi_2^{\nu}(y, y_2) + 2\Pi_2^{\nu}(y, y_4)] \\ &\quad \times \left( [1 - f_{\text{eq}}(y_2)]f_{\text{eq}}(y_3)f_{\text{eq}}(y_4) + f_{\text{eq}}(y_2)[1 - f_{\text{eq}}(y_3)][1 - f_{\text{eq}}(y_4)] \right) \\ &= \frac{1}{4} \left[ (2\sin^2 \theta_W \pm 1)_{\alpha}^2 + 4\sin^4 \theta_W \right] \mathcal{D}^u(y, z), \end{aligned} \quad (66)$$

where in the prefactor  $(2\sin^2 \theta_W \pm 1)_{\alpha}$  the plus sign “+” is understood to apply to  $\alpha = e$  and “−” to  $\alpha = \mu, \tau$ .

For relativistic Fermi–Dirac distributions, the function  $\mathcal{D}^u(y, z)$  evaluates to

$$\mathcal{D}^u(y, z) = 2y^3 z^4 d(y/z), \quad (67)$$

where  $d(s)$  is a number around 100 which can be obtained as a double momentum integral, see Eq. (B.9) in [11]. For computational ease,  $d(s)$  can be fitted in the interval  $s \in [10^{-4}, 10^3]$  to better than 0.25% accuracy by the curve

$$d_{\text{fit}}(s) = d_0 e^{-1.01s} + d_{\infty} (1 - e^{-0.01s}) + (e^{-0.01s} - e^{-1.01s}) \left[ \frac{a_0 + a_1 \ln(s) + a_2 \ln^2(s)}{1 + b_1 \ln(s) + b_2 \ln^2(s)} \right], \quad (68)$$

where  $d_0 = 129.875$  and  $d_{\infty} = 100.999$  are the asymptotic values of the function as  $s \rightarrow 0$  and  $s \rightarrow \infty$  respectively, and the fitting coefficients are  $a_0 = 90.7332$ ,  $a_1 = -48.4473$ ,  $a_2 = 20.1219$ ,  $b_1 = -0.529157$ , and  $b_2 = 0.20649$  [11].

*b.* The second possibility is to implement the coefficients derived in [14], see also [15, 16], under the assumption

$$\varrho(y) = \frac{f_{\text{eq}}(y)}{f_{\text{eq}}(\langle y \rangle)} \varrho(\langle y \rangle), \quad (69)$$

such that  $\langle y \rangle$  at all modes  $y$  evolve in phase, where  $\langle y \rangle$  denotes a representative momentum. Upon integration in  $y$ , the procedure yields a thermally-averaged collision term, which can be written in the form

$$D_{e\mu}^u/F = D_{e\tau}^u/F = 15 + 8\sin^4 \theta_W, \quad (70)$$

$$D_{\mu\tau}^u/F = 7 - 4\sin^2 \theta_W + 8\sin^4 \theta_W, \quad (71)$$

$$D_{es}^u/F = 29 + 12\sin^2 \theta_W + 24\sin^4 \theta_W, \quad (72)$$

$$D_{\mu s}^u/F = D_{\tau s}^u/F = 29 - 12\sin^2 \theta_W + 24\sin^4 \theta_W, \quad (73)$$

where  $F = 7\pi^4 z^4 y^3 / 135$  is a common normalisation coefficient.

### III. SOLVER AND INITIAL CONDITIONS

We solve the differential equations with the DLSODA routine from the ODEPACK<sup>2</sup> Fortran package [17, 18]. ODEPACK is a collection of solvers for the initial value problem for systems of ordinary differential equations. It includes methods to deal with stiff and non-stiff systems, and some of the provided subroutines automatically recognise which type of problem they are facing.

The specific solver we use, DLSODA, is a modification of the Double-precision Livermore Solver for Ordinary Differential Equations (DLSODE) which includes an automatic switching between stiff and non-stiff problems of the form  $dy/dt = f(t, y)$ . In the stiff case, it treats the Jacobian matrix  $df/dy$  as either a dense (full) or a banded matrix,

<sup>2</sup> [https://computation.llnl.gov/casc/odepack/odepack\\_home.html](https://computation.llnl.gov/casc/odepack/odepack_home.html).

and as either user-supplied or internally approximated by difference quotients. It uses Adams methods (predictor–corrector) in the non-stiff case, and Backward Differentiation Formula (BDF) methods (the Gear methods) in the stiff case. The linear systems that arise are solved by direct methods (LU factor/solve). For more details, see the original publications [17, 18].

