

# Measurement of the associated production of a Z boson and bottom or charm quarks with the ATLAS experiment

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## Abstract

This document presents the first step in obtaining unfolded cross sections of the  $Z \rightarrow (\ell\ell) + b$  and  $Z \rightarrow (\ell\ell) + b\bar{b}$  channels with  $\ell\ell$  corresponding to a muon or electron pair, in addition to measurements of kinematic variables. The analysis uses data collected by the ATLAS experiment at the LHC of p-p collisions corresponding to  $139\text{fb}^{-1}$  at a centre of mass energy of  $\sqrt{s} = 13\text{ TeV}$ . The benefits of a measurement of unfolded cross sections of kinematic variables for these processes are briefly discussed before the presentation of the measurement results. The plots show a good modelling of data by the Monte Carlo simulation, and provide an adequate starting point for later analysis and unfolding of these cross sections.

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
<b>2</b>	<b>Physical Theory</b>	<b>3</b>
2.1	The Standard Model . . . . .	3
2.1.1	Standard Model Particle Content . . . . .	3
2.2	The SM Lagrangian . . . . .	7
2.2.1	Gauge Theory . . . . .	8
2.2.2	Quantum Electrodynamics . . . . .	9
2.2.3	Quantum Chromodynamics . . . . .	11
2.2.4	Overview . . . . .	12
2.3	The Standard Model . . . . .	12
2.4	Describing the Standard Model Mathematically . . . . .	15
2.4.1	Quantum Chromodynamics . . . . .	15
2.4.2	Electroweak Theory . . . . .	16
2.4.3	Higgs Mechanism . . . . .	18
2.5	Signal Processes . . . . .	20
2.6	Background Processes . . . . .	21
<b>3</b>	<b>Experimental Setup</b>	<b>25</b>
3.1	The Large Hadron Collider . . . . .	25
3.1.1	Acceleration at the LHC . . . . .	25
3.1.2	Beam Structure . . . . .	28
3.1.3	Luminosity . . . . .	29
3.1.4	Pileup . . . . .	31
3.2	ATLAS . . . . .	32
3.2.1	The ATLAS Coordinate System . . . . .	33
3.2.2	The Magnet System . . . . .	34
3.2.3	The Inner Detector . . . . .	35
3.2.4	The Calorimeters . . . . .	39

3.2.5	The Muon Spectrometer . . . . .	43
3.2.6	The ATLAS Trigger System . . . . .	45

# 1 Introduction

The production of a Z boson from p-p collisions is an ideal target for analysis due to the strong identifiers of the process. The clear experimental signature of a Z boson decaying to a lepton pair is combined with the long lifetimes of b-hadrons to create a signature which is easy to observe, and that provides an excellent opportunity to study the production and dynamics of heavy flavour physics (heavy flavour physics is physics pertaining to quarks at the heavier end of the scale, namely bottom and charm, but not top quarks which have their own area of study).

In the Standard Model (SM), there are multiple processes which create the signal source of Z boson production in association with two b quarks, with the Z boson later decaying to two leptons. While these processes occur via different mechanisms, they all arrive at the same final state which this analysis examines. The Z boson production is only possible through the electroweak interaction, however strong interactions also take place in each of the signal processes. The most common of these processes occurs via the radiation of a gluon which splits into a bb pair. This is one of the central motivations to measuring  $Z \rightarrow (\ell\ell) + bb$  processes: performing a measurement of the strong signal processes allows the investigation of QCD phenomena and strong coupling coefficients, which could potentially lead to a more precise SM in the future.

Current  $Z \rightarrow (\ell\ell) + bb$  cross sections are calculated using next-to-leading-order perturbative quantum chromodynamics (NLO pQCD), and are associated with large theoretical uncertainties [1]. Cross sections of  $Z \rightarrow (\ell\ell) + bb$  interactions have been available for some time [2–4]. These processes have already been measured at lower centre of mass energy by both ATLAS [5] and CMS [6], however performing a more current analysis allows for several improvements over previous studies. Key among these improvements is access to the full dataset produced by ATLAS during Run2, which allows the analysis to be extended to phase spaces with lower cross sections.

Currently NLO pQCD calculation involving heavy flavour are associated with one of two schemes named the four-flavour number scheme (4FNS) and five-flavour number scheme (5FNS). In the 4FNS b quarks do not contribute to the proton wave-function and are considered to be massive (i.e. only the parton densities of gluons and the first two quark generations are considered within the proton). In the 5FNS, the b quark is treated as massless and the presence of an additional b quark density is assumed within the proton [7].

By definition these schemes must always give identical results if contributions from all orders are added, however the manner of ordering the perturbative expansion is not consistent between the two schemes at any given order. This allows the possibility of a difference between the two schemes to arise, however this can only occur when the schemes are considered at a fixed order. There are advantages and disadvantages to each of the schemes [8], and this analysis allows an insight into both the 4FNS and 5FNS.

The  $Z \rightarrow (\ell\ell) + b$  process is a useful tool for probing the structure of the proton, due to the presence of the additional b quark density in the initial state of the proton prior to collision (as described by the 5FNS). The  $Z \rightarrow (\ell\ell) + b$  is sensitive to this b quark density and measurements of its production could constrain the b quark parton density function (PDF)[9] of the proton.

Another benefit of performing a measurement of this process comes when considering its relation to other physical processes. The final state of a lepton pair and two b quarks is not uncommon, and many other processes have similar final states which leads to  $Z \rightarrow (\ell\ell) + bb$  being a common background. Many processes across multiple physical areas share this background, such as Higgs processes, and Dark Matter and SUSY searches. By obtaining a detailed measurement of  $Z \rightarrow (\ell\ell) + bb$  production, it is possible to improve the precision of many searches with similar final states.

There are, however, difficulties in performing a measurement such as this. Just as  $Z \rightarrow (\ell\ell) + bb$  provides a background for many physical searches due to the shared final state, there are many additional background processes to  $Z \rightarrow (\ell\ell) + bb$ . This makes a direct measurement difficult; an informed understanding of the background processes involved is essential in order to perform a good measurement.

The following report outlines and explains the steps used to perform a measurement of these processes using data collected by ATLAS at the LHC.

## 2 Physical Theory

The key to advancement and understanding in particle physics is being incorrect. While it would be ideal to simply pick up a pen and write out a grand unified theory or to observe a single particle event before identifying new and unseen physics, the far more practical approach is to repeatedly and precisely measure our current understanding of the physical world to find the point at which it breaks. Only by identifying where the current description of physical behaviour is wrong can one begin to postulate new descriptions that might be right.

The most straightforward manner in which to find areas where current physics knowledge is not sufficient for understanding is to said knowledge in order to define a model which describes the behaviour of the fundamental constituents of matter and the interactions between them. In the case of particle physics, a combination of mathematical theories that describe just this are grouped together and named the Standard Model of particle physics (SM).

### 2.1 The Standard Model

The SM is a non-Abelian gauge theory that fully describes the elementary particles and their interactions under three of the four fundamental forces: strong, weak, and electromagnetic (gravity is not described by the SM). A gauge theory is a form of quantum field theory (QFT) in which particles exist in the form of space-time permeating fields, and the traditional form of a particle is simply an excitation of said field. Unfortunately the words to adequately explain the mechanics of a QFT are notoriously difficult to find so the role must pass to language's bigger, better, nerdier half: mathematics.

#### 2.1.1 Standard Model Particle Content

Before beginning to describe the full model, it is important to consider what particles it contains. The particle content of the SM can be divided into two groups:

- fermions, particles which compose matter and antimatter within the universe
- bosons, particles which mediate particle interactions under each of the forces

These groups are not defined arbitrarily, but by the quantisation of each particle's intrinsic angular momentum: its spin ( $S$ ). Spin is measured in units of  $\hbar$  (the Planck constant,  $h$ , normalised to  $2\pi$ ): fermions have half integer spin, while bosons have integer spin<sup>1</sup>. Fermions obey Fermi-Dirac statistics and are therefore subject to the

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<sup>1</sup>Spin-0 bosons are also referred to as “scalar” bosons, and Spin-1 bosons as “vector” bosons

Pauli exclusion principle, preventing any two identical fermions within a system from occupying the same quantum state. Bosons obey Bose-Einstein statistics and face no such limitation.

### 2.1.1.1 Fermions

Due to Fermi-Dirac statistics, each fermion has a corresponding anti-particle: a particle with the same mass and spin but with opposite quantum numbers under charge-parity-time (CPT) conjugation. Fermions can be further subdivided into quarks and leptons by their interactions with the strong force: both contain three generations of fundamental fermions with increasing mass, however quarks possess a colour charge whereas leptons do not.

Colour in this sense does not refer to the typical description of colour, but a quantum number that parametrises these strong interactions with the value of either red ( $r$ ), green ( $g$ ), or blue ( $b$ ) for particles and anti-red ( $\bar{r}$ ), anti-green ( $\bar{g}$ ) or anti-blue ( $\bar{b}$ ) for anti-particles. The strong force binds particles with complementary colour charges together in order to create colourless states with no overall colour:

- States with an equal mixture of red, green, and blue ( $rgb$ )
- States with an equal mixture of anti-red, anti-green, and anti-blue ( $\bar{r}\bar{g}\bar{b}$ )
- States with a colour-anticolour pair ( $r\bar{r}, g\bar{g}, b\bar{b}$ )

Gluons themselves possess a colour charge, and can be any combination of colour-anticolour pair that produces a net colour charge (i.e. excluding the previously addressed colourless states). This powerful binding by the strong force prevents the existence of individual quarks, causing quarks to be bound into colourless states called hadrons by the phenomenon of colour confinement. Hadrons consisting of three quarks/anti-quarks are called baryons/anti-baryons, and hadrons consisting of quark-antiquark pairs are called mesons.

The three generations of quark are composed by doublets of one up-type quark and one down-type quark. These generations in order of increasing mass are:

- The first generation quarks, up ( $u$ ) and down ( $d$ )
- The second generation quarks, charm ( $c$ ) and strange ( $s$ )
- The third generation quarks, top ( $t$ ) and bottom ( $b$ )

Each quark has an electric charge,  $Q$ , which is described relative to the electron charge. Up-type quarks ( $u, c, t$ ) have a charge of  $+\frac{2}{3}$ , and down-type quarks have a charge of ( $d, s, b$ ),

$s$ ,  $b$ ) have a charge of  $-\frac{1}{3}$ . In addition to charge and colour charge, the  $u$  and  $d$  quarks possess a quantum number named Isospin ( $I$ ), and these quarks are assigned third component isospins ( $I_3$ ) of  $\frac{1}{2}$  and  $-\frac{1}{2}$  respectively. Table 2.1 summarises the properties of the SM quarks.

The three generations of leptons also exist in doublets, however these doublets consist of a negatively charged lepton and a corresponding neutrino with no electric charge. These three generations are:

- The first generation leptons, the electron ( $e$ ) and electron neutrino ( $\nu_e$ )
- The second generation leptons, the muon ( $\mu$ ) and muon neutrino ( $\nu_\mu$ )
- The third generation leptons, the tau ( $\tau$ ) and tau neutrino ( $\nu_\tau$ )

Unlike the quarks, the leptons have no colour charge and do not interact with the strong force whatsoever. While the masses of the charged leptons in each generation are well defined, neutrino masses have no intrinsic value within the SM. Experimental measurements of neutrino mixing, however, have determined an upper constraint for the neutrino masses of a small yet distinctly non-zero mass. Table 2.2 summarises the properties of the SM leptons.

### 2.1.1.2 Bosons

The spin-1 gauge bosons<sup>2</sup> of the SM mediate the interactions between particles under the fundamental forces. These bosons are:

- The photon ( $\gamma$ ) which mediates electromagnetic interactions
- The  $W^+$ ,  $W^-$ , and  $Z$  bosons which mediate weak nuclear interactions
- The gluon ( $g$ ) which mediates strong interactions

A spin-2 boson called the graviton has been proposed as a mediator of the gravitational force, however no experimental evidence of its existence has yet been observed. The ranges of each of the fundamental forces are limited by the Heisenberg uncertainty principle of  $\Delta E \Delta t > \frac{\hbar}{2}$ , as  $\Delta E$  for each force is dependent upon the mass of the mediator boson. The more massive bosons of the weak interaction have a much shorter range than the massless photon, which allows electromagnetic interactions to occur over an infinite range. The proposed graviton would also allow an infinite range for the

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<sup>2</sup>The “gauge” in “gauge bosons” arises from the gauge theories that describe the behaviour of the fundamental forces (see Section 2.2.1 and beyond).



Generation	Quark Flavour	Mass [MeV]	$Q$ (e)	Colour Charge
1	u	2.2	$+\frac{2}{3}$	$r, g, b$
	d	4.7	$-\frac{1}{3}$	$r, g, b$
2	c	$1.28 \times 10^3$	$+\frac{2}{3}$	$r, g, b$
	s	95	$-\frac{1}{3}$	$r, g, b$
3	t	$173 \times 10^3$	$+\frac{2}{3}$	$r, g, b$
	b	$4.18 \times 10^3$	$-\frac{1}{3}$	$r, g, b$

Table 2.1: A table showing the mass, charge, and colour charge of the quark generations described by the SM [7].

Generation	Lepton Flavour	Mass [MeV]	$Q$ (e)	Colour Charge
1	$e$	0.511	-1	—
	$\nu_e$	$< 2 \times 10^{-3}$	0	
2	$\mu$	106	-1	—
	$\nu_\mu$	$< 0.19$	0	
3	$\tau$	1777	-1	—
	$\nu_\tau$	$< 18.2$	0	

Table 2.2: A table showing the mass, charge, and colour charge of the leptons described by the SM [7].

Boson	Interaction	Mass [GeV]	$Q$ (e)	Colour Charge	Spin
$\gamma$	EM	0	0	—	1
$W^\pm$	Weak	80.38	$\pm 1$	—	1
$Z$	Weak	91.19	0	—	1
$g$	Strong	0	0	8 possible colour-anticolour pairs	1
$h$	Yukawa	125.18	0	—	0

Table 2.3: A table showing the respective interaction, mass, charge, colour charge, and spin of the bosons described by the SM [7].

gravitational force, however this is not the case for the strong force due to the ability of the gluon to self-interact (see Section ).

The final boson of the SM is the massive spin-0 Higgs boson, which does not mediate the interactions under one of the fundamental forces, but instead mediates interactions between massive particles and the Higgs field. The mass of fermions, the gauge bosons, and the Higgs boson itself are all due to various interactions with the Higgs boson.

Table 2.3 summarises the properties of the SM bosons.

