

Structural Element Stiffness, Mass, and Damping Matrices

CEE 541. Structural Dynamics

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1 Preliminaries

This document describes the formulation of stiffness and mass matrices for structural elements such as truss bars, beams, plates, and cables(?). The formulation of each element involves the determination of gradients of potential and kinetic energy functions with respect to a set of coordinates defining the displacements at the ends, or nodes, of the elements. The potential and kinetic energy of the functions are therefore written in terms of these nodal displacements (i.e., generalized coordinates). To do so, the distribution of strains and velocities within the element must be written in terms of nodal coordinates as well. Both of these distributions may be derived from the distribution of internal displacements within the solid element.

1.1 Displacements

Figure 1. Displacements within a solid continuum.

A component of a time-dependent displacement $u_i(\mathbf{x}, t)$, ($i = 1, \dots, 3$) in a solid continuum can be expressed in terms of the displacements of a set of nodal displacements, $\bar{u}_n(t)$ ($n = 1, \dots, N$) and a corresponding set of “shape functions” ψ_{in} .

$$u_i(\mathbf{x}, t) = \sum_{n=1}^N \psi_{in}(x_1, x_2, x_3) \bar{u}_n(t) \quad (1)$$

$$= \mathbf{\Psi}_i(\mathbf{x}) \bar{\mathbf{u}}(t) \quad (2)$$

$$\mathbf{u}(\mathbf{x}, t) = [\mathbf{\Psi}(\mathbf{x})]_{3 \times N} \bar{\mathbf{u}}(t) \quad (3)$$

Engineering strain, axial strain ϵ_{ii} , shear strain γ_{ij} .

$$\epsilon_{ii}(\mathbf{x}, t) = \frac{\partial u_i(\mathbf{x}, t)}{\partial x_i} \quad (4)$$

$$\gamma_{ij}(\mathbf{x}, t) = \frac{\partial u_i(\mathbf{x}, t)}{\partial x_j} + \frac{\partial u_j(\mathbf{x}, t)}{\partial x_i} \quad (5)$$

$$(6)$$

Displacement gradient

$$\frac{\partial u_i(\mathbf{x})}{\partial x_j} = \sum_{n=1}^N \frac{\partial}{\partial x_j} \psi_{in}(x_1, x_2, x_3) \bar{u}_n(t) \quad (7)$$

$$u_{i,j}(\mathbf{x}) = \sum_{n=1}^N \psi_{in,j}(\mathbf{x}) \bar{u}_n(t) \quad (8)$$

Strain-displacement relations

$$\epsilon_{ii}(\mathbf{x}, t) = \sum_{n=1}^N \psi_{in,i}(\mathbf{x}) \bar{u}_n(t) \quad (9)$$

$$\gamma_{ij}(\mathbf{x}, t) = \sum_{n=1}^N (\psi_{in,j}(\mathbf{x}) + \psi_{jn,i}(\mathbf{x})) \bar{u}_n(t) \quad (10)$$

Strain vector

$$\boldsymbol{\epsilon}^T(\mathbf{x}, t) = \{ \epsilon_{11} \quad \epsilon_{22} \quad \epsilon_{33} \quad \gamma_{12} \quad \gamma_{23} \quad \gamma_{13} \} \quad (11)$$

$$\boldsymbol{\epsilon}(\mathbf{x}, t) = [\mathbf{B}(\mathbf{x})]_{6 \times N} \bar{\mathbf{u}}(t) \quad (12)$$

1.2 Geometric Strain

Figure 2. Axial strain due to transverse displacement.

δx : axial deformation due to transverse displacement du_y without displacement in the x direction ($du_x = 0$).

$$(dx + \delta x) \left(\cos \left(\arctan \left(\frac{du_y}{dx} \right) \right) \right) = dx \quad (13)$$

$$\left(1 + \frac{\delta x}{dx} \right) \left(\cos \left(\arctan \left(\frac{du_y}{dx} \right) \right) \right) = 1 \quad (14)$$

$$\frac{\delta x}{dx} = \csc \left(\arctan \left(\frac{du_y}{dx} \right) \right) - 1 \quad (15)$$

$$\epsilon_{xx} = \frac{\delta x}{dx} \approx \frac{1}{2} \left(\frac{du_y}{dx} \right)^2 \quad (16)$$

The approximation is accurate to within -0.01% for $du_y/dx < 0.01$, -1.0% for $du_y/dx < 0.20$, and to within -0.1% for $du_y/dx < 0.07$.

Large deflection strain-displacement equations:

$$\epsilon_{ii} = \frac{\partial u_i}{\partial x_i} + \frac{1}{2} \left(\frac{\partial u_j}{\partial x_i} \right)^2 + \frac{1}{2} \left(\frac{\partial u_k}{\partial x_i} \right)^2 \quad (17)$$

$$= u_{i,i} + \frac{1}{2} u_{j,i}^2 + \frac{1}{2} u_{k,i}^2 \quad (18)$$

$$\gamma_{ij} = \frac{\partial u_i}{\partial x_j} + \frac{\partial u_j}{\partial x_i} + \frac{\partial u_i}{\partial x_j} \frac{\partial u_j}{\partial x_i} + \frac{\partial u_j}{\partial x_i} \frac{\partial u_i}{\partial x_j} \quad (19)$$

$$= u_{i,j} + u_{j,i} + u_{i,j} u_{j,i} + u_{j,i} u_{i,j} \quad (20)$$

1.3 Stress-strain relationship (isotropic elastic solid)

$$\begin{Bmatrix} \sigma_{11} \\ \sigma_{22} \\ \sigma_{33} \\ \tau_{12} \\ \tau_{23} \\ \tau_{13} \end{Bmatrix} = \frac{E}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\nu & \nu & \nu & & & \\ \nu & 1-\nu & \nu & & & \\ \nu & \nu & 1-\nu & & & \\ & & & \frac{1}{2}-\nu & & \\ & & & & \frac{1}{2}-\nu & \\ & & & & & \frac{1}{2}-\nu \end{bmatrix} \begin{Bmatrix} \epsilon_{11} \\ \epsilon_{22} \\ \epsilon_{33} \\ \gamma_{12} \\ \gamma_{23} \\ \gamma_{13} \end{Bmatrix} \quad (21)$$

Stress vector

$$\boldsymbol{\sigma}^T(\mathbf{x}, t) = \{ \sigma_{11} \ \sigma_{22} \ \sigma_{33} \ \tau_{12} \ \tau_{23} \ \tau_{13} \} \quad (22)$$

$$\boldsymbol{\sigma} = [\mathbf{S}_e(E, \nu)]_{6 \times 6} \boldsymbol{\epsilon} \quad (23)$$

1.4 Potential Energy and Stiffness

Consider a system comprising an assemblage of linear springs, with stiffness k_i , each with an individual stretch, d_i . The total potential energy in the assemblage is

$$U = \frac{1}{2} \sum_i k_i d_i^2$$

If displacements of the assemblage of springs is denoted by a vector \mathbf{u} , not necessarily equal to the stretches in each spring, then the elastic potential energy may also be written

$$\begin{aligned} U(\mathbf{u}) &= \frac{1}{2} \mathbf{u}^T \mathbf{K} \mathbf{u} \\ &= \frac{1}{2} \sum_{i=1}^n u_i f_i \\ &= \frac{1}{2} \sum_{i=1}^n u_i \sum_{j=1}^n K_{ij} u_j \end{aligned}$$

where \mathbf{K} is the stiffness matrix with respect to the coordinates \mathbf{u} . The stiffness matrix \mathbf{K} relates the elastic forces f_i to the collocated displacements, u_i .

$$\begin{aligned} f_1 &= K_{11}u_1 + \cdots + K_{1j}u_j + \cdots + K_{1N}u_N \\ f_i &= K_{i1}u_1 + \cdots + K_{ij}u_j + \cdots + K_{iN}u_N \\ f_N &= K_{N1}u_1 + \cdots + K_{Nj}u_j + \cdots + K_{NN}u_N \end{aligned}$$

A point force f_i acting on an elastic body is the gradient of the elastic potential energy U with respect to the collocated displacement u_i

$$f_i = \frac{\partial}{\partial u_i} U$$

The i, j term of the stiffness matrix may therefore be found from the potential energy function $U(\mathbf{u})$,

$$K_{ij} = \frac{\partial}{\partial u_i} \frac{\partial}{\partial u_j} U(\mathbf{u}) \quad (24)$$

1.5 Strain Energy and Stiffness in Linear Elastic Continua

$$U(\bar{\mathbf{u}}) = \frac{1}{2} \int_{\Omega} \boldsymbol{\sigma}(\mathbf{x}, t)^{\top} \boldsymbol{\epsilon}(\mathbf{x}, t) d\Omega \quad (25)$$

$$\begin{aligned} &= \frac{1}{2} \int_{\Omega} \boldsymbol{\epsilon}(\mathbf{x}, t)^{\top} \mathbf{S}_e(E, \nu) \boldsymbol{\epsilon}(\mathbf{x}, t) d\Omega \\ &= \frac{1}{2} \int_{\Omega} \bar{\mathbf{u}}(t)^{\top} \mathbf{B}(\mathbf{x})^{\top} \mathbf{S}_e(E, \nu) \mathbf{B}(\mathbf{x}) \bar{\mathbf{u}}(t) d\Omega \\ &= \frac{1}{2} \bar{\mathbf{u}}(t)^{\top} \int_{\Omega} [\mathbf{B}(\mathbf{x})^{\top} \mathbf{S}_e(E, \nu) \mathbf{B}(\mathbf{x})]_{N \times N} d\Omega \bar{\mathbf{u}}(t) \end{aligned} \quad (26)$$

Elastic element stiffness matrix

$$\begin{aligned} \bar{\mathbf{f}}_e = \frac{\partial U}{\partial \bar{\mathbf{u}}} &= \bar{\mathbf{K}}_e \bar{\mathbf{u}} \\ \bar{\mathbf{K}}_e &= \int_{\Omega} [\mathbf{B}(\mathbf{x})^{\top} \mathbf{S}_e(E, \nu) \mathbf{B}(\mathbf{x})]_{N \times N} d\Omega \end{aligned} \quad (27)$$

1.6 Kinetic Energy and Mass

The impulse-momentum relationship states that

$$\begin{aligned} \int f dt &= \delta(m\dot{u}) \\ f &= \frac{d}{dt}(m\dot{u}) \\ f &= \frac{d}{dt} \left(\frac{\partial}{\partial \dot{u}} \frac{1}{2} m \dot{u}^2 \right) \\ f &= \frac{d}{dt} \left(\frac{\partial}{\partial \dot{u}} T \right), \end{aligned}$$

where T is the kinetic energy, and is assumed to be independent of $u(t)$.