The initial conditions for DLSODA are defined as follows. The initial time  $x_{\text{in}}$  is an input parameter of the code, and reasonable values would correspond to temperatures between a few hundreds and a few tens of MeV. The initial comoving photon temperature is computed evolving Eq. (27) from even earlier times ( $z_0 = 1$  at  $T_0 = 10 m_\mu$ ,  $x_0 = m_e/T_0$ ) until  $x_{\text{in}}$ . The obtained value  $z_{\text{in}}$  is then considered as the temperature of equilibrium of the entire plasma. Concerning the neutrino density matrix at  $x_{\text{in}}$ , all off-diagonal elements and the diagonal ones for sterile neutrinos are fixed to zero, while the diagonal elements corresponding to active neutrinos are Fermi–Dirac distributions with a temperature  $z_{\text{in}}$ . For typical values that we use in the code, we have  $z_{\text{in}} - 1 = 2.9 \times 10^{-4}$  for  $x_{\text{in}} = 0.001$  (which we use for the 3+1 cases) or  $z_{\text{in}} = 1.098^3$  for  $x_{\text{in}} = 0.05$  (suitable for the three-neutrino case, see [2]). We verified [11] that the results on  $N_{\text{eff}}$  are not significantly affected by the choice of  $x_{\text{in}}$ , given that the initial temperature is sufficiently higher than the neutrino decoupling temperature. Variations in the range  $0.001 \leq x_{\text{in}} \leq 0.05$  generate differences in  $N_{\text{eff}}$  smaller than  $\sim 2 \times 10^{-5}$ .

#### IV. MOMENTUM GRID

In order to follow the evolution of Eq. (1), we discretise its dependence on  $y$  and evolve each of the momentum in  $x$ . One of the most interesting ways to make the code more precise and faster is related to the choice of the  $y_i$ . First of all, we note that a logarithmic spacing of the  $y_i$  will introduce biases in the numerical calculation and that the final numbers of the neutrino energy density and effective number may be artificially increased. Inspired by one of the methods used in CLASS (see [19]), we tested a spacing based on the Gauss–Laguerre (GL) integration method. The crucial point of the calculation is to compute the energy density of neutrinos, given by

$$\rho_\alpha = \frac{1}{\pi^2} \int_0^\infty dy y^3 \varrho_{\alpha\alpha}(y), \quad (74)$$

where  $\varrho_{\alpha\alpha}(y)$  will be close to a Fermi–Dirac distribution and in any case always exponentially suppressed. The Gauss–Laguerre quadrature (see e.g. [20]) is a method that is designed to optimise the solution of integrals of the type

$$I = \int_0^\infty dx y^\alpha e^{-y} f(y) \simeq \sum_i^N w_i^{(\alpha)} f(y_i), \quad (75)$$

where  $f(y)$  is a generic function,  $y_i$  are the  $N$  roots of the Laguerre polynomial  $L_N$  of order  $N$ , and  $w_i$  are relative weights, which are obtained using

$$w_i^{(\alpha)} = \frac{y_i}{(N+1)^2 \left[ L_{N+1}^{(\alpha)}(y_i) \right]^2}. \quad (76)$$

The weights can be computed for example using the `gaulag` routine from [20]. Since our momentum distribution function is not directly proportional to  $e^{-y}$ , we consider  $f(x) = e^y \varrho_{\alpha\alpha}(y)$ , in order to rescale the weights appropriately.

For the simple purpose of integrating the Fermi–Dirac distribution, very few points are typically required. CLASS, for example, uses order of ten points for integrating the neutrino distribution function. In our case, the non-thermal distortions must be computed accurately, and in particular when evolving the thermalisation of a sterile neutrino we need more precision on the small momenta. On the other hand, we do not want to compute the momentum distribution function at very high  $y$ , which gives a very small contribution to the total integral. We therefore use a truncated list of nodes  $y_i$  over which to compute the evolution of  $\varrho$ , selecting only the  $N_y \leq N$  nodes for which  $y_i < y_{\text{max}}$ . In this way we can increase the number of points at small  $y$  and the resolution on the thermalisation processes without having to compute a large number of points at high momentum. The position and weight of the momentum nodes is obtained using the `gaulag` routine [20]. Having  $N_y \sim 50$  and  $y_i < y_{\text{max}} = 20$ , if neutrino–neutrino collision terms are ignored, is enough to reach a precision much better than one per mille on  $N_{\text{eff}}$ , which is the same we

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<sup>3</sup> This number comes from our choice of normalisation of  $z$  and the fact that we take into account the presence of muons at high temperatures. When muons become non-relativistic, they transfer their entropy to the rest of the plasma, with the result that the photon temperature grows by a factor  $(57/43)^{1/3} \simeq 1.098$ .



could obtain with a linear spacing of the points and  $N_y = 100$  [2]. Since the evaluation of the collision integrals scales as  $N_y^2$  and the number of derivatives in Eq. (27) scales with  $N_y$ , this ensures a significant gain. As carefully analysed in [11], the Gauss–Laguerre method allows to obtain the same precision than a linear spacing of the momentum nodes with a smaller  $N_y$ , and it is therefore recommended.

