

INVESTIGATING THE RECOGNITION  
AND INTERACTIONS OF NON-POLAR  
 $\alpha$  HELICES IN BIOLOGY

A THESIS SUBMITTED TO THE UNIVERSITY OF MANCHESTER  
FOR THE DEGREE OF DOCTOR OF PHILOSOPHY  
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# The University of Manchester

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**Doctor of Philosophy**

**Investigating the Recognition and Interactions of Non-Polar  $\alpha$  Helices in Biology**

**September 2, 2016**

Non-polar helices figure prominently in structural biology, from the first protein structure (myoglobin) through Transmembrane (TM) segments, to current work on recognition of protein trafficking and quality control. TM  $\alpha$  helix containing proteins make up around a quarter of all proteins, as well as two thirds of drug targets, and contain some of the most critical proteins required for life as we know it. Yet they are fundamentally difficult to study experimentally. This is in part due to the very features that make them so biologically influential: their non-polar Transmembrane Helix (TMH) regions. What is missing in the current literature is a nuanced understanding of the complexities of the helix composition beyond a hydrophobic region of around 20 residues. Currently it is known that the properties of transmembrane protein  $\alpha$  helices underpin membrane protein insertion mechanisms. Studies in Frank Eisenhabers group at the A\*STAR Bioinformatics Institute have identified types of transmembrane helix, simple are characterised by their hydrophobicity, and complex type by the addition of structural and ancestral features that mediate a role beyond basic membrane insertion and furthermore can be used to predict presence of function in the transmembrane helix itself.

By leveraging large datasets of transmembrane proteins, this thesis is focussed on characterising features of  $\alpha$  helices en masse, particularly regarding their topology, membrane-protein interactions, and intra-membrane protein interactions.

- Herein we expand on the core understanding of the biophysicochemical properties of these helices. We find evidence of a universal “negative-not-inside” rule that complements the famous “positive-inside rule” as well as intramembrane leucine propensity for the inner leaflet.
- An up-to-date set of potential Tail Anchor (TA) proteins (a group of post-translationally inserted proteins) is rebuilt.
- A novel Glycosylphosphatidylinositol (GPI) lipid anchor is characterised.
- The hydrophobicity-complexity continuum is investigated in relation to function and recognition.

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I can't help but wish my thesis title was "The ins-and-outs of greasy peptides".

So long, and thanks for all the fish!



# List of publications

## Journal Articles

## Posters

Baker, J. and Warwicker, J. A Bioinformatic Method to Identify Potential SNARE Proteins. *40th FEBS Congress* Late Breaker (2015)

# Chapter 1

## Introduction

### 1.1 The Transmembrane Protein Problem

The insertion and formation of the unusually orientated TMHs and of the more traditional TMHs have been shown to be underpinned by complex thermodynamic equilibria [1]. TMHs have been identified as regulators of protein quality control and trafficking mechanisms, shifting the idea away from TMHs broadly simply functioning as anchors [2]. The story is not as simple as originally thought. There is a contingency in the field of biological membranes that despite progress over the last decade, there is a still lack of information regarding their structure, assembly, and the behaviour of TMHs in the lipid bilayer; the native biological environment of TMHs [3].

### 1.2 Biological Membrane Composition

#### 1.2.1 Lipids of the Membrane

The compartmentalisation of cellular biochemistry is arguably one of the most significant events to have occurred in evolution, and is certainly one of the fundamental prerequisites for life [4]. The proteins that allow life to use this biochemical barrier are perhaps equally important. Together, the lipid bilayer and proteins therein allow complex biochemical systems that facilitate life to exist.

It is critical to understand that the lipid bilayer and the transmembrane  $\alpha$  helices

are inextricably linked, and often what we observe from the  $\alpha$  helices reflect the properties of the much harder to study membranes. The lipid membranes influence the local structure, dynamics, and activity of proteins in the membrane in non-trivial ways [5–12].

