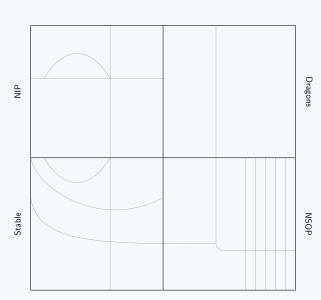
## Special coheirs and model-theoretic trees

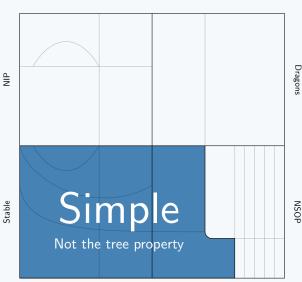
James E. Hanson

University of Maryland

April 20, 2024 5:00 PM CDT AMS Special Session on Model Theory II

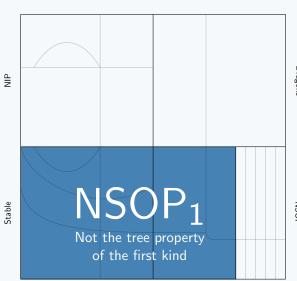


Examples:



Examples:

Simple: Generic graph



Examples:

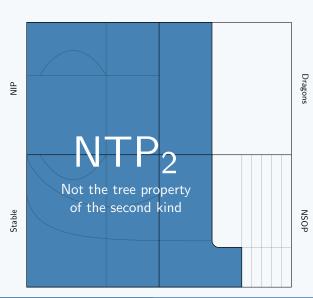
Simple: Generic graph

Dragons

NSOP<sub>1</sub>: Generic binary

function

NSOP



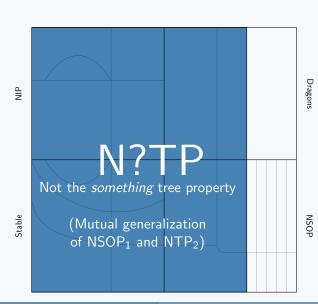
Examples:

Simple: Generic graph

NSOP<sub>1</sub>: Generic binary function

NTP<sub>2</sub>: Generic linearly ordered graph

ordered gra



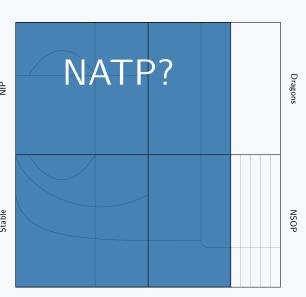
Examples:

Simple: Generic graph

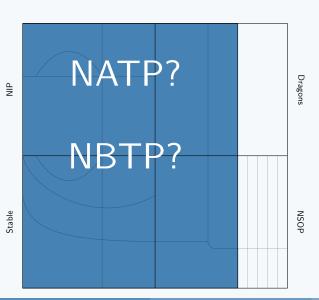
NSOP<sub>1</sub>: Generic binary function

NTP<sub>2</sub>: Generic linearly ordered graph

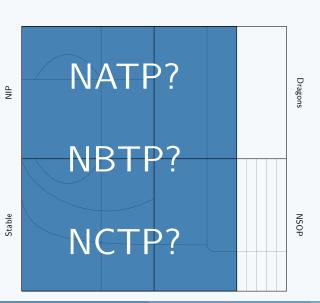
N?TP: Generic linear order + binary function



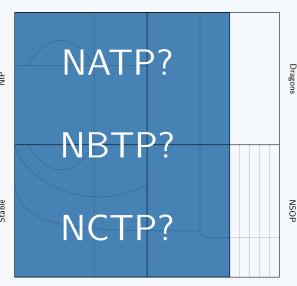
James E. Hanson (UMD)



James E. Hanson (UMD)



James E. Hanson (UMD)



Antichain tree property (Ahn, Kim)

Bizarre tree property (Ramsey, Kruckman)

Comb tree property (Mutchnik)

Given a structure M we can use an ultrafilter  $\mathcal U$  on M (an M-coheir) to 'generate' a sequence of new elements (in the monster model).