## V. NUMERICAL CALCULATION OF 1D AND 2D INTEGRALS

Most of the processing time is spent to compute the collision integrals discussed in section II, which are two-dimensional integrals in the momentum. We compute the integrals using a two-dimensional version of the Gauss–Laguerre method, which has been tested to be precise enough,

$$\int_{x_1}^{x_N} \int_{y_1}^{y_M} dx dy f(x, y) = \sum_{i=1}^N \sum_{j=1}^M w_i w_j f_{ij}, \quad (77)$$

where we used the short notation  $f_{i,j} = f(x_i, y_j)$ . This works under the assumption that  $f(x, y)$  is exponentially suppressed both in  $x$  and  $y$ . Such assumption is valid in our case, as the functions  $F_{ab}$  always contain products of momentum distribution functions, which are typically very close to the Fermi–Dirac. The only exception is the case of the additional neutrino, for which the distribution can be very different from the Fermi–Dirac, but in any case it is always exponentially suppressed: the lowest momenta are always populated first, and the sterile neutrino momentum distribution can never exceed the one of standard neutrinos.

When using a linear spacing of points, instead, we perform the integrals using a composite two-dimensional Newton–Cotes (NC) formula of order 1 [21]:

$$\int_{x_1}^{x_N} \int_{y_1}^{y_M} dx dy f(x, y) = \sum_{i=1}^{N-1} \sum_{j=1}^{M-1} (x_j - x_i)(y_j - y_i) \left[ \frac{f_{ij} + f_{i+1,j} + f_{i,j+1} + f_{i+1,j+1}}{4} \right]. \quad (78)$$

In our case,  $i$  and  $j$  run over the grid of momenta we are using, which contains  $N = M = N_y$  points for each dimension. This method avoids us the need to interpolate the density matrix in points outside the momentum grid, when less than four modes of the neutrino density matrix are multiplied. Unfortunately, neutrino–neutrino collision terms still require to perform at least one interpolation.

The integrals therefore require  $N_y^2$  evaluations of the integrands at each evaluation: this means that reducing the value of  $N_y$  by a factor of two gives a factor four faster calculation of the integrals. The actual gain in the code is even larger, since the DLSODA algorithm needs to explore less combinations of variations in the  $\varrho_{\alpha\beta}(y_l)$  for the different  $y_l$  in the momentum grid. Our goal is therefore to obtain with a coarse grid a result that is in reasonable agreement with the one obtained using a fine grid.

In order to obtain the maximum speed, we study the accuracy of each function that enters the code in comparison with the analytical results, were they can be obtained. The number of points and the integration methods adopted in all the integrals, for example, have been carefully studied to achieve a reasonable precision with a short computation time. For the two-dimensional integrals, the selected momentum grid fully defines the integration procedure, and the precision is always good when using a reasonable number of points. Depending on the function, we may adopt the Gauss–Laguerre, Newton–Cotes or Romberg integration [22] methods for the one-dimensional integrals. In particular, for the electron and muon energy densities and for most of the functions that enter the calculation of Eq. (27) we use a Gauss–Laguerre method on a dedicated grid of up to 110 points for the most complicated functions. In one single case, the  $K'(r)$  function derived from Eq. (14), the result obtained with the Gauss–Laguerre method did not reach the requested precision and we decided to use a Romberg integration instead. Although this requires a longer computation time, it only affects the initialisation stage, as in the code we interpolate over the pre-computed values. The number of points and the interpolation range have also been studied in order to obtain sufficiently precise results for all the computations required in the code.

## VI. PRECISION OF THE FINAL RESULTS

We have tested our code with the results available in the literature and verified the robustness of our findings against changes in the settings used in the calculations. In particular, we refer to the high-precision results in the three-neutrino case of [2], from which we have adopted most of the equations. Most of the results summarized here are discussed more in details in [1, 11].

