

UNCERTAINTY AND THE MEDICAL INTERVIEW

TOWARDS SELF-ASSESSMENT IN MACHINE LEARNING MODELS

Jakob Drachmann Havtorn

- Welcome to my PhD defense.
- Thank you to the moderator and the assessment committee for taking part today.
- I will present my work on uncertainty estimation in AI systems for medical domains.
- I will start with an overview of the thesis followed by a brief introduction.
- Then I will present a selection of the research chapters.
- Finally, I will discuss the broader implications of the work.

OVERVIEW Thesis



- CHAPTER 1-3 INTRODUCTION, RESEARCH QUESTIONS, AND BACKGROUND
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- CHAPTER 4 HIERARCHICAL VAES KNOW WHAT THEY DON'T KNOW
- CHAPTER 5 MODEL-AGNOSTIC OUT-OF-DISTRIBUTION DETECTION
USING COMBINED STATISTICAL TESTS
- CHAPTER 6 A BRIEF OVERVIEW OF UNSUPERVISED SPEECH
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- CHAPTER 7 BENCHMARKING LATENT VARIABLE MODELS FOR SPEECH
- CHAPTER 8 AUTOMATED MEDICAL CODING ON MIMIC-III AND
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PROJECT

Background

Industrial PhD project with Corti AI and DTU Compute.

- **2020-2023**
- **Collaboration** between academia and industry partially funded by InnovationFund Denmark.
- **Corti:** Using machine learning to augment communication in the healthcare sector.
- **Project goal:** Pursue research in machine learning at the interface between academic and company interests.



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INTRODUCTION Medical dialogue

Central to an **interaction** within a healthcare system is the **medical dialogue**:

- General practitioner
- Nurse
- Midwife
- Emergency medical dispatcher
- Paramedic
- Emergency room
- Health insurance



1. We focus on medical communication.
2. Involves many different parties.
3. Different contexts and purposes.
4. Busy emergency room, calls to emergency medical dispatchers, visits at general practitioners, etc.



Errors in medical dialogue

- Communication is everywhere in healthcare.
- It is complex, involving multiple participants, different contexts, and different purposes.



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└ introduction

└ Errors in medical dialogue

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Errors in medical dialogue

- Communication is everywhere in healthcare.
- It is complex, involving multiple participants, different contexts, and different purposes.
- **Adverse events:** Failure of communication contributes to two out of three adverse events [48].
- **Preventability:** Many adverse outcomes are preventable [10].



1. So yes communication can be complex and noisy, but what are the consequences?
2. Failure of communication is a leading cause of adverse events.
3. Adverse events are episodes of medical error that result in harm to the patient.
4. Luckily, many of these are preventable, although exact numbers vary.
5. Better communication could help reduce these numbers.

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Documenting medical encounters

- Documentation is a central part of healthcare.
- E.g. patient records, insurance claims, billing, research, training, legal purposes.



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└ Documenting medical encounters

1. Another central aspect of medical communication is documentation.
2. Essential for a number of purposes
3. But, it is time-consuming and of varying quality.
4. (Ambulatory ≡ outpatient care, Tertiary ≡ specialized care)

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Documenting medical encounters

- Documentation is a central part of healthcare.
- E.g. patient records, insurance claims, billing, research, training, legal purposes.
- **Time-consuming:** Physicians spend 34-37% of their time on documentation [26, 47, 49]^a.
- **Varying quality:** Discharge summaries almost never meet *all* timeline, transmission, and content criteria. [22]^b

^aAmbulatory care across four specialties in four states and tertiary care at an academic medical center.

^bOutpatient visits, Yale-New Haven Hospital.



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How might machine learning help?

- Assist with documentation.
- Augment communication.
- Improve decision-making.



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└ How might machine learning help?

1. Machine learning can help in many ways.
2. The main goal of augmenting communication is:
 - Reduce the impact.
 - Free up time.

- Assist with documentation.
- Augment communication.
- Improve decision-making.



How might machine learning help?

- **Assist** with documentation.
- **Augment** communication.
- **Improve** decision-making.
- **Reduce** the impact of medical errors and adverse events.
- **Free up** time spent on documentation for patient care.



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Building a decision-support system



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INTRODUCTION
Building a decision-support system

└ introduction

└ Building a decision-support system

1. We will take a modular approach to building a decision-support system.
2. First we need source data
3. Then we need to convert it into representations useful for downstream tasks.
4. Then we can perform the downstream tasks.
5. Finally, we need to estimate the reliability of our data, representations, and predictions.

Building a decision-support system



- **Source data:** Speech or text (potentially images, video, electronic health records, etc.).

TEXT

A blue rounded rectangle containing vertical white bars and the word 'TEXT' in the center.

SPEECH

A green rounded rectangle containing vertical white bars and the word 'SPEECH' in the center.

introduction

Building a decision-support system

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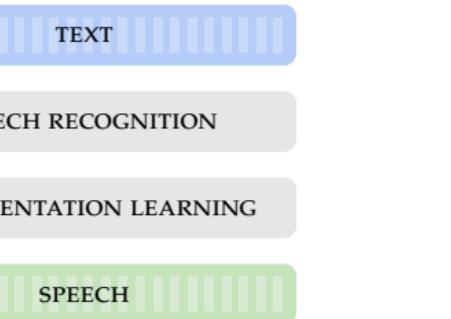
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more

speech

Building a decision-support system

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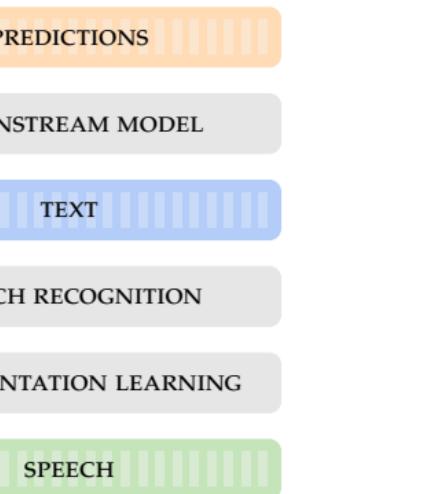
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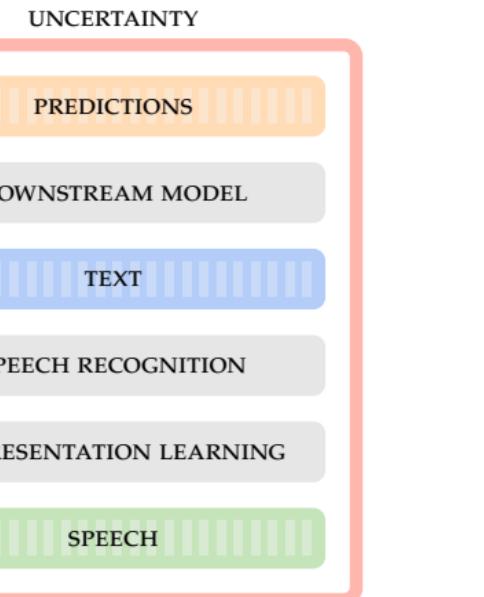
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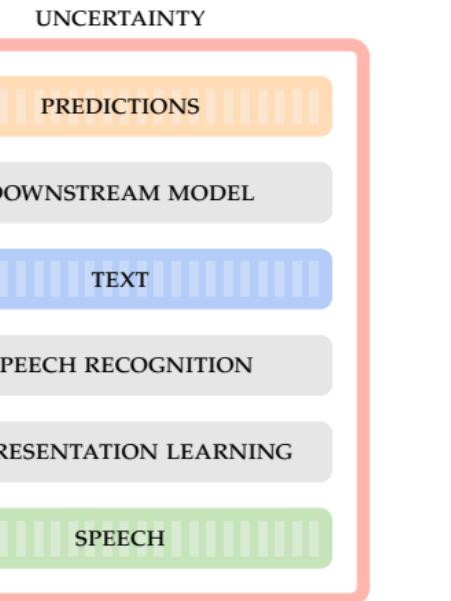
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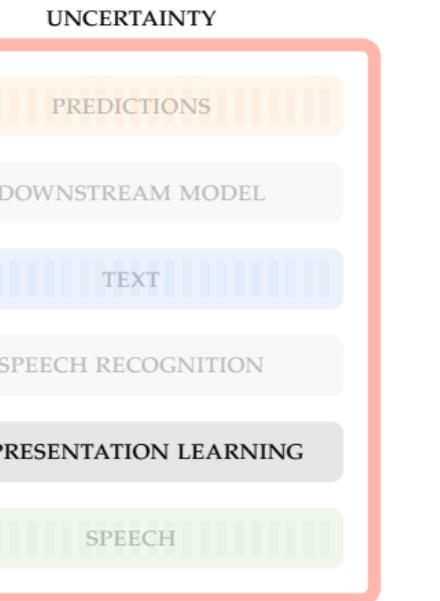
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1. So how does the thesis tackle this problem of building such a system?

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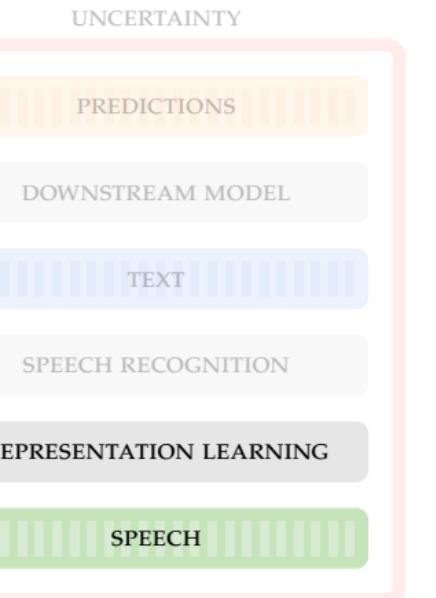
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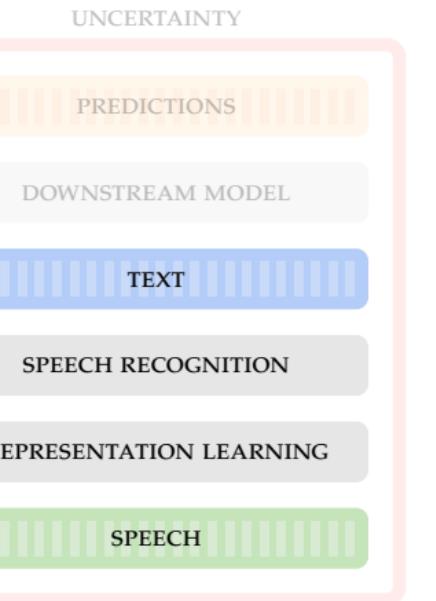
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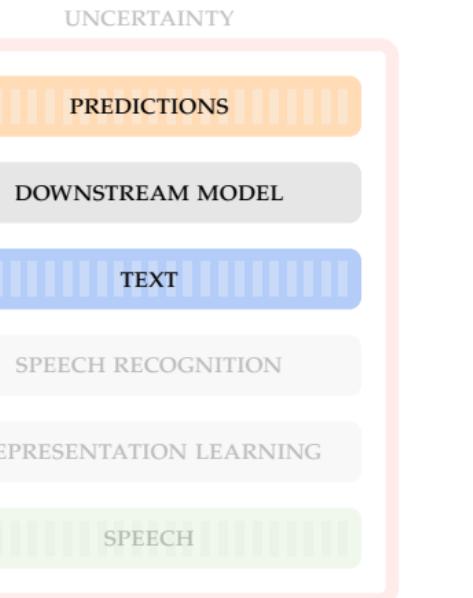
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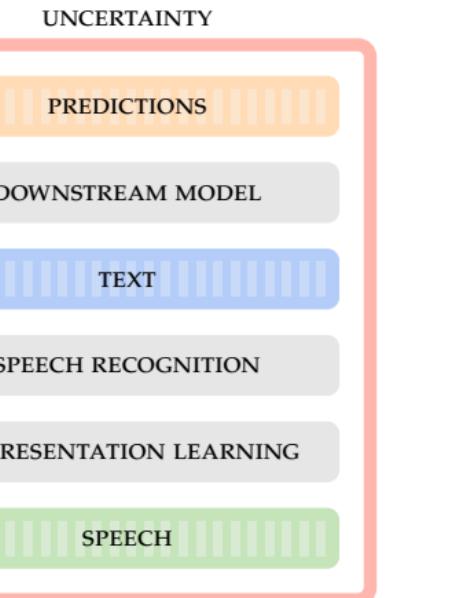
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DOWNSTREAM MODEL

TEXT

SPEECH RECOGNITION

REPRESENTATION LEARNING

SPEECH

RESEARCHERS HAVE KNOWN WHAT THEY DON'T KNOW

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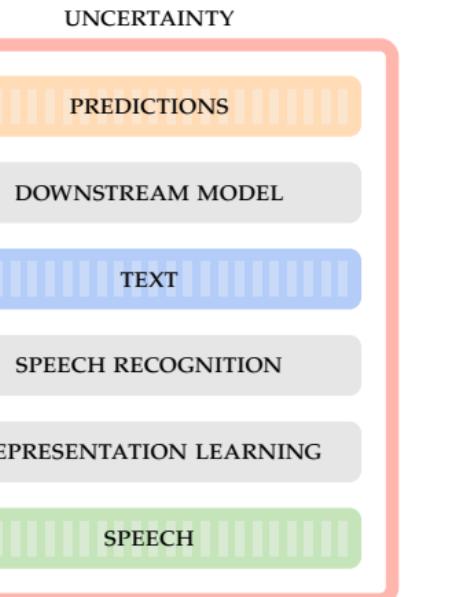
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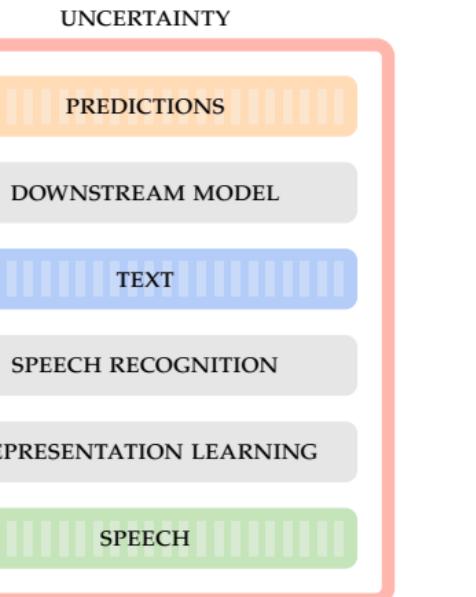
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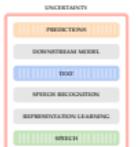


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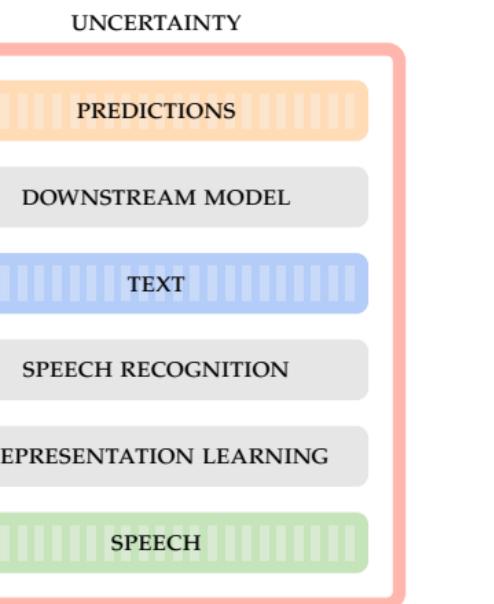
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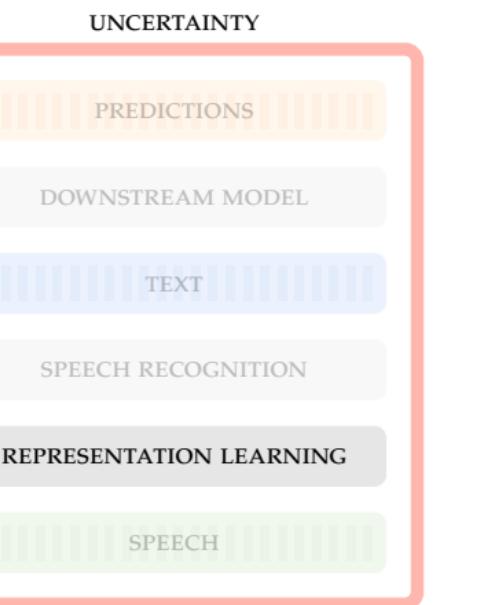
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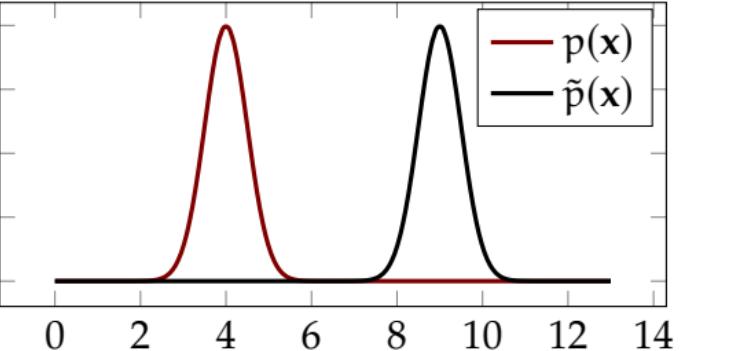
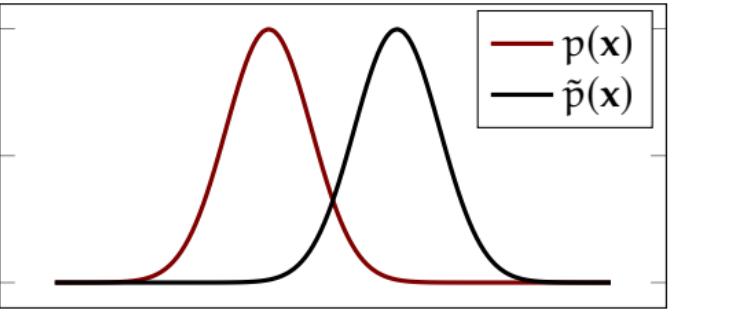
corti

Defining OOD detection

Enable models to distinguish the training data distribution $p(x)$ from any other distribution $\tilde{p}(x)$.