Given a structure M we can use an ultrafilter  $\mathcal{U}$  on M (an M-coheir) to 'generate' a sequence of new elements (in the monster model).

Example  $(\mathbb{Q}, <)$  with ultrafilter concentrating at  $+\infty$ :

Given a structure M we can use an ultrafilter  $\mathcal{U}$  on M (an M-coheir) to 'generate' a sequence of new elements (in the monster model).

Example  $(\mathbb{Q}, <)$  with ultrafilter concentrating at  $+\infty$ :

Given a structure M we can use an ultrafilter  $\mathcal{U}$  on M (an M-coheir) to 'generate' a sequence of new elements (in the monster model).

Example  $(\mathbb{Q}, <)$  with ultrafilter concentrating at  $+\infty$ :

Given a structure M we can use an ultrafilter  $\mathcal{U}$  on M (an M-coheir) to 'generate' a sequence of new elements (in the monster model).

Example  $(\mathbb{Q}, <)$  with ultrafilter concentrating at  $+\infty$ :

 $a_0, a_1, \ldots$  is the Morley sequence generated by  $\mathcal{U}$ .

#### Theorem (Kaplan, Ramsey)

T has SOP<sub>1</sub> iff there are two coheirs  $\mathcal U$  and  $\mathcal V$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal U$  but not along  $\mathcal V$ .

## Theorem (Kaplan, Ramsey)

T has SOP<sub>1</sub> iff there are two coheirs  $\mathcal{U}$  and  $\mathcal{V}$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal{U}$  but not along  $\mathcal{V}$ .

DLO example: Two non-trivial coheirs of the 2-type living in the cut at  $\pi$  over  $\mathbb{Q}$ .

#### Theorem (Kaplan, Ramsey)

T has SOP<sub>1</sub> iff there are two coheirs  $\mathcal{U}$  and  $\mathcal{V}$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal{U}$  but not along  $\mathcal{V}$ .

DLO example: Two non-trivial coheirs of the 2-type living in the cut at  $\pi$  over  $\mathbb{Q}$ .

#### Theorem (Kaplan, Ramsey)

T has SOP<sub>1</sub> iff there are two coheirs  $\mathcal{U}$  and  $\mathcal{V}$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal{U}$  but not along  $\mathcal{V}$ .

DLO example: Two non-trivial coheirs of the 2-type living in the cut at  $\pi$  over  $\mathbb{Q}$ .

#### Heir-coheirs

 $\mathcal{U}_{\text{below}}$  has a special property. The Morley sequence it generates

#### Heir-coheirs

 $\mathcal{U}_{\text{below}}$  has a special property. The Morley sequence it generates

is 'the same' as the Morley sequence generated by a different coheir backwards:

#### Heir-coheirs

 $\mathcal{U}_{\mathsf{below}}$  has a special property. The Morley sequence it generates

is 'the same' as the Morley sequence generated by a different coheir backwards:

This is non-trivial.  $\mathcal{U}_{pinch}$  does not have this property.

## TP<sub>2</sub> in terms of heir-coheirs

#### **Definition**

 $\mathcal U$  is an M-heir-coheir if whenever b realizes  $\mathcal U$  over  $M \cup A$ , there is an M-coheir  $\mathcal V$  such that A realizes  $\mathcal V$  over  $M \cup b$ .

## TP2 in terms of heir-coheirs

#### Definition

 $\mathcal U$  is an M-heir-coheir if whenever b realizes  $\mathcal U$  over  $M \cup A$ , there is an M-coheir  $\mathcal V$  such that A realizes  $\mathcal V$  over  $M \cup b$ .

## Theorem (Chernikov, Kaplan)

T has  $\mathsf{TP}_2$  iff there is a formula  $\varphi(x,b)$ , and an heir-coheir  $\mathcal U$  extending the type of b such that  $\varphi(x,b)$  divides but does not divide along  $\mathcal U$ .

## A path to N?TP?

Kruckman and Ramsey's approach:

## Theorem (Kaplan, Ramsey)

T has  $\mathsf{SOP}_1$  iff there are two coheirs  $\mathcal U$  and  $\mathcal V$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal U$  but not along  $\mathcal V$ .