Concerning the value of  $N_{\text{eff}}$  that we obtain using only active neutrinos, if we ignore neutrino–neutrino collision terms, we verified that we can reach much better than per mille stability on  $N_{\text{eff}} = 3.044$  using  $N_y \geq 20$  points spaced with the Gauss–Laguerre method, if the tolerance for DLSODA<sup>4</sup> is  $10^{-6}$ . This means that using  $N_y = 50$  instead of  $N_y = 20$  does not significantly alter the result. If we want to consider a linear spacing for the momentum grid, a minimum of 40 grid points must be employed in order to reach the same level of stability. Another possible setting that can give us a faster execution of the code is the precision used for DLSODA. We verified that once the tolerance for DLSODA is smaller than  $10^{-5}$ , the results are already stable at a level much better than per mille (actually closer to the 0.1 per mille) with respect to the most precise case considered. Using a tolerance of  $10^{-4}$  gives a value of  $N_{\text{eff}}$  which is stable at the level of few per mille, and still better than 1%.

A full calculation of  $N_{\text{eff}}$ , in any case, must be performed taking into account also the neutrino–neutrino collision terms. Including them raises  $N_{\text{eff}}$  by less than 0.001, in the three-neutrinos case, depending on the values of the oscillation parameters and the considered momentum grid, see [11]. Mostly because of the interpolation required to compute  $\varrho(y_4)$  in Eqs. (61)–(62), the numerical uncertainties grow significantly: when a coarse grid is considered, the interpolation is much less precise and the final results are much more instable. As expected, however, the instability decreases when the number of momentum nodes is increased. For  $N_y > 60$ , the final  $N_{\text{eff}}$  values are stable at the  $\lesssim 10^{-4}$  level. Such number emerges from comparing the results obtained when the grid sampling method or the number of nodes changes. Following the studies performed in [11], we recommend to use a Gauss–Laguerre spacing. The number of nodes one should consider depends on the required precision level.

Considering the implementation of the off-diagonal collision terms, we find that the  $N_{\text{eff}}$  output does not change significantly if we use the full integrals or the damping terms, both for neutrino–neutrino and neutrino–electron contributions. The damping formulas are sufficiently good to obtain a very precise result and allow to save a lot of computation time. Another good approximation is to consider a diagonal neutrino density matrix  $\varrho$  when computing the neutrino–neutrino collision terms: the contribution of its off-diagonal entries is strongly suppressed and barely alters the result (maximum  $2 \times 10^{-4}$  when neutrino oscillations are present).

If we repeat the same exercise in the 3+1 scheme, using  $\Delta m_{41}^2 = 1.29 \text{ eV}^2$ ,  $|U_{e4}|^2 = 0.012$  [23] and  $|U_{\mu 4}|^2 = |U_{\tau 4}|^2 = 0$ , we find similar conclusions. A tolerance of  $10^{-5}$  gives results very close to those obtained with  $10^{-6}$ , while any larger tolerance gives larger fluctuations depending on  $N_y$ . With  $10^{-4}$ , the precision remains of the order of 0.5%, so it is still safe to compute the value of  $N_{\text{eff}}$  on a grid of active-sterile mixing parameters using this level of precision. With  $N_y = 20$ , a single run takes a few minutes on four cores, and the running time is not significantly affected by changes in the DLSODA tolerance. When more precision is required, however, the algorithm may have troubles in resolving some of the resonances, and in that case the run can take much longer because of the adaptive nature of the solver.

Another parameter that we tested is the initial value of  $x$ ,  $x_{\text{in}}$ . Apart for fluctuations which are compatible with those obtained varying  $N_y$ , the result is stable against variations in  $5 \times 10^{-4} \leq x_{\text{in}} \leq 5 \times 10^{-2}$ . The largest values of  $x_{\text{in}}$  may be inappropriate for high values of  $\Delta m_{41}^2$ , as it is important for the solver to start the evolution before the sterile state starts to oscillate significantly with the active ones. Smaller values, on the contrary, may create numerical problems in DLSODA due to the very small initial value  $z_{\text{in}} - 1$ , and are never really required for our purposes.