There is a rich variety of lipid molecules that make up the biological membranes. The majority of lipids in higher organism membranes are phospholipids, sphingolipids, and sterols. These are composed of a glycerol molecule. Bonded to the glycerol molecule are two hydrophobic fatty acid tail groups, and a negatively-charged polar phosphate group. The polar phosphate group is modified with an alcohol group. Water entropically drives the self association of the lipid molecules. In other words the bilayer forms from these phospholipid molecules due to the fierce dissociation between the polar water and the hydrophobic tails. Furthermore the bilayer maximises van der Waals interactions between the closely-packed hydrocarbon chains, which contributes to the stability of the bilayer. This can be seen even in relatively early Molecular Dynamics (MD) simulations [13].

### 1.2.2 Differences in Membrane Compositions

It has been known for some time that the outer membranes of Gram negative bacteria are asymmetric in terms of lipid composition. The outer membranes contain lipopolysaccharide, whilst the inner is a mixture of approximately 25 phospholipid types. Adding to the membrane asymmetry composition story, a thorough analysis of residue composition in yeast and human TMH regions revealed intra-membrane leaflet composition asymmetry in the Endoplasmic Reticulum (ER), but not the Golgi [14]. Furthermore proteinlipid interactions have been shown to be determinants of membrane curvature [11], and undertake complex orientations and conformations to allow for hydrophobic mismatch [15].

### 1.2.3 Membrane Potential

Simply put, membrane potential is the voltage across a membrane. If the membrane is permeable to a certain type of ion, then the ion will experience an electrical pulling force during the diffusion process that will favour the “preferred” biological location.

This clearly depends on a chemical component involving both the charge and ion concentration gradient. There are various ways of estimating the membrane potential *ab initio*.

The Nernst equation can be derived directly from the simplified thermodynamic principles (i) the Boltzmann distribution, and (ii) a field charge interaction energy [16]. It is defined as:

$$E_m = \frac{RT}{F} \times \ln \frac{c_{out}}{c_{in}}$$

Where charge  $Em$  is the membrane potential,  $z$  is the ion charge,  $c$  is the concentration of an ion in that cell environment.

However, it is rife with caveats caused by the assumptions of the simplified model such as the assumption that ions have point charge, that the potential is not constant throughout the solution and is only measurable at the point of measurement and this can be heavily influenced by, for example, a specific adsorption of either part of the redox pair or the competitive adsorption of a supporting ion in solution [16]. Therefore one should be cautious to understand the limitations and variability when extrapolating experimentally determined  $E_0$ , particularly when using such an idealised model in a biological context. Particularly, the problems in a biological membrane is that the compartments always involve multiple ion channels. The Goldman equation aims to solve this problem by accounting for several ions simultaneously:

$$E_m = \frac{RT}{F} \times \ln \left( \frac{p_{K^+} \cdot [K^+]_{out} + p_{Na^+} \cdot [Na^+]_{out} + p_{Cl^-} \cdot [Cl^-]_{in}}{p_{K^+} \cdot [K^+]_{in} + p_{Na^+} \cdot [Na^+]_{in} + p_{Cl^-} \cdot [Cl^-]_{out}} \right)$$

Where charge  $Em$  is the membrane potential,  $z$  is the ion charge,  $[i]$  is the ion concentration and  $p_i$  is the relative membrane permeability for the actual ion

### Organelle Membrane Potential

Several studies have attempted to quantify the various voltages across the intracellular membranes. Negativity was found in the mitochondrial membrane at 150mV [17], and between 75mV to 95mV in the ER membrane [18, 19]. No notable membrane potential has been identified in the Golgi [20].

## 1.3 $\alpha$ Helices in Membranes

### 1.3.1 The Importance of Transmembrane Proteins

Membrane bound proteins underpin almost every biological process directly, or indirectly, from photosynthesis to respiration. Integral Transmembrane Protein (TMP) are encoded by around 30% of the genes in the human genome which reflects their biological importance [21]. These proteins allow biochemical pathways that traverse the various biological membranes used in life.

The relationship between the membrane and TMPs is underpinned by complex thermodynamic and electrostatic equilibria. Once inserted the protein doesn't leave the membrane as a result of the transmembrane helix being very hydrophobic. This hydrophobicity, and the hydrophobicity of the lipid tails means that they self associate. A better way of describing it is that they fiercely dissociate from the water. The overall  $\Delta G$  for a transmembrane helix in the membrane is  $-12\text{kcalmol}^{-1}$  [1]; the association of the helix in the membrane is typically spontaneous.