## 2.2 The SM Lagrangian

The equations of motion for this system are governed by the Principle of Least Action: The manner in which a system evolves between two states is described by the trajectory that minimises the action (S). For example, the motion of a free particle between two points would follow the path of a straight line, as this path requires the least possible energy and therefore minimises the action. The action is defined as:

$$S = \int d^4x \mathcal{L}[\phi(x^\mu), \partial_\mu \phi(x^\mu)] \quad (1)$$

where  $\mathcal{L}[\phi(x^\mu), \partial_\mu \phi(x^\mu)]$  represents the Lagrangian density of the field  $\phi(x^\mu)$  and  $x^\mu$  is the four-vector notation of  $x$  such that<sup>3</sup>:

$$x^\mu = (t, x, y, z) \quad \text{and} \quad x_\mu = (t, -x, -y, -z) \quad (2)$$

$$\partial_\mu \equiv \frac{\partial}{\partial x^\mu} = \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right) \quad \text{and} \quad \partial^\mu \equiv \frac{\partial}{\partial x_\mu} = \left( \frac{\partial}{\partial t}, -\frac{\partial}{\partial x}, -\frac{\partial}{\partial y}, -\frac{\partial}{\partial z} \right) \quad (3)$$

These principles allow the derivation of the Euler-Lagrange equation

$$\frac{\partial \mathcal{L}}{\partial \phi} = \partial_\mu \left( \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} \right) \quad (4)$$

which is the basis for many of the classical mechanical equations of motion (e.g. Newton's Second Law). Just as the Lagrangian of a classical system would be used to obtain its equations of motion, the same is true of the SM, and the SM can be described by the Lagrangian formalism:

$$\mathcal{L}_{\text{SM}} = \mathcal{L}_{\text{EWK}} + \mathcal{L}_{\text{QCD}} + \mathcal{L}_{\text{Higgs}} + \mathcal{L}_{\text{Yukawa}} \quad (5)$$

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<sup>3</sup>“Lagrangian density” and “ $x^\mu$ ” will henceforth be written as “Lagrangian” and “x” respectively, so that the author may follow the Principle of Least Action while writing.

### 2.2.1 Gauge Theory

In order to understand the SM, it is important to first understand the concept of a gauge theory. Consider a complex scalar field with mass  $m$  described by the Lagrangian:

$$\mathcal{L} = \partial^\mu \psi^\dagger \partial_\mu \psi - m^2 \psi^\dagger \psi \quad (6)$$

Under a rotation in the complex plane of angle  $\alpha$  where

$$\psi(x) \rightarrow e^{i\alpha} \psi(x) \quad \text{and} \quad \psi^\dagger(x) \rightarrow e^{-i\alpha} \psi^\dagger(x) \quad (7)$$

the lagrangian can be seen to be invariant as:

$$\begin{aligned} \mathcal{L} &= \partial^\mu e^{-i\alpha} \psi^\dagger \partial_\mu e^{i\alpha} \psi - m^2 e^{-i\alpha} \psi^\dagger e^{i\alpha} \psi \\ &= (\partial^\mu \psi^\dagger \partial_\mu \psi - m^2 \psi^\dagger \psi) (e^{-i\alpha} e^{i\alpha}) \\ &= (\partial^\mu \psi^\dagger \partial_\mu \psi - m^2 \psi^\dagger \psi) (e^0) \\ &= \partial^\mu \psi^\dagger \partial_\mu \psi - m^2 \psi^\dagger \psi \end{aligned} \quad (8)$$

Therefore this lagrangian can be described as symmetric under global U(1) transformations: global, as  $\alpha$  is invariant under transformation in  $x$ , and U(1) referring to the one-dimensional group of unitary transformations (which includes the previous transformation of the scalar field). Issues arise with this symmetry under a local transformation (i.e. where  $\alpha$  depends on  $x$ ).

Under this condition the mass term of the equation remains invariant, however the same is not true for the kinetic term as

$$\begin{aligned} \partial_\mu \psi &\rightarrow e^{i\alpha} \partial_\mu \psi + iq \partial_\mu \alpha e^{i\alpha} \psi \\ &= e^{i\alpha} (\partial_\mu + i \partial_\mu \alpha) \psi \end{aligned} \quad (9)$$

Restoring the local U(1) symmetry requires an update to the previous lagrangian: the introduction of a vector field,  $A_\mu(x)$ , that transforms as

$$A_\mu \rightarrow A_\mu - \frac{1}{e} \partial_\mu \alpha \quad (10)$$

This allows the definition of a new derivative such that

$$D_\mu \psi \rightarrow e^{i\alpha} D_\mu \psi \quad (11)$$

allowing for invariance under a local U(1) transformation. This derivative is the covariant derivative, and it is defined as

$$D_\mu = \partial_\mu + ieA_\mu \quad (12)$$

This can be checked by now observing the covariant derivative under a local U(1) transformation:

$$\begin{aligned} D_\mu \psi &\rightarrow (\partial_\mu + ie(A_\mu - \frac{1}{e}\partial_\mu \alpha))e^{i\alpha}\psi \\ &= e^{i\alpha}\partial_\mu \psi + i\partial_\mu \alpha e^{i\alpha}\psi + iA_\mu e^{i\alpha}\psi - i\partial_\mu \alpha e^{i\alpha}\psi \\ &= e^{i\alpha}(\partial_\mu + ieA_\mu + i\partial_\mu \alpha - i\partial_\mu \alpha)\psi \\ &= e^{i\alpha}(\partial_\mu + ieA_\mu)\psi \\ &= e^{i\alpha}D_\mu \psi \end{aligned} \quad (13)$$

With this change, the new Lagrangian under a local U(1) transformation becomes

$$\begin{aligned} \mathcal{L} &= e^{-i\alpha}D^\mu \psi^\dagger e^{i\alpha}D_\mu \psi - m^2 e^{-i\alpha}\psi^\dagger e^{i\alpha}\psi \\ &= (D^\mu \psi^\dagger D_\mu \psi - m^2 \psi^\dagger \psi)(e^{-i\alpha}e^{i\alpha}) \\ &= D^\mu \psi^\dagger D_\mu \psi - m^2 \psi^\dagger \psi \end{aligned} \quad (14)$$

and the symmetry is restored. The new field  $A_\mu$  which restores the local U(1) symmetry is referred to as the gauge field, and the modified Lagrangian as the gauge theory.

### 2.2.2 Quantum Electrodynamics

Quantum Electrodynamics (QED) is a gauge theory that covers the EM interactions between photons and fermions where  $A_\mu$  represents the photon vector field and  $\psi$  represents the charged fermion spinor fields. The Dirac Lagrangian describes interactions between these spinor fields

$$\mathcal{L}_{\text{Dirac}} = \bar{\psi}(i\cancel{D} - m)\psi \quad (15)$$

with  $m$  representing the mass of the fermion,  $\bar{\psi} = \psi^\dagger \gamma^0$ , and  $\cancel{D} = \gamma^\mu \partial_\mu$  where  $\gamma^\mu$  is the four-vector notation of the Dirac gamma matrices:

$$\gamma^\mu = \begin{pmatrix} 0 & \sigma^\mu \\ \bar{\sigma}^\mu & 0 \end{pmatrix} \quad (16)$$

where  $\sigma^\mu = (1, \sigma^i)$ ,  $\bar{\sigma}^\mu = (1, -\sigma^i)$ , and  $\sigma^i$  represents the  $2 \times 2$  Pauli matrices:

$$\sigma^1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma^2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma^3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (17)$$

and under the Pauli-Dirac representation

$$\gamma^0 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (18)$$

such that

$$\gamma^5 \equiv i\gamma^0\gamma^1\gamma^2\gamma^3 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad (19)$$

As in the previous example, this Lagrangian is invariant under a global U(1) transformation such that

$$\psi(x) \rightarrow e^{iq\alpha}\psi(x) \quad \text{and} \quad \bar{\psi}(x) \rightarrow e^{-iq\alpha}\bar{\psi}(x) \quad (20)$$

however gauge invariance is lost under a global U(1) transformation. The symmetry can be restored with the introduction of the covariant derivative  $D_\mu$  and the photon vector field  $A_\mu$  describing the interactions between fermions and photons.

However, in order to form a complete QED Lagrangian it is also important to consider the kinematics of the  $A_\mu$  field itself. This can be achieved with the introduction of the antisymmetric field strength tensor  $F^{\mu\nu}$  which is defined as

$$\begin{aligned} F^{\mu\nu} &= \partial_\mu A_\nu - \partial_\nu A_\mu \\ &= -\frac{i}{q}[D^\mu, D^\nu] \end{aligned} \quad (21)$$

The introduction of this tensor results in a QED Lagrangian of the form

$$\mathcal{L}_{\text{QED}} = \bar{\psi}(i\not{D} - m)\psi - \frac{1}{4}F_{\mu\nu}F^{\mu\nu} \quad (22)$$

No other higher order terms (such as terms proportional to  $A^3$  or proportional to  $m^2 A^\mu A_\mu$ ) are present in this Lagrangian, as their presence would remove the local gauge invariance. This prohibits the presence of both a mass term for the QED gauge boson (hence the massless nature of the photon), and any higher order terms for the photon field which prevents photon self interaction.

### 2.2.3 Quantum Chromodynamics

### 2.2.4 Overview

The SM Lagrangian is far more complex than in the case of the previous gauge theory. A model of physics in its most fundamental form should be the same in all reference frames, and therefore the SM must be invariant under all translations, rotations, and boosts in addition to invariance under its gauge symmetry group. Said symmetry group arises from the combination of the mathematical description of these forces: quantum chromodynamics for the strong force, and WSG theory for electroweak.

## 2.3 The Standard Model

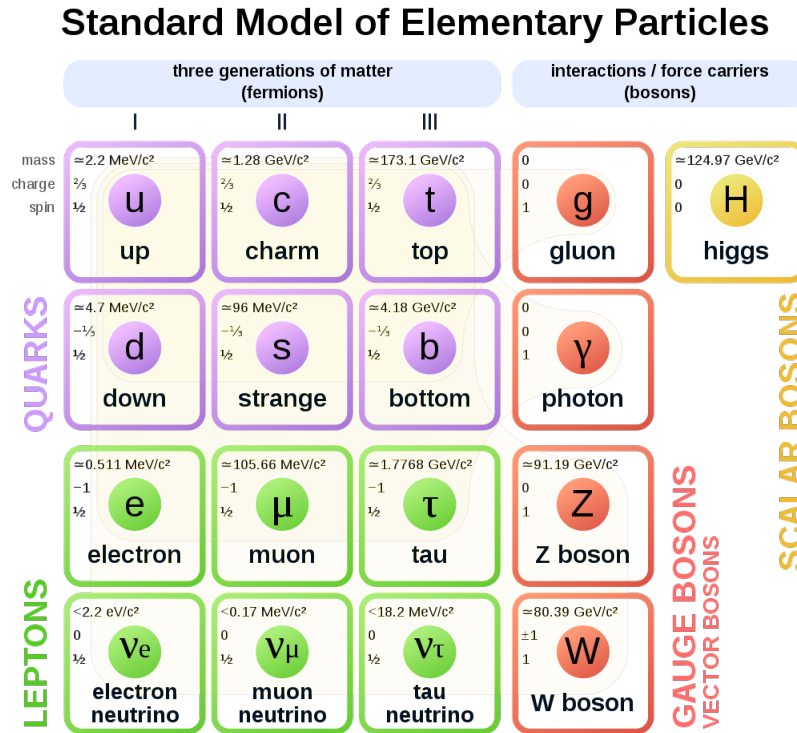


Figure 2.1: The Standard Model of particle physics.

To study particle physics is to study the fundamental constituents of matter, and the interactions between them. In order to describe both these constituents and the interactions between them, a model called the Standard Model (SM) is used. The SM is a local, Lorentz-invariant quantum field theory. These interactions arise from the requirement of local gauge invariance within the SM, and can be described by group theory. The SM gauge group is as follows:

Gauge Boson	Mass	Charge	Spin	Force	Theory
gluon	0	0	1	strong	QCD
$W^\pm$	$80.379 \pm 0.012$	$\pm 1$	1	weak	Electroweak
$Z^0$	$91.1876 \pm 0.0021$	0	1	weak	Electroweak
$\gamma$	$< 1 \times 10^{-18}$	0	1	electromagnetic	Electroweak

Table 2.4: A table showing the properties of the Standard Model gauge bosons[7].

$$SU(3)_C \otimes SU(2)_L \otimes U(1)_Y \quad (23)$$

where  $C$  is colour,  $L$  is left-handedness, and  $Y$  is hypercharge. In the SM, constituent matter particles are titled *fermions*: half integer spin particles that obey Fermi Dirac statistics. The forces that cause the interactions between fermions are described by *gauge bosons*: integer spin particles that obey Bose Einstein statistics. Each force is carried by a particular type of these mediator bosons: photons ( $\gamma$ ) carry the electromagnetic force, gluons ( $g$ ) carry the strong force, and  $W^\pm$  and  $Z^0$  bosons carry the weak force. Gravity is the only known fundamental force that is not described by the SM. Table 2.4 summarises the properties of the SM bosons.

Fermions can be further subsectioned into two separate groups: quarks and leptons. Each group has three generations which, as a general rule of thumb, have increasing particle mass from generation to generation.

Leptons are classified by their charge ( $Q$ ), lepton flavour number (the lepton flavour number is different for each generation of lepton and can be electron number ( $L_e$ ), muon number ( $L_\mu$ ), or tau number ( $L_\tau$ )), and the third component of weak isospin ( $T_3$ ). It is also important to consider the additional quantity of weak hypercharge ( $Y_W$ ) which is directly related to both the lepton charge and weak isospin by the equation:

$$Y_W = 2 \cdot (Q - T_3) \quad (24)$$

The charged leptons (i.e.  $e$ ,  $\mu$ , and  $\tau$ ) are able to interact via both the weak and the electromagnetic force, however the neutral leptons ( $\nu_e$ ,  $\nu_\mu$ , and  $\nu_\tau$ ) can only interact with the weak force. Table 2.5 summarises the properties of leptons within the SM.

For each lepton a left-handed weak isospin doublet is formed:

$$\begin{pmatrix} T_3 = +1/2 \\ T_3 = -1/2 \end{pmatrix} = \begin{pmatrix} \nu_{eL} \\ e_L^- \end{pmatrix}, \begin{pmatrix} \nu_{\mu L} \\ \mu_L^- \end{pmatrix}, \begin{pmatrix} \nu_{\tau L} \\ \tau_L^- \end{pmatrix} \quad (25)$$



Lepton Flavour	Mass	Q	$Y_W$	$L_e$	$L_\mu$	$L_\tau$
$e^-$	0.511 MeV	-1	-1	1	0	0
$\nu_e$	$< 2$ eV	0	-1	1	0	0
$\mu$	105.7 MeV	-1	-1	0	1	0
$\nu_\mu$	$< 0.19$ MeV	0	-1	0	1	0
$\tau$	1776.8 MeV	-1	-1	0	0	1
$\nu_\tau$	$< 18.2$ MeV	-1	-1	0	0	1

Table 2.5: A table showing the properties of the Standard Model leptons[7].

Quark Flavour	Mass	$Q$	$I_3$	$C$	$S$	$T$	$B$
u	$2.3^{+0.7}_{-0.5}$ MeV	2/3	1/2	0	0	0	0
d	$4.8^{+0.7}_{-0.3}$ MeV	-1/3	-1/2	0	0	0	0
c	$1.275 \pm 0.025$ GeV	2/3	0	1	0	0	0
s	$95 \pm 5$ MeV	-1/3	0	0	-1	0	0
t	$173.2 \pm 0.9$ GeV	2/3	0	0	0	1	0
b	$4.18 \pm 0.03$ GeV	-1/3	0	0	0	0	-1

Table 2.6: A table showing the properties and quantum numbers of the SM quarks[7].

The SM forbids right-handed neutrinos, and as such weak isospin singlets ( $T_3 = 0$ ) are formed by the right handed leptons in each generation:  $e_R^-$ ,  $\mu_R^-$ , and  $\tau_R^-$ .

