Dynamic Data Structures

- Operations that create a data structure
 - build (preprocess)

- Operations that modify the structure
 - insert
 - delete

- Query operations
 - search, select, etc.

The Elephant in the Room

- "Why do we need to learn how an AVL tree works?"
 - Just use a STL, right?
- Learn how to think like a computer scientist.
- Learn to modify existing data structures to solve new problems.
 - Augmented Data Structures
 - Many problems require storing additional data in a standard data structure.
 - Augment more frequently than invent...

Today

- Two examples of augmenting balanced BSTs
 - Order Statistics
 - Orthogonal Range Searching

Augmented Reality





Basic methodology of Augmented Data Structures

- Choose underlying data structure
 - (tree, hash table, linked list, stack, etc.)
- Determine additional info needed.
- Modify data structure to maintain additional info when the structure changes.
 - (subject to insert/delete/etc.)
- Develop new operations.

Today

- Two examples of augmenting balanced BSTs
 - Order Statistics
 - Orthogonal Range Searching

Order Statistics

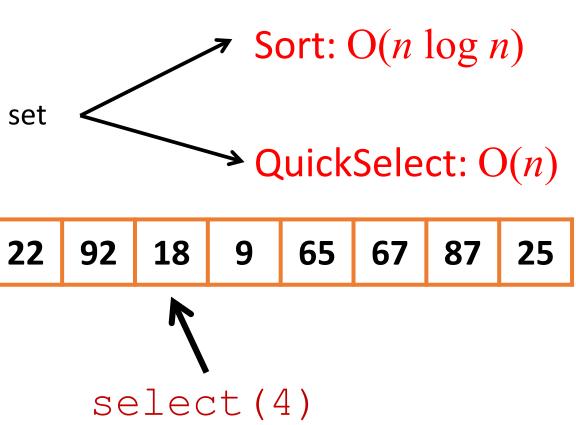
- Input:
 - A set of integers
- Output: select(k)

52

• return the kth item in the set

13

43

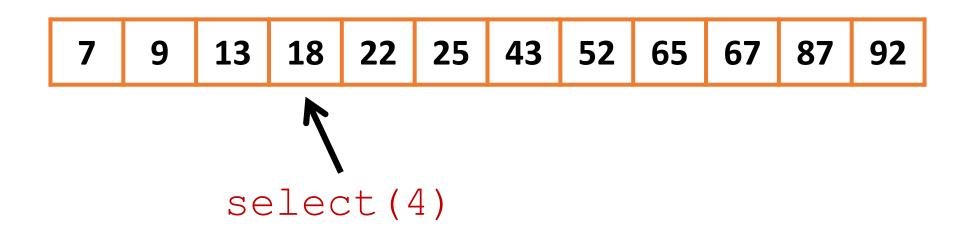


Order Statistics

- Solution 1:
 - Preprocess: sort --- $O(n \log n)$
 - Select: O(1)

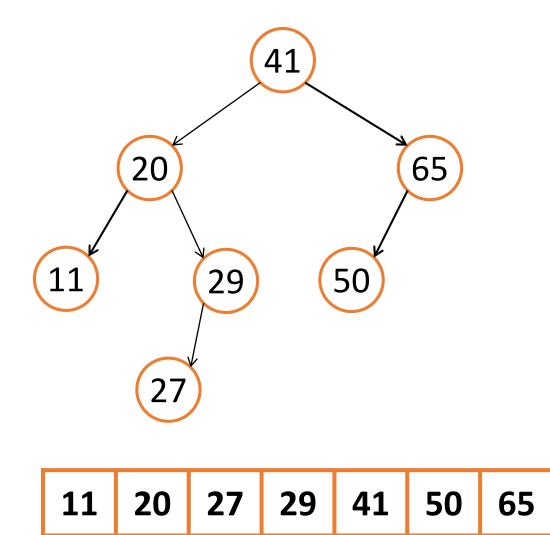
- Solution 2:
 - Preprocess: nothing --- O(1)
 - QuickSelect: O(n)
- Trade-off: how many items to select?

- Implement a data structure that supports:
 - insert(int key)
 - delete(int key)
- and also:
 - select(int k)

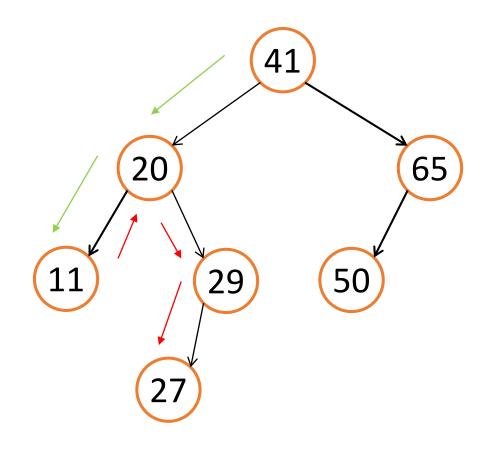


- Solution 1:
 - Preprocess: sort --- $O(n \log n)$
 - Select: O(1)
 - Insert: O(n)
- Solution 2:
 - Preprocess: nothing --- O(1)
 - QuickSelect: O(n)
 - Insert: O(1)
- Trade-off: how many items to select/insert?

- Idea: use a (balanced) tree
- How to start?
- **E.g.** select (3)
 - From the root 41, go left or right?

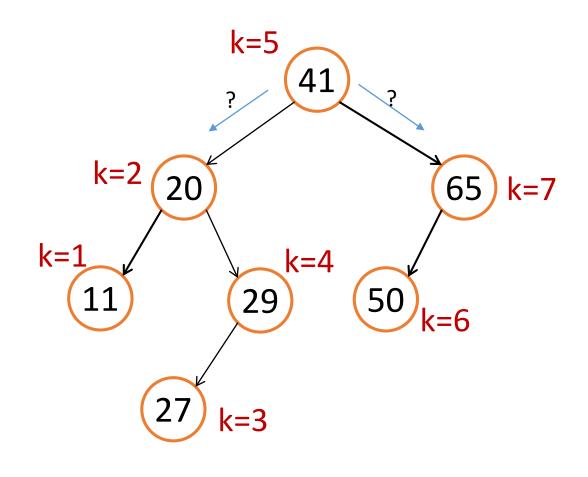


- Simple Solution
 - Search min
 - in-order traversal
- Time complexity: $O(\log n) + O(k)$
- What if I want the median?



