FACULTY OF FUNDAMENTAL PROBLEMS OF TECHNOLOGY WROCŁAW UNIVERSITY OF SCIENCE AND TECHNOLOGY

EIGENMODES IN NEARLY INTEGRABLE QUANTUM CHAINS

Jakub Pawłowski

Index number: 250193

Master thesis under supervision of prof. dr hab. Marcin Mierzejewski



This page is intentionally left blank.

I would like to express my sincere gratitude to prof. dr hab. Marcin Mierzejewski for being my

This page is intentionally left blank.

Abstract

 ${\bf Keywords:}\ integrals\ of\ motion,\ ETH,\ integrability\ breaking,\ XXZ\ model$

This page is intentionally left blank.

Contents

1	Intr	roduction	1
2	Krylov subspace methods for quantum many-body systems		3
	2.1	Problems with Exact Diagonalization	4
	2.2	Calculation of extremal eigenvalues	4
		2.2.1 Arnoldi iteration	4
		2.2.2 Polynomial approximation and eigenvalues	7
		2.2.3 Restriction to hermitian matrices: Lanczos iteration	10
	2.3	Time evolution via the Krylov propagator	10
	2.4	Physical interlude: Quantum Typicality	10
	2.5	Correlation functions and the search for integrals of motion	10
3	Spin transport in long range XXZ model		11
4	Relaxation eigenmodes in long range XXZ model		13
5	Sun	nmary	15
Bi	Bibliography		
A	Hill	bert subspaces with fixed momentum	17



1 Introduction

The results concerning spin transport in the long range XXZ have already been published in Mierzejewski et al. [1]. Writing something about computational basis



Krylov subspace methods for quantum many-body systems

One of the two purposes of this thesis is to develop and test a set of numerical tools based on the Krylov subspace methods, which is a family of iterative methods concerned with projecting high dimensional problems into smaller dimension subspaces and solving them therein. The titular Krylov subspace is introduced via

Definition 2.1 Let $\mathbf{v} \in \mathbb{C}^m$ be a vector and $A \in \mathbb{C}^{m \times m}$ be a matrix. A k-th Krylov subspace is defined as

$$\mathcal{K}_k := \operatorname{span}\{\mathbf{v}, A\mathbf{v}, A^2\mathbf{v}, \dots, A^{k-1}\mathbf{v}\}$$

Maximal dimension of a Krylov subspace is bounded from above by rank(A) + 1 [2].

This chapter serves as a pedagogical introduction to the core ideas of these methods, including some of the usually omitted mathematical details. For the initial part of this exposition we follow the excellent textbook of numerical linear algebra by Trefethen and Bau [3], whereas for further applications to quantum many-body physics we rely on the excellent treatments of the topic found in Sandvik [4] and PhD thesis by Crivelli [5].

Reproduce Figure 32.1 about the difference between direct and iterative algorithms

We start this chapter by quickly sketching the problems with "direct" algorithms such as Exact Diagonalization, and quickly follow with the fundamental iterative algorithm for sparse nonhermitian matrices, the Arnoldi iteration. Its outputs admits several possible interpretations, however we shall focus on the problem of locating extremal eigenvalues. Afterwards, we restrict our attention to the class of hermitian matrices, to which of course all typical tigh-binding Hamiltonians belong to, and describe the Lanczos algorithm, which allows for efficient calculation of the ground state eigenvalue and eigenvector, and thus the ground state properties of a system. Yet in this work we are mainly interested in infinite temperature calculations, for which in principle sampling of the whole spectrum is required. To this end, in subsequent sections we develop a scheme for time evolution of arbitrary state, called the Krylov propagator [6], and combine it with the idea of Dynamical Quantum Typicality (DQT),



which states that a single pure state can have the same properties as an ensemble density matrix [7, 8, 9]. We finish this chapter with a proposal of employing this method to the identification of local integrals of motion in a given tight-binding system [10].