Consider a system comprising an assemblage of point masses, m_i , each with an individual velocity, v_i . The total kinetic energy in the assemblage is

$$T = \frac{1}{2} \sum_i m_i v_i^2$$

If displacements of the assemblage of masses are defined by a generalized coordinate vector \mathbf{u} , not necessarily equal to the velocity coordinates, above, then the kinetic energy may also be written

$$\begin{aligned} T(\dot{\mathbf{u}}) &= \frac{1}{2} \dot{\mathbf{u}}^{\top} \mathbf{M} \dot{\mathbf{u}} \\ &= \frac{1}{2} \sum_{i=1}^n \dot{u}_i \sum_{j=1}^n M_{ij} \dot{u}_j \end{aligned}$$

where \mathbf{M} is the constant mass matrix with respect to the generalized coordinates \mathbf{u} . The mass matrix \mathbf{M} relates the inertial forces f_i to the collocated accelerations, \ddot{u}_i .

$$\begin{aligned} f_1 &= M_{11}\ddot{u}_1 + \cdots + M_{1j}\ddot{u}_j + \cdots + M_{1N}\ddot{u}_N \\ f_i &= M_{i1}\ddot{u}_1 + \cdots + M_{ij}\ddot{u}_j + \cdots + M_{iN}\ddot{u}_N \\ f_N &= M_{N1}\ddot{u}_1 + \cdots + M_{Nj}\ddot{u}_j + \cdots + M_{NN}\ddot{u}_N \end{aligned}$$

The i, j term of the constant mass matrix may therefore be found from the kinetic energy function T ,

$$M_{ij} = \frac{\partial}{\partial \ddot{u}_i} \frac{\partial}{\partial t} \frac{\partial}{\partial \ddot{u}_j} T(\dot{\mathbf{u}}) = \frac{\partial}{\partial \dot{u}_i} \frac{\partial}{\partial \dot{u}_j} T(\dot{\mathbf{u}}) \quad (28)$$

1.7 Inertial Energy and Mass in Deforming Continua

$$\begin{aligned} T(\dot{\mathbf{u}}) &= \frac{1}{2} \int_{\Omega} \rho |\dot{\mathbf{u}}(\mathbf{x}, t)|^2 d\Omega \\ &= \frac{1}{2} \int_{\Omega} \rho \dot{\mathbf{u}}(\mathbf{x}, t)^{\top} \dot{\mathbf{u}}(\mathbf{x}, t) d\Omega \\ &= \frac{1}{2} \int_{\Omega} \rho \dot{\mathbf{u}}(t)^{\top} \boldsymbol{\Psi}(\mathbf{x})^{\top} \boldsymbol{\Psi}(\mathbf{x}) \dot{\mathbf{u}}(t) d\Omega \\ &= \frac{1}{2} \dot{\mathbf{u}}(t)^{\top} \int_{\Omega} \rho [\boldsymbol{\Psi}(\mathbf{x})^{\top} \boldsymbol{\Psi}(\mathbf{x})]_{N \times N} d\Omega \dot{\mathbf{u}}(t) \end{aligned} \quad (29) \quad (30)$$

Consistent mass matrix

$$\frac{\partial T}{\partial \dot{\mathbf{u}}} = \int_{\Omega} \rho [\boldsymbol{\Psi}(\mathbf{x})^{\top} \boldsymbol{\Psi}(\mathbf{x})]_{N \times N} d\Omega \dot{\mathbf{u}}(t) \quad (31)$$

$$\bar{\mathbf{f}}_i = \frac{d}{dt} \left(\frac{\partial T}{\partial \dot{\mathbf{u}}} \right) = \int_{\Omega} \rho [\boldsymbol{\Psi}(\mathbf{x})^{\top} \boldsymbol{\Psi}(\mathbf{x})]_{N \times N} d\Omega \ddot{\mathbf{u}}(t) \quad (32)$$

$$\bar{\mathbf{M}} = \int_{\Omega} \rho [\boldsymbol{\Psi}(\mathbf{x})^{\top} \boldsymbol{\Psi}(\mathbf{x})]_{N \times N} d\Omega \quad (33)$$

2 Bar Element Matrices

2D prismatic homogeneous isotropic truss bar.

$$\begin{aligned}
 \text{Uniform uni-axial stress} \quad & \boldsymbol{\sigma}^\top = \{\sigma_{xx}, 0, 0, 0, 0, 0\}^\top \\
 \text{Corresponding uni-axial strain} \quad & \boldsymbol{\epsilon}^\top = (\sigma_{xx}/E) \{1, -\nu, -\nu, 0, 0, 0\}^\top. \\
 \text{Incremental strain energy} \quad & dU = \frac{1}{2} \boldsymbol{\sigma}^\top \boldsymbol{\epsilon} \, d\Omega = \frac{1}{2} \sigma_{xx} \epsilon_{xx} \, d\Omega = \frac{1}{2} E \epsilon_{xx}^2 \, d\Omega
 \end{aligned}$$

2.1 Bar Displacements

Figure 3. Truss bar element coordinates and displacements.

$$u_x(x, t) = \left(1 - \frac{x}{L}\right) \bar{u}_1(t) + \left(\frac{x}{L}\right) \bar{u}_3(t) \quad (34)$$

$$= \psi_{x1}(x) \bar{u}_1(t) + \psi_{x3}(x) \bar{u}_3(t) \quad (35)$$

$$u_y(x, t) = \left(1 - \frac{x}{L}\right) \bar{u}_2(t) + \left(\frac{x}{L}\right) \bar{u}_4(t) \quad (36)$$

$$= \psi_{y2}(x) \bar{u}_2(t) + \psi_{y4}(x) \bar{u}_4(t) \quad (37)$$

$$\boldsymbol{\Psi}(x) = \left[\begin{array}{c|c|c|c} 1 - \frac{x}{L} & 0 & \frac{x}{L} & 0 \\ \hline 0 & 1 - \frac{x}{L} & 0 & \frac{x}{L} \end{array} \right] \quad (38)$$

$$\begin{bmatrix} u_x(x, t) \\ u_y(x, t) \end{bmatrix} = \boldsymbol{\Psi}(x) \bar{\mathbf{u}}(t) \quad (39)$$

2.2 Bar Strain Energy and Elastic Stiffness Matrix

Strain-displacement relation

$$\epsilon_{xx} = \frac{\partial u_x}{\partial x} + \frac{1}{2} \left(\frac{\partial u_y}{\partial x} \right)^2 \quad (40)$$

$$= \psi_{x1,x} \bar{u}_1 + \psi_{x3,x} \bar{u}_3 + \frac{1}{2} \{ \psi_{y2,x} \bar{u}_2 + \psi_{y4,x} \bar{u}_4 \}^2 \quad (41)$$

$$= \left(-\frac{1}{L} \right) \bar{u}_1 + \left(\frac{1}{L} \right) \bar{u}_3 + \frac{1}{2} \left\{ \left(-\frac{1}{L} \right) \bar{u}_2 + \left(\frac{1}{L} \right) \bar{u}_4 \right\}^2 \quad (42)$$

$$= \begin{bmatrix} -\frac{1}{L} & 0 & \frac{1}{L} & 0 \end{bmatrix} \bar{\mathbf{u}} + \frac{1}{2} \left\{ \left(-\frac{1}{L} \right) \bar{u}_2 + \left(\frac{1}{L} \right) \bar{u}_4 \right\}^2 \quad (43)$$

$$= \mathbf{B} \bar{\mathbf{u}} + \frac{1}{2} \left\{ \left(-\frac{1}{L} \right) \bar{u}_2 + \left(\frac{1}{L} \right) \bar{u}_4 \right\}^2 \quad (44)$$

$$\mathbf{B} = \begin{bmatrix} -\frac{1}{L} & 0 & \frac{1}{L} & 0 \end{bmatrix}. \quad (45)$$

Strain energy and elastic stiffness

$$U = \frac{1}{2} \int_{\Omega} \epsilon_{xx} E \epsilon_{xx} d\Omega \quad (46)$$

$$\bar{\mathbf{K}}_e = \int_{x=0}^L \left[\mathbf{B}^T E \mathbf{B} \right] A dx \quad (47)$$

$$= EA \int_{x=0}^L \begin{bmatrix} 1/L^2 & 0 & -1/L^2 & 0 \\ 0 & 0 & 0 & 0 \\ -1/L^2 & 0 & 1/L^2 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} dx \quad (48)$$

$$= \frac{EA}{L} \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \quad (49)$$

2.3 Bar Kinetic Energy and Mass Matrix

$$T = \frac{1}{2} \dot{\mathbf{u}}^T \int_{\Omega} \rho [\Psi(x)^T \Psi(x)]_{N \times N} d\Omega \dot{\mathbf{u}}(t) \quad (50)$$

$$\bar{\mathbf{M}} = \int_{x=0}^L \rho [\Psi(x)^T \Psi(x)] A dx \quad (51)$$

$$= \rho A \int_{x=0}^L \begin{bmatrix} (1 - \frac{x}{L})^2 & 0 & (1 - \frac{x}{L})(\frac{x}{L}) & 0 \\ 0 & (1 - \frac{x}{L})^2 & 0 & (1 - \frac{x}{L})(\frac{x}{L}) \\ (\frac{x}{L})(1 - \frac{x}{L}) & 0 & (\frac{x}{L})^2 & 0 \\ 0 & (\frac{x}{L})(1 - \frac{x}{L}) & 0 & (\frac{x}{L})^2 \end{bmatrix} dx \quad (52)$$

$$= \frac{1}{6} \rho A L \begin{bmatrix} 2 & 0 & 1 & 0 \\ 0 & 2 & 0 & 1 \\ 1 & 0 & 2 & 0 \\ 0 & 1 & 0 & 2 \end{bmatrix} \quad (53)$$

2.4 Bar Stiffness Matrix with Geometric Strain Effects

$$U = \frac{1}{2} \int_0^L \epsilon_{xx} E \epsilon_{xx} A dx \quad (54)$$

$$= \frac{EA}{2} \int_0^L \left(\frac{\partial u_x}{\partial x} + \frac{1}{2} \left(\frac{\partial u_y}{\partial x} \right)^2 \right)^2 dx \quad (55)$$

$$= \frac{EA}{2} \int_0^L \left(\left(\frac{\partial u_x}{\partial x} \right)^2 + \frac{\partial u_x}{\partial x} \left(\frac{\partial u_y}{\partial x} \right)^2 + \frac{1}{4} \left(\frac{\partial u_y}{\partial x} \right)^4 \right) dx \quad (56)$$

Substitute

$$\frac{\partial u_x}{\partial x} = -\frac{1}{L} \bar{u}_1 + \frac{1}{L} \bar{u}_3 \quad (57)$$

$$\frac{\partial u_y}{\partial x} = -\frac{1}{L} \bar{u}_2 + \frac{1}{L} \bar{u}_4 \quad (58)$$

to obtain

$$U = \frac{EA}{2L} \left((\bar{u}_3 - \bar{u}_1)^2 + \frac{1}{L} (\bar{u}_3 - \bar{u}_1)(\bar{u}_4 - \bar{u}_2)^2 \right) \quad (59)$$

So,

$$\frac{\partial U}{\partial \bar{\mathbf{u}}} = \frac{EA}{L} \begin{bmatrix} 1 & 0 & -1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{bmatrix} \begin{bmatrix} \bar{u}_1 \\ \bar{u}_2 \\ \bar{u}_3 \\ \bar{u}_4 \end{bmatrix} + \frac{EA(\bar{u}_3 - \bar{u}_1)}{L^2} \begin{bmatrix} 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & -1 & 0 & 1 \end{bmatrix} \begin{bmatrix} \bar{u}_1 \\ \bar{u}_2 \\ \bar{u}_3 \\ \bar{u}_4 \end{bmatrix} \quad (60)$$

$$= \bar{\mathbf{K}}_e \bar{\mathbf{u}} + \frac{N}{L} \bar{\mathbf{K}}_g \bar{\mathbf{u}} \quad (61)$$

3 Bernoulli-Euler Beam Element Matrices

2D prismatic homogeneous isotropic beam element, neglecting shear deformation and rotatory inertia.