11 20 27 29 41 50 65

- Idea: Augment!
- What extra information should we store?
- Store the rank in every node!
- Then how do we search?
 - e.g. if select (3), from 41, go left or right?



29

41

50

65

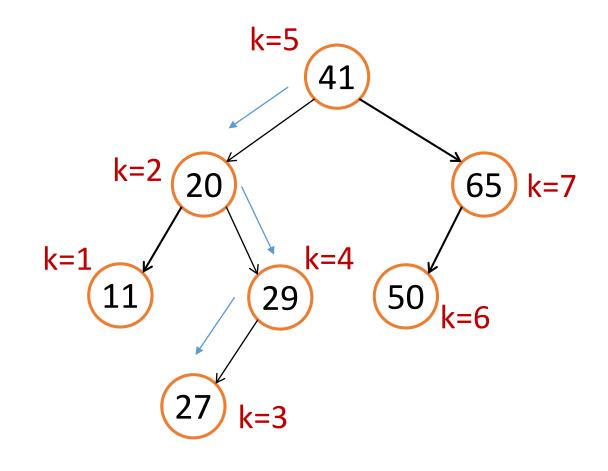
27

20

- select(3)
- If current rank > 3
 - Go left
 - else go right



What will be the problem?



29

41

50

65

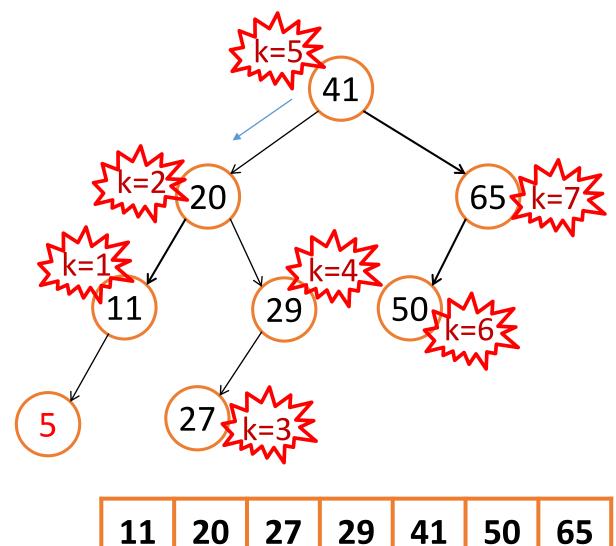
27

20

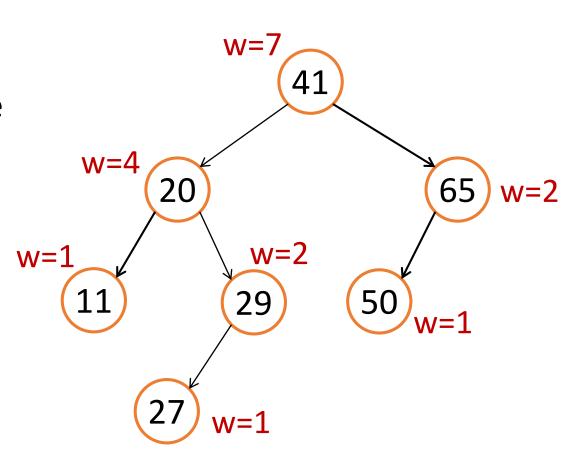
• insert (5)



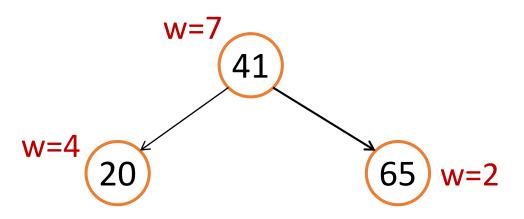
The same will go for deletion



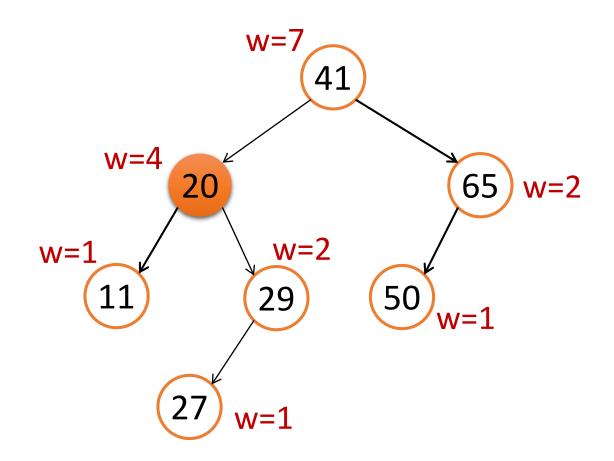
- Idea: store size of sub-tree in every node
- The <u>weight</u> of a node is the size of the tree rooted at that node.
- Define weight:
 - w(leaf) = 1
 - w(v) = w(v.left) + w(v.right) + 1



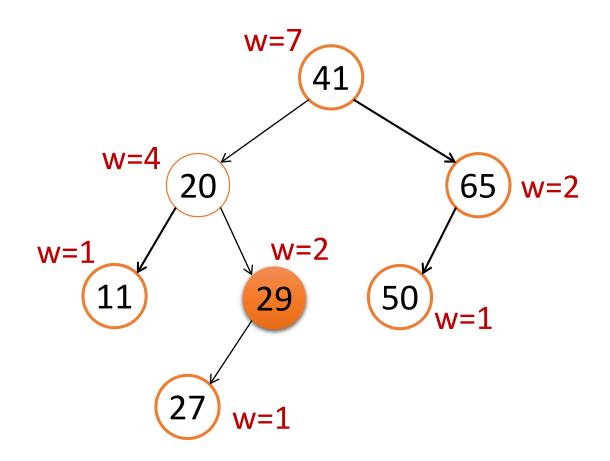
- select(3)
- Go left or right?
- We need the children to help
- Actually only your *left* child
 - How do you know the rank of the node by its left child?
- "rank in subtree" = left.weight + 1
- Left child has 4 nodes, the 3rd node must be in the left subtree



- Select(3)
- Now we are at the node of 20
- The left child of 20 has weight 1
- Then 20 must be rank 2
- The 3rd item must be in the right subtree of 20