2.1 Problems with Exact Diagonalization

The most straightforward numerical method for studying discrete quantum many-body systems is without a doubt Exact Diagonalization (ED) [11]. It belongs to the family of the so-called direct algorithms and allows one to obtain numerically exact set of eigenvalues and eigenvectors and subsequently compute any desired properties of the system, be it thermal expectation values, time evolution, Green's functions etc. Unfortunately, the starting point of any ED calculation is the expression of the Hamiltonian as a dense matrix, in the Hilbert space basis of choice. Taking into account the fact that the dimension many-body Hilbert space grows exponentially with the size of the system, the memory cost quickly becomes prohibitive, even when exploiting conservation laws and related symmetries. For example, in the case of a spin chain of length L, with on-site basis dimension being 2, the full dimension of the Hilbert space would be $\mathcal{D}=2^L$. Taking a modest length of 25 sites, that gives $2^{25}=33554432\approx 3.36\cdot 10^7$ basis states and a memory footprint of Hamiltonian matrix of around 9PB (using doubleprecision floating point numbers), which is 9000 times more than the typical consumer hard drive capacity of 1TB. Even assuming some kind of distributed memory platform allowing for handling such large matrices, the computational complexity of ED, requiring $O(\mathcal{D}^3)$ operations, is the next major hurdle. Therefore, it is exceedingly difficult to probe the thermodynamic limit physics and ED calculations suffer from finite size effects.

Closer investigation of the Hamiltonian matrix, expressed in computational basis¹ quickly reveals the inefficiency of dense storage. Looking at Figure (Here figure with Hamiltonian, basis ordered by magnetization), we see that most of the matrix elements are zero. In fact only about $\mu \propto \mathcal{D}$ out of \mathcal{D}^2 matrix elements are non-zero. Hence, a numerical scheme leveraging this sparsity is highly desirable. This is exactly what the Krylov subspace algorithms do, by the virtue of requiring only a "black box" computation of matrix-vector product, which can be fairly easily implemented in a way requiring only $O(\mu \mathcal{D})$ operations.

2.2 Calculation of extremal eigenvalues

Our goal in this section is to develop the Lanczos algorithm for ground state search of hermitian matrices, and along the way understand how and why it works.

2.2.1 Arnoldi iteration

The Lanczos algorithm is special case of a more general algorithm, called Arnoldi iteration, designed to transform a general, nonhermitian matrix $A \in \mathbb{C}^{m \times m}$ via a orthogonal ² similarity transformation to a Hessenberg form $A = QHQ^{\dagger}$. Such transformation always exist [12].

¹For spin systems, it is the eigenbasis of S^z operator.

²Orthogonal in this context means that $Q^{\dagger}Q = I_{m \times m}$



Definition 2.2 A square, $m \times m$ matrix H is said to be in upper **Hessenberg** form if $\forall i, j \in \{1, ..., n\} : i > j + 1 \implies (A)_{i,j} = 0$. It is said to be in **lower Hessenberg form**, if its transpose is in upper Hessenberg form.

A Hessenberg matrix differs from a triangular one by one additional super- or subdiagonal. Such form is desirable, because many numerical algorithms in linear algebra experience considerable speedup from leveraging triangular structure of a matrix, and sometimes those benefits carry over to this almost-triangular case. A particularly important strength of the Arnoldi iteration is that it can be interrupted before completion (cf. fig 32.1), thus producing only an approximation of the Hessenberg form in situation where m is so large, that full computations are infeasible (eg. in quantum many-body physics).

Assume now that we are able to only compute the first n < m columns of the equation AQ = QH. Let Q_n be the restriction of Q to n columns and let them be denoted by $\mathbf{q_1}, \mathbf{q_2}, \dots \mathbf{q_n} \in \mathbb{C}^m$. Denoting by \tilde{H}_n the $(n+1) \times n$ upper left section of H, which is also a Hessenberg matrix, we can write down the following n-step approximation to the full decomposition

$$AQ_n = Q_{n+1}\tilde{H}_n \tag{2.1}$$

From this equation we can deduce an n+1 term recurrence relation for the column $\mathbf{q_{n+1}}$, however it is perhaps best illustrated with a simple example in the first place.