3.1 Bernoulli-Euler Beam Coordinates and Internal Displacements

Consider the geometry of a deformed beam. The functions $u_x(x)$ and $u_y(x)$ describe the translation of points along the neutral axis of the beam as a function of the location along the un-stretched neutral axis.

Figure 4. Beam element coordinates and displacements.

We will describe the deformation of the beam as a function of the end displacements $(\bar{u}_1, \bar{u}_2, \bar{u}_4, \bar{u}_5)$ and the end rotations (\bar{u}_3, \bar{u}_6) . In a dynamic context, these end displacements will change with time.

$$\begin{aligned} u_x(x, t) &= \sum_{n=1}^6 \psi_{xn}(x) \bar{u}_n(t) \\ u_y(x, t) &= \sum_{n=1}^6 \psi_{yn}(x) \bar{u}_n(t) \end{aligned}$$

The functions $\psi_{xn}(x)$ and $\psi_{yn}(x)$ satisfy the boundary conditions at the end of the beam and the differential equation describing bending of a Bernoulli-Euler beam loaded statically at the nodal coordinates. In such beams the effects of shear deformation and rotatory inertia are neglected. For extension of the neutral axis,

$$\begin{aligned} \psi_{x1}(x) &= 1 - \frac{x}{L} \\ \psi_{x4}(x) &= \frac{x}{L} \end{aligned}$$

and $\psi_{x2} = \psi_{x3} = \psi_{x5} = \psi_{x6} = 0$ along the neutral axis. For bending of the neutral axis,

$$\begin{aligned}\psi_{y2}(x) &= 1 - 3\left(\frac{x}{L}\right)^2 + 2\left(\frac{x}{L}\right)^3 \\ \psi_{y3}(x) &= \left(\frac{x}{L} - 2\left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3\right)L \\ \psi_{y5}(x) &= 3\left(\frac{x}{L}\right)^2 - 2\left(\frac{x}{L}\right)^3 \\ \psi_{y6}(x) &= \left(-\left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3\right)L\end{aligned}$$

and $\psi_{y1} = \psi_{y4} = 0$.

$$\Psi(x) = \left[\begin{array}{c|c|c|c|c|c} 1 - \frac{x}{L} & 0 & 0 & \frac{x}{L} & 0 & 0 \\ 0 & 1 - 3\left(\frac{x}{L}\right)^2 + 2\left(\frac{x}{L}\right)^3 & \left(\frac{x}{L} - 2\left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3\right)L & 0 & 3\left(\frac{x}{L}\right)^2 - 2\left(\frac{x}{L}\right)^3 & \left(-\left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3\right)L \end{array} \right] \quad (62)$$

$$\begin{bmatrix} u_x(x, t) \\ u_y(x, t) \end{bmatrix} = \Psi(x) \bar{\mathbf{u}}(t) \quad (63)$$

These expressions are analytical solutions for the displacements of Bernoulli-Euler beams loaded only with concentrated point loads and concentrated point moments at their ends. Internal bending moments are linear within beams loaded only at their ends, and the beam displacements may be expressed with cubic polynomials.

3.2 Bernoulli-Euler Beam Strain Energy and Elastic Stiffness Matrix

In extension, the elastic potential energy in a beam is the strain energy related to the uniform extensional strain, ϵ_{xx} . If the strain is small, then the extensional strain within the cross section is equal to an extension of the neutral axis, $(\partial u_x / \partial x)$, plus the bending strain, $-(\partial^2 u_y / \partial x^2)y$.

$$\begin{aligned}\epsilon_{xx} &= \frac{\partial u_x}{\partial x} - \frac{\partial^2 u_y}{\partial x^2} y \\ &= \sum_{n=1}^6 \frac{\partial}{\partial x} \psi_{xn}(x) \bar{u}_n - \sum_{n=1}^6 \frac{\partial^2}{\partial x^2} \psi_{yn}(x) y \bar{u}_n\end{aligned} \quad (64)$$

$$\begin{aligned}&= \sum_{n=1}^6 \psi'_{xn}(x) \bar{u}_n - \sum_{n=1}^6 \psi''_{yn}(x) y \bar{u}_n \\ &= \sum_{n=1}^6 B_n(x, y) \bar{u}_n \\ &= \mathbf{B}(x, y) \bar{\mathbf{u}}\end{aligned} \quad (65)$$

where

$$\mathbf{B}(x, y) = \left[-\frac{1}{L}, \frac{6y}{L^2} - \frac{12xy}{L^3}, \frac{4y}{L} - \frac{6xy}{L^3}, \frac{1}{L}, \frac{-6y}{L^2} + \frac{12xy}{L^3}, \frac{2y}{L} - \frac{6xy}{L^3} \right]. \quad (66)$$

The elastic stiffness matrix can be found directly from the strain energy of axial strains ϵ_{xx} .

$$U = \frac{1}{2} \int_{\Omega} \epsilon_{xx} E \epsilon_{xx} d\Omega \quad (67)$$

$$\bar{\mathbf{K}}_e = \int_{x=0}^L \int_A [\mathbf{B}(x, y)^T E \mathbf{B}(x, y)] dA dx. \quad (68)$$

Note that this integral involves terms such as $\int_A y^2 dA$ and $\int_A y dA$ in which the origin of the coordinate axis is placed at the centroid of the section. The integral $\int_A y^2 dA$ is the bending moment of inertia for the cross section, I , and the integral $\int_A y dA$ is zero.

It is also important to recognize that the elastic strain energy may be evaluated separately for extension effects and bending effects. For extension, the elastic strain energy is

$$\begin{aligned} U &= \frac{1}{2} \int_{x=0}^L EA (\epsilon_{xx})^2 dx \\ &= \frac{1}{2} \int_{x=0}^L EA \left(\sum_{n=1}^6 \psi'_{xn}(x) \bar{u}_n \right)^2 dx \end{aligned}$$

and the ij stiffness coefficient (for indices 1 and 4) is

$$\begin{aligned} \bar{K}_{ij} &= \frac{\partial}{\partial \bar{u}_i} \frac{\partial}{\partial \bar{u}_j} \frac{1}{2} \int_{x=0}^L EA \left(\sum_{n=1}^6 \psi'_{xn}(x) \bar{u}_n \right)^2 dx \\ &= \int_{x=0}^L EA \psi'_{xi}(x) \psi'_{xj}(x) dx. \end{aligned} \quad (69)$$

In bending, the elastic potential energy in a Bernoulli-Euler beam is the strain energy related to the curvature, κ_z .

$$\kappa_z = \frac{\partial^2 u_y}{\partial x^2} = \sum_{n=1}^6 \frac{\partial^2}{\partial x^2} \psi_{yn}(x) \bar{u}_n = \sum_{n=1}^6 \psi''_{yn}(x) \bar{u}_n$$

The elastic strain energy for pure bending is

$$\begin{aligned} U &= \frac{1}{2} \int_{x=0}^L EI (\kappa_z)^2 dx \\ &= \frac{1}{2} \int_{x=0}^L EI \left(\sum_{n=1}^6 \psi''_{yn}(x) \bar{u}_n \right)^2 dx \end{aligned}$$

and the ij stiffness coefficient (for indices 2,3,5 and 6) is

$$\begin{aligned} \bar{K}_{ij} &= \frac{\partial}{\partial \bar{u}_i} \frac{\partial}{\partial \bar{u}_j} \frac{1}{2} \int_{x=0}^L EI \left(\sum_{n=1}^6 \psi''_{yn}(x) \bar{u}_n \right)^2 dx \\ &= \int_{x=0}^L EI \psi''_{yi}(x) \psi''_{yj}(x) dx. \end{aligned} \quad (70)$$

3.3 Bernoulli-Euler Beam Kinetic Energy and Mass Matrix

The kinetic energy of a particle within a beam is half the mass of the particle, $\rho A dx$, times its velocity, \dot{u} , squared. For velocities along the direction of the neutral axis,

$$\dot{u}_x(x) = \sum_{n=1}^6 \psi_{xn}(x) \dot{u}_n ,$$

The kinetic energy function and the mass matrix may be by substituting equation (62) into equations (30) and (33).

$$T = \frac{1}{2} \dot{\mathbf{u}}^T \int_{\Omega} \rho \left[\mathbf{\Psi}(x)^T \mathbf{\Psi}(x) \right]_{N \times N} d\Omega \dot{\mathbf{u}}(t) \quad (71)$$

$$\bar{\mathbf{M}} = \int_{x=0}^L \rho \left[\mathbf{\Psi}(x)^T \mathbf{\Psi}(x) \right] A dx \quad (72)$$

It is important to recognize that kinetic energy and mass associated with extensional velocities may be determined separately from those associated with transverse velocities. The kinetic energy for extension of the neutral axis is

$$\begin{aligned} T &= \frac{1}{2} \int_{x=0}^L \rho A (\dot{u}_x)^2 dx \\ &= \frac{1}{2} \int_{x=0}^L \rho A \left(\sum_{n=1}^6 \psi_{xn}(x) \dot{u}_n \right)^2 dx \end{aligned}$$

and the ij mass coefficient (for indices 1 and 4) is

$$\begin{aligned} \bar{M}_{ij} &= \frac{\partial}{\partial \dot{u}_i} \frac{\partial}{\partial \dot{u}_j} \frac{1}{2} \int_{x=0}^L \rho A \left(\sum_{n=1}^6 \psi_{xn}(x) \dot{u}_n \right)^2 dx \\ &= \int_{x=0}^L \rho A \psi_{xi}(x) \psi_{xj}(x) dx. \end{aligned} \quad (73)$$