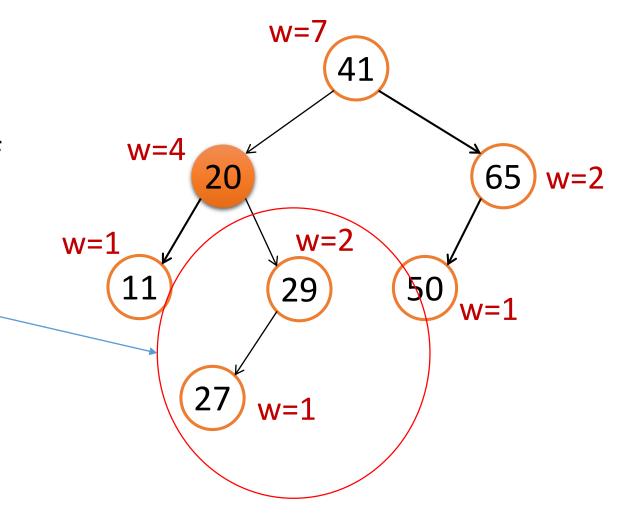
- select(3)
- Wait, can I do select (3) at 29?
- Rewind back to the previous step



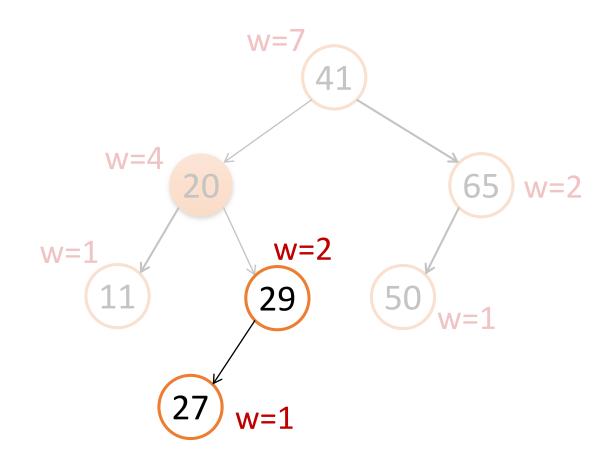
- Select(3)
- When we go to the right child of 20, we skip all the left subtree of 20 and the node 20 itself
- So we should search for

$$rank 3 - 1 - 1 = rank 1$$

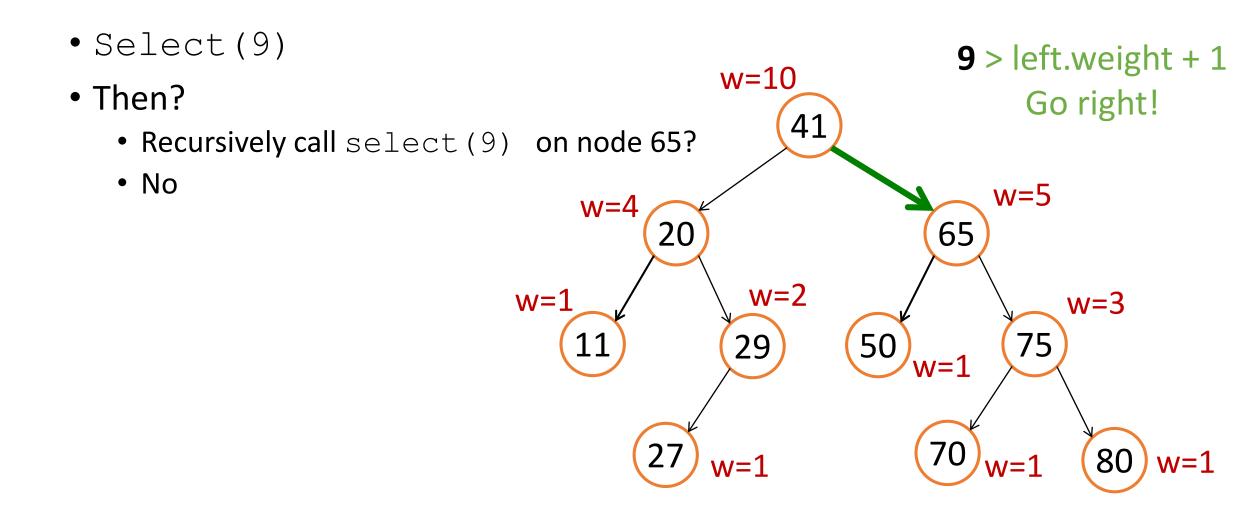
- in the right subtree of 20
- And it will become.....



