



Research article

Parameterization, calibration and validation of the DNDC model for carbon dioxide, nitrous oxide and maize crop performance estimation in East Africa



Joseph M. Macharia^{a,*}, Felix K. Ngetich^b, Chris A. Shisanya^a

^a *Kenya University, Department of Geography, P.O. Box 43844, Nairobi, Kenya*

^b *University of Embu, Department of Land and Water Management, P.O. Box 6, Embu, Kenya*

ARTICLE INFO

Keywords:

Animal manure
Climate-smart agriculture
Emission factors
Inorganic fertiliser
Maize
Yield-scaled greenhouse gas emissions

ABSTRACT

Dynamic biogeochemical models are crucial tools for simulating the complex interaction between soils, climate and plants; thus the need for improving understanding of nutrient cycling and reduction of greenhouse gases (GHG) from the environment. This study aimed to calibrate and validate the DeNitrification-DeComposition (DNDC) model for soil moisture, temperature, respiration, nitrous oxide and maize crop growth simulation in drier sub-humid parts of the central highlands of Kenya. We measured soil GHG fluxes from a maize field under four different soil fertility management practices for one year using static chambers and gas chromatography. Using experimental data collected from four management practices during GHG sampling period, we parameterized the DNDC model. The results indicate that the DNDC model simulates daily and annual soil moisture, soil temperature, soil respiration (CO₂), nitrous oxide (N₂O), N₂O yield-scaled emissions (YSE), N₂O emission factors (EFs) and maize crop growth with a high degree of fitness. However, the DNDC simulations slightly underestimated soil temperature (2–6%), crop growth (2–45%) and N₂O emissions (5–23%). The simulation overestimated soil moisture (9–17%) and CO₂ emissions (3–10%). It however, perfectly simulated YSE and EFs. Compared to the observed/measured annual GHG trends, the simulation results were relatively good, with an almost perfect fitting of emission peaks during soil rewetting at the onset of rains, coinciding with soil fertilisation. These findings provide reliable information in selecting best farm management practice, which simultaneously improves agricultural productivity and reduces GHG emissions, thus permitting climate-smart agriculture. The good DNDC simulated YSE and EFs values (Tier III) provide cheaper and reliable ways of filling the huge GHG data gap, reducing uncertainties in national GHG inventories and result to efficient targeting of mitigation measures in sub-Saharan Africa.

1. Introduction

Climate change is principally a result of emissions of greenhouse gas (GHG), and which have been on the rise resulting to increased average global surface temperature (IPCC, 2014). The warming effects have been projected to potentially result to adverse climate-based issues such as low and erratic rainfall alongside prolonged drought conditions which in the long run results to a low agriculture production and food insecurity among the rain-fed agriculture smallholder farmers (Agovino et al., 2018). This calls for concerted efforts to address the needs of the ever increasing global population including food and general livelihoods improvement and a better understanding of the climate-related agricultural dynamics. More so, there is need for careful selection of sound cropland management practices which promotes soil carbon

sequestration, agricultural productivity and reduces GHG emissions, thus permitting climate-smart agriculture (Francaviglia et al., 2012).

Direct measurements of soil GHG fluxes for national inventories are almost impractical due to the high cost involved in quantification as it requires numerous measurements over large spatial and temporal extents (Giltrap et al., 2010). Thus, most developing countries cannot afford to establish these empirical studies and therefore rely heavily on default Tier I emission factors (EF) from the Intergovernmental Panel on Climate Change (IPCC) to report their nationally determined contributions (NDCs) as an obligation to the Paris Climate Agreement of 2015. According to Macharia et al. (2020) and Musafiri et al. (2020), agriculture-related Tier I emission factors tend to over-estimate GHG status in sub-Saharan Africa (SSA) leading to inflated national GHG inventories that may result in poor targeting of efficient adaptation and

* Corresponding author.

E-mail address: machariamjoseph@gmail.com (J.M. Macharia).

<https://doi.org/10.1016/j.heliyon.2021.e06977>

Received 24 November 2020; Received in revised form 9 February 2021; Accepted 28 April 2021

2405-8440/© 2021 The Author(s). Published by Elsevier Ltd. This is an open access article under the CC BY-NC-ND license (<http://creativecommons.org/licenses/by-nc-nd/4.0/>).

mitigation measures (Pelster et al., 2017). However, to overcome uncertainties in national GHG inventories, process-based models have been developed and which over time has been validated and found reliable to provide supplementary information on large spatial-temporal scales from areas with which it would have been too costly and impractical to acquire relevant information (Giltrap et al., 2010).

Dynamic biogeochemical models are essential tools for improving agricultural management and decision making (Guest et al., 2017). These models are great tools in deciphering the existing relationship between crop productivity and changing environmental and farm management practices (Lenz-Wiedemann et al., 2012) at the field, regional, national and global scales (Rui et al., 2017) and under different soil management technologies (Musafiri et al., 2021). More so, most of the process-based C and N cycling models simulate GHG emissions (Yue et al., 2019). Therefore, modelling of agroecosystems offers insight into C and N dynamics and provides an avenue for exploring practical mitigation measures (Giltrap et al., 2010). However, there is generally a scanty of information on the application of dynamic biogeochemical models for simulating soil GHG fluxes from different management practices for improvement of the existing inconsistencies in the national inventories in Kenya and SSA.

In this study, we used the DeNitrification-DeComposition (DNDC) model, which is one of the most utilized models for simulating crop growth as well as GHG emissions. The model has been put together to simulate various processes in agroecosystems' biogeochemistry (Yu et al., 2014). The model has had a global application in simulating crop production of major crops, such as maize (Musafiri et al., 2021), wheat and rice (Katayanagi et al., 2012) following application of different management practices, as well as simulating GHG fluxes (Rui et al., 2017; He et al., 2019). As such, this study aimed to achieve the following objectives; i) calibrate and validate the DNDC model, ii) simulate maize crop growth through carbon allocation along the crop components; leaves, stems, roots, and grains, and iii) evaluate the model's ability in simulating field-measured soil moisture, temperature, greenhouse gas emissions (CO_2 and N_2O), N_2O emission factors (EFs) and N_2O yield-scaled emissions (YSE) from four different fertiliser treatments in the central highlands of Kenya for a period of one year (February 2017 to February 2018).

2. Materials and methods

2.1. Experimental site description

This study was conducted in Embu County, Kenya ($00^\circ 47' 26.8''\text{S}$; $37^\circ 39' 45.3''\text{E}$). The trial was established in 2004 as described by Mucheru-Muna et al. (2010) which is located at an altitude of 1030 m a.s.l. The area experiences two rainfall seasons with the long rain (LR) season running from March to May while the short rain (SR) season runs from October to December of every year which annually averages between 430 mm and 350 mm for SR and LR season, respectively. The mean annual temperature is 21.6°C where the climate is classified as tropical savanna based on Köppen climate classification. The soils are predominantly sandy loam, less weathered with good permeability, yellowish red, low pH and low soil organic carbon, Xanthic Ferrasols (Ngetich et al., 2014). The study area is characterised by relatively short seasons which are predominant in the drier low agricultural potential areas (Ngetich et al., 2014). However, the study has been found suitable for the low-land drought tolerant crop varieties of green grams, sorghum, cowpea, chicken pea, maize, common beans amongst other crops (Macharia et al., 2020).

