

Notes by J.H.Davenport

29 May 2024

1 The case of the twisted “Similarly”

[Mig74] is a key piece of the jigsaw. It uses $\|\cdot\|$ for the L_2 norm of a polynomial.

Lemma 1 *Let $P(X)$ be a polynomial with complex coefficients and α be a nonzero complex number. Then*

$$\|(X + \alpha)P(X)\| = |\alpha| \|(X + \bar{\alpha}^{-1})P(X)\|.$$

The proof goes as follows. [Mig74] writes

$$\begin{aligned} P(X) &= \sum_{k=0}^m a_k X^k, \\ Q(X) &= (X + \alpha)P(X) = \sum_{k=0}^{m+1} (a_{k-1} + \alpha a_k) X^k \\ R(X) &= (X + \bar{\alpha}^{-1})P(x) = \sum_{k=0}^{m+1} (a_{k-1} + \bar{\alpha}^{-1} a_k) X^k \end{aligned}$$

with the notation $a_{-1} = a_{m+1} = 0$.

Figure 1: Mignotte Lemma 1a in Lean

```
lemma Mignotte1974L1a (p : C[X]) (α: C) :
  (L2normSq ((X + C α) * p):C) = Σf k : N,
    ((‖(X * p).coeff k‖2:ℝ) +
     α*p.coeff k * conj ((X * p).coeff k) +
     conj α * (X * p).coeff k * conj (p.coeff k) +
     (‖α * p.coeff k‖2:ℝ)).re := by
  simp only [L2normSq_fsum]
  congr; ext k
  refine (ofReal_re _).symm.trans ?_; congr
  simp [add_mul]
  cases k <|> simp [mul_pow, normSq_eq_conj_mul_self, ← normSq_eq_abs, ← ofReal_pow]
  ring
```

Then

$$||Q||^2 = \sum_{k=0}^{m+1} |a_{k-1} + \alpha a_k|^2 = \sum_{k=0}^{m+1} (a_{k-1} + \alpha a_k) \overline{(a_{k-1} + \alpha a_k)}$$

which expands to

$$\sum_{k=0}^{m+1} (|a_{k-1}|^2 + \alpha a_k \overline{a_{k-1}} + \overline{\alpha} a_{k-1} \overline{a_k} + |\alpha|^2 |a_k|^2). \quad (1)$$

This is accomplished in Lean by the code in Figure 1.

[Mig74] then says “Expanding $|a|^2 ||R||^2$ yields the same sum”.

However, if we expand $|a|^2 ||R||^2$ naively as above, we actually get

$$\sum_{k=0}^{m+1} (|\alpha|^2 |a_{k-1}|^2 + \alpha a_k \overline{a_{k-1}} + \overline{\alpha} a_{k-1} \overline{a_k} + |a_k|^2). \quad (2)$$

In general (1) and (2) are different: the $|\alpha|^2$ multiplies different terms. And indeed, for any k the index- k summands in (1) and (2) do differ. However, it is legitimate to re-express (1) as (3):

$$\sum_{k=0}^{m+1} (|a_{k-1}|^2) + \sum_{k=0}^{m+1} (\alpha a_k \overline{a_{k-1}} + \overline{\alpha} a_{k-1} \overline{a_k}) + \sum_{k=0}^{m+1} (|\alpha|^2 |a_k|^2)$$

and then as

$$||P||^2 + \sum_{k=0}^{m+1} (\alpha a_k \overline{a_{k-1}} + \overline{\alpha} a_{k-1} \overline{a_k}) + |\alpha|^2 ||P||^2. \quad (3)$$

A similar operation on (2) gives (4) :

$$|\alpha|^2 ||P||^2 + \sum_{k=0}^{m+1} (\alpha a_k \overline{a_{k-1}} + \overline{\alpha} a_{k-1} \overline{a_k}) + ||P||^2, \quad (4)$$

and now the equality between (3) and (4) is obvious,

References

- [Mig74] M. Mignotte. An Inequality about Factors of Polynomials. *Math. Comp.*, 28:1153–1157, 1974.