

Tunnelling Times in Quantum Mechanics

James Puleston

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1 Time in Quantum Mechanics

1.1 Time in Classical Mechanics

In the Hamiltonian formulation of classical mechanics, a system with n degrees of freedom possesses $2n$ independent first-order differential equations in terms of $2n$ independent variables. These variables are the coordinates of the *phase space* of the system, and the $2n$ equations of motion describe the evolution of system in the phase space. n of the independent variables are conventionally chosen to be the generalised coordinates q_i and the other n set to be the conjugate momenta p_i , which obey the Poisson bracket relations: [1]

$$\{q_i, p_j\} = \delta_{ij} \quad \{q_i, q_j\} = \{p_i, p_j\} = 0, \quad i, j = \{1, \dots, n\} \quad (1)$$

The time evolution of the canonical variables is governed by the Hamiltonian $H = H(q_i, p_i)$:

$$\frac{dq_i}{dt} = \{q_i, H\} \quad \frac{dp_i}{dt} = \{p_i, H\} \quad (2)$$

For an infinitesimal variation in time, $\delta t = \delta\tau$, the associated variation in the dynamical variables is:

$$\delta q_i = \{q_i, H\}\delta\tau \quad \delta p_i = \{p_i, H\}\delta\tau \quad (3)$$

q_i and p_i are generalised variables; they are not necessarily positions and momenta, but in the case of a dynamical system comprised of a collection of point particles, the canonical variables are usually the particles' positions (\mathbf{q}_i) and momenta (\mathbf{p}_i).

In classical mechanics, physical systems are embedded in a 4-dimensional continuous space-time background, the points of which are assigned coordinates $(t, x, y, z) = (t, \mathbf{x})$. It is essential that the *definitions* of these two spaces and their associated coordinates are not conflated. In particular we must distinguish the position variable \mathbf{q} from the space-time coordinate \mathbf{x} . The former defines a point in the phase space of the system (when accompanied by its associated momentum \mathbf{p}) and is a property of a point particle, whereas the latter is the coordinate of a fixed point in the space-time background in which the dynamical system is embedded. Note we can still introduce both sets of quantities in to equations and relate them, as equations (2) and (3) show.

Immediately this raises the question of whether there exists physical systems that possess a dynamical variable that *resembles* the time coordinate of space-time. Such systems are called *clocks*, more precisely defined as physical systems with a dynamical 'clock' or 'time' variable that behaves similarly to the space-time time coordinate t under time translations. For example, under time translation in which the space-time coordinates transform as:

$$\mathbf{x} \rightarrow \mathbf{x} \quad t \rightarrow t + \tau \quad (4)$$

a *linear* clock variable θ and its conjugate momentum η transform as:

$$\eta \rightarrow \eta \quad \theta \rightarrow \theta + \tau \quad (5)$$

Comparing with (3) we see in the infinitesimal case of (5):

$$\delta\eta = \{\eta, H\}\delta\tau \quad \delta\theta = \{\theta, H\}\delta\tau \quad (6)$$

which implies

$$\{\eta, H\} = 0 \quad \{\theta, H\} = 1 \quad (7)$$

The equation of motion given by (2), $\frac{d\theta}{dt} = 1$ has solution $\theta = t + t_0$.

1.2 Time in Quantum Mechanics

3 definitions for time in QM? In quantum mechanics the state of a particle is encoded in a vector $|\psi\rangle$ in Hilbert space \mathcal{H} . Introducing a 1-dimensional continuum position basis to \mathcal{H} , $\{|q\rangle\}$, $q \in \mathbb{R}$, the state vector $|\psi\rangle$ can be expanded as the integral:

$$|\psi\rangle = \int_{\mathbb{R}} dq \psi(q) |q\rangle \quad (8)$$

where $\psi(q)$ is the wave function of the particle. More generally, to describe a system in 3 dimensions requires a wave function $\psi(q_x, q_y, q_z)$. It is important to note that the domain of the wave function is the *configuration space* of the system, \mathbb{R}^3 , whose coordinates are the generalised coordinates q_i of the system. It is common in elementary quantum mechanics literature for elements of the domain of the wave function to be expressed as (x, y, z) , i.e. $\psi = \psi(x, y, z)$. This is clearly in notational conflict with the denotation of coordinates of points of the background space-time in which the quantum system resides.