2.2. Experimental design

The trial was established as researcher-managed, in a randomized complete block design (RCBD) with treatments replicated thrice. The experiment is composed of twelve different treatments containing

organics, inorganics and their combinations alongside treatments with no external input of nutrients (control) under maize production. However, only four treatments were selected for this study on soil greenhouse gas quantification and simulation: i) inorganic fertiliser; ii) animal manure; iii) animal manure combined with inorganic fertiliser, the three applied at $120\text{ kg N ha}^{-1}\text{ yr}^{-1}$; and iv) a control (no external inputs) (Macharia et al., 2020). Selection of the four treatments were based on their high adoption level in the area (Macharia et al., 2014). We sourced animal manure from the surrounding local farmers and which was later incorporated manually using hand hoes, a fortnight before planting. To supply the required $60\text{ kg N ha}^{-1}\text{ yr}^{-1}$ based on (FURP, 1987), we took a composite of three manure samples to the laboratory for analysis. Based on the manure results we applied $6\text{ t ha}^{-1}\text{ yr}^{-1}$ of dry goat manure for sole manure treatment and $3\text{ t ha}^{-1}\text{ yr}^{-1}$ for manure + fertiliser treatment. Mineral fertilisers were applied following the local practice during planting at the onset of the rains on 6th of April 2017 and 23rd of October 2017 for the two seasons under study. Manual land preparations were carried out by removing all the aboveground biomass at the beginning of each cropping season. The trial plots measured 6 m by 4.5 m with one meter as a buffer between plots and at least two meters between blocks and which were maintained weeds-free through hand hoeing, ensuring least soil disturbance. Dry highlands (DH 04) maize variety was planted as the test crop at 0.90 m inter-row by 0.60 m intra-row spacing.

2.3. The DNDC model

The Denitrification-Decomposition (DNDC) model version 9.5 was used in this study. The DNDC is a dynamic model of nitrogen (N) and carbon (C) biogeochemistry in agricultural ecosystems. The DNDC model framework includes edaphic, environmental, crop growth, C and N dynamics and trace gas emissions which are reported daily (Jarecki et al., 2018). The model was initially developed for quantifying C sequestration and emissions of greenhouse gas (Li et al., 1992). The first component contains sub-models for soil (texture, SOC, bulk density and soil hydraulic parameters), climate (solar radiation, wind speed, air temperature, humidity and precipitation), crop growth (water demand, crop type, C/N ratio, potential yield, optimal temperature and biomass fractions), agricultural management (irrigation, residue, fertiliser, harvest dates, tillage, and planting dates) and decomposition (microbial biomass, labile humus, litter and passive humus). The model converts primary drivers (human activity, climate, soil, and vegetation) into soil environmental factors (humidity, redox potential, pH, soil temperature and concentration gradients of substrates) (Zhang et al., 2018a; 2018b). The second component comprises of sub-models for denitrification, fermentation and nitrification and estimates both emissions and sequestration of N_2O and CH_4 (Li, 2007).

2.3.1. Model set up

Daily climatic data (rainfall (cm), maximum and minimum air temperature ($^\circ\text{C}$), wind speed (m s^{-1}), solar radiation $\text{MJ m}^{-2}\text{ d}^{-1}$ and relative humidity (%)) were collected using HOBO U30 NRC station data logger from an installed weather station within the experimental study site. The weather files for the above climate parameters for the period of study were prepared following DNDC guide (version 9.5). Soils were sampled from each of the plots prior to setting up of the experiment (February 2017) and taken to the laboratory for analysis following standard procedures as described by Macharia et al. (2020). They were tested for mineral nitrogen, bulk density, texture, soil organic carbon (SOC) and soil pH. Field capacity, slope, porosity, conductivity and wilting point had earlier on been determined in the same study site by Ngetich et al. (2014). Cropping data (the type of crop, planting and harvesting), tillage data (method of ploughing, day and month of land preparation, biomass fraction and biomass C/N ratio), fertiliser data (type, amount and method of fertiliser applied and the day and month of application) and manure amendments (type, amount, method of

application and day and month applied) were all collected from the experiment as described in Macharia et al. (2020).

2.3.2. Model calibration and validation

The DNDC model was calibrated using measured field data from experimental plots. Grain yields were converted to carbon equivalent following the DNDC model user guide where 1 kg of Maize was taken to contain 0.4 kg of Carbon. It's worth noting that during calibration of the model, all crop and soil data were based on sample analysis in the laboratory from a field experiment outlined in section 2.2 and 2.3.

Model calibration portrayed a good fit between the field observations and model-simulated data with R^2 ranging from 0.93–0.99 (Figure 1 a–p), and which were statistically similar at $P = 0.05$. This is an indication that the model was successfully calibrated and that the simulated data can be relied on for other purposes (García et al., 2014). From the measured and simulated data, the DNDC model tended to start the simulations slightly later than the measured values and with higher values compared to measured values (Figure 1). It was also observed that the simulated biomass increased in the last stage of plant growth - grain filling (Figure 1 d, h, i, p).

2.4. GHG concentration determination and other field measurements

Vented manual static plastic chambers and gas chromatography were used to measure the two greenhouse gases: carbon dioxide - CO_2 , and nitrous oxide - N_2O for a whole year (7th February 2017 to 6th February 2018).

The gas chambers comprised of a base and a lid, and which were inserted into the soils to a depth of 7 cm two weeks prior to our first sampling (Macharia et al., 2020). The chamber bases remained *in-situ* for the whole of the study period and only removed twice during key

agronomic activities such as manure incorporation and which coincided with land preparation. During sampling, the chamber bases and lids were held tightly together using metallic clips and deployed for a duration of 30 minutes. Gas sampling was generally on a weekly basis but also followed key agronomic activities such as weeding, rainfall events, manure and fertiliser application (Parkin and Venterea 2010). Sampling was done through gas pooling from three chambers per plot, measuring 6 m by 4.5 m, using 60 mL propylene syringe fitted with luerlocks. The chambers were deployed for 30 min (0, 10, 20 and 30 min). Gas samples were transferred to 20 mL pre-evacuated glass vials and taken to the laboratory for analysis at Mazingira Centre (ILRI-Nairobi, Kenya).

The gas chromatography (GC) comprised of ^{63}Ni -electron capture detector (ECD) for determining the levels of concentration of N_2O and a flame ionisation detector (FID) for determining the level of CO_2 in every vial. We utilized Nitrogen gas (N_2) at 20 mL min^{-1} flow rate as the carrier gas for both (ECD & FID) channels. To obtain the concentrations of each gas, a comparison between peak areas from the GC and peak areas of four calibration gas concentrations. We used linear regression to determine the concentrations of CO_2 from the FID channel and a power function for the determination of N_2O concentrations since the ECD channel assumes a non-linear dimension and power function results to better fits (Pavelka et al., 2018).

Biomass and grain yields were measured during same period as gas sampling and which were collected through destructive sampling done every two weeks from the 21st day after planting until harvest. Other measurements collected included soil water contents and soil temperature using Procheck at 0–10 cm depth. Meteorological data were collected using different sensors and archived in a HOBO U30 NRC station data logger. The GHG and biomass data were linearly interpolated between sampling dates for the whole year.

