

Notes from reading "Introduction to Toric  
Varieties" by Fulton

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# Chapter 1

## Definitions and examples

### 1.1 Motivating Example

Let  $X \subseteq \mathbb{A}_{\mathbb{C}}^3$  be given by  $y^3 - xz = 0$ . Denote its coordinate ring by  $\mathbb{C}[X] = \mathbb{C}[x, y, z]/(y^3 - xz)$  and consider the action of  $(\mathbb{C}^*)^2$  on  $X$  given by:

$$(t_1, t_2) \cdot (x, y, z) = (t_1^{-2}t_2^{-3}x, t_1^{-1}t_2^{-1}y, t_1^{-1}z).$$

We have an induced action of  $(\mathbb{C}^*)^2$  on the coordinate ring  $\mathbb{C}[X]$  given by

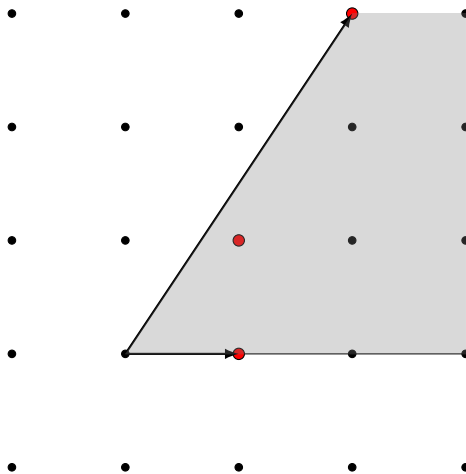
$$(t \cdot f)(p) = f(t^{-1} \cdot p).$$

Let  $M = \{\chi: (\mathbb{C}^*)^2 \rightarrow \mathbb{C}^*\}$  be the lattice of characters of  $(\mathbb{C}^*)^2$ . Recall that this group is isomorphic with  $\mathbb{Z}^2$ ,  $u \in \mathbb{Z}^2$  corresponding to  $\chi^u: t \mapsto t^u$ . Given  $\chi^u \in M$ , define  $\mathbb{C}[X]_u = \{f \in \mathbb{C}[X] | t \cdot f = \chi^u(t) \cdot f\}$ . The class in  $\mathbb{C}[X]$  of every monomial in  $\mathbb{C}[x, y, z]$  can be written uniquely in the form  $x^a y^b z^c$  with  $b \in \{0, 1, 2\}$ . We have  $t_1^m t_2^n \cdot x^a y^b z^c = t_1^{m(2a+b+c)} t_2^{n(3a+b)} x^a y^b z^c$ . Therefore,  $x^a y^b z^c \in \mathbb{C}[X]_u$  if and only if  $u = (2a + b + c, 3a + b)$ . Let  $u = (i, j)$ . We search for a solution of the following system:

$$\begin{cases} 2a + b + c = i \\ 3a + b = j \\ b \in \{0, 1, 2\} \\ a \geq 0 \\ c \geq 0 \end{cases}$$

For every  $(i, j)$  there is at most one solution, so  $\mathbb{C}[X] = \bigoplus_{u \in \mathbb{Z}^2} \mathbb{C}[X]_u$  decomposes  $\mathbb{C}[X]$  into one or zero dimensional subrepresentations of  $(\mathbb{C}^*)^2$ . We will find those  $u = (i, j)$  for which  $\mathbb{C}[X]_u$  is non-zero. We need to have  $j \geq 0$  and then  $a, b$  are uniquely determined. Therefore, if  $j \geq 0$  then a solution exists if and only if  $i - 2a - b \geq 0$ . This is equivalent to  $3i - 6a - 3b \geq 0$ . We can rewrite this as  $3i \geq 2j + b$  which is equivalent to  $3i \geq 2j$  since  $2j + b = 6a + 3b$  is divisible by 3 and  $b \in \{0, 1, 2\}$ .