+

## Theorem (Chernikov, Kaplan)

T has TP<sub>2</sub> iff there is a formula  $\varphi(x,b)$ , and an heir-coheir  $\mathcal{U}$  extending the type of b such that  $\varphi(x,b)$  divides but does not divide along  $\mathcal{U}$ .

## A path to N?TP?

Kruckman and Ramsey's approach:

## Theorem (Kaplan, Ramsey)

T has SOP<sub>1</sub> iff there are two coheirs  $\mathcal U$  and  $\mathcal V$  extending the same type and a formula  $\varphi(x,y)$  that divides along  $\mathcal U$  but not along  $\mathcal V$ .

+

## Theorem (Chernikov, Kaplan)

T has TP<sub>2</sub> iff there is a formula  $\varphi(x,b)$ , and an heir-coheir  $\mathcal U$  extending the type of b such that  $\varphi(x,b)$  divides but does not divide along  $\mathcal U$ .

Lead them to the *bizarre tree property* or *BTP* (uses a weakening of heir-coheirdom).

A formula  $\varphi(x,c)$  has the *k-comb tree property* or *k-CTP* if there is a tree  $(c_{\sigma})_{\sigma \in \omega^{<\omega}}$  of parameters such that

A formula  $\varphi(x,c)$  has the k-comb tree property or k-CTP if there is a tree  $(c_{\sigma})_{\sigma \in \omega^{<\omega}}$  of parameters such that

■ paths are *k*-inconsistent:  $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$  for  $\alpha \in \omega^{\omega}$ ,

A formula  $\varphi(x,c)$  has the k-comb tree property or k-CTP if there is a tree  $(c_{\sigma})_{\sigma \in \omega^{<\omega}}$  of parameters such that

- paths are *k*-inconsistent:  $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$  for  $\alpha \in \omega^{\omega}$ ,
- for any right-comb  $X \subset \omega^{<\omega}$ ,  $\{\varphi(x, c_{\sigma}) : \sigma \in X\}$  is **consistent**.

(Note the switcheroo.)

A formula  $\varphi(x,c)$  has the *k-comb tree property* or *k-CTP* if there is a tree  $(c_{\sigma})_{\sigma \in \omega^{<\omega}}$  of parameters such that

- paths are *k*-inconsistent:  $\{\varphi(x, c_{\alpha \upharpoonright n}) : n < \omega\}$  for  $\alpha \in \omega^{\omega}$ ,
- for any right-comb  $X \subset \omega^{<\omega}$ ,  $\{\varphi(x, c_{\sigma}) : \sigma \in X\}$  is **consistent**.

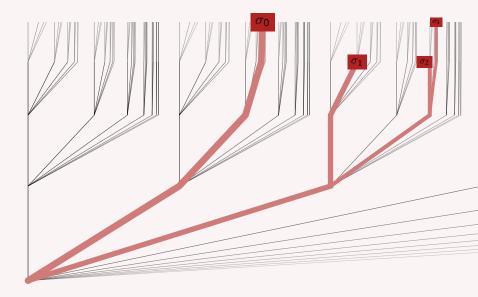
(Note the switcheroo.)

Mutchnik established the following in his proof that  $NSOP_1 = NSOP_2$ .

## Theorem (Mutchnik)

The above condition without the switcheroo is equivalent to  $SOP_1$ .

# A right-comb in $\omega^{<\omega}$



#### Characterization of CTP

## Theorem (H.)

A theory has k-CTP if and only if there is a formula  $\varphi(x,b)$  and an heir-coheir  $\mathcal{U}$  and a coheir  $\mathcal{V}$  extending the type of b such that  $\varphi(x,b)$  k-divides along  $\mathcal{V}$  but does not divide along  $\mathcal{U}$ .

# What's special about heir-coheirs?

If  $\mathcal U$  is an M-heir-coheir and B is some configuration of realizations of  $\mathcal U$  over M, then we can find a clone B' of B with the property that every element of B' realizes  $\mathcal U$  over  $M \cup B$ .