Do this for any given single observation, i.e. answer the question:

"Was x sampled from $p(x)$ or not?"



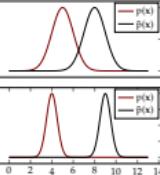
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↳ hierarchical vae's know what they don't know

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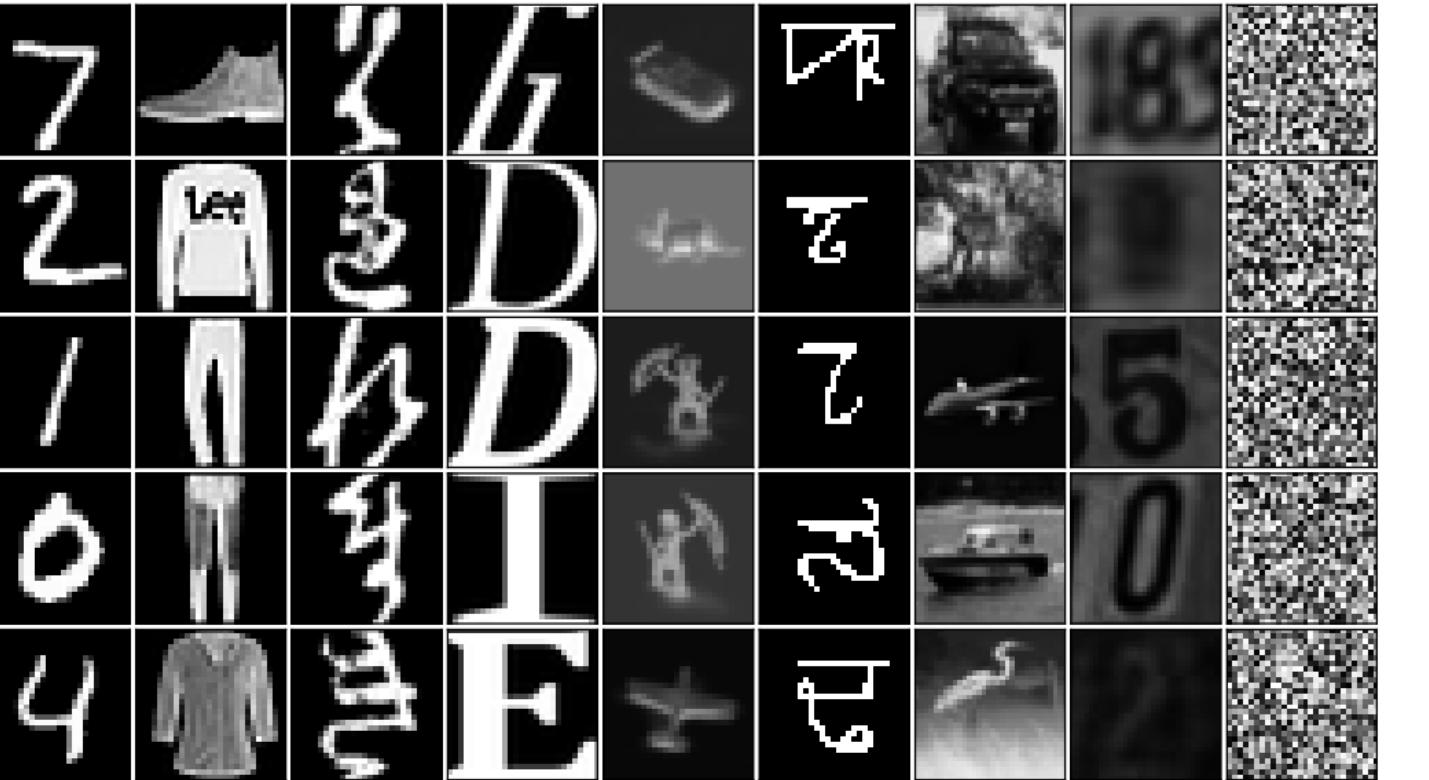
In distribution?**UNCERTAINTY AND THE MEDICAL INTERVIEW**

└ hierarchical vaes know what they don't know

└ In distribution?



1. Datasets can overlap quite a bit in their raw data space.
2. What we usually care about is a more semantic notion of similarity.

Out of distribution?**UNCERTAINTY AND THE MEDICAL INTERVIEW**

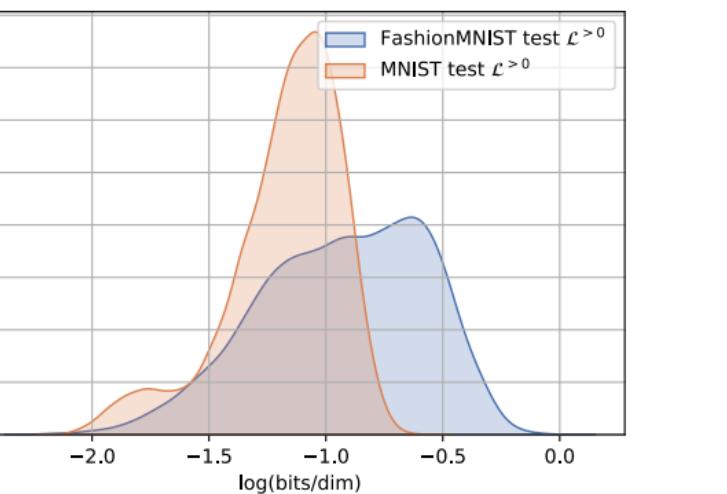
↳ hierarchical vaes know what they don't know

↳ Out of distribution?



Out-of-distribution detection with generative models

- Generative models learn to approximate the **data distribution** $p(x)$.
- The likelihood of the model given a sample x is a measure of how well the model **explains the data**.
- **Model likelihood** has long been thought of as useful for OOD detection [5].



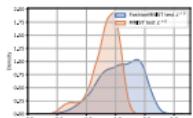
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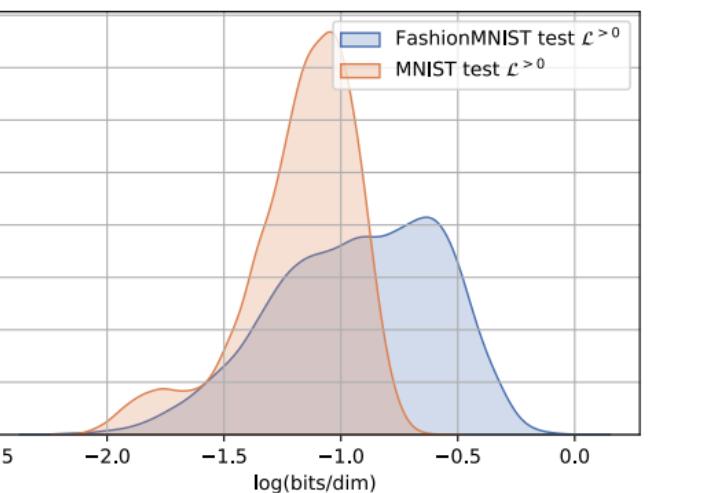


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HVAE trained on FashionMNIST evaluated on FashionMNIST and MNIST.

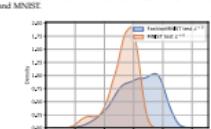
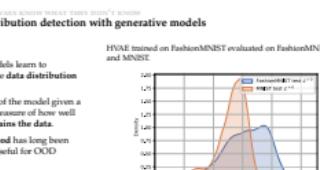


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↳ hierarchical vae's know what they don't know

↳ Out-of-distribution detection with generative models

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HIERARCHICAL VAES KNOW WHAT THEY DON'T KNOW
Hierarchical VAE

We choose the hierarchical VAE as our model [30, 44].

$$p_{\theta}(x) = \int p_{\theta}(x, z) dz = \int p_{\theta}(x|z)p_{\theta}(z) dz$$

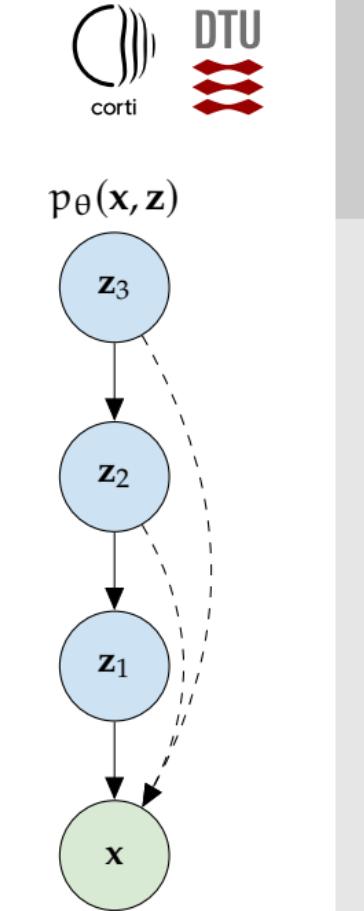
Specifically we use

- ① a three-layered hierarchical VAE with bottom-up inference and deterministic skip-connections for both inference and generation.

Generative model: $p_{\theta}(x|z) = p_{\theta}(x|z_1)p_{\theta}(z_1|z_2)p(z_2)$,

Inference model: $q_{\phi}(z|x) = q_{\phi}(z_1|x)q_{\phi}(z_2|z_1)q_{\phi}(z_3|z_2)$.

- ② a ten-layered layered Bidirectional-Inference Variational Autoencoder (BIVA) [36].



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$q_{\phi}(z|x)$ $p_{\theta}(x, z)$

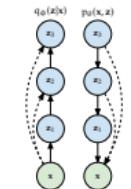
z_3 z_3

z_2 z_2

z_1 z_1

x x

DTU corti



What is wrong with the ELBO for OOD detection?

We can split the ELBO into two terms

$$\mathcal{L}(\mathbf{x}; \theta, \phi) = \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} \left[\log \frac{p_\theta(\mathbf{x}, \mathbf{z})}{q_\phi(\mathbf{z}|\mathbf{x})} \right] = \underbrace{\mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} [\log p_\theta(\mathbf{x}|\mathbf{z})]}_{\text{reconstruction likelihood}} - \underbrace{D_{\text{KL}}(q_\phi(\mathbf{z}|\mathbf{x}) \parallel p(\mathbf{z}))}_{\text{regularization penalty}}. \quad (1)$$

The first term is high if the data is well-explained by \mathbf{z} . The second term we can rewrite as,

$$D_{\text{KL}}(q_\phi(\mathbf{z}|\mathbf{x}) \parallel p(\mathbf{z})) = \mathbb{E}_{q_\phi(\mathbf{z}|\mathbf{x})} \left[\sum_{i=1}^{L-1} \log \frac{p_\theta(\mathbf{z}_i|\mathbf{z}_{i+1})}{q_\phi(\mathbf{z}_i|\mathbf{z}_{i-1})} + \log \frac{p_\theta(\mathbf{z}_L)}{q_\phi(\mathbf{z}_L|\mathbf{z}_{L-1})} \right]. \quad (2)$$

Since the individual terms are computed by summing over the dimensionality of \mathbf{z}_i , the absolute log-ratios grow with $\text{dim}(\mathbf{z}_i)$.

Since the lower-most latent variables are usually higher dimensional than top ones, these are weighted higher in the ELBO.



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↳ hierarchical vae's know what they don't know

↳ What is wrong with the ELBO for OOD detection?

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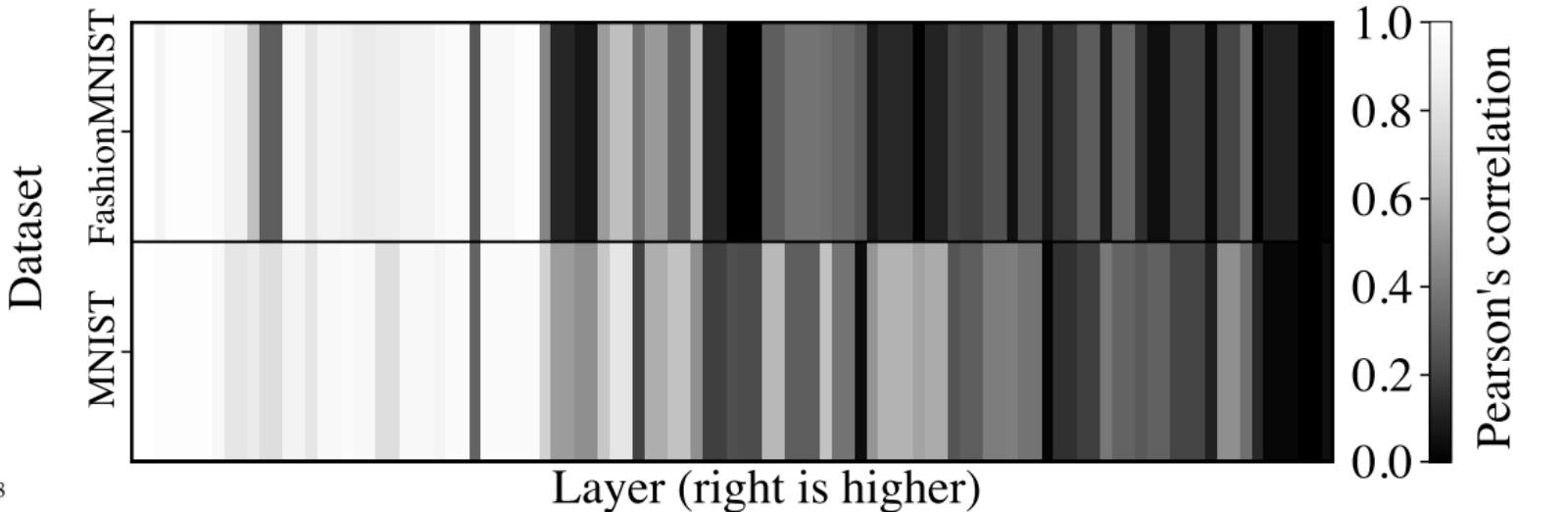
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Since the lower-most latent variables are usually higher dimensional than top ones, these are weighted higher in the ELBO.

What do the lowest latent variables represent?

Absolute Pearson correlations between data representations in all layers of the inference network of a hierarchical VAE trained on FashionMNIST and of another trained on MNIST.

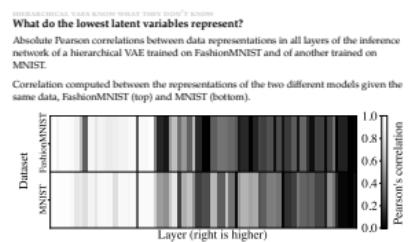
Correlation computed between the representations of the two different models given the same data, FashionMNIST (top) and MNIST (bottom).



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↳ hierarchical vae's know what they don't know

↳ What do the lowest latent variables represent?



1. Strong evidence that the lowest latent variables are generalizing across datasets.

Likelihood ratios

We suggest to define a likelihood ratio score [9] using the ELBO $\mathcal{L}(x)$ and a relaxed bound $\mathcal{L}^{>k}(x)$.

$$\text{LLR}^{>k}(x) \equiv \mathcal{L}(x) - \mathcal{L}^{>k}(x), \quad (3)$$

where the exact form of the bounds is,

$$\mathcal{L} = \log p_\theta(x) - D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)), \quad (4)$$

$$\mathcal{L}^{>k} = \log p_\theta(x) - D_{\text{KL}}(p_\theta(z_{<k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)).$$

In the likelihood ratio $\log p_\theta(x)$ cancels out and only the KL-divergences from the approximate to the true posterior remain.

$$\begin{aligned} \text{LLR}^{>k}(x) &= -D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)) \\ &\quad + D_{\text{KL}}(p_\theta(z_{<k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)). \end{aligned} \quad (5)$$



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Likelihood ratios

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1. Write likelihood-ratio using the exact form of the bounds including intractable KL-divergence.