More details on the precision of numerical calculations and the dependence of  $N_{\text{eff}}$  on physical parameters (including Fermi constant, Weinberg angle mass and neutrino mixing parameters) are discussed in [11], considering the three-neutrino case only. In order to study the accuracy of the numerical calculations as functions of the physical parameters, the tolerance for DLSODA has been set to  $10^{-7}$ . Considering variations for the physical parameters in the allowed  $3\sigma$  range (from [24] for the neutrino mixing parameters<sup>5</sup>, from [28, 29] for the weak interaction parameters), we see that the stability of  $N_{\text{eff}}$  is at the  $10^{-4}$  level or better. A similar precision is obtained when changing the way the off-diagonal terms are treated. Altering  $x_{\text{in}}$ , which controls the initial time in the code, only affects  $N_{\text{eff}}$  at the  $10^{-5}$  level. More critical is the dependence on  $N_y$  and  $y_{\text{max}}$ , which control the number and the position of the nodes of the momentum grid. Such dependence, in the three-neutrinos case, is shown in figure 1. When considering a grid of linearly-spaced nodes and NC integration, varying  $y_{\text{max}}$  in the range  $20 \leq y_{\text{max}} \leq 40$  may generate a 0.001 difference in  $N_{\text{eff}}$  if  $N_y$  is small. The results, however, become much more stable when  $N_y$  grows, and the variation is at the level of  $2 \times 10^{-4}$  when  $N_y = 100$  and  $20 \leq y_{\text{max}} \leq 40$ . The choice of  $y_{\text{min}}$ , instead, is much less problematic, assuming that  $y_{\text{min}}$  cannot exceed 0.1, which is the maximum value at which one does not miss significant contributions to the calculations. When the Gauss–Laguerre method is used instead, the variations are smaller when  $y_{\text{max}}$  is varied together with  $N_y$ . As the minimum value of  $y$  depends exclusively on  $N_y$ , there is no plot showing the effect of varying  $y_{\text{min}}$  and  $N_y$  independently from one another. One can use the figure as a guideline to decide the most efficient combination of  $y_{\text{max}}$  (provided it is at least 20, otherwise one can miss significant contributions to the neutrino energy density) and

<sup>4</sup> For simplicity, we assume the same numerical value for both the relative and absolute tolerance. The algorithm will always match the most stringent of the two requirements.

<sup>5</sup> Note that adopting more recent results, such as those from [25–27], would barely alter the conclusions.