### 1.3.2 Transmembrane Helix Sequence Composition

Properties that can be analysed by bioinformatics, the sequence complexity and hydrophobicity, of the TMH have been used to predict the role of the TMH as either functional or structural, and as a discrete cluster from other SCOP annotated helices [24]. Those findings demonstrated that the sequence of the TMH holds valuable information regarding biological roles, and forms the basis of our interest in the link between the polarity of a helix and functional activity beyond structural anchorage.

The language used to describe TMHs varies somewhat across the literature, primarily due to a changing understanding of TMH general structure and relevance to function over the last 15 years or so. There is a general composition of a TMH despite specific protein and membrane constraints [14].

A study by Baeza-Delgado *et al.* from 2013 [25] looked at TMHs in 170 integral membrane proteins from a manually maintained database of experimentally confirmed TMPs; MPTopo [28]. The group examined the distribution of residues along the TMHs. As expected, half of the natural amino acids are equally distributed along Transmembrane (TM) helices whereas aromatic, polar, and charged amino acids along

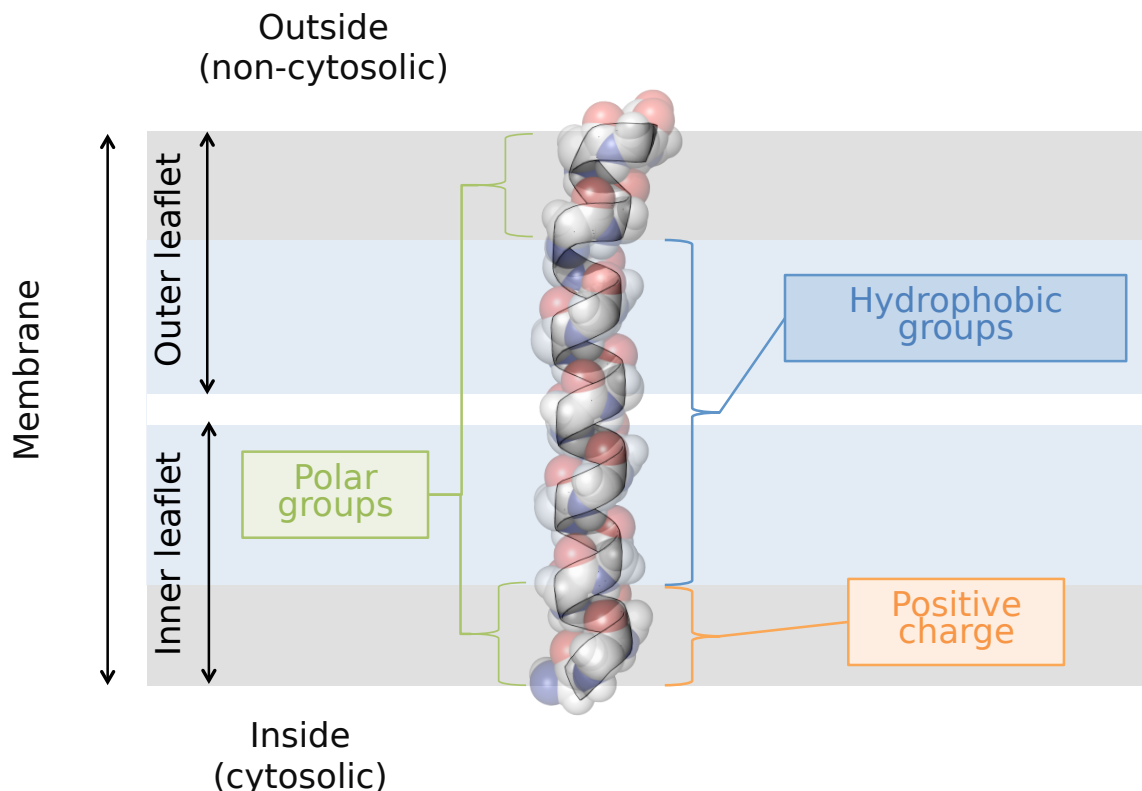


Figure 1.1: A cartoon showing the general components of the membrane and a typical TMH. The example used here for illustrative purposes is transmembrane region of tetherin (PDB 2LK9) [22]. Dark grey areas denote the area of lipid head groups. The residues found in these areas are often described as flanking regions, and are often in contact with the aqueous interface of the membrane. The helix core is mostly composed of hydrophobic residues. More recently the hydrophobic group region has been associated with cell localisation and a broad range of biochemical functions [23, 24]. Although the regions labelled here generally hold true in terms of the statistical distribution of polar, non-polar, and charged groups, it is by no means absolute laws and many proteins break these “rules” [14, 25, 26]. Note that the definition of a TM  $\alpha$ -helix is not entirely clear; how far the helix rises into the water-interface region to qualify as a TMH for example [27].