Therefore, for every  $u \in \mathbb{Z}^2$  we have  $\dim_{\mathbb{C}} \mathbb{C}[X]_u \in \{0, 1\}$  and those  $u$  for which  $\dim_{\mathbb{C}} \mathbb{C}[X]_u = 1$  are the lattice points of the cone below (cones will be formally defined in the Section 1.2).



Denote this cone by  $\sigma^\vee$  and define the corresponding semigroup algebra  $\mathbb{C}[\sigma^\vee \cap M] = \bigoplus_{u \in \sigma^\vee \cap M} \mathbb{C} \cdot \chi^u$  with multiplication induced by  $\chi^u \cdot \chi^v = \chi^{u+v}$ . Since  $\sigma^\vee \cap M$  is generated as a semigroup by  $(1, 0), (1, 1), (2, 3)$ ,  $\mathbb{C}[\sigma^\vee \cap M]$  is generated as a  $\mathbb{C}$ -algebra by  $\chi^{(1,0)}, \chi^{(1,1)}$  and  $\chi^{(2,3)}$ . Moreover, the generators of the semigroup  $\sigma^\vee \cap M$  satisfy a relation  $3 \cdot (1, 1) = (1, 0) + (2, 3)$ , therefore we have an isomorphism  $\mathbb{C}[\sigma^\vee \cap M] \rightarrow \mathbb{C}[X]$  given by  $\chi^{(1,0)} \mapsto x$ ,  $\chi^{(1,1)} \mapsto y$  and  $\chi^{(2,3)} \mapsto z$ .

## 1.2 Convex polyhedral cones

Let  $N, M$  be dual lattices and let  $V = N_{\mathbb{R}}$  and  $V^* = M_{\mathbb{R}}$  be the corresponding dual vector spaces. A *convex polyhedral cone* is a set

$$\sigma = \{r_1 v_1 + \dots + r_s v_s \in V : r_i \geq 0\}$$

generated by any finite set of vectors  $v_1, \dots, v_s \in V$ . Such vectors, or sometimes the corresponding rays consisting of positive multiples of some  $v_i$  are called *generators* for the cone  $\sigma$ .

The *dimension*  $\dim(\sigma)$  of  $\sigma$  is the dimension of the linear space  $\mathbb{R} \cdot \sigma = \sigma + (-\sigma)$  spanned by  $\sigma$ . The *dual*  $\sigma^\vee$  of any set  $\sigma$  is the set of equations of supporting hyperplanes, i.e.,

$$\sigma^\vee = \{u \in V^* : \langle u, v \rangle \geq 0 \text{ for all } v \in \sigma\}.$$

Everything is based on the following fundamental fact from the theory of convex sets.

(\*) If  $\sigma$  is a convex polyhedral cone and  $v_0 \notin \sigma$ , then there is some  $u_0 \in \sigma^\vee$  with  $\langle u_0, v_0 \rangle < 0$ .

We list some consequences of (\*). Since the proofs given in Fulton's text are easy to follow we do not replicate them here. A direct translation of (\*) is the duality theorem:

(1)  $(\sigma^\vee)^\vee = \sigma$ .

A *face*  $\tau$ , of  $\sigma$  is the intersection of  $\sigma$  with any supporting hyperplane:  $\tau = \sigma \cap u^\perp = \{v \in \sigma : \langle u, v \rangle = 0\}$  for some  $u$  in  $\sigma^\vee$ . A cone is regarded as a face of itself, while others are called *proper* faces. Note that any linear subspace of a cone is contained in every face of the cone,

(2) Any face is also a convex polyhedral cone.

(3) Any intersection of faces is also a face.

(4) Any face of a face is a face.

A *facet* is a face of codimension one.

(5) Any proper face is contained in some facet.

(6) Any proper face is the intersection of all facets containing it.

(7) The topological boundary of a cone that spans  $V$  is the union of its proper faces (or facets).

When  $\sigma$  spans  $V$  and  $\tau$  is a facet of  $\sigma$ , there is a  $u \in \sigma^\vee$ , unique up to multiplication by a positive scalar, with  $\tau = \sigma \cap u^\perp$ . Such a vector, which we denote by  $u_\tau$  is an equation for the hyperplane spanned by  $\tau$ .