should I then implement this in the following equations

Measurable quantities or ‘observables’ are represented by operators on \mathcal{H} :

$$\mathcal{O} : \mathcal{H} \rightarrow \mathcal{H} \quad (9)$$

Such operators arise through a procedure called canonical quantisation, which prescribes that *dynamical variables* of the Hamiltonian formalism are promoted to operators on \mathcal{H} and their Poisson bracket relations replaced by commutation relations according to:

$$\{, \} \rightarrow \frac{1}{i\hbar} [,] \quad (10)$$

One notable omission in this process is the promotion of the time coordinate t to an operator. Given the emphasis placed on distinguishing between space-time coordinates and dynamical variables, the reason is clear: time t is a *space-time coordinate*, and canonical quantisation prescribes that *dynamical variables* are promoted to operators. However this raises the question of whether a time operator exists in quantum mechanics. Resolution to this problem has been historically hindered by a ‘proof’ offered by Wolfgang Pauli showing that the introduction of a time operator in quantum mechanics is forbidden. It proceeds roughly along the following lines:

Add Pauli proof c.f. Butterfield On Time in Quantum Physics

Observing that this issue arose from attempts to erroneously quantise the *space-time* coordinate t , the problem becomes void and progress can be made by considering the quantisation of timelike *dynamical variables* of physical systems, namely clocks in analogue with the case in classical mechanics mentioned above.

2 Tunnelling Time Candidates

In this section I survey a number of contenders for calculating the tunnelling time through a barrier. The first of these are theoretical definitions of the time taken to tunnel through a barrier, whereas the remainder are theoretical constructions of quantum clocks that can be used to measure tunnelling times.

2.1 Tunnelling Through a Quantum Barrier

I use Buttiker as the reference barrier, adjusting all other sources accordingly.

Consider the case of scattering in one dimension with particles of mass m , velocity $v(k) = \frac{\hbar k}{m}$ and kinetic energy $E = \frac{\hbar^2 k^2}{2m}$. The particles move along the y -axis and interact with a rectangular barrier:

$$V = \begin{cases} V_0 & -\frac{d}{2} < y < \frac{d}{2} \\ 0 & \text{otherwise} \end{cases} \quad (11)$$

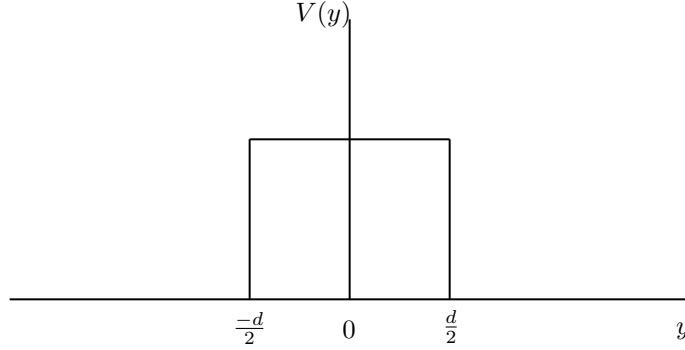


Figure 1: The quantum potential barrier

The wave function is of the form:

$$\psi = \begin{cases} e^{iky} + Ae^{-iky} & y \leq -\frac{d}{2} \\ Be^{\kappa y} + Ce^{-\kappa y} & -\frac{d}{2} \leq y \leq \frac{d}{2} \\ De^{iky} & y \geq \frac{d}{2} \end{cases} \quad (12)$$

where $k = \frac{\sqrt{2mE}}{\hbar}$, $\kappa := \frac{\sqrt{2m(V_0-E)}}{\hbar} = \sqrt{k_0^2 - k^2}$ where $k_0 = \frac{\sqrt{2mV_0}}{\hbar}$.