- Select(1) at the node 29
 - Instead of Select (3)
- Then go left and reach 27

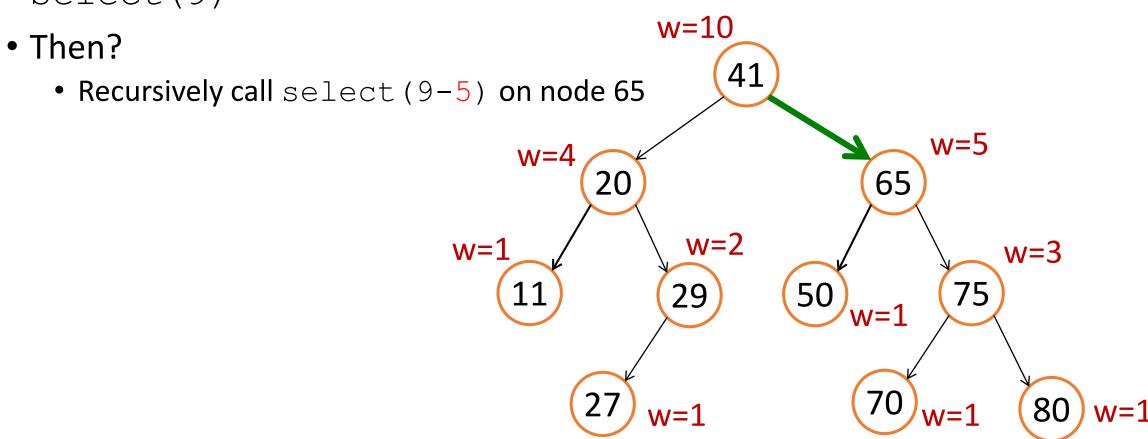


```
select(k)
rank = m left.weight + 1;
if (k == rank) then
     return v;
else if (k < rank) then
     return m left.select(k);
else if (k > rank) then
     return m right.select(k-rank);
```



```
select(k)
rank = m left.weight + 1;
if (k == rank) then
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```

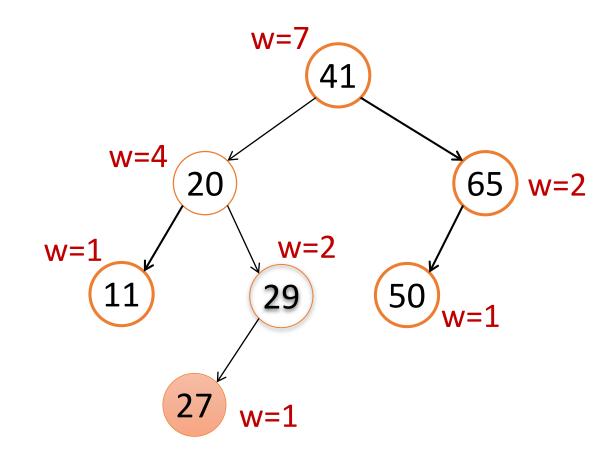
• Select(9)



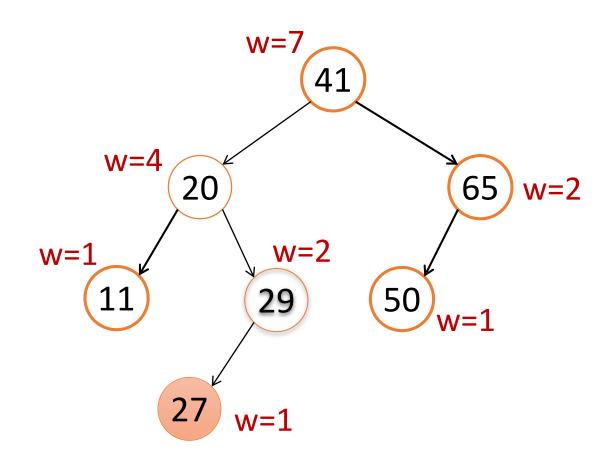
• select(9-5 = 4) on 65 w=10• select(4-2) on 75 41 w=5 w=44 > left.weight + 1 65 20 Go right! w=2 w=1w=3 75 50 29 32 w=1

- select(k)
 - Find the item with rank k

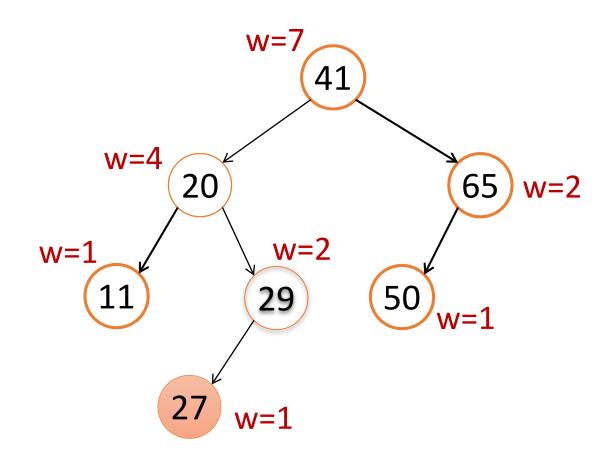
- rank(v)
 - Find the rank of v
 - E.g. what is the rank of 27?



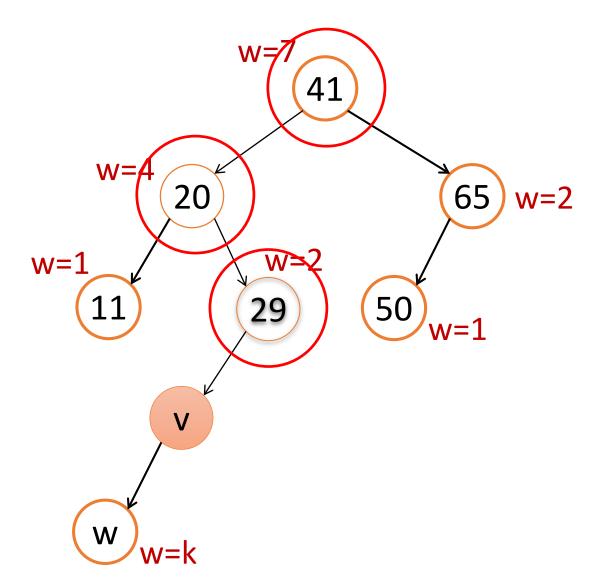
- rank(v)
 - Find the rank of v
 - E.g. what is the rank of 27?
- Find the rank of 27
 - Well we can findMin then inorder traversal to 27
 - Complexity?



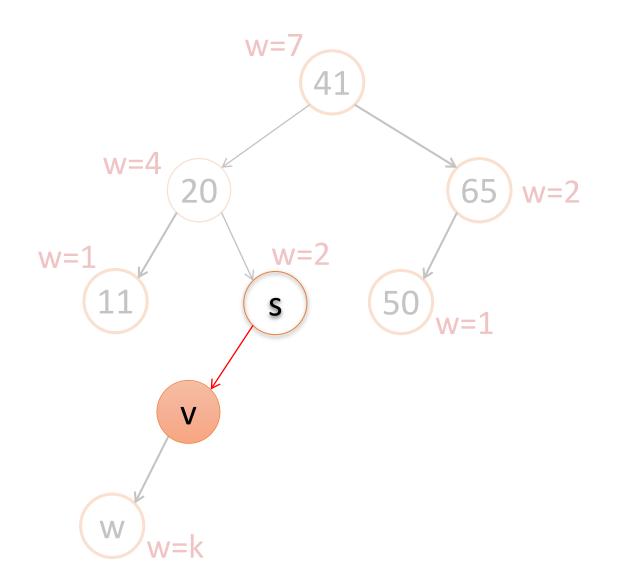
- rank(v)
- Idea: find the number of items that are smaller than v