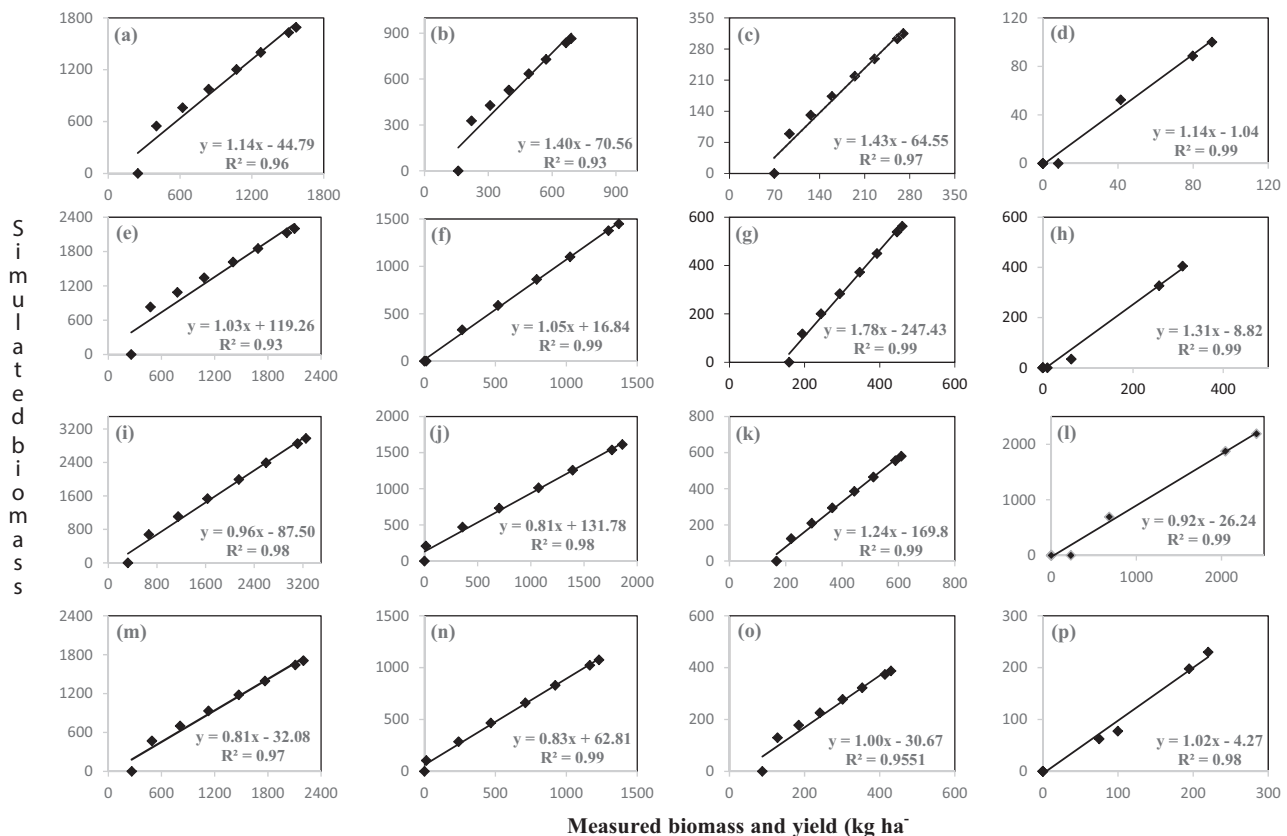


Figure 1. Comparison between the field-measured and DNDC simulated maize plant biomass for i) leaves (a, e, i, m), ii) stems (b, f, j, n), iii) roots (c, g, k, o), and iv) grain yields (d, h, i, p) from control, fertiliser, manure and manure + fertiliser plots, respectively, used for DNDC model calibration in Embu County, Kenya.

Table 1. Statistical evaluation of DNDC daily simulated (soil temperature and moisture) values in comparison with the measured values in Embu County, Kenya.

	Treatment ¹	Measured	Simulated	N	E*	RMSE*	nRMSE (%)	ME	R ²
Soil temperature (°C)	Control	32.3	30.3	47	-2.05	14.20	44	0.93	0.19
	Inorganic fertiliser	32.6	31.9	47	-0.70	4.86	15	0.98	0.27
	Animal manure	32.9	31.5	47	-1.36	9.21	28	0.96	0.35
	Manure + Fertiliser	32.6	31.9	47	-0.70	8.70	27	0.98	0.14
Soil Moisture (m ³ m ³)	Control	0.11	0.11	47	0.01	0.04	9	0.93	0.40
	Inorganic fertiliser	0.11	0.12	47	0.01	0.02	7	0.89	0.74
	Animal manure	0.14	0.16	47	0.05	0.06	5	0.94	0.72
	Manure + Fertiliser	0.12	0.14	47	0.05	0.05	5	0.92	0.32

* The unit for E and RMSE is °C for soil temperature and m³m³ for soil moisture.

¹ Treatments: Control = no external input, Inorganic fertiliser, Animal manure and Manure + fertiliser; the three applied at 120 kg N ha⁻¹ yr⁻¹.

2.5. Model accuracy determination

To ascertain the general performance of the DNDC model, five statistical metrics were used to evaluate biomass production, maize yields, and daily soil moisture, temperature, N₂O and CO₂ emissions between the measured and simulated values. They include mean error (ME), root mean squared error (RMSE), relative root mean squared error (rRMSE), model efficiency (ME) and the coefficient of determination (R²). Since using one of the metrics is not sufficient, a combination of the metrics gives a better response on the performance of the model as noted by Li et al. (2017).

2.6. Yield-scaled N₂O emissions and N₂O emission factors

We calculated yield-scaled nitrous oxide, which is a scale expressed as a unit (g) of N₂O emitted during production of a unit of grains (kg), by dividing cumulative annual N₂O emissions by annual grain yields. We also determined N₂O emission factors as shown in Eq. (1).

$$EF = \frac{(N_2O - N_{fertilized}) - (N_2O_{unfertilized})}{N_{applied}} \quad (1)$$

Where: “N₂O-N_{fertilized}” = annual N₂O emissions from fertilised treatment, “N₂O-N_{unfertilized}” = annual N₂O emissions from no external input treatment, and “N_{applied}” = annual N rate

3. Results and discussion

3.1. Soil temperature

The DNDC model accurately estimated daily soil temperature across treatments and which were a function of daily air temperatures. The simulated trends were in good agreement with the observed soil temperature, although slightly lower than the measured values indicating an underestimation of soil temperature by the model. Underestimation of soil temperature was highest from control plots (6%) and lowest in both inorganic fertiliser and manure combined with inorganic fertiliser treatments at 2% (Table 1). The simulated E ranged from -2.05 to -0.70 °C, RMSE from 4.86–14.20, nRMSE from 15–44%, ME from 0.93–0.98 while R² ranged from 0.14 to 0.35 across treatments (Table 1). The DNDC model performance was comparable with the one of Uzoma et al. (2015), who reported underestimation of soil temperature by DNDC in most of the years and whose estimate ranged between 5–8% between systems. The results also agree with Smith et al. (2008), who reported an average

Table 2. Comparison between the measured and the DNDC simulated crop growth under maize crop in in Embu County, Kenya.

Treatment ¹	Component	Measured (kg ha ⁻¹)	Simulated (kg ha ⁻¹)	E (kg ha ⁻¹)	RMSE	nRMSE (%)	ME
Control	Leaf	1570	1450	-120	85	5	0.92
	Stem	690	515	-175	124	18	0.75
	Roots	270	225	-45	32	12	0.83
	Grains	90	80	-10	7	8	0.89
	Total biomass	2620	2270	-350	247	43	0.87
Fertiliser	Leaf	2100	1990	-110	78	4	0.95
	Stem	1370	1290	-80	57	4	0.94
	Roots	460	357.5	-103	72	16	0.78
	Grains	310	215	-95	67	22	0.69
	Total biomass	4240	3853	-388	274	45	0.91
Manure	Leaf	3250	2975	-275	194	6	0.92
	Stem	1860	1613	-247	175	9	0.87
	Roots	610	580	-30	21	3	0.95
	Grains	2410	2190	-220	156	6	0.91
	Total biomass	8130	7358	-772	546	25	0.91
Manure + Fertiliser	Leaf	2200	1425	-775	548	25	0.65
	Stem	1230	847	-383	271	22	0.69
	Roots	430	238	-192	136	32	0.55
	Grains	220	190	-30	21	10	0.86
	Total biomass	4080	2700	-1380	976	88	0.66

¹ Treatments: Control = no external input, Inorganic fertiliser, Animal manure and Manure + fertiliser; the three applied at 120 kg N ha⁻¹ yr⁻¹.