The coefficient of the incident wave is set to one, corresponding to one particle per unit length in the incident beam. Note there is no e^{-iky} term on the right of the barrier, as no particles are reflected after being transmitted through the barrier.

It is instructive to calculate the wave function coefficients A, B, C, D using the continuity of the wave function and its first derivative at the barrier boundaries. This is a lengthy calculation but the results are used so frequently that it is necessary to include a derivation. The results are stated here and derived below:

$$\begin{aligned} D &= T^{\frac{1}{2}} e^{i\Delta\phi} e^{-ikd} & A &= R^{\frac{1}{2}} e^{-\frac{i\pi}{2}} e^{i\Delta\phi} e^{-ikd} \\ B &= \frac{\kappa + ik}{2\kappa} e^{\frac{ikd}{2}} e^{-\frac{\kappa d}{2}} D & C &= \frac{\kappa - ik}{2\kappa} e^{\frac{ikd}{2}} e^{-\frac{\kappa d}{2}} D \end{aligned} \quad (13)$$

where T is the transmission probability and $R = 1 - T$ is the reflection probability.

First I introduce a new coordinate system so that the boundaries of our barrier become 0, d . Then, denoting our wave functions before, inside and after the barrier as ψ_1, ψ_2, ψ_3 respectively, yields:

$$\psi_1 = e^{iky} + Ae^{-iky} \quad \psi_1' = ik e^{iky} - ik A e^{-iky} \quad (14)$$

$$\psi_2 = Be^{-\kappa y} + Ce^{\kappa y} \quad \psi_2' = -\kappa B e^{-\kappa y} + \kappa C e^{\kappa y} \quad (15)$$

$$\psi_3 = De^{iky} \quad \psi_3' = ik D e^{iky} \quad (16)$$

Imposing continuity of the wave function and its first derivative at the barrier boundaries:

$$\psi_1(0) = \psi_2(0) \implies 1 + A = B + C \quad (17)$$

$$\psi_1'(0) = \psi_2'(0) \implies ik - ikA = -\kappa B + \kappa C \quad (18)$$

$$\psi_2(d) = \psi_3(d) \implies Be^{-\kappa d} + Ce^{\kappa d} = De^{ikd} \quad (19)$$

$$\psi_2'(d) = \psi_3'(d) \implies -\kappa Be^{-\kappa d} + \kappa Ce^{\kappa d} = ikDe^{ikd} \quad (20)$$

$$ik(17) + (18) \implies 2ik = B(ik - \kappa) + C(ik + \kappa) \quad (21)$$

$$ik(17) - (18) \implies 2ikA = B(ik + \kappa) + C(ik - \kappa) \quad (22)$$

$$\kappa(19) - (20) \implies 2\kappa Be^{\kappa d} = De^{ikd}(\kappa - ik) \quad (23)$$

$$\kappa(19) + (20) \implies 2\kappa Ce^{\kappa d} = De^{ikd}(\kappa + ik) \quad (24)$$

Inserting equations (23) and (24) into equation (21) one arrives at:

$$2ik = -\frac{(ik - \kappa)^2}{2\kappa} De^{(ik+\kappa)d} + \frac{(ik + \kappa)^2}{2\kappa} De^{(ik-\kappa)d} \quad (25)$$

$$\implies 4\kappa ike^{-ikd} = D[(k^2 - \kappa^2)(e^{\kappa d} - e^{-\kappa d}) + 2ik\kappa(e^{\kappa d} + e^{-\kappa d})] \quad (26)$$

$$= D[2(k^2 - \kappa^2) \sinh \kappa d + 4ik\kappa \cosh \kappa d] \quad (27)$$

Hence one arrives at the first result, the transmission probability $T = |D|^2$:

$$T = \left[1 + \frac{(k^2 + \kappa^2)^2 \sinh^2 \kappa d}{4k^2 \kappa^2} \right]^{-1} \quad (28)$$

and by writing D in polar form $D = |D|e^{i\theta}$, the phase change across the barrier:

$$D \propto 4k^2 \kappa^2 \cosh \kappa d + i2k\kappa(k^2 - \kappa^2) \sinh \kappa d \quad (29)$$

$$\implies \Delta\phi := \arg(D) = \arctan\left(\frac{\text{Im}(D)}{\text{Re}(D)}\right) = \arctan\left(\frac{(k^2 - \kappa^2)}{2k\kappa} \tanh \kappa d\right) \quad (30)$$

Rearranging (27) for D yields the final result in (13). The result for A follows along similar lines and results for B and C follow immediately from equations (23) and (24). We note that our final results are independent of the coordinate system used (up to scaling by a multiplicative constant) so the result also holds in our other coordinate system.