- What are the nodes/subtrees that can tell you that?



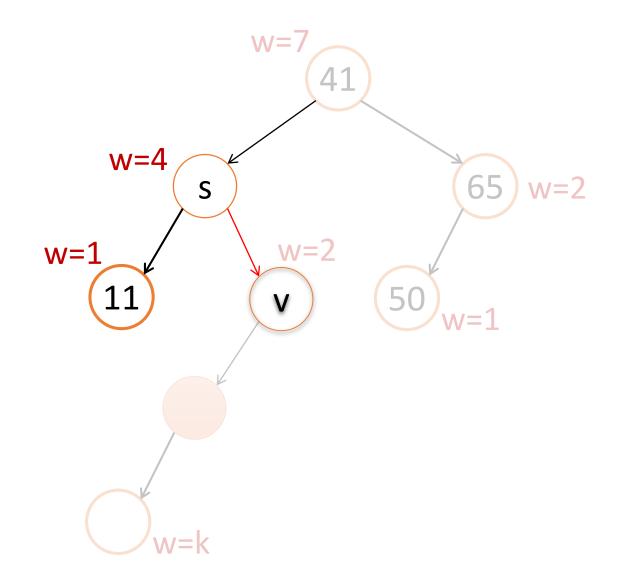
- rank(v)
 - Find all nodes that are smaller than v
- First, the node v may have a left child, and it will have k nodes that are smaller than v
- Second, when you trace all the ancestors of v, what are the nodes that you care?



- rank(v)
 - Find all nodes that are smaller than v
- If we go up to a parent s that the current node is the left child
 - s and the right subtree of s will be all bigger than v
 - So we can ignore them



- rank(v)
 - Find all nodes that are smaller than v
- If we go up to a parent s that the current node is the right child
 - s and the left subtree of s will be all smaller than v
 - Number of nodes = the weight of the left child of s + 1

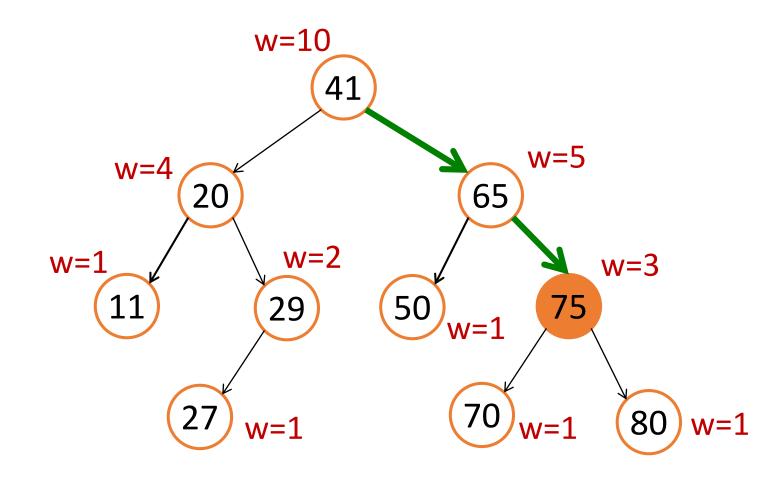


Rank(v)

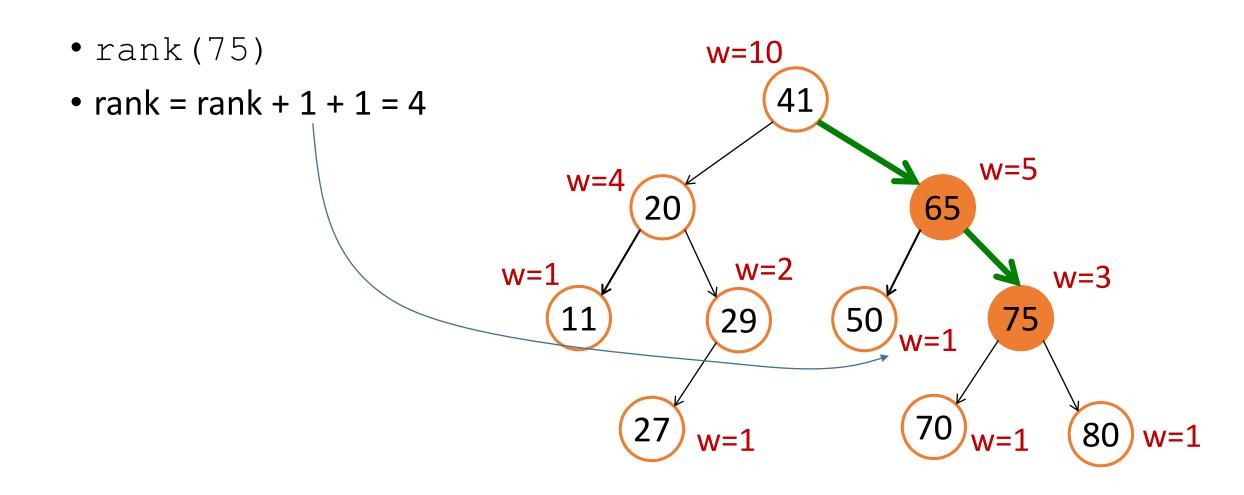
```
rank (node)
 rank = node.left.weight + 1;
 while (node != null) do
      if node is left child then
           do nothing
      else if node is right child then
           rank += node.parent.left.weight + 1;
      node = node.parent;