with proline are biasedly near the flanks of the TM helices [25]. It has been noted that transitions between the polar and non-polar groups at the ends of the hydrophobic core occur in a more defined edge on the cytoplasmic side than at the extracytoplasmic face when counting from the middle of the helix outwards [25]. This is probably reflecting the different lipid composition of both leaflets of biological membranes [25]. A larger previous study using 1192 human and 1119 yeast predicted TMHs that were not structurally validated further explored the difference in TMH and leaflet structure by exploiting the evolutionarily conserved sequence differences between the TMH in

the inner and outer leaflets [14]. TMHs from vertebrates and invertebrates were found to be reasonably similar compositionally. The differences in consensus TMH structure implies that there are general differences between the membranes of the golgi and ER. The abundance of serines in the region following the luminal end of golgi TMDs probably reflects the fact that this part of many golgi enzymes forms a flexible linker that tethers the catalytic domain to the membrane [14].

### The “Positive-Inside” Rule

Two publications by von Heijne coined the “Positive-Inside” rule demonstrated the practical value of positively charged residue sequence clustering in topology prediction of TMHs in bacteria [29, 30]. It was clearly defined and shown that positively charged residues more commonly were found on the “inside” of the cytoplasm rather than the periplasm of *E. coli*. More recently still large scale sequence analysis of TMHs from different organelle membrane surfaces in eukaryotic proteomes, show the clustering of positive charge being cytosolic [14, 25, 26].

### The Aromatic Belt

Tyrosine and tryptophan residues commonly are found at the interfacial boundaries of the TMH and this feature is called the “aromatic belt” [14, 25, 31–33]. Not all aromatic residues are not found in the aromatic belt; phenylalanine has no particular preference for this region [32, 34]. However it still remains unclear if this is to do with anchorage or translocon recognition [25].

### Snorkeling

Broadly speaking, transmembrane helices are non-polar. However some contain polar and charged residues in the helix itself. Whilst this might seem thermodynamically unstable at first glance, a molecular dynamic feature called the “snorkel” effect explains in part how this is possible [35, 36]. Simply put, the snorkelling effect involves the long flexible side chain of leucine reaching the water interface region to interact with the polar headgroups of the bilayer even when the  $\alpha$  helix backbone is pulled into the hydrophobic layer [37]. This has also been suggested to allow helices to adapt to varying thicknesses of the membrane [38].

## 1.4 Biogenesis of Transmembrane Proteins

### 1.4.1 Translocation

The “inside” was an imprecise term used to indirectly refer to the cytoplasmic space. To understand why the cytoplasm is the key part, one must recall how the membranes are synthesised and localised throughout the cell.

### 1.4.2 Tail-Anchored Proteins Post Translationally Insert

Tail anchored proteins are a topologically distinct class of intracellular proteins defined by their single carboxy-terminal transmembrane domain with a cytosolic facing amino-terminus. Tail anchored proteins are involved in a range of key cellular functions including protein translocation and apoptosis. Additionally, within the tail anchored class of proteins are a set of vesicle fusion proteins called Soluble N-Ethylmaleimide-Sensitive Factor Attachment Receptor (SNARE) proteins. There is biomedical interest in SNARE drug delivery mechanisms. SNAREs can fuse liposomes containing various drug payloads into the membrane.

Notably, known SNARE transmembrane helices are highly hydrophobic even compared to other tail anchored transmembrane helices.

### 1.4.3 Translocon Independent Membrane Insertion

Signal anchored proteins, proteins that contain a single hydrophobic segment that serves as both a mitochondrial targeting signal and a membrane anchor, as well as tail anchored proteins have been shown to be able to spontaneously insert into the membrane indepently from the translocon [39–41].