Example 2.1 Let $A \in \mathbb{C}^{3\times 3}$, AQ = QH be the Hessenberg decomposition and corresponding matrix elements be denoted by lowercase letters. We consider the approximation for n = 2, i.e. $AQ_2 = Q_3\tilde{H}_2$. On the right hand side

$$AQ_{2} = \begin{bmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{bmatrix} \begin{bmatrix} q_{11} & q_{12} \\ q_{21} & q_{22} \\ q_{31} & q_{32} \end{bmatrix} = \begin{bmatrix} a_{11}q_{11} + a_{12}q_{21} + a_{13}q_{31} & a_{11}q_{12} + a_{12}q_{22} + a_{13}q_{32} \\ a_{21}q_{11} + a_{22}q_{21} + a_{23}q_{31} & a_{21}q_{12} + a_{22}q_{22} + a_{23}q_{32} \\ a_{31}q_{11} + a_{32}q_{21} + a_{33}q_{31} & a_{31}q_{12} + a_{32}q_{22} + a_{33}q_{32} \end{bmatrix}$$
$$= \begin{bmatrix} (A\mathbf{q_1})_1 & (A\mathbf{q_2})_1 \\ (A\mathbf{q_1})_2 & (A\mathbf{q_2})_2 \\ (A\mathbf{q_1})_3 & (A\mathbf{q_2})_3 \end{bmatrix}$$

On the left hand side

$$Q_{3}H_{2} = \begin{bmatrix} q_{11} & q_{12} & q_{13} \\ q_{21} & q_{22} & q_{23} \\ q_{31} & q_{32} & q_{33} \end{bmatrix} \begin{bmatrix} h_{11} & h_{12} \\ h_{21} & h_{22} \\ 0 & h_{32} \end{bmatrix} = \begin{bmatrix} q_{11}h_{11} + q_{12}h_{21} & q_{11}h_{12} + q_{12}h_{22} + q_{13}h_{32} \\ q_{21}h_{11} + q_{22}h_{21} & q_{21}h_{12} + q_{22}h_{22} + q_{23}h_{32} \\ q_{31}h_{11} + q_{32}h_{21} & q_{31}h_{12} + q_{32}h_{22} + q_{33}h_{32} \end{bmatrix}$$
$$= \begin{bmatrix} h_{11}(\mathbf{q_1})_1 + h_{21}(\mathbf{q_2})_1 & h_{12}(\mathbf{q_1})_1 + h_{22}(\mathbf{q_2})_1 + h_{32}(\mathbf{q_3})_1 \\ h_{11}(\mathbf{q_1})_2 + h_{21}(\mathbf{q_2})_2 & h_{12}(\mathbf{q_1})_2 + h_{22}(\mathbf{q_2})_2 + h_{32}(\mathbf{q_3})_2 \\ h_{11}(\mathbf{q_1})_3 + h_{21}(\mathbf{q_2})_3 & h_{12}(\mathbf{q_1})_3 + h_{22}(\mathbf{q_2})_3 + h_{32}(\mathbf{q_3})_3 \end{bmatrix}$$

From the above calculation and 2.1 we can read off two identities

$$A\mathbf{q_1} = h_{11}\mathbf{q_1} + h_{21}\mathbf{q_2}$$

 $A\mathbf{q_2} = h_{21}\mathbf{q_1} + h_{22}\mathbf{q_2} + h_{32}\mathbf{q_3}$



Therefore we get, assuming q_1 is known,

$$\mathbf{q_2} = \frac{A\mathbf{q_1} - h_{11}\mathbf{q_1}}{h_{21}}$$
$$\mathbf{q_3} = \frac{A\mathbf{q_2} - h_{21}\mathbf{q_1} - h_{22}\mathbf{q_2}}{h_{32}}$$

Generalizing the above example, we arrive at the desired n+1 term recurrence relation for $\mathbf{q_{n+1}}$

$$\mathbf{q_{n+1}} = \frac{A\mathbf{q_n} - \sum_{m=1}^{n} h_{mn}\mathbf{q_m}}{h_{n+1,n}}$$
(2.2)