For velocities transverse to the neutral axis,

$$\dot{u}_y(x) = \sum_{n=1}^6 \psi_{yn}(x) \dot{u}_n ,$$

the kinetic energy for velocity across the neutral axis is

$$\begin{aligned} T &= \frac{1}{2} \int_{x=0}^L \rho A (\dot{u}_y)^2 dx \\ &= \frac{1}{2} \int_{x=0}^L \rho A \left(\sum_{n=1}^6 \psi_{yn}(x) \dot{u}_n \right)^2 dx \end{aligned}$$

and the ij mass coefficient (for indices 2,3,5 and 6) is

$$\begin{aligned} \bar{M}_{ij} &= \frac{\partial}{\partial \dot{u}_i} \frac{\partial}{\partial \dot{u}_j} \frac{1}{2} \int_{x=0}^L \rho A \left(\sum_{n=1}^6 \psi_{yn}(x) \dot{u}_n \right)^2 dx \\ &= \int_{x=0}^L \rho A \psi_{yi}(x) \psi_{yj}(x) dx. \end{aligned} \quad (74)$$

3.4 Bernoulli-Euler Stiffness Matrix with Geometric Strain Effects

The axial strain in a Bernoulli-Euler beam including the geometric strain is

$$\epsilon_{xx} = \frac{\partial u_x}{\partial x} - \frac{\partial^2 u_y}{\partial x^2} y + \frac{1}{2} \left(\frac{\partial u_y}{\partial x} \right)^2 \quad (75)$$

The potential energy with geometric strain effects is

$$U = \frac{1}{2} \int_{x=0}^L \int_A \epsilon_{xx} E \epsilon_{xx} dA dx \quad (76)$$

$$= \frac{1}{2} \int_0^L E \int_A \left(\frac{\partial u_x}{\partial x} - \frac{\partial^2 u_y}{\partial x^2} y + \frac{1}{2} \left(\frac{\partial u_y}{\partial x} \right)^2 \right)^2 dx \quad (77)$$

$$= \frac{1}{2} \int_0^L E \int_A \left(u_{x,x}^2 - 2u_{x,x}u_{y,xx}y + u_{x,x}u_{y,x}^2 + u_{y,xx}^2y^2 - u_{y,xx}u_{y,x}^2y + \frac{1}{4}u_{y,x}^4 \right) dA dx \quad (78)$$

Note that $\int_A y dA = 0$ and $\int_A y^2 dA = I$ and neglect $u_{y,x}^4$ so that

$$U = \frac{1}{2} \int_0^L EA (u_{x,x}^2) dx + \frac{1}{2} \int_0^L EI (u_{y,xx}^2) dx + \int_0^L EA (u_{x,x}u_{y,x}^2) dx . \quad (79)$$

Substitute

$$u_{y,x} = \sum_{n=1}^6 \psi'_{yn}(x) \bar{u}_n \quad (80)$$

$$u_{y,xx} = \sum_{n=1}^6 \psi''_{yn}(x) \bar{u}_n \quad (81)$$

$$u_{x,x} = \sum_{n=1}^6 \psi'_{xn}(x) \bar{u}_n = \frac{N}{EA} \quad (82)$$

and differentiate with respect to \bar{u}_i and \bar{u}_j to obtain,

$$\bar{K}_{ij} = EA \int_0^L \psi'_{xi} \psi'_{xj} dx + EI \int_0^L \psi''_{yi}(x) \psi''_{yj}(x) dx + N \int_0^L \psi'_{yi}(x) \psi'_{yj}(x) dx \quad (83)$$

so that,

$$\bar{\mathbf{K}} = \bar{\mathbf{K}}_e + \frac{N}{L} \bar{\mathbf{K}}_g \quad (84)$$

3.5 Bernoulli-Euler Beam Element Stiffness and Mass Matrices

For prismatic homogeneous isotropic beams, substituting the expressions for the functions ψ_{xn} and ψ_{yn} into equations (69) - (74), or substituting equation (66) into equation (68) and (62) to equation (72) results in element stiffness matrices $\bar{\mathbf{K}}_e$, $\bar{\mathbf{M}}$, and $\bar{\mathbf{K}}_g$.

$$\bar{\mathbf{K}}_e = \begin{bmatrix} \frac{EA}{L} & 0 & 0 & -\frac{EA}{L} & 0 & 0 \\ & \frac{12EI}{L^3} & \frac{6EI}{L^2} & 0 & -\frac{12EI}{L^3} & \frac{6EI}{L^2} \\ & & \frac{4EI}{L} & 0 & -\frac{6EI}{L^2} & \frac{2EI}{L} \\ & & & \frac{EA}{L} & 0 & 0 \\ \text{SYM} & & & & \frac{12EI}{L^3} & -\frac{6EI}{L^2} \\ & & & & & \frac{4EI}{L} \end{bmatrix} \quad (85)$$

$$\bar{\mathbf{M}} = \frac{\rho AL}{420} \begin{bmatrix} 140 & 0 & 0 & 70 & 0 & 0 \\ & 156 & 22L & 0 & 54 & -13L \\ & & 4L^2 & 0 & 13L & -3L^2 \\ & & & 140 & 0 & 0 \\ \text{SYM} & & & & 156 & -22L \\ & & & & & 4L^2 \end{bmatrix} \quad (86)$$

$$\bar{\mathbf{K}}_g = \frac{N}{L} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ & \frac{6}{5} & \frac{L}{10} & 0 & -\frac{6}{5} & \frac{L}{10} \\ & & \frac{2L^2}{15} & 0 & -\frac{L}{10} & -\frac{L^2}{30} \\ & & & 0 & 0 & 0 \\ \text{SYM} & & & & \frac{6}{5} & -\frac{L}{10} \\ & & & & & -\frac{2L^2}{15} \end{bmatrix} \quad (87)$$

4 Timoshenko Beam Element Matrices

2D prismatic homogeneous isotropic beam element, including shear deformation and rotatory inertia

Consider again the geometry of a deformed beam. When shear deformations are included sections that are originally perpendicular to the neutral axis may not be perpendicular to the neutral axis after deformation. The functions $u_x(x)$ and $u_y(x)$ describe the translation of

Figure 5. Deformation of beam element including shear deformation.

points along the neutral axis of the beam as a function of the location along the un-stretched neutral axis. If the beam is not slender (length/depth < 5), then shear strains will contribute significantly to the strain energy within the beam. The deformed shape of slender beams is different from the deformed shape of stocky beams.

The beam carries a bending moment $M(x)$ related to axial strain ϵ_{xx} and a shear force, S related to shear strain γ_{xy} . The potential energy has a bending strain component and a shear strain component.

$$\begin{aligned}
 U &= \frac{1}{2} \int_{\Omega} \boldsymbol{\sigma}^T \boldsymbol{\epsilon} \, d\Omega \\
 &= \frac{1}{2} \int_{\Omega} \sigma_{xx} \epsilon_{xx} \, d\Omega + \frac{1}{2} \int_{\Omega} \tau_{xy} \gamma_{xy} \, d\Omega \\
 &= \frac{1}{2} \int_0^L \int_A \frac{M(x)y}{I} \frac{M(x)y}{EI} \, dA \, dx + \frac{1}{2} \int_0^L \int_A \frac{SQ(y)}{Ib(y)} \frac{SQ(y)}{GIb(y)} \, dA \, dx \\
 &= \frac{1}{2} \int_0^L \frac{M(x)^2}{EI^2} \int_A y^2 \, dA \, dx + \frac{1}{2} \int_0^L \frac{S^2}{GI^2} \int_A \frac{Q(y)^2}{b(y)^2} \, dA \, dx \\
 &= \frac{1}{2} \int_0^L \frac{M(x)^2}{EI} \, dx + \frac{1}{2} \int_0^L \frac{S^2}{G(A/\alpha)} \, dx
 \end{aligned} \tag{88}$$

where the *shear area coefficient* α reduces the cross section area to account for the non-uniform distribution of shear stresses in the cross section,

$$\alpha = \frac{A}{I^2} \int_A \frac{Q(y)^2}{b(y)^2} \, dA .$$

For solid rectangular sections $\alpha = 6/5$ and for solid circular sections $\alpha = 10/9$ [2, 3, 4, 5, 8].

4.1 Timoshenko Beam Coordinates and Internal Displacements (including shear deformation effects)

The transverse deformation of a beam with shear and bending strains may be separated into a portion related to shear deformation and a portion related to bending deformation,

$$u_y(x, t) = u_{(b)y}(x) + u_{(s)y}(x) \quad (89)$$

where

$$EIu''_{(b)y}(x) = M(x) = -M_1 \left(1 - \frac{x}{L}\right) + M_2 \left(\frac{x}{L}\right) \quad (90)$$

$$G(A/\alpha)u'_{(s)y}(x) = S(x) = \frac{1}{L}(M_1 + M_2) \quad (91)$$

It can be shown that the following shape functions satisfy the Timoshenko beam equations (equations (89), (90) and (91)) for transverse displacements.

$$\begin{aligned} \psi_{y2}(x) &= \frac{1}{1 + \Phi} \left[1 - 3 \left(\frac{x}{L}\right)^2 + 2 \left(\frac{x}{L}\right)^3 + \left(1 - \frac{x}{L}\right) \Phi \right] \\ \psi_{y3}(x) &= \frac{L}{1 + \Phi} \left[\frac{x}{L} - 2 \left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3 + \frac{1}{2} \left(\frac{x}{L} - \left(\frac{x}{L}\right)^2\right) \Phi \right] \\ \psi_{y5}(x) &= \frac{1}{1 + \Phi} \left[3 \left(\frac{x}{L}\right)^2 - 2 \left(\frac{x}{L}\right)^3 + \frac{x}{L} \Phi \right] \\ \psi_{y6}(x) &= \frac{L}{1 + \Phi} \left[-\left(\frac{x}{L}\right)^2 + \left(\frac{x}{L}\right)^3 - \frac{1}{2} \left(\frac{x}{L} - \left(\frac{x}{L}\right)^2\right) \Phi \right] \end{aligned}$$