It is postulated that there are electrostatic factors in the flanking regions that contribute to this spontaneous membrane insertion. Our experimental collaborators in Stephen Highs group are interested in a small group of tail anchored proteins that have very polar transmembrane domains and are capable of liposome membrane insertion without insertion machinery, also known as spontaneous insertion. They have found that chimeric synaptobrevin, one of the first identified SNARE proteins, is capable of spontaneous insertion if its tail anchor domain is replaced by the transmembrane



domains belonging to a protein of known spontaneously inserting domains. Their studies have moved the focus of spontaneous insertion away from the loop regions and onto the biophysicochemical factors of the TMH itself. The idea that SNARE proteins are modular, and capable of spontaneous insertion has significant implications for both biomedical application in liposome based drug delivery and can aid future research for testing complex biological molecular networks [42, 43].

## 1.5 Choice of Hydrophobicity Values

### 1.5.1 An Overview of the Different Scales

Throughout this thesis several scales are used to evaluate and estimate hydrophobic values of peptides. All the scales aim for quantifying the hydrophobic values of each residue. There are several key differences in their methodology, assumptions, and aims. Crucially this results in slightly different scores for some residues. Because of this, it's preferable, and typical amongst the literature, to use several scales to verify the patterns observable in one scale. Notably, one of the classic scale, Kyte & Doolittle Hydrophathy Scale shows striking similarity to the modern Hessa's Biological Hydrophobicity Scale, and that generally the "better" scales count proline as hydrophilic, and focus on helix recognition rather than amino acid analogues [44]. Ultimately, all the scales are attempting to allow estimation of  $\Delta G_{whf}$ ; the free energy of a folded helix ( $f$ ) from the water ( $w$ ) into the membrane core ( $h$ ). This free energy measurement is regarded as being currently experimentally inaccessible [1].

#### Kyte & Doolittle Hydrophathy Scale

A scale based on the water–vapour transfer free energy and the interior-exterior distribution of individual amino acids [45].

#### Hessa's Biological Hydrophobicity Scale

This is arguably the most biologically relevant scale [44]. The scale is based on an experimental method where the free energy exchange during recognition of designed polypeptide helices by the endoplasmic reticulum Sec61 translocon occurred [31]. These

measurements were then used to calculate a biological hydrophobicity scale.

### **White and Wimley Octanol – Interface Whole Residue Scale**

This scale is calculated from two other scales; the octanol scale, and the interface scale [46]. This scale is fundamentally based on the partitioning of host-guest pentapeptides (acetyl-WL-X-LL-OH) and another set of peptides (AcWLm) between water and octanol, as well as water to Palmitoyloleoylphosphatidylcholine (POPC) .

### **The Eisenberg Hydrophobic Moment Consensus Scale**

The Eisenberg scale is a consensus scale based on the earlier scales from Tanford [47], Wolfenden [48], Chothia [49], Janin [50], and the von Heijne scale [51]. The scales are normalized according to serine [52]. The automatic TRANSMEM annotation currently used in Uniprot is according to TMHMM [53], Memsat [54], Phobius [55] and the hydrophobic moment plot method of Eisenberg and coworkers [52].

## **1.6 A Brief History of Transmembrane Proteins in Science**

### **1.6.1 Earliest Evidences of Compartmentalisation**

### **1.6.2 Early Models of the Bilayer**

### **1.6.3 The Rise of Crystallography**

Because of the experimental hinderence, the story of transmembrane proteins has been relatively slow to emerge. In the 1990s and early 2000s the story was seemingly uncomplicated. There were membrane-spanning bundles of non-polar  $\alpha$ -helices of roughly 20 residues length, with a consistent orientation of being perpendicular to the membrane surface. Since the mid-2000s the elucidation of many more intramembrane helix structures implied a far richer variety of transmembrane helices existed than previously thought, with a range of orientations and intra-membrane biophysical variations. Although the simple helices are broadly prevalent, hundreds of high quality membrane

structures have elucidated that TMHs can adopt a plethora of lengths and orientations within the membrane. TMHs are capable of partial spanning of the membrane, spanning using oblique angles, and even lying flat on the membrane surface [27, 56] (Figure 1.1). Over the last decade, Nanodiscs have been routinely used to much more easily obtain crystal structures. Nanodiscs overcome some of the major challenges caused by the hydrophobic helices, and a more faithful representation of the biological membranes than alternative model membranes like liposomes [57].

