

## Outline of First Few Lectures

### Quick review:

- Languages and grammars (generators)
- Regular Languages and Lexical Analysis

### Next Major Topic : Parsing

- Context-Free Grammars and Languages
- Top-down Parsing
- Bottom-up Parsing
  - Shift-Reduce Parsing
  - LR Parsing
- Automatic parser construction tools

## Languages and Grammars

### Languages

- fixed, finite alphabet (or symbols or vocabulary)
- finite length sentences (or strings)
- possibly infinitely many strings
- Examples:
  - The natural numbers:  $\{0, 1, \dots, 10, 11, \dots\}$
  - Strings over  $\{a,b\}$  ending in a single 'b':  $\{a, ab, aab, aaab, \dots\}$

### Grammars

- Specify a method by which all strings of a language,  $L$ , may be generated via well-defined rules.

## Recognizers

- A procedure which, given a "string",  $\chi$ , answers "yes" if  $\chi \in L$ .  
(Usually also want to answer "no" if  $\chi \notin L$ )
- **Scanner:**
  - Recognizer to identify the symbols or *tokens* in input
  - Uses a **Regular Language** defined by regular expressions
- **Parser:**
  - Recognizer to identify sentences (strings of tokens) in the input
  - Uses a **Context Free Grammar** defined by context-free rules

## Regular Sets (Regular Languages)

### Definition: Regular Sets

Let  $\Sigma$  be a finite alphabet.

1.  $\Phi$  is a regular set over  $\Sigma$  (the empty set)
2.  $\{\epsilon\}$  is a regular set over  $\Sigma$  ( $\epsilon$  is the string of length zero)
3.  $\forall a \in \Sigma, \{a\}$  is a regular set over  $\Sigma$ .
4. If  $P$  and  $Q$  are regular sets over  $\Sigma$ ,
  - a. (Set Union)  $P \cup Q$  is a regular set over  $\Sigma$
  - b. (String Concatenation)  $PQ$  is a regular set over  $\Sigma$
  - c. (Kleene Closure)  $P^*$  is a regular set over  $\Sigma$

Nothing else is a regular set over  $\Sigma$ .

## Regular Expressions

### Regular Expressions: A concise notation for regular sets

- (1)  $\Phi$  denotes the regular set  $\Phi$ .
- (2)  $\epsilon$  denotes the regular set  $\{\epsilon\}$ .
- (3)  $\alpha$  denotes the regular set  $\{\alpha\}$ .
- (4) If  $p$  and  $q$  are regular expressions denoting the regular sets  $P$  and  $Q$  respectively, then
  - (a)  $(p \mid q)$  denotes  $P \cup Q$
  - (b)  $(pq)$  denotes  $PQ$
  - (c)  $(p)^*$  denotes  $P^*$
- (5) Nothing else is a regular expression.

Notation:

$$(p)^+ = ((p)^* p)$$

## Regular vs. Non-regular Sets

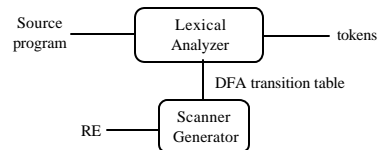
Which of these are regular sets?

1. All strings of length zero or one character over  $\Sigma$ .
2. All strings of the form  $wcw^*$  where  $w^*$  is the reverse of  $w$
3. All strings over  $\Sigma = \{0, 1\}$  with an even numbers of 0s and odd numbers of 1s
4. The set of arithmetic expressions with matched parentheses

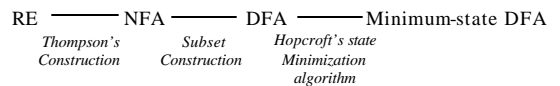
## Key Properties

- For every RE, there is a DFSM that recognizes the language defined by that RE
- For every NFSM  $M_1$  there is a DFSM  $M_2$  for which  $L(M_2) = L(M_1)$
- *Thompson's Construction*:
  - Systematically generate an NFSM for a given Reg. Ex.
- *Subset construction algorithm*:
  - Converts an NFSM to an equivalent DFSM
  - Key: identify sets of states of NFSM that have similar behavior, and make each set a single state of the DFSM

## Overview of a Scanner Generator



### Scanner Generator



## Implementation Issues

- Using a DFA for token recognition
- Scanner performance issues
- Handling lexical errors
- Language design issues

## Token Recognition With a DFA

1. Flex input: specify tokens and actions

```
P1 { action }
P2 { action }
P3 { action }
```

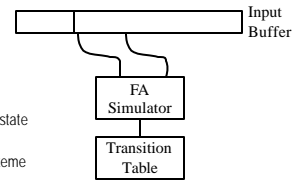
E.g.

```
[+-]?[0-9]+ {yyval = atoi(yytext); return INTCONST;}
```

2. Flex builds DFA transition table for REs (patterns)

3. Simulate DFA:

- Linear scan of input file
- Two buffer pointers:
  1. Start of current lexeme
  2. Current input symbol
- Remember symbol for last accepting state
- Execute code for matched pattern
- Multiple patterns may match same lexeme



## Scanner Performance

- See flex documentation for many useful insights
  - *Options, Patterns, Actions, Performance Hints*
- Typical Practical Issues:
  - Use a single action per token (**REJECT** action in flex)
  - Avoid backtracking in the input
  - Consume as much text as possible per action
  - Trade-offs in table size vs. speed  
(see documentation of flag `-C` in flex)

## Lexical Errors

- Scanner can catch few errors: most are syntactic

*Example:* `X = 900n;`

- What's a scanner to do?

Recovery strategies:

- Minimum-distance error correction: insert, delete, replace
- Skip input characters until match
  - » E.g., `"X"`, `"="`, `"900"`, `<ERROR>`, `"n;"`

## Language Design Issues

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### Poor language design complicates lexical analysis

- PL/I had no reserved words!  
`if then then then = else; else else = then`
- Fortran and Algol68 ignore blanks:  
`do 10 i = 1, 25 ! DO LOOP`  
`do 10 i = 1.25 ! ASSIGNMENT`
- Fortran66 limited identifiers to 6 characters
  - Use states to count bounded length