 return rank;
```

Rank Example

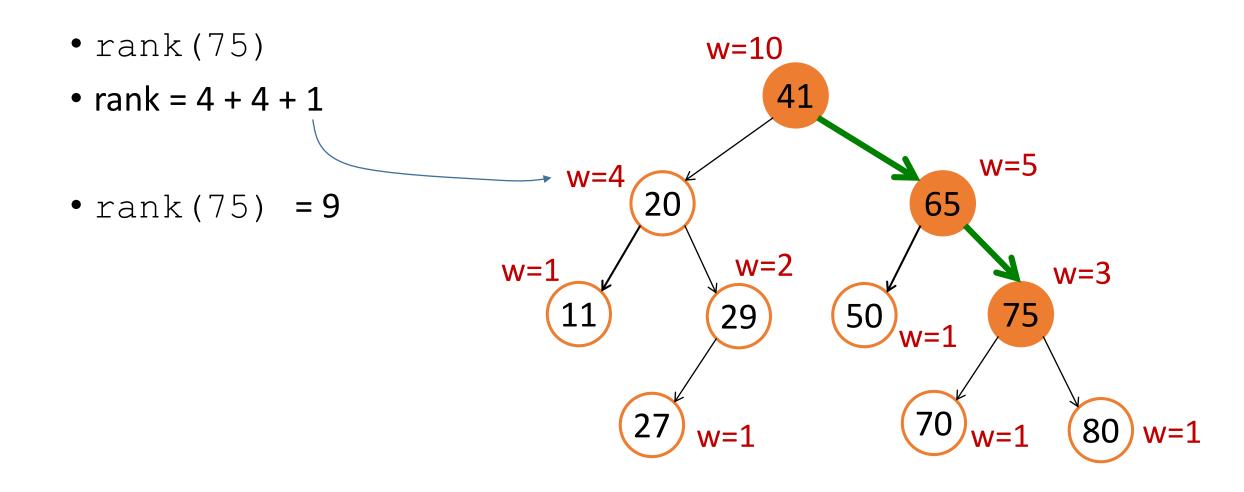
- rank (75)
- rank = 2



Rank Example



Rank Example

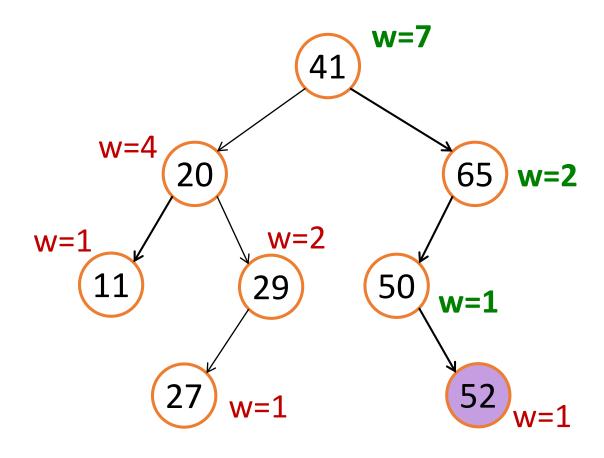


Basic methodology of Augmented Data Structures

- Choose underlying data structure
 - (tree, hash table, linked list, stack, etc.)
- Determine additional info needed.
- Modify data structure to maintain additional info when the structure changes.
 - (subject to insert/delete/etc.)
- Develop new operations.

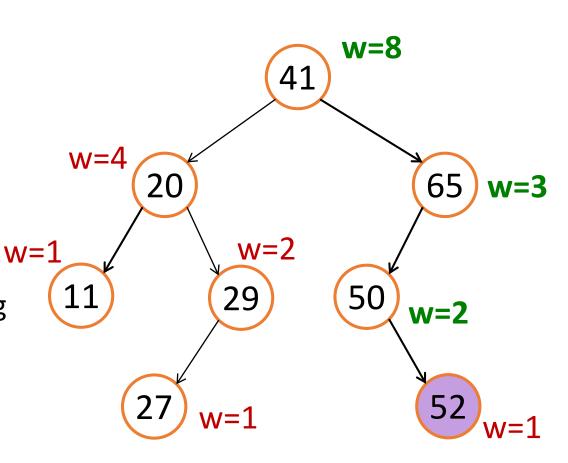
Maintain weight during insertions:

- E.g. insert (52)
- Some weights are not correct anymore
 - All the parents
- Update the weights of all the parents



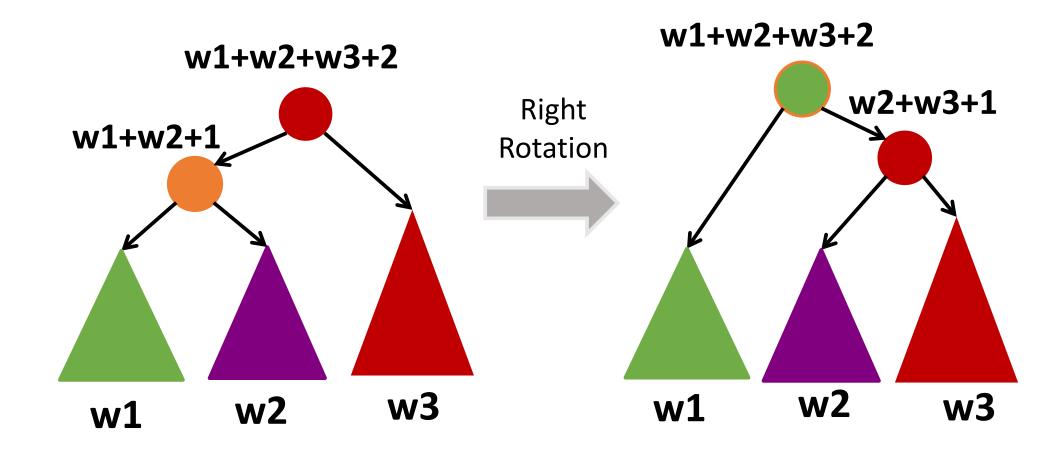
Maintain weight during insertions:

- E.g. insert (52)
- Now the weights are correct, but...
- Out of balance!
- We know how to do rotations to fix it, but...
 - How to maintain the weights during rotations?



Right Rotations

• For one rotation, how many nodes do we have to update?



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Range Search

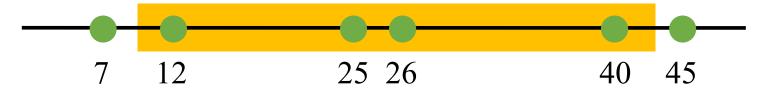