The term Φ gives the relative importance of the shear deformations to the bending deformations,

$$\Phi = \frac{12EI}{G(A/\alpha)L^2} = 24\alpha(1 + \nu) \left(\frac{r}{L}\right)^2, \quad (92)$$

where r is the “radius of gyration” of the cross section, $r = \sqrt{I/A}$, ν is Poisson’s ratio. Shear deformation effects are significant for beams which have a length-to-depth ratio less than 5. To neglect shear deformation, set $\Phi = 0$. These displacement functions are exact for frame elements with constant shear forces S and linearly varying bending moment distributions, $M(x)$, in which the strain energy has both a shear stress component and a normal stress component,

$$U = \frac{1}{2} \int_0^L EI \left(\sum_{n=1}^6 \psi''_{(b)yn}(x) \bar{u}_n \right)^2 dx + \frac{1}{2} \int_0^L G(A/\alpha) \left(\sum_{n=1}^6 \psi'_{(s)yn}(x) \bar{u}_n \right)^2 dx \quad (93)$$

where the bending and shear components of the shape functions, $\psi_{(b)yn}(x)$ and $\psi_{(s)yn}(x)$ are:

$$\begin{aligned}
\psi_{(b)y2}(x) &= \frac{1}{1+\Phi} \left[1 - 3 \left(\frac{x}{L} \right)^2 + 2 \left(\frac{x}{L} \right)^3 \right] \\
\psi_{(s)y2}(x) &= \frac{\Phi}{1+\Phi} \left[1 - \frac{x}{L} \right] \\
\psi_{(b)y3}(x) &= \frac{L}{1+\Phi} \left[\frac{x}{L} - 2 \left(\frac{x}{L} \right)^2 + \left(\frac{x}{L} \right)^3 + \frac{1}{2} \left(2 \frac{x}{L} - \left(\frac{x}{L} \right)^2 \right) \Phi \right] \\
\psi_{(s)y3}(x) &= -\frac{L\Phi}{1+\Phi} \left[\frac{1}{2} \frac{x}{L} \right] \\
\psi_{(b)y5}(x) &= \frac{1}{1+\Phi} \left[3 \left(\frac{x}{L} \right)^2 - 2 \left(\frac{x}{L} \right)^3 \right] \\
\psi_{(s)y5}(x) &= \frac{\Phi}{1+\Phi} \left[\frac{x}{L} \right] \\
\psi_{(b)y6}(x) &= \frac{L}{1+\Phi} \left[-\left(\frac{x}{L} \right)^2 + \left(\frac{x}{L} \right)^3 + \frac{1}{2} \left(\left(\frac{x}{L} \right)^2 \right) \Phi \right] \\
\psi_{(s)y6}(x) &= -\frac{L}{1+\Phi} \left[\frac{1}{2} \frac{x}{L} \Phi \right]
\end{aligned}$$

4.2 Timoshenko Beam Element Stiffness Matrices

The geometric stiffness matrix for a Timoshenko beam element may be derived as was done with the Bernoulli-Euler beam element from the potential energy of linear and geometric strain,

$$\begin{aligned}
\bar{K}_{ij} = & EA \int_0^L \psi'_{xi}(x) \psi'_{xj}(x) dx \\
& + EI \int_0^L \psi''_{(b)yi}(x) \psi''_{(b)yj}(x) dx \\
& + G(A/\alpha) \int_0^L \psi'_{(s)yi}(x) \psi'_{(s)yj}(x) dx \\
& + N \int_0^L \psi'_{yi}(x) \psi'_{yj}(x) dx
\end{aligned} \tag{94}$$

where the displacement shape functions $\psi(x)$ are provided in section 4.1.

4.3 Timoshenko Beam Element Stiffness and Mass Matrices, (including shear deformation effects but not rotatory inertia)

For prismatic homogeneous isotropic beams, substituting the previous expressions for the functions $\psi_{xn}(x)$ and $\psi_{(b)yn}(x)$, and $\psi_{(s)yn}(x)$ into equation (94) and (72), results in the Timoshenko element elastic stiffness matrices $\bar{\mathbf{K}}_e$, mass matrix $\bar{\mathbf{M}}$, and geometric stiffness matrix $\bar{\mathbf{K}}_g$

$$\bar{\mathbf{K}}_e = \begin{bmatrix} \frac{EA}{L} & 0 & 0 & -\frac{EA}{L} & 0 & 0 \\ & \frac{12}{1+\Phi} \frac{EI}{L^3} & \frac{6}{1+\Phi} \frac{EI}{L^2} & 0 & -\frac{12}{1+\Phi} \frac{EI}{L^3} & \frac{6}{1+\Phi} \frac{EI}{L^2} \\ & & \frac{4+\Phi}{1+\Phi} \frac{EI}{L} & 0 & -\frac{6}{1+\Phi} \frac{EI}{L^2} & \frac{2-\Phi}{1+\Phi} \frac{EI}{L} \\ & & & \frac{EA}{L} & 0 & 0 \\ \text{SYM} & & & & \frac{12}{1+\Phi} \frac{EI}{L^3} & -\frac{6}{1+\Phi} \frac{EI}{L^2} \\ & & & & & \frac{4+\Phi}{1+\Phi} \frac{EI}{L} \end{bmatrix} \quad (95)$$

$$\bar{\mathbf{M}} = \frac{\rho AL}{840} \begin{bmatrix} 280 & 0 & 0 & 140 & 0 & 0 \\ & 312 + 588\Phi + 280\Phi^2 & (44 + 77\Phi + 35\Phi^2)L & 0 & 108 + 252\Phi + 175\Phi^2 & -(26 + 63\Phi + 35\Phi^2)L \\ & & (8 + 14\Phi + 7\Phi^2)L^2 & 0 & (26 + 63\Phi + 35\Phi^2)L & -(6 + 14\Phi + 7\Phi^2)L^2 \\ & \text{SYM} & & 280 & 0 & 0 \\ & & & & 312 + 588\Phi + 280\Phi^2 & -(44 + 77\Phi + 35\Phi^2)L \\ & & & & & (8 + 14\Phi + 7\Phi^2)L^2 \end{bmatrix} \quad (96)$$

$$\bar{\mathbf{K}}_g = \frac{N}{L} \begin{bmatrix} 0 & 0 & 0 & 0 & 0 & 0 \\ & \frac{6/5 + 2\Phi + \Phi^2}{(1+\Phi)^2} & \frac{L/10}{(1+\Phi)^2} & 0 & \frac{-6/5 - 2\Phi - \Phi^2}{(1+\Phi)^2} & \frac{L/10}{(1+\Phi)^2} \\ & & \frac{2L^2/15 + L^2\Phi/6 + L^2\Phi^2/12}{(1+\Phi)^2} & 0 & \frac{-L/10}{(1+\Phi)^2} & \frac{-L^2/30 - L^2\Phi/6 - L^2\Phi^2/12}{(1+\Phi)^2} \\ & & & 0 & 0 & 0 \\ \text{SYM} & & & & \frac{6/5 + 2\Phi + \Phi^2}{(1+\Phi)^2} & \frac{-L/10}{(1+\Phi)^2} \\ & & & & & \frac{2L^2/15 + L^2\Phi/6 + L^2\Phi^2/12}{(1+\Phi)^2} \end{bmatrix} \quad (97)$$

4.4 Timoshenko Beam Element Mass Matrix (including rotatory inertia but not shear deformation effects)

Consider again the geometry of a deformed beam with linearly-varying axial beam displacements outside of the neutral axis. The functions $u_x(x, y)$ and $u_y(x, y)$ now describe the

Figure 6. Deformation of beam element showing axial-direction displacements $u_x(x, y, t)$ outside the neutral axis.

translation of points anywhere within the beam, as a function of the location within the beam. We will again describe these displacements in terms of a set of shape functions, $\psi_{xn}(x, y)$ and $\psi_{yn}(x)$, and the end displacements $\bar{u}_1, \dots, \bar{u}_6$.

$$u_x(x, y, t) = \sum_{n=1}^6 \psi_{xn}(x, y) \bar{u}_n(t)$$

$$u_y(x, t) = \sum_{n=1}^6 \psi_{yn}(x) \bar{u}_n(t)$$

The shape functions for transverse displacements $\psi_{yn}(x)$ are the same as the shape functions $\psi_{yn}(x)$ used previously. The shape functions for axial displacements along the neutral axis, $\psi_{x1}(x, y)$ and $\psi_{x4}(x, y)$ are also the same as the shape functions $\psi_{x1}(x)$ and $\psi_{x4}(x)$ used previously. To account for axial displacements outside of the neutral axis, four new shape functions are derived from the assumption that plane sections remain plane, $u_x(x, y) = -u'_{(b)y}(x)y$.

$$\begin{aligned} \psi_{x2}(x, y) &= -\psi'_{y2} y = 6 \left(\frac{x}{L} - \left(\frac{x}{L} \right)^2 \right) \frac{y}{L} \\ \psi_{x3}(x, y) &= -\psi'_{y3} y = \left(-1 + 4 \frac{x}{L} - 3 \left(\frac{x}{L} \right)^2 \right) y \\ \psi_{x5}(x, y) &= -\psi'_{y5} y = 6 \left(-\frac{x}{L} + \left(\frac{x}{L} \right)^2 \right) \frac{y}{L} \\ \psi_{x6}(x, y) &= -\psi'_{y6} y = \left(2 \frac{x}{L} - 3 \left(\frac{x}{L} \right)^2 \right) y \end{aligned}$$

Because ψ_{yn} , ψ_{x1} and ψ_{x4} are unchanged, the stiffness matrix is also unchanged. The kinetic energy of the beam, including axial and transverse effects is now,

$$T = \frac{1}{2} \int_{x=0}^L \int_{y=-h/2}^{h/2} \rho b(y) \left(\sum_{n=1}^6 \psi_{xn}(x, y) \dot{u}_n \right)^2 dy dx + \frac{1}{2} \int_{x=0}^L \rho A \left(\sum_{n=1}^6 \psi_{yn}(x) \dot{u}_n \right)^2 dx$$

and the mass matrix coefficients are found from

$$\bar{M}_{ij} = \frac{\partial}{\partial \dot{u}_i} \frac{\partial}{\partial \dot{u}_j} T(\dot{u})$$

