

### UNCERTAINTY AND THE MEDICAL INTERVIEW

### TOWARDS SELF-ASSESSMENT IN MACHINE LEARNING MODELS

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| CHAPTER 1-3 | Introduction, Research Questions, and Background   |
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- Introduction
- Out-of-distribution detection
- Latent variable models
- Identifying the issue
- ullet The  $\mathcal{L}^{>k}$  likelihood bound
- Likelihood ratio
- A Retrospective Study on Machine Learning-Assisted Stroke Recognition

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# Healthcare

Healthcare is the improvement of health via the prevention, diagnosis, treatment, amelioration or cure of disease, illness, injury, and other physical and mental impairments in people.

# Medical dialogue





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# Errors in medical dialogue

- Communication is everywhere in healthcare.
- It is complex, involving multiple participants, different contexts, and different purposes.

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# Errors in medical dialogue

- Communication is everywhere in healthcare.
- It is complex, involving multiple participants, different contexts, and different purposes.
- Failure of communication is a leading cause of medical error contributing to two out of three adverse events [6].
- A considerable fraction of all hospital admissions had preventable adverse outcomes (9% to 16.6% in AU, NZ, UK, DK) [34].

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# **Documenting medical encounters**

- Documentation is a central part of healthcare.
- E.g. patient records, insurance claims, billing, research, training, legal purposes.

<sup>&</sup>lt;sup>1</sup>Ambulatory care across four specialties in four states and tertiary care at an academic medical center.

<sup>&</sup>lt;sup>2</sup>Outpatient visits, Yale-New Haven Hospital.

# Documenting medical encounters



- Documentation is a central part of healthcare.
- E.g. patient records, insurance claims, billing, research, training, legal purposes.
- Time-consuming: Physicians spend 34-37% of their time on documentation [15, 2, 9]<sup>1</sup>.
- Varying quality: Discharge summaries rarely meet all timeline, transmission, and content criteria. [3]<sup>2</sup>

<sup>&</sup>lt;sup>1</sup>Ambulatory care across four specialties in four states and tertiary care at an academic medical center.

<sup>&</sup>lt;sup>2</sup>Outpatient visits, Yale-New Haven Hospital.

# How might machine learning help?



- Assist with documentation.
- Augment communication.
- Improve decision-making.
- Reduce errors.
- Save time.

# Reliability of machine learning



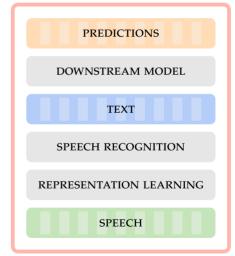
- Data: Privacy, quality, quantity, diversity.
- Interpretability: Trust, ethics, regulation.
- Explainability: Transparency, accountability.
- Robustness: Adversarial attacks, distribution shift.
- Bias: Fairness, transparency.
- Complexity: Context, domain, language, culture.

### **Overview: Thesis**



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### UNCERTAINTY



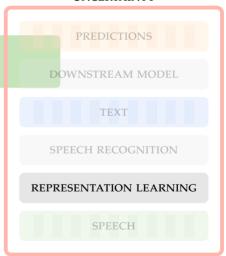
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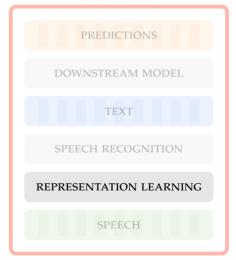


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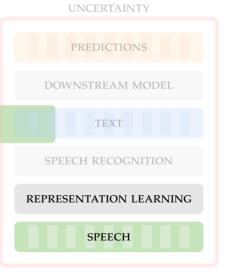
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### **Out-of-distribution detection**

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## **Overview: Presentation**

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### **Out-of-distribution detection**

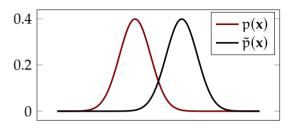
# **Defining OOD detection**

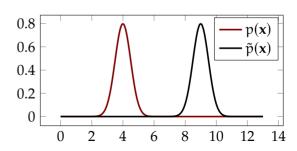


Out-of-distribution (OOD) detection is about enabling models to distinguish the training data distribution p(x) from any other distribution  $\tilde{p}(x)$ .

We are concerned with doing this on a per-observation basis, i.e. answering the question:

"Was x sampled from p(x) or not?"