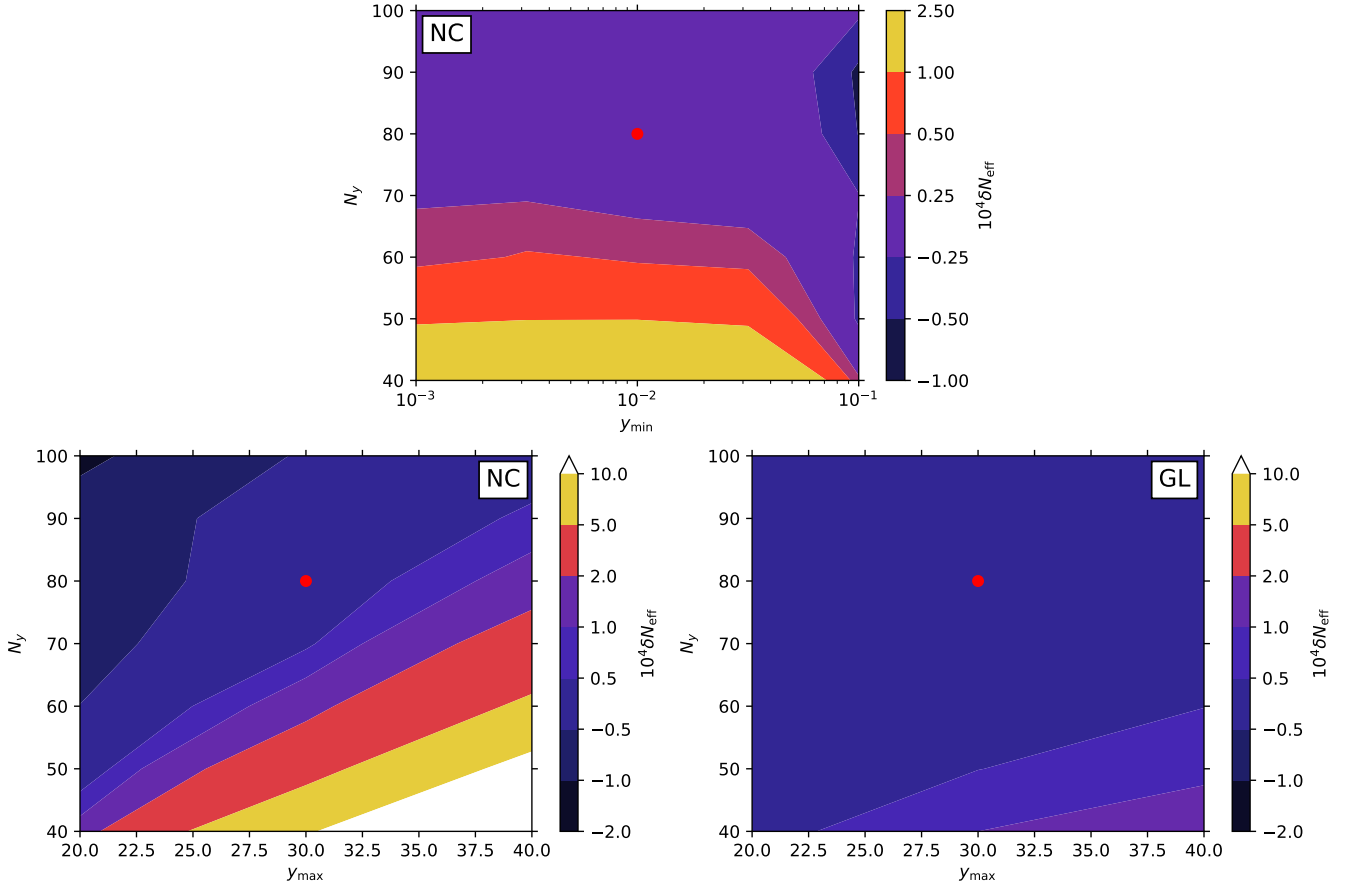


FIG. 1: Change in  $N_{\text{eff}}^{\text{SM}}$  from a full calculation including the full neutrino-neutrino collision integral, with respect to variations in the number of  $y$ -nodes and their range. *Top*: Variations in  $N_y$  and  $y_{\text{min}}$ , using the Newton-Cotes quadrature method. *Bottom left*: Variations in  $N_y$  and  $y_{\text{max}}$  using the Newton-Cotes quadrature method. *Bottom right*: Variations in  $N_y$  and  $y_{\text{max}}$  using the Gauss-Laguerre quadrature method. The red dots represent the reference point with  $y_{\text{min}} = 0.01$  (Newton-Cotes only),  $y_{\text{max}} = 30$ , and  $N_y = 80$ . From [11].

$N_y$  to achieve a required level of precision. More details on the precision of the code are discussed in [1, 11].

As a summary, a reasonable estimate of the theoretical and numerical uncertainty on the recommended value obtained considering three active neutrinos,  $N_{\text{eff}} = 3.0440$ , is of order  $2 \times 10^{-4}$  [11].

## VII. OUTPUT FILES

The successful execution of **FortEPiaNO** will always store a limited number of files in the output folder:

- **ini.log**: a summary of the input parameters as they are read by the Fortran code.
- **messages.log**: summary of important messages from the code execution.
- **time.log**: a time log which indicates at what time **FortEPiaNO** started and finished the calculation of each  $x$  step.
- **rho\_final.dat** and **rho\_final\_mass.dat**: the diagonal of the final neutrino density matrix, in flavor or mass basis, respectively. The first column of each file contains the grid of momenta  $y_i$ , while the following ones contain  $\varrho_{jj}(y_i)$ , where  $j$  is the column index minus one and  $i$  is the row index. From these two files, others can be created a posteriori by the included python scripts (see Section X), storing the final neutrino density matrix content using different normalizations. The **rho\_final\*\_var.dat** file stores the grid of momenta  $y_i$  and  $\varrho_{jj}(y_i)/f_{\text{FD}}(y_i/w_{\text{fin}})$ , for each flavor/mass eigenstate. This is a multiplicative factor with respect to the equilibrium distribution

that the neutrinos would have if considering instantaneous decoupling. The `rho_final*_norm.dat` file, instead, contains a normalized  $\varrho_{jj}(y'_i)/f_{\text{FD}}(y'_i)$ , which gives the correct contribution from the neutrinos to the effective number of relativistic species when computing the photon density using the standard temperature  $z/w = (11/4)^{1/3}$ . These values are prepared to be used in cosmological codes such as CLASS or CAMB (not tested).

- **resume.dat:** it reports the final values of the most important quantities: the photon and effective neutrino temperatures,  $z$  and  $w$ , the variation in neutrino energy density with respect to the expected value at equilibrium, the final value of  $N_{\text{eff}}$ .