We can now easily cast the above recurrence into a pseducode algorithm: Step 9 of the

Algorithm 1 Arnoldi iteration

```
Input: v \in \mathbb{C}^m, A \in \mathbb{C}^{m \times m}, number of steps n
```

Output: columns of Q_n

```
1: \mathbf{q_1} = \mathbf{v}/\|\mathbf{v}\|
2: \mathbf{for} \ i = 1 : n - 1 \ \mathbf{do}
3: \mathbf{q} = A\mathbf{q_i}
```

4: **for** j = 1 : i **do**

: $h_{ji} = \operatorname{cdot}(\mathbf{q_j}, \mathbf{q})$ \triangleright cdot is the complex dot product on \mathbb{C}^m .

6: $\mathbf{q} = \mathbf{q} - h_{ji}\mathbf{q_j} \qquad \qquad \triangleright$

▷ In exact arithmetic, this enusres orthogonality.

7: end for

8: $h_{i+1,i} = \|\mathbf{q}\|$

9: $\mathbf{q_{i+1}} = \mathbf{q}/h_{i+1,i}$

10: end for

Algorithm 1 may be questionable, as we are dividing by a norm of a vector, which after all can be equal to zero. However, in practical applications of Arnoldi iteration it usually means that our calculations have converged and the iterations may be stopped.

Examining closely the Arnoldi iteration algorithm, we notice that it is essentially the Gram-Schmidt procedure applied to the vectors $\{\mathbf{v}, A\mathbf{v}, \dots, A^{n-1}\mathbf{v}\}$ and hence the vectors $\{\mathbf{q_1}, \mathbf{q_2}, \dots, \mathbf{q_n}\}$ form an orthonormal basis of the Krylov subspace \mathcal{K}_n . The orthonormality condition is concisely expressed by the fact that $Q_n^{\dagger}Q_{n+1}$ is the $n \times (n+1)$ identity matrix. Multiplying the left-hand side of equation (2.1) by Q_n^{\dagger} we get

$$Q_n^{\dagger} A Q_n = \underbrace{Q_n^{\dagger} Q_{n+1}}_{\operatorname{Id}_{n \times (n+1)}} \tilde{H}_n = H_n \in \mathbb{C}^{n \times n}$$
(2.3)

where H_n is the Hessenberg matrix \tilde{H}_n with its last row removed.

To understand the meaning of matrix H_n from the point of view of linear algebra, consider the following reasoning. Imagine we are given an endormophism of the space \mathbb{C}^m , represented in the standard basis by a matrix A. We would like to restrict it to a endormophism of the Krylov subspace \mathcal{K}_n , n < m. Of course, as $\mathbf{q} \in \mathcal{K}_n \implies \mathbf{q} \in \mathbb{C}^m$, we can calculate the action of A on a vector from Krylov subspace in a straightforward way. However, the resulting vector $A\mathbf{q}$ in not guaranteed to be an element of \mathcal{K}_n . We need to orthogonally project it back to the subspace. Such projection is realized by $Q_n Q_n^{\dagger} \in \mathbb{C}^{m \times m}$ and hence, with respect to the



standard basis on \mathbb{C}^m , the desired restriction can be written as $Q_n Q_n^{\dagger} A$. Transforming it to the basis given by columns of Q_n we get $Q_n^{-1} \left(Q_n Q_n^{\dagger} A \right) Q_n = Q_n^{\dagger} A Q_n$. Thus, matrix H_n is the orthogonal projection of A to the subspace \mathcal{K}_n , represented in the basis $\{\mathbf{q}_1, \mathbf{q}_2, \dots, \mathbf{q}_n\}$.

 H_n is once again a square matrix, so we can talk about its eigenvalues $\{\theta_i\}_{i=1}^n$ in the usual fashion. These numbers are called the *Arnoldi eigenvalues estimates at step n*, or the *Ritz values with respect to* \mathcal{K}_n . Given the interpretation above, we may suspect that they would be related to the eigenvalues of the original matrix A. Indeed, as we shall see in a moment, some of the Ritz values are extremally good approximations of some of the original eigenvalues.