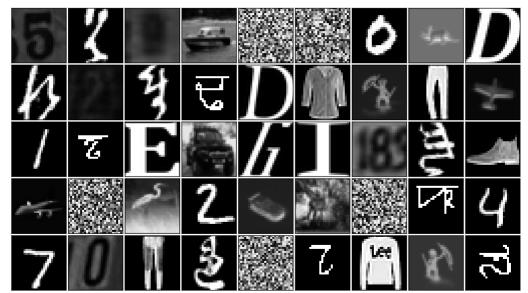


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### **Problem and Contributions**

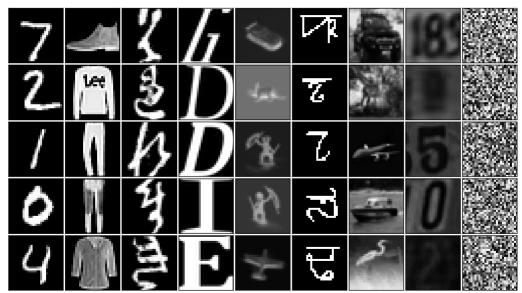
- Deep generative models often fail at OOD detection task when using their likelihood estimate as the score function [23] by, perhaps surprisingly, assigning **higher likelihoods** to the OOD data.
- Contributions:
  - We provide evidence that out-of-distribution detection fails due to learned low-level features that generalize across datasets.
  - We present a fast and fully unsupervised method for OOD detection competitive with the state-of-the-art

## In distribution?



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## Out of distribution?



### Hierarchical VAE



We choose the hierarchical VAE as our model [4, 5].

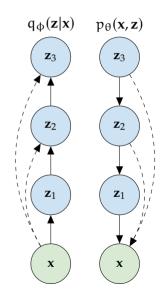
$$p_{\theta}(\mathbf{x}) = \int p_{\theta}(\mathbf{x}, \mathbf{z}) d\mathbf{z} = \int p_{\theta}(\mathbf{x} | \mathbf{z}) p_{\theta}(\mathbf{z}) d\mathbf{z}$$

## Specifically we use

• a three-layered hierarchical VAE with bottom-up inference and deterministic skip-connections for both inference and generation.

Generative model: 
$$p_{\theta}(\mathbf{x}|\mathbf{z}) = p_{\theta}(\mathbf{x}|\mathbf{z}_1)p_{\theta}(\mathbf{z}_1|\mathbf{z}_2)p(\mathbf{z}_3)$$
,  
Inference model:  $q_{\phi}(\mathbf{z}|\mathbf{x}) = q_{\phi}(\mathbf{z}_1|\mathbf{x})q_{\phi}(\mathbf{z}_2|\mathbf{z}_1)q_{\phi}(\mathbf{z}_3|\mathbf{z}_2)$ .

② a ten-layered layered Bidirectional-Inference Variational Autoencoder (BIVA) [22].





# What is wrong with the ELBO for OOD detection?

We can split the ELBO into two terms

$$\mathcal{L}(\mathbf{x}; \boldsymbol{\theta}, \boldsymbol{\phi}) = \mathbb{E}_{q_{\boldsymbol{\phi}}(\mathbf{z}|\mathbf{x})} \left[ \log \frac{p_{\boldsymbol{\theta}}(\mathbf{x}, \mathbf{z})}{q_{\boldsymbol{\phi}}(\mathbf{z}|\mathbf{x})} \right] = \underbrace{\mathbb{E}_{q_{\boldsymbol{\phi}}(\mathbf{z}|\mathbf{x})} [\log p_{\boldsymbol{\theta}}(\mathbf{x}|\mathbf{z})]}_{\text{reconstruction likelihood}} - \underbrace{D_{KL}(q_{\boldsymbol{\phi}}(\mathbf{z}|\mathbf{x})||p(\mathbf{z}))}_{\text{regularization penalty}} . \quad (1)$$

The first term is high if the data is well-explained by z.

The second term we can rewrite as,

$$D_{KL}(q_{\phi}(\mathbf{z}|\mathbf{x})||p(\mathbf{z})) = \mathbb{E}_{q_{\phi}(\mathbf{z}|\mathbf{x})} \left[ \sum_{i=1}^{L-1} \log \frac{p_{\theta}(\mathbf{z}_{i}|\mathbf{z}_{i+1})}{q_{\phi}(\mathbf{z}_{i}|\mathbf{z}_{i-1})} + \log \frac{p_{\theta}(\mathbf{z}_{L})}{q_{\phi}(\mathbf{z}_{L}|\mathbf{z}_{L-1})} \right]. \tag{2}$$

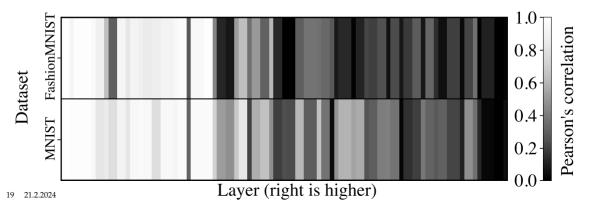
The absolute log-ratios grow with  $dim(\mathbf{z}_i)$  since the log probability terms are computed by summing over the dimensionality of  $\mathbf{z}_i$ .