The importance weighted autoencoder (IWAE) bound is tight with the true likelihood in the limit of infinite samples, $S \rightarrow \infty$ [8],

$$\mathcal{L}_S = \mathbb{E}_{q(\mathbf{z}|\mathbf{x})} \left[\log \frac{1}{N} \sum_{s=1}^S \frac{p(\mathbf{x}, \mathbf{z}^{(s)})}{q(\mathbf{z}^{(s)}|\mathbf{x})} \right] \leq \log p_\theta(\mathbf{x}), \quad (6)$$

Consequently, by importance sampling the ELBO, $D_{KL}(q_\phi(\mathbf{z}|\mathbf{x}) \| p_\theta(\mathbf{z}|\mathbf{x})) \rightarrow 0$ and our likelihood ratio reduces to the KL-divergence of $\mathcal{L}^{>k}$.

$$LLR_S^{>k}(\mathbf{x}) \rightarrow D_{KL}(p(\mathbf{z}_{\leq k}|\mathbf{z}_{>k})q(\mathbf{z}_{>k}|\mathbf{x}) \| p(\mathbf{z}|\mathbf{x})). \quad (7)$$

$LLR_S^{>k}(\mathbf{x})$ performs KL-divergence-based OOD detection using top-most latent variables.

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- └ hierarchical vae know what they don't know
- └ Importance sampling the ELBO

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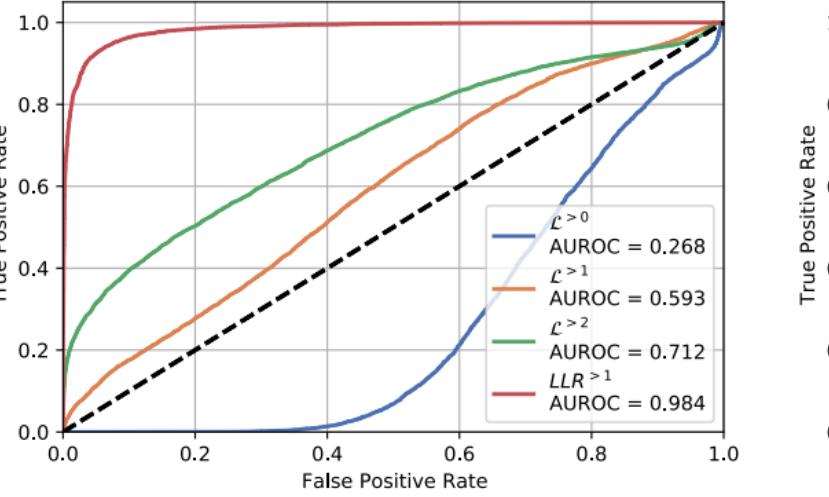
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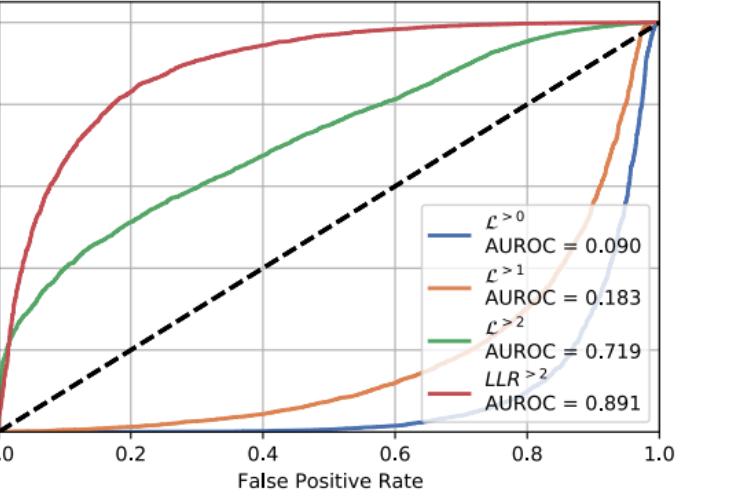
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HIERARCHICAL VAES KNOW WHAT THEY DON'T KNOW
ROC curves with $\mathcal{L}^{>k}$ and $LLR^{>k}$



(a) FashionMNIST HVAE evaluated on MNIST



(b) CIFAR10 BIVA evaluated on SVHN

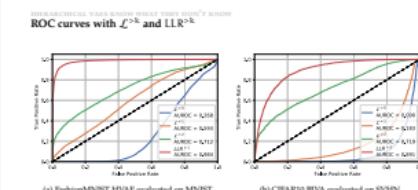


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ROC curves with $\mathcal{L}^{>k}$ and $LLR^{>k}$



Results on CIFAR10/SVHN

Method	AUROC↑	AUPRC↑	FPR80↓
CIFAR10 (in) / SVHN (out)			
Use prior knowledge of OOD			
Backgr. contrast. LR (PixelCNN) [43]	0.930	0.881	0.066
Backgr. contrast. LR (VAE) [53]	0.265	-	-
Outlier exposure [21]	0.984	-	-
Input complexity (S, Glow) [46]	0.950	-	-
Input complexity (S, PixelCNN++) [46]	0.929	-	-
Input complexity (S, HVAE) (Ours) [46]	0.833	0.855	0.344
Use in-distribution data labels y			
Mahalanobis distance [32]	0.991	-	-
No OOD-specific assumptions			
- <i>Ensembles</i>			
WAIC, 5 models, Glow [11]	1.000	-	-
WAIC, 5 models, PixelCNN [43]	0.628	0.616	0.657
- <i>Not ensembles</i>			
Likelihood regret [53]	0.875	-	-
LLR $>^2$ + HVAE (ours)	0.811	0.837	0.394
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 - Strong correlations between some latent variables for different datasets.
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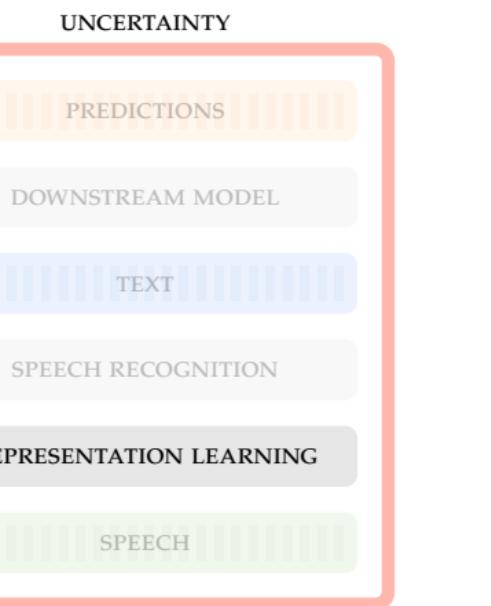
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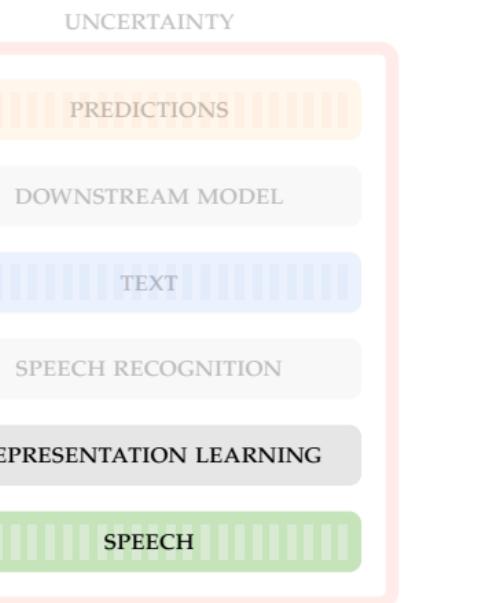
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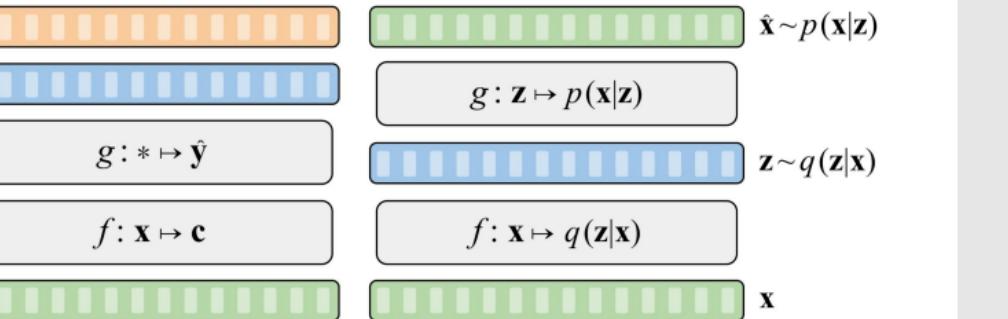


A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING

Overview: Representation Learning for Speech



- Reviews two learning paradigms:
 - Self-supervised learning (SSL)
 - Probabilistic latent variable models (LVMs)
- Recent developments have been driven by **self-supervised learning**.
- A model-by-model overview for selected self-supervised models.



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a brief overview of unsupervised speech representation learning

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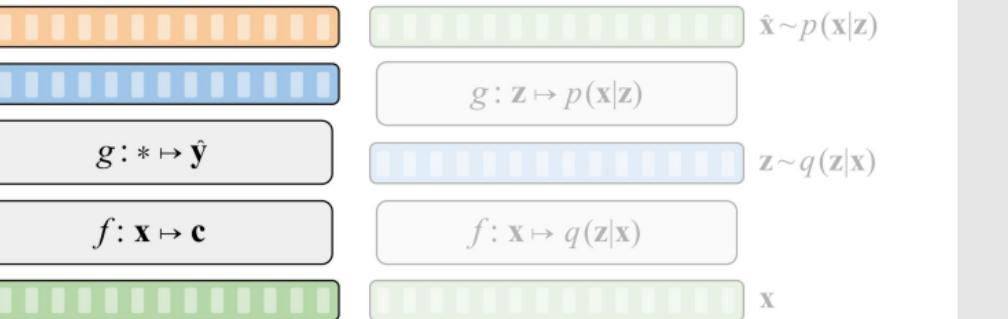


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UNCERTAINTY AND THE MEDICAL INTERVIEW

a brief overview of unsupervised speech representation learning

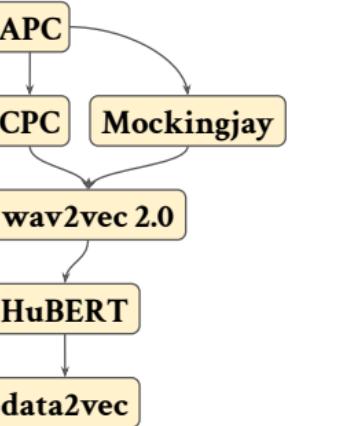
Overview: Representation Learning for Speech

2024-03-04

- Reviews two learning paradigms:
 - Self-supervised learning (SSL)
 - Probabilistic latent variable models (LVMs)
- Recent developments have been driven by **self-supervised learning**.
- A model-by-model overview for selected self-supervised models.



A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING
Development of SSL for speech

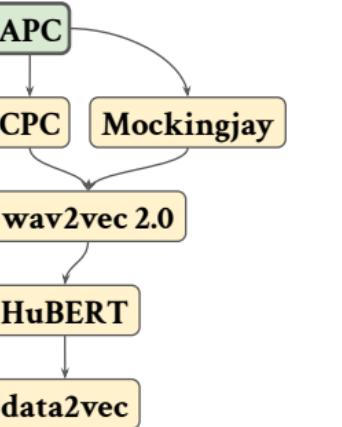


2024-03-04

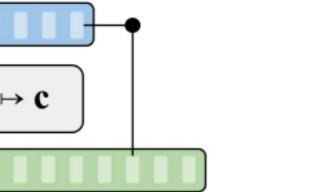
UNCERTAINTY AND THE MEDICAL INTERVIEW
└ a brief overview of unsupervised speech representation learning
 └ Development of SSL for speech



A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING Autoregressive Predictive Coding (APC)



- **Task:** Predict future inputs.
- **Input/target:** Log-mel spectrogram.
- **Architecture:** RNN/Transformer decoder.
- **Slow features:** Predict k steps ahead.



2024-03-04

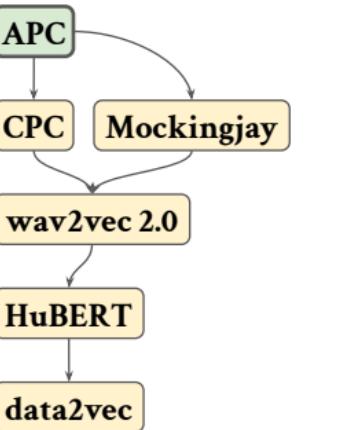
UNCERTAINTY AND THE MEDICAL INTERVIEW

- └ a brief overview of unsupervised speech representation learning
- └ Autoregressive Predictive Coding (APC)

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING
Autoregressive Predictive Coding (APC)

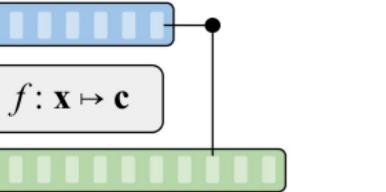
• Task: Predict future inputs.
• Input/target: Log-mel spectrogram.
• Architecture: RNN/Transformer decoder.
• Slow features: Predict k steps ahead.

The diagram shows a flow from 'APC' to 'CPC' and 'Mockingjay'. 'CPC' and 'Mockingjay' both feed into 'wav2vec 2.0'. 'wav2vec 2.0' feeds into 'HuBERT', which in turn feeds into 'data2vec'. To the right, there is a legend and a small diagram of a spectrogram labeled $f: \mathbf{x} \mapsto \mathbf{c}$.



- Challenges:

- Encodes only past inputs ✗
- Uses the input as target ✗



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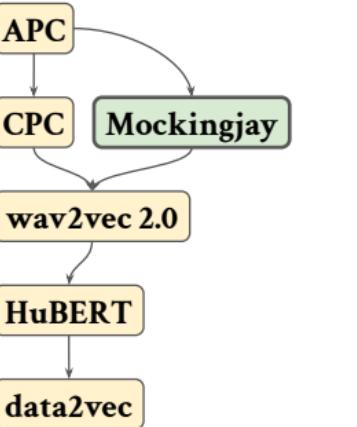
- └ a brief overview of unsupervised speech representation learning
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A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING
Autoregressive Predictive Coding (APC)

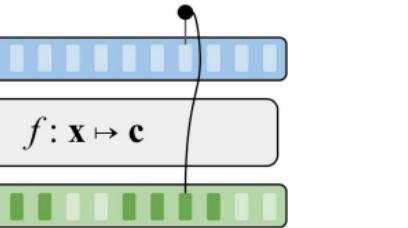
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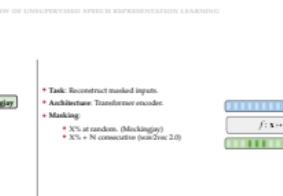
The diagram illustrates the APC architecture. It shows a sequence of inputs (blue bars) being processed by a function $f: \mathbf{x} \mapsto \mathbf{c}$ to produce a sequence of hidden states (green bars). The APC layer is highlighted in green, indicating it encodes only past inputs. The Mockingjay layer is also shown, which uses the input as a target. The entire process is labeled as challenging due to these two specific limitations.

