



# Lecture Twenty

Some Things are Like Other Things

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18th November 2025



# Last time

We introduced SAT, the Boolean satisfiability problem: Can a given Boolean formula (in CNF) be satisfied?

SAT is in NP - we can **verify** a possible solution in polynomial time.

**Theorem (Cook-Levin, ca. 1971).** SAT is complete for NP.

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$k$ -SAT is when we restrict the number of literals in each CNF clause to (at most)  $k$ .

1-SAT is  $O(n)$ .

2-SAT is in P.



# Transitivity of $\leq_P$

Suppose we have problems  $A$ ,  $B$ , and  $C$  where  $A \leq_P B$  and  $B \leq_P C$ .

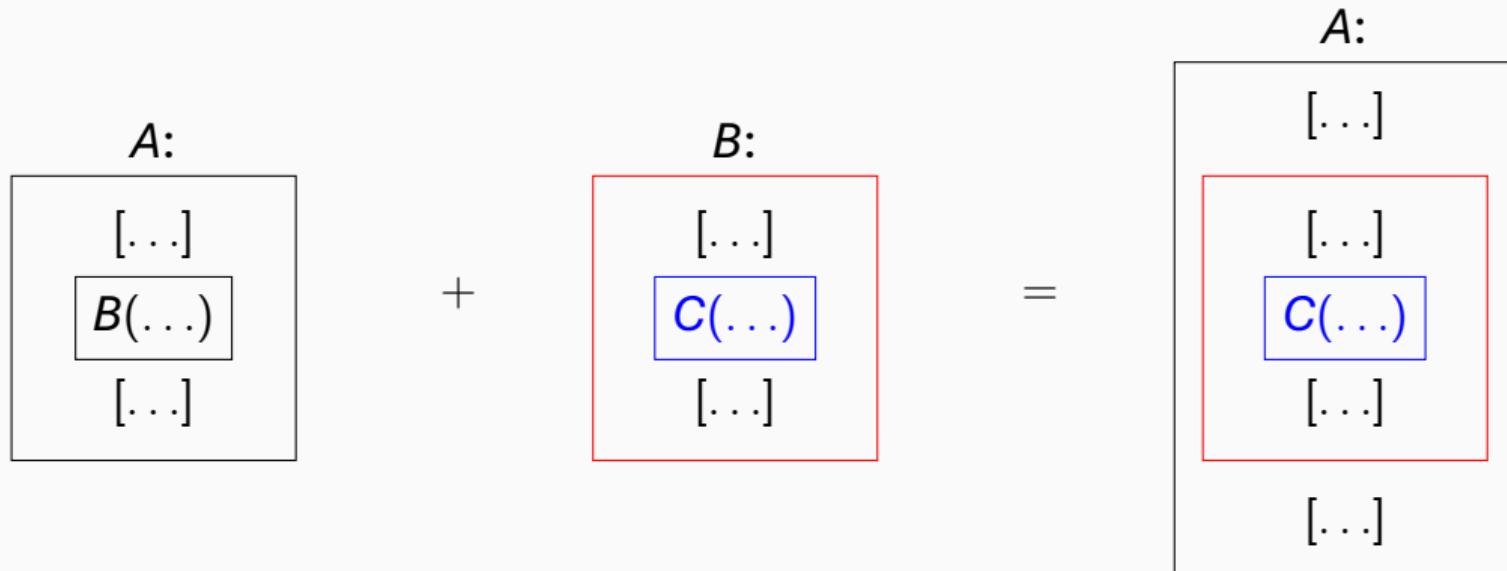
What can we say about  $A$  and  $C$ ?

Our reduction from  $A$  to  $B$  makes use of an oracle for  $B$ .

Our reduction from  $B$  to  $C$  makes use of an oracle for  $C$ .



# Transitivity of $\leq_P$



No occurrences of  $B$  remain—this is a valid reduction from  $A$  to  $C$ .



# Transitivity of $\leq_P$

Suppose (wlog.) our reduction from  $A$  to  $B$  runs in time  $O(n^k)$ , and our reduction from  $B$  to  $C$  runs in time  $O(n^\ell)$ .

Then the reduction from  $A$  to  $C$  runs in time  $O((n^\ell)^k) = O(n^{k\cdot\ell})$ , which is polynomial.

So we have  $A \leq_P C$ .

So  $\leq_P$  is transitive!

We can create *chains* of polynomial-time reductions.

# 3-SAT



**Theorem (Karp, 1972).** 3-SAT is NP-complete.

**Proof (sketch).** We start by proving NP membership: a satisfying assignment is a valid witness, and can be checked in polynomial time. (The same argument as for SAT)

For NP hardness, Karp gives a reduction from SAT to 3-SAT. (*The idea is to break apart clauses of longer than 3 literals into smaller clauses.*)

Since  $Y \leq_P \text{SAT}$  for all  $Y \in \text{NP}$ , and we now know  $\text{SAT} \leq_P \text{3-SAT}$ , by transitivity of poly-reductions, we have  $Y \leq_P \text{3-SAT}$  as well. □



# Independent-Set

**Theorem.** Independent-Set is NP-complete.

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**Proof.** (*demo*)

# **SET-COVER**



# SET-COVER

Consider

$$\mathcal{S} = \{\{4\}, \{1, 4\}, \{1, 2\}, \{5\}, \{1, 3, 5\}\}.$$

Can we choose 3 sets from  $\mathcal{S}$  whose union is  $\{1, 2, 3, 4, 5\}$ ?

Can we choose 2?



# SET-COVER

**SET-COVER:** for a given

- universal set  $U$ ,
- set  $\mathcal{S}$  of subsets of  $U$ , and
- integer  $k$ ,

is there a set  $\mathcal{S}' \subseteq \mathcal{S}$  of size  $k$  such that  $\bigcup \mathcal{S}' = U$ ?

The previous question was a SET-COVER instance with

- $U = \{1, 2, 3, 4, 5\}$ ,
- $\mathcal{S} = \{\{4\}, \{1, 4\}, \{1, 2\}, \{5\}, \{1, 3, 5\}\}$ , and
- $k = 3$  (and then  $k = 2$ ).



# SET-COVER

**Theorem.** SET-COVER is complete for NP.

We can prove NP hardness by reduction from VERTEX-COVER to SET-COVER.

Each edge becomes an element in the universe  $U$ .

Each vertex  $v$  becomes a subset of  $U$  containing the edges incident to  $v$ .

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**Exercise.** Write the complete proof!

*Hint:*

What would a selection of  $k$  sets correspond to in the original graph?



# Next time

We look at some more NP-complete problems.