### What do the lowest latent variables code for?

Absolute Pearson correlations between data representations in all layers of the inference network of a hierarchical VAE trained on FashionMNIST and of another trained on MNIST.

Correlation computed between the representations of the two different models given the same data, FashionMNIST (top) and MNIST (bottom).



# An alternative likelihood bound, $\mathcal{L}^{>k}$



An alternative version of the ELBO that only partially uses the approximate posterior can be written as [22]

$$\mathcal{L}^{>k}(\mathbf{x}; \theta, \phi) = \mathbb{E}_{p_{\theta}(\mathbf{z}_{\leqslant k}|\mathbf{z} > k)q_{\phi}(\mathbf{z}_{>k}|\mathbf{x})} \left[ \log \frac{p_{\theta}(\mathbf{x}|\mathbf{z})p_{\theta}(\mathbf{z}_{>k})}{q_{\phi}(\mathbf{z}_{>k}|\mathbf{x})} \right]$$
(3)

Here, we have replaced the approximate posterior  $q_{\varphi}(\mathbf{z}|\mathbf{x})$  with a different proposal distribution that combines part of the approximate posterior with the conditional prior, namely

$$p_{\theta}(\mathbf{z}_{\leq k}|\mathbf{z}_{>k})q_{\phi}(\mathbf{z}_{>k}|\mathbf{x})$$

This bound uses the conditional prior for the lowest latent variables in the hierarchy.

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### Likelihood ratios

We can use our new bound to compute the score used in a standard likelihood ratio test [1].

$$LLR^{>k}(x) \equiv \mathcal{L}(x) - \mathcal{L}^{>k}(x). \tag{4}$$

We can inspect what this likelihood-ratio measures by considering the exact form of our bounds.

$$\mathcal{L} = \log p_{\theta}(\mathbf{x}) - D_{KL} \left( q_{\phi}(\mathbf{z}|\mathbf{x}) || p_{\theta}(\mathbf{z}|\mathbf{x}) \right),$$

$$\mathcal{L}^{>k} = \log p_{\theta}(\mathbf{x}) - D_{KL} \left( p_{\theta}(\mathbf{z}_{\leq}|\mathbf{z}_{>k}) q_{\phi}(\mathbf{z}_{>k}|\mathbf{x}) || p_{\theta}(\mathbf{z}|\mathbf{x}) \right).$$
(5)

In the likelihood ratio the reconstruction terms cancel out and only the KL-divergences from the approximate to the true posterior remain.

$$LLR^{>k}(\mathbf{x}) = -D_{KL} \left( q_{\phi}(\mathbf{z}|\mathbf{x}) || p_{\theta}(\mathbf{z}|\mathbf{x}) \right)$$

$$+ D_{KL} \left( p_{\theta}(\mathbf{z}_{\leq}|\mathbf{z}_{>k}) q_{\phi}(\mathbf{z}_{>k}|\mathbf{x}) || p_{\theta}(\mathbf{z}|\mathbf{x}) \right) .$$

$$(6)$$

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# Importance sampling the ELBO

The importance weighted autoencoder (IWAE) bound is tight with the true likelihood in the limit of infinite samples,  $S \rightarrow \infty$  [7],

$$\mathcal{L}_{S} = \mathbb{E}_{q(\mathbf{z}|\mathbf{x})} \left[ \log \frac{1}{N} \sum_{s=1}^{S} \frac{p(\mathbf{x}, \mathbf{z}^{(s)})}{q(\mathbf{z}^{(s)}|\mathbf{x})} \right] \leq \log p_{\theta}(\mathbf{x}),$$
 (7)

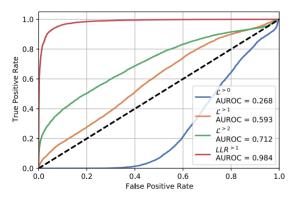
Consequently, by importance sampling the ELBO, the associated KL-divergence vanishes and our likelihood ratio reduces to the KL-divergence of  $\mathcal{L}^{>k}$ .

$$LLR_{S}^{>k}(\mathbf{x}) \to D_{KL}(p(\mathbf{z}_{\leq}|\mathbf{z}_{>k})q(\mathbf{z}_{>k}|\mathbf{x})||p(\mathbf{z}|\mathbf{x})). \tag{8}$$

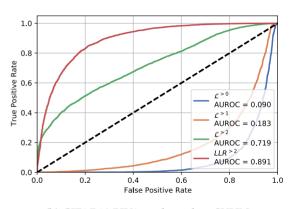
 $LLR_S^{>k}(x)$  performs KL-divergence-based OOD detection using top-most latent variables.