2.2.2 Polynomial approximation and eigenvalues

By carrying out the Arnoldi iterations for succesive steps, and at each step n (or at just some of the steps) calculating the eigenvalues of the Hessenberg matrix H_n , we are left with sequences of Ritz values. Some of them often converge rapidly to, what we reasonably assume, eigenvalues of the original matrix A. However in practive, the maximal accessible n is much smaller than m, so we cannot expect to find all eigenvalues. As it turns out, Arnoldi iteration typically finds extremal eigenvalues, which fortunately are those that we are interested in.

Before we will understand the details, let us introduce different, seemingly unrelated problem of polynomial approximation. We can take any $\mathbf{q} \in \mathcal{K}_n$ and using the defining basis of Krylov subspace \mathcal{K}_n (Definition 2.1), expand is as

$$\mathbf{q} = a_0 \mathbf{v} + a_1 A \mathbf{v} + a_2 A^2 \mathbf{v} + \dots + a_{n-1} A^{n-1} \mathbf{v}$$

= $\left(a_0 \mathbb{1} + a_1 A + a_2 A^2 + \dots + a_{n-1} A^{n-1} \right) \mathbf{v}$

Utilizing the special structure of vectors from \mathcal{K}_n , we can define a polynomial $p(z) = a_0 + a_1 z + a_2 z^2 + \ldots + a_{n-1} z^{n-1}$, and concisely write our vector as $\mathbf{q} = p(A)\mathbf{v}$. As the vector \mathbf{q} was arbitrary, we have estabilished an isomorphism between the n-th Krylov subspace and the space of complex polynomials of maximal degree n-1. We are now ready to state the problem:

Arnoldi Approximation Problem

Given a matrix
$$A \in \mathbb{C}^{m \times m}$$
 and a vector $\mathbf{v} \in \mathbb{C}^m$, find $p \in P^n := \{ a_0 + a_1 z + \ldots + a_{n-1} z^{n-1} + z^n \mid a_0, a_1, \ldots a_{n-1} \in \mathbb{C} \}$ such that $\|p(A)\mathbf{v}\|_2$ is minimized.

Remarkably, the Arnoldi approximation is the exact solution to this problem. This fact is interesting enough that we state it here as a theorem and, following Trefethen and Bau [3], provide a complete proof.

Theorem 2.1 If $dim(\mathcal{K}_n) = n$, i.e. matrix having columns $\mathbf{v}, A\mathbf{v}, \dots, A^{n-1}\mathbf{v}$ is of rank n, then the Arnoldi Approximation Problem has a unique solution $p^n \in P^n$, given by the characteristic polynomial of the matrix H_n , defined by (2.3).

Proof. We start with an observation, that given a polynomial $p \in P^n$, the vector $p(A)\mathbf{v}$ can be written as $p(A)\mathbf{v} = A^n\mathbf{v} - Q_n\mathbf{r}$ for some $\mathbf{r} \in \mathbb{C}^n$. To see that, note that $(A^n\mathbf{v} - p(A)\mathbf{v}) \in \mathcal{K}_n$



and columns of Q_n form an orthonormal basis of \mathcal{K}_n . Now, we can recast our problem into a slighlty different language, namely finding a vector in \mathcal{K}_n that is the closest in the sense of L_2 norm to $A^n\mathbf{v}$. In short:

$$\mathbf{r}^* = \min_{\mathbf{r} \in \mathbb{C}^n} \|A^n \mathbf{v} - Q_n \mathbf{r}\|$$

To achieve that, we need to have $p(A)\mathbf{v} \perp \mathcal{K}_n$, that is $p(A)\mathbf{v}$ must be orthogonal to all basis vectors spanning \mathcal{K}_n . This is consisely expressed as $Q_n^{\dagger}p(A)\mathbf{v} = \mathbf{0} \in \mathbb{C}^n$.