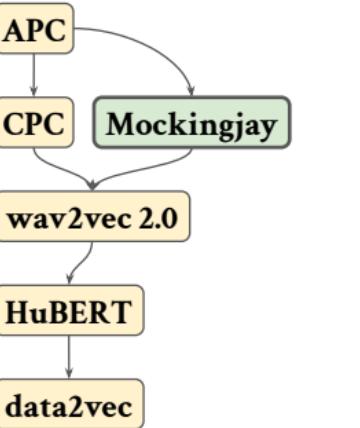


- **Task:** Reconstruct masked inputs.
- **Architecture:** Transformer encoder.
- **Masking:**
 - X% at random. (Mockingjay)
 - X% + N consecutive (wav2vec 2.0)



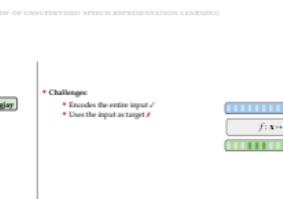
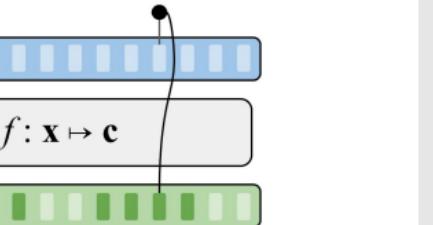
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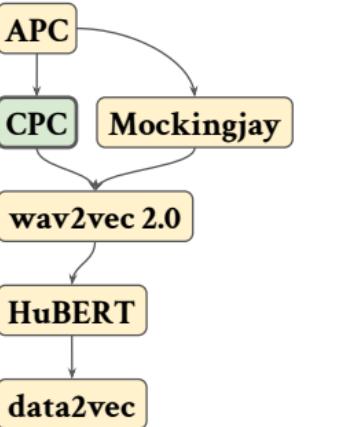




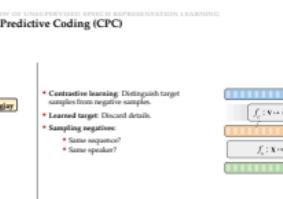
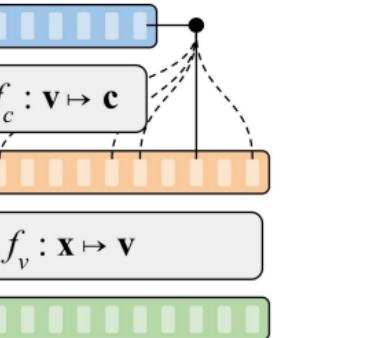
- Challenges:

- Encodes the entire input ✓
- Uses the input as target ✗



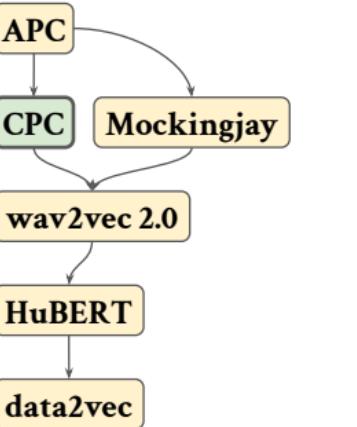


- **Contrastive learning:** Distinguish target samples from negative samples.
- **Learned target:** Discard details.
- **Sampling negatives:**
 - Same sequence?
 - Same speaker?



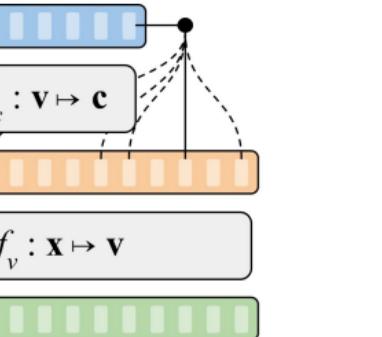
A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING

Contrastive Predictive Coding (CPC)



- Challenges:

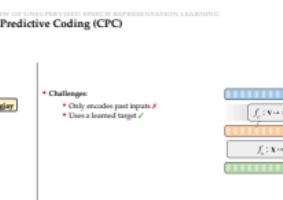
- Only encodes past inputs ✗
- Uses a learned target ✓



UNCERTAINTY AND THE MEDICAL INTERVIEW

- └ a brief overview of unsupervised speech representation learning
- └ Contrastive Predictive Coding (CPC)

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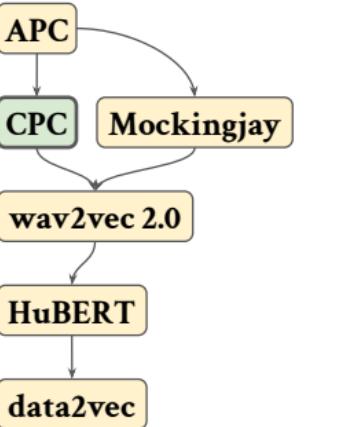
$f_v : \mathbf{x} \mapsto \mathbf{v}$

$f_c : \mathbf{v} \mapsto \mathbf{c}$

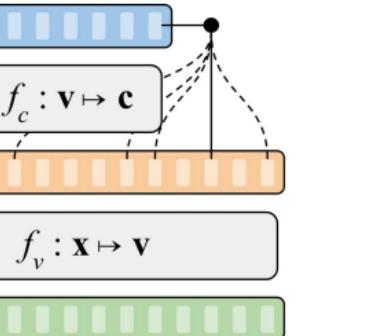
\mathbf{c}'

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING

Contrastive Predictive Coding (CPC)



- Challenges:
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- Uses a learned target ✓
- Sampling negatives ✗

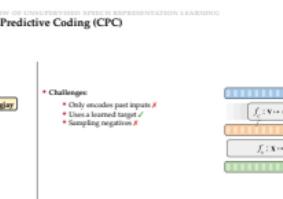
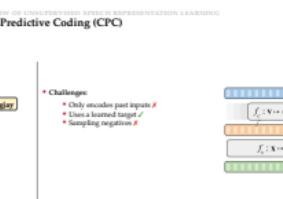


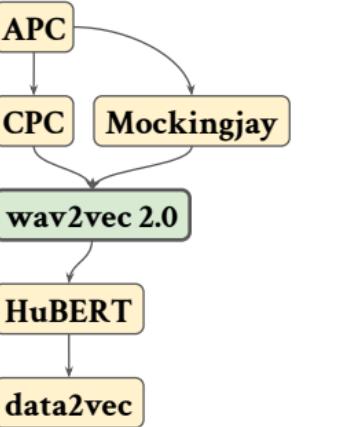
UNCERTAINTY AND THE MEDICAL INTERVIEW

a brief overview of unsupervised speech representation learning

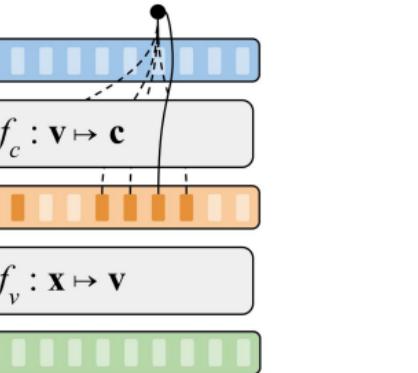
Contrastive Predictive Coding (CPC)

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- Masking + contrastive learning.
- **Quantisation:** Better negative samples.
- **Results:**
 - 960 hours: **2.0%** WER.
 - 10 minutes: **4.8%** WER.

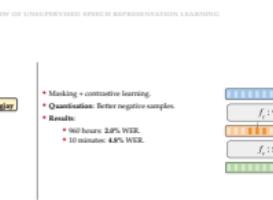


UNCERTAINTY AND THE MEDICAL INTERVIEW

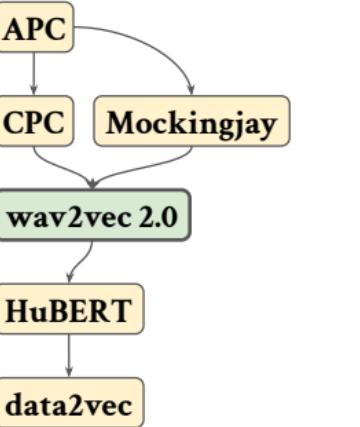
a brief overview of unsupervised speech representation learning

wav2vec 2.0

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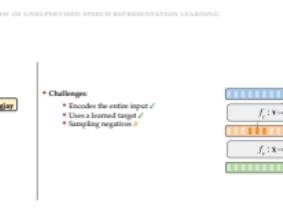
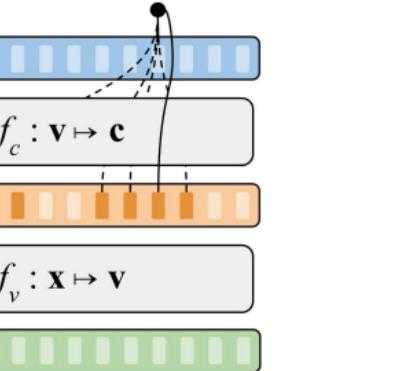


1. Training objective requires identifying the correct quantized latent audio representation in a set of distractors for each masked time step.
2. Quantisation improves negative sampling (requires approximation via Gumbel softmax).

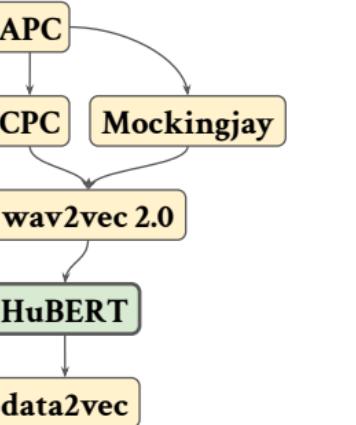


• Challenges:

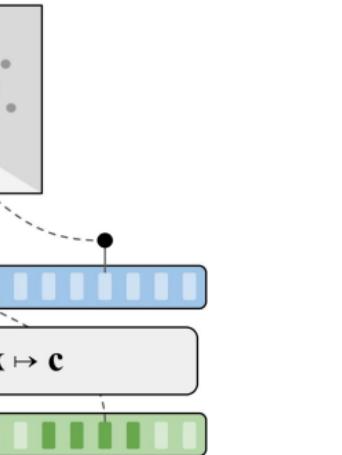
- Encodes the entire input ✓
- Uses a learned target ✓
- Sampling negatives ✗



A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING Hidden-unit BERT (HuBERT)



- Target: K-means teacher (MFCC frames).
- Training: Cross-entropy loss.
- 1st iteration: K-means on inputs.



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UNCERTAINTY AND THE MEDICAL INTERVIEW

a brief overview of unsupervised speech representation learning

Hidden-unit BERT (HuBERT)

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING
Hidden-unit BERT (HuBERT)

APC → CPC → Mockingjay → wav2vec 2.0 → HuBERT → data2vec

- Target: K-means teacher (MFCC frames).
- Training: Cross-entropy loss.
- 1st iteration: K-means on inputs.

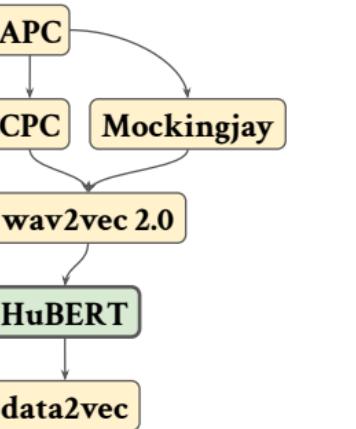
The diagram shows the HuBERT architecture. It consists of an APC layer, followed by CPC and Mockingjay layers, then wav2vec 2.0, HuBERT, and finally data2vec. The data2vec layer is shown as a green vector space with a dimension of 128. A legend indicates:

- Target: K-means teacher (MFCC frames).
- Training: Cross-entropy loss.
- 1st iteration: K-means on inputs.

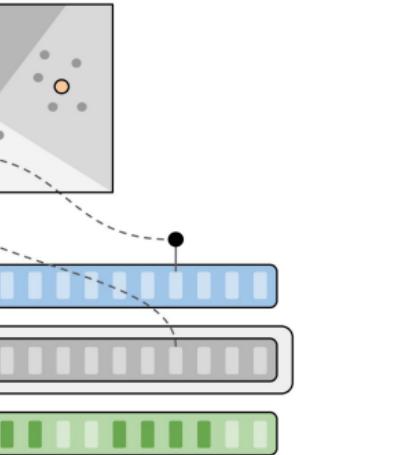
A separate diagram shows a scatter plot of data points being clustered, with a function $f: \mathbf{x} \mapsto \mathbf{c}$ mapping inputs to cluster assignments.

1. HuBERT approach predicts hidden cluster assignments of masked frames

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING Hidden-unit BERT (HuBERT)



- Target: K-means teacher (MFCC frames).
- Training: Cross-entropy loss.
- 1st iteration: K-means on inputs.
- 2nd iteration: K-means on hidden layers.

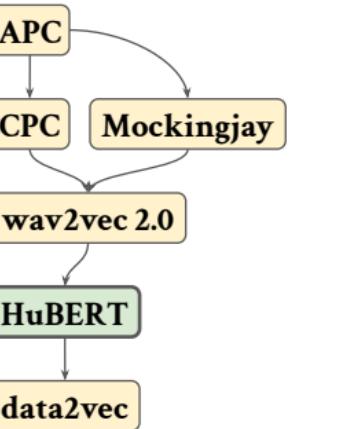


UNCERTAINTY AND THE MEDICAL INTERVIEW

a brief overview of unsupervised speech representation learning
Hidden-unit BERT (HuBERT)

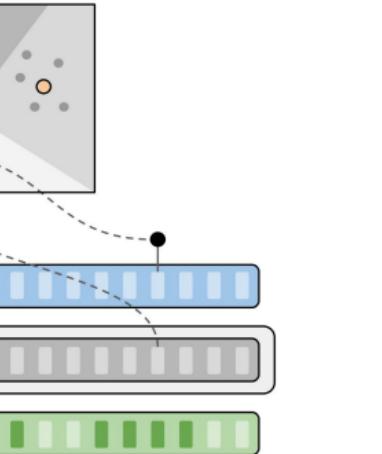
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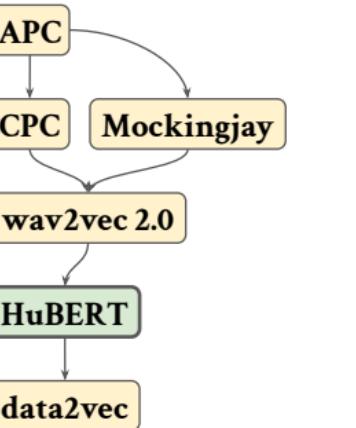


• Challenges:

- Encodes the entire input ✓
- Uses a learned target ✓
- No need for negative samples ✓

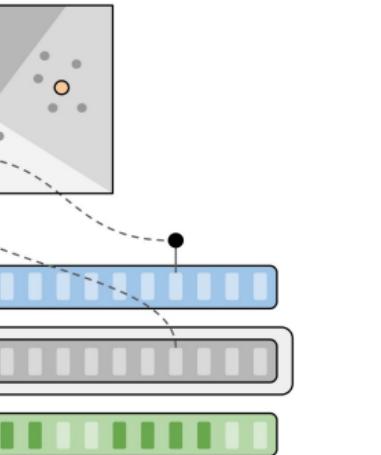


1. HuBERT approach predicts hidden cluster assignments of masked frames
2. Targets are still quantised although we no longer solve a contrastive sampling problem. Might reduce quality.



• Challenges:

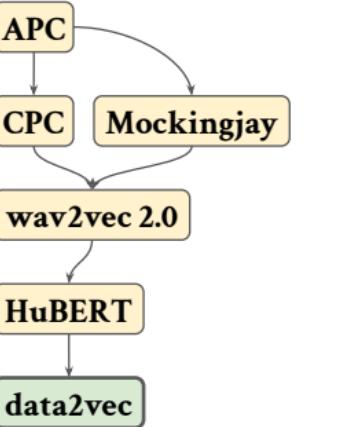
- Encodes the entire input ✓
- Uses a learned target ✓
- No need for negative samples ✓
- Targets updated infrequently ✗
- Quantized targets ✗



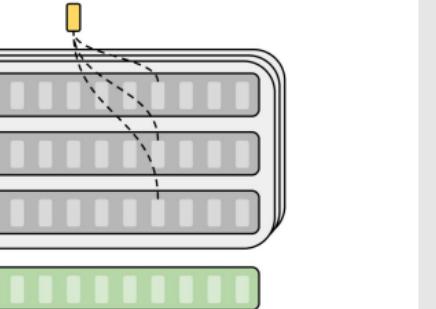
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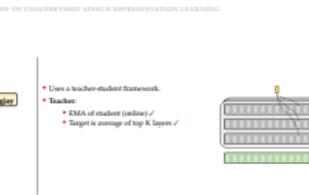
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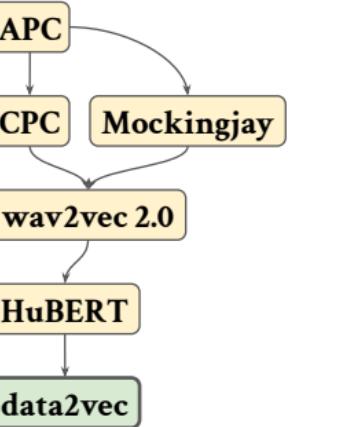


- Uses a teacher-student framework.
- Teacher:
 - EMA of student (online) ✓
 - Target is average of top K layers ✓

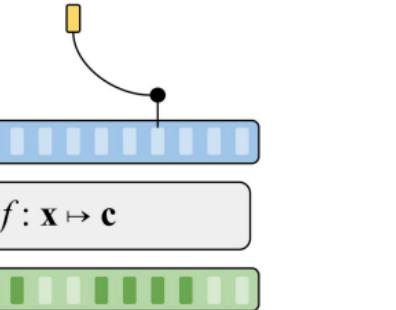


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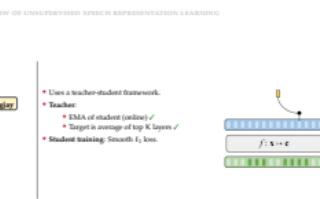


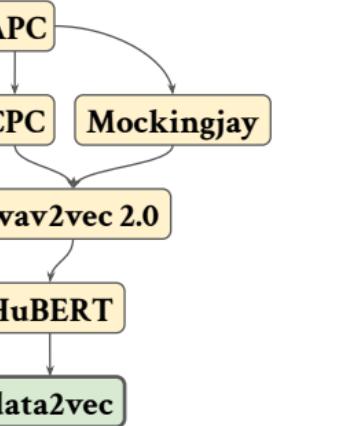


- Uses a teacher-student framework.
- **Teacher:**
 - EMA of student (online) ✓
 - Target is average of top K layers ✓
- **Student training:** Smooth ℓ_1 loss.