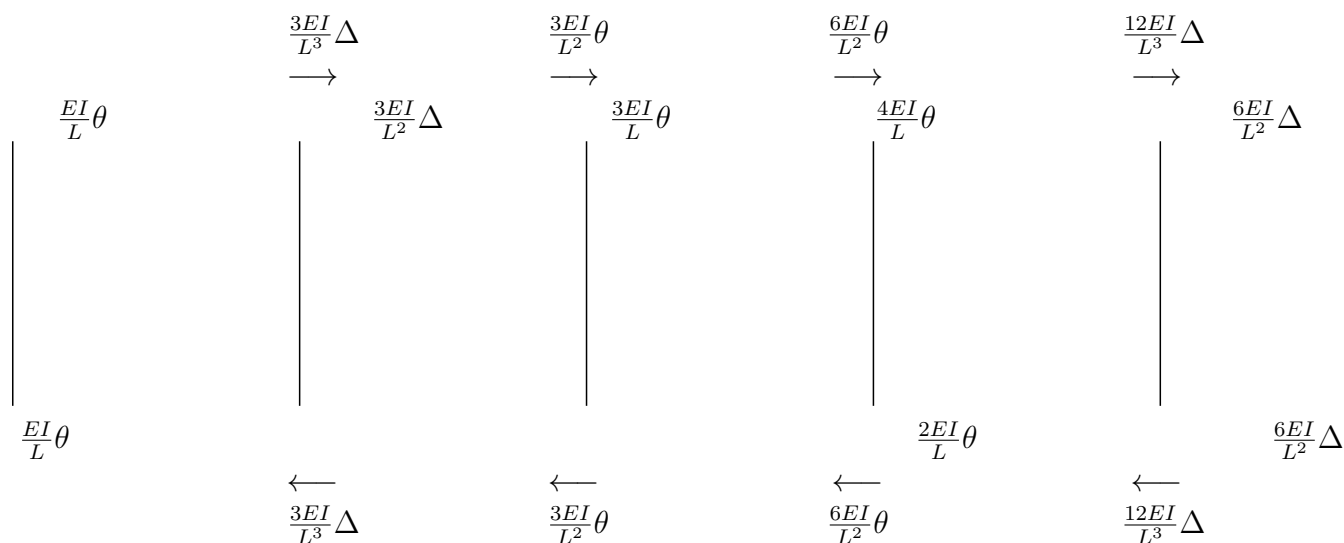
Evaluating equation (28) using the new shape functions ψ_{x2} , ψ_{x3} , ψ_{x5} , and ψ_{x6} , results in a mass matrix incorporating rotatory inertia.

$$\bar{\mathbf{M}} = \rho A L \begin{bmatrix} \frac{1}{3} & 0 & 0 & \frac{1}{6} & 0 & 0 \\ \frac{13}{35} + \frac{6}{5} \frac{r^2}{L^2} & \frac{11}{210} L + \frac{1}{10} \frac{r^2}{L} & 0 & \frac{9}{70} - \frac{6}{5} \frac{r^2}{L^2} & -\frac{13}{420} L + \frac{1}{10} \frac{r^2}{L} \\ & \frac{1}{105} L^2 + \frac{2}{15} r^2 & 0 & \frac{13}{420} L + \frac{1}{10} \frac{r^2}{L} & 0 \\ \text{SYM} & & \frac{1}{3} & 0 & 0 \\ & & & \frac{13}{35} + \frac{6}{5} \frac{r^2}{L^2} & -\frac{11}{210} L + \frac{1}{10} \frac{r^2}{L} \\ & & & & \frac{1}{105} L^2 + \frac{2}{15} r^2 \end{bmatrix} \quad (98)$$

Beam element mass matrices including the effects of shear deformation on rotatory inertia are more complicated. Refer to p 295 of *Theory of Matrix Structural Analysis*, by J.S. Przemieniecki (Dover Pub., 1985).

5 Coordinate Transformations for Bars and Beams

5.1 Beam Element Stiffness Matrix in Local Coordinates, $\bar{\mathbf{K}}$



$$\left\{ \begin{array}{c} N_1 \\ V_1 \\ M_1 \\ N_2 \\ V_2 \\ M_2 \end{array} \right\} = \left[\begin{array}{cccccc} \frac{EA}{L} & 0 & 0 & -\frac{EA}{L} & 0 & 0 \\ & \frac{12EI}{L^3} & \frac{6EI}{L^2} & 0 & -\frac{12EI}{L^3} & \frac{6EI}{L^2} \\ & & \frac{4EI}{L} & 0 & -\frac{6EI}{L^2} & \frac{2EI}{L} \\ & & & \frac{EA}{L} & 0 & 0 \\ & & & & \frac{12EI}{L^3} & -\frac{6EI}{L^2} \\ & & & & & \frac{4EI}{L} \end{array} \right] \left\{ \begin{array}{c} \bar{u}_1 \\ \bar{u}_2 \\ \bar{u}_3 \\ \bar{u}_4 \\ \bar{u}_5 \\ \bar{u}_6 \end{array} \right\}$$

SYM

$$\bar{\mathbf{f}} = \bar{\mathbf{K}} \bar{\mathbf{u}}$$

5.2 Beam Element Stiffness Matrix in Global Coordinates, \mathbf{K}

Geometric relationship between $\bar{\mathbf{u}}$ and \mathbf{u} : $\bar{\mathbf{u}} = \mathbf{T} \mathbf{u}$

$$\bar{u}_1 = u_1 \cos \theta + u_2 \sin \theta \quad \bar{u}_2 = -u_1 \sin \theta + u_2 \cos \theta \quad \bar{u}_3 = u_3$$

where

$$\mathbf{T} = \begin{bmatrix} c & s & 0 & & & \\ -s & c & 0 & & & \\ 0 & 0 & 1 & & & \\ & & & c & s & 0 \\ & 0 & & -s & c & 0 \\ & & & 0 & 0 & 1 \end{bmatrix} \quad c = \cos \theta = \frac{x_2 - x_1}{L}$$

$$s = \sin \theta = \frac{y_2 - y_1}{L}$$

The stiffness matrix in global coordinates is $\mathbf{K} = \mathbf{T}^T \bar{\mathbf{K}} \mathbf{T}$

$$\mathbf{K} = \begin{bmatrix} \frac{EA}{L}c^2 & \frac{EA}{L}cs & -\frac{EA}{L}c^2 & -\frac{EA}{L}cs & & \\ +\frac{12EI}{L^3}s^2 & -\frac{12EI}{L^3}cs & -\frac{6EI}{L^2}s & -\frac{12EI}{L^3}s^2 & +\frac{12EI}{L^3}cs & -\frac{6EI}{L^2}s \\ & \frac{EA}{L}s^2 & -\frac{EA}{L}cs & -\frac{EA}{L}s^2 & & \\ +\frac{12EI}{L^3}c^2 & \frac{6EI}{L^2}c & +\frac{12EI}{L^3}cs & -\frac{12EI}{L^3}c^2 & \frac{6EI}{L^2}c & \\ & \frac{4EI}{L} & \frac{6EI}{L^2}s & -\frac{6EI}{L^2}c & \frac{2EI}{L} & \\ & & \frac{EA}{L}c^2 & \frac{EA}{L}cs & & \\ & & +\frac{12EI}{L^3}s^2 & -\frac{12EI}{L^3}cs & \frac{6EI}{L^2}s & \\ & & & \frac{EA}{L}s^2 & & \\ & & & +\frac{12EI}{L^3}c^2 & -\frac{6EI}{L^2}c & \\ & & & & \frac{4EI}{L} & \end{bmatrix}$$

SYM

$$\mathbf{f} = \mathbf{K} \mathbf{u}$$

5.3 Beam Element Consistent Mass Matrix in Local Coordinates, $\bar{\mathbf{M}}$

$$\begin{Bmatrix} N_1 \\ V_1 \\ M_1 \\ N_2 \\ V_2 \\ M_2 \end{Bmatrix} = \frac{\rho AL}{420} \begin{bmatrix} 140 & 0 & 0 & 70 & 0 & 0 \\ & 156 & 22L & 0 & 54 & -13L \\ & & 4L^2 & 0 & 13L & -3L^2 \\ & & & 140 & 0 & 0 \\ \text{SYM} & & & & 156 & -22L \\ & & & & & 4L^2 \end{bmatrix} \begin{Bmatrix} \ddot{u}_1 \\ \ddot{u}_2 \\ \ddot{u}_3 \\ \ddot{u}_4 \\ \ddot{u}_5 \\ \ddot{u}_6 \end{Bmatrix}$$

$$\bar{\mathbf{f}} = \bar{\mathbf{M}} \ddot{\mathbf{u}}$$

5.4 Beam Element Consistent Mass Matrix in Global Coordinates, \mathbf{M}

Geometric relationship between $\bar{\mathbf{u}}$ and \mathbf{u} : $\bar{\mathbf{u}} = \mathbf{T} \mathbf{u}$

$$\bar{u}_1 = u_1 \cos \theta + u_2 \sin \theta \quad \bar{u}_2 = -u_1 \sin \theta + u_2 \cos \theta \quad \bar{u}_3 = u_3$$

where

$$\mathbf{T} = \begin{bmatrix} c & s & 0 & & & \\ -s & c & 0 & & & \\ 0 & 0 & 1 & & & \\ & & & c & s & 0 \\ & 0 & & -s & c & 0 \\ & & & 0 & 0 & 1 \end{bmatrix} \quad \begin{aligned} c &= \cos \theta = \frac{x_2 - x_1}{L} \\ s &= \sin \theta = \frac{y_2 - y_1}{L} \end{aligned}$$

The consistent mass matrix in global coordinates is $\mathbf{M} = \mathbf{T}^\top \bar{\mathbf{M}} \mathbf{T}$

$$\mathbf{M} = \frac{\rho AL}{420} \begin{bmatrix} 140c^2 & -16cs & -22sL & 70c^2 & 16cs & 13sL \\ +15s^2 & & & +54s^2 & & \\ & 140s^2 & 22cL & 16cs & 70s^2 & -13cL \\ & +156c^2 & & & +54c^2 & \\ & & 4L^2 & -13sL & 13cL & -3L^2 \\ & & & 140c^2 & -16cs & 22sL \\ & & & +156s^2 & & \\ & & & & 140s^2 & -22cL \\ & & & & +156c^2 & \\ & & & & & 4L^2 \end{bmatrix}$$

$$\mathbf{f} = \mathbf{M} \mathbf{u}$$

6 2D Plane-Stress and Plane-Strain Rectangular Element Matrices

2D, isotropic, homogeneous element, with uniform thickness h .

Approximate element stiffness and mass matrices based on assumed distribution of internal displacements.

6.1 2D Rectangular Element Coordinates and Internal Displacements

Consider the geometry of a rectangle with edges aligned with a Cartesian coordinate system. ($0 \leq x \leq a$, $0 \leq y \leq b$) The functions $u_x(x, y, t)$ and $u_y(x, y, t)$ describe the in-plane displacements as a function of the location within the element.

Figure 7. 2D rectangular element coordinates and displacements.