2.2 Phase Times

Consider a wave packet sharply localised in momentum (k) space incident on the barrier. Accounting for time evolution under the time evolution operator $U(t) = e^{-\frac{iEt}{\hbar}}$, the incident wave packet has a peak $y_p(t)$ where the phase of the wave has an extremum when differentiated with respect to k :

$$-\frac{1}{\hbar} \frac{dE}{dk} t + y_p(t) = 0 \quad (31)$$

This contrasts with the peak of the transmitted wave packet which satisfies:

$$\frac{d\Delta\phi}{dk} - \frac{1}{\hbar} \frac{dE}{dk} t + y_p(t) - d = 0 \quad (32)$$

Solving equations (31) and (32) for t at $y_p(t) = -\frac{d}{2}$ and $\frac{d}{2}$ respectively, one finds the traversal time of the peak across the barrier for the transmitted wave to be:

$$\tau_\phi = \hbar \frac{d\Delta\phi}{dE} = \frac{m}{\hbar k} \frac{d\Delta\phi}{dk} \quad (33)$$

An identical result is obtained for a wave packet reflected from the barrier. This can be calculated explicitly using equation (30):

$$\frac{m}{\hbar k} \frac{d\Delta\phi}{dk} = \frac{m}{\hbar k} \frac{d}{dk} \left(\arctan \left[\frac{k^2 - \kappa^2}{2k\kappa} \tanh \kappa d \right] \right) \quad (34)$$

$$= \frac{m}{\hbar k} \frac{1}{\left[\frac{k^2 - \kappa^2}{2k\kappa} \tanh \kappa d \right]^2 + 1} \frac{1}{2} \frac{d}{dk} \left(\frac{k^2 - \kappa^2}{k\kappa} \tanh \kappa d \right) \quad (35)$$

The derivative term evaluates to:

$$\left[2\kappa^{-1} + \frac{k^2}{\kappa^3} + \frac{\kappa}{k^2} \right] \tanh \kappa d + d \left(-\frac{k^2}{\kappa^2} + 1 \right) \text{sech}^2 \kappa d \quad (36)$$

Hence

$$\frac{m}{\hbar k} \frac{d\Delta\phi}{dk} = \frac{m}{2\hbar k} \frac{4k^2\kappa^2 \text{sech}^2 \kappa d}{(k^2 - \kappa^2)^2 \tanh^2 \kappa d + 4k^2\kappa^2} \left\{ \left[2\kappa^{-1} + \frac{k^2}{\kappa^3} + \frac{\kappa}{k^2} \right] \sinh \kappa d \cosh \kappa d - \frac{kd}{\kappa} \left(\frac{k}{\kappa} - \frac{\kappa}{k} \right) \right\} \quad (37)$$

$$= \frac{2mk\kappa^2}{\hbar} \frac{1}{k_0^4 \sinh^2 \kappa d + 4k^2\kappa^2} \left\{ \left[2\kappa^{-1} + \frac{k^2}{\kappa^3} + \frac{\kappa}{k^2} \right] \frac{\sinh 2\kappa d}{2} - \frac{kd}{\kappa} \left(\frac{k}{\kappa} - \frac{\kappa}{k} \right) \right\} \quad (38)$$

The term in $\{\dots\}$ is easily shown to be:

$$\{\dots\} = \frac{k_0^4}{k^2\kappa^3} \frac{\sinh 2\kappa d}{2} + \frac{d}{\kappa} (\kappa^2 - k^2) \text{ where } k_0^2 := k^2 + \kappa^2 \quad (39)$$

yielding the final result:

$$\tau_\phi = \frac{m}{\hbar k \kappa} \frac{2k^2\kappa d(\kappa^2 - k^2) + k_0^4 \sinh 2\kappa d}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \quad (40)$$

check this, you were tired...