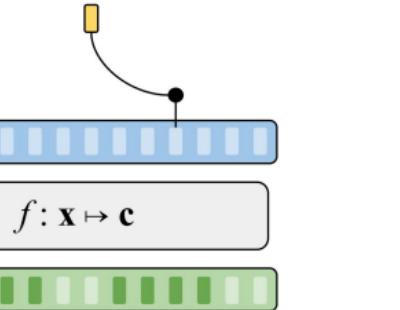
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• Challenges:

- Encodes the entire input ✓
- Uses a learned target ✓
- No need for negative samples ✓
- Targets updated continuously ✓
- Continuous-valued targets ✓



2024-03-04

UNCERTAINTY AND THE MEDICAL INTERVIEW

└ a brief overview of unsupervised speech representation learning

└ data2vec

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH REPRESENTATION LEARNING
data2vec

• Challenges

- Encodes the entire input ✓
- Uses a learned target ✓
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- Targets updated continuously ✓
- Continuous-valued targets ✓

- **Main conclusions:**
 - The most popular self-supervised speech models can be compactly described by a few core design choices.
 - Many of these design choices are mirrored in earlier work on speech embedding models.
- **Open questions and limitations:**
 - Which design choices benefit which downstream tasks?
 - It is difficult to compare methods as model size and evaluation procedures differ widely between papers.

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 - It is difficult to compare methods as model size and evaluation procedures differ widely between papers.

1. Predictive, Contrastive, Masking, Learned targets, Quantization, Teacher-student
2. Audio Word2vec, Speech2Vec, Unspeech which also used masking, and predictive and contrastive setups.

OVERVIEW Presentation

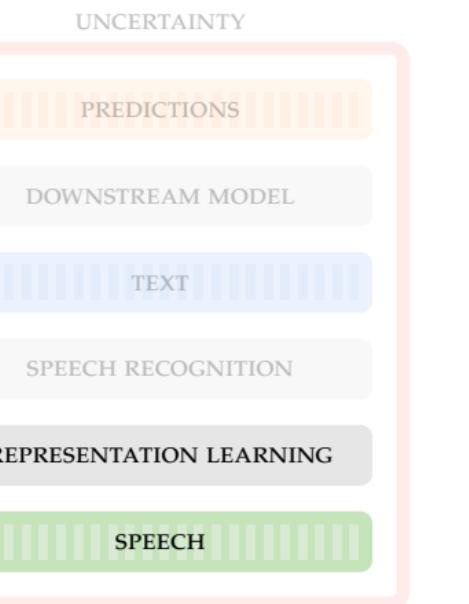
CHAPTER 1-3 INTRODUCTION, RESEARCH QUESTIONS, AND BACKGROUND

CHAPTER 4 HIERARCHICAL VAES KNOW WHAT THEY DON'T KNOW

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REPRESENTATION LEARNING

CHAPTER 9 A RETROSPECTIVE STUDY ON MACHINE LEARNING-
ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

CHAPTER 10 DISCUSSION AND CONCLUSION



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DTU Presentation

UNCERTAINTY

PREDICTIONS

DOWNSTREAM MODEL

TEXT

SPEECH RECOGNITION

REPRESENTATION LEARNING

SPEECH

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DISCUSSION AND CONCLUSION

OVERVIEW Presentation

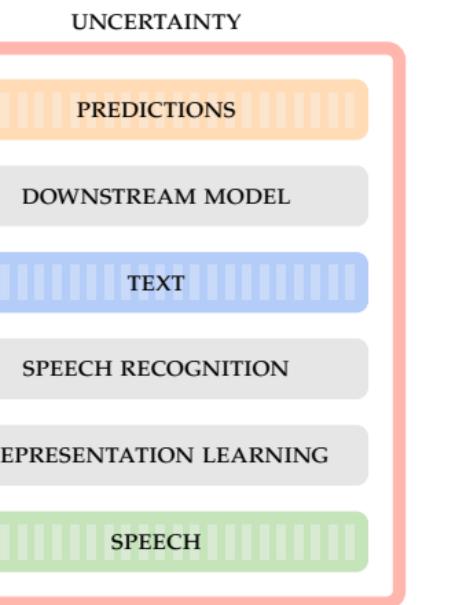
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DISCUSSION AND CONCLUSION

- Stroke is the second leading cause of death (11.6%) and third leading cause of death and disability combined (5.7%) worldwide [18, 27, 31].
- Effective treatment is very **time-sensitive** [4, 50].
- The gateway to **ambulance transport and hospital admittance** is through **prehospital telehealth services**.
- **Mobile stroke units** have made it possible to deliver advanced treatment faster [20, 38].
- The effectiveness of mobile stroke units hinges on **call-taker recognition of stroke** [20, 38].
- Approximately half of all patients with stroke do not receive the correct triage for their condition from call-takers [7, 41, 52].

UNCERTAINTY AND THE MEDICAL INTERVIEW

- 2024-03-04
- └ a retrospective study on machine learning-assisted stroke recognition for medical helpline calls
 - └ Stroke

A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

Stroke

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The study

- Collaboration between **Corti** and the **Copenhagen Emergency Medical Services (CEMS)** ("Region Hovedstadens Akutberedskab").
- CEMS provides prehospital telehealth services in the Capital Region of Denmark (1.9M people).
- CEMS operates the 1-1-2 emergency line (similar to 9-1-1) and the 1813 medical helpline (non-life-threatening conditions when general practitioner is unavailable).
- We wanted to investigate if a machine learning model could assist call-takers of 1813 in recognizing stroke.



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UNCERTAINTY AND THE MEDICAL INTERVIEW

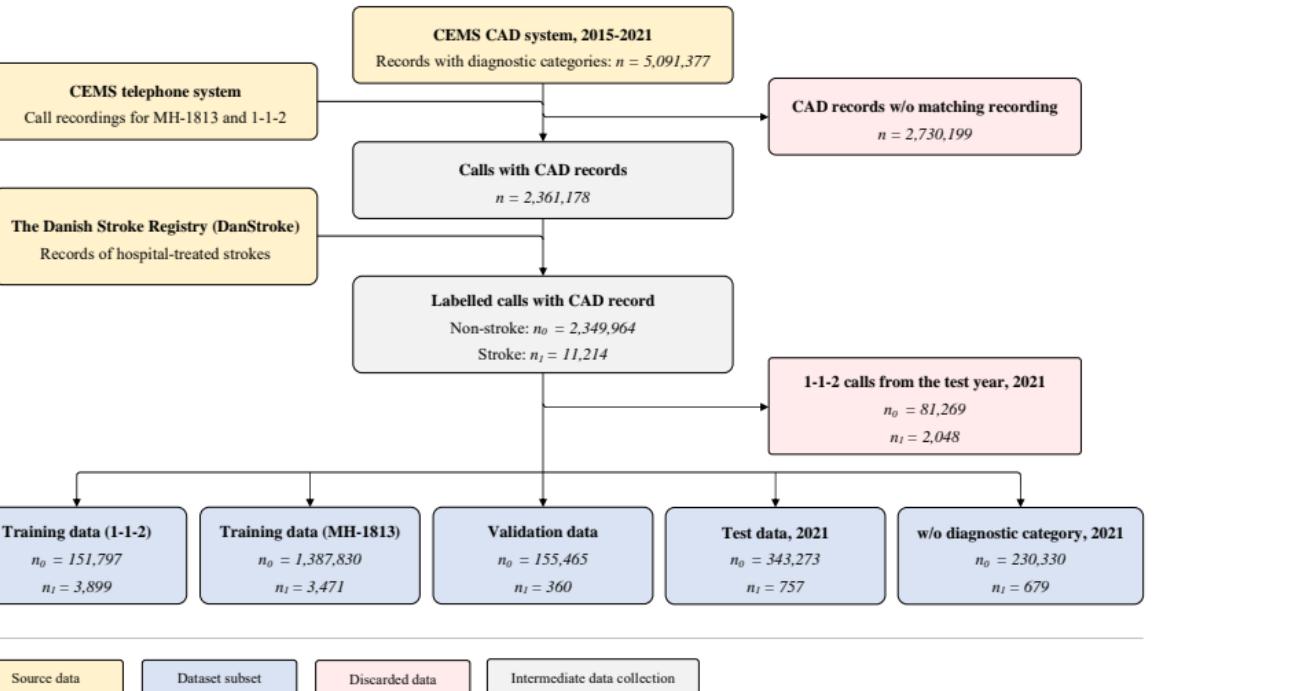
- a retrospective study on machine learning-assisted stroke recognition for medical helpline calls

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A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

Population selection and datasets



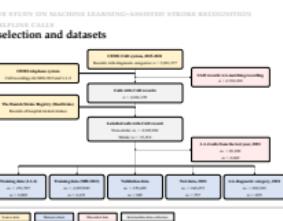
2024-03-04

UNCERTAINTY AND THE MEDICAL INTERVIEW

a retrospective study on machine learning-assisted stroke recognition for medical helpline calls

Population selection and datasets

1. Test data is MH-1813 2021.
2. All 1-1-2 data is used for training except 2021.
3. Validation data is sampled with stratified sampling from MH-1813 from 2015-2020.



A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION
FOR MEDICAL HELPLINE CALLS

Population characteristics

	Training (112)	Training (MH-1813)	Validation	Test	2021 w/o category
All calls	Num. calls 155,696	1,391,301	155,825	344,030	231,009
	Female 74,640 (47.94%)	792,783 (56.98%)	86,959 (55.81%)	190,974 (55.51%)	134,324 (58.14%)
	Male 79,564 (51.10%)	596,760 (42.89%)	68,866 (44.19%)	153,050 (44.49%)	96,258 (41.67%)
	65+ years 72,930 (46.84%)	335,146 (24.09%)	30,313 (19.45%)	65,652 (19.08%)	81,488 (35.27%)
	Age (mean ± std.) 59.47 ± 21.24	47.12 ± 21.38	44.63 ± 20.08	44.31 ± 20.10	50.36 ± 22.77
Stroke calls	Num. calls 3,899	3,471	360	757	679
	Female 1,784 (45.76%)	1,654 (47.65%)	161 (44.72%)	349 (46.10%)	366 (53.90%)
	Male 2,115 (54.24%)	1,815 (52.29%)	199 (55.28%)	408 (53.90%)	313 (46.10%)
	65+ years 2,968 (76.12%)	2,421 (69.75%)	250 (69.44%)	555 (73.32%)	567 (83.51%)
	Age (mean ± std.) 72.91 ± 12.77	70.68 ± 13.85	70.93 ± 13.83	71.51 ± 13.41	73.41 ± 14.11
Non-stroke	Num. calls 151,797	1,387,830	155,465	343,273	230,330
	Female 72,856 (48.00%)	791,129 (57.00%)	86,798 (55.83%)	190,625 (55.53%)	133,958 (58.16%)
	Male 77,449 (51.02%)	594,945 (42.87%)	68,667 (44.17%)	152,642 (44.47%)	95,945 (41.66%)
	65+ years 69,962 (46.09%)	332,725 (23.97%)	30,063 (19.34%)	65,097 (18.96%)	80,921 (35.13%)
	Age (mean ± std.) 59.12 ± 21.30	47.06 ± 21.36	44.57 ± 20.05	44.25 ± 20.08	50.29 ± 22.76



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Population characteristics

2024-03-04

	Training (112)	Training (MH-1813)	Validation	Test	2021 w/o category
Population characteristics					
Num. calls	3,899	3,471	360	757	679
Female	1,784 (45.76%)	1,654 (47.65%)	161 (44.72%)	349 (46.10%)	366 (53.90%)
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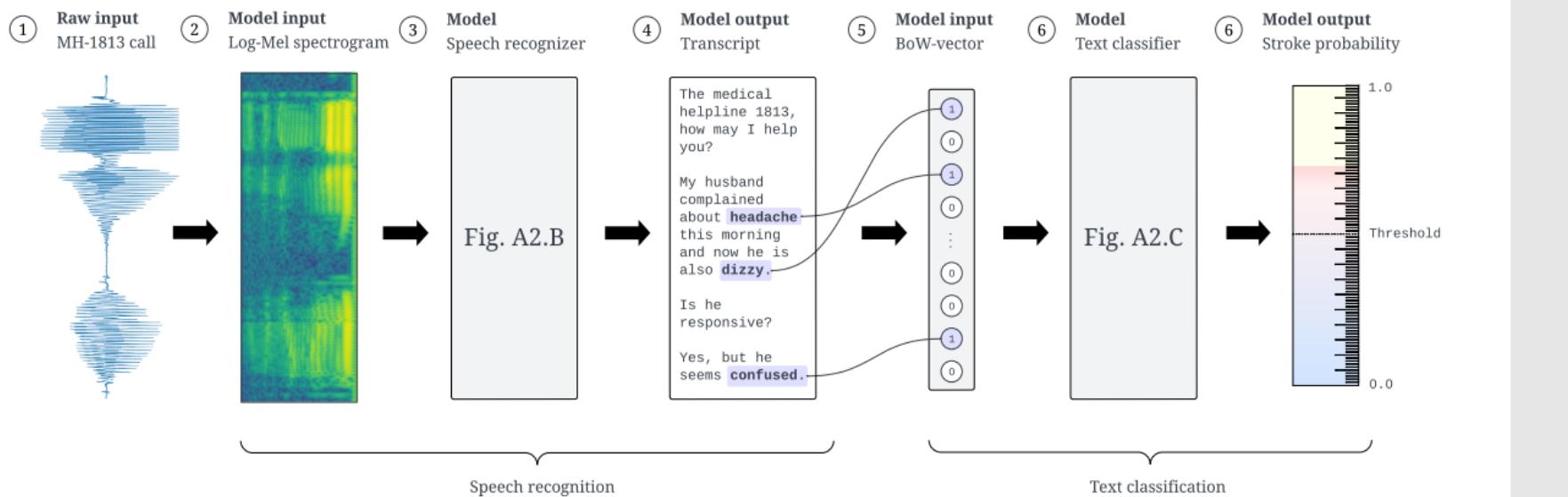
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A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

Model design



A. Schematic Overview of Stroke Classification Pipeline

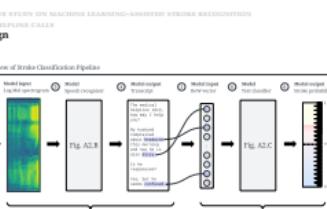


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Model design

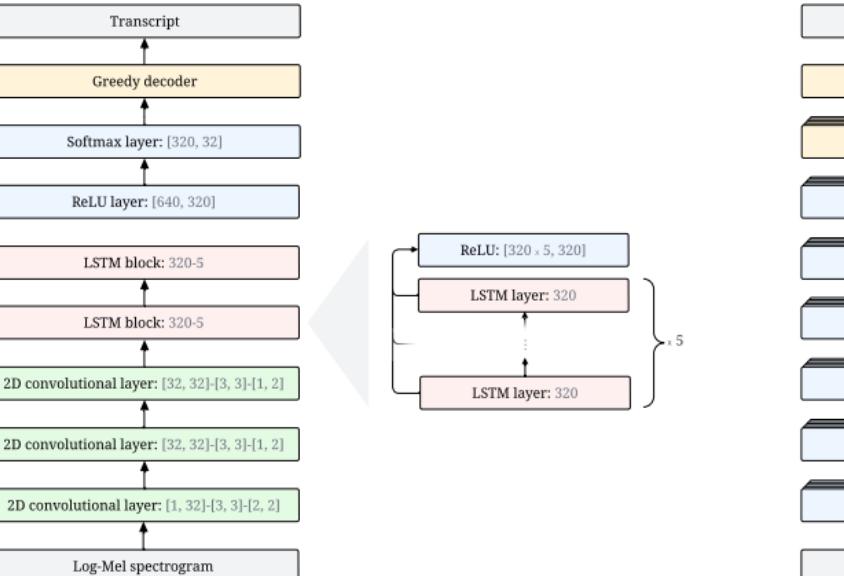
2024-03-04



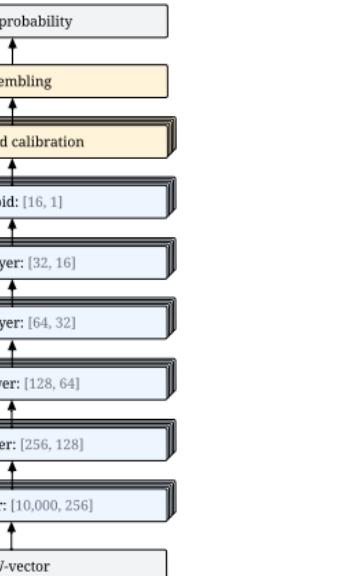
A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