(8) If  $\sigma$  spans  $V$  and  $\sigma \neq V$ , then  $\sigma$  is the intersection of the half-spaces  $H_\tau = \{v \in V : \langle u_\tau, v \rangle \geq 0\}$ , as  $\tau$  ranges over the facets of  $\sigma$ .

From (8) we deduce the fact known as Farkas' Theorem:

(9) The dual of a convex polyhedral cone is a convex polyhedral cone.

This shows that polyhedral cones can also be given a dual definition as the intersection of half-spaces: for generators  $u_1, \dots, u_t$  of  $\sigma^\vee$ ,

$$\sigma = \{v \in V : \langle u_1, v \rangle \geq 0, \dots, \langle u_t, v \rangle \geq 0\}.$$

If we now suppose  $\sigma$  is *rational*, meaning that its generators can be taken from  $N$ , then  $\sigma^\vee$  is also rational.

**Proposition 1** (Gordan's Lemma). *If  $\sigma$  is a rational convex polyhedral cone, then  $S_\sigma = \sigma^\vee \cap M$  is a finitely generated semigroup.*

It is often necessary to find a point in the *relative interior* of a cone  $\sigma$ , i.e., in the topological interior of  $\sigma$  in the space  $\mathbb{R} \cdot \sigma$  spanned by  $\sigma$ . This is achieved by taking any positive combination of  $\dim(\sigma)$  linearly independent vectors among the generators of  $\sigma$ . In particular, if  $\sigma$  is rational, we can find such points in the lattice.

(10) If  $\tau$  is a face of  $\sigma$ , then  $\sigma^\vee \cap \tau^\perp$  is a face of  $\sigma^\vee$ , with  $\dim(\tau) + \dim(\sigma^\vee \cap \tau^\perp) = n = \dim(V)$ . This sets up a one-to-one order-reversing correspondence between the faces of  $\sigma$  and the faces of  $\sigma^\vee$ . The smallest face of  $\sigma$  is  $\sigma \cap (-\sigma)$ .

(11) If  $u \in \sigma^\vee$ , and  $\tau = \sigma \cap \tau^\perp$ , then  $\tau^\vee = \sigma^\vee + \mathbb{R}_{\geq 0} \cdot (-u)$ .

**Proposition 2.** *Let  $\sigma$  be a rational convex polyhedral cone, and let  $u$  be in  $S_\sigma = \sigma^\vee \cap M$ . Then  $\tau = \sigma \cap u^\perp$  is a rational convex polyhedral cone. All faces of  $\sigma$  have this form, and*

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0} \cdot (-u).$$

Finally, we need the following strengthening of (\*), known as a *Separation Lemma*, that separates convex sets by a hyperplane:

(12) If  $\sigma$  and  $\sigma'$  are convex polyhedral cones whose intersection  $\tau$  is a face of each, then there is a  $u$  in  $\sigma^\vee \cap (-\sigma')^\vee$  with

$$\tau = \sigma \cap u^\perp = \sigma' \cap u^\perp.$$

**Proposition 3.** *If  $\sigma$  and  $\sigma'$  are rational convex polyhedral cones whose intersection  $\tau$  is a face of each, then*

$$S_\tau = S_\sigma + S_{\sigma'}.$$

(13) For a convex polyhedral cone  $\sigma$ , the following conditions are equivalent:

- (i)  $\sigma \cap (-\sigma) = \{0\}$ ;
- (ii)  $\sigma$  contains no nonzero linear subspace;
- (iii) there is a  $u$  in  $\sigma^\vee$  with  $\sigma \cap u^\perp = \{0\}$ ;
- (iv)  $\sigma^\vee$  spans  $V^*$ .

A cone is called *strongly convex* if it satisfies the conditions of (13). Any cone is generated by some minimal set of generators. If the cone is strongly convex, then the rays generated by a minimal set of generators are exactly the one-dimensional faces of  $\sigma$  (as seen by applying (\*) to any generator that is not in the cone generated by the others); in particular, these minimal generators are unique up to multiplication by positive scalars.