Now, we know that the Hessenberg factorization $A = QHQ^{\dagger}$ exists, and is approximated by n steps of the Arnoldi iteration. Thus, the matrices Q and H can have the following block structure:

$$Q = \begin{bmatrix} Q_n & V \end{bmatrix}, \quad H = \begin{bmatrix} H_n & 0_{n \times (m-n)} \\ Y & 0_{(m-n) \times (m-n)} \end{bmatrix}$$
 (2.4)

where $V \in \mathbb{C}^{m \times (m-n)}$ is a matrix with orthonormal columns, which are also orthogonal to columns of Q_n , and matrix $Y \in \mathbb{C}^{(m-n)\times n}$ has only the upper-right entry different from zero (the one from the last row of \tilde{H}_n). Using the Hessenberg factorization we can write our condition as $Q_n^{\dagger}Qp(H)Q^{\dagger}\mathbf{v} = \mathbf{0}$, and because equation (2.4) introduces partitions into conformable blocks, we can use the rules of block-matrix algebra to simiplify it further [13].

First, let us investigate closely the structure of p(H). We observe that

$$H^{2} = \begin{bmatrix} H_{n} & 0_{n \times (m-n)} \\ Y & 0_{(m-n) \times (m-n)} \end{bmatrix}^{2} = \begin{bmatrix} H_{n}^{2} & 0_{n \times (m-n)} \\ YH_{n} & 0_{(m-n) \times (m-n)} \end{bmatrix}$$

$$H^{3} = \begin{bmatrix} H_{n} & 0_{n \times (m-n)} \\ Y & 0_{(m-n) \times (m-n)} \end{bmatrix}^{3} = \begin{bmatrix} H_{n}^{3} & 0_{n \times (m-n)} \\ YH_{n}^{2} & 0_{(m-n) \times (m-n)} \end{bmatrix}$$
...

$$H^{n} = \begin{bmatrix} H_{n} & 0_{n \times (m-n)} \\ Y & 0_{(m-n) \times (m-n)} \end{bmatrix}^{n} = \begin{bmatrix} H_{n}^{n} & 0_{n \times (m-n)} \\ Y H_{n}^{n-1} & 0_{(m-n) \times (m-n)} \end{bmatrix}$$

Thus p(H) can be written as

$$\begin{split} p(H) &= a_0 \mathbb{1} + a_1 H + a_2 H^2 + \ldots + a_{n-1} H^{n-1} + H^n \\ &= \begin{bmatrix} a_0 \mathbb{1} + a_1 H_n + a_2 H_n^2 + \ldots + a_{n-1} H_n^{n-1} + H_n^n & 0_{n \times (m-n)} \\ a_0 \mathbb{1} + a_1 Y + a_2 Y H_n + \ldots + a_{n-1} Y H_n^{n-2} + Y H_n^{n-1} & 0_{(m-n) \times (m-n)} \end{bmatrix} \\ &= \begin{bmatrix} p(H_n) & 0 \\ \tilde{Y} & 0 \end{bmatrix} \end{split}$$

We have now all the pieces to simplify the orthogonality condition:

$$\mathbf{0} = Q_n^{\dagger} Q p(H) Q^{\dagger} \mathbf{v}$$

$$= \begin{bmatrix} Q_n^{\dagger} \end{bmatrix} \begin{bmatrix} Q_n & V \end{bmatrix} \begin{bmatrix} p(H_n) & 0_{n \times (m-n)} \\ \tilde{Y} & 0_{(m-n) \times (m-n)} \end{bmatrix} \begin{bmatrix} Q_n^{\dagger} \\ U^{\dagger} \end{bmatrix} \mathbf{v}$$

$$= \begin{bmatrix} \mathbb{1}_{n \times n} & 0_{n \times (m-n)} \end{bmatrix} \begin{bmatrix} p(H_n) & 0_{n \times (m-n)} \\ \tilde{Y} & 0_{(m-n) \times (m-n)} \end{bmatrix} \begin{bmatrix} Q_n^{\dagger} \\ U^{\dagger} \end{bmatrix} \mathbf{v}$$

$$= \begin{bmatrix} p(H_n) & 0_{n \times (m-n)} \end{bmatrix} \begin{bmatrix} Q_n^{\dagger} \\ U^{\dagger} \end{bmatrix} \mathbf{v}$$