- Range List Query
 - Given two numbers a < b, <u>list out</u> all the elements x such that a <= x <= b
 - Easy!
 - $O(\log n + k)$
 - k is the number of output elements
- Range Count
 - Given two numbers a < b, count all the elements x such that a <= x <= b
 - Well, we can use the above
 - But... do we have to?

$$rank(b) - rank(a) + 1$$

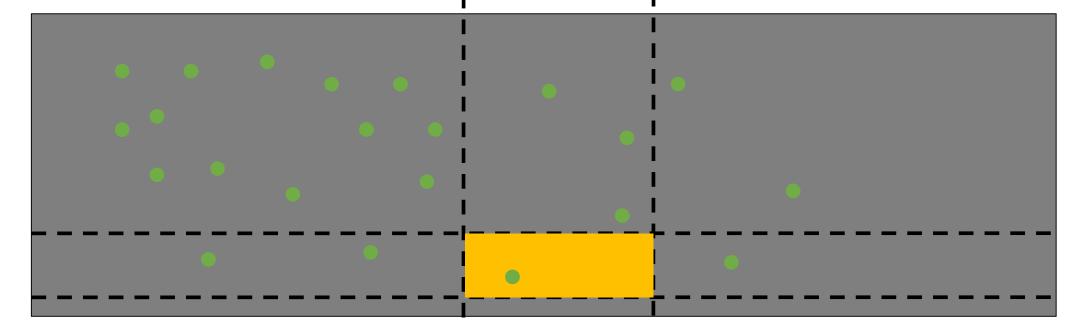
If a or b are not in BST, use their successor or predecessor respectively.

Range Search

• 1D: Given a range a,b, find all elements between a and b

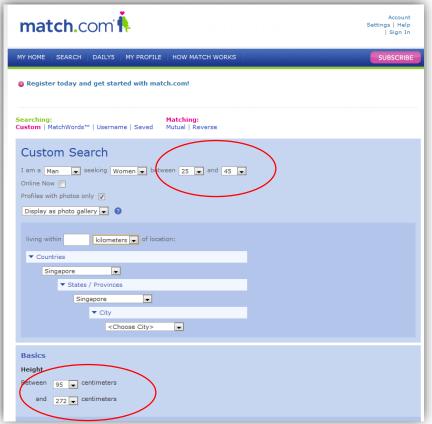


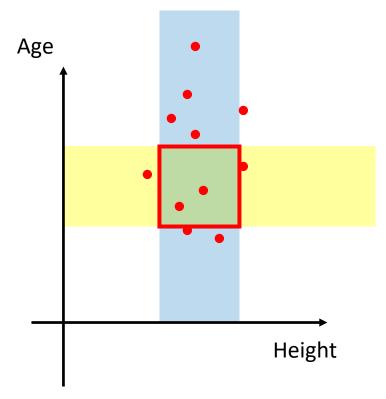
• 2D: Given some 2D points, find the points within a box



Multi-dimensional Range Query

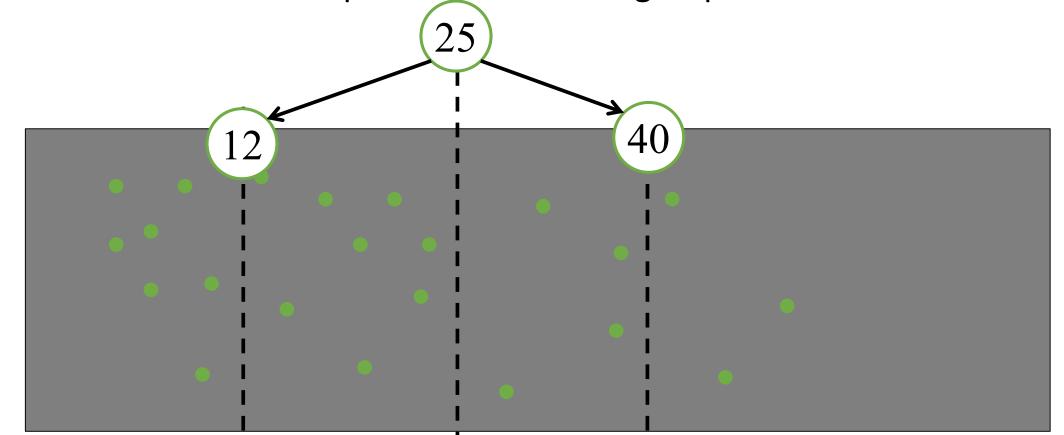
- Search for
 - Age between 25~30 AND
 - Height between 160~180cm





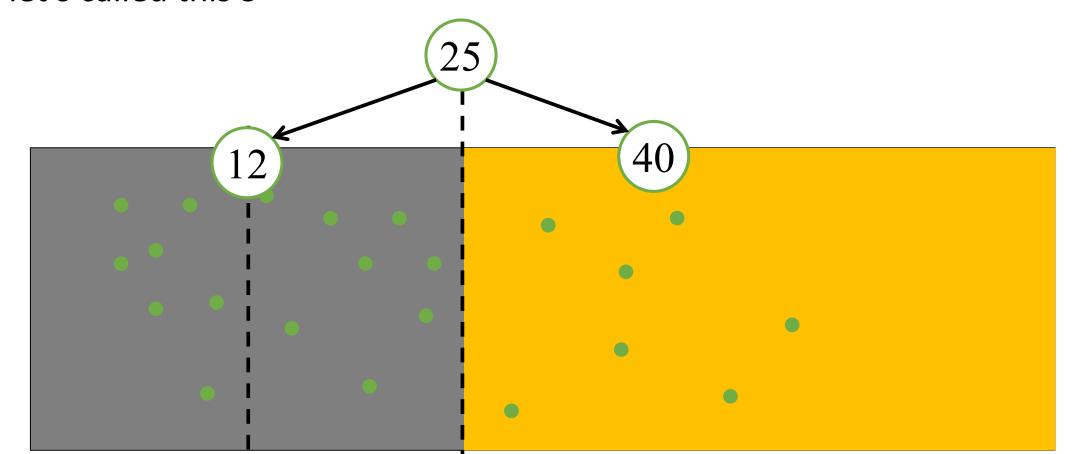
2D Range Search

- First, we build a BST according to the x-coordinates
- Each node divides the points into two subgroup



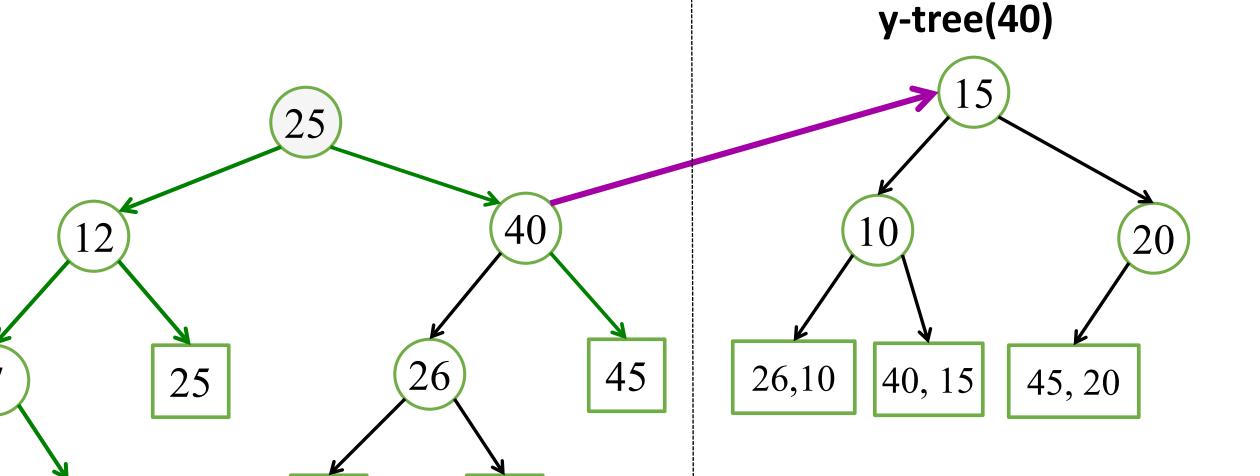
2D Range Search

 Node that the subtree of each node, includes a subset of the points, let's called this S