Quarks are classified by their charge ( $Q$ ), and their flavour quantum numbers: baryon number (the third component of the isospin ( $I_3$ )), strangeness ( $S$ ), charmness ( $C$ ), bottomness ( $B$ ), and topness ( $T$ ). Unlike the SM bosons and leptons, quarks are able to interact via all three of the fundamental forces. In addition to these flavour quantum numbers, quarks also have an additional quantum number named colour charge which can take one of three values (often referred to as red, blue, or green). The term colour only describes this quantum numbers, and not the colour of visible light. Table 2.6 summarises the properties of the SM quarks.

In addition to the quarks and leptons, each fermion has its own antiparticle. These antiparticles are opposites to their matter particles, with reversed signs for all quantum numbers. We can obtain the total number of fermions in the standard model by simply summing their number: 6 leptons, 6 anti-leptons, 18 quarks (there are three variations for each quark flavour due to the three colour charges), and 18 antiquarks. This gives the SM a total of 12 leptons and 36 quarks.

The SM is a highly successful model and the predictions of the models have been tested to very high levels of accuracy by CERN, however it is known that the model is

imperfect (hence the need for experimental physics). In order to improve the model, it must be rigorously tested in every facet until it is broken (such that it can then be revised and improved).

An example of this process can be seen by considering the constraint placed on the SM by electroweak (EW) theory: under EW theory, the masses of the  $W^\pm$  and  $Z^0$  bosons (i.e. the gauge bosons of the weak force) violate invariance under local gauge transformations. However, despite this the  $W^\pm$  and  $Z^0$  bosons have large masses. In order to account for this within the SM, the Higgs mechanism is required. The Higgs mechanism results in the presence of an additional gauge boson with a mass of 125 GeV in the SM known as the Higgs boson [10][11]. The Higgs mechanism is described in greater detail in section 2.2.3.

## 2.4 Describing the Standard Model Mathematically

### 2.4.1 Quantum Chromodynamics

The strong interaction is described by a process known as Quantum Chromodynamics (QCD)[7][12]: a non-Abelian gauge theory based on the  $SU(3)$  symmetry group of colour. This group has eight generators which correspond to the eight massless gluons that mediate the interactions of coloured quarks. These quarks are described by colour triplets:

$$q_f^T = (q_f^1, q_f^2, q_f^3) \quad (26)$$

with 1, 2, and 3 representing the three colour states of red, green, and blue. The Lagrangian density of QCD is given by

$$L_{QCD} = \sum_{j=1}^{n_f} \bar{q}_j (iD_\mu \gamma^\mu - m_j) q_j - \frac{1}{4} \sum_{A=1}^8 F^{A\mu\nu} F_{\mu\nu}^A \quad (27)$$

The first sum of this equation corresponds to the quark contribution, and the second the gluon contribution. This equation is written with the quark-field spinors,  $q_j$ , and the quark masses  $m_j$ .  $D_\mu = \partial_\mu - ig_s T_A \mathcal{A}_\mu^A$  is the covariant derivative, where  $\mathcal{A}_\mu^A$  correspond to the gluon fields and  $T_A$  to the eight generators of the  $SU(3)$  symmetry group. The  $\gamma^\mu$  represent the Dirac matrices and  $F_{\mu\nu}^A$  represents the field strength tensor based on the gluon field  $\mathcal{A}_\mu^A$

$$F_{\mu\nu}^A = \partial_\mu \mathcal{A}_\nu^A - \partial_\nu \mathcal{A}_\mu^A - g_s f_{ABC} \mathcal{A}_\mu^B \mathcal{A}_\nu^C \quad (28)$$

with the QCD coupling constant,  $g_s = \sqrt{4\pi\alpha_s}$ , and the structure constants of the SU(3) symmetry group.

The SU(3) group is a non-Abelian group and as such the third term in Eq. 6 does not vanish and thus the present gluon fields are able to self-interact. The self interaction leads to asymptotic freedom as the effective coupling constant of the strong interaction decreases with increasing energy. In this case, quarks and gluons can be treated as free and their interactions can be calculated within perturbative theory. This is only allowed at short distance (equivalent to high energy), where the strong coupling constant converges asymptotically against zero. However, with increasing distance between two quarks (i.e. decreasing energy), the quarks become bounded in hadrons through a process called confinement.

#### 2.4.2 Electroweak Theory

The full gauge group of the SM gauge theory is  $SU(3) \otimes SU(2) \otimes U(1)$ . Just as the non-Abelian group SU(3) gives rise to QCD, the non-Abelian group SU(2) and Abelian group U(1) give rise to the electroweak (EW) theory: the gauge theory behind the electroweak force. The electromagnetic and weak interactions can be unified under the  $SU(2) \otimes U(1)$  symmetry group, and this is described by EW theory. The SU(2) group involves three gauge fields with a corresponding gauge bosons of  $W_\mu^i$  with  $i = 1, 2, 3$ . The U(1) group involves a single gauge field and a corresponding gauge boson of  $B_\mu$ . The Lagrangian of EW theory is

$$\mathcal{L}_{EW} = \sum_{j=1}^3 i \bar{\psi}_j(x) \gamma^\mu D_\mu \psi_j(x) - \frac{1}{4} B_{\mu\nu} B^{\mu\nu} - \frac{1}{4} W_{\mu\nu}^j W_j^{\mu\nu} \quad (29)$$

with  $\sum_{j=1}^3 i \bar{\psi}_j(x) \gamma^\mu D_\mu \psi_j(x)$  corresponding to the fermion contribution and the remainder of the equation corresponding to the contribution from the gauge field. In this equation, the field strength tensors arise from the corresponding gauge bosons and have the following form

$$\begin{aligned} W_{\mu\nu}^i &= \partial_\mu W_\nu^i - \partial_\nu W_\mu^i + g \epsilon_{ijk} W_\mu^j W_\nu^k \\ B_{\mu\nu} &= \partial_\mu B_\nu - \partial_\nu B_\mu \end{aligned} \quad (30)$$

$D_\mu$  describes the covariant derivative

$$D_\mu = \partial_\mu - ig \frac{\sigma_j}{2} W_\mu^j(x) - ig' \frac{Y}{2} B_\mu(x) \quad (31)$$

with the coupling constants  $g$  and  $g'$  corresponding to SU(2) and U(1) respectively, and  $\sigma_j$  the  $j^{th}$  member of the Pauli matrices:

$$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \quad \sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \quad \sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (32)$$

The fermionic half of the equation contains a term corresponding to the kinetic energy of the fermions along with the a covariant derivative term which describes the interaction of fermions with the gauge field. No explicit mass term for the fermions is allowed in this Lagrangian as such a term would result in a mixture of left-handed multiplets and right-handed singlets. As the weak interaction can only couple to left-handed fermions, this would require a violation of local gauge invariance which is forbidden.

Similarly to the fermionic half of the equation, the gauge field portion of the equation contains a term for kinetic energy and a term for the self interaction between the gauge fields. It is the non-Abelian nature of SU(2) that gives rise to this self interacting term. In order to avoid violation of the invariance of local gauge transformations, this portion of the Lagrangian also excludes any explicit mass term.

The four gauge bosons resultant of the SU(2)⊗U(1) symmetry group do not directly translate into the SM bosons of  $W^\pm$ ,  $Z$ , and  $\gamma$ .  $W^\pm$  are linear combinations of  $W_\mu^1$  and  $W_\mu^2$

$$W_\mu^\pm = \frac{1}{\sqrt{2}}(W_\mu^1 \mp iW_\mu^2) \quad (33)$$

which represent the charged part of the interaction.  $Z$  and  $\gamma$  represent the neutral part of the interaction and evolve from the mixing of the  $W_\mu^3$  and  $B_\mu$  neutral fields

$$\begin{pmatrix} A_\mu \\ Z_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_W & \sin \theta_W \\ -\sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} B_\mu \\ W_\mu^3 \end{pmatrix} \quad (34)$$

with the weak mixing angle  $\theta_W$ .

### 2.4.3 Higgs Mechanism

The presence of the massive  $W^\pm$  and  $Z$  bosons within EW theory requires that these masses be accommodated in a gauge invariant and renormalisable manner: the Higgs mechanism [13][14].

In the Higgs mechanism, the spontaneous symmetry breaking of the quantum vacuum ground state generates the masses of the  $W^\pm$  and  $Z$  bosons while leaving the fundamental symmetry of EW theory unchanged. The Higgs mechanism introduces a complex scalar  $SU(2)$  doublet  $\phi$  with a hypercharge  $Y = 1$

$$\phi(x) = \begin{pmatrix} \phi^{(+)}(x) \\ \phi^0(x) \end{pmatrix} = \sqrt{\frac{1}{2}} \begin{pmatrix} \phi_1(x) + i\phi_2(x) \\ \phi_3(x) + i\phi_4(x) \end{pmatrix} \quad (35)$$

Coupling  $\phi$  to the gauge bosons allows a gauge invariant Lagrangian to be obtained:

$$L_{Higgs} = (D_\mu \phi)^\dagger D^\mu \phi - V(\phi) \quad (36)$$

using the covariant derivative  $D_\mu$  defined in Eq 8.  $V(\phi)$  describes the most general renormalisable potential invariant under an  $SU(2)_L \otimes U(1)_Y$  gauge transformation

$$V(\phi) = \mu^2 \phi^\dagger \phi + \lambda (\phi^\dagger \phi)^2 \quad (37)$$

The potential is dependent upon the choice of  $\mu$  and  $\lambda$ , as this selection constrains the bounds of the potential. In the instance where  $\mu^2 < 0$  and  $\lambda > 0$  the potential is bounded from below, and has a rotationally symmetric degenerate ground state

$$-\frac{\mu^2}{2\lambda} = \frac{v^2}{2} \quad (38)$$

where  $v$  describes the vacuum expectation value related to the Fermi constant  $G_F$

$$v = \sqrt{\frac{1}{\sqrt{2}G_F}} \approx 246.22 \text{ GeV} \quad (39)$$

$\phi(x)$  is expanded using Eq. 12 via perturbation theory. The ground state can be fixed to  $\phi_1 = \phi_2 = \phi_4 = 0$  and  $\phi_3 = v$  at

$$\phi_0(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix} \quad (40)$$

as the choice of ground state is arbitrary when considering a rotation in phase space. The ground state is invariant with respect to a  $U(1)_{em}$  symmetry (which is a subgroup of  $SU(2) \otimes U(1)$ ). The Higgs  $SU(2)$  doublet can be further expanded around the ground state  $\phi_0(x)$ , which results in

$$\phi(X) = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v + H(X) \end{pmatrix} \quad (41)$$

Once the vacuum state of Eq. 14 is chosen, the underlying symmetry of  $SU(2)_L \otimes U(1)_Y$  is spontaneously broken. The photon is left massless due to the remaining symmetry of  $U(1)_{em}$ . Three of the four degrees of freedom of EW theory are absorbed by the longitudinal polarization of the gauge bosons to form the massive  $W^\pm$  and  $Z^0$  bosons. The remaining degree of freedom corresponds to the Higgs boson - a neutral scalar particle.

In summary, the above equations give the following Lagrangian for the Higgs field after spontaneous symmetry breaking has occurred:

$$\begin{aligned} L_{Higgs} = & \frac{1}{2} \partial_\mu H \partial^\mu H + const \\ & + \frac{1}{4} g^2 v^2 W_\mu^+ W^{-\mu} + \frac{1}{8} (g^2 + g'^2) v^2 Z_\mu Z^\mu - \lambda v^2 H^2 \\ & + \frac{1}{2} g^2 v H W_\mu^+ W^{-\mu} + \frac{1}{4} (g^2 + g'^2) v H Z_\mu Z^\mu \\ & + \frac{1}{4} g^2 H^2 W_\mu^+ W^{-\mu} + \frac{1}{8} (g^2 + g'^2) H^2 Z_\mu Z^\mu \\ & - \lambda v H^3 - \frac{1}{4} \lambda H^4 \end{aligned} \quad (42)$$

For each of the gauge bosons at tree level, a mass term can be determined directly from the Lagrangian

$$M_W = \frac{1}{2} v g = \frac{ev}{2 \sin \theta_W} \quad (43)$$

$$M_Z = \frac{1}{2} \sqrt{g^2 + g'^2} v = \frac{ev}{2 \sin \theta_W \cos \theta_W} = \frac{M_W}{\cos \theta_W} \quad (44)$$

$$M_\gamma = 0 \quad (45)$$

$$M_H = v \sqrt{2\lambda} \quad (46)$$

$$(47)$$

The vacuum expectation value is a direct factor of both the W and Z mass, hence it could be determined by measuring the masses of both bosons. The Higgs boson mass,

however, cannot be calculated from the vacuum expectation value due to its dependence on  $\lambda$  which is a free parameter in the SM.

Fermion mass terms must be added via trilinear Yukawa couplings of the fermions to the Higgs fields, and this results in additional terms for the Lagrangian. These fermion masses are given by

$$m_f = \frac{1}{\sqrt{2}} g_f v \quad (48)$$

with the coupling constants  $g_f$  being free parameters of the SM.

## 2.5 Signal Processes

The signal process for  $Z \rightarrow (\ell\ell) + b$  or  $Z \rightarrow (\ell\ell) + bb$  contain events where the Z boson decays to two muons or two electrons ( $Z \rightarrow (ee)$  or  $Z \rightarrow (\mu\mu)$ ). This section describes the signal processes for  $Z \rightarrow (\mu\mu)$  however the processes for  $Z \rightarrow (ee)$  are similar, with the only difference being the decay products of the Z boson.

The most common production of a Z boson which decays to two muons is through the Drell-Yan process [15] - a quark-antiquark pair from two colliding protons annihilate and produce two muons through the weak force mediated by a Z boson. However, in order to arrive at the final state either of the signal processes for this analysis ( $Z \rightarrow (\mu\mu) + b$  or  $Z \rightarrow (\mu\mu) + bb$ ), an additional requirement must be met - the presence of one or two b-quarks.

The most common mechanism for this process to occur is for one of the annihilating quarks to radiate a gluon. The gluon then produces a pair of b-quarks (with one a standard b-quark, and the other an anti b-quark), meeting the requirements for the final state of the process. The Feynman diagram of this process can be seen in Figure 2.2a.

Another dominant process for this production is a pair of gluons producing two quark-antiquark pairs. Each of the two gluons produces a bb pair, and interaction between the two pairs allows two of the quarks to annihilate and produce a Z boson (which later decays to two muons). The Feynman diagram for this process can be seen in Figure 2.2b.

Finally, another process to be considered is that of the Compton process by which the interaction of a gluon with a single quark radiates a Z boson. It is this process that allows for the insight into the structure of the proton. At any one time, the content of a proton can be described by its PDF.