Table 3. Comparison between daily measured and simulated soil CO₂ and N₂O emissions from maize crop in Embu County, Kenya.

GHG	Treatment ¹	Measured	Simulated	N	E	RMSE	nRMSE	ME	R ²
CO ₂ (kg CO ₂ -C ha ⁻¹ d ⁻¹)	Control	3.8 ^d ± 0.20	4.0	47	0.2	1.2	31	0.94	0.40
	Inorganic fertiliser	5.2 ^c ± 0.30	5.7	47	0.5	2.7	52	0.91	0.22
	Animal manure	9.8 ^a ± 0.31	10.6	47	0.8	4.1	42	0.93	0.62
	Manure + Fertiliser	7.4 ^b ± 0.45	7.5	47	0.2	2.9	39	0.98	0.60
	<i>P value</i>	< 0.001							
N ₂ O (g N ₂ O-N ha ⁻¹ d ⁻¹)	Control	0.36 ^d ± 0.22	0.4	47	-0.08	0.1	21	0.77	0.21
	Inorganic fertiliser	1.07 ^c ± 0.36	0.98	47	-0.08	0.4	40	0.92	0.39
	Animal manure	3.34 ^a ± 0.33	2.85	47	-0.49	2.6	77	0.85	0.24
	Manure + Fertiliser	1.72 ^b ± 0.66	1.64	47	-0.08	0.4	25	0.95	0.48
	<i>P value</i>	< 0.001							

The letters a,b,c & d in the Table denote significance of the statistical difference between treatment means (column difference).

¹ Treatments: Control = no external input, Inorganic fertiliser, Animal manure and Manure + fertiliser; the three applied at 120 kg N ha⁻¹ yr⁻¹.

underestimation of 7% of soil temperature by DNDC model, across treatments. However, the results disagree with Li et al. (2017), who reported an overestimation of soil temperature in the range between 2–6% across cropping systems. It is worth noting that temperature influences soil moisture, microbial activities and evaporation. This implies that the accurate estimation by DNDC model is paramount since all these have a direct influence on GHG emissions and could have a direct effect towards accurate targeting of adaptation and mitigation measures. The relatively lower underestimation of soil temperature in plots treated with inorganic fertiliser, either sole or combined, could be ascribed to the model taking into consideration that inorganic fertilisers provides readily available nutrients for plant uptakes, promotes relatively faster crop growth, thus improving the canopy growth resulting to reduced thermal radiation along the soil profiles.

3.2. Soil moisture

The DNDC model simulated daily trends of soil moisture content across treatments and which were primarily a function of precipitation. The model accurately simulated rainfall seasonality in the study site with moisture increasing to attain highest peaks at the onset of rainfall in each season. The DNDC model slightly overestimated moisture contents across treatments with the calculated E ranging from 0.01–0.05 m³m³, RMSE from 0.02–0.06 m³m³, nRMSE from 5–9%, ME from 0.89–0.94 and R² ranging from 0.32–0.74 (Table 1). Generally, the DNDC model overestimated soil moisture contents across treatments ranging between 9–17% with the highest overestimations recorded from plots treated with animal manure either sole or combined with inorganic fertiliser (Table 1). These results agree with Li et al. (2017), who recorded an overestimation of soil moisture content by DNDC model ranging between 2–8% across cropping systems. With the study period having recorded approximately 15% lower precipitation than seasonal average, these results were in agreement with Uzoma et al. (2015) who recorded an overestimated soil water content ranging from 15–21% in a relatively drier year. Results also agree with Smith et al. (2019) who recorded an overestimation of soil water content by DNDC model near soil surface and which was presumably caused by lack of root distribution algorithms, inability to simulate a heterogeneous soil profile and no water table. Further, results corroborate those of He et al. (2019) who reported an overestimation of soil water content by DNDC at 0–0.1 m depth and which was attributed to low root distribution algorithms in DNDC, and its inability to simulate heterogeneous soils. The overestimation could also be ascribed to the DNDC characterizing water flow in its hydrological sub-model between field capacity and wilting point (strictly) resulting to over-prediction of SWC (Dutta et al., 2016). The overestimation of soil moisture could result to high GHG emissions estimations which could result to inaccurate targeting of adaptation and mitigation measures. Results, however, disagree with Smith et al. (2008) who reported an

underestimation of soil moisture by the DNDC model by approximately 17%. It should be noted that continued application of manure in the study area resulted to increased soil organic matter (Macharia et al., 2020), and which could have ensured higher retention of water in plots treated with manure than plots without manure, a possible assumption made by DNDC model.

3.3. Simulation of maize growth

The DNDC simulated seasonal crop production separated into different maize crop components (leaves, stems, roots and grain yields) were lower than the measured values across treatments (Table 2). This indicates that the DNDC model tended to underestimate crop production in the study area. Overall, plots treated with animal manure had highest amounts of carbon allocated along maize crop components, while control plots had least amounts of carbon allocated along maize plant components (Table 2). Underestimations were in the range of 5–35%, 6–31%, 5–45%, 9–31% and 9–34% for leaves, stems, roots, grains and total biomass, respectively, across treatments. Calculated E ranged from 350–1380 kg ha⁻¹, RMSE from 247–976 kg ha⁻¹, nRMSE from 25–88% and ME from 0.66–0.91 for total maize biomass across treatments (Table 2). The DNDC simulated data underestimated aboveground biomass (AGB) by 37% compared to the findings by Ngetich et al. (2014) in the same study site and who recorded an average AGB of 11.51 Mg ha⁻¹ (4.60 Mg C ha⁻¹) after application of inorganic fertiliser at 120 kg N ha⁻¹ yr⁻¹.

The underestimation of maize crop productivity by the DNDC model could be ascribed to uneven rainfall distribution across the cropping year with most (64%) of rainfall during long rains season (LR 2017) being received during April while 96% of rainfall in the short rains season (SR, 2017) season being received in first month at the onset of rains rendering the rest of crop growing period to remain relatively dry as observed by Macharia et al. (2020). Results from this study agree with Zhang et al. (2018a; 2018b) who in their study reported that crop yields in years that reported low rainfall (similar to our study) were very likely to be underestimated in DNDC simulations. However, our results disagree with Muhammed et al. (2018) who reported a slight overestimation of crop production by the DNDC model.