In addition to the minimal output files that we discussed above, FortEPiANO can save many more useful quantities, controlled by specific flags in the configuration file:

- **save\_energy\_entropy\_evolution:** save the evolution of the energy density and entropy density of all fluids as a function of  $x$ .
- **save\_fd:** save the grid of momenta  $y_i$  and the initial Fermi-Dirac distribution  $f_{\text{FD}}(y_i)$ .
- **save\_Neff:** save the evolution of the effective number  $N_{\text{eff}}$  as a function of  $x$ .
- **save\_nuDens\_evolution:** save the evolution of each component of the neutrino density matrix as a function of  $x$ . Diagonal and off-diagonal components (real and imaginary part) will be saved, if available.
- **save\_number\_evolution:** save the evolution of the number density of all fluids as a function of  $x$ .
- **save\_w\_evolution, save\_z\_evolution:** save the photon and effective neutrino temperatures,  $z$  and  $w$ . The latter is not saved if **save\_z\_evolution** is disabled.

## VIII. DEFAULT PARAMETERS

The numerical constants in the code (defined in `sources/const.f90`) are mostly taken from the Particle Data Group [28, 29]. The default configuration of the code (`ini/explanatory.ini`) is the following:

- **Neutrino parameters:** three active neutrinos (`flavorNumber = 3`), with mixing parameters: `givesinsq = T` (the following parameters `thetaij` provide the value of  $\sin^2 \theta_{ij}$ ), `theta12 = 0.307`, `theta13 = 0.0218`, `theta23 = 0.545`, `dm21 = 7.53e-05` (eV<sup>2</sup>), `dm31 = 2.5283e-3` (eV<sup>2</sup>).
- **NSI parameters:** the new couplings appearing in equation (39) are by default fixed to zero. To change them, lines such as `nsi_GX_ij = 0.0`, where  $X$  can be L or R and  $i, j \in [1, 2, 3, \dots]$ ,  $j \geq i$ , should be added to the configuration file. Notice that these parameters are not considered if the code is compiled without the option `FULL_F_AB=1` (see section IX).
- **Collision integrals:** non-zero (`collint_diagonal_zero = F`) diagonal terms computed taking into account only the contribution from neutrino–electron interactions (`collint_d_no_nue = F`, `collint_d_no_nunu = T`), off-diagonal terms computed using damping approximations (`collint_offdiag_damping = T`) from Eqs. (64)–(68) (`collint_damping_type = 1`), also in this case considering only the neutrino–electron interactions (`collint_od_no_nue = F`, `collint_od_no_nunu = T`).
- **FTQED:** finite temperature corrections are enabled (`ftqed_temperature_corr = T`), using third order corrections (`ftqed_ord3 = T`) but no logarithmic ones (`ftqed_log_term = F`), and including corrections to the electron mass in the matter potentials (`ftqed_e_mth_leptondens = T`).
- **Grid settings:** the  $x$  range is considered between `x_in = 0.05` and `x_fin = 35`, and the quantities saved in `Nx = 500` bins. For the momentum grid, the default configuration considers `Ny = 30` momentum nodes spaced according to the Gauss–Laguerre method (`use_gauss_laguerre = T`). Remember that a correct result with neutrino–neutrino collisions is obtained only using a denser momentum grid.
- **Output settings:** the default output folder is `outputFolder = output`, checkpoint are active (`checkpoint = T`), if a `resume.dat` file is present in the output folder it will be removed and the run repeated (`force_replace = T`), all the optional outputs are enabled (`save_energy_entropy_evolution = T`, `save_fd = T`, `save_Neff = T`, `save_nuDens_evolution = T`, `save_number_evolution = T`, `save_w_evolution = T`, `save_z_evolution = T`).

- **Precision:** `dlsoda_rtol = 1.d-6`, `dlsoda_atol_z = 1.d-6`, `dlsoda_atol_d = 1.d-6`, `dlsoda_atol_o = 1.d-6`.

For more available parameters and the description of all of them, see `ini/explanatory.ini`.

## IX. PRECOMPILER OPTIONS

The compilation of `FortEPiaNO` may be performed including a number of precompiler options that alter the behaviour of the code. The advantage of precompiler options is that the related features are enabled or disabled before the code is compiled, and their existence in the source code does not affect the execution speed when they are disabled.

Available precompiler options currently include:

- **GLR\_ZERO\_MOMENTUM:** use  $g_L$ ,  $\tilde{g}_L$  and  $g_R$  values computed at zero momentum transfer [7] in Eq. (7).
- **FULL\_F\_AB:** full matrix multiplication when computing neutrino–electron collision integrals (Eqs. (59) and (60)). Such option has no effect on the code execution unless the diagonal matrices  $G^{L,R}$  are modified to be off-diagonal, that is to say, for example, by setting some of the NSI parameters different from zero.
- **FULL\_F\_NU:** take into account the full neutrino density matrix when computing neutrino–neutrino collision integrals (Eqs. (61) and (62)). By default, the code only considers the diagonal elements of the neutrino density matrix, as off-diagonal ones are typically much smaller.
- **NO\_INTERPOLATION:** do not interpolate electron/muon energy densities and FTQED corrections (enabled by default to save time). Incompatible with the use of logarithmic FTQED corrections.
- **NO\_MUONS:** disable muon contributions to total energy density and matter potentials.
- **NO\_NUE\_ANNIHILATION:** disable contribution from  $\nu\bar{\nu} \leftrightarrow e^+e^-$  processes to collision integrals.
- **RHO\_OFFDIAG\_INTERP\_DIV\_FD:** interpolate all the entries of the neutrino density matrix after dividing by a Fermi-Dirac distribution (by default, this is done only for diagonal entries).
- **SINSQTHW=x:** use to set a custom value (equal to  $x$ ) for the weak mixing angle: for example, to use  $\sin^2 \theta_W$  in the code, compile with `SINSQTHW=0.23`.
- **TESTSPEED:** compute a speed test with a timing of the first 1000 derivatives.

## X. PYTHON SCRIPTS

Together with the Fortran code, `FortEPiaNO` includes some python tools that can be used to facilitate the preparation of input files for the Fortran code, or to read the outputs of the code and produce plots. In order to use them, you only have to make sure that your command line executes the commands from the main `FortEPiaNO` directory or that the `python/` folder is inside your `PYTHONPATH`.

### a. *prepareIni.py*

This script, as the name suggests, helps to create a `.ini` file, that you can use as an argument for the Fortran executable. You can use the script via command line (use e.g. “`python python/prepareIni.py -h`” from the main `FortEPiaNO` folder, it will show the syntax and all the available options) or from a different python script, importing the relevant functions. In such case you will have to import and use some of the internal functions, such as:

```
from prepareIni import setParser, getIniValues, writeIni
parser = setParser()
pargs = parser.parse_args(
    [
        "myfile.ini",
        "myOutputFolder/",
        "3nu",
        "--default_active=VLC",
    ]
)
values = getIniValues(pargs)
writeIni(pargs.inifile, values)
```

*b. fortepianoOutput.py*

This script contains useful functions to read the output files, process them and produce plots. Depending on the content of the selected output folder and on the passed options, the script will generate additional files in the same output folder and prepare some standard plots. As in the previous case, you can use the functions in two ways: via command line <sup>6</sup> (see “python python/fortepianoOutput.py -h”) or importing them from other python scripts, for example you can plot the energy density evolution from the output in myOutputFolder/ using:

```
import matplotlib.pyplot as plt
from fortepianoOutput import FortEPiaNORun
run = FortEPiaNORun("myOutputFolder/")
try:
    print("I got: %s" % run.Neff)
except AttributeError:
    print("The run failed. Cannot read Neff")
else:
    run.plotEnergyDensity()
    plt.savefig("energyDensity.pdf")
```

*c. tests.py*

Utility to verify that all the python functions implemented in the other two files work properly. Run `python python/tests.py` to test all the functions and methods independently. Some tests will fail if the Fortran code has not been executed with the default `ini/explanatory.ini`.

## XI. ADDITIONAL SCRIPTS

Together with the main code, the fortran sources include few useful scripts that allow to generate some auxiliary files. Such files (they store the position and weight of Gauss-Laguerre momentum nodes, and values to be interpolated for electron mass corrections, cosmological quantities, FTQED corrections) would be generated in any case during the execution of the main program, but you can prepare them earlier, once and for all.

The scripts are:

- `bin/prepare_gl_nodes`: it produces a file with the position and weights of all  $N$  nodes for each valid degree  $N$  of Laguerre polynomials that can be used in the code. In the default configuration, this will generate two sets of 1500 files. Compile with `make preparenodes`.
- `bin/prepare_interpolations`: using few different available options, it generates files with all the points that are used to compute interpolated quantities in the code. These include cosmological and FTQED integrals such as the electron mass corrections or energy density. Compile with `make prepareinterp` (with precompiler options, eventually. You can use the same ones of the main code, even if some will have no effect, plus additional ones to define the ranges over which you want to interpolate: `XIN`, `XFIN`, `YMIN`, `YMAX`, `STARTX`).
- `bin/read_gl_nodes`: read first and last nodes from the previously created list, and store them in a file, used for internal checks if available. Compile with `make readnodes`.

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<sup>6</sup> Note that this method limits significantly the possible applications because it does not allow to perform further actions. It is useful to produce complementary output files and some summary plots, though.

and 754496 (FELLINI, starting October 2020).

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