The PDF of a given parton is a function of two variables: the fraction of the proton momentum carried by a parton ( $x$ ), and the “scale” corresponding to the energy at which the parton is probed ( $Q^2$ ). As the protons are collided inside the LHC beamline,

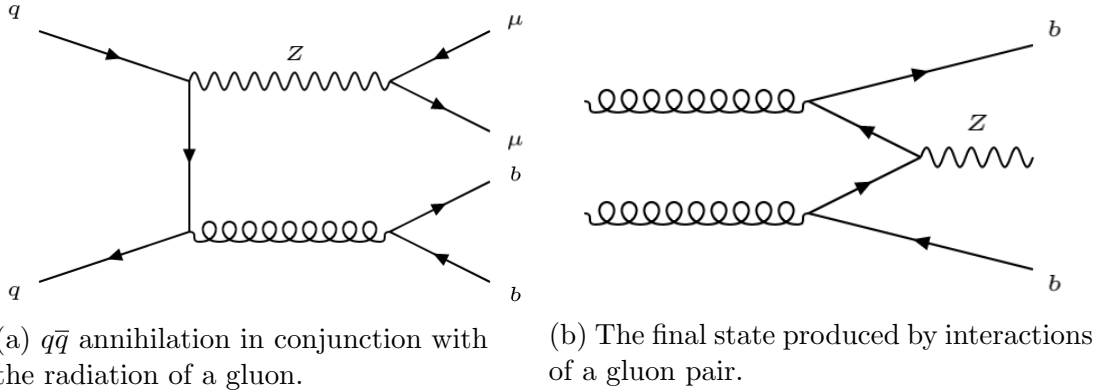


Figure 2.2: A figure showing two possible mechanisms by which a  $Z \rightarrow (\mu\mu) + b\bar{b}$  final state can be achieved.

the sea-quarks within the proton are able to interact with other partons such as valence quarks and gluons. For example in the case of  $Z \rightarrow (\mu\mu) + b$ , the gluons and quarks interact via a hard process to produce a final state with one  $b$ -quark and a  $Z$  boson, which is subsequently detected by ATLAS. The cross section for this hard process can be written in the following form:

$$\frac{d\sigma}{dQ^2} = \sum_{i,j \in \{q,\bar{q},g\}} \int dx_1 \int dx_2 f_i(x_1, Q^2) f_j(x_2, Q^2) + f_i(x_2, Q^2) f_j(x_2, Q^2) \frac{d\hat{\sigma}_{ij}}{dQ^2} \quad (49)$$

With  $\hat{\sigma}_{ij}$  as the cross section for (anti)-quarks and/or gluons, where  $i$  and  $j$  label the species of the colliding particle, and  $f_i(x, Q^2)$  the probability to find a particle of type  $i$  carrying a fraction  $x$  of the proton momentum[16]. When the process is detected, the momentum of the incident quarks can be calculated and used to perform a measurement of the proton PDF. PDFs are useful for calculating the cross sections of physical processes, and a measurement of  $Z \rightarrow (\mu\mu) + b$  allows for a measurement of the proton PDF as well. The Feynman diagram for this process can be seen in Figure 2.3.

## 2.6 Background Processes

As with the signal processes, the background process for  $Z \rightarrow (\ell\ell) + b$  and  $Z \rightarrow (\ell\ell) + b\bar{b}$  are almost identical for both  $Z \rightarrow (ee)$  and  $Z \rightarrow (\mu\mu)$  with the only difference being the decay products of the  $Z$  boson. This section describes the processes for  $Z \rightarrow (\mu\mu)$ .

The difficulty of  $Z \rightarrow (\mu\mu) + b\bar{b}$  analysis is in no small part due to the number of background processes that make a direct measurement difficult. In order to obtain a clear and accurate measurement of the signal process, there must be an equally clear



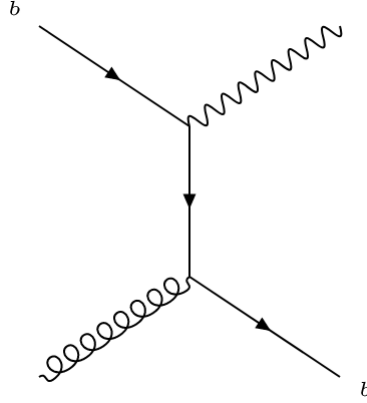
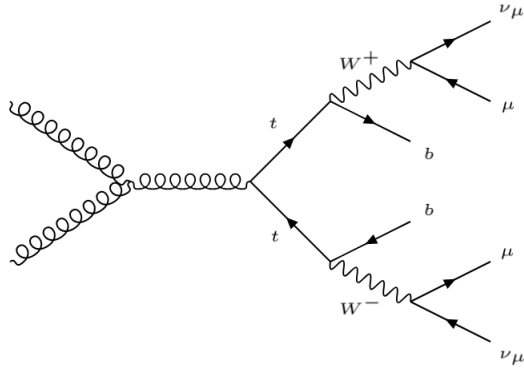


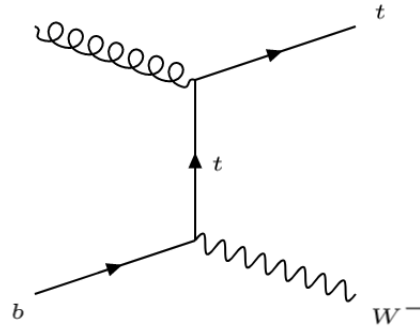
Figure 2.3: A figure showing the Feynman diagram for the Compton Process.

understanding of the background processes such that any effect they have on a measurement of the signal process can be modelled and removed.

The first and most common of these background processes is the top quark pair background. A pair of top quarks (specifically  $t\bar{t}$ ) are produced through one of many potential mechanisms, such as via a gluon interaction. Each top quark then undergoes a weak interaction to become a b quark, and produces a muon via a W boson and weak decay (as the overall charge of the process must be conserved). This results in the same final state as the  $Z \rightarrow (\mu\mu) + b\bar{b}$  signal process plus two additional neutrinos from the weak interaction of each top quark to a bottom quark. The Feynman diagram for this process can be seen in Figure 2.4a.



(a) The Top Quark Pair Production background process.



(b) The Single Top background process.

Figure 2.4: A figure showing the Feynman diagrams of Top Quark Pair Production and Single Top background production.

Another background process dependent on a charged current interaction is the single top background process. An incoming b-quark interacts with a gluon and experiences a

weak interaction. A W boson is produced alongside a top quark, corresponding to the weak interaction of the b-quark. This results in the emission of only a single top quark, along with a W boson. This process can be seen in Figure 2.4b. The final state is achieved by the weak interaction of first the top quark, which produces the necessary b-quark and an additional W boson, followed by the leptonic decay of two W bosons which produce a muon and a neutrino each.

In addition to these background processes are the diboson background processes. In these interactions, annihilating quarks produce a combination of two bosons which produce the required final state particles. The first of these processes is referred to as ZqqZll, in which both of the bosons produced are Z bosons. One Z boson decays to two leptons, and the second decays to two quarks. The most common source of this background in  $Z \rightarrow (\mu\mu) + bb$  production is the case where one Z boson decays to two muons, and the other to two b-quarks. This process can be seen in Figure 2.5a.

The second of these diboson processes is referred to as WqqZll. This process is similar to the ZqqZll process, however in this instance only one of the produced bosons is a Z boson and the other is a W boson (as opposed to both being Z bosons). In this case, the W boson decays to two quarks, and the Z boson to two leptons. The most common form that this background process takes in the  $Z \rightarrow (\mu\mu) + bb$  analysis is the case where the W boson decays to a bottom quark and a charm quark, and the Z boson decays to produce two muons. This immediately fulfils the final state of the  $Z \rightarrow (\mu\mu) + b$  processes, and can easily imitate the  $Z \rightarrow (\mu\mu) + bb$  process if the charm quark is mistagged as a b-quark. These background processes (WqqZll and ZqqZll) are a small yet common background that is consistently present across the full energy range of the analysis. The Feynman diagram for these processes can be seen in Figure 2.5.

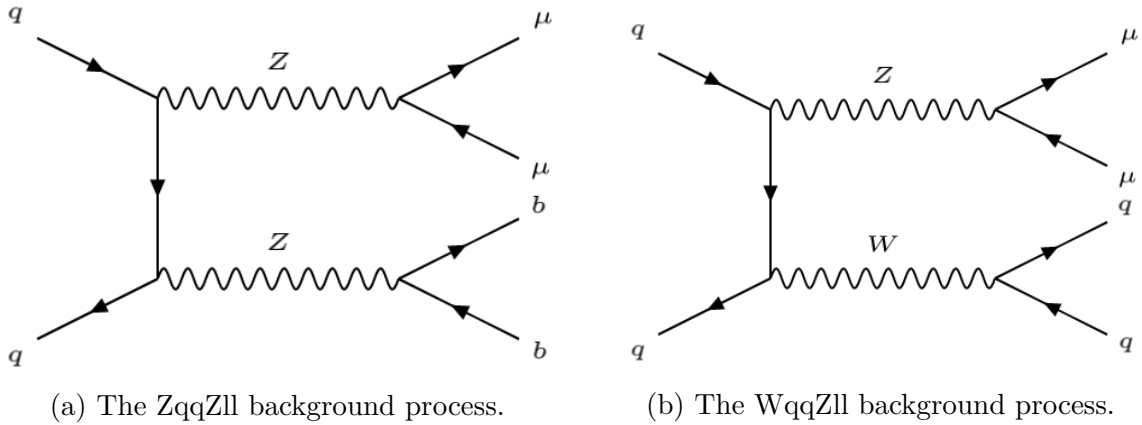


Figure 2.5: A figure showing the Feynman diagrams for the WqqZll and ZqqZll background processes.

In addition to the WqqZll and ZqqZll backgrounds mentioned above, there is an additional background process named ZZ4l which corresponds to an event where the two

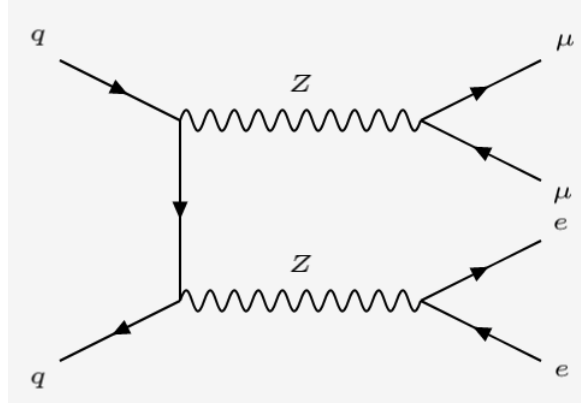


Figure 2.6: The ZZ4l background process.

produced Z bosons decay into four leptons. The Feynman diagram for this process can be seen in Figure 2.6. This background process occurs when one of the Z bosons produces two muons, and the other two electrons. Electrons produce jets along with electromagnetic showers within the electromagnetic calorimeters in ATLAS (see section 3.2.3), and a background process is found where this reconstructed jet is mistagged as a b-jet.

## 3 Experimental Setup

The source of all experimental data within this thesis is the ATLAS experiment at the Large Hadron Collider (LHC). The following chapters will provide an introduction to both the LHC and the ATLAS experiment with a primary focus on the subsystems of the ATLAS detector most relevant to this analysis. The data used in this thesis was collected during the second run of data collection by the LHC (appropriately named Run 2), which concluded in December of 2018. The third run of data collection will begin in 2021.

### 3.1 The Large Hadron Collider

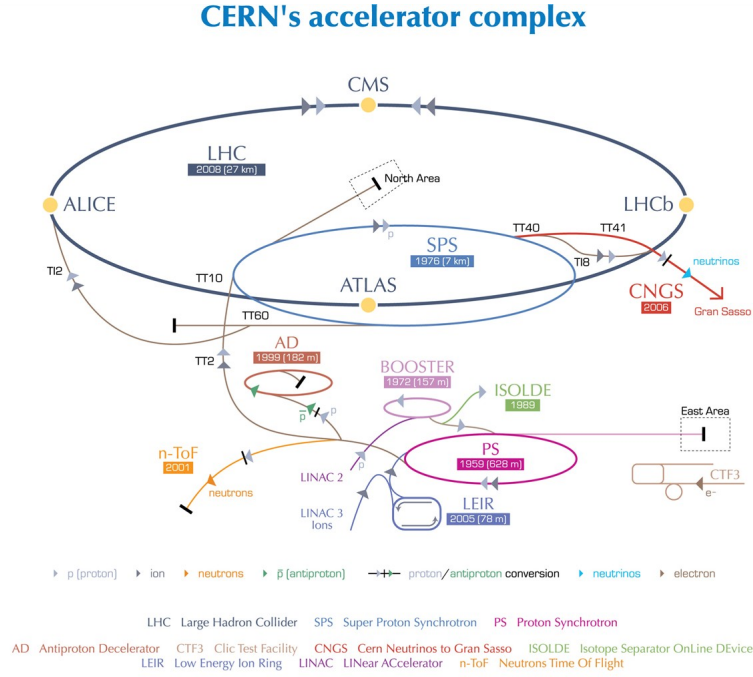
100 meters beneath the site of the Conseil Européen pour la Recherche Nucléaire (CERN) at the French-Swiss border near Geneva, Switzerland lies the LHC. At approximately 27 kilometres in circumference, the LHC accelerates beams of protons to the highest energies in the world reaching speeds greater than 99.9% the speed of light [17–19].

#### 3.1.1 Acceleration at the LHC

Beginning with it's initial flagship accelerator, CERN has constantly upgraded its accelerators and included the work of previous experiments in each new effort to collect even greater amounts of particle collision data. The full acceleration of the particle beams within the LHC is not reliant on a single static machine but a combined effort from multiple component accelerators, each of which further accelerates beams of particles to higher and higher energies prior to collision. Each particle accelerated by the LHC experiences not just a chain of particle accelerators, but a timeline of CERN's remarkable history.

This chain of accelerators begins with an ordinary container of hydrogen: atoms of hydrogen are extracted from the canister and subjected to an ionising electric field, stripping the hydrogen atoms of their electrons and leaving only the protons remaining. This ionised hydrogen gas is then immediately fed into the Linear Accelerator 2 (LINAC2), which accelerates the protons up to an energy of 50 MeV. LINAC2 has been in operation since 1978 after replacing the original Linear Accelerator 1 (LINAC1), and will itself be replaced by the Linear Accelerator 4 (LINAC4) in Run 3 to further upgrade the LHC [20].

Following their linear acceleration through LINAC2, the protons then enter the first of the four circular accelerators within the LHC acceleration chain: the Proton Synchrotron Booster (PSB). The PSB accelerates the protons to an energy of 1.4 GeV, before injecting them into the Proton Synchrotron (PS) which increases the energy of



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Figure 3.1: A figure showing the arrangement and relative sizes of the accelerators within the LHC acceleration chain [23].

the protons to 25 GeV. The PS first accelerated protons on 24 November 1959, serving as CERN's first synchrotron. While the PS has been heavily modified from its original state, it still serves as a key piece of LHC operations over 60 years later.

From the PS, the protons are then injected into the even more powerful Super Proton Synchrotron (SPS): far larger than the 688 m circumference of the PS, the SPS has a circumference of 7 km and almost 5 times as many electromagnets, capable of accelerating the protons to an energy of 450 GeV. The SPS originally operated as the principle collider of CERN's particle physics program when it came online in 1976 and was crucial in several key experiments in CERN's history, such as the Nobel-prize-winning discovery of the W and Z bosons in 1983 when the SPS ran as a proton-antiproton collider [21, 22].

The final step in the accelerator chain is the LHC itself, however the method of accelerating within the LHC differs slightly from the techniques of the previous circular accelerators. The protons from the SPS are injected to the LHC at two points instead of one in order to create two separate proton beams which circulate in opposite directions. These two distinct beams are each accelerated up to an energy of 6.5 TeV, allowing for a centre-of-mass energy of  $\sqrt{s} = 13$  TeV during Run 2. The full chain of LHC accelerators can be seen in Figure 3.1.

Achieving the acceleration required to achieve these energies is no easy task. The tunnels in which the LHC resides were originally constructed for the Large Electron-Positron (LEP) collider [24–27], a particle-antiparticle collider capable of accelerating two beams in opposite directions with the same magnetic field (due to the opposite charge between a charged particle and its respective antiparticle). The same method cannot be applied in the case of proton-proton collisions as the charge of each beam will be the same. Instead, the LHC uses its single magnet system to produce a pair of coupled magnetic fields which have an opposing polarity.