3.4. Simulation of soil respiration

Daily simulated CO₂ emissions ranged from 3.8–9.8 kg CO₂-C ha⁻¹ d⁻¹ and were slightly higher than measured emissions, which ranged from 4.0–10.6 kg CO₂-C ha⁻¹ d⁻¹ across treatments (Table 3). Overestimations of CO₂ emissions ranged between 3–10% across treatments with manure + fertiliser recording lowest overestimations while inorganic fertiliser recorded highest overestimations. The simulated CO₂ emissions were in good agreement with the measured emissions with a

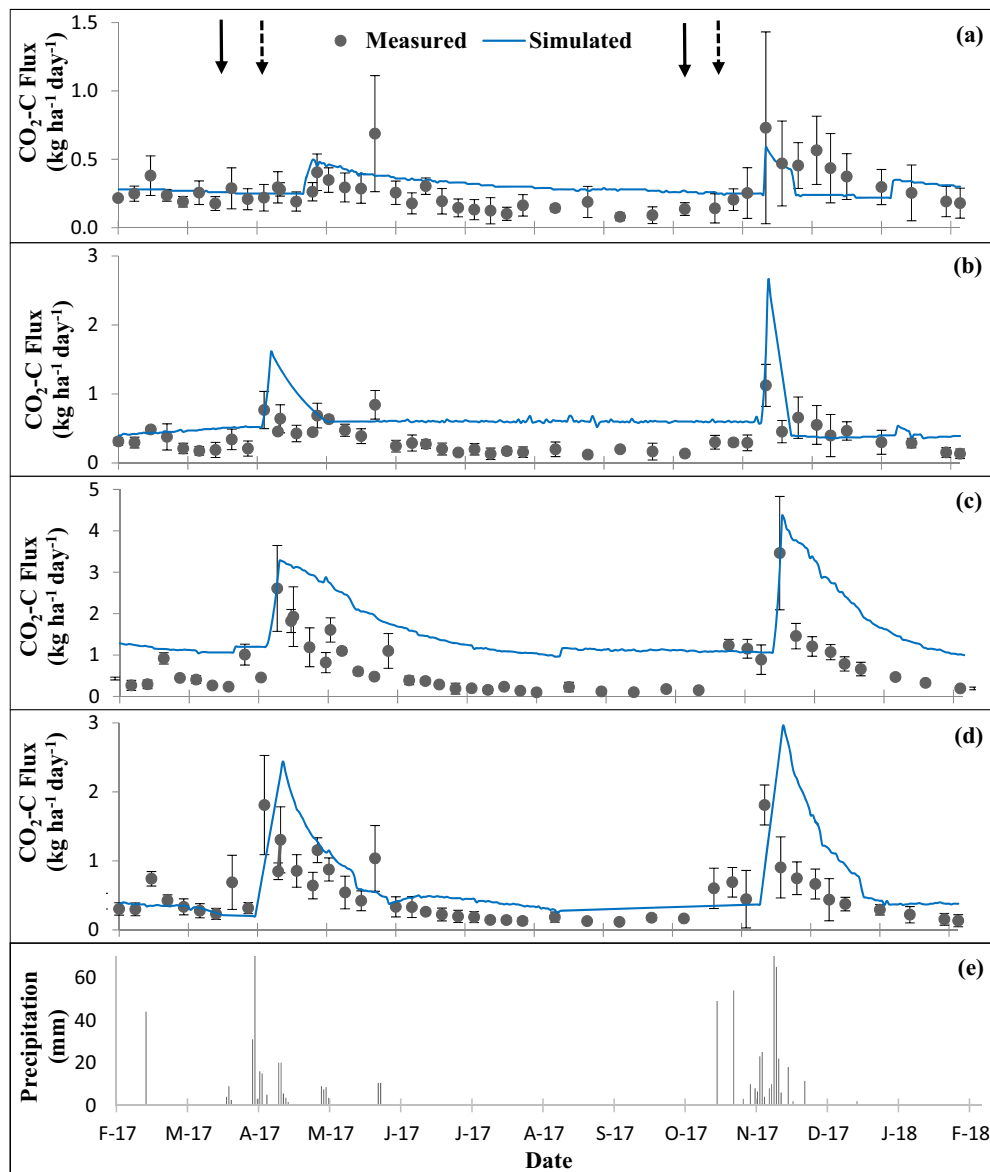


Figure 2. Comparison between measured and simulated CO₂ emissions from four different treatments; (a) control, (b) inorganic fertiliser, (c) animal manure, (d) animal manure + inorganic fertiliser in Embu County, Kenya and (e) Precipitation. The solid arrows show the timing of land preparation and manure incorporation, and the dotted arrows indicate the timing of planting and fertiliser application.

calculated E ranging from 0.2–0.8 kg CO₂-C ha⁻¹ d⁻¹, RMSE = 1.2–4.1 kg CO₂-C ha⁻¹ d⁻¹, nRMSE = 31–52%, ME = 0.91–0.94 and R² from 0.22–0.62 across treatments (Table 3). These daily emissions resulted in higher annual cumulative simulated CO₂ emissions that ranged from 1474–3860 kg CO₂-C ha⁻¹ yr⁻¹ relative to measured CO₂ emissions which ranged from 1391–3574 kg CO₂-C ha⁻¹ yr⁻¹ across treatments.

The DNDC simulated CO₂ emissions followed seasonality rising immediately after soil rewetting and which coincides with fertilization at the onset of the rains (Figure 2) similar to what was reported by Macharia et al. (2020). The CO₂ emission peaks were well-timed and accurately coincided with the measured peaks across treatments. These simulated CO₂ emission peaks could have been influenced by climate, fertilization regime and crop growth stage. The highest soil respiration peak during SR 2017 was 4.3 kg CO₂-C ha⁻¹ day⁻¹ from manure treatment (Figure 2 c). It should be noted that during dry period, CO₂ emissions remained relatively low due to low microbial activities but upon soil rewetting, there was a pulse of CO₂ at onset immediately after a rainfall event (Figure 2). Similarly, immediately upon application of manure, there were no pulses of CO₂ emissions which could be because manure had not

decomposed due to its dryness, but upon addition of moisture from rainfall at onset, manure mineralised giving rise to CO₂ peaks. The low CO₂ emissions during this period could also be as a result of having no crop growing, therefore limited root respiration.

The low prediction of CO₂ from control treatment could be attributed to low amounts of substrates for microorganism thus leading to low CO₂ emissions while high amounts of CO₂ emissions from animal manure treatment could be associated with easily available substrates from decomposition of organic materials. Overestimation in simulated data could be attributed to ability of DNDC model to factor in more dynamics of C fluxes occurring at the interface between terrestrial ecosystems and atmosphere compared with measured CO₂ emissions. According to Li et al. (2010), simulated CO₂ emissions include photosynthesis, plant autotrophic respiration, soil microbial heterotrophic respiration, and dissolved organic carbon (DOC) leaching. The slight overestimation of soil CO₂ emissions could as well be a function of the overestimated soil moisture across the study period by the model which could have resulted to relatively higher microbial activities and enhanced plant root growth as measured by Uzoma et al. (2015). More so, higher CO₂ emissions could

have originated from the model underestimating crop growth hence allocating more carbon to be lost as CO₂ emissions other than being sequestered by maize crop during its growth.

3.5. Simulation of soil N₂O fluxes

The DNDC simulated N₂O emissions rose immediately after soil rewetting and which coincided with fertilization and onset of rainy season (Figure 3). In most parts of the study period, the simulated N₂O emissions remained relatively lower than measured and which in the overall resulted in an underestimation of annual N₂O emissions (Figure 3). Underestimations of N₂O emissions ranged from 5–23% across treatments with manure combined with fertilisers recording the lowest while control recorded the highest underestimations, respectively. These results agree with Uzoma et al. (2015) and Yue et al. (2019) who found DNDC model to underestimate N₂O emissions generally. Underestimation of N₂O fluxes from current study could be attributed to semiarid soils in the study area remaining dry most of the year and therefore very little or no denitrification. Sandy loam soils, similar to soils in our study area, tend to dry up very fast after a rainfall event and probably the DNDC model was unable to accurately capture the abrupt changes in the soil water content, which doesn't last long before drying up. According to Smith et al. (2008), the DNDC model underestimations of N₂O fluxes may be as a result of soil draining too quickly following rainfall, un-simulated lateral flow, or inaccurate model calculation of porosity. Further, Uzoma et al. (2015) noted that soil hydrology sub-model in DNDC model, have a cascade flow routine which drains the

profile to field capacity, which likely underestimated denitrification events during a period of high rainfall shortly after fertiliser application at the onset, resulting to underestimation of N₂O fluxes.