#### **Results with** LLR<sup>>k</sup>





(a) FashionMNIST HVAE evaluated on MNIST



(b) CIFAR10 BIVA evaluated on SVHN

#### Results on FashionMNIST/MNIST

| Method                                      | AUROC↑    | AUPRC↑ | FPR80↓ |
|---|-----------|--------|--------|
| FashionMNIST (in) /                         | MNIST (ou | ıt)    |        |
| Use prior knowledge of OOD                  |           |        |        |
| Backgr. contrast. LR (PixelCNN) [24]        | 0.994     | 0.993  | 0.001  |
| Backgr. contrast. LR (VAE) [20]             | 0.924     | -      | -      |
| Binary classifier [24]                      | 0.455     | 0.505  | 0.886  |
| $p(\hat{y} x)$ with OOD as noise class [24] | 0.877     | 0.871  | 0.195  |
| $p(\hat{y} x)$ with calibration on OOD [24] | 0.904     | 0.895  | 0.139  |
| Input complexity (S, Glow) [21]             | 0.998     | -      | -      |
| Input complexity (S, PixelCNN++) [21]       | 0.967     | -      | -      |
| Use in-distribution data labels y           |           |        |        |
| $p(\hat{y} x)[24, 11]$                      | 0.734     | 0.702  | 0.506  |
| Entropy of p(y x) [24]                      | 0.746     | 0.726  | 0.448  |
| ODIN [24, 19]                               | 0.752     | 0.763  | 0.432  |
| VIB [13, 20]                                | 0.941     | -      | -      |
| Mahalanobis distance, CNN [24]              | 0.942     | 0.928  | 0.088  |
| Mahalanobis distance, DenseNet [18]         | 0.986     | -      | -      |
| Ensemble, 20 classifiers [24, 12]           | 0.857     | 0.849  | 0.240  |
| No OOD-specific assumptions                 |           |        |        |
| - Ensembles                                 |           |        |        |
| WAIC, 5 models, VAE [20]                    | 0.766     | -      | -      |
| WAIC, 5 models, PixelCNN [24]               | 0.221     | 0.401  | 0.911  |
| - Not ensembles                             |           |        |        |
| Likelihood regret [27]                      | 0.988     | -      | -      |
| $\mathcal{L}^{>0}$ + HVAE (ours)            | 0.268     | 0.363  | 0.882  |
| $\mathcal{L}^{>1}$ + HVAE (ours)            | 0.593     | 0.591  | 0.658  |
| $\mathcal{L}^{>2}$ + HVAE (ours)            | 0.712     | 0.750  | 0.548  |
| LLR <sup>&gt;1</sup> + HVAE (ours)          | 0.964     | 0.961  | 0.036  |
| LLR <sub>250</sub> + HVAE (ours)            | 0.984     | 0.984  | 0.013  |

#### **Results on CIFAR10/SVHN**



| Method                                   | AUROC↑   | AUPRC↑ | FPR80↓ |
|--|----------|--------|--------|
| CIFAR10 (in) / SV                        | HN (out) |        |        |
| Use prior knowledge of OOD               |          |        |        |
| Backgr. contrast. LR (PixelCNN) [24]     | 0.930    | 0.881  | 0.066  |
| Backgr. contrast. LR (VAE) [27]          | 0.265    | -      | -      |
| Outlier exposure [21]                    | 0.984    | -      | -      |
| Input complexity (S, Glow) [26]          | 0.950    | -      | -      |
| Input complexity (S, PixelCNN++) [26]    | 0.929    | -      | -      |
| Input complexity (S, HVAE) (Ours) [26]?? | 0.833    | 0.855  | 0.344  |
| Use in-distribution data labels y        |          |        |        |
| Mahalanobis distance [18]                | 0.991    | -      | -      |
| No OOD-specific assumptions              |          |        |        |
| - Ensembles                              |          |        |        |
| WAIC, 5 models, Glow [20]                | 1.000    | -      | -      |
| WAIC, 5 models, PixelCNN [24]            | 0.628    | 0.616  | 0.657  |
| - Not ensembles                          |          |        |        |
| Likelihood regret [27]                   | 0.875    | -      | -      |
| LLR <sup>&gt;2</sup> + HVAE (ours)       | 0.811    | 0.837  | 0.394  |
| LLR <sup>&gt;2</sup> + BIVA (ours)       | 0.891    | 0.875  | 0.172  |