Since we are mainly concerned with these cones, we will often say " $\sigma$  is a cone in  $N$ " to mean that  $\sigma$  is a strongly convex rational polyhedral cone in  $N_{\mathbb{R}}$ . We will sometimes write " $\tau \prec \sigma$ " or " $\sigma \succ \tau$ " to mean that  $\tau$  is a face of  $\sigma$ . A cone is called *simplicial*, or a *simplex*, if it is generated by linearly independent generators.

### 1.3 Affine toric varieties

Let  $R$  be a ring. We work in the category of  $R$ -schemes so affine space, multiplicative group, products, etc. are all over  $R$ . When  $\sigma$  is a strongly convex rational polyhedral cone, we have seen that  $S_\sigma = \sigma^\vee \cap M$  is a finitely generated semigroup. Any additive semigroup  $S$  determines a "group ring"  $R[S]$ , which is a commutative  $R$ -algebra. As an  $R$ -module it has a basis  $\chi^u$ , as  $u$  varies over  $S$ , with multiplication determined by the addition in  $S$ :

$$\chi^u \cdot \chi^{u'} = \chi^{u+u'}.$$

The unit 1 is  $\chi^0$ . Generators  $\{u_i\}$  for the semigroup  $S$  determine generators  $\{\chi^{u_i}\}$  for the  $R$ -algebra  $R[S]$ .

Any finitely generated commutative  $R$ -algebra  $A$  determines an affine scheme of finite type over  $R$ , which we denote by  $\text{Spec}(A)$ . We review this construction and its related notation. If generators of  $A$  are chosen, this presents  $A$  as  $R[X_1, \dots, X_m]/I$ , where  $I$  is an ideal; then  $\text{Spec} A$  can be identified with the subscheme  $V(I)$  of affine space  $\mathbb{A}^m$ . In our applications,  $A$  will be a domain, so  $\text{Spec}(A)$  will be an integral scheme. Although  $\text{Spec}(A)$  officially includes all prime ideals of  $A$ , when we speak of a *point* of  $\text{Spec}(A)$  we will mean an  $R$ -point, i.e. a homomorphism of  $R$ -schemes  $\text{Spec}(R) \rightarrow \text{Spec}(A)$ , unless we specify otherwise. Any homomorphism  $A \rightarrow B$  of  $R$ -algebras determines a morphism  $\text{Spec}(B) \rightarrow \text{Spec}(A)$  of  $R$ -schemes. In particular,  $R$ -points correspond to  $R$ -algebra homomorphisms from  $A$  to  $R$ . If  $X = \text{Spec}(A)$ , for each nonzero element  $f \in A$  the principal open subset

$$X_f = \text{Spec}(A_f) \subset X = \text{Spec}(A)$$

corresponds to the localization homomorphism  $A \rightarrow A_f$ .

For  $A = R[S]$  constructed from a semigroup, the points are easy to describe: they correspond to homomorphisms of semigroups from  $S$  to  $R$ , where  $R$  is regarded as an abelian semigroup via multiplication:

$$(\text{Spec}(R[S]))(R) = \text{Hom}_{sg}(S, R).$$

Indeed, this follows from the adjunction  $R[-] \dashv G$  where  $G$  is the forgetful functor from the category of  $R$ -algebras to the category of monoids mapping an  $R$ -algebra to the underlying multiplicative monoid.