All the daily simulations described temporal dynamics in N₂O fluxes and which were generally in agreement with the measured N₂O fluxes with an E ranging from -0.08 to -0.49, RMSE = 0.1–2.6, nRMSE = 21–77% while R² ranged from 0.21 to 0.48 (Table 3). The daily simulated data resulted to an annual cumulative N₂O flux ranging from 100–1040 g N₂O-N ha⁻¹ d⁻¹ compared to annual cumulative N₂O fluxes ranging from 130–1220 g N₂O-N ha⁻¹ d⁻¹ across treatments with control plots recording the least amounts while animal manure recorded the highest amounts of N₂O fluxes, respectively.

In control plots, the low amounts of simulated N₂O fluxes could be ascribed to low amounts of substrates while high amounts of N₂O fluxes could be attributed to the availability of more labile C in the manure treatments providing the necessary condition for denitrification (Macharia et al., 2020). According to Li et al. (2010), manure amendment increases soil organic carbon which acts as a source of substrates for N₂O stimulation through both soil nitrification and denitrification. It's worth noting that manure improves soil organic carbon build-up over time and which is capable of retaining soil moisture during the dry periods of cropping season, resulting in overall more denitrification.

The DNDC-simulated daily N₂O in peak emissions form, mainly come from both nitrification and denitrification processes. For this study, the simulated peaks for N₂O flux were well aligned with the timing of measured peaks which followed rainfall events with a slight difference in magnitude of simulated N₂O peaks (Figure 3). Our results are consistent

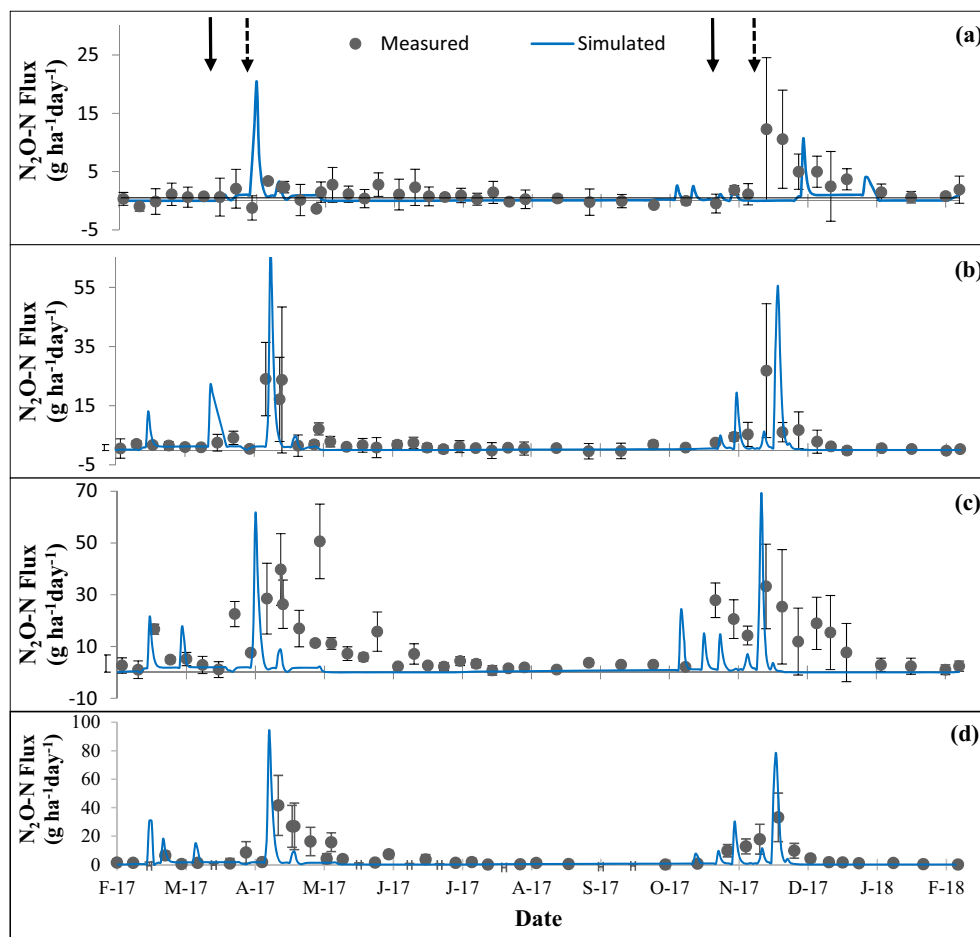


Figure 3. Measured and predicted N₂O fluxes from four different treatments; (a) control, (b) inorganic fertiliser, (c) animal manure and (d) animal manure + inorganic fertiliser in Embu County, Kenya. Solid arrows indicate timing of land preparation coinciding with incorporation of animal manure, while dotted arrows show timing of planting and fertiliser application.

Table 4. Comparison between measured and simulated yield-scaled N₂O emissions and N₂O emission factors (EF) from four different fertiliser treatments under maize crop in Embu County, Kenya.

Treatment ¹	Measured YSE ²	Simulated YSE	Measured EF ³	Simulated EF
Control	0.8 ^c ± 0.02	0.9		
Inorganic fertiliser	1.1 ^b ± 0.06	1.1	0.2	0.2
Animal manure	0.5 ^c ± 0.10	0.5	0.9	0.8
Manure + Fertiliser	2.2 ^a ± 0.54	2.5	0.4	0.4

The letters a,b,c & d in the Table denote significance of the statistical difference between treatment means (column difference).

¹ Treatments: Control = no external input, Inorganic fertiliser, Animal manure and Manure + fertiliser; the three applied at 120 kg N ha⁻¹ yr⁻¹.

² YSE = cumulative annual N₂O emissions divided by the total grain yields (kg ha⁻¹ yr⁻¹).

³ Emission factors (EF) = N₂O difference between fertilized and unfertilized divided by annual N application rate.

with the findings of other studies which identified the DNDC model to generally capture the peaks of daily N₂O flux induced by precipitation, although slight discrepancies remained between magnitude of the modelled N₂O peaks and the corresponding observations (Uzoma et al., 2015; Deng et al., 2018). Simulated data also predicted more frequent N₂O peaks after rainfall events than field observations (e.g. mid-October to end of Dec 2017). This is consistent with Deng et al. (2018) who reported more frequent N₂O peaks after rainfall events during the rainy season than the measured peaks, which could not have been observed in field studies. As was observed by other N₂O modelling studies, accurate simulation of timing and daily N₂O flux variability possess a significant challenge (Smith et al., 2008; Uzoma et al., 2015).