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#### Results on diverse datasets

| OOD dataset     | Metric               | AUROC↑ | AUPRC↑ | FPR80↓ |  |  |  |
|-----------------|----------------------|--------|--------|--------|--|--|--|
|                 | Trained on CIFAR10   |        |        |        |  |  |  |
| SVHN            | LLR>2                | 0.811  | 0.837  | 0.394  |  |  |  |
| CIFAR10         | LLR <sup>&gt;1</sup> | 0.469  | 0.479  | 0.835  |  |  |  |
| Trained on SVHN |                      |        |        |        |  |  |  |
| CIFAR10         | LLR <sup>&gt;1</sup> | 0.939  | 0.950  | 0.052  |  |  |  |
| SVHN            | LLR <sup>&gt;1</sup> | 0.489  | 0.484  | 0.799  |  |  |  |

| OOD dataset             | Metric               | AUROC↑ | AUPRC↑ | FPR80↓ |  |  |  |  |
|-------------------------|----------------------|--------|--------|--------|--|--|--|--|
| Trained on FashionMNIST |                      |        |        |        |  |  |  |  |
| MNIST                   | LLR>1                | 0.986  | 0.987  | 0.011  |  |  |  |  |
| notMNIST                | $LLR^{>1}$           | 0.998  | 0.998  | 0.000  |  |  |  |  |
| KMNIST                  | LLR>1                | 0.974  | 0.977  | 0.017  |  |  |  |  |
| Omniglot28x28           | LLR>2                | 1.000  | 1.000  | 0.000  |  |  |  |  |
| Omniglot28x28Inverted   | LLR>1                | 0.954  | 0.954  | 0.050  |  |  |  |  |
| SmallNORB28x28          | LLR>2                | 0.999  | 0.999  | 0.002  |  |  |  |  |
| SmallNORB28x28Inverted  | LLR>2                | 0.941  | 0.946  | 0.069  |  |  |  |  |
| FashionMNIST            | LLR <sup>&gt;1</sup> | 0.488  | 0.496  | 0.811  |  |  |  |  |
| Tra                     | ined on              | MNIST  |        |        |  |  |  |  |
| FashionMNIST            | LLR>1                | 0.999  | 0.999  | 0.000  |  |  |  |  |
| notMNIST                | $LLR^{>1}$           | 1.000  | 0.999  | 0.000  |  |  |  |  |
| KMNIST                  | LLR>1                | 0.999  | 0.999  | 0.000  |  |  |  |  |
| Omniglot28x28           | $LLR^{>1}$           | 1.000  | 1.000  | 0.000  |  |  |  |  |
| Omniglot28x28Inverted   | LLR>1                | 0.944  | 0.953  | 0.057  |  |  |  |  |
| SmallNORB28x28          | $LLR^{>1}$           | 1.000  | 1.000  | 0.000  |  |  |  |  |
| SmallNORB28x28Inverted  | LLR>1                | 0.985  | 0.987  | 0.000  |  |  |  |  |
| MNIST                   | LLR>2                | 0.515  | 0.507  | 0.792  |  |  |  |  |



#### Stroke

- Stroke is a leading cause of disability and death worldwide [30, 17, 16].
- Effective treatment is very time-sensitive. [28, 25].
- The gateway to ambulance transport and hospital admittance is through prehospital telehealth services.
- Mobile stroke units has made it possible to deliver advanced treatment faster [31, 32].
- The effectiveness of mobile stroke units hinges on call-taker recognition of stroke [31, 32].
- But stroke

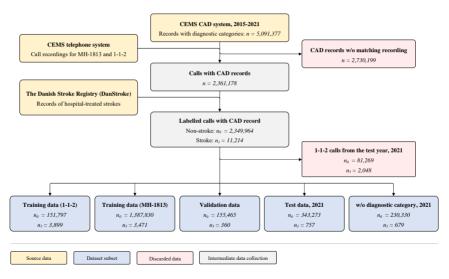


#### The study

- Collaboration between Corti and the Copenhagen Emergency Medical Services (CEMS) ("Akutberedskabet").
- CEMS provides prehospital telehealth services in the Capital Region of Denmark (1.9M people).
- CEMS operates the 1-1-2 emergency line (similar to 9-1-1) and the 1813 medical helpline (non-life-threatening conditions when general practitioner is unavailable).
- Approximately half of all patients with stroke do not receive the correct triage for their condition from call-takers [8, 10, 14].
- We wanted to investigate if a machine learning model could assist call-takers of 1813 in recognizing stroke.