$$= p(H_n) Q_n^{\dagger} \mathbf{v}$$

As a final step, notice that by construction the first row of Q_n^{\dagger} is $\mathbf{v}/\|\mathbf{v}\|$, and all the remaining rows are orthogonal to \mathbf{v} , therefore only the first column of H_n , or the first n elements of the first column of H are required to be 0. By Caylel-Hamilton theorem, this is guaranteed if we take $p = p^n$, where p^n is the characteristic polynomial of H_n . For the uniqueness part, suppose that there exists another polynomial, say q^n such that $q^n \perp \mathcal{K}_n$. But then $p^n - q^n$ is a nonzero polynomial of degree n-1 (because p^n , q^n are monic) such that $(p^n - q^n)(A)\mathbf{v} = \mathbf{0}$, and hence vectors $\mathbf{v}, A\mathbf{v}, \ldots, A^{n-1}\mathbf{v}$ are linearly dependent, which violates assumption that $\dim(\mathcal{K}_n) = n$.

This theorem allows us to interpret the Arnoldi eigenvalues estimates $\{\theta_i\}$ as the roots of the optimal polynomial. Following the above proof, it is relatively easy to see that they are scale invariant, i.e. if $A \to \alpha A$ for some $\alpha \in \mathbb{C}$, then $\{\theta_i\}_{i=1}^n \to \{\alpha \theta_i\}_{i=1}^n$ and invariant under unitary transformations, i.e. if $A \to UAU^{\dagger}$ and $\mathbf{v} \to U\mathbf{v}$ for some unitary U, then the Arnoldi estimates are unchanged. Furthermore, owing to the properties of monic polynomials, they are also translationally invariant, namely if $A \to A + \alpha \mathbb{1}$ for some $\alpha \in \mathbb{C}$, then $\{\theta_i\}_{i=1}^n \to \{\theta_i + \alpha\}_{i=1}^n$.

In the end we see that the direct purpose of Arnoldi iteration is to solve a polynomial approximation problem and not to find eigenvalues. However, those two problems have enough in common, that the Arnoldi iteration produces some correct eigenvalues as a 'by-product'. We can reason along the following lines. If our task is to find a polynomial $p \in P^n$ minimizing ||p(A)||, it may be a good idea to select a polynomial that has roots close to the eigenvalues of A. In an extreme situation, when there exists a diagonalization of A and it posses only $n \ll m$ distinct eigenvalues, the minimal polynomial³ will coincide with the characteristic polynomial computed via Arnoldi iteration after n steps and the Arnoldi eigenvalue approximations will be exact, provided we start from \mathbf{v} having nonzero overlap with all eigenvectors of A. In most practical situations however, the agreement is only approximate, namely Arnoldi eigenvalues are close to real eigenvalues, and computed polynomial is such that ||p(A)|| is small.

There is more to this story than we have told here, particularly a nice geometric interpretation of Algorithm 1 via *Arnoldi lemniscates*, which illustrates why extremal eigenvalues are found first, however we shall not concern ourselves with those matters any further. Interested readers are once again referred to Trefethen and Bau [3], whereas we turn our attention to

³A minimal polynomial of matrix A is a polynomial p of the smallest degree such that p(A) = 0. It always divides the characteristic polynomial.



the case of utmost interest in quantum mechanics, namely Arnoldi iteration for hermitian matrices.

2.2.3 Restriction to hermitian matrices: Lanczos iteration

From this point onwards, let us switch to the bracket notation favored by physicsts, namely $|v\rangle \equiv \mathbf{v}$.

- 2.3 Time evolution via the Krylov propagator
- 2.4 Physical interlude: Quantum Typicality
- 2.5 Correlation functions and the search for integrals of motion

Spin transport in long range XXZ model

The results concerning spin transport in the long range XXZ have already been published in Mierzejewski et al. [1].



4

$\begin{tabular}{ll} Relaxation eigenmodes in long range XXZ \\ model \end{tabular}$

The results concerning spin transport in the long range XXZ have already been published in Mierzejewski et al. [1].