The LHC does not, however, accelerate the particle beams at every point along its circumference. The LHC is composed of 8 octants, in which the LHC ring is actually a straight line. The curvature of the particle beams occurs at the boundaries of each octant, where 1232 powerful dipole magnets (each 15 metres long and with a weight of 35 tonnes) create a magnetic field of 8.3 T which bends the beams towards the centre of the LHC ring. These dipoles work in conjunction with 392 quadrupoles: magnets with alternating poles in a symmetrical layout that help focus the beam [28]. In order to remain superconducting, these magnets are cooled with super-fluid Helium which allows the magnets to operate at 1.4 K.

The straight sections of the LHC within each of the octants are referred to as “Points”, and are numbered according to their position around the LHC ring. Each of these points can be seen in Figure 3.2. Point 1, Point 2, Point 5, and Point 8 contain each of the interaction points (IPs) where the particle beams cross and interactions occur. Four large particle detectors of varying design are constructed at each of these IPs in order to observe the collisions that occur where the particle beams collide: two large general-purpose experiments ATLAS [29] and CMS [30] at Point 1 and Point 5, the ALICE [31] experiment at Point 2 primarily examining heavy-ion collisions, and the LHCb [32] experiment at Point 8 primarily exploring flavour physics and CP-violation through bottom and charm quarks.

Point 3 and Point 7 contain the beam cleaning and collimation systems, essential systems that protect the components of the LHC. As proton beams travel around the LHC ring, they do not exist as a single line; some protons are unavoidably lost from the focussed central beam core and diffuse into a “beam halo”, the fraction of the beam that will physically collide with the components of the LHC. These collisions from the beam halo can damage these components: for example, collisions with the LHC’s superconducting magnets could cause a rise in temperature forcing the magnets out of a superconducting state (this is known as a magnet “quench”) [34]. The collimator systems restrict the potential aperture of the beam, deliberately causing collisions with the beam halo to occur safely in the collimators (instead of in the LHC’s more delicate subsystems) [35].

The beam dump is located at Point 6, and is another important subsystem for the efficiency and safety of the LHC. When the particle beam within the LHC has served its

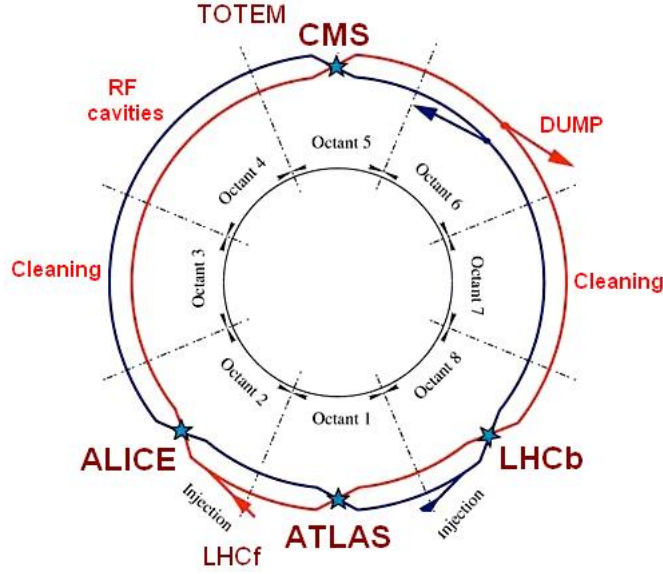


Figure 3.2: A figure showing the arrangement of the LHC octants or “Points” [33].

purpose, the beam (or the remnants of it) needs to be safely removed from the LHC ring. The beam may also be dumped in the event of instability observed by the LHC or one of the detectors observing each of the IPs, or in the event of issues with one of the detectors. In the event that the beam needs to be dumped for any of these reasons, the LHC employs the use of kicker magnets that rapidly and safely diver the beam out of the LHC ring and into dump blocks: cylinders of graphite encased in concrete that can absorb the full energy of the beam without melting [18].

The actual acceleration of the particle beam within the LHC occurs at the superconducting radio-frequency (RF) cavities located at Point 4. The LHC employs 8 RF cavities per beam, which use an alternating electric field to accelerate the beam through the cavity. By increasing the frequency of these oscillations, the particle beam can gradually increase to its maximum energy. Much like the dipole and quadrupole magnets used within the LHC, the RF cavities also operate at an extremely low temperature (4.5 K). The accelerating field provided by the RF cavities is 5 MV/m at 400MHz [36]. Accelerating particles using the alternating electric field of the RF cavities causes the particle beam not to be a single continuous beam, but to instead be divided into *bunches*.

### 3.1.2 Beam Structure

When the particle beam passes through the RF cavities, some of the particles synchronize exactly with the RF frequency (these particles are aptly named “synchronous particles”). Particles that do not exactly synchronize with this frequency

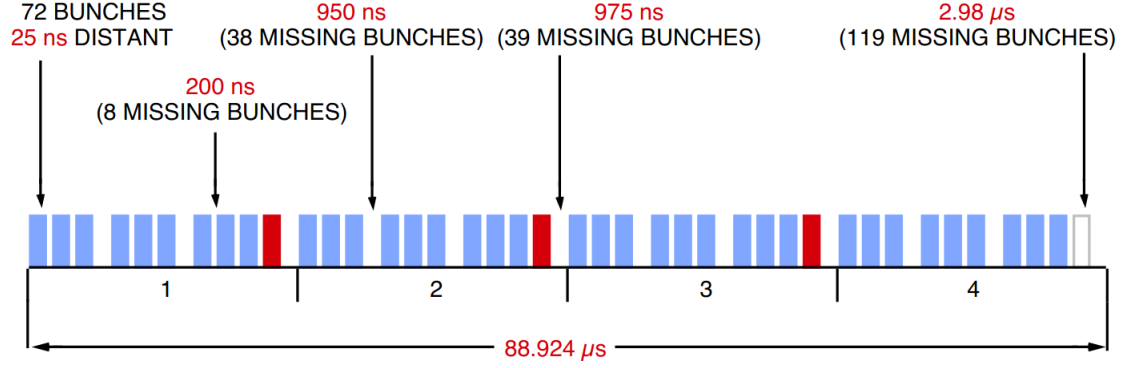


Figure 3.3: A figure showing the arrangement of the PS trains within the final SPS batch injected into the LHC [38].

instead become clustered around the synchronous particle, and this travelling group of synchronized particles is referred to as a bunch. In order to allow for the necessary time for its subsystems to function correctly, the LHC beam is not a continuous stream of bunches but a combination of bunches and “missing” bunches (deliberate absences of bunches used primarily for timing).

The nominal LHC beam consists of 2808 bunches, each consisting of  $1.15 \times 10^{11}$  protons [17, 19]. The PS supplies particles to the SPS and LHC in the form of 72-bunch PS trains, with each bunch arriving 25 ns apart [37]. When these bunches are accelerated by the SPS and enter into the LHC, they are arranged into the form of 3, 3, and then 4 PS trains. The very last PS train in the very last SPS batch is deliberately suppressed to allow time for the LHC extraction kicker magnets (required in the event of a beam dump) to rise. This bunch structure can be observed in Figure 3.3.

### 3.1.3 Luminosity

In order to evaluate the performance of an accelerator, it is important to consider a metric that adequately describes the collisions taking place. In the case of accelerator physics *luminosity*, a measure of how effectively an accelerator is able to deliver particle collisions, is used. For a process with a known cross section of  $\sigma_i$ , the event rate is given by:

$$\frac{dN}{dt} = \mathcal{L}_i \sigma_i \quad (50)$$

where  $\mathcal{L}_i$  is the instantaneous luminosity. The instantaneous luminosity (i.e. the luminosity of two colliding particle beams at any single instant) is useful for the measuring performance during stable collisions, although the quantity of integrated luminosity is typically more useful for measuring performance across a complete period



of data collection. The integrated luminosity of an accelerator is defined as the integral of the accelerator’s instantaneous luminosity with respect to time, i.e. the instantaneous luminosity at every instant or:

$$\mathcal{L}_{int} = \int \mathcal{L}_i dt \quad (51)$$

Instantaneous luminosity is defined as:

$$\mathcal{L}_i = \frac{N_1 N_2 k f \gamma}{4\pi \epsilon_n \beta^*} F \quad (52)$$

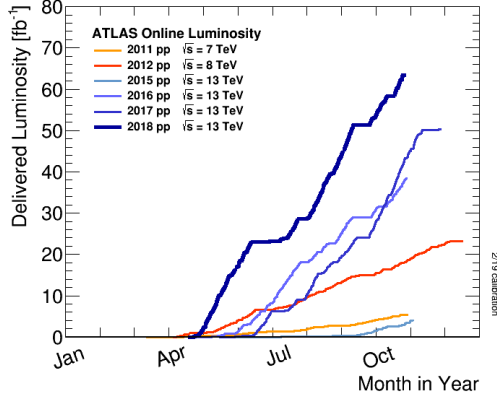
where:

- $N_1$  and  $N_2$  represent the number of protons within each colliding particle bunch
- $k$  is the number of bunches per beam
- $f$  is the frequency of the colliding beams (the nominal value of which is 11.245 kHz at the LHC)
- $\gamma$  is the relativistic Lorentz factor of the accelerated particles
- $\epsilon_n$  is the beam emittance (i.e. average spread of particles within the beam)
- $\beta^*$  is the beta function of the beam (i.e. the amount that the beam is “squeezed”)
- $F$  is a geometric correction due to the crossing angle of the beams

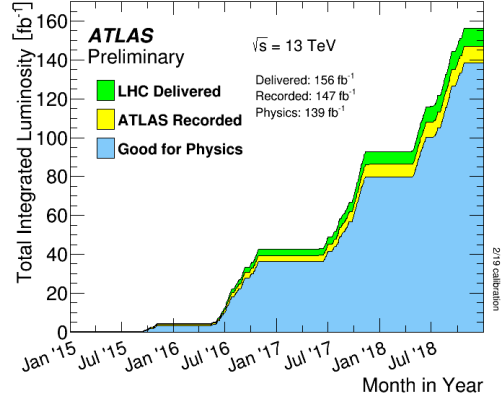
Instantaneous luminosity is expressed in units of  $\text{cm}^{-2}\text{s}^{-1}$ . The peak instantaneous luminosity of the LHC was designed to reach a value of  $1 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$ , however this value has been exceeded and peak instantaneous luminosities of up to  $2.1 \times 10^{34} \text{cm}^{-2}\text{s}^{-1}$  have been achieved.

Integrated luminosity has units of  $\text{cm}^{-2}$  but is more commonly expressed in units of inverse femtobarn  $\text{fb}^{-1}$  where one barn (1 b) is equivalent to  $1 \times 10^{-28} \text{m}^{-2}$ . During Run 2 the ATLAS detector recorded a total of  $139.9 \text{fb}^{-1}$  of luminosity usable for physics analyses corresponding to  $3.2 \text{fb}^{-1}$  in 2015,  $32.9 \text{fb}^{-1}$  in 2016,  $43.7 \text{fb}^{-1}$  in 2017, and  $60.1 \text{fb}^{-1}$  in 2018 [39].

This analysis uses the full  $139.9 \text{fb}^{-1}$  luminosity of good data recorded by ATLAS during Run 2. The total amount of data recorded by ATLAS during Run 2 is greater than this figure at  $146.9 \text{fb}^{-1}$ , however not all of this data is suitable for physics analyses (such as in the event that not all detector subsystems are online). A visualisation of the luminosity delivered to and recorded by the ATLAS detector from the LHC can be seen in Figure 3.4.



(a) Delivered luminosity vs. time



(b) Total integrated luminosity vs. time

Figure 3.4: (a) Cumulative luminosity versus month delivered to ATLAS during stable beams and for high energy p-p collisions. (b) Cumulative luminosity versus time delivered to ATLAS (green), recorded by ATLAS (yellow), and certified to be good quality data (blue) during stable beams for pp collisions at 13 TeV centre-of-mass energy in 2015-2018 [39].

### 3.1.4 Pileup

In the collision of two nominally filled bunches there are a total of  $2.3 \times 10^{11}$  protons available to collide, allowing a high number of hard  $pp$  interactions. Ideally the experiments at CERN would only record these hard interactions however this is not always the case, as additional interactions between bunches can occur. These additional interactions are referred to as pileup and can cause a multitude of issues in the reconstruction of hard events such as track mis-reconstructions, degradation of object resolution, and ambiguity in primary vertex location.

Pileup can be categorised into two types:

- **In-time** pileup refers to other interactions within *the same* bunch as the hard interaction.
- **Out-of-time** pileup refers to interactions within *other* bunches relative to the hard interaction.

Pileup is quantified by use of  $\langle \mu \rangle$ , the average number of interactions per bunch crossing. This value corresponds to the mean of the poisson distribution of the number of interactions per crossing calculated for each bunch, calculated from the instantaneous per bunch luminosity which is given by the equation:

$$\mu = \frac{\mathcal{L}_{bunch} \times \sigma_{inel}}{f} \quad (53)$$

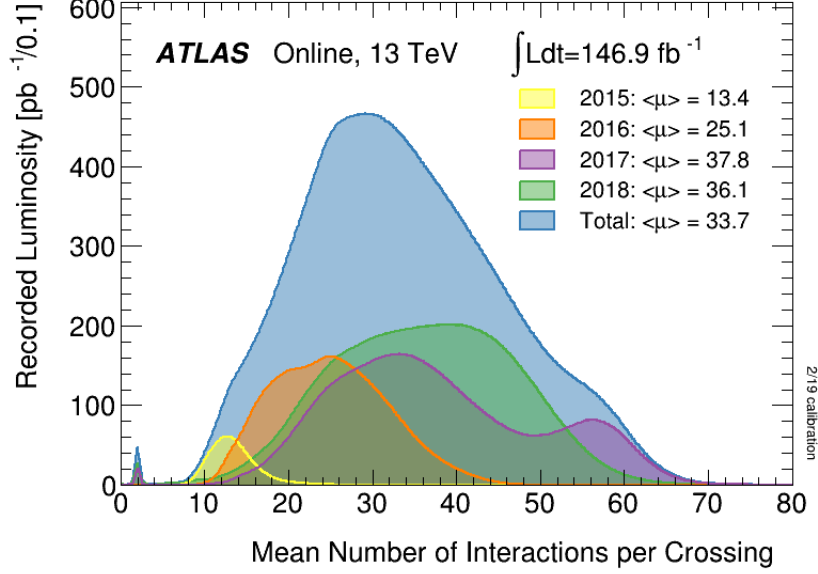


Figure 3.5: A figure showing the average interactions per bunch crossing for all ATLAS data recorded during Run 2 [39].

where  $\mathcal{L}_{bunch}$  is the per bunch instantaneous luminosity,  $\sigma_{inel}$  is the inelastic cross section (taken to be 80 mb for  $\sqrt{s} = 13\text{TeV}$  collisions), and  $f$  is the LHC revolution frequency of 11.245 kHz. The full pileup profile of Run 2 can be seen in Figure 3.5. The pileup profile uses the full  $146.9\text{fb}^{-1}$  of online data recorded by ATLAS during Run 2 as opposed to the  $139.9\text{fb}^{-1}$  of physics data, which can be seen in Figure 3.4b.