Büttiker defines this as the phase-delay time of the scattering process. Hauge and Støvneng take an alternative approach and introduce an interval (x_1, x_2) containing the barrier (i.e. $x_1 < b, x_2 > a$). Using (31) and (32) they define the spatial delay δy_T as the change in phase induced by the barrier and corresponding temporal delay $\delta \tau_T$ for the transmitted wave packet:

$$\delta y_T = \frac{d\Delta\phi}{dk} - d \quad \delta \tau_T = \frac{1}{v(k)} \left[\frac{d\Delta\phi}{dk} - d \right] \quad (41)$$

with analogous definitions for the reflected wave packet:

$$\delta y_R = d - \frac{d\Delta\phi}{dk} \quad \delta \tau_R = -\frac{1}{v(k)} \left[d - \frac{d\Delta\phi}{dk} \right] \quad (42)$$

They subsequently define the total phase time for transmission:

$$\tau_T(x_1, x_2; k) = \frac{1}{v(k)} [x_2 - x_1 + \delta y_T] \quad (43)$$

and similarly the total phase time for reflection:

$$\tau_R(x_1, x_2; k) = \frac{1}{v(k)} [-2x_1 - \delta y_R] \quad (44)$$

where the minus sign before the spatial delay is picked up due to the wave packet travelling in the opposite direction.

By linearly extrapolating the interval $(x_1, x_2) \rightarrow (-\frac{d}{2}, \frac{d}{2})$, one defines the *extrapolated phase times*:

$$\Delta\tau_T(-\frac{d}{2}, \frac{d}{2}; k) = \frac{1}{v(k)}[d + \delta y_T] \quad (45)$$

$$\Delta\tau_R(-\frac{d}{2}, \frac{d}{2}; k) = \frac{1}{v(k)}[d - \delta y_R] \quad (46)$$

Substituting in equations (41) and (42) to these results recovers (33).

2.3 Dwell Time

The dwell time τ_D is defined as the ratio of the number of particles within the barrier to the incident flux, $\tau_D = \frac{N}{j}$. Clearly this does not distinguish between the scattering channels (reflected or transmitted), so the dwell time is the time a particle spends in the barrier region, averaged over scattering channels.

One finds for the number of particles in the barrier:

$$N = \int_{-\frac{d}{2}}^{\frac{d}{2}} |\psi|^2 dy \quad (47)$$

$$|\psi|^2 = \frac{T}{4\kappa^2} \left[(\kappa + ik)(\kappa - ik) \left(e^{\kappa(2x-d)} + e^{-\kappa(2x-d)} \right) + (\kappa + ik)^2 + (\kappa - ik)^2 \right] \quad (48)$$

$$= \frac{T}{4\kappa^2} \left[(\kappa^2 + k^2) \left(e^{\kappa(2x-d)} + e^{-\kappa(2x-d)} \right) + 2(\kappa^2 - k^2) \right] \quad (49)$$

$$\Rightarrow N = \frac{T}{4\kappa^2} \left[\frac{k_0^2}{\kappa} \sinh 2\kappa d + 2(\kappa^2 - k^2)d \right] \quad (50)$$

using equation (28) for T :

$$N = \frac{k^2}{\kappa} \frac{2\kappa d(\kappa^2 - k^2) + k_0^2 \sinh 2\kappa d}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \quad (51)$$

and for the incident flux with $\psi = e^{iky}$:

$$j = -\frac{i\hbar}{2m} \left(\psi^* \frac{\partial \psi}{\partial y} - \psi \frac{\partial \psi^*}{\partial y} \right) \quad (52)$$

$$= -\frac{i\hbar}{2m} (2ik) = \frac{\hbar k}{m} = v(k) \quad (53)$$

such that:

$$\tau_D = \frac{1}{v(k)} \frac{k^2}{\kappa} \frac{2\kappa d(\kappa^2 - k^2) + k_0^2 \sinh 2\kappa d}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \quad (54)$$