3.6. Yield-scaled N₂O emissions and N₂O emission factors (EF)

The DNDC simulated YSE were lowest from animal manure and highest from manure + fertiliser treatments (Table 4). Simulated YSE was similar for sole inorganic fertiliser and sole manure application but slightly higher than the observed for control (13%) and manure + fertilisers (14%) treatments. The relatively higher simulated YSE from control treatment could be attributed to highest (23%) N₂O underestimation across treatments while that of manure + fertiliser could be more explained by underestimation (11%) of maize yields. It should be noted that YSE can evaluate trade-offs between crop production and environmental impacts under different soil fertility management technologies (Van Groenigen et al., 2010). The YSE from this study were relatively lower compared with what has been observed within the region and which ranged between 0.7 and 41.6 g N₂O-Nkg⁻¹ N aboveground biomass. These YSE could be attributed to low N₂O emissions rather than to high crop yields due to the inherent soil fertility challenges experienced in the study area (Macharia et al., 2020). The simulated N₂O emission factors (EF) were lowest (0.2%) from inorganic fertiliser and highest (0.8%) in animal manure treatment (Table 4). Simulated N₂O EFs were similar to observed values for sole inorganic fertiliser and manure + fertiliser but slightly (14%) lower than observed value for animal manure treatment (Table 4).

4. Conclusion

The results indicate that the DNDC-simulated soil temperature and soil moisture contents were lower than and higher than the measured values from experimental site, respectively, suggesting that the DNDC model tended to underestimate soil temperature by 2–6% and overestimate SWC by 9–17% across treatments. Further, the DNDC model tended to underestimate crop growth by 2–45% for all the maize crop component (i.e. leaves, stems, roots and grains). Still, it accurately simulated treatment performance across treatments with animal manure and control, producing the highest and the lowest total biomass, respectively. The DNDC simulated soil respiration (CO₂) was in good agreement with the measured values though they were slightly higher by 3–10% compared to measured values from the experimental site, attributed to the relatively higher simulated SWC resulting in

enhanced soil and root respiration. The DNDC-simulated CO₂ emissions followed seasonality, remaining relatively low during dry periods and having high peaks upon rewetting and fertilization at the onset of the rains. For N₂O emissions, the DNDC simulated values were slightly lower than the measured values (5–23%) a factor attributed to the fact that the model is unable to capture abrupt changes in the soil water content from sandy soils which tends to dry up almost immediately after a rainfall event, thus provoking lower denitrification. Similar to CO₂ simulated values, the DNDC model followed seasonality for N₂O emissions but had more peaks than measured values. The simulated data captured well the timing of the N₂O fluxes with a slight variation in the magnitude of the N₂O fluxes. Further, the simulated N₂O YSE was similar with the measured values for sole manure and sole fertiliser but slightly higher for control (12%) and manure combined with inorganic fertiliser (14%) while the EFs were similar for sole inorganic fertiliser and manure combined with inorganic fertiliser but slightly lower for sole manure treatment (11%). With all the EFs from this study ranging between 1.25 to 5 folds lower than the IPCC Tier I emission factors (1%), and which were overall in good agreement with the measured values, we conclude that DNDC model is an accurate and reliable model which can be used to simulate emission factors which could be used as a credible alternative by the developing nations to report to the United Nations Framework Convention on Climate Change (UNFCCC) on their agriculture-based NDCs in line with Paris Agreement of 2015. Further, the DNDC model could be used to fill the huge data gaps in developing countries on GHG emissions, attributed to the paucity of observation data on GHG emissions due to the high cost involved in direct GHG quantification studies resulting to uncertainty in national GHG inventories. More so, DNDC model could also be applied across different regions across countries in confidently simulating EF from different soil management practices thus bringing out the nexus between improved agricultural productivity and reduced GHG emissions across different agricultural soil types and landscapes. However, attention should be paid to the fluxes due to the slight underestimation of N₂O and slight overestimation of CO₂ fluxes. We, therefore, recommend the establishment of more similar studies across sub-Saharan African which could act as a source of data for the continued model improvement hence target to achieve correct climate change adaptation and mitigation measures across the region.

Declarations

Author contribution statement

Joseph M. Macharia: Conceived and designed the experiments; Performed the experiments; Analyzed and interpreted the data; Contributed reagents, materials, analysis tools or data; Wrote the paper.

Felix K. Ngetich: Conceived and designed the experiments; Analyzed and interpreted the data; Contributed reagents, materials, analysis tools or data; Wrote the paper.

Chris A. Shisanya: Contributed reagents, materials, analysis tools or data; Wrote the paper.

Funding statement

This work was supported by the Kenyan government through the National Research Fund (NRF) and the System for Land-Based Emission Estimation in Kenya (SLEEK).

Data availability statement

Data will be made available on request.

Declaration of interests statement

The authors declare no conflict of interest.

Additional information

No additional information is available for this paper.