Internal displacements are assumed to vary linearly within the element.

$$\begin{aligned} u_x(x, y, t) &= c_1 \frac{x}{a} + c_2 \frac{x}{a} \frac{y}{b} + c_3 \frac{y}{b} + c_4 \\ u_y(x, y, t) &= c_5 \frac{x}{a} + c_6 \frac{x}{a} \frac{y}{b} + c_7 \frac{y}{b} + c_8 \end{aligned}$$

The eight coefficients c_1, \dots, c_8 may be found uniquely from matching the displacement coordinates at the corners.

$$\begin{aligned} u_x(a, b) &= \bar{u}_1 & u_y(a, b) &= \bar{u}_2 \\ u_x(0, b) &= \bar{u}_3 & u_y(0, b) &= \bar{u}_4 \\ u_x(0, 0) &= \bar{u}_5 & u_y(0, 0) &= \bar{u}_6 \\ u_x(a, 0) &= \bar{u}_7 & u_y(a, 0) &= \bar{u}_8 \end{aligned}$$

resulting in internal displacements

$$u_x(x, y, t) = \hat{x}\hat{y} \bar{u}_1(t) + (1 - \hat{x})\hat{y} \bar{u}_3(t) + (1 - \hat{x})(1 - \hat{y}) \bar{u}_5(t) + \hat{x}(1 - \hat{y}) \bar{u}_7(t) \quad (99)$$

$$u_y(x, y, t) = \hat{x}\hat{y} \bar{u}_2(t) + (1 - \hat{x})\hat{y} \bar{u}_4(t) + (1 - \hat{x})(1 - \hat{y}) \bar{u}_6(t) + \hat{x}(1 - \hat{y}) \bar{u}_8(t) \quad (100)$$

where $\hat{x} = x/a$ ($0 \leq \hat{x} \leq 1$) and $\hat{y} = y/b$ ($0 \leq \hat{y} \leq 1$) so that

$$\mathbf{\Psi}(\hat{x}, \hat{y}) = \begin{bmatrix} \hat{x}\hat{y} & 0 & (1 - \hat{x})\hat{y} & 0 & (1 - \hat{x})(1 - \hat{y}) & 0 & \hat{x}(1 - \hat{y}) & 0 \\ 0 & \hat{x}\hat{y} & 0 & (1 - \hat{x})\hat{y} & 0 & (1 - \hat{x})(1 - \hat{y}) & 0 & \hat{x}(1 - \hat{y}) \end{bmatrix} \quad (101)$$

and

$$\begin{bmatrix} u_x(x, y, t) \\ u_y(x, y, t) \end{bmatrix} = \mathbf{\Psi}(\hat{x}, \hat{y}) \bar{\mathbf{u}}(t) \quad (102)$$

Strain-displacement relations

$$\begin{aligned} \epsilon_{xx} &= \frac{\partial u_x}{\partial x} = \frac{1}{a} \frac{\partial u_x}{\partial \hat{x}} \\ \epsilon_{yy} &= \frac{\partial u_y}{\partial y} = \frac{1}{b} \frac{\partial u_y}{\partial \hat{y}} \\ \gamma_{xy} &= \frac{\partial u_x}{\partial y} + \frac{\partial u_y}{\partial x} = \frac{1}{b} \frac{\partial u_x}{\partial \hat{y}} + \frac{1}{a} \frac{\partial u_y}{\partial \hat{x}} \end{aligned}$$

so that

$$\begin{bmatrix} \epsilon_{xx} \\ \epsilon_{yy} \\ \gamma_{xy} \end{bmatrix} = \begin{bmatrix} \hat{y}/a & 0 & -\hat{y}/a & 0 & -(1 - \hat{y})/a & 0 & (1 - \hat{y})/a & 0 \\ 0 & \hat{x}/b & 0 & (1 - \hat{x})/b & 0 & -(1 - \hat{x})/b & 0 & -\hat{x}/b \\ \hat{x}/b & \hat{y}/a & (1 - \hat{x})/b & -\hat{y}/a & -(1 - \hat{x})/b & -(1 - \hat{y})/a & -\hat{x}/b & (1 - \hat{y})/a \end{bmatrix} \begin{bmatrix} \bar{u}_1 \\ \bar{u}_2 \\ \bar{u}_3 \\ \bar{u}_4 \\ \bar{u}_5 \\ \bar{u}_6 \\ \bar{u}_7 \\ \bar{u}_8 \end{bmatrix}$$

or

$$\boldsymbol{\epsilon}(x, y, t) = \mathbf{B}(x, y) \bar{\mathbf{u}}(t)$$

6.2 Stress-Strain relationships

6.2.1 Plane-Stress

In-plane behavior of thin plates, $\sigma_{zz} = \tau_{xz} = \tau_{yz} = 0$

For plane-stress elasticity, the stress-strain relationship simplifies to

$$\begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \tau_{xy} \end{bmatrix} = \frac{E}{1-\nu^2} \begin{bmatrix} 1 & \nu & 0 \\ \nu & 1 & 0 \\ 0 & 0 & \frac{1}{2}(1-\nu) \end{bmatrix} \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{yy} \\ \gamma_{xy} \end{bmatrix} \quad (103)$$

or

$$\boldsymbol{\sigma} = \mathbf{S}_{p\sigma} \boldsymbol{\epsilon} \quad (104)$$

6.2.2 Plane-Strain

In-plane behavior of continua, $\epsilon_{zz} = \gamma_{xz} = \gamma_{yz} = 0$

For plane-strain elasticity, the stress-strain relationship simplifies to

$$\begin{bmatrix} \sigma_{xx} \\ \sigma_{yy} \\ \tau_{xy} \end{bmatrix} = \frac{E}{(1+\nu)(1-2\nu)} \begin{bmatrix} 1-\nu & \nu & 0 \\ \nu & 1-\nu & 0 \\ 0 & 0 & \frac{1}{2}-\nu \end{bmatrix} \begin{bmatrix} \epsilon_{xx} \\ \epsilon_{yy} \\ \gamma_{xy} \end{bmatrix} \quad (105)$$

or

$$\boldsymbol{\sigma} = \mathbf{S}_{p\epsilon} \boldsymbol{\epsilon} \quad (106)$$

6.3 2D Rectangular Element Strain Energy and Elastic Stiffness Matrix

$$V = \frac{1}{2} \int_A \boldsymbol{\sigma}(x, y, t)^\top \boldsymbol{\epsilon}(x, y, t) h \, dx \, dy \quad (107)$$

$$= \frac{1}{2} \bar{\mathbf{u}}(t)^\top \int_A [\mathbf{B}(x, y)^\top \mathbf{S}_e(E, \nu) \mathbf{B}(x, y)]_{8 \times 8} h \, dx \, dy \bar{\mathbf{u}}(t) \quad (108)$$

Elastic element stiffness matrix

$$\bar{\mathbf{K}}_e = \int_A [\mathbf{B}(x, y)^\top \mathbf{S}_e(E, \nu) \mathbf{B}(x, y)]_{8 \times 8} h \, dx \, dy \quad (109)$$

6.4 2D Rectangular Element Kinetic Energy and Mass Matrix

$$T(\dot{\mathbf{u}}) = \frac{1}{2} \int_A \rho |\dot{\mathbf{u}}(x, y, t)|^2 h \, dx \, dy \quad (110)$$

$$= \frac{1}{2} \dot{\mathbf{u}}(t)^\top \int_A \rho [\boldsymbol{\Psi}(x, y)^\top \boldsymbol{\Psi}(x, y)]_{8 \times 8} h \, dx \, dy \dot{\mathbf{u}}(t) \quad (111)$$

Consistent mass matrix

$$\bar{\mathbf{M}} = \int_A \rho [\boldsymbol{\Psi}(x, y)^\top \boldsymbol{\Psi}(x, y)]_{8 \times 8} h \, dx \, dy \quad (112)$$

6.5 2D Rectangular Plane-Stress and Plane-Strain Element Stiffness and Mass Matrices

6.5.1 Plane-Stress stiffness matrix

$$\bar{\mathbf{K}}_e = \frac{Eh}{12(1-\nu^2)} \cdot$$

$$\begin{bmatrix} 4c + k_A & k_B & -4c + k_A/2 & -k_C & -2c - k_A/2 & -k_B & 2c - k_A & k_C \\ k_B & 4/c + k_D & k_C & 2/c - k_D & -k_B & -2/c - k_D/2 & -k_C & -4/c + k_D/2 \\ -4c + k_A/2 & k_C & 4c + k_A & -k_B & 2c - k_A & -k_C & -2c - k_A/2 & k_B \\ -k_C & 2/c - k_D & -k_B & 4/c + k_D & k_C & -4/c + k_D/2 & k_B & -2/c - k_D/2 \\ -2c - k_A/2 & -k_B & 2c - k_A & k_C & 4c + k_A & k_B & -4c + k_A/2 & -k_C \\ -k_B & -2/c - k_D/2 & -k_C & -4/c + k_D/2 & k_B & 4/c + k_D & k_C & 2/c - k_D \\ 2c - k_A & -k_C & -2c - k_A/2 & k_B & -4c + k_A/2 & k_C & 4c + k_A & -k_B \\ k_C & -4/c + k_D/2 & k_B & -2/c - k_D/2 & -k_C & 2/c - k_D & -k_B & 4/c + k_D \end{bmatrix}$$

where $c = b/a$ and

$$\begin{aligned} k_A &= (2/c)(1 - \nu) \\ k_B &= (3/2)(1 + \nu) \\ k_C &= (3/2)(1 - 3\nu) \\ k_D &= (2c)(1 - \nu) \end{aligned}$$

6.5.2 Plane-Strain stiffness matrix

$$\bar{\mathbf{K}}_e = \frac{Eh}{12(1+\nu)(1-2\nu)} \cdot$$

$$\begin{bmatrix} k_A + k_B & 3/2 & -k_A + k_B/2 & 6\nu - 3/2 & -k_A/2 - k_B/2 & -3/2 & k_A/2 - k_B & 3/2 - 6\nu \\ 3/2 & k_C + k_D & 3/2 - 6\nu & k_C/2 - k_D & -3/2 & -k_C/2 - k_D/2 & 6\nu - 3/2 & -k_C + k_D/2 \\ -k_A + k_B/2 & 3/2 - 6\nu & k_A + k_B & -3/2 & k_A/2 - k_B & 6\nu - 3/2 & -k_A/2 - k_B/2 & 3/2 \\ 6\nu - 3/2 & k_C/2 - k_D & -3/2 & k_C + k_D & 3/2 - 6\nu & -k_C + k_D/2 & 3/2 & -k_C/2 - k_D/2 \\ -k_A/2 - k_B/2 & -3/2 & k_A/2 - k_B & 3/2 - 6\nu & k_A + k_B & 3/2 & -k_A + k_B/2 & 6\nu - 3/2 \\ -3/2 & -k_C/2 - k_D/2 & 6\nu - 3/2 & -k_C + k_D/2 & 3/2 & k_C + k_D & 3/2 - 6\nu & k_C/2 - k_D \\ k_A/2 - k_B & 6\nu - 3/2 & -k_A/2 - k_B/2 & 3/2 & -k_A + k_B/2 & 3/2 - 6\nu & k_A + k_B & -3/2 \\ 3/2 - 6\nu & -k_C + k_D/2 & 3/2 & -k_C/2 - k_D/2 & 6\nu - 3/2 & k_C/2 - k_D & -3/2 & k_C + k_D \end{bmatrix}$$

where $c = b/a$ and

$$\begin{aligned} k_A &= (4c)(1 - \nu) \\ k_B &= (2/c)(1 - 2\nu) \\ k_C &= (4/c)(1 - \nu) \\ k_D &= (2c)(1 - 2\nu) \end{aligned}$$

6.5.3 Mass matrix

The element mass matrix for the plane-stress and plane-strain elements is the same.

$$\bar{\mathbf{M}} = \frac{\rho abh}{36} \begin{bmatrix} 4 & 0 & 2 & 0 & 1 & 0 & 2 & 0 \\ 0 & 4 & 0 & 2 & 0 & 1 & 0 & 2 \\ 2 & 0 & 4 & 0 & 2 & 0 & 1 & 0 \\ 0 & 2 & 0 & 4 & 0 & 2 & 0 & 1 \\ 1 & 0 & 2 & 0 & 4 & 0 & 2 & 0 \\ 0 & 1 & 0 & 2 & 0 & 4 & 0 & 2 \\ 2 & 0 & 1 & 0 & 2 & 0 & 4 & 0 \\ 0 & 2 & 0 & 1 & 0 & 2 & 0 & 4 \end{bmatrix} \quad (113)$$

Note, again, that these element stiffness matrices are approximations based on an assumed distribution of internal displacements.