The phase times τ_T and τ_R represent conditional averages over mutually exclusive events (a particle cannot both reflect and transmit). The dwell time τ_D is the average over all scattering channels, and hence the conditional averages must obey the probabilistic rule:

$$\tau_D = T\tau_T + R\tau_R \quad (55)$$

where T and $R = 1 - T$ are transmission and reflection probabilities respectively. Comparison of equations (40) and (54) show this consistency check is not satisfied. Resolution of this issue comes from noticing that attaching *physical significance* to the time in (40) is incorrect, as it requires the assumption that motion outside of the barrier is that of a free particle. This is valid on the transmitted side of the barrier, however during approach to the barrier the incoming wave packet interferes with the reflected wave packet and hence motion can no longer be assumed to be free.

2.4 Continuous Cyclic Quantum Clock

In this section I present a theoretical model of a continuous cyclic quantum clock as provided by Hilgevoord. The angular variable ϕ plays the role of the clock variable and is represented by the operator $\hat{\Phi}$. An angular momentum operator \hat{L} is also introduced and the two operators in the angular representation are given by:

$$\hat{\Phi} = \phi \quad \hat{L} = -i \frac{d}{d\phi} \quad (56)$$

as is familiar from the theory of angular momentum in quantum mechanics. These operators act on a Hilbert space of square integrable functions of ϕ with domain $[0, 2\pi]$ as:

$$\hat{\Phi}f(\phi) = \phi f(\phi) \quad \hat{L}f(\phi) = -i \frac{d}{d\phi} f(\phi) \quad (57)$$

These operators have eigenvalue equations

$$\hat{\Phi}|\phi\rangle = \phi|\phi\rangle, \phi \in [0, 2\pi] \quad \hat{L}|m\rangle = m|m\rangle, m = 0, \pm 1, \pm 2, \dots \quad (58)$$

in which the eigenvectors form complete orthonormal sets such that:

$$\langle\phi|\phi'\rangle = \delta(\phi - \phi') \quad \langle m|m'\rangle = \delta_{mm'} \quad (59)$$

Recalling from the theory of angular momentum in quantum mechanics that the $\hat{L}_z = -i \frac{d}{d\phi}$ operator has eigenfunctions $\propto e^{im\phi}$, $|m\rangle$ has wave function $\langle\phi|m\rangle = Ae^{im\phi}$ where A is calculated using the normalisation condition to be $(2\pi)^{-\frac{1}{2}}$:

$$u_m(\phi) := \langle\phi|m\rangle = (2\pi)^{-\frac{1}{2}} e^{im\phi} \quad (60)$$

As $\{|m\rangle\}$ form a complex set, $|\phi\rangle$ can be expressed as:

$$|\phi\rangle = (2\pi)^{-\frac{1}{2}} \sum_{m=-\infty}^{\infty} e^{-im\phi} |m\rangle \quad (61)$$

Introducing the Hamiltonian $\hat{H} = \omega \hat{L}$, the time evolution operator $U(t) = e^{-iHt}$ acts as:

$$\hat{L}e^{-im\phi} |m\rangle = e^{-im\phi} \hat{L} |m\rangle \text{ by linearity of } \hat{L} \quad (62)$$

$$= me^{-im\phi} |m\rangle \quad (63)$$

$$\Rightarrow e^{iHt} |\phi\rangle = \sum_{n=0}^{\infty} \frac{(-i\omega t)^n}{n!} \hat{L}^n (2\pi)^{-\frac{1}{2}} \sum_{m=-\infty}^{\infty} e^{-im\phi} |m\rangle \quad (64)$$

$$= (2\pi)^{-\frac{1}{2}} \sum_{n=0}^{\infty} \sum_{m=-\infty}^{\infty} \frac{(-i\omega m t)^n}{n!} e^{-im\phi} |m\rangle \quad (65)$$

$$= (2\pi)^{-\frac{1}{2}} \sum_{m=-\infty}^{\infty} e^{-i\omega m t} e^{-im\phi} |m\rangle \quad (66)$$

$$= |\phi + \omega t\rangle \quad (67)$$

by setting $\omega = 1$, ϕ plays exactly the same role as a time variable t .