When  $S_\sigma$  arises from a strongly convex rational polyhedral cone, we set  $A_\sigma = R[S_\sigma]$ , and  $U_\sigma = \text{Spec}(R[S_\sigma]) = \text{Spec}(A_\sigma)$ , the corresponding *affine toric scheme*. All of these semigroups will be sub-semigroups of the group  $M = S_{\{0\}}$ . If  $e_1, \dots, e_n$  is a basis for  $N$ , and  $e_1^*, \dots, e_n^*$  is the dual basis of  $M$ , write

$$X_i = \chi^{e_i^*} \in R[M].$$

As a semigroup,  $M$  has generators  $\pm e_1^*, \dots, \pm e_n^*$ , so

$$R[M] = R[X_1, X_1^{-1}, X_2, X_2^{-1}, \dots, X_n, X_n^{-1}] = R[X_1, \dots, X_n]_{X_1 \cdots X_n},$$

which is the ring of *Laurent polynomials* in  $n$  variables. So

$$U_{\{0\}} = \text{Spec}(R[M]) \cong \mathbb{G}_m^n$$

is an affine algebraic torus. All of our semigroups  $S$  will be sub-semigroups of a lattice  $M$ , so  $R[S]$  will be a subalgebra of  $R[M]$ ; in particular,  $R[S]$  will be a domain if  $R$  is an integral domain. When a basis for  $M$  is chosen as above, we usually write elements of  $R[S]$  as Laurent polynomials in the corresponding variables  $X_i$ . Note that all of these algebras are generated by *monomials* in the variables  $X_i$ .

The torus  $T = T_N$  corresponding to  $M$  or  $N$  can be written intrinsically:

$$T_N(R) = (\text{Spec}(R[M]))(R) = \text{Hom}_{\text{group}}(M, R^*) = N \otimes_{\mathbb{Z}} R^*.$$

The above uses the adjunction  $R[-] \dashv [-]^*$  where  $[-]^*$  is the functor from the category of  $R$ -algebras to the category of groups mapping underlying ring to its group of invertible elements.

For a basic example, let  $\sigma$  be the cone with generators  $e_1, \dots, e_k$  for some  $k$ ,  $1 \leq k \leq n$ . Then

$$S_\sigma = \mathbb{Z}_{\geq 0} \cdot e_1^* + \mathbb{Z}_{\geq 0} \cdot e_2^* + \dots + \mathbb{Z}_{\geq 0} \cdot e_k^* + \mathbb{Z} \cdot e_{k+1}^* + \dots + \mathbb{Z} \cdot e_n^*.$$

Hence  $A_\sigma = R[X_1, X_2, \dots, X_k, X_{k+1}^{-1}, \dots, X_n, X_n^{-1}]$ , and

$$U_\sigma = \mathbb{A}^k \times \mathbb{G}_m^{n-k}.$$

It follows from this example that if  $\sigma$  is generated by  $k$  elements that can be completed to a basis for  $N$ , then  $U_\sigma$  is a product of affine  $k$ -space and an algebraic torus of rank  $(n - k)$ . In particular, such affine toric schemes are smooth over  $R$ .

Next we look at a singular example. Let  $N$  be a lattice of rank 3, and let  $\sigma$  be the cone generated by four vectors  $v_1, v_2, v_3$ , and  $v_4$  that generate  $N$  and satisfy  $v_1 + v_3 = v_2 + v_4$ . The scheme  $U_\sigma$  is a "cone over a quadric surface", a scheme met frequently when singularities are studied. If we take  $N = \mathbb{Z}^3$  and  $v_i = e_i$  for  $i = 1, 2, 3$ , so  $v_4 = e_1 + e_3 - e_2$ , then  $S_\sigma$  is generated by  $e_1^*, e_3^*, e_1^* + e_2^*$ , and  $e_2^* + e_3^*$ , so

$$A_\sigma = R[X_1, X_3, X_1X_2, X_2X_3] = R[W, X, Y, Z]/(WZ - XY).$$

A homomorphism of semigroups  $S \rightarrow S'$  determines a homomorphism  $R[S] \rightarrow R[S']$  of algebras, hence a morphism  $\text{Spec}(R[S']) \rightarrow \text{Spec}(R[S])$  of affine  $R$ -schemes. In particular, if  $\tau$  is contained in  $\sigma$ , then  $S_\sigma$  is a sub-semigroup of  $S_\tau$ , corresponding to a morphism  $U_\tau \rightarrow U_\sigma$ . For example, the torus  $T_N = U_{\{0\}}$  maps to all of the affine toric schemes  $U_\sigma$  that come from cones  $\sigma$  in  $N$ .

