

# Generating function

To solve

Counting problems, recurrence relations,  
Combinatorial identities

Def<sup>n</sup>  $(a_n)$  is a seq<sup>n</sup>.

The generating function for the sequence  
 $a_0, a_1, a_2, \dots, a_n, \dots$  of real numbers  
is the infinite series

$$G(x) = a_0 + a_1 x + \dots + a_n x^n + \dots = \sum_{k=0}^{\infty} a_k x^k$$

Ex<sup>1</sup>  $(a_n) = 3$   $\sum_{k=0}^{\infty} 3 x^k$

$$a_k = k+1$$
$$\sum_{k=0}^{\infty} (k+1) x^k$$

finite sequence

$$a_0, a_1, \dots, a_n$$

generating function

$$G(x) = a_0 + a_1 x + \dots + a_n x^n$$

$$\begin{array}{l} a_{n+1} = 0 \\ a_{n+2} = 0 \\ \vdots \\ \text{So on} \end{array}$$

Ex 1

generating function for

$$1, 1, 1, 1, 1, 1$$

$$G(x) = 1 + x + x^2 + x^3 + x^4 + x^5 = \frac{x^6 - 1}{x - 1}$$

$$\left[ a + ar + ar^2 + \dots + ar^n = \frac{a(r^{n+1} - 1)}{r - 1} \quad r \neq 1 \right]$$

$$\boxed{\frac{x^6 - 1}{x - 1}}$$

## Important Facts

Ex 1  $f(z) = \frac{1}{1-z}$

1, 1, 1, ...

$$\underline{1 + z + z^2 + z^3 + \dots} = \underline{\sum_{n=0}^{\infty} z^n} = \frac{1 - z^{\infty}}{1 - z}$$

$$\frac{z^n - 1}{z - 1}$$

$$|z| < 1$$

$$z^n \rightarrow 0$$

$$\frac{1}{1-z}$$

$$\frac{1}{1-z}$$

$$|z| < 1$$

Exp  $f(x) = \frac{1}{1-ax}$

$$[1, a, a^2, a^3, a^4, \dots]$$

$$[1 + ax + a^2x^2 + a^3x^3 \dots]$$

$$1 + ax + (ax)^2 + (ax)^3 + \dots$$

$$\frac{1}{1-ax}$$

$$a_n = 1$$

$$\frac{1}{1-x} = \sum_{n=0}^{\infty} 1 + x + x^2 + \dots$$

$$a_n = (a^k)$$

$$\frac{1}{1-ax} = \sum_{n=0}^{\infty} a^k x^k = 1 + ax + a^2 x^2 + \dots$$

Th<sup>m</sup>

Let  $f(x) = \sum_{k=0}^{\infty} a_k x^k$  ( $a_n$ )

$$g(x) = \sum_{k=0}^{\infty} b_k x^k \quad (b_n)$$

$$f(x) + g(x) = \sum_{k=0}^{\infty} (a_k + b_k) x^k$$

$$f(x) \cdot g(x) = \underline{\sum_{k=0}^{\infty} \left( \sum_{j=0}^k a_j b_{k-j} \right) x^k}$$

Ex 1

$$\underline{\underline{\frac{1}{1-x}}}$$

$$\underbrace{1, 1, 1, \dots}_{\sum_{n=0}^{\infty} x^n}$$

$$\underbrace{\frac{1}{(1-x)^2}}$$

Find the coeffs  $a_0, a_1, a_2, \dots$

$$\frac{1}{(1-x)^2} = \sum_{k=0}^{\infty} \left( \sum_{j=0}^k 1 \right) x^k = \sum_{k=0}^{\infty} (k+1) x^k$$



# Using Generating Functions to solve Recurrence Relations

Ex 1 Valid code word: String of digits with even no. of 0 digits.

257030  
valid

0, 1, 2, ..., 9.

283590  
invalid

Let  $a_n$  be the no. of  $n$  digit valid code words.  
Find a recurrence relation for  $a_n$ .

Sol<sup>n</sup>  $q_n = \text{no. of valid } n\text{-digit codewords}$

$$q_1 = 9 \quad 0, \underbrace{1, \dots, 9}$$

$$\boxed{q_n} =$$

$q_{n-1} = \text{no. of valid } \underbrace{n-1 \text{ digits}} \text{ codewords}$

first we

$$10^{n-1} - q_{n-1} \quad \text{first we} \quad \underline{9 q_{n-1}} \quad \text{is} \quad + \quad \underbrace{(10^{n-1} - q_{n-1})}_{\text{Note } n-1}$$
$$\boxed{q_n = 8 q_{n-1} + 10^{n-1}}$$

$$\underline{a_n = 8a_{n-1} + 10^{n-1}}$$

$$\underline{a_1 = 8 \cdot 1 + 10 = 8 + 10 = 18}$$

$$\underline{a_1 = 18}$$

$$\underline{a_0 = 1}$$

consistent.

$$a_n x^n = 8a_{n-1} x^n + 10^{n-1} x^n$$

Let  $\underline{G(x) = \sum_{n=0}^{\infty} a_n x^n}$  be the generating

function of the sequence

$$\underline{G(x) - 1 = \sum_{n=1}^{\infty} a_n x^n}$$

$\underline{a_0, a_1, a_2, \dots}$   $\underline{(a_n)}$

$$G(x)-1 = \sum_{n=1}^{\infty} a_n x^n = \sum_{n=1}^{\infty} (8a_{n-1} + 10^{n-1}) x^n$$

$$= 8x \sum_{n=1}^{\infty} a_{n-1} x^{n-1} + x \sum_{n=1}^{\infty} 10^{n-1} x^{n-1}$$

$$= 8x \underbrace{\sum_{n=0}^{\infty} a_n x^n}_{G(x)} + x \underbrace{\sum_{n=0}^{\infty} 10^n x^n}_{\frac{1}{1-10x}}$$

$$= 8x G(x) + \frac{x}{1-10x}$$

solve for  $G(x)$

$$G(x) = \frac{1-9x}{(1-8x)(1-10x)}$$

$$\begin{aligned}
 \underline{G(x)} &= \overset{\text{use}}{\frac{1}{2}} \left( \frac{1}{1-8x} + \frac{1}{1-10x} \right) \\
 &= \frac{1}{2} \left( \sum_{n=0}^{\infty} 8^n x^n + \sum_{n=0}^{\infty} 10^n x^n \right)
 \end{aligned}$$

$$\underline{\sum_{n=0}^{\infty} a_n x^n} = \sum_{n=0}^{\infty} \frac{1}{2} (8^n + 10^n) x^n$$

$$\underline{a_n = \frac{1}{2}(8^n + 10^n)} \quad \checkmark$$

# Proving Identities Via Generating Functions

Exp Use generating functions to show that

$$\sum_{k=0}^n \binom{n}{k}^2 = \binom{2n}{n}$$

Coefficient of  $x^n$  in  $(1+x)^{2n}$

$$(1+x)^{2n} = \left[ (1+x)^n \right]^2 = \left[ \binom{n}{0} + \binom{n}{1}x + \binom{n}{2}x^2 + \dots + \binom{n}{n}x^n \right]^2$$

Coefficient of  $x^n$  is

$$C(n,0)C(n,n) + C(n,1)C(n,n-1) + C(n,2)C(n,n-2) \\ \dots + C(n,n)C(n,0) = \sum_{k=0}^n C(n,k)^2$$

$$A \quad C(n, n-k) = C(n, k)$$