# What's special about heir-coheirs?

If  $\mathcal U$  is an M-heir-coheir and B is some configuration of realizations of  $\mathcal U$  over M, then we can find a clone B' of B with the property that every element of B' realizes  $\mathcal U$  over  $M \cup B$ .

## What's special about heir-coheirs?

If  $\mathcal U$  is an M-heir-coheir and B is some configuration of realizations of  $\mathcal U$  over M, then we can find a clone B' of B with the property that every element of B' realizes  $\mathcal U$  over  $M \cup B$ .





Finding coheirs over models is trivial, but finding heir-coheirs can be hard.

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over  $(\mathbb{R}, <)$  for instance.

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over  $(\mathbb{R}, <)$  for instance.

The standard approach is this:

#### **Fact**

If  $\mathcal{U}$  is a coheir over M and  $N \succ M$  is a sufficiently saturated elementary extension, then  $\mathcal{U}$  is an heir-coheir over N.

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over  $(\mathbb{R}, <)$  for instance.

The standard approach is this:

#### **Fact**

If  $\mathcal{U}$  is a coheir over M and  $N \succ M$  is a sufficiently saturated elementary extension, then  $\mathcal{U}$  is an heir-coheir over N.

This is important for the development of  $NTP_2$  but is seemingly incompatible with the way coheirs are used in  $NSOP_1$  (delicately building two coheirs extending the same type).

Finding coheirs over models is trivial, but finding heir-coheirs can be hard. There are no heir-coheirs over  $(\mathbb{R}, <)$  for instance.

The standard approach is this:

#### **Fact**

If  $\mathcal{U}$  is a coheir over M and  $N \succ M$  is a sufficiently saturated elementary extension, then  $\mathcal{U}$  is an heir-coheir over N.

This is important for the development of  $NTP_2$  but is seemingly incompatible with the way coheirs are used in  $NSOP_1$  (delicately building two coheirs extending the same type).

There are many heir-coheirs over  $(\mathbb{Q},<)$  (any non-realized cut). Is this generalizable?

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

## Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

## Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

#### Proof sketch.

With a finite approximation  $\psi(x)$  of the type we are building generically, look to see if there is a b in the monster such that  $\psi(x) \wedge \varphi(x,b)$  has infinitely many realizations in M.

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

## Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

#### Proof sketch.

With a finite approximation  $\psi(x)$  of the type we are building generically, look to see if there is a b in the monster such that  $\psi(x) \wedge \varphi(x,b)$  has infinitely many realizations in M. Our little bit of saturation says that there's a  $c \in M$  such that  $\psi(x) \wedge \varphi(x,c)$  has infinitely many realizations in M. Commit to this as an approximation of our type.

Let M be a countable model of a countable theory that is a little bit saturated (computable saturation is more than enough).

## Proposition (H.)

There is a comeager set X of non-realized types over M such that any coheir extending a type in X is an heir-coheir.

#### Proof sketch.

With a finite approximation  $\psi(x)$  of the type we are building generically, look to see if there is a b in the monster such that  $\psi(x) \wedge \varphi(x,b)$  has infinitely many realizations in M. Our little bit of saturation says that there's a  $c \in M$  such that  $\psi(x) \wedge \varphi(x,c)$  has infinitely many realizations in M. Commit to this as an approximation of our type.

Argue that if  $\mathcal{U}$  extends the type we built and a realizes  $\mathcal{U}$  over Mb, then every formula in the type of b over Ma is already realized in M by construction.

# The miniaturized argument as a blueprint for CTP

That proof is a forcing argument: We have a set of conditions that we need to satisfy and we are free to satisfy them generically.

## The miniaturized argument as a blueprint for CTP

That proof is a forcing argument: We have a set of conditions that we need to satisfy and we are free to satisfy them generically.

The comb tree property (even on  $2^{<\omega}$  rather than  $\omega^{<\omega}$ ) gives you precisely what you need to generically build an heir-coheir  $\mathcal U$  that is 'shadowed' by a coheir  $\mathcal V$  such that the given formula divides along  $\mathcal V$  but not along  $\mathcal U$ .