**Lemma 4.** *If  $\tau$  is a face of  $\sigma$ , then the map  $U_\tau \rightarrow U_\sigma$  embeds  $U_\tau$  as a principal open subset of  $U_\sigma$ .*

*Proof.* By Proposition 2 in §1.2, there is a  $u \in S_\sigma$  with  $\tau = \sigma \cap u^\perp$  and

$$S_\tau = S_\sigma + \mathbb{Z}_{\geq 0} \cdot (-u).$$

This implies immediately that each basis element for  $R[S_\tau]$  can be written in the form  $\chi^{w-pu} = \chi^w / (\chi^u)^p$  for  $w \in S_\sigma$ . Hence

$$A_\tau = (A_\sigma)_{\chi^u},$$

which is the algebraic version of the required assertion.  $\square$



More generally, if  $\varphi: N' \rightarrow N$  is a homomorphism of lattices such that  $\varphi_{\mathbb{R}}$  maps a (rational strongly convex polyhedral) cone  $\sigma'$  in  $N'$  into a cone  $\sigma$  in  $N$ , then the dual  $\varphi^{\vee}: M \rightarrow M'$  maps  $S_{\sigma}$  to  $S_{\sigma'}$ , determining a homomorphism  $A_{\sigma} \rightarrow A_{\sigma'}$ , and hence a morphism  $U_{\sigma'} \rightarrow U_{\sigma}$ .

The semigroups  $S_{\sigma}$  arising from cones are special in several respects. First, it follows from the definition that  $S_{\sigma}$  is *saturated*, i.e., if  $p \cdot u$  is in  $S_{\sigma}$  for some positive integer  $p$ , then  $u$  is in  $S_{\sigma}$ . In addition, the fact that  $\sigma$  is strongly convex implies that  $\sigma^{\vee}$  spans  $M_{\mathbb{R}}$ , so  $S_{\sigma}$  generates  $M$  as a group, i.e.,

$$M = S_{\sigma} + (-S_{\sigma}).$$

If  $\sigma$  is a cone in  $N$ , the torus  $T_N$  acts on  $U_{\sigma}$ ,

$$T_N \times U_{\sigma} \rightarrow U_{\sigma},$$

as follows. A point  $t \in T_N(R)$  can be identified with a map  $M \rightarrow R^*$  of groups, and a point  $x \in U_{\sigma}(R)$  with a map  $S_{\sigma} \rightarrow R$  of semigroups; the product  $t \cdot x$  is the map of semigroups  $S_{\sigma} \rightarrow R$  given by

$$u \mapsto t(u)x(u).$$

The dual map on algebras,  $R[S_{\sigma}] \rightarrow R[S_{\sigma}] \otimes R[M]$ , is given by mapping  $\chi^u$  to  $\chi^u \otimes \chi^u$  for  $u \in S_{\sigma}$ . When  $\sigma = \{0\}$ , this is the usual product in the algebraic group  $T_N$ . These maps are compatible with inclusions of open subsets corresponding to faces of  $\sigma$ . In particular, they extend the action of  $T_N$  on itself.

To say it differently, the multiplication in  $\mathbb{G}_m^n$  is known to correspond to the homomorphism  $m^{\#}: R[M] \rightarrow R[M] \otimes R[M]$  given by  $\chi^u \mapsto \chi^u \otimes \chi^u$ . Therefore, if we define  $\mu^{\#}: R[S_{\sigma}] \rightarrow R[M] \otimes R[S_{\sigma}]$  by  $\chi^u \mapsto \chi^u \otimes \chi^u$  the following diagram is commutative:

$$\begin{array}{ccc} R[S_{\sigma}] & \xrightarrow{\mu^{\#}} & R[M] \otimes R[S_{\sigma}] \\ \downarrow & & \downarrow \\ R[M] & \xrightarrow{m^{\#}} & R[M] \otimes R[M]. \end{array}$$