Model design

B. Speech Recognition Model



C. Text Classification Model

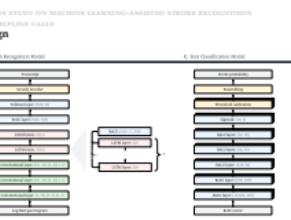


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Model design

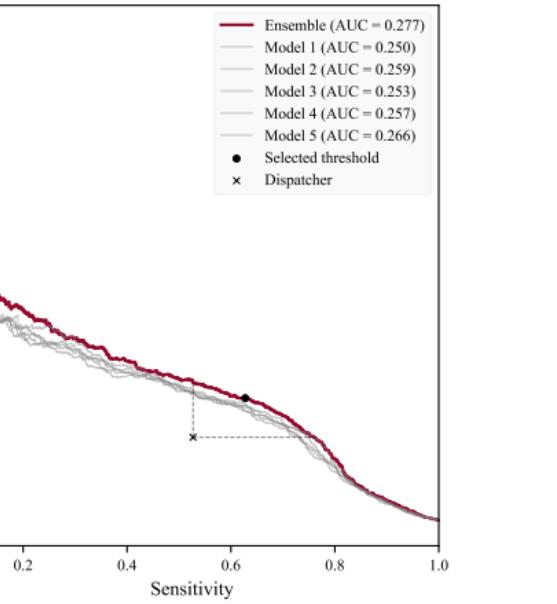
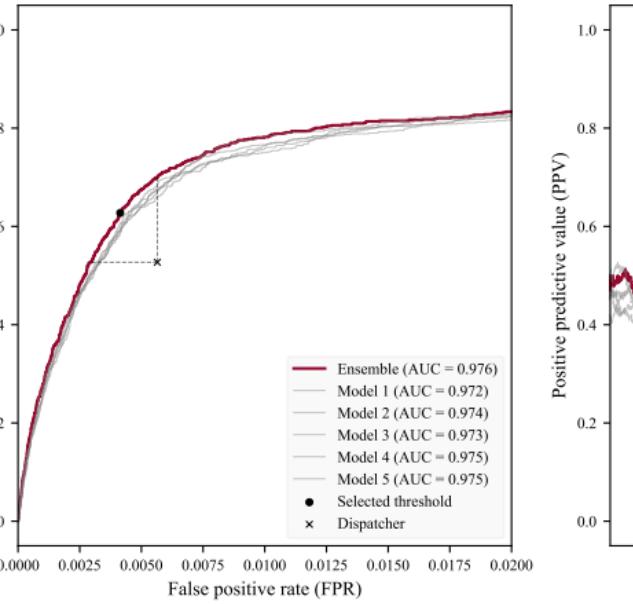
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A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS

Main results

ROC curve and PPV-sensitivity curve (precision-recall curve). Models 1-5 are the individual models that make up the ensemble model.



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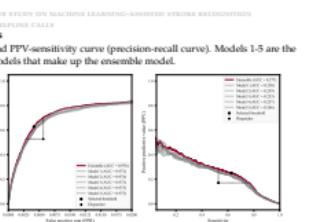
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Main results



Main results

Confusion matrices of predictions for call takers and the model on the test set. Numbers for the model are given as the rounded mean over eleven runs.

		Ground truth labels	
		Positives	Negatives
Call taker predictions	Positives	True positives 399	False positives 1,938
	Negatives	False negatives 358	True negatives 341,335

		Ground truth labels	
		Positives	Negatives
Model predictions	Positives	True positives 477	False positives 1,440
	Negatives	False negatives 280	True negatives 341,833

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A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION
FOR MEDICAL HELPLINE CALLS

Main results

MH-1813 test set performance in demographic subgroups (age/sex) [mean (95% CI)].

Subset	Predictor	F1-score [%] ↑	Sensitivity [%] ↑	PPV [%] ↑	FOR [%] ↓ (1 - specificity)	FPR [%] ↓ (1 - NPV)
Overall	Call-takers	25.8 (23.7-27.9)	52.7 (49.2-56.4)	17.1 (15.5-18.6)	0.105 (0.094-0.116)	0.565 (0.539-0.590)
	Model	35.7 (35.0-36.4)	63.0 (62.0-64.1)	24.9 (24.3-25.5)	0.082 (0.079-0.085)	0.419 (0.413-0.426)
18-64 years	Call-takers	15.9 (13.1-18.5)	50.5 (43.6-57.2)	9.40 (7.61-11.2)	0.036 (0.028-0.043)	0.353 (0.331-0.375)
	Model	22.9 (21.8-24.0)	54.1 (52.1-56.3)	14.5 (13.8-15.3)	0.033 (0.031-0.035)	0.231 (0.226-0.236)
65+ years	Call-takers	32.9 (30.1-35.7)	53.5 (49.4-57.6)	23.7 (21.4-26.0)	0.401 (0.352-0.449)	1.467 (1.373-1.560)
	Model	42.8 (41.9-43.7)	66.3 (65.1-67.5)	31.6 (30.8-32.4)	0.290 (0.278-0.303)	1.224 (1.198-1.249)
Male	Call-takers	30.2 (27.2-33.3)	53.9 (49.1-58.9)	21.0 (18.5-23.5)	0.124 (0.105-0.141)	0.542 (0.506-0.580)
	Model	39.0 (38.0-40.1)	63.7 (62.3-65.2)	28.1 (27.3-29.0)	0.097 (0.093-0.102)	0.435 (0.425-0.445)
Female	Call-takers	21.9 (19.1-24.6)	51.3 (46.0-56.6)	13.9 (12.0-15.8)	0.090 (0.076-0.103)	0.582 (0.547-0.616)
	Model	32.4 (31.4-33.4)	62.3 (60.7-63.8)	21.9 (21.1-22.7)	0.069 (0.066-0.073)	0.407 (0.399-0.416)



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Occlusion analysis — Which features are evidence?



Features with positive ranking score ($r^{(w)} > 0$) computed on stroke positive predictions ($D = 1,897$)					
Rank	Word, w (translated)	Occurrences, $D^{(w)}$	Rank	Word, w (translated)	Occurrences, $D^{(w)}$
1.	Ambulance	1,680	16.	Difficulties speaking	44
2.	Blood clot	895	17.	Hemorrhagic stroke	133
3.	Left	1,108	18.	Hand	297
4.	Right	1,050	19.	The ambulance	521
5.	Double vision	84	20.	Slurred speech	58
6.	The words	344	21.	Blood clots	224
7.	Suddenly	783	22.	Fast	663
8.	Arm	709	23.	Express	44
9.	Side	1,139	24.	Blood thinner	259
10.	Stroke	117	25.	Incoherent	15
11.	Double	113	26.	Lopsided	211
12.	Control	134	27.	Reduced	528
13.	Call	39	28.	Hangs	628
14.	Numb	94	29.	Transient	48
15.	Minutes	763	30.	Not making sense	14

[Recognition, Symptom, Urgency/Time]

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— Occlusion analysis — Which features are evidence?

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A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION FOR MEDICAL HELPLINE CALLS			
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2.	Blood clot	895	37. Hemorrhagic stroke
3.	Left	1,108	38. Hand
4.	Right	1,050	39. The ambulance
5.	Double vision	84	40. Slurred speech
6.	The words	344	41. Blood clots
7.	Suddenly	783	42. Fast
8.	Arm	709	43. Express
9.	Side	1,139	44. Blood thinner
10.	Stroke	117	45. Incoherent
11.	Double	113	46. Lopsided
12.	Control	134	47. Reduced
13.	Call	39	48. Hangs
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Occlusion analysis – Which features are counter-evidence?



Features with negative ranking score ($r^{(w)} < 0$) computed on stroke-negative predictions (D = 342,133)					
Rank	Word, w (translated)	Occurrences, D ^(w)	Rank	Word, w (translated)	Occurrences, D ^(w)
1.	Tetanus	4,378	16.	The pharmacy	10,085
2.	Pregnant	8,749	17.	The stomach	42,105
3.	Cut	7,592	18.	Psychiatric	3,688
4.	Bandage	4,561	19.	Pneumonia	7,597
5.	Amager (a location)	23,776	20.	Stomach pain	10,551
6.	O'clock	94,436	21.	Stool	19,155
7.	The emergency room	42,809	22.	The ribs	3,928
8.	The police	2,903	23.	Bleed	10,501
9.	Swollen	60,559	24.	Bleeding	24,313
10.	Over the counter (OTC)	4,641	25.	Ribs	2,941
11.	The neck	30,151	26.	Broken	19,415
12.	Fever	112,586	27.	Inflammation	10,050
13.	Prescription	5,450	28.	Common cold	8,127
14.	Centimeter	12,026	29.	Morning or morrow	78,558
15.	The knee	8,875	30.	Swelling	17,762

[Recognition, Symptom, Urgency/Time]

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↳ Occlusion analysis – Which features are counter-evidence?

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[Recognition, Symptom, Urgency/Time]

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Rank	Word, w (translated)	Occurrences, D ^(w)	Rank	Word, w (translated)	Occurrences, D ^(w)
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2.	Pregnant	8,749	17.	The stomach	42,105
3.	Cut	7,592	18.	Psychiatric	3,688
4.	Bandage	4,561	19.	Pneumonia	7,597
5.	Amager (a location)	23,776	20.	Stomach pain	10,551
6.	O'clock	94,436	21.	Stool	19,155
7.	The emergency room	42,809	22.	The ribs	3,928
8.	The police	2,903	23.	Bleed	10,501
9.	Swollen	60,559	24.	Bleeding	24,313
10.	Over the counter (OTC)	4,641	25.	Ribs	2,941
11.	The neck	30,151	26.	Broken	19,415
12.	Fever	112,586	27.	Inflammation	10,050
13.	Prescription	5,450	28.	Common cold	8,127
14.	Centimeter	12,026	29.	Morning or morrow	78,558
15.	The knee	8,875	30.	Swelling	17,762

[Recognition, Symptom, Urgency/Time]

UNCERTAINTY AND THE MEDICAL INTERVIEW

a retrospective study on machine learning-assisted stroke recognition
for medical helpline calls

↳ Occlusion analysis – Which features are counter-evidence?

2024-03-04

Occlusion analysis – Which features are counter-evidence?					
Rank	Word, w (translated)	Occurrences, D ^(w)	Rank	Word, w (translated)	Occurrences, D ^(w)
1.	Arteries	8,079	16.	The pharmacy	41,068
2.	Pregnant	8,749	17.	The stomach	42,105
3.	Bandage	4,561	18.	Therapeutics	7,597
4.	Amager (a location)	23,776	19.	Over-the-counter	39,155
5.	O'clock	94,436	20.	Head	3,048
6.	The emergency room	42,809	21.	The ribs	3,928
7.	The police	2,903	22.	Bladder	24,313
8.	Swollen	60,559	23.	Backache	7,597
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11.	Fever	112,586	26.	Common cold	8,127
12.	Prescription	5,450	27.	Common condition	39,155
13.	Centimeter	12,026	28.	Common cold	8,127
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[Recognition, Symptom, Urgency/Time]

Occlusion analysis – Which features are counter-evidence?



Features with negative ranking score ($r^{(w)} < 0$) computed on stroke-negative predictions (D = 342,133)					
Rank	Word, w (translated)	Occurrences, D ^(w)	Rank	Word, w (translated)	Occurrences, D ^(w)
1.	Tetanus	4,378	16.	The pharmacy	10,085
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13.	Prescription	5,450	28.	Morning or tomorrow	78,558
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15.	The knee	8,875	30.	Abusing or misuse	76,500

[Recognition, Symptom, Urgency/Time]

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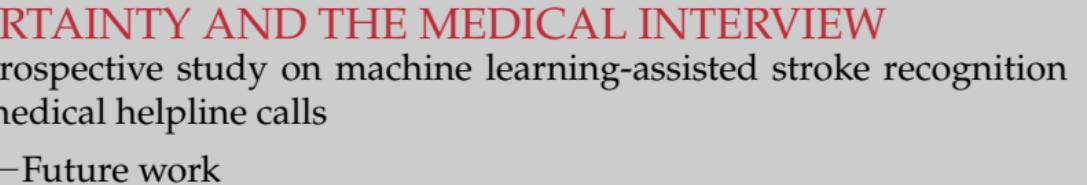
[Recognition, Symptom, Urgency/Time]

Future work

- Machine learning
 - Learning to predict directly from audio data (SSL).
 - Learning to defer to predict methods [51].
- Clinical applications
 - Mental health: Screening for suicide risk in emergency and medical helpline calls.
 - Maternity ward: Screening for serious pregnancy complications.



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1. Learning to defer to predict is related to uncertainty estimation.

- A RETROSPECTIVE STUDY ON MACHINE LEARNING-ASSISTED STROKE RECOGNITION
FOR MEDICAL HELPLINE CALLS
- Future work**
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OVERVIEW Presentation

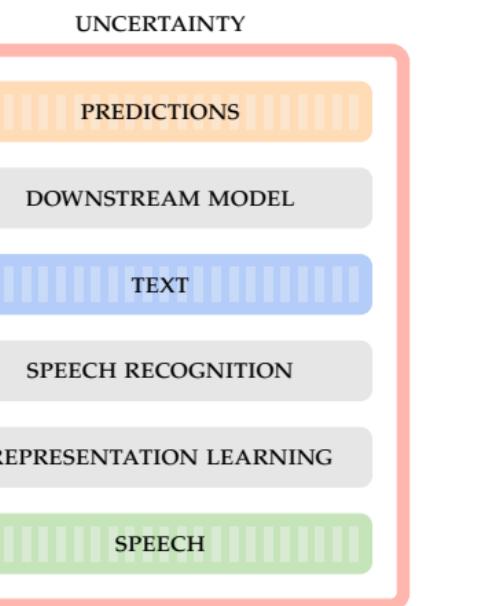
CHAPTER 1-3 INTRODUCTION, RESEARCH QUESTIONS, AND BACKGROUND

CHAPTER 4 HIERARCHICAL VAES KNOW WHAT THEY DON'T KNOW

CHAPTER 6 A BRIEF OVERVIEW OF UNSUPERVISED SPEECH
REPRESENTATION LEARNING

CHAPTER 9 A RETROSPECTIVE STUDY ON MACHINE LEARNING-
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CHAPTER 10 DISCUSSION AND CONCLUSION



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UNCERTAINTY

PREDICTIONS

DOWNSTREAM MODEL

TEXT

SPEECH RECOGNITION

REPRESENTATION LEARNING

SPEECH

INTRODUCTION, RESEARCH QUESTIONS, AND BACKGROUND

A BRIEF OVERVIEW OF UNSUPERVISED SPEECH

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OVERVIEW Presentation

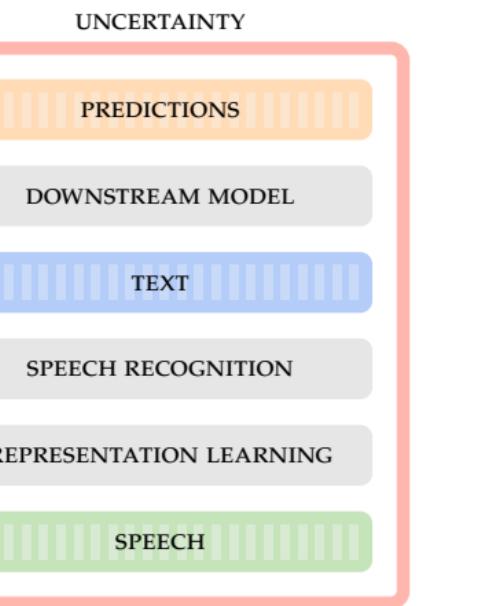
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UNCERTAINTY AND THE MEDICAL INTERVIEW

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overview

Presentation



The broad picture: The thesis topic since 2020



2020 Project start

- Out-of-distribution detection with generative models: Mysterious new topic.
- Speech representation/recognition: Inflection point between supervised methods and new self-supervised approaches.