#### Definition

A set  $X\subseteq 2^{<\omega}$  is dense above  $\sigma$  if for every  $\tau$  extending  $\sigma$ , there is a  $\mu\in X$  extending  $\tau$ . X is somewhere dense if it is dense above some  $\sigma$ .

#### Definition

A set  $X\subseteq 2^{<\omega}$  is dense above  $\sigma$  if for every  $\tau$  extending  $\sigma$ , there is a  $\mu\in X$  extending  $\tau$ . X is somewhere dense if it is dense above some  $\sigma$ .

#### **Fact**

If  $X \cup Y$  is dense above  $\sigma$ , then either X is dense above  $\sigma$  or there is a  $\tau$  extending  $\sigma$  such that Y is dense above  $\tau$ .

#### **Definition**

A set  $X\subseteq 2^{<\omega}$  is dense above  $\sigma$  if for every  $\tau$  extending  $\sigma$ , there is a  $\mu\in X$  extending  $\tau$ . X is somewhere dense if it is dense above some  $\sigma$ .

#### Fact

If  $X \cup Y$  is dense above  $\sigma$ , then either X is dense above  $\sigma$  or there is a  $\tau$  extending  $\sigma$  such that Y is dense above  $\tau$ .

#### Proof.

Assume X is not dense above  $\sigma$ , then there is a  $\tau$  extending  $\sigma$  such that X contains no elements extending  $\tau$ .

#### **Definition**

A set  $X\subseteq 2^{<\omega}$  is dense above  $\sigma$  if for every  $\tau$  extending  $\sigma$ , there is a  $\mu\in X$  extending  $\tau$ . X is somewhere dense if it is dense above some  $\sigma$ .

#### **Fact**

If  $X \cup Y$  is dense above  $\sigma$ , then either X is dense above  $\sigma$  or there is a  $\tau$  extending  $\sigma$  such that Y is dense above  $\tau$ .

#### Proof.

Assume X is not dense above  $\sigma$ , then there is a  $\tau$  extending  $\sigma$  such that X contains no elements extending  $\tau$ . But then since  $X \cup Y$  is dense above  $\sigma$ , it is also dense above  $\tau$ , whereby Y is dense above  $\tau$ .

Suppose we have a CTP tree  $(b_{\sigma})_{\sigma \in 2^{<\omega}}$  (for the formula  $\varphi(x,y)$ ) in a mildly saturated countable model M.

Suppose we have a CTP tree  $(b_{\sigma})_{\sigma\in 2^{<\omega}}$  (for the formula  $\varphi(x,y)$ ) in a mildly saturated countable model M. We can generically build a path  $(\sigma_i)_{i<\omega}$  of elements of  $2^{<\omega}$  and a filter  $\mathcal F$  on the tree  $b_{\in 2^{<\omega}}$  such that following hold:

Suppose we have a CTP tree  $(b_{\sigma})_{\sigma\in 2^{<\omega}}$  (for the formula  $\varphi(x,y)$ ) in a mildly saturated countable model M. We can generically build a path  $(\sigma_i)_{i<\omega}$  of elements of  $2^{<\omega}$  and a filter  $\mathcal F$  on the tree  $b_{\in 2^{<\omega}}$  such that following hold:

■ For each i,  $\sigma_{i+1}$  extends  $\sigma_i \frown 1$ .

Suppose we have a CTP tree  $(b_{\sigma})_{\sigma \in 2^{<\omega}}$  (for the formula  $\varphi(x,y)$ ) in a mildly saturated countable model M. We can generically build a path  $(\sigma_i)_{i<\omega}$  of elements of  $2^{<\omega}$  and a filter  $\mathcal F$  on the tree  $b_{\in 2^{<\omega}}$  such that following hold:

- For each i,  $\sigma_{i+1}$  extends  $\sigma_i \frown 1$ .
- For each  $X \in \mathcal{F}$ , there is an i such that  $\{b_{\tau} \in X : \tau \succeq \sigma_i\}$  is dense above  $\sigma_i$  and is in  $\mathcal{F}$ .