#### Population selection and datasets



# A Retrospective Study on Machine Learning-Assisted Stroke Recognition for Medical Helpline Calls



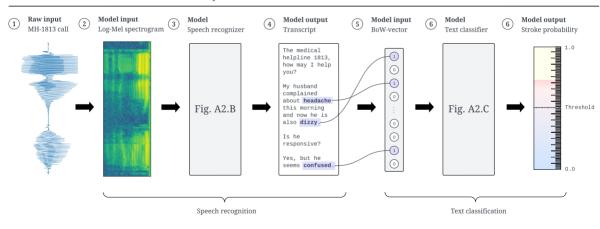
## Population characteristics

|   | Training (112)    | Training (MH-1813) | Validation        | Test              | 2021 w/o category |  |  |
|---|-------------------|--------------------|-------------------|-------------------|-------------------|--|--|
|   |                   | All ca             | ılls              |                   |                   |  |  |
| Num. calls                                      | 155,696           | 1,391,301          | 155,825           | 344,030           | 231,009           |  |  |
| Female  | 74,640 (47.94%)   | 792,783 (56.98%)   | 86,959 (55.81%)   | 190,974 (55.51%)  | 134,324 (58.14%)  |  |  |
| Male  | 79,564 (51.10%)   | 596,760 (42.89%)   | 68,866 (44.19%)   | 153,050 (44.49%)  | 96,258 (41.67%)   |  |  |
| 65+ years                                       | 72,930 (46.84%)   | 335,146 (24.09%)   | 30,313 (19.45%)   | 65,652 (19.08%)   | 81,488 (35.27%)   |  |  |
| Age (mean $\pm$ std.)                           | 59.47 ± 21.24     | $47.12 \pm 21.38$  | $44.63 \pm 20.08$ | $44.31 \pm 20.10$ | $50.36 \pm 22.77$ |  |  |
| Stroke calls                                    |                   |                    |                   |                   |                   |  |  |
| Num. calls                                      | 3,899             | 3,471              | 360               | 757               | 679               |  |  |
| Female  | 1,784 (45.76%)    | 1,654 (47.65%)     | 161 (44.72%)      | 349 (46.10%)      | 366 (53.90%)      |  |  |
| Male  | 2,115 (54.24%)    | 1,815 (52.29%)     | 199 (55.28%)      | 408 (53.90%)      | 313 (46.10%)      |  |  |
| 65+ years                                       | 2,968 (76.12%)    | 2,421 (69.75%)     | 250 (69.44%)      | 555 (73.32%)      | 567 (83.51%)      |  |  |
| Age (mean $\pm$ std.)                           | 72.91 ± 12.77     | $70.68 \pm 13.85$  | $70.93 \pm 13.83$ | $71.51 \pm 13.41$ | $73.41 \pm 14.11$ |  |  |
|   |                   | Non-stro           | ke calls          |                   |                   |  |  |
| Num. calls                                      | 151,797           | 1,387,830          | 155,465           | 343,273           | 230,330           |  |  |
| Female  | 72,856 (48.00%)   | 791,129 (57.00%)   | 86,798 (55.83%)   | 190,625 (55.53%)  | 133,958 (58.16%)  |  |  |
| Male  | 77,449 (51.02%)   | 594,945 (42.87%)   | 68,667 (44.17%)   | 152,642 (44.47%)  | 95,945 (41.66%)   |  |  |
| <sub>30</sub> 6 <u>5<sub>11-2</sub> ye</u> gars | 69,962 (46.09%)   | 332,725 (23.97%)   | 30,063 (19.34%)   | 65,097 (18.96%)   | 80,921 (35.13%)   |  |  |
| Age (mean $\pm$ std.)                           | $59.12 \pm 21.30$ | $47.06 \pm 21.36$  | $44.57 \pm 20.05$ | $44.25 \pm 20.08$ | $50.29 \pm 22.76$ |  |  |



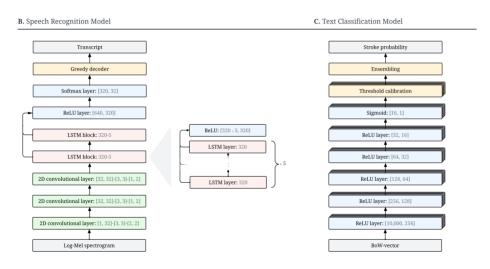
#### Model design

#### A. Schematic Overview of Stroke Classification Pipeline





#### Model design



# A Retrospective Study on Machine Learning-Assisted Stroke Recognition for Medical Helpline Calls



#### Main results

Table 1: Overall performance on MH-1813 test data, performance without 1-1-2 training data, and performance on data from 2021 without diagnostic categories as well as performance on MH-1813 based on demographic subgroups (age/sex) [mean (95% CI)]. NPV: negative predictive value, PPV: positive predictive value, FOR: false omission rate, CI: confidence interval.