## 1.7 Aims of This Thesis.

1. Negative not inside rule
2. GPI project
3. SNARE and TA project
4. Good and bad helices

# Chapter 2

## The “Negative-Not-Inside” Rule

### 2.1 Abstract

### 2.2 Introduction

As the idea of positive residues inside the cytoplasm emerged during the late 1980s, so did the idea of negative residues working in concert with TMH orientation. It was shown that removing a single lysine residue reversed the topology of a model *Escherichia coli* protein, whereas much higher numbers of negatively charged residues are needed to reverse topology [58]. One would also expect to see a skew in negatively charged distribution if a cooperation between oppositely charged residues orientated a TMH, however there is no conclusive evidence in the literature for an opposing negatively charged skew [14, 25, 26, 32, 33]. However, in *E. coli* negative residues do experience electrical pulling forces when travelling through the SecYEG translocon indicating that negative charges are biologically relevant [59].

### 2.3 Methods

#### 2.3.1 Normalisation

$$c_r = \frac{(a_{K,r} + a_{R,r}) - (a_{D,r} + a_{E,r})}{N}$$
$$p_{i,r} = \frac{a_{i,r}}{\max_r(a_r)}$$
$$q_{i,r} = \frac{100a_{i,r}}{a_i}$$

## 2.4 Results

### 2.4.1 Biophysicochemical differences in multi-pass and single-pass helices

# Chapter 3

## Tail-anchored protein discovery

### 3.1 Abstract

### 3.2 Introduction

This study aims to identify SNARE proteins in eukaryotic proteomes by filtering through large datasets using automatically predicted TrEMBL consensus, and manually annotated SWISS-PROT transmembrane regions. The pipeline generates a list of singlepass proteins with a transmembrane domain close to the C terminal, that are not splice isoforms. A previous study predicted 411 tail anchor proteins [60].

### 3.3 Methods

The original list UniProt protein database was queried for records containing “TRANS-MEM” annotation on June 15, 2016, totaling 75826 records from swissprot, and 12322000 records from TrEMBL.

Expression

#### 3.3.1 Filtering the Uniprot database

Steps carried out by Kalbfleisch *et al.* published in Traffic 2007 (8: 16871694) were recreated using up to date tools. The nonredundant human dataset of 145,715 proteins from SwissProt and TrEMBL [60, 61]. 2,478 singlepass proteins were programmatically

extracted according to the TRANSMEM count from that list. Then TMDs not within 15AA of the C terminal were removed, resulting in 455 proteins. No splice isoforms were detected according to searching for NON\_TER annotation. 195 proteins of the 411 predicted proteins from the previous study were successfully mapped using the Uniprot mapping tools [61]. Duplicate IDs from the previously predicted tail anchored protein were removed from the set. The remaining dataset contained XXX proteins.

### **3.3.2 Calculating Hydrophobicity**

### **3.3.3 Calculating Sequence Complexity**

## **3.4 Results**

### **3.4.1 An Up To Date Tail-Anchor Dataset**

### **3.4.2 Potential Tail-Anchored SNARE Protein Discovery**

### **3.4.3 Biology of Spontaneously Inserting Tail Anchored Proteins**

## Chapter 4

# A Novel GPI Lipid Anchor Categorised

### 4.1 Abstract

### 4.2 Introduction

### 4.3 Methods

### 4.4 Results



## Chapter 5

# The Good, the Bad, and the Ugly Helices

### 5.1 Abstract

### 5.2 Introduction

### 5.3 Methods

### 5.4 Results

# Chapter 6

## Conclusions

### 6.1 Outlook

#### 6.1.1 The hydrophobicity–sequence complexity continuum

We hypothesise that the hydrophobicity–sequence complexity continuum contains nuanced codes for different functions and that such differentiation of sequence and structural properties will allow assignment to these varying functions. Additionally, we suggest probing functional classification of yet uncharacterised membrane proteins by similarities of combinations of complex TM sets to well studied membrane proteins and finding those classes of TM proteins where this principle is most directly applicable.

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