2.5 Discrete Cyclic Quantum Clock

A discrete cyclic quantum clock can be modelled by limiting the sum in equation (61) to values of m satisfying $-j \leq m \leq j$, as presented by Peres in [...]. Hence the clock has an odd number $N = 2j + 1$ of states represented by wave functions

$$u_m(\phi) = (2\pi)^{-\frac{1}{2}} e^{im\phi}, m = -j, \dots, j \text{ and } 0 \leq \phi \leq 2\pi \quad (68)$$

One can construct an alternative orthogonal basis for the clock's wave functions

$$v_k(\phi) = N^{-\frac{1}{2}} \sum_{m=-j}^j e^{-\frac{2\pi i k m}{N}} u_m \quad (69)$$

$$= (2\pi N)^{-\frac{1}{2}} \sum_{m=-j}^j [e^{i(\phi - \frac{2\pi k}{N})}]^m \quad \tilde{m} = m + j \quad (70)$$

$$= (2\pi N)^{-\frac{1}{2}} \sum_{\tilde{m}=0}^{2j} [e^{i(\phi - \frac{2\pi k}{N})}]^{\tilde{m}-j} \quad (71)$$

$$= (2\pi N)^{-\frac{1}{2}} [e^{i(\phi - \frac{2\pi k}{N})}]^{\frac{1-N}{2}} \sum_{\tilde{m}=0}^{2j} [e^{i(\phi - \frac{2\pi k}{N})}]^{\tilde{m}} \quad (72)$$

$$= (2\pi N)^{-\frac{1}{2}} [e^{i(\phi - \frac{2\pi k}{N})}]^{\frac{1-N}{2}} \left(\frac{1 - (e^{i(\phi - \frac{2\pi k}{N})})^N}{1 - e^{i(\phi - \frac{2\pi k}{N})}} \right) \quad (73)$$

$$= (2\pi N)^{-\frac{1}{2}} \frac{\sin \frac{N}{2}(\phi - \frac{2\pi k}{N})}{\sin \frac{1}{2}(\phi - \frac{2\pi k}{N})} \text{ for } k = 0, \dots, N-1. \quad (74)$$

For large N these functions have a sharp peak at $\phi = \frac{2\pi k}{N}$ which we visualise as pointing to the k^{th} hour with angle uncertainty $\pm \frac{\pi}{N}$:

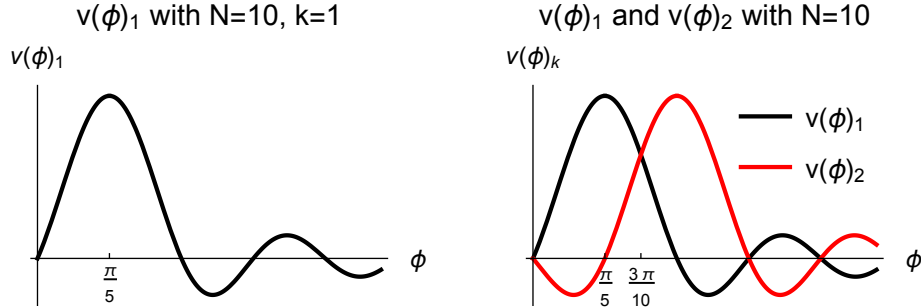


Figure 2: hello

One can then define projection operators $P_k v_m = \delta_{km} v_m$ and a clock time operator $T_c = \tau \sum k P_k$ where τ is the resolution of the clock. The eigenvectors of T_c are v_k with eigenvalues $t_k = k\tau, k = 0, \dots, N-1$. Hence measuring T_c yields discrete approximations to the true time, just as analog and digital clocks do. The clock's Hamiltonian is:

$$H_c = \omega J \text{ where } \omega = \frac{2\pi}{N\tau} \text{ and } J = -i\hbar \frac{\partial}{\partial \phi} \quad (75)$$