|                             | F1-score [%] ↑                       | Sensitivity [%]↑                     | PPV [%] ↑                            | FOR [%]↓<br>(1 - specificity)              | FPR [%] ↓<br>(1 - NPV)                     |  |  |
|-----------------------------|--------------------------------------|--------------------------------------|--------------------------------------|--|--|--|--|
| Overall                     |                                      |                                      |                                      |  |  |  |  |
| Call-takers<br>Model        | 25.8 (23.7-27.9)<br>35.7 (35.0-36.4) | 52.7 (49.2-56.4)<br>63.0 (62.0-64.1) | 17.1 (15.5-18.6)<br>24.9 (24.3-25.5) | 0.105 (0.094-0.116)<br>0.082 (0.079-0.085) | 0.565 (0.539-0.590)<br>0.419 (0.413-0.426) |  |  |
|                             | Without 112 training data            |                                      |                                      |  |  |  |  |
| Model                       | 32.4 (31.8-33.1)                     | 60.4 (59.3-61.4)                     | 22.2 (21.6-22.7)                     | 0.088 (0.085-0.091)                        | 0.467 (0.460-0.474)                        |  |  |
|                             |                                      | On MH-1813 dat                       | a without diagnostic                 | category                                   |  |  |  |
| Model                       | 32.6 (31.9-33.4)                     | 48.3 (47.2-49.4)                     | 24.7 (23.9-25.3)                     | 0.153 (0.148-0.158)                        | 0.435 (0.427-0.443)                        |  |  |
| 18-64 years                 |                                      |                                      |                                      |  |  |  |  |
| 33—21.2.2024<br>Call-takers | 15.9 (13.1-18.5)                     | 50.5 (43.6-57.2)                     | 9.40 (7.61-11.18)                    | 0.036 (0.028-0.043)                        | 0.353 (0.331-0.375)                        |  |  |





#### Model performance

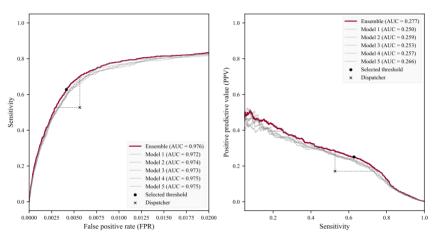


Figure 2: Left, the ROC curve and, right, PPV-sensitivity curve (precision-recall curve). Models 1-5 are the individual models that make up the ensemble model.



#### Model performance

Figure 3: Confusion matrices of predictions for call takers and the model on the test set. Numbers for the model are given as the rounded mean over eleven runs.

|                        |           | Ground truth labels    |                           |  |  |
|------------------------|-----------|------------------------|---------------------------|--|--|
|                        |           | Positives              | Negatives                 |  |  |
| Call taker predictions | Positives | True positives<br>399  | False positves<br>1,938   |  |  |
| Call taker             | Negatives | False negatives<br>358 | True negatives<br>341,335 |  |  |

|                   |           | Ground truth labels   |                         |  |  |
|-------------------|-----------|-----------------------|-------------------------|--|--|
|                   |           | Positives             | Negatives               |  |  |
| edictions         | Positives | True positives<br>477 | False positves<br>1,440 |  |  |
| Model predictions | Negatives | False negatives 280   | True negatives 341,833  |  |  |



#### Which features are important?

Let  $z^{(n,d,w)}$  be the logit output of model n in the ensemble for transcript d when the word w is occluded. For transcript d, we computed the word impact score  $i^{(d,w)}$  as the mean difference between the logit before and after occlusion.

$$i^{(d,w)} = \frac{1}{N_d} \sum_{n=1}^{N_d} \left( z^{(n,d)} - z^{(n,d,w)} \right) . \tag{9}$$

To select words for inspection, we computed a word-rank score,  $r^{(w)}$ , as the sum of the signed squares of the impact:

$$\mathbf{r}^{(w)} = \sum_{\mathbf{d}=1}^{N} \operatorname{sign}\left(\mathbf{i}^{(\mathbf{d},w)}\right) \left(\mathbf{i}^{(\mathbf{d},w)}\right)^{2} . \tag{10}$$

Squaring  $i^{(d,w)}$  favors rare features with a high impact over common features with a low impact.

# A Retrospective Study on Machine Learning-Assisted Stroke Recognition for Medical Helpline Calls



#### Which features are important?

|                | 1   | ()                         | 1 .                               | ()                            |  |
|----------------|---|----------------------------|-----------------------------------|-------------------------------|--|
|                | Positive rankii                                 | ng score, r <sup>(w)</sup> | Negative ranking score, $r^{(w)}$ |                               |  |
|                | Stroke prediction                               | ons, $D = 1,897$           | Non-stroke predictio              | ns, D = 342, 133              |  |
|                | Word, $w$ (translated)   Occurrences, $D^{(w)}$ |                            | Word, w (translated)              | Occurrences, D <sup>(w)</sup> |  |
| 1.             | Ambulance                                       | 1,680                      | Tetanus                           | 4,378                         |  |
| 2.             | Blood clot                                      | 895                        | Pregnant                          | 8,749                         |  |
| 3.             | Left  | 1,108                      | Cut                               | 7,592                         |  |
| 4.             | Right   | 1,050                      | Bandage                           | 4,561                         |  |
| 5.             | Double vision                                   | 84                         | Amager (a location)               | 23,776                        |  |
| 6.             | The words                                       | 344                        | O'clock                           | 94,436                        |  |
| 7.             | Suddenly  | 783                        | The emergency room                | 42,809                        |  |
| 8.             | Arm   | 709                        | The police                        | 2,903                         |  |
| 9.             | Side  | 1,139                      | Swollen                           | 60,559                        |  |
| 10.            | Stroke  | 117                        | Over the counter (OTC)            | 4,641                         |  |
| 11.            | Double  | 113                        | The neck                          | 30,151                        |  |
| 12.            | Control   | 134                        | Fever                             | 112,586                       |  |
| $13^{121.2.2}$ | Call  | 39                         | Prescription                      | 5,450                         |  |