5 Summary

AAA



Bibliography

- [1] M. Mierzejewski et al. "Quasiballistic transport in the long-range anisotropic Heisenberg model". In: *Physical Review B* 107.4 (Jan. 2023), p. 045134. DOI: 10.1103/PhysRevB. 107.045134. URL: https://link.aps.org/doi/10.1103/PhysRevB.107.045134 (visited on 02/16/2023).
- [2] Valeria Simoncini and L. ELDÉN. "Krylov subspaces". In: *The Princeton Companion to Applied Mathematics* (2015), pp. 113–114.
- [3] Lloyd N. Trefethen and David III Bau. *Numerical Linear Algebra*. Philadelphia, 1997. ISBN: 9780898713619.
- [4] Anders W. Sandvik. "Computational studies of quantum spin systems". In: AIP Conference Proceedings 1297.2010 (Jan. 18, 2011), pp. 135–338. ISSN: 0094-243X. DOI: 10.1063/1.3518900. arXiv: 1101.3281.
- [5] Dawid Crivelli. "Particle and energy transport in strongly driven one-dimensional quantum systems". PhD thesis. Uniwersytet Śląski w Katowicach, 2016.
- [6] Tae Jun Park and J. C. Light. "Unitary quantum time evolution by iterative Lanczos reduction". In: *The Journal of Chemical Physics* 85.10 (Nov. 1986), pp. 5870–5876. ISSN: 0021-9606. DOI: 10.1063/1.451548. URL: https://aip.scitation.org/doi/10.1063/1.451548 (visited on 12/23/2022).
- [7] J. Gemmer and G. Mahler. "Distribution of local entropy in the Hilbert space of bi-partite quantum systems: origin of Jaynes' principle". en. In: *The European Physical Journal B Condensed Matter and Complex Systems* 31.2 (Jan. 2003), pp. 249–257. ISSN: 1434-6036. DOI: 10.1140/epjb/e2003-00029-3. URL: https://doi.org/10.1140/epjb/e2003-00029-3 (visited on 12/23/2022).
- [8] Sheldon Goldstein et al. "Canonical Typicality". In: *Physical Review Letters* 96.5 (Feb. 2006), p. 050403. DOI: 10.1103/PhysRevLett.96.050403. URL: https://link.aps.org/doi/10.1103/PhysRevLett.96.050403 (visited on 12/23/2022).
- [9] Sandu Popescu, Anthony J. Short, and Andreas Winter. "Entanglement and the foundations of statistical mechanics". en. In: *Nature Physics* 2.11 (Nov. 2006), pp. 754–758. ISSN: 1745-2481. DOI: 10.1038/nphys444. URL: https://www.nature.com/articles/nphys444 (visited on 12/23/2022).
- [10] Marcin Mierzejewski, Peter Prelovšek, and Tomaž Prosen. "Identifying local and quasilocal conserved quantities in integrable systems". In: *Physical Review Letters* 114.14 (Apr. 8, 2015), pp. 1–7. ISSN: 1079-7114. DOI: 10.1103/PhysRevLett.114.140601. arXiv: arXiv:1412.6974v2.

- [11] Alexander Weisse and Holger Fehske. "Exact Diagonalization Techniques". en. In: ed. by H. Fehske, R. Schneider, and A. Weisse. Lecture Notes in Physics. Berlin, Heidelberg: Springer, 2008, pp. 529–544. ISBN: 9783540746867. DOI: 10.1007/978-3-540-74686-7_18. URL: https://doi.org/10.1007/978-3-540-74686-7_18 (visited on 03/11/2023).
- [12] Stephan Ramon Garcia and Roger A. Horn. A Second Course in Linear Algebra. en. Google-Books-ID: nXOuDgAAQBAJ. Cambridge University Press, May 2017. ISBN: 9781107103818.
- [13] Howard Eves. *Elementary Matrix Theory*. English. New York: Dover Publications, Apr. 1980. ISBN: 9780486639468.



Hilbert subspaces with fixed momentum