### 3.2 ATLAS

The ATLAS (A Toroidal LHC ApparatuS) experiment [29], located at Point 1 of the LHC ring, is the largest general-purpose experiment at CERN. The design of ATLAS is carefully engineered in order to maximise its capabilities as a general-purpose particle detector [40–42]. ATLAS is cylindrical in shape, with a length of 46 metres and a diameter of 25 metres. The immense scale of ATLAS can be difficult to picture without adequate reference: the diameter of ATLAS is 1 metre greater than the height of Buckingham palace, and its length is the same as the Statue of Liberty’s height<sup>4</sup>. It would take a tower of 9 giraffes carefully lying head to hoof in the ATLAS cavern while wearing the appropriate personal protective equipment (PPE) to occupy the same length as the ATLAS detector<sup>5</sup>.

The ATLAS detector is constructed around the LHC beamline at Point 1 with its

<sup>4</sup>The statue of liberty itself, excluding the 47 m pedestal that the statue stands upon.

<sup>5</sup>This would likely irritate the giraffes.

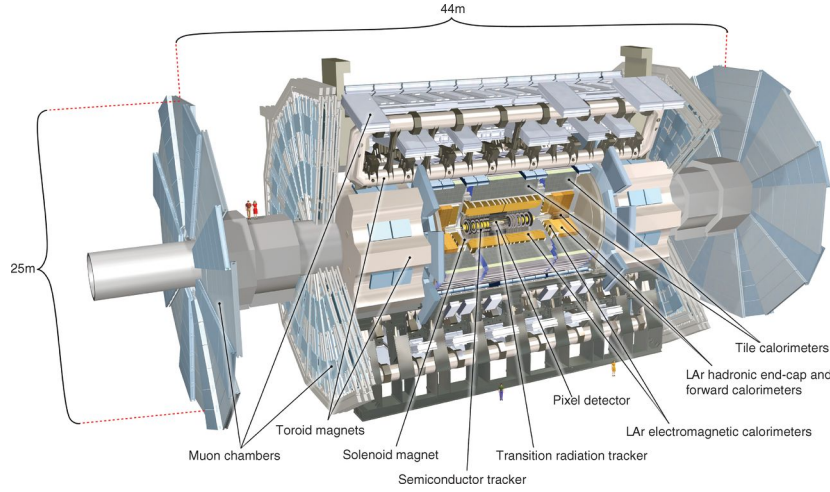


Figure 3.6: A figure showing the subsystems of the ATLAS detector [42].

cylindrical geometry divided into two categories: the *barrel* and the *end-cap*, which can be visualised as the sides and top/bottom of a standard cylinder respectively. The cylindrical design of the ATLAS detector allows almost full coverage of  $4\pi$  and forward-backward symmetry across the IP, hence the IP is located at the perfect centre of the barrel region in the heart of the detector. Particles originating from collisions at the IP travel outward and through different sub-systems, each sensitive to particular properties of various particles, in order to identify and reconstruct physics objects in each event.

The **Inner Detector (ID)**, the sub-system closest to the IP, tracks the path of all charged particles that pass through it. For particles travelling outward from the IP the next sub-system encountered are the **ATLAS Calorimeters** which aim to stop both hadronic and electromagnetic particles in order to measure their energy. Finally, the remaining particles from events at the IP pass through the outermost sub-system: the Muon Spectrometer (MS), which aims to identify and track the path of muons. All of these systems are subjected to a magnetic field generated by the ATLAS magnet systems: a solenoid magnet creates the field beyond the ID, and the eponymous toroidal magnet creates the magnetic field beyond the calorimeters. A cross section of ATLAS and these detector sub-systems can be seen in Figure 3.6.

### 3.2.1 The ATLAS Coordinate System

In order to be able to accurately describe events relative to the detector and interaction point, ATLAS uses a well defined right-handed coordinate system. Taking the nominal IP as the origin, the  $x$ -axis points towards the centre of the LHC ring, the  $y$ -axis points vertically upwards, and the  $z$ -axis points along the direction of the beam pipe. A subtle yet crucial result of this coordinate system is the definition of the  $x$ - $y$  plane, which is

tangential to the beam pipe (referred to as the *transverse* plan). The nature of the fundamental colliding partons prevent the initial momentum in the  $z$  direction from being known, however it is known that the partons have zero initial momentum in the transverse plane and therefore directional vector quantities such as momenta can be projected into the transverse plane.

In addition, cylindrical coordinates are used to describe the geometry of the detector. The azimuthal angle,  $\phi$ , describes the angle around the beam direction in the transverse plane where  $\phi = 0$  points in the same direction as the  $x$ -axis (i.e. towards the centre of the LHC ring). The polar angle,  $\theta$ , describes the angle from the beam direction in the  $y$ - $z$  plane where  $\theta = 0$  points in the same direction as the  $y$  axis (i.e. along the beam pipe). The final coordinate of the ATLAS coordinate system is the rapidity ( $y$ ) or pseudorapidity ( $\eta$ ). The rapidity of a particle is defined as:

$$y = \frac{1}{2} \ln \left( \frac{E + p_z}{E - p_z} \right) \quad (54)$$

where  $E$  is the particle's energy and  $p_z$  is the momentum of the particle in the  $z$  axis. The pseudorapidity of a particle is defined in terms of the polar angle,  $\theta$ , as:

$$\eta = -\ln \tan \left( \frac{\theta}{2} \right) \quad (55)$$

Pseudorapidity is typically used in place of  $\theta$  as it is Lorentz invariant under a boost in the  $z$ -axis whereas  $\theta$  is not. The rapidity and pseudorapidity are equivalent for massless particles and this holds true for particles with extremely small mass (several orders of magnitude lower than their average energy) such as electrons. The angular distance between two objects is described using the variable  $\Delta R$ , which is also Lorentz invariant under a boost in the  $z$ -axis.  $\Delta R$  is defined by the relation:

$$\Delta R = \sqrt{(\Delta\eta)^2 + (\Delta\phi)^2} \quad (56)$$

### 3.2.2 The Magnet System

The ATLAS magnet system consists of four magnets: a single solenoid magnet [43], and three toroidal magnets [44, 45] (which contribute an important part of the ATLAS name). The central solenoid provides the magnetic field for the inner detector, and the toroidal magnets provide the magnetic field for the muon system. The arrangement of the magnet systems within ATLAS can be seen in Figure .

The solenoid magnet is named the Central Solenoid Magnet. It is aligned with the  $z$ -axis (i.e. the beam pipe) and exerts a 2T axial magnetic field which bends the path of charged particles within the inner detector. The magnet weighs 5 tonnes but is only 5

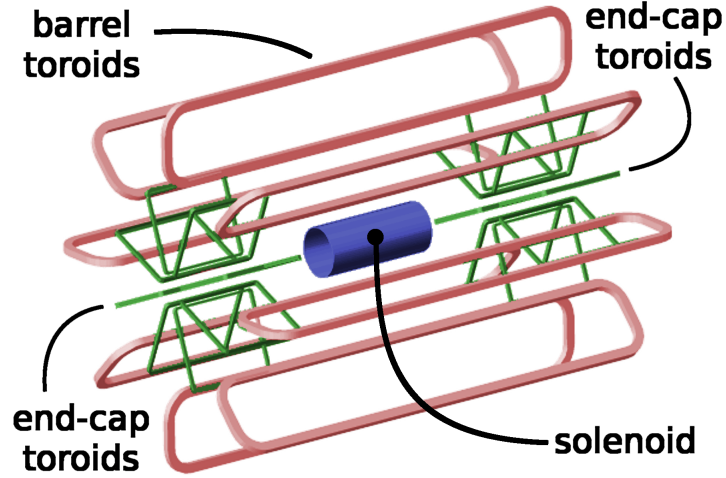


Figure 3.7: The relative location of the solenoid, barrel toroids, and end-cap toroids [46].

cm thick (which corresponds to 0.66 radiation lengths) in order to minimize the probability of particle interactions with the magnet itself. The solenoid is cooled to a temperature of 4.5 K in order to maintain its superconducting state, and is capable of storing a total of 38 MJ of energy.

In the case of the toroidal magnets, there is one toroidal magnet located in the barrel region and one toroidal magnet in each end-cap region. The magnetic fields produced by the toroids are orthogonal to each other, creating an overall magnetic field of 0.5 T in the barrel region and 1 T in each end-cap. Together, these magnetic fields bend the path of muons in the outermost region of the detector. The position of each toroid's coils can be seen in Figure 3.7. Each magnet contains eight coils, however the coils for the barrel region are by far the largest with a length of 25.3 metres each whereas the length of the end-cap coils are only 5 metres. Each end-cap toroid weighs 240 tonnes and stores 0.25 GJ of energy, while the much larger barrel toroid weights a total of 830 tonnes and stores a total of 1.08 GJ of energy.

The shape of the magnetic field created within ATLAS by both the solenoid and toroidal magnet can be seen in Figure 3.8.

### 3.2.3 The Inner Detector

The Inner Detector [48, 49] is the most central component of ATLAS providing precise measurements of both particle charge and momentum, in addition to tracking charged particles to a pseudorapidity range of  $|\eta| < 2.5$ . Using both an impact parameter,  $d_0$ , and a longitudinal impact parameter,  $z_0$ , the ID is able to characterise the primary vertex of each interaction. The parameter  $d_0$  is defined as the distance between the vertex's point of closest approach and the  $z$ -axis, and the parameter  $z_0$  is defined as the

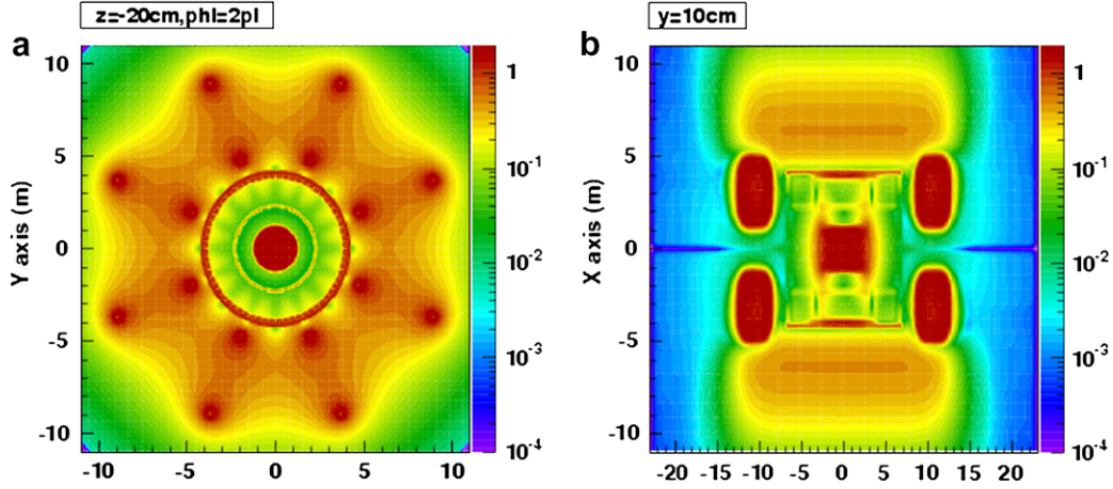


Figure 3.8: The shape of the magnetic field within the ATLAS detector. The left plot shows the magnetic field as if looking down the beamline. The right plot shows the magnetic field when viewed from above [47].

$z$ -coordinate of the primary vertex's point of closest approach. A high precision measurement of the primary vertex is crucial to this analysis as the delayed secondary vertex of b-tagged events is an extremely important in their identification, and an excellent resolution for  $d_0$  and  $z_0$  allows for easier identification of b-hadrons and other particles with longer lifetime. The layout of these components within the ID barrel region can be seen in Figure 3.9.

The ID consists of four subsystems which work in conjunction to track charged particles and perform high resolution measurements of their charge and momentum. These subsystems are the [Insertable B-Layer \(IBL\)](#), the [Pixel Detector](#), the [Semiconductor Tracker \(SCT\)](#), and the [Transition Radiation Tracker \(TRT\)](#). A cross section of these systems within the ID can be seen in Figure 3.10.

The IBL, pixel detector, and SCT all operate through the use of pixel modules containing tens of thousands of silicon pixel sensors. As charged particles pass through the pixel sensors, they ionise with the silicon semiconductors, freeing electrons from atoms within the silicon and creating ion-electron pairs. By interpreting the resultant signal created at the points within these pixel modules that these ion-electron pairs are created, the path of the charged particles that pass through the ID can be measured.

### 3.2.3.1 The Insertable B-Layer (IBL)

The most central component of the ID is the IBL [51] (positioned a mere  $R = 33.25$  mm away from the beam pipe), which was added to ATLAS during the shutdown between



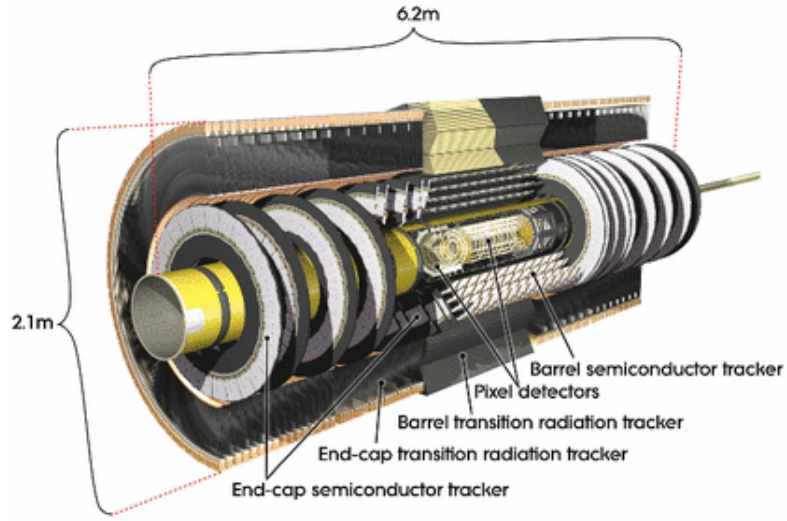


Figure 3.9: A figure showing a cut-away view of the ATLAS inner detector and its components [50].

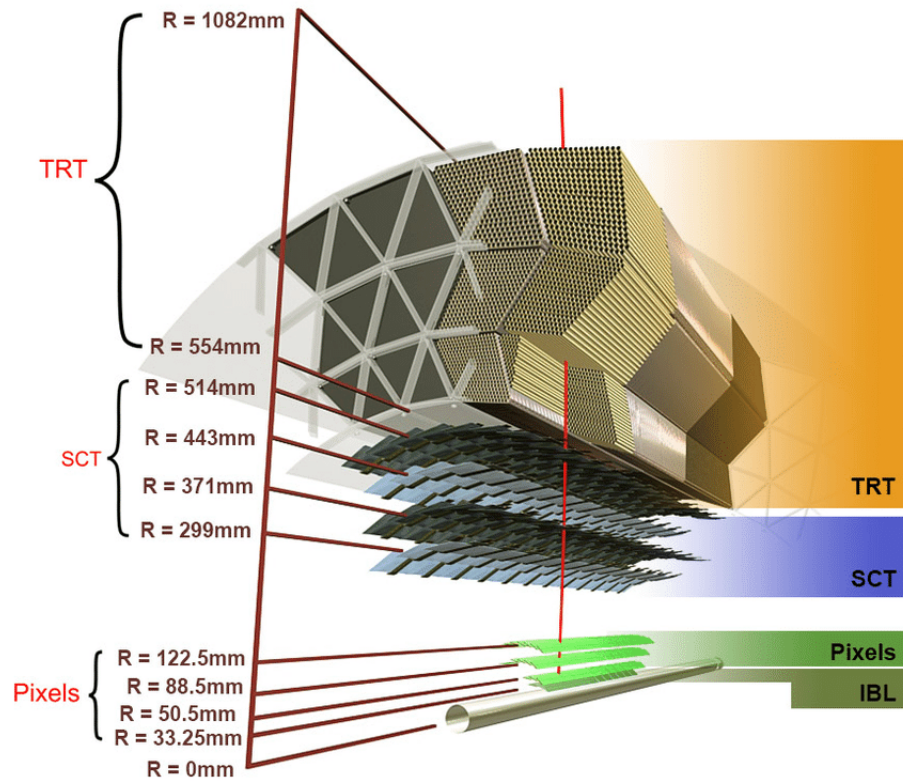


Figure 3.10: A figure showing a cross section of the ATLAS inner detector barrel region and its components [50].