└ discussion

└ The broad picture: The thesis topic since 2020

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The broad picture: The thesis topic since 2020



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2024 Project end

- Out-of-distribution detection is a mature field with a wide range of methods.
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└ discussion

└ The broad picture: The thesis topic since 2020

2024-03-04

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↳ discussion

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What lies ahead



- Selective out-of-distribution detection

Two pairs of distributions may have identical divergence, but in different dimensions. How do we control features in black-box models?

- Self-supervised learning in the wild

Does the recent progress on academic datasets translate to this real-world setting?

Speech recognition has been the cornerstone benchmarking task. How do we target spoken language understanding directly?

- Large language models in medical dialogue

LLMs will likely play a central role in the future of medical documentation and communication. How do we get a grip of their uncertainty?

↳ discussion

↳ What lies ahead

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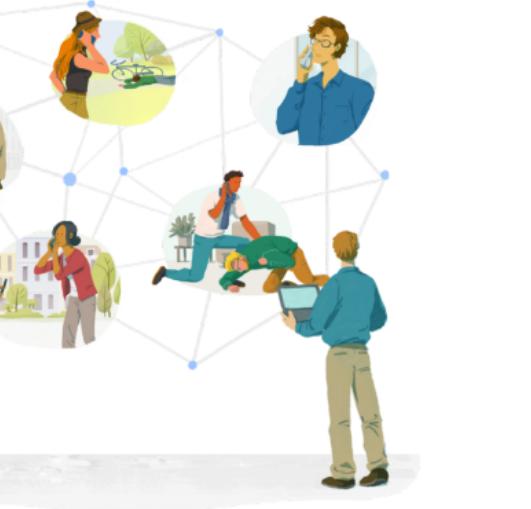
LLMs will likely play a central role in the future of medical documentation and communication. How do we get a grip of their uncertainty?

DISCUSSION

The role of uncertainty in an operational decision support system

- Are true uncertainty estimates really feasible?
Pragmatism versus idealism.
- Role of explainability compared to uncertainty estimates.
- European Parliamentary Research Services [16]:

"Future AI solutions for healthcare should be implemented by integrating uncertainty estimation, a relatively new field of research that aims to provide clinicians with clinically useful indications on the degree of confidence in AI predictions"



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↳ discussion

↳ The role of uncertainty in an operational decision support system

1. LVMs were difficult to scale to the problems we care about.
2. LVMs did not convincingly outperform more pragmatic approaches (e.g. deferring).
3. Bayesian methods, deferring to predict, discriminative uncertainty.
4. What will happen if uncertainty estimates become a regulatory requirement?

DISCUSSION
The role of uncertainty in an operational decision support system

- Are true uncertainty estimates really feasible?
Pragmatism versus idealism.
- Role of explainability compared to uncertainty estimates.
- European Parliamentary Research Services [16]:
"Future AI solutions for healthcare should be implemented by integrating uncertainty estimation, a relatively new field of research that aims to provide clinicians with clinically useful indications on the degree of confidence in AI predictions"



Thank you for your attention.



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Reliability of machine learning systems

- **Data:** Quality, quantity, diversity, bias, privacy, ethics.
- **Task:** Context, domain, language, culture, purpose.



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- └ extra slides
 - └ introduction
 - └ Reliability of machine learning systems

- Data: Quality, quantity, diversity, bias, privacy, ethics.
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Reliability of machine learning systems

- **Data:** Quality, quantity, diversity, bias, privacy, ethics.
- **Task:** Context, domain, language, culture, purpose.
- **Interpretability** of how a model works (transparency, accountability, regulation).
- **Explainability** of model predictions (trust, understanding, feedback).
- **Fairness** in treatment of different groups of people.
- **Robustness** to noise, outliers, distribution shift, and adversarial attacks.



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An alternative likelihood bound, $\mathcal{L}^{>k}$

An alternative version of the ELBO that only partially uses the approximate posterior can be written as [36]

$$\mathcal{L}^{>k}(x; \theta, \phi) = \mathbb{E}_{p_{\theta}(z_{\leq k}|z_{>k})q_{\phi}(z_{>k}|x)} \left[\log \frac{p_{\theta}(x|z)p_{\theta}(z_{>k})}{q_{\phi}(z_{>k}|x)} \right] \quad (8)$$

Here, we have replaced the approximate posterior $q_{\phi}(z|x)$ with a different proposal distribution that combines part of the approximate posterior with the conditional prior, namely

$$p_{\theta}(z_{\leq k}|z_{>k})q_{\phi}(z_{>k}|x)$$

This bound uses the conditional prior for the lowest latent variables in the hierarchy.



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extra slides

- hierarchical vaes know what they don't know
 - An alternative likelihood bound, $\mathcal{L}^{>k}$

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This bound uses the conditional prior for the lowest latent variables in the hierarchy.

Likelihood ratios

We can use our new bound to compute the score used in a standard likelihood ratio test [9].

$$\text{LLR}^{>k}(x) \equiv \mathcal{L}(x) - \mathcal{L}^{>k}(x) . \quad (9)$$

We can inspect what this likelihood-ratio measures by considering the exact form of our bounds.

$$\mathcal{L} = \log p_\theta(x) - D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)) , \quad (10)$$

$$\mathcal{L}^{>k} = \log p_\theta(x) - D_{\text{KL}}(p_\theta(z_{\leq k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)) .$$

In the likelihood ratio the reconstruction terms cancel out and only the KL-divergences from the approximate to the true posterior remain.

$$\begin{aligned} \text{LLR}^{>k}(x) &= -D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)) \\ &\quad + D_{\text{KL}}(p_\theta(z_{\leq k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)) . \end{aligned} \quad (11)$$

- extra slides
 - hierarchical vaes know what they don't know
 - Likelihood ratios

Likelihood ratios
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$$\text{LLR}^{>k}(x) \equiv \mathcal{L}(x) - \mathcal{L}^{>k}(x) . \quad (9)$$

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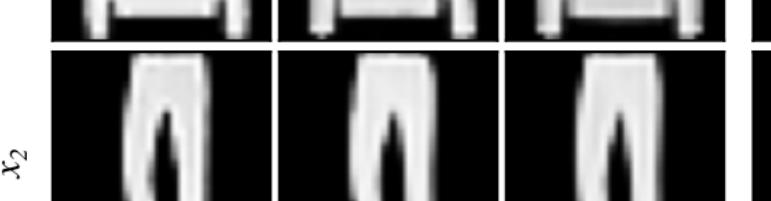
$$\begin{aligned} \mathcal{L} &= \log p_\theta(x) - D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)) , \\ \mathcal{L}^{>k} &= \log p_\theta(x) - D_{\text{KL}}(p_\theta(z_{\leq k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)) . \end{aligned}$$

In the likelihood ratio the reconstruction terms cancel out and only the KL-divergences from the approximate to the true posterior remain.

$$\begin{aligned} \text{LLR}^{>k}(x) &= -D_{\text{KL}}(q_\phi(z|x) \| p_\theta(z|x)) \\ &\quad + D_{\text{KL}}(p_\theta(z_{\leq k}|z_{>k})q_\phi(z_{>k}|x) \| p_\theta(z|x)) . \end{aligned} \quad (11)$$

Reconstructions of ID and OOD data**In-distribution**

Example Reconstruction Latent recon.

**Out-of-distribution**

Example Reconstruction Latent recon.

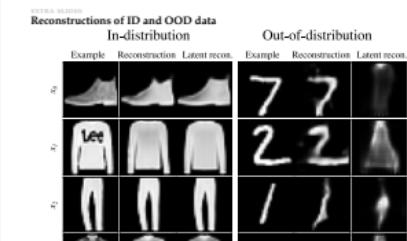
**UNCERTAINTY AND THE MEDICAL INTERVIEW**

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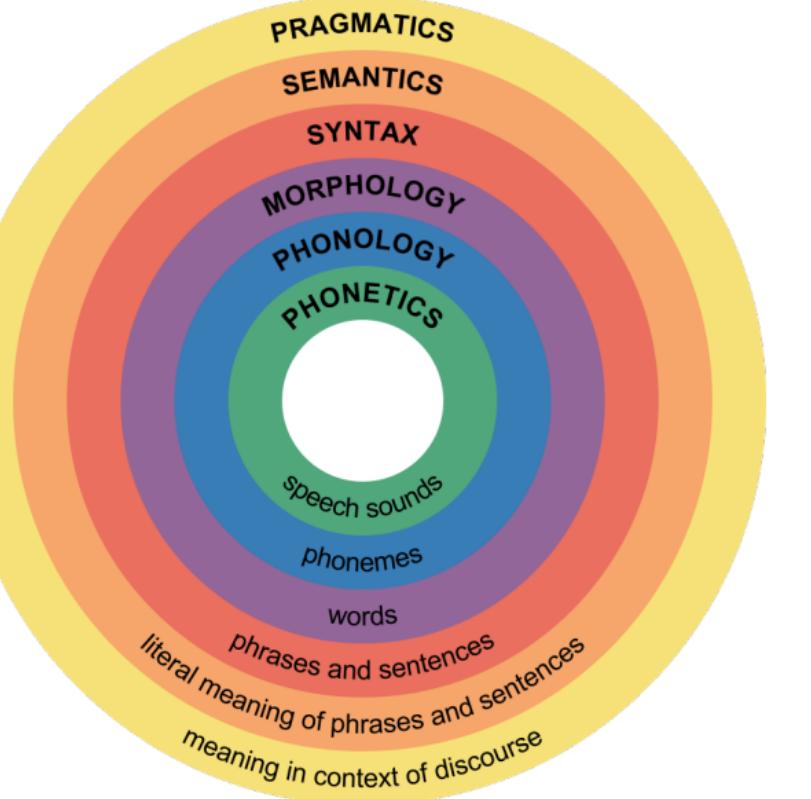
extra slides

hierarchical vae's know what they don't know

Reconstructions of ID and OOD data



Hierarchy of speech features



UNCERTAINTY AND THE MEDICAL INTERVIEW

extra slides

- hierarchical vaes know what they don't know
- Hierarchy of speech features



Results on diverse datasets

OOD dataset	Metric	AUROC↑	AUPRC↑	FPR80↓
Trained on CIFAR10				
SVHN	LLR ^{>2}	0.811	0.837	0.394
CIFAR10	LLR ^{>1}	0.469	0.479	0.835
Trained on SVHN				
CIFAR10	LLR ^{>1}	0.939	0.950	0.052
SVHN	LLR ^{>1}	0.489	0.484	0.799



OOD dataset	Metric	AUROC↑	AUPRC↑	FPR80↓
Trained on FashionMNIST				
MNIST	LLR ^{>1}	0.986	0.987	0.011
notMNIST	LLR ^{>1}	0.998	0.998	0.000
KMNIST	LLR ^{>1}	0.974	0.977	0.017
Omniglot28x28	LLR ^{>2}	1.000	1.000	0.000
Omniglot28x28Inverted	LLR ^{>1}	0.954	0.954	0.050
SmallNORB28x28	LLR ^{>2}	0.999	0.999	0.002
SmallNORB28x28Inverted	LLR ^{>2}	0.941	0.946	0.069
FashionMNIST	LLR ^{>1}	0.488	0.496	0.811
Trained on MNIST				
FashionMNIST	LLR ^{>1}	0.999	0.999	0.000
notMNIST	LLR ^{>1}	1.000	0.999	0.000
KMNIST	LLR ^{>1}	0.999	0.999	0.000
Omniglot28x28	LLR ^{>1}	1.000	1.000	0.000
Omniglot28x28Inverted	LLR ^{>1}	0.944	0.953	0.057
SmallNORB28x28	LLR ^{>1}	1.000	1.000	0.000
SmallNORB28x28Inverted	LLR ^{>1}	0.985	0.987	0.000
MNIST	LLR ^{>2}	0.515	0.507	0.792

UNCERTAINTY AND THE MEDICAL INTERVIEW

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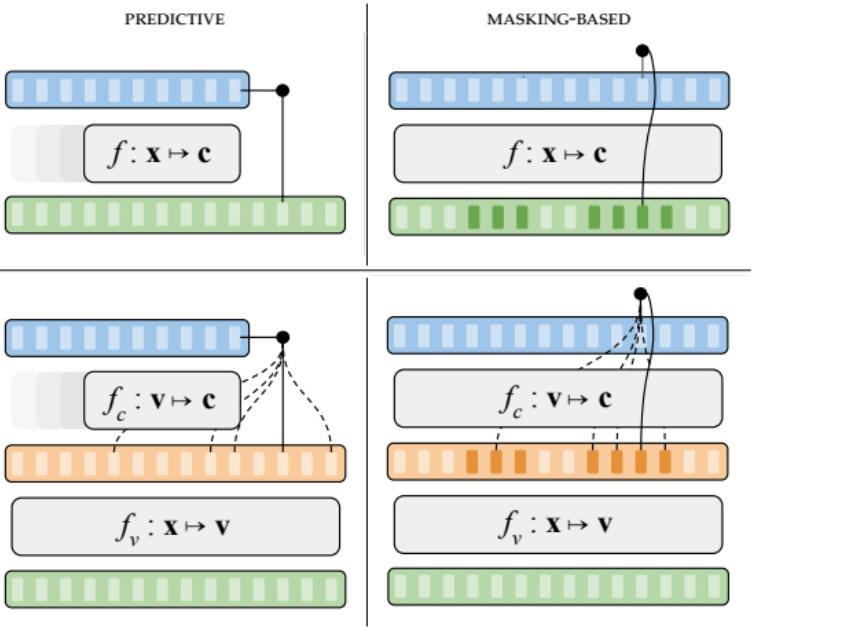
- extra slides

- hierarchical vaes know what they don't know
 - Results on diverse datasets

OOD dataset	Metric	AUROC↑	AUPRC↑	FPR80↓
Trained on FashionMNIST				
MNIST	LLR ^{>1}	0.998	0.998	0.012
notMNIST	LLR ^{>1}	0.998	0.998	0.000
KMNIST	LLR ^{>1}	1.000	1.000	0.017
Omniglot28x28	LLR ^{>2}	1.000	1.000	0.000
Omniglot28x28Inverted	LLR ^{>1}	0.994	0.994	0.000
SmallNORB28x28	LLR ^{>2}	1.000	1.000	0.000
SmallNORB28x28Inverted	LLR ^{>2}	1.000	1.000	0.000
FashionMNIST	LLR ^{>1}	0.946	0.946	0.011
Trained on SVHN				
MNIST	LLR ^{>1}	0.998	0.998	0.000
notMNIST	LLR ^{>1}	0.998	0.998	0.000
KMNIST	LLR ^{>1}	1.000	1.000	0.000
Omniglot28x28	LLR ^{>2}	1.000	1.000	0.000
Omniglot28x28Inverted	LLR ^{>1}	0.994	0.994	0.007
SmallNORB28x28	LLR ^{>2}	1.000	1.000	0.000
SmallNORB28x28Inverted	LLR ^{>2}	1.000	1.000	0.000
FashionMNIST	LLR ^{>1}	0.946	0.946	0.011

Types of self-supervised speech representation learning methods

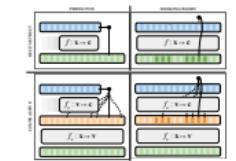
Schematic of self-supervised methods. Each subfigure illustrates the loss computation for a single time-step. The temporal subscript has been left out for simplicity.



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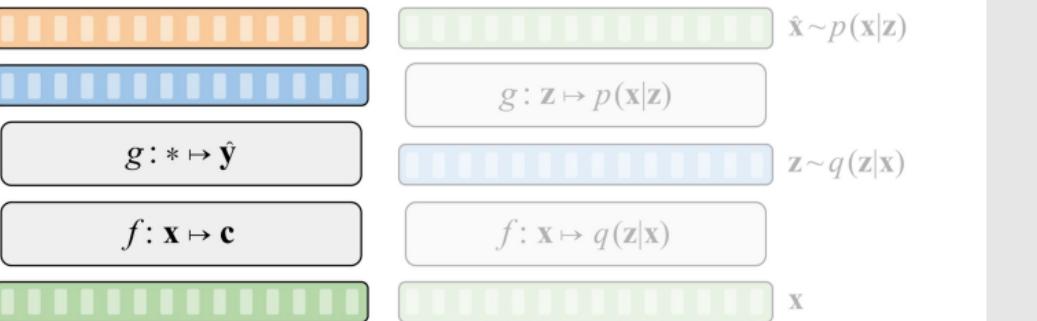
extra slides

- a brief overview of unsupervised speech representation learning
 - Types of self-supervised speech representation learning methods



Overview: Representation Learning for Speech

- We focus on two primary categories:
 - Self-supervised learning (SSL)
 - Probabilistic latent variable models (LVMs)
- Recent developments have been driven by self-supervised learning.
- A model-by-model overview: Focus on speech recognition.