so that  $\mu: T_N \times U_{\sigma} \rightarrow U_{\sigma}$  extends  $m: T_N \times T_N \rightarrow T_N$ . In order to check that this is an action we should check that the following diagrams are commutative:

$$\begin{array}{ccc} T_N \times T_N \times U_{\sigma} & \xrightarrow{\text{id} \times \mu} & T_N \times U_{\sigma} \\ \downarrow m \times \text{id} & & \downarrow \mu \\ T_N \times U_{\sigma} & \xrightarrow{\mu} & U_{\sigma} \end{array} \quad \begin{array}{ccc} \text{Spec } R \times U_{\sigma} & \xrightarrow{e \times \text{id}} & T_N \times U_{\sigma} \\ & \searrow \cong & \downarrow \mu \\ & & U_{\sigma} \end{array}$$

This can be verified directly on algebras using the fact that  $e: \text{Spec } R \rightarrow T_N$  corresponds to  $R[M] \rightarrow R$  given by  $\chi^u \mapsto 1$  for all  $u \in M$  and the isomorphism in the right diagram corresponds to the standard isomorphism  $R[S_{\sigma}] \rightarrow R \otimes_R R[S_{\sigma}]$ .



## Chapter 2

# Singularities and compactness

### 2.1 Local properties of toric varieties

For any cone  $\sigma$  in a lattice  $N$ , the corresponding affine scheme  $U_\sigma$  has a distinguished point, which we denote by  $x_\sigma$ . This point in  $U_\sigma$  is given by a map of semigroups

$$S_\sigma = \sigma^\vee \cap M \rightarrow R,$$

defined by the rule

$$u \mapsto \begin{cases} 1 & \text{if } u \in \sigma^\perp \\ 0 & \text{otherwise.} \end{cases}$$

Note that this is well defined since  $\sigma^\perp$  is a face of  $\sigma^\vee$ , which implies that the sum of two elements in  $\sigma^\vee$  cannot be in  $\sigma^\perp$  unless both are in  $\sigma^\perp$ .

**Proposition 5.** *An affine toric scheme  $U_\sigma$  is smooth over  $\text{Spec}(R)$  if and only if  $\sigma$  is generated by part of a basis for the lattice  $N$ , in which case*

$$U_\sigma \cong \mathbb{A}^k \times \mathbb{G}_m^{n-k}, \quad k = \dim(\sigma).$$

*Proof.* It is enough to show that if  $U_\sigma$  is smooth over  $\text{Spec}(R)$  then  $\sigma$  is generated by part of a basis for the lattice  $N$ . Suppose to start that  $\sigma$  spans  $N_\mathbb{R}$ , so  $\sigma^\perp = \{0\}$ . Assume that  $U_\sigma$  is smooth over  $R$  and let  $\mathfrak{n}$  be a maximal ideal of  $R$  with  $K = R/\mathfrak{n}$ . Take the base change:

$$\begin{array}{ccc} U_\sigma \times_R K & \longrightarrow & U_\sigma \\ \downarrow \pi' & & \downarrow \pi \\ \text{Spec } K & \longrightarrow & \text{Spec } R. \end{array}$$

Note that  $U_\sigma \times_R K$  is the affine toric variety associated with the cone  $\sigma$  if we work in the category of  $K$ -schemes. Moreover, since  $\pi$  is smooth, so is  $\pi'$  by [1, Prop. 6.15]. Therefore, we may assume from the start that  $U_\sigma$  is a variety over a field  $K$ , that is we suppose that  $R = K$  is a field. Then  $\pi$  being smooth implies that  $U_\sigma$  is a regular scheme by [1, Lem. 6.26]. Consider the maximal ideal  $\mathfrak{m} = (\chi^u : u \in S_\sigma \setminus \{0\})$  of  $A_\sigma = K[\sigma^\vee \cap M]$ . The square  $\mathfrak{m}^2$  is generated by all  $\chi^u$  for those  $u$  that are sums of two elements of  $S_\sigma \setminus \{0\}$ . The cotangent space  $\mathfrak{m}/\mathfrak{m}^2$  therefore has a basis of images of elements  $\chi^u$  for those  $u$  in  $S_\sigma \setminus \{0\}$