Run 1 and Run 2. The IBL increases the resolution of  $d_0$  and vertex measurements when compared with the pixel detector alone, which is an important addition due to the high pileup of Run 2 (which can easily obfuscate the primary vertex as discussed in Section 3.1.4). Each individual pixel is extremely small and has dimensions of  $50\mu\text{m} \times 250\mu\text{m}$ , which allows for a tracking resolution of  $8\mu\text{m}$  and  $40\mu\text{m}$  in the  $x$ - $y$  plane and  $z$  direction respectively.

### 3.2.3.2 The Pixel Detector

The pixel detector consists of three layers of concentric cylinders coaxial to the beam pipe, and three layers of end-cap disks perpendicular to the beam pipe. The barrel layers are positioned at  $R = 50.5, 88.5, \text{ and } 122.5$  mm, with the end-cap disks positioned at  $z = 495, 580, \text{ and } 650$  mm. These components consist of a total of 1744 pixel modules [52] with a thickness of  $250\mu\text{m}$ , each containing 47,232 pixels with dimensions of  $50\mu\text{m} \times 400\mu\text{m}$ . Each pixel module contains 16 front-end chips with 2880 readout channels equating to a total of 46,080 readout channels per module, however all 47,232 pixels are readout of each module (pixels in the inter-chip regions are ganged together to be readout). Overall, the pixel detector has over 80 million readout channels and provides a spatial resolution of  $10\mu\text{m}$  in the  $x$ - $y$  plane and a spatial resolution of  $115\mu\text{m}$  in the  $z$ -axis.

### 3.2.3.3 The Semiconductor Tracker (SCT)

The Semiconductor Tracker (SCT) [53] sits outside the pixel detector and is similar in operation, consisting of 4 barrel layers and 9 end-cap disks. The barrel layers are positioned at  $R = 299, 371, 443, \text{ and } 514$  mm, and the end-cap disks range from  $z = 854 - 2720$  mm. The 15,912 strip modules have a thickness of  $285\mu\text{m}$  and consist not of pixels as per the pixel detector but 768 silicon strips each 12 cm long, necessitating over 6.4 million readout channels. In total the SCT contains  $61\text{ m}^2$  of silicon strip sensors, and provides a hit resolution of  $17\mu\text{m}$  in the  $x$ - $y$  plane and a hit resolution of  $580\mu\text{m}$  in the  $z$ -axis.

### 3.2.3.4 The Transition Radiation Tracker (TRT)

The Transition Radiation Tracker (TRT) [54] is the furthest component of the ID from the beam pipe, with a barrel region positioned at  $563 < R < 1066$  mm and  $|z| < 712$  mm and two end-caps at  $644 < R < 1004$  mm and  $848 < |z| < 2710$  mm. The method of detection used by the TRT differs from the previous ID modules: instead of using silicon semiconductors, the TRT consists of thin-walled proportional drift tubes called “straws” containing a nominal mixture of 70%/27%/3% xenon/carbon dioxide/oxygen gas.

In addition to being filled with this gas mixture, each straw contains a central gold-plated tungsten wire of diameter  $32\text{ }\mu\text{m}$  and has a potential applied between the central wire and outer wall of the drift tubes. When charged particles pass through the straws, atoms within the gas are ionized and drift towards the walls of the straws while electrons drift towards the wire. The wire amplifies the signal of these electrons by a factor of approximately 20,000 by releasing an avalanche of electrons which creates an interpretable signal and allows the extraction of timing information.

Additional ionization can occur from transition radiation photons which originate from the thin material between straws made of polypropylene and polyethylene fibres. Incoming charged particles moving between media with differing refraction indexes have a probability of emitting these transition radiation photons with energies proportional to their Lorentz factor. This interaction is exploited during electron identification as electrons will have higher Lorentz factors (and therefore create a larger pulse within the wire) than many other charged particles such as muons and pions.

The TRT consists of 52,544 coaxial straws of length 144 cm in barrel region and 122,880 radial straws of length 37 cm in each end-cap, totalling almost 300,000 straws across the entire TRT. Due to these numbers and the geometry of ATLAS, charged particles are guaranteed to cross 35-40 straws in a pseudorapidity interval of  $|\eta| < 2$ . Overall, the TRT provides a hit resolution of  $130\text{ }\mu\text{m}$  per straw [55].

### 3.2.4 The Calorimeters

Calorimeters are instruments that measure the energy of particles: incoming particles are absorbed by the calorimeter and deposit their full energy which is converted into a measurable signal. The calorimeter system within ATLAS uses two main types of calorimeters: electromagnetic calorimeters for energy measurements of electromagnetic particles, and hadronic calorimeters for energy measurements of hadronic particles. These calorimeters measure all SM particles excluding muons and neutrinos, with a coverage up to  $|\eta| < 4.9$ . The layout of these calorimeters within ATLAS can be seen in Figure 3.11.

The ATLAS calorimeters are *sampling* calorimeters which operate using an alternating pattern of layers of an absorber medium and an active medium. Incoming particles interact within the absorber medium which decreases their energy and creates a shower of secondary particles that subsequently interacts within the active medium to produce a detectable signal.

#### 3.2.4.1 The Electromagnetic Calorimeter

The electromagnetic calorimeter or LAr calorimeter [57] has the primary function of measuring the energy of electrons and photons in the pseudorapidity region  $|\eta| < 3.2$ .

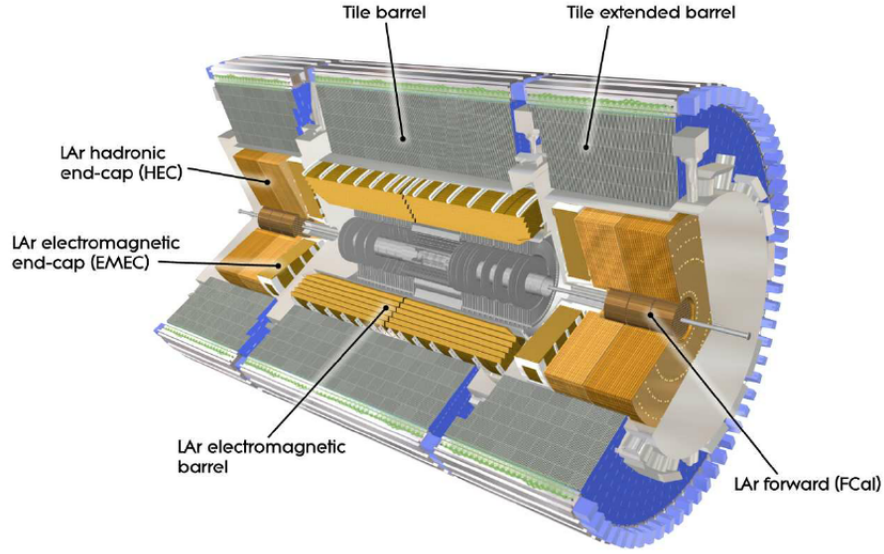


Figure 3.11: A figure displaying the arrangement of the electromagnetic and hadronic calorimeters within the ATLAS detector [56].

Lead is chosen as the absorber material, with liquid argon (LAr) as the active material. Two main processes occur within the lead absorber material that lead to showering and a measurement of incoming particle energy:

- *Bremsstrahlung*, where incoming electrons interact with the lead atoms' dense nucleus to lose kinetic energy which is emitted as bremsstrahlung photons.
- *Pair production*, where a photon is converted to an electron-positron pair.

Incoming particles will rapidly alternate between bremsstrahlung and pair production, depositing energy within the LAr active material each time. This process is called *showering*, and continues until the shower no longer has enough energy to produce further particles (i.e. the electron does not have enough kinetic energy to radiate as a photon or the photon no longer passes the energy threshold for electron-positron pair production). At this point, the particles continue losing their energy through more simple mechanisms until they come to a complete loss (via physical collisions with atoms for electrons and compton scattering/the photoelectric effect for photons).

These are not the only mechanisms through which EM particles can deposit energy within the EM calorimeter (for example pions are capable of producing a photon pair), however they are among the most common. It is important to note that muons also produce small energy deposits in the EM calorimeter, however due to the nature of muons as minimally ionising particles this is often simply the radiation of a low energy

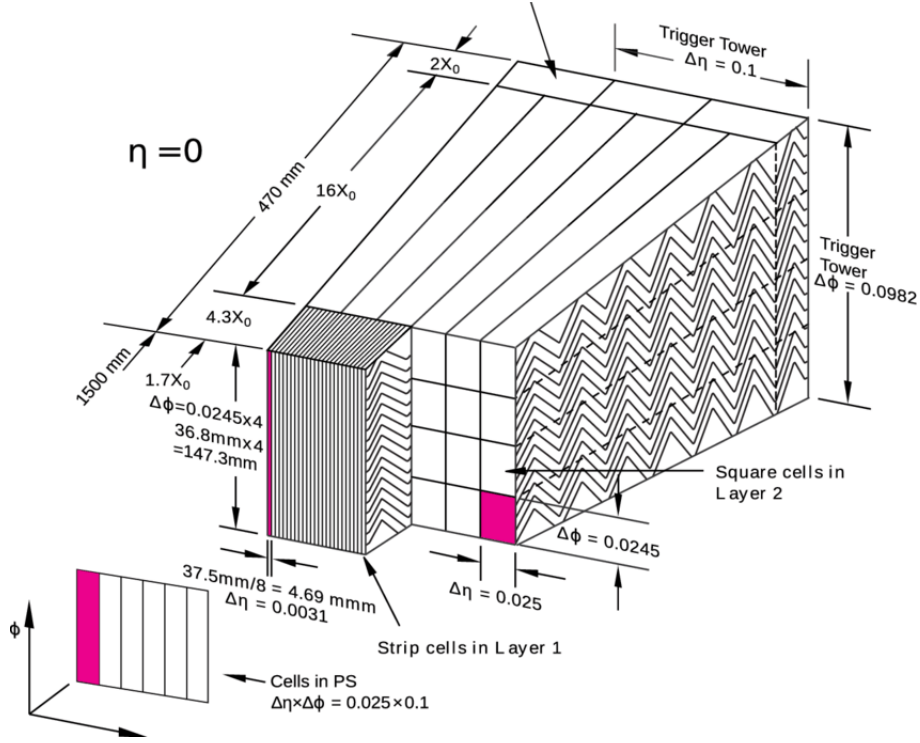


Figure 3.12: A figure showing the unique accordion shape of the ATLAS EM calorimeter [58].

photon. These low energy photons may shower and produce low energy showers, however these are often easily distinguishable from the higher energy showers of electrons and photons. Following the shower produced by an incoming particle, ionisation electrons within the LAr active material will drift towards a copper electrode (similarly to the system explained in 3.2.3.4) in order to produce a measurable signal.

The EM calorimeter consists of a barrel calorimeter providing coverage in the pseudorapidity range  $0 < |\eta| < 1.475$  and two end-cap calorimeters providing coverage in the pseudorapidity range  $1.375 < |\eta| < 3.2$ . The geometry of the EM calorimeter uses a unique accordion-shaped geometry which allows for a completely hermetic design (i.e. no gaps of any kind are required between the absorber and active materials for devices such as readout systems). The readout of the EM calorimeter can occur from either the front or back, which allows for full coverage in  $\phi$ . The accordion geometry of the EM calorimeter can be seen in Figure 3.12.

To perform the best possible energy measurement of an incoming particle, the particle must be completely stopped within the medium of the calorimeter. A greater calorimeter length increases the probability of completely stopping an incident particle, however additional length beyond what is required to stop the incident particle is inefficient in terms of maintenance, power, and cost. In order to find this ideal balance

of calorimeter length the radiation length,  $X_0$ , is used to characterise the behaviour of the incident EM showers.  $X_0$  is defined as the distance within a given material that an incident photon or electron must travel in order to lose  $1/e$  of its energy. In total the EM calorimeter has a depth of over  $22 X_0$  composed of three layers of varying depth,  $X_0$ , and  $\eta/\phi$  granularity plus an additional zeroth layer called the presampler [58].

These layers are:

**The presampler (PS) layer,** a relatively thin cluster of LAr layers only (i.e. no absorber material) separate from the calorimeter and positioned before some infrastructure components. The aim of the PS layer is to estimate the total loss of energy upstream of the calorimeter due to material interactions (within the inner detector, cryostat, and solenoid coil up to  $|\eta| < 1.5$ ). The PS layer is only 11 mm thick in the barrel region and only 5 mm thick in the end-caps, covering a pseudorapidity range of  $|\eta| < 1.8$ .

**Layer 1,** which has a thickness of  $4.4 X_0$  at  $\eta = 0$  and is segmented into strips with varying granularity across  $\eta$ . For  $|\eta| < 1.4$  and  $1.5 < |\eta| < 2.4$  these are high granularity segmentation, whereas for the range of  $1.4 < |\eta| < 1.5$  and  $2.4 < |\eta| < 2.5$  the segmentation is coarser. The purpose of this differing granularity is to allow for discriminating power between photon showers caused by single photons, and showers from two collimated photons decaying from neutral hadrons (such as in the case of a neutral pion producing two photons). The cells in the finer granularity  $\eta$  region have the size of  $0.003 \times 0.0982$  in  $\Delta\eta \times \Delta\phi$ , and the cells in the coarser  $\eta$  region have a size of  $0.025 \times 0.0982$  in  $\Delta\eta \times \Delta\phi$ .

**Layer 2,** which has a thickness of  $16 X_0$  where the bulk of energy depositing occurs. The cells in this layer have a size of  $0.025 \times 0.0245$  in  $\Delta\eta \times \Delta\phi$ .

**Layer 3,** which has a thickness of  $2 X_0$  designed to measure and correct for the leakage of higher energy calorimeter showers beyond the EM cal and potentially into the hadronic calorimeter. The cells in this layer have a size of  $0.05 \times 0.0245$  in  $\Delta\eta \times \Delta\phi$ .

### 3.2.4.2 The Hadronic Calorimeter

Just as the EM calorimeter performs energy measurements of incoming EM particles, the hadronic calorimeter or Tile calorimeter [59] measures energies of incoming hadronic particles in the region of  $|\eta| < 4.9$ . The Tile calorimeter consists of three barrel layers and two wheels per end-cap, each using differing absorber and active materials:

- The barrel region uses plates of steel as its absorber material and plastic scintillator tiles as its active material.
- The hadronic end-cap (HEC) in the end-cap region  $1.5 < |\eta| < 3.2$  uses copper as its absorber material and LAr as its active material.

Interactions with the steel nuclei in the barrel region cause particle showers containing charged particles that interact with the scintillating active material in order to produce ultraviolet scintillation photons. These photons are carried to photomultiplier tubes (PMTs) via wavelength shifting fibres which convert the photons into a measurable electronic signal. A larger interaction within the scintillation tiles produces a stronger signal, and so measuring the intensity of the signal allows for a measurement of energy deposited by the incident hadronic particle.