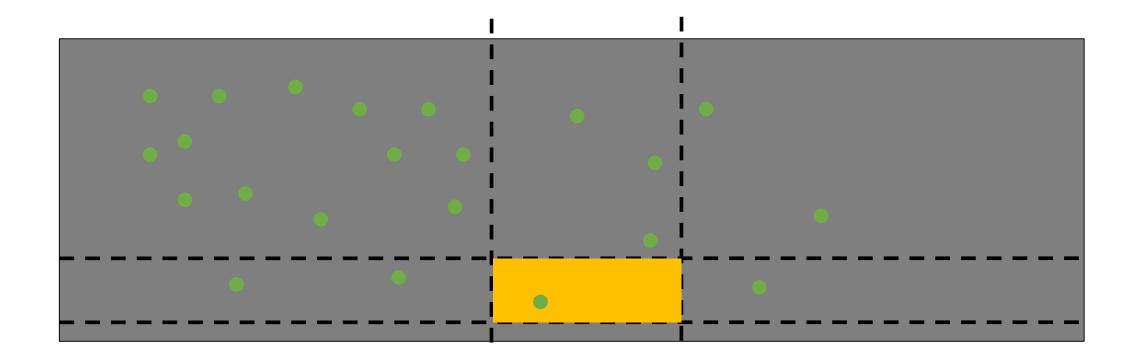
For each node

Add a y-tree that is the BST of S by y-doodinates!



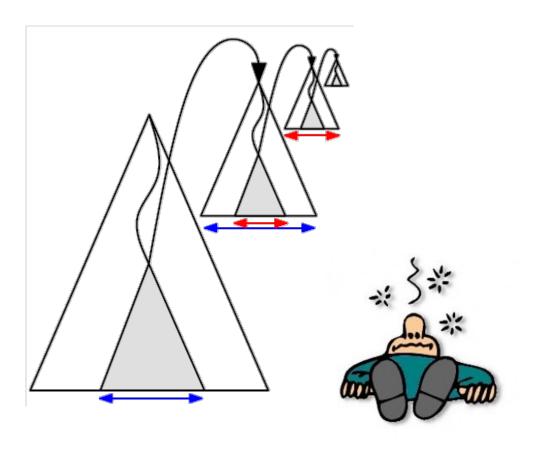
2D Range Search

- Build an x-tree using only x-coordinates.
- For every node in the x-tree, build a y-tree out of nodes in subtree using only y-coordinates



Query time: $O(\log^2 n + k)$

- Time:
 - $O(\log n)$ to find starting/ending node in x
 - And for each node in x, $O(\log n)$ y-treesearches of cost $O(\log n)$
 - O(k) enumerating output
- Space: $O(n \log n)$
 - Why?
- However,
 - Insertion, deletion, rotation maybe O(n)!

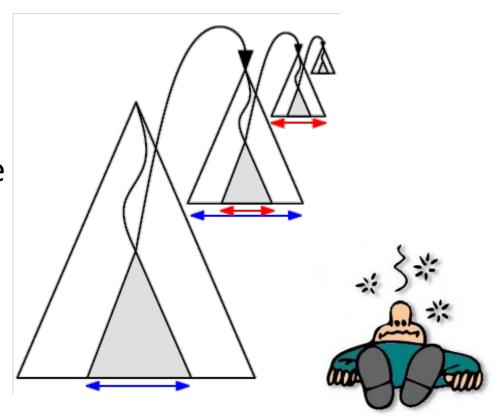


From 2D to N-dim

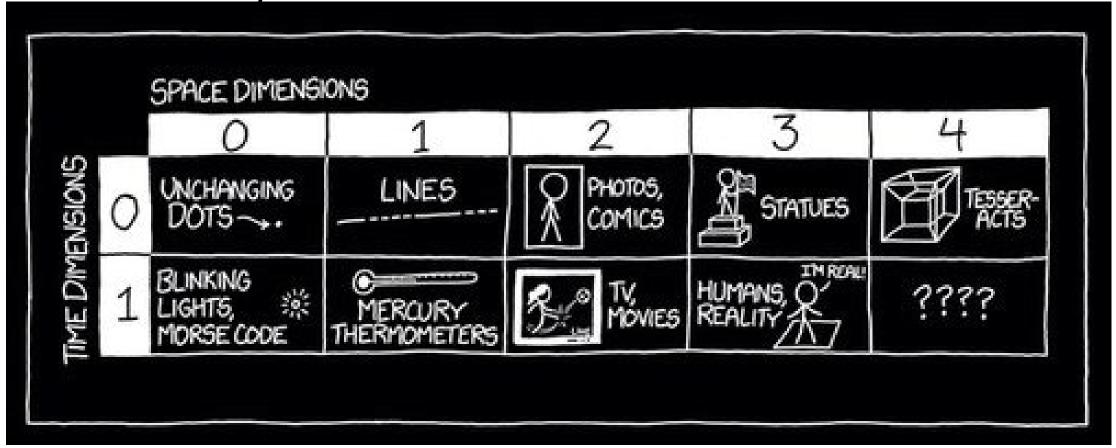
- What if you want high-dimensional range queries?
 - Query cost: $O(\log^d n + k)$
 - buildTree cost: $O(n \log^{d-1} n)$
 - Space: $O(n \log^{d-1} n)$



- Store d-1 dimensional range-tree in each node of a 1D range-tree.
- Construct the d-1-dimeionsal range-tree recursively.



The XKCD Guide to the Universe's Most Bizarre Physics



https://www.wired.com/2014/11/xkcd-guide-to-dimensions/