Suppose we have a CTP tree  $(b_{\sigma})_{\sigma \in 2^{<\omega}}$  (for the formula  $\varphi(x,y)$ ) in a mildly saturated countable model M. We can generically build a path  $(\sigma_i)_{i<\omega}$  of elements of  $2^{<\omega}$  and a filter  $\mathcal F$  on the tree  $b_{\in 2^{<\omega}}$  such that following hold:

- For each i,  $\sigma_{i+1}$  extends  $\sigma_i \frown 1$ .
- For each  $X \in \mathcal{F}$ , there is an i such that  $\{b_{\tau} \in X : \tau \succeq \sigma_i\}$  is dense above  $\sigma_i$  and is in  $\mathcal{F}$ .
- If  $\psi(x,c)$  is an M-formula (with c in the monster) such that  $\{b_{\sigma}: \psi(b_{\sigma},c)\}$  has somewhere dense intersection with every element of  $\mathcal{F}$ , then there is a  $d \in M$  such that  $\{b_{\sigma}: \psi(b_{\sigma},d)\} \in \mathcal{F}$ .

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ igcup_{i < \omega} (\mathsf{cone\ above}\ \sigma_i \frown 0) 
ight\}$$

generates a non-trivial filter,

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ igcup_{i < \omega} (\mathsf{cone\ above}\ \sigma_i \frown 0) 
ight\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal U$  whose elements are all somewhere dense.

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ igcup_{i < \omega} (\mathsf{cone\ above}\ \sigma_i \frown 0) 
ight\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal{U}$  whose elements are all somewhere dense.

The third bullet point ensures that  $\mathcal U$  is in fact an heir-coheir

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ igcup_{i < \omega} (\mathsf{cone\ above}\ \sigma_i \frown 0) 
ight\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal U$  whose elements are all somewhere dense.

The third bullet point ensures that  $\mathcal{U}$  is in fact an heir-coheir and the extra set added to  $\mathcal{F}$  ensures that  $\varphi(x,y)$  does not divide along  $\mathcal{U}$ .

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\mathsf{cone above} \ \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal U$  whose elements are all somewhere dense.

The third bullet point ensures that  $\mathcal{U}$  is in fact an heir-coheir and the extra set added to  $\mathcal{F}$  ensures that  $\varphi(x,y)$  does not divide along  $\mathcal{U}$ .

Finally, let V be any non-principal ultrafilter on  $\{b_{\sigma_i} : i < \omega\}$ .

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\mathsf{cone above} \ \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal U$  whose elements are all somewhere dense.

The third bullet point ensures that  $\mathcal{U}$  is in fact an heir-coheir and the extra set added to  $\mathcal{F}$  ensures that  $\varphi(x,y)$  does not divide along  $\mathcal{U}$ .

Finally, let  $\mathcal{V}$  be any non-principal ultrafilter on  $\{b_{\sigma_i}: i < \omega\}$ . By construction,  $\varphi(x,y)$  will divide along  $\mathcal{V}$ .

The second bullet point now ensures that

$$\mathcal{F} \cup \left\{ \bigcup_{i < \omega} (\mathsf{cone above} \ \sigma_i \frown 0) \right\}$$

generates a non-trivial filter, which can be extended to an ultrafilter  $\mathcal U$  whose elements are all somewhere dense.

The third bullet point ensures that  $\mathcal{U}$  is in fact an heir-coheir and the extra set added to  $\mathcal{F}$  ensures that  $\varphi(x,y)$  does not divide along  $\mathcal{U}$ .

Finally, let  $\mathcal V$  be any non-principal ultrafilter on  $\{b_{\sigma_i}:i<\omega\}$ . By construction,  $\varphi(x,y)$  will divide along  $\mathcal V$ . Furthermore, the third bullet point will ensure that  $\mathcal U$  and  $\mathcal V$  extend the same type over M, so we have the required failure of Kim's lemma for coheirs and heir-coheirs.

# Thank you