7 Element damping matrices

Damping in vibrating structures can arise from diverse linear and nonlinear phenomena.

If the structure is in a fluid (liquid or gas), the motion of the structure is resisted by the fluid viscosity. At low speeds (low Reynolds numbers), this damping effect can be taken to be linear in the velocity, and the damping forces are proportional to the total rate of displacement (not the rate of deformation). If the fluid is flowing past the structure at high flow rates (high Reynolds numbers), the motion of the structure can interact with the flowing medium. This interaction affects the dynamics (natural frequencies and damping ratios) of the coupled structure-fluid system. Potentially, at certain flow speeds, the motion of the structure can increase the transfer of energy from the flow into the structure, giving rise to an aero-elastic instability.

Damping can also arise within structural systems from friction forces internal to the structure (the micro-slip within joints and connections) inherent material viscoelasticity, and inelastic material behavior. In many structural systems, a type of damping in which damping stresses are proportional to strain and in-phase with strain-rate are assumed. Such so-called “complex-stiffness damping” or “structural damping” is commonly used to model the damping in soils. Fundamentally, this kind of damping is neither elastic nor viscous. The force-displacement behavior does not follow the same path in loading and unloading, behavior but instead follows a “butterfly” shaped path. Nevertheless, this type of damping is commonly linearized as linear viscous damping, in which forces are proportional to the rate of deformation.

In materials in which stress depends on strain and strain rate, a Voigt viscoelasticity model may be assumed, in which stress is proportional to both strain ϵ and strain-rate $\dot{\epsilon}$,

$$\sigma = [\mathbf{S}_e(E, \nu)] \epsilon + [\mathbf{S}_v(\eta)] \dot{\epsilon}$$

The internal virtual work of real viscous stresses $\mathbf{S}_v \dot{\boldsymbol{\epsilon}}$ moving through virtual strains $\delta \boldsymbol{\epsilon}$ is

$$\delta W(\dot{\mathbf{u}}) = \int_{\Omega} \boldsymbol{\sigma}(\mathbf{x}, t)^T \delta \boldsymbol{\epsilon}(\mathbf{x}, t) d\Omega \quad (114)$$

$$\begin{aligned} &= \int_{\Omega} \dot{\boldsymbol{\epsilon}}(\mathbf{x}, t)^T \mathbf{S}_v(\eta) \delta \boldsymbol{\epsilon}(\mathbf{x}, t) d\Omega \\ &= \int_{\Omega} \dot{\mathbf{u}}(t)^T \mathbf{B}(\mathbf{x})^T \mathbf{S}_v(\eta) \mathbf{B}(\mathbf{x}) \delta \bar{\mathbf{u}}(t) d\Omega \\ &= \dot{\mathbf{u}}(t)^T \int_{\Omega} [\mathbf{B}(\mathbf{x})^T \mathbf{S}_v(\eta) \mathbf{B}(\mathbf{x})]_{N \times N} d\Omega \delta \bar{\mathbf{u}}(t) \end{aligned} \quad (115)$$

Given a material viscous damping matrix, \mathbf{S}_v , a structural element damping matrix can be determined for any type of structural element, through the integral in equation (115), as has been done for stiffness and mass element matrices earlier in this document. In doing so, it may be assumed that the internal element displacements $u_i(\mathbf{x}, t)$ (and the matrices $[\boldsymbol{\Psi}]$ and $[\mathbf{B}]$) are unaffected by the presence of damping, though this is not strictly true. Further, the parameters in $\mathbf{S}_v(\eta)$ are often dependent of the frequency of the strain and the strain amplitude. Damping behavior that is amplitude-dependent is outside the domain of linear analysis.

7.1 Rayleigh damping matrices for structural systems

In an *assembled* model for a structural system, a damping matrix that is proportional to system's mass and stiffness matrices is called a Rayleigh damping matrix.

$$\begin{aligned} \mathbf{C}_s &= \alpha \mathbf{M}_s + \beta \mathbf{K}_s \\ \bar{\mathbf{R}}^T \mathbf{C}_s \bar{\mathbf{R}} &= \begin{bmatrix} 2\zeta_1 \omega_{n1} & & \\ & \ddots & \\ & & 2\zeta_N \omega_{nN} \end{bmatrix} = \alpha \begin{bmatrix} 1 & & \\ & \ddots & \\ & & 1 \end{bmatrix} + \beta \begin{bmatrix} \omega_{n1}^2 & & \\ & \ddots & \\ & & \omega_{nN}^2 \end{bmatrix} \end{aligned} \quad (116)$$

where ω_{nj}^2 is an eigen-value (squared natural frequency) and the columns of $\bar{\mathbf{R}}$ are mass-normalized eigen-vectors (modal vectors) of the generalized eigen-problem

$$[\mathbf{K}_s - \omega_{nj}^2 \mathbf{M}_s] \bar{\mathbf{r}}_j = \mathbf{0} . \quad (117)$$

From equations (116) it can be seen that the damping ratios satisfy

$$\zeta_j = \frac{\alpha}{2} \frac{1}{\omega_{nj}} + \frac{\beta}{2} \omega_{nj}$$

and the Rayleigh damping coefficients (α and β) can be determined so that the damping ratios ζ_j have desired values at two frequencies. The damping ratios modeled by Rayleigh damping can get very large for low and high frequencies. Rayleigh damping grows to ∞ as $\omega \rightarrow 0$ and increases linearly with ω for large values of ω . Note that the Rayleigh damping matrix has the same banded form as the mass and stiffness matrices. In other words, with Rayleigh damping, internal damping forces are applied only between coordinates that are connected by structural elements.

7.2 Caughey damping matrices for structural systems

The Caughey damping matrix is a generalization of the Rayleigh damping matrix. Caughey damping matrices can involve more than two parameters and can therefore be used to provide a desired amount of damping over a range of frequencies. The Caughey damping matrix for an *assembled* model for a structural system is

$$\mathbf{C}_s = \mathbf{M}_s \sum_{j=n_1}^{j=n_2} \alpha_j (\mathbf{M}_s^{-1} \mathbf{K}_s)^j$$

where the index range limits n_1 and n_2 can be positive or negative, as long as $n_1 < n_2$. As with the Rayleigh damping matrix, the Caughey damping matrix may also be diagonalized by the real eigen-vector matrix $\bar{\mathbf{R}}$. The coefficients α_j are related to the damping ratios, ζ_k , by

$$\zeta_k = \frac{1}{2} \frac{1}{\omega_k} \sum_{j=n_1}^{j=n_2} \alpha_j \omega_k^{2j}$$

The coefficients α_j may be selected so that a set of specified damping ratios ζ_k are obtained at a corresponding set of frequencies ω_k . If $n_1 = 0$ and $n_2 = 1$, then the Caughey damping matrix is the same as the Rayleigh damping matrix. For other values of n_1 and n_2 the Caughey damping matrix loses the banded structure of the Rayleigh damping matrix, implying the presence of damping forces between coordinates that are not connected by structural elements.

Structural systems with classical damping have real-valued modes $\bar{\mathbf{r}}_j$ that depend only on the system's mass and stiffness matrices (equation (117)), and can be analyzed as a system of uncoupled second-order ordinary differential equations. The responses of the system coordinates can be approximated via a modal expansion of a select subset of modes. The convenience of the application of modal-superposition to the transient response analysis of structures is the primary motivation

7.3 Rayleigh damping matrices for structural elements

An element Rayleigh damping matrix may be easily computed from the element's mass and stiffness matrix $\mathbf{C} = \alpha \mathbf{M} + \beta \mathbf{K}$ and assembled into a damping matrix for the structural system \mathbf{C}_s . The element damping is presumed to increase linearly with the mass and the stiffness of the element; larger elements will have greater mass, stiffness, and damping. System damping matrices assembled from such element damping matrices will have the same banding as the mass and stiffness matrices; internal damping forces will occur only between coordinates connected by a structural element. However, such an assembled damping matrix will not be diagonalizable by the real eigenvectors of the structural system mass matrix \mathbf{M}_s and stiffness matrix \mathbf{K}_s .

7.4 Linear viscous Damping elements

Some structures incorporate components designed to provide supplemental damping. These supplemental damping components can dissipate energy through viscosity, friction, or inelastic deformation. In a linear viscous damping element (a dash-pot), damping forces are linear in the velocity across the nodes of the element and the forces act along a line between the two nodes of the element. The element node damping forces \mathbf{f}_d are related to the element node velocities \mathbf{v}_d through the damping coefficient c_d

$$\begin{bmatrix} f_{d1} \\ f_{d2} \end{bmatrix} = \begin{bmatrix} c_d & -c_d \\ -c_d & c_d \end{bmatrix} \begin{bmatrix} v_{d1} \\ v_{d2} \end{bmatrix}$$

The damping matrix for a linear viscous damper connecting a node at (x_1, y_1) to a node at (x_2, y_2) is found from the element coordinate transformation,

$$\mathbf{C}_{6 \times 6} = \begin{bmatrix} c & s & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & c & s & 0 \end{bmatrix}^T \begin{bmatrix} c_d & -c_d \\ -c_d & c_d \end{bmatrix} \begin{bmatrix} c & s & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & c & s & 0 \end{bmatrix}$$

where $c = (x_2 - x_1)/L$ and $s = (y_2 - y_1)/L$. Structural systems with supplemental damping components generally have non-classical system damping matrices.

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