#### Simulated prospective study

- I. When is the model prediction presented to the call-taker?
  - 1. Notify the call-taker after the call ends.
  - 2. Notify the call-taker during the call.
- II. How does prediction influence the diagnostic code the call-taker assigns to the call?
  - A. Call-takers mirror model positives.
  - B. Call-takers mirror model negatives.
  - C. Call-takers mirror model predictions (corresponds to main results of the model itself).

To simulate the online scenario (2.), we stream the transcript to the model and make predictions every 50 words. A stroke positive is triggered only when three consecutive positive predictions are made. This is similar to the strategy implemented for a previous RCT on cardiac arrest [29].



#### Simulated prospective study

| Predictor                  | Call-taker    | Mo                  | del           | Call-taker supported by the model (simulated) |                       |                       |                       |
|----------------------------|---------------|---------------------|---------------|---|-----------------------|-----------------------|-----------------------|
| When                       | During call   | After call          | During call   | After call                                    | During call           | After call            | During call           |
| Method                     | -             | -                   | -             | neg → pos                                     | $neg \rightarrow pos$ | $pos \rightarrow neg$ | $pos \rightarrow neg$ |
| F1-score [%] ↑             | 25.8          | 35.7                | 33.1          | 28.9  | 27.6                  | 33.3                  | 32.7                  |
|                            | (23.7-27.9)   | (35.0-36.4)         | (32.4-33.7)   | (28.3-29.5)                                   | (27.0-28.1)           | (32.5-34.1)           | (31.8-33.5)           |
| Sensitivity [%]↑           | 52.7          | 63.0                | 58.7          | 72.4  | 72.3                  | 43.4                  | 39.1                  |
|                            | (49.2-56.4)   | (62.0-64.1)         | (57.7-59.8)   | (71.5-73.3)                                   | (71.4-73.3)           | (42.3-44.5)           | (38.1-40.1)           |
| <b>PPV</b> [%] ↑           | 17.1          | 24.9                | 23.0          | 18.0  | 17.0                  | 27.0                  | 28.1                  |
|                            | (15.5-18.6)   | (24.3-25.5)         | (22.5-23.6)   | (17.6-18.4)                                   | (16.7-17.4)           | (26.3-27.8)           | (27.3-28.9)           |
| <b>FOR</b> [%] ↓ (1 - NPV) | 0.105         | 0.082               | 0.091         | 0.061   | 0.061                 | 0.125                 | 0.134                 |
|                            | (0.094-0.116) | (0.079-0.085)       | (0.088-0.094) | (0.059-0.064)                                 | (0.059-0.064)         | (0.121-0.129)         | (0.131-0.138)         |
| FPR [%] ↓                  | 0.565         | 0.419 (0.413-0.426) | 0.432         | 0.726   | 0.776                 | 0.258                 | 0.221                 |
| (1 - specificity)          | (0.539-0.590) |                     | (0.426-0.439) | (0.717-0.735)                                 | (0.767-0.786)         | (0.253-0.263)         | (0.216-0.226)         |



### Fine-tuning a large language model

|               | F1-score [%] ↑ | Sensitivity [%]↑ | PPV [%]↑    | FOR [%] ↓<br>(1 - NPV) | FPR [%] ↓<br>(1 - specificity) |
|---------------|----------------|------------------|-------------|------------------------|--------------------------------|
|               |                |                  | Overall     |                        |                                |
| Call-takers   | 25.8           | 52.7             | 17.1        | 0.105                  | 0.565                          |
|               | (23.7-27.9)    | (49.2-56.4)      | (15.5-18.6) | (0.094-0.116)          | (0.539-0.590)                  |
| MLP           | 35.7           | 63.0             | 24.9        | 0.082                  | 0.419                          |
|               | (35.0-36.4)    | (62.0-64.1)      | (24.3-25.5) | (0.079-0.085)          | (0.413-0.426)                  |
| BERT          | 33.8           | 57.5             | 23.9        | 0.094                  | 0.403                          |
| (fine"=tuned) | (31.5-36.2)    | (53.9-60.9)      | (21.9-25.9) | (0.084-0.104)          | (0.381-0.424)                  |

# A Retrospective Study on Machine Learning-Assisted Stroke Recognition for Medical Helpline Calls



#### **Future work**

- Self-supervised learning directly from audio data.
- Investigate learning to defer to predict methods [33].



# Thank you for your attention



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