that are not the sums of two such vectors. For example, the first elements in  $M$  lying along the edges of  $\sigma^\vee$  are vectors of this kind. Since  $U_\sigma$  is regular, the cotangent space  $\mathfrak{m}/\mathfrak{m}^2$  is  $n$ -dimensional, since  $\dim(U_\sigma) = \dim(T_N) = n$ . This implies in particular that  $\sigma^\vee$  cannot have more than  $n$  edges, and that the minimal generators along these edges must generate  $S_\sigma$ . Since  $S_\sigma$  generates  $M$  as a group, the minimal generators for  $S_\sigma$  must be a basis for  $M$ . The dual  $\sigma$  must therefore be generated by a basis for  $N$ . Hence  $U_\sigma$  is isomorphic to affine space  $\mathbb{A}_K^n$ .

Consider now a general case when  $\sigma$  has smaller dimension  $k$ . Let

$$N_\sigma = \sigma \cap N + (-\sigma \cap N)$$

be the sublattice of  $N$  generated (as a subgroup) by  $\sigma \cap N$ . Since  $\sigma$  is saturated,  $N_\sigma$  is also saturated, so the quotient group  $N(\sigma) = N/N_\sigma$  is also a lattice. We may choose a splitting and write

$$N = N_\sigma \oplus N'', \quad \sigma = \sigma' \oplus \{0\},$$

where  $\sigma'$  is a cone in  $N_\sigma$ . Decomposing  $M = M' \oplus M''$  dually, we have  $S_\sigma = ((\sigma')^\vee \cap M') \oplus M''$ , so

$$U_\sigma \cong U_{\sigma'} \times T_{N''} \cong U_{\sigma'} \times \mathbb{G}_m^{n-k}.$$

As before, by taking a base change, we may assume that we work over a field  $K$ . Since  $U_\sigma$  is smooth over  $K$  and  $U_{\sigma'}, T_{N''}$  are affine schemes of finite type over  $K$ , they must be both smooth. Indeed,  $\dim U_\sigma = \dim U_{\sigma'} + \dim T_{N''}$  by [1, Prop. 5.37]. We may choose a presentation of  $U_{\sigma'}, T_{N''}$  as subschemes of affine spaces and then the Jacobian corresponding to  $U_\sigma$  is a block matrix with blocks corresponding to Jacobians of  $U_{\sigma'}$  and  $T_{N''}$ . Therefore, the preceding discussion applies:  $\sigma'$  must be generated by a basis for  $N_\sigma$ .  $\square$

We therefore call a cone *nonsingular* if it is generated by part of a basis for the lattice, and we call a fan *nonsingular* (fan is defined in §1.4) if all of its cones are nonsingular, i.e., if the corresponding toric scheme is smooth. Although a toric scheme may be singular, every toric scheme is normal if the base ring is an integrally closed domain:

**Proposition 6.** *If the base ring  $R$  is integrally closed, then each ring  $A_\sigma$  is integrally closed.*

*Proof.* If  $\sigma$  is generated by  $v_1, \dots, v_r$ , then  $\sigma^\vee = \cap \tau_i^\vee$ , where  $\tau_i$  is the ray generated by  $v_i \in \sigma$ , so  $A_\sigma = \cap A_{\tau_i}$ . We have seen that each  $A_{\tau_i}$  is isomorphic to  $R[X_1, X_2, X_2^{-1}, \dots, X_n, X_n^{-1}]$ , which is integrally closed (polynomial ring over a normal domain is a normal domain and localization of a normal domain is a normal domain), and the proposition follows from the fact that the intersection of integrally closed domains with common field of fractions is integrally closed.  $\square$

# Bibliography

- [1] U. Görtz and T. Wedhorn. *Algebraic Geometry: Part I: Schemes. With Examples and Exercises*. Advanced Lectures in Mathematics. Vieweg+Teubner Verlag, 2010.