Hadronic showers are characterised by the *interaction length* which defined as the distance between interactions within a given material to reduce the number of relativistic charged particle by a factor of  $1/e$ . Hadronic showers occur via the strong interaction typically producing wider showers mainly consisting, allowing for coarser granularity than in the EM calorimeter.

The barrel region of the hadronic calorimeter has a depth of  $9.7 \lambda$  and cell sizes of  $0.1 \times 0.1$  in  $\Delta\eta \times \Delta\phi$  for the first two layers, and  $0.2 \times 0.1$  in  $\Delta\eta \times \Delta\phi$  in the last layer. The end-cap wheels have a depth of  $10 \lambda$  and similar granularity to the barrel region of  $0.1 \times 0.1$  in  $\Delta\eta \times \Delta\phi$  in the first wheel, and  $0.2 \times 0.1$  in  $\Delta\eta \times \Delta\phi$  for the second.

### 3.2.4.3 The Forward Calorimeter

ATLAS uses an additional calorimeter in the forward region of  $3.2 < |\eta| < 4.9$  in order to increase the calorimeter coverage within ATLAS up to the pseudorapidity range of  $|\eta| < 4.9$ . The forward calorimeter (FCal) is a sampling calorimeter capable of performing energy measurements of both EM and hadronic particles via its use of a combination of multiple absorber materials.

The FCal has a depth of  $10 \lambda$  and is segmented into three layers: while the FCal consistently uses LAr as its active material, the first layer uses copper layers as its absorber material in order to perform measurements of EM particles before switching to a tungsten absorber material in the second and third layers for hadronic energy measurements.

### 3.2.5 The Muon Spectrometer

The final and outermost subsystem of the ATLAS detector is the Muon Spectrometer (MS) [60]. Interspersed between the toroidal magnet, the muon system is composed of



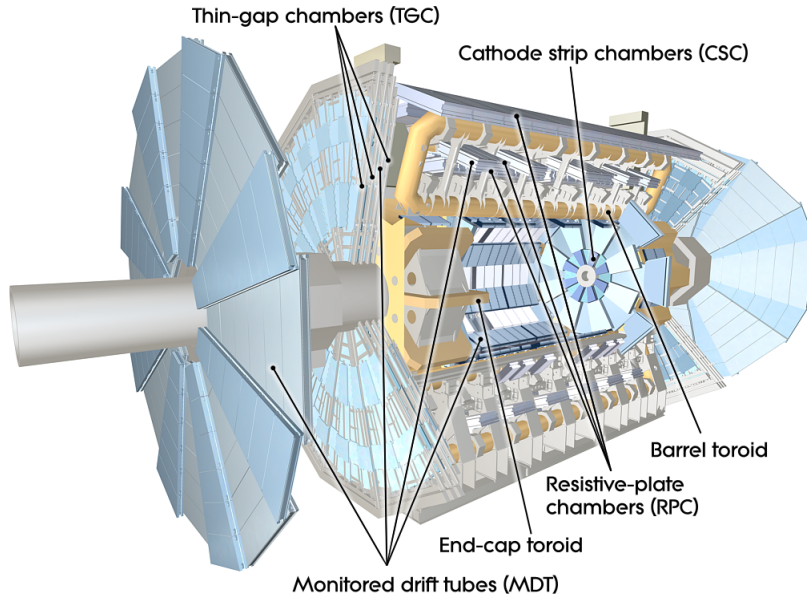


Figure 3.13: A figure showing the position of the individual muon systems within ATLAS [42].

two systems made up of pairs of triggering and tracking systems with the aim to track and measure muons in the pseudorapidity range of  $|\eta| < 2.7$ . Muons that pass through the system are subjected to ATLAS' magnetic field (discussed in Section 3.2.2), bending the muon tracks and allowing for muon momentum measurements.

The MS can be divided into a barrel region in the pseudorapidity range of  $|\eta| < 1.05$  and an end-cap region from  $1.05 < |\eta| < 2.7$ . Four main components in various configurations are used to provide the full functionality of the MS: [Monitored Drift Tubes \(MDTs\)](#) [61], [Resistive Plate Chambers \(RPCs\)](#) [62], [Cathode Strip Chambers \(CSCs\)](#) [63], and [Thin Gap Chambers \(TGCs\)](#) [64]. The positioning of each of these components can be seen in Figure 3.13.

### Monitored Drift Tubes (MDTs)

MDTs can essentially be thought of as larger versions of the TRT straws discussed in Section 3.2.3.4. They are drift tubes with a diameter of 3 cm containing a central wire made of tungsten and filled with argon gas, subjected to a potential between the tube wall and the wire. Muons ionise the argon gas within the MDTs, and the electrons drift towards the tungsten wire to produce a detectable signal. The drift time of the charge within the MDTs allows for attainment of a spatial precision of  $80 \mu\text{m}$ .

### Resistive Plate Chambers (RPCs)

A single RPC unit contains two gas volumes bounded by two bakelite capacitor plates

and separated by a grid of 2 mm spacers. The gas volume is filled with  $\text{C}_2\text{H}_2\text{F}_4$  gas and subjected to a potential. Muons passed through the gas volume ionise the gas, and ionised electrons from these interactions are read out from the RPC unit allowing for a trigger timing resolution of 2 nanoseconds.

### Cathode Strip Chambers (CSCs)

CSCs are multi-wire proportional chambers, which typically consist of equally spaced parallel wires sandwiched between cathode planes [65]. The remaining unit volume is filled with gas, which is ionised by incident charged particles. The CSCs uses alternating planes of tungsten wires and two segmented cathodes in a 80%/20% argon/carbon dioxide gas volume, with one set of cathode strips running perpendicular to the wires and the other set of strips running parallel to the wires. This configuration of the CSCs provides a resolution of 60  $\mu\text{m}$  per layer.

### Thin Gap Chambers (TGCs)

Much like the CSCs, the TGCs are also multi-wire proportional chambers. The key difference between the two is their slightly differing design: the TGCs use 50  $\mu\text{m}$  gold plated tungsten wires and a 45%/55% n-pentane/carbon dioxide gas volume [66]. The TGCs allow for a faster timing resolution than the CSCs alone (approximately 4 ns compared with 7 ns).

The MS barrel uses a combination of MDTs and RPCs for tracking and triggering respectively. The barrel component of the MS consists of three cylindrical layers of MDTs, with RPCs surrounding the last two MDT layers. The end-cap region of the MS consists of four wheels containing MDTs and CSCs for tracking, and TGCs for triggering: the first wheel at higher pseudorapidity of  $2.5 < |\eta| < 2.7$  uses CSCs in place of MDTs, as the occupancy of the MDTs is too high in this region. The remaining three wheels use MDTs, with three layers of TGCs surrounding the third wheel. The arrangement of the muon system can be seen in Figure 3.14.

## 3.2.6 The ATLAS Trigger System

Attempting to record every interaction that occurs within the ATLAS detector is a herculean task. Bunches accelerated by the LHC cross every 25 ns, giving a frequency of 40 MHz. If each event has a raw size of 1.5 MB, ATLAS must record and store to disk 60 TB per second. The current record for highest data throughput attained experimentally is only 178.08 Tb or 22.25 TB per second [68], and was only achieved in July 2020 (over a year after the beginning of Long Shutdown 2).

Fortunately, recording every single ATLAS interaction is not required for standard operation. The vast majority of LHC collisions are simple parton scattering and absent of the smaller scale physics that ATLAS searches for. In order for efficient operation,



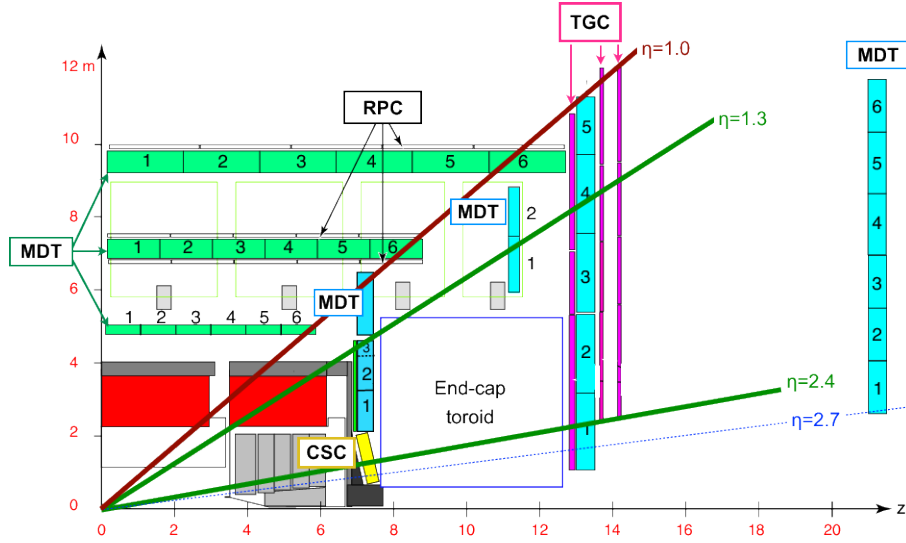


Figure 3.14: A figure showing the arrangement of muon system components within the ATLAS detector [67].

ATLAS filters out these insignificant events to focus its efforts on storing information that is useful for physics analyses. This is done through the use of a *trigger*, a two level system that filters through these events in real time.

The first level of this trigger system, aptly named the Level 1 (L1) Trigger, is hardware based trigger implemented in fast custom electronics. Events are buffered into front end pipelines and fed into the L1 calorimeter (L1Calo) trigger and the L1 muon (L1Muon) triggers, which are the beginning of a trigger chain ending in the Central Trigger Processor(CTP). The full ATLAS trigger chain can be seen in Figure 3.15.

**The L1Calo trigger** takes analogue input signals from the calorimeter, which are converted to digital signals and calibrated by the preprocessor. These digital signals are sent in parallel to the Cluster Processor (CP) and Jet/Energy-sum Processor (JEP) which identify object candidates and perform preliminary measurements. The CP identifies electron, photon, and  $\tau$ -lepton candidates, and the JEP identifies jet candidates and sums both the total and missing transverse energies.

**The L1Muon trigger** analyses hits from the RPCs and TGCs of the MS to understand how the detected track pattern deviates from the hit pattern of a muon of infinite momentum. The L1Muon trigger also applies a coincidence requirement on the outer TGCs, inner TGCs, and tile calorimeter in order to reduce the event rate from events occurring outside the IP.

Information from the L1Calo Trigger, L1 topological (L1Topo) trigger, and L1Muon

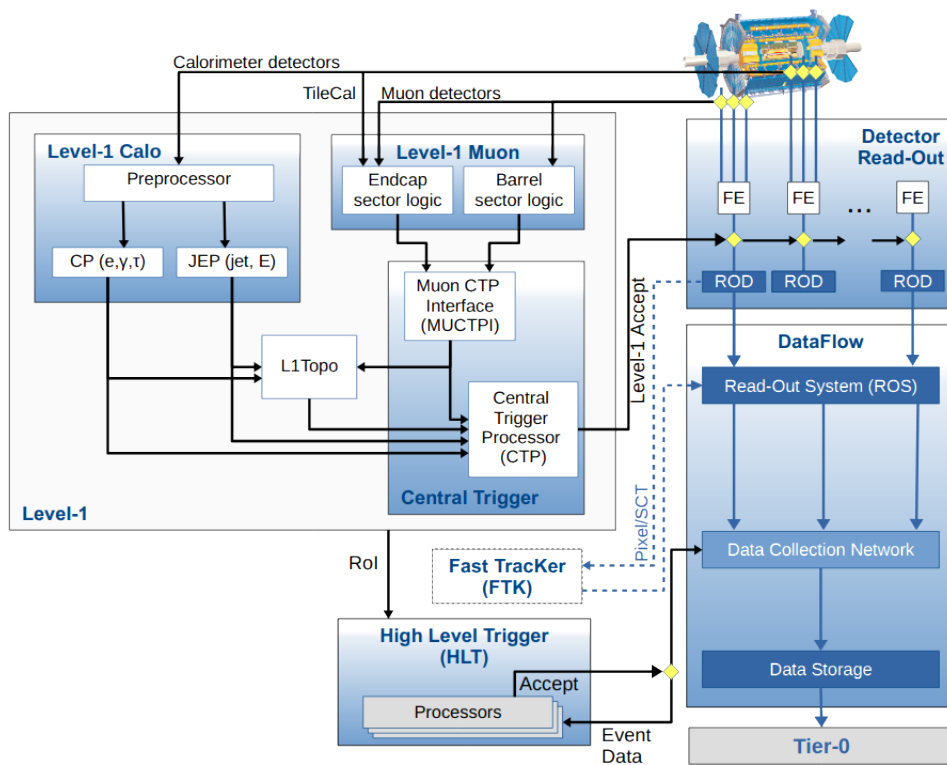


Figure 3.15: A figure showing the full ATLAS trigger chain from hits in the calorimeters and MS to delivery to CERN's Tier-0 computing infrastructure [69].

trigger passed through the L1Muon Central Trigger Processor Interface (MUCTPI) feed into the CTP where events are selected based on event-level quantities (e.g. total calorimeter energy), multiplicity of objects above thresholds (e.g. muon  $p_T$ ), or topological requirements (e.g. invariant masses or angular distances) [69].

The latency of the L1 trigger system is  $< 2.5 \mu\text{s}$ , which equates to making the full the decision to filter the event in under  $2.5 \mu\text{s}$  of the bunch crossing occurring. This speed is possible by only performing initial triggering with the L1 trigger before passing events two the second stage of the ATLAS trigger system, the High Level Trigger (HLT). The L1 trigger reduces the LHC's full event rate of 40 MHz to a much more manageable size of 100 kHz.

Events from the L1 trigger are sent to ReadOut Drivers (RODs) that process and format the data, then to the ReadOut System (ROS) which buffers the data in preparation for the HLT. This data contains the event information from the L1 trigger in addition to Regions-of-Interest (ROIs) in  $\eta$  and  $\phi$  marked by the L1 trigger, which are areas designated to be investigated by the HLT. Unlike the hardware based L1 trigger, the HLT is entirely software base trigger requiring the collaboration of a server farm of approximately 40,000 processing units (PUs).

Standard event reconstruction begins with a series of dedicated fast trigger algorithms that aim to quickly reject events, before the surviving events undergo far more CPU-intensive algorithms for final event selection. Information from the ROS is only supplied to the HLT on request, as the more intensive reconstruction cannot keep pace with the speed of the L1 trigger. Typical reconstructions will often execute at least one feature-extraction algorithm that requests fragments of event data from within the ROIs supplied by the L1 trigger, and use the reconstructed features to decide if the specified trigger condition has been satisfied. Some cases require event information from the full detector, such as in the case of reconstructing missing transverse momentum which requires the reconstruction of all present physics objects.

This rapid event reconstruction and analysis using the full detector granularity takes place with a latency of approximately half a second which, while far slower than the extremely high speeds of the LHC beamline and L1 trigger, is relatively quick when compared with day to day speeds. The HLT reduces the event rate from the 100 kHz input by the L1 trigger even further, to an average of 1 kHz [70]. Using the previous figure of 1.5 MB per event, this equates to a much more manageable data writing speed of 1.5 GB per second. Events passing the ATLAS trigger system are subsequently sent to CERN's Tier-0 computing infrastructure for full reconstruction.

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