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extra slides

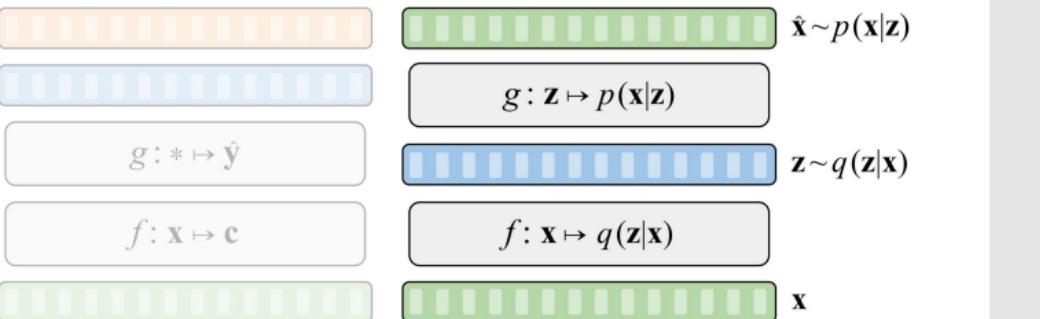
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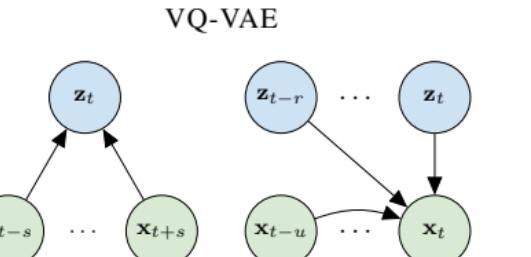
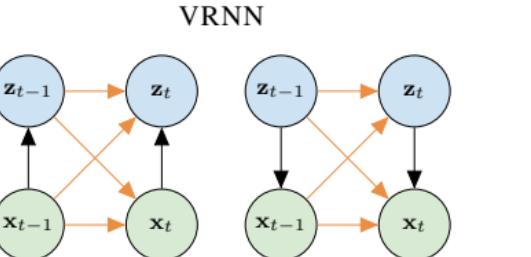
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- extra slides
 - a brief overview of unsupervised speech representation learning
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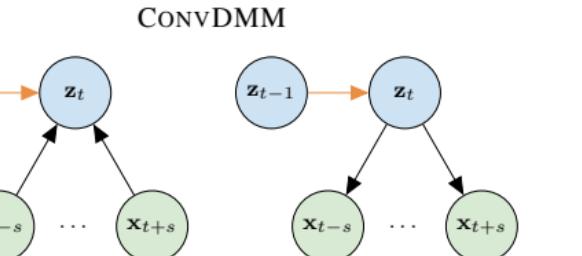
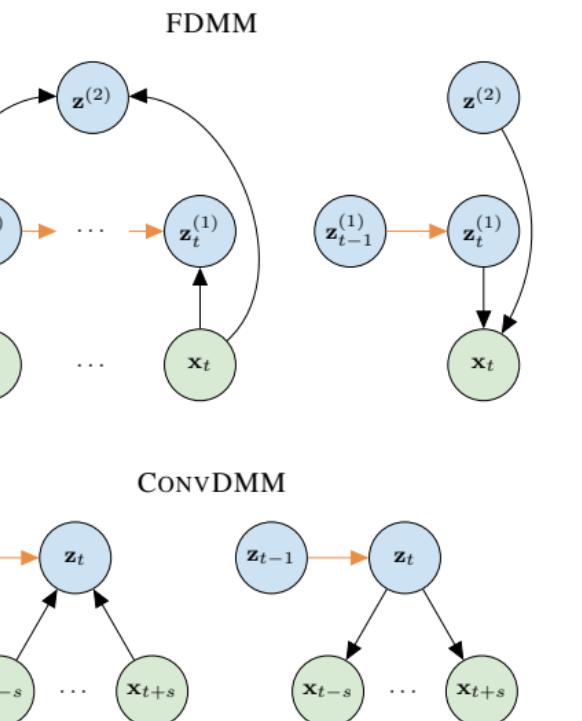
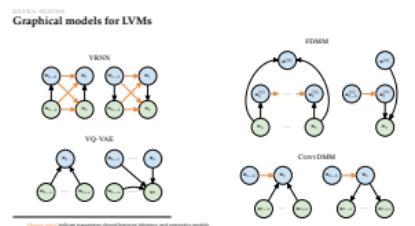
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EXTRA SLIDES
Graphical models for LVMs



Orange edges indicate parameters shared between inference and generative models.



Overview of LVM probabilistic components

TYPE	FORM
OBSERVATION MODEL	
ARX	Autoregressive on x_t $p(x_t x_{1:t-1})$
LOC	Local latent variable $p(x_t z_{1:t})$
GLB	Global latent variable $p(x_t z)$
PRIOR	
ARX	Autoregressive on x_t $p(z_t x_{1:t-1})$
ARZ	Autoregressive on z_t $p(z_t z_{1:t-1})$
IND	Locally independent z_t $p(z_t)$
GLB	Global latent variable $p(z)$
INFERENCE MODEL	
ARZ	Autoregressive on z_t $q(z_t z_{1:t-1})$
FLT	Filtering $q(z_t x_{1:t})$
LSM	Local smoothing $q(z_t x_{t-r:t+r})$
GSM	Global smoothing $q(z_t x_{1:T})$
GLB	Global latent variable $q(z x_{1:T})$



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- a brief overview of unsupervised speech representation learning
- Overview of LVM probabilistic components

EXTRA SLIDES	
Overview of LVM probabilistic components	
Type	Form
Observation model	
ARX	Autoregressive on x_t $p(x_t x_{1:t-1})$
LOC	Local latent variable $p(x_t z_{1:t})$
GLB	Global latent variable $p(x_t z)$
Prior	
ARX	Autoregressive on x_t $p(z_t x_{1:t-1})$
ARZ	Autoregressive on z_t $p(z_t z_{1:t-1})$
IND	Locally independent z_t $p(z_t)$
GLB	Global latent variable $p(z)$
Inference model	
ARZ	Autoregressive on z_t $q(z_t z_{1:t-1})$
FLT	Filtering $q(z_t x_{1:t})$
LSM	Local smoothing $q(z_t x_{t-r:t+r})$
GSM	Global smoothing $q(z_t x_{1:T})$
GLB	Global latent variable $q(z x_{1:T})$

Classification of selected LVMs for speech



MODEL	OBSERVATION			PRIOR				INFERENCE					
	ARX	LOC	GLB	ARX	ARZ	IND	GLB	ARZ	FLT	LSM	GSM	GLB	HIE
VRNN [13]	✓	✓	✗	✓	✓	✗	✗	✓	✓	✗	✗	✗	✗
SRNN [17]	✓	✓	✗	✓	✓	✗	✗	✓	✗	✗	✓	✗	✗
HMM-VAE [15]	✗	✓	✗	✗	✓	✗	✗	✓	✓	✗	✗	✗	✓
ConvVAE [24]	✗	✗	✓	✗	✗	✗	✓	✗	✗	✗	✓	✓	✗
FHVAE [25]	✗	✓	✓	✗	✗	✓	✓	✗	✗	✗	✓	✓	✓
VQ-VAE [40]	✓	✓	✗	✗	✗	✓	✗	✗	✗	✓	✗	✗	✗
BHMM-VAE [19]	✗	✓	✗	✗	✓	✗	✗	✓	✓	✗	✗	✗	✗
STCN [1]	✗	✓	✗	✓	✗	✗	✗	✗	✓	✗	✗	✗	✓
FDMM [28]	✗	✓	✓	✗	✓	✗	✓	✓	✓	✗	✗	✓	✓
ConvDMM [29]	✗	✓	✗	✗	✓	✗	✗	✓	✗	✓	✗	✗	✗

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- └ extra slides
- └ a brief overview of unsupervised speech representation learning
 - └ Classification of selected LVMs for speech

MODEL	OBSERVATION				PRIOR				INFERENCE				
	ARX	LOC	GLB	ARX IND GLB	ARZ	IND	GLB	ARZ FLT LSM GSM GLB	ARF	HTL	LSM	GSM	GLB
VRNN [13]	✓	✓	✗	✓	✓	✗	✗	✓	✓	✓	✓	✗	✗
SRNN [17]	✓	✓	✗	✓	✓	✗	✗	✓	✗	✗	✓	✓	✗
HMM-VAE [15]	✗	✓	✗	✗	✓	✗	✗	✓	✓	✗	✗	✗	✓
ConvVAE [24]	✗	✗	✓	✗	✗	✗	✓	✗	✗	✗	✓	✓	✗
FHVAE [25]	✗	✓	✓	✗	✗	✓	✓	✗	✗	✗	✓	✓	✓
VQ-VAE [40]	✓	✓	✗	✗	✗	✓	✗	✗	✗	✓	✗	✗	✗
BHMM-VAE [19]	✗	✓	✗	✗	✓	✗	✗	✓	✓	✗	✗	✗	✗
STCN [1]	✗	✓	✗	✓	✗	✗	✗	✗	✓	✗	✗	✗	✓
FDMM [28]	✗	✓	✓	✗	✓	✗	✓	✓	✓	✗	✗	✓	✓
ConvDMM [29]	✗	✓	✗	✗	✓	✗	✗	✓	✗	✓	✗	✗	✗

Comparison of LVMs and SSL methods

MODEL	MODEL AND TASK DESIGN					RESOLUTION			USAGE		
	MSK	PRD	CON	REC	QTZ	GEN	LOC	GLB	VAR	FRZ	FTN
SELF-SUPERVISED MODELS											
CPC [39]	✗	✓	✓	✗	✗	✗	✓	✗	✗	✓	✗
APC [12]	✗	✓	✗	✓	✗	✗	✓	✗	✗	✓	✗
wav2vec [45]	✗	✓	✓	✗	✗	✗	✓	✗	✗	✓	✗
Mockingjay [35]	✓	✗	✗	✓	✗	✗	✓	✗	✗	✓	✓
wav2vec 2.0 [3]	✓	✗	✓	✗	✓	✗	✓	✗	✗	✗	✓
NPC [34]	✓	✗	✗	✓	✓	✗	✓	✗	✗	✓	✗
DeCoAR 2.0 [33]	✓	✗	✗	✓	✓	✗	✓	✗	✗	✓	✗
HuBERT [23]	✓	✗	✗	✗	✓	✗	✓	✗	✗	✗	✓
data2vec [2]	✓	✗	✗	✗	✗	✗	✓	✗	✗	✗	✓
LATENT VARIABLE MODELS											
VRNN [13]	✗	✗	✗	✓	✗	✓	✓	✗	✗	✓	✗
SRNN [17]	✗	✗	✗	✓	✗	✓	✓	✗	✗	✓	✗
ConvVAE [24]	✗	✗	✗	✓	✗	✓	✗	✓	✗	✓	✗
FHVAE [25]	✗	✗	✗	✓	✗	✓	✓	✓	✗	✓	✗
VQ-VAE [40]	✗	✗	✗	✓	✓	✓	✓	✗	✗	✓	✗
STCN [1]	✗	✗	✗	✓	✗	✓	✓	✗	✗	✓	✗
FDMM [28]	✗	✗	✗	✓	✗	✓	✓	✓	✗	✓	✗
ConvDMM [29]	✗	✗	✗	✓	✗	✓	✓	✗	✗	✓	✗

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extra slides

- a brief overview of unsupervised speech representation learning
- Comparison of LVMs and SSL methods

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Method	Model and Task Design	Resolution	Usage
CPC [39]	✓	✓	✓
APC [12]	✗	✓	✓
Mockingjay [35]	✓	✓	✓
wav2vec 2.0 [3]	✓	✓	✓
DeCoAR 2.0 [33]	✓	✓	✓
NPC [34]	✓	✓	✓
HuBERT [23]	✓	✓	✓
data2vec [2]	✓	✓	✓
VRNN [13]	✗	✓	✓
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ConvVAE [24]	✗	✓	✓
FHVAE [25]	✗	✓	✓
VQ-VAE [40]	✗	✓	✓
STCN [1]	✗	✓	✓
FDMM [28]	✗	✓	✓
ConvDMM [29]	✗	✓	✓

Simulated prospective study



I. When is the model prediction presented to the call-taker?

1. Notify the call-taker **after the call ends**.
2. Notify the call-taker **during the call**.

II. How does prediction influence the diagnostic code the call-taker assigns to the call?

- A. Call-takers **mirror model positives**.
- B. Call-takers **mirror model negatives**.
- C. Call-takers mirror model predictions (corresponds to main results of the model itself).

To simulate the online scenario (2.), we **stream the transcript** to the model and make predictions every 50 words. A stroke positive is triggered only when three consecutive positive predictions are made. This is similar to the strategy implemented for a previous RCT on cardiac arrest [6].

- a retrospective study on machine learning-assisted stroke recognition for medical helpline calls
- Simulated prospective study

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Simulated prospective study



Predictor	Call-taker		Model		Call-taker supported by the model (simulated)			
	During call	After call	During call	After call	During call	After call	During call	
When	During call	After call	During call	After call	During call	After call	During call	
Method	-	-	-	neg → pos	neg → pos	pos → neg	pos → neg	
F1-score [%] ↑	25.8 (23.7-27.9)	35.7 (35.0-36.4)	33.1 (32.4-33.7)	28.9 (28.3-29.5)	27.6 (27.0-28.1)	33.3 (32.5-34.1)	32.7 (31.8-33.5)	
Sensitivity [%] ↑	52.7 (49.2-56.4)	63.0 (62.0-64.1)	58.7 (57.7-59.8)	72.4 (71.5-73.3)	72.3 (71.4-73.3)	43.4 (42.3-44.5)	39.1 (38.1-40.1)	
PPV [%] ↑	17.1 (15.5-18.6)	24.9 (24.3-25.5)	23.0 (22.5-23.6)	18.0 (17.6-18.4)	17.0 (16.7-17.4)	27.0 (26.3-27.8)	28.1 (27.3-28.9)	
FOR [%] ↓ (1 - NPV)	0.105 (0.094-0.116)	0.082 (0.079-0.085)	0.091 (0.088-0.094)	0.061 (0.059-0.064)	0.061 (0.059-0.064)	0.125 (0.121-0.129)	0.134 (0.131-0.138)	
FPR [%] ↓ (1 - specificity)	0.565 (0.539-0.590)	0.419 (0.413-0.426)	0.432 (0.426-0.439)	0.726 (0.717-0.735)	0.776 (0.767-0.786)	0.258 (0.253-0.263)	0.221 (0.216-0.226)	

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extra slides

- a retrospective study on machine learning-assisted stroke recognition for medical helpline calls
- Simulated prospective study

Predictor	Call-taker	Model	Call-taker supported by the model (simulated)	
When	During call	After call	During call	
Method	During call	After call	During call	
F1-score [%] ↑	20.8 (19.7-21.9)	30.7 (29.6-31.4)	30.1 (29.3-30.7)	26.9 (25.9-28.0)
Sensitivity [%] ↑	52.7 (50.7-54.8)	60.0 (58.9-61.7)	56.7 (55.7-57.9)	72.4 (71.4-73.1)
PPV [%] ↑	17.1 (15.5-18.6)	26.9 (25.0-28.5)	23.0 (22.3-24.6)	18.0 (17.0-18.6)
FOR [%] ↓	0.105 (0.094-0.116)	0.082 (0.079-0.085)	0.091 (0.088-0.094)	0.061 (0.059-0.064)
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Fine-tuning a large language model



- Large language models are effective in a wide range of NLP tasks [14, 42].
- Might BERT be useful for recognizing stroke?

Subset	Predictor	F1-score [%] ↑	Sensitivity [%] ↑	PPV [%] ↑	FOR [%] ↓ (1 - NPV)	FPR [%] ↓ (1 - specificity)
Overall	Call-takers	25.8 (23.7-27.9)	52.7 (49.2-56.4)	17.1 (15.5-18.6)	0.105 (0.094-0.116)	0.565 (0.539-0.590)
	MLP	35.7 (35.0-36.4)	63.0 (62.0-64.1)	24.9 (24.3-25.5)	0.082 (0.079-0.085)	0.419 (0.413-0.426)
	BERT (fine-tuned)	33.8 (31.5-36.2)	57.5 (53.9-60.9)	23.9 (21.9-25.9)	0.094 (0.084-0.104)	0.403 (0.381-0.424)

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extra slides

- a retrospective study on machine learning-assisted stroke recognition for medical helpline calls
- Fine-tuning a large language model

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