References

- Agovino, M., Casaccia, M., Ciommi, M., Ferrara, M., 2018. Agriculture, climate change and sustainability: the case of EU-28. *Ecol. Indic.*
- Deng, J., Li, C., Burger, M., Horwath, W.R., Smart, D., Six, J., Guo, L., Salas, W., Frolking, S., 2018. Assessing data-induced uncertainty of N₂O emissions from arable soils in Europe using a process-based biogeochemical model. *J. Geophys. Res.: Biogeosciences* 13, 12971.
- Dutta, B., Smith, W.N., Grant, B.B., Pattey, E., Desjardins, R.L., Li, C., 2016. Model development in DNDC for the prediction of evapotranspiration and water use in temperate field cropping systems. *Environ. Model. Software* 80 (June), 9–25.
- Francaviglia, R., Coleman, K., Whitmore, A.P., Doro, L., Urracci, G., Rubino, M., Ledda, L., 2012. Changes in soil organic carbon and climate change - application of the RothC model in agro-silvo-pastoral Mediterranean systems. *Agric. Syst.* 112, 48–54.
- García, Á., Riaño, H., Magnitskiy, S., 2014. Simulation of corn (*Zea mays* L.) production in different agricultural zones of Colombia using the AquaCrop model. *Agron. Colomb.* 32 (3), 358–366.
- Giltrap, D.L., Li, C., Sagar, S., 2010. DNDC: a process-based model of greenhouse gas fluxes from agricultural soils. *Agric. Ecosyst. Environ.* 136 (3–4), 292–300.
- Guest, G., Kröbel, R., Grant, B., Smith, W., Sansoulet, J., Pattey, E., Desjardins, R., Jégo, G., Tremblay, N., Tremblay, G., 2017. Model comparison of soil processes in eastern Canada using DayCent, DNDC and STICS. *Nutrient Cycl. Agroecosyst.* 109 (3), 211–232.
- He, W., Dutta, B., Grant, B.B., Chantigny, M.H., Hunt, D., Bittman, S., Tenuta, M., Worth, D., VanderZaag, A., Desjardins, R.L., Smith, W.N., 2019. Assessing the effects of manure application rate and timing on nitrous oxide emissions from managed grasslands under contrasting climate in Canada. *Sci. Total Environ.* 716, 135374.
- IPCC, 2014. Summary for Policymakers. In: Edenhofer, O., Pichs-Madruga, R., Sokona, Y., Farahani, E. (Eds.), *Climate Change 2014: Mitigation of Climate Change. Contribution of Working Group III to the Fifth Assessment Report of the Intergovernmental Panel on Climate Change*. Cambridge University Press, Cambridge, United Kingdom.
- Jarecki, M., Grant, B., Smith, W., Deen, B., Drury, C., VanderZaag, A., Qian, B., Yang, J., Wagner-Riddle, C., 2018. Long-term trends in corn yields and soil carbon under diversified crop rotations. *J. Environ. Qual.*
- Katayanagi, N., Furukawa, Y., Fumoto, T., Hosen, Y., 2012. Validation of the DNDC-rice model by using CH₄ and N₂O flux data from rice cultivated in pots under alternate wetting and drying irrigation management. *Soil Sci. Plant Nutr.* 58 (3), 360–372.
- Lenz-Wiedemann, V.I.S., Schneider, K., Miao, Y., Bareth, G., 2012. Development of a regional crop growth model for Northeast China. *Proc. Environ. Sci.* 13, 1946–1955, 2011.
- Li, C., 2007. Quantifying greenhouse gas emissions from soils: scientific basis and modeling approach. *Soil Sci. Plant Nutr.* 53 (4), 344–352.
- Li, C., Frolking, S., Tod, F., 1992. A model of nitrous oxide evolution from soil by rainfall events: 1. Model structure and sensitivity. *J. Geophys. Res. (Atmospheres)* 97 (D5), 9759–9776.
- Li, H., Qiu, J., Wang, L., Tang, H., Li, C., Van Ranst, E., 2010. Modelling impacts of alternative farming management practices on greenhouse gas emissions from a winter wheat-maize rotation system in China. *Agric. Ecosyst. Environ.* 135 (1–2), 24–33.
- Li, Z., Yang, J.Y., Drury, C.F., Yang, X.M., Reynolds, W.D., Li, X., Hu, C., 2017. Evaluation of the DNDC model for simulating soil temperature, moisture and respiration from monoculture and rotational corn, soybean and winter wheat in Canada. *Ecol. Model.* 360, 230–243.
- Macharia, J.M., Pelster, D.E., Ngetich, F.K., Muna, M.M., Mugendi, D.N., 2020. Soil greenhouse gas fluxes from maize production under different soil fertility management practices in East Africa. *J. Geophys. Res.: Biogeosciences* 1–18.
- Macharia, J., Mugwe, J., Mucheru-Muna, M., Mugendi, D., 2014. Socioeconomic factors influencing levels of knowledge in soil fertility management in the central highlands of Kenya. *J. Agric. Sci. Technol. B* 4, 701–711.
- Mucheru-Muna, M., Pypers, P., Mugendi, D., Kung'u, J., Mugwe, J., Merckx, R., Vanlauwe, B., 2010. A staggered maize-legume intercrop arrangement robustly increases crop yields and economic returns in the highlands of Central Kenya. *Field Crop. Res.* 115 (2), 132–139.
- Muhammed, S.E., Coleman, K., Wu, L., Bell, V.A., Davies, J.A.C., Quinton, J.N., Carnell, E.J., Tomlinson, S.J., Dore, A.J., Dragosits, U., Naden, P.S., Glendinning, M.J., Tipping, E., Whitmore, A.P., 2018. Impact of two centuries of intensive agriculture on soil carbon, nitrogen and phosphorus cycling in the UK. *Sci. Total Environ.* 634, 1486–1504.
- Musafiri, C.M., Macharia, J.M., Kiboi, M.N., Ng'etich, O.K., Shisanya, C.A., Okeyo, J.M., Mugendi, D.N., Okwuosa, E.A., Ngetich, F.K., 2020. Soil greenhouse gas fluxes from maize cropping system under different soil fertility management technologies in Kenya. *Agric. Ecosyst. Environ.* 301 (May).
- Musafiri, C.M., Macharia, J.M., Kiboi, M.N., Ng'etich, O.K., Shisanya, C.A., Okeyo, J.M., Okwuosa, E.A., Ngetich, F.K., 2021. Comparison between observed and deNitrification-DeComposition model-based nitrous oxide fluxes and maize yields under selected soil fertility management technologies in Kenya. *Plant Soil.*
- Ngetich, K.F., Diels, J., Shisanya, C.A., Mugwe, J.N., Mucheru-Muna, M., Mugendi, D.N., 2014. Effects of selected soil and water conservation techniques on runoff, sediment yield and maize productivity under sub-humid and semi-arid conditions in Kenya. *Catena* 121, 288–296.
- Parkin, T.B., Venterea, R.T., 2010. Sampling protocols. Chapter 3. Chamber-based trace gas flux measurements. In: Follett, R.F. (Ed.), *Sampling Protocols*, pp. 3–39.
- Pavelka, M., Acosta, M., Kiese, R., Altimir, N., Brümmer, C., Crill, P., Darenova, E., Fuß, R., Gielen, B., Graf, A., Klemetsson, L., Lohila, A., Longdoz, B., Lindroth, A., Nilsson, M., Jiménez, S.M., Merbold, L., Montagnani, L., Peichl, M., Kutsch, W., 2018. Standardisation of chamber technique for CO₂, N₂O and CH₄ fluxes measurements from terrestrial ecosystems. *Int. Agrophys.* 32 (4), 569–587.
- Pelster, D., Rufino, M., Rosenstock, T., Mango, J., Saiz, G., Diaz-Pines, E., Baldi, G., Butterbach-Bahl, K., 2017. Smallholder farms in eastern African tropical highlands have low soil greenhouse gas fluxes. *Biogeosciences* 14 (1), 187–202.
- Rui, J., Tong-tong, W., Jin, S., Sheng, G.U.O., Wei, Z.H.U., Ya-jun, Y.U., Shao-lin, C., 2017. Modeling the biomass of energy crops: descriptions, strengths and prospective. *J. Integr. Agric.* 16 (6), 1197–1210.
- Smith, W.N., Grant, B.B., Desjardins, R.L., Rochette, P., Drury, C.F., Li, C., 2008. Evaluation of two process-based models to estimate soil N₂O emissions in Eastern Canada. *Can. J. Soil Sci.* 88 (2), 251–260.
- Smith, W., Qi, Z., Grant, B., VanderZaag, A., Desjardins, R., 2019. Comparing hydrological frameworks for simulating crop biomass, water and nitrogen dynamics in a tile drained soybean-corn system: cascade vs computational approach. *J. Hydrol. X* 2, 100015.
- Uzoma, K.C., Smith, W., Grant, B., Desjardins, R.L., Gao, X., Hanis, K., Tenuta, M., Goglio, P., Li, C., 2015. Assessing the effects of agricultural management on nitrous oxide emissions using flux measurements and the DNDC model. *Agric. Ecosyst. Environ.* 206 (January), 71–83.
- Yu, C., Li, C., Xin, Q., Chen, H., Zhang, J., Zhang, F., Li, X., Clinton, N., Huang, X., Yue, Y., Gong, P., 2014. Dynamic assessment of the impact of drought on agricultural yield and scale-dependent return periods over large geographic regions. *Environ. Model. Software* 62, 454–464.
- Yue, Q., Cheng, K., Ogle, S., Hillier, J., Smith, P., Abdalla, M., Ledo, A., Sun, J., Pan, G., 2019. Evaluation of four modelling approaches to estimate nitrous oxide emissions in China's cropland. *Sci. Total Environ.* 652, 1279–1289.
- Zhang, F., Zhang, W., Qi, J., Li, F.M., 2018a. A regional evaluation of plastic film mulching for improving crop yields on the Loess Plateau of China. *Agric. For. Meteorol.* 248 (October 2017), 458–468.
- Zhang, Y., Liu, J., Wang, H., Yuan, L., Q. Liang, Liu, H., Bin, Zhai, L. Mei, Ren, T. Zhi, Zhang, J. Zong, 2018b. Suitability of the DNDC model to simulate yield production and nitrogen uptake for maize and soybean intercropping in the North China Plain. *J. Integr. Agric.* 17 (12), 2790–2801.