

Bundle ID
Client Data Sheet (for Independent RIA) aap

78210 Scott Summerlin PFG Advisors

Investment Advisor Rep Number _Investment Advisor Rep Name Investment Advisory Firm Name
Account Title

Scott Summerlin, IRA

ACCOUNT INFORMATION

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Assets Held At (Check one)
PI Third Party Custodian Custodian Name| ASsetMark 00003:
Account Numb
Oo Money Manager Sponsor / Program Name}

REGISTRATION TYPE

Personal Accounts Custodial Qualified Accounts Plan Type
OO Individual [C1 uGMA = _[] Guardian C1 529 Plan O01 Minor Roth OPian Participant
LD Sole Proprietor Oum™maA — L) Conservator plea 01 Education IRA Non-ERISA
Joint Account Estate Account Cy SEP IRA O01 SIMPLE Com [1 Individual (k)
O With Rights 1 Personal Representative (J) Roth IRA —_] SIMPLE Part. T4570)
i Executo: 4031
1 Tenants in Common O01 Executor CO Minor IRA Oo \b)
1 Community Property 0 401@

Entity Accounts

(D Comm Prop w/Rights CO Corporation are :
—_ — 1 Limited Liability Co y C1 Defined Benefit Pension Plan
Transfer On Death 1 Non-Corporate
(CO TOD- Individual O Partnership 11 401«)
0 Profit Sharing

DD TOD - Joint With Rights O Trust
DD Money Purchase

PRIMARY ACCOUNT HOLDER INFORMATION

Primary Account Holder Relationship

PI individual Owner O Minor O1FBo OIEstate [F Limited LiabilityCompany [[] Partnership (1 Non-Corporate)
(1 Joint Holder* O Donor (1 Corporation =F] Trust D Sole Proprietorship (7 Investment Club
*For Tenants in Common indicate this owner's share

If Entity Indicate Type of Business

CO
Summerlin Scott fw] |

Last Name/Entity Name First Name MI

SSN
asa — | 2/20/1968

SECONDARY ACCOUNT HOLDER INFORMATION

Secondary Account Holder Relationship

1 Joint Holder* (0 Administrator DD Guardian O Trust

0 Conservator CO Trustee LO Naked Owner (Usufruct) CJ Sole Proprietor

D0 Custodian 00 Executor DD Partner

FBO Personal Plan Administ

Oo Oo Rep 1 Plan im *For Tenants in Common indicate this owner's share [|

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tN (il ICDS 04/2019

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Secondary Account Holder Personal Information (continued)

Date of Birth/Plan/Trust Date €MM/DD/YYYY)

suitability

Financial Situation and Tax Status

Estimated Annual Income \$ Federal Tax Bracket

(From all sources) oO 15% and below

Estimated Net Worth \$

(Exclusive of primary hd 15.1% to 27.5%

Estimated Liquid Net Worth \$

(Including cash and securities) | Over 27.5%

Investment Profile

Investment Purpose (Select all that apply)

oO Save for education oO Generate income oO Preserve wealth KI Retirement Purposes PJ Accumul

Oo Save for short term goals | Other

Investment Objective Investment Knowledge Risk Tolerance

(current Income [] Balanced [] Growth & Income L] None LJ] Conservative

QI Growth 1 Maximum Growth D1 Limitea LC Moderately Conservative

Investment Time Horizon LD Good

Oo Short (less than 5 years) i Long (over 10 years) Moderate

PM Excellent OM chy A .

[1 Intermediate (5 - 10 years) loderately Aggressive

Investment Experience (Indicate # of years) x Aggressive

oO Speculative

Mutual Funds Bonds Limited Partnerships Variable Annuities | Options

Investment Advisor Representative Signature

The Investment Advisor Representative ("IAR") whose signature appears below acknowledges that:

© Supervision of securities transactions conducted for the client account(s), by the Broker/Dealer ("B/D

FINRA Notices to Members 94-44 and 96-33. IAR agrees to submit to surveillance by the B/D for the E

Information contained in this Client Data Sheet is relied upon by the B/D to perform such supervision.

© Although the B/D has a supervisory obligation with respect to securities transactions, IAR has comp
applicable regulations to which the IAR and IAR's independent registered investment advisor firm are :

© TAR has reviewed this Client Data Sheet for completeness and accuracy and represents that the int
consistent with any client information obtained separately by IAR.

e IAR agrees to promptly notify the B/D of any changes to client's legal name, investment objective, or
Data Sheet for this account and all of client's other accounts associated with this Client Data Sheet tha

* IAR may not participate in any securities transactions for client that are not approved by the B/D.

Investment Advisor Representative Name (Printed) Investment Advisor Representative Signature Date

DocuSigned by:

Scott Summerlin Set Summerlin