The wave functions u_m are eigenfunctions of the Hamiltonian:

$$H_c u_m = m\hbar\omega u_m \quad (76)$$

whence expanding the time evolution operator as a Taylor series gives:

$$e^{-\frac{iH_c t}{\hbar}} u_m = e^{-im\omega t} u_m = (2\pi)^{-\frac{1}{2}} e^{im(\theta - \omega t)} \quad (77)$$

$$\implies e^{-\frac{iH_c \tau}{\hbar}} v_k = N^{-\frac{1}{2}} \sum_m e^{-\frac{2\pi i k m}{N}} e^{-\frac{2\pi i m}{N}} u_m \quad (78)$$

$$= N^{-\frac{1}{2}} \sum_m e^{-2\pi i \frac{m}{N} (k+1)} u_m \quad (79)$$

$$= v_{k+1} \quad (80)$$

2.5.1 Application: Atomic Decay

One can apply the construction of the quantum clock to model atomic decay. The atom-clock system has Hamiltonian $H = H_a + P_0 H_c$ where H_a is the Hamiltonian of the atom, H_c the Hamiltonian of the clock and P_0 the projection operator for the atom's undecayed state, such that the clock stops running when the atom decays. H_a is of the form $H_a = H_0 + V$ where H_0 has a continuous spectrum $H_0 \phi(E) = E \phi(E)$ $E > E_{min}$, plus one discrete eigenstate ϕ_0 with energy $E_0 > E_{min}$. The non vanishing matrix elements of V are denoted $V(E) := \langle \phi(E) | V | \phi_0 \rangle$, which is assumed to be almost constant in E over a large domain on both sides of E_0 . The wave function for the atom can be expressed as:

$$\psi = a_0 \phi_0 e^{-\frac{iE_0 t}{\hbar}} + \int dE a(E) \phi(E) e^{-\frac{iEt}{\hbar}} \quad (81)$$

Application of the Schrödinger equation for the atom yields:

$$i\hbar \dot{a}(E) = V(E) a_0 e^{\frac{i(E-E_0)t}{\hbar}} \quad (82)$$

Proof:

$$|\psi\rangle = a_0 |\phi_0\rangle e^{-\frac{iE_0 t}{\hbar}} + \int dE a(E) |\phi(E)\rangle e^{-\frac{iEt}{\hbar}} \quad (83)$$

$$\implies (H_0 + V) |\psi\rangle = a_0 E_0 |\phi_0\rangle e^{-\frac{iE_0 t}{\hbar}} + \int dE a(E) E |\phi(E)\rangle e^{-\frac{iEt}{\hbar}} \quad (84)$$

$$\begin{aligned} &+ a_0 V |\phi_0\rangle e^{-\frac{iE_0 t}{\hbar}} + \int dE a(E) V |\phi(E)\rangle e^{-\frac{iEt}{\hbar}} \\ &= i\hbar \frac{d|\psi\rangle}{dt} \end{aligned} \quad (85)$$

Taking the inner product with $\langle \phi(E') |$ on both sides and using the orthogonality conditions yields the final result.

a_0 is given by the Weisskopf-Wigner ansatz, $a_0 = e^{-\frac{\gamma t}{\hbar}}$. Substitution into (82) and taking the limit as $t \rightarrow \infty$ yields:

$$\lim_{t \rightarrow \infty} a(E) = \frac{V(E)}{E - E_0 + i\gamma} \quad (86)$$

and

$$\lim_{t \rightarrow \infty} \psi(t) = \int dE \frac{V(E) \phi(E) e^{-\frac{iEt}{\hbar}}}{E - E_0 + i\gamma} \quad (87)$$

Normalisation of the wave function implies:

$$\int dE \frac{|V(E)|^2}{(E - E_0)^2 + \gamma^2} = 1 \quad (88)$$

Utilising the identity:

$$\delta(E - E_0) = \frac{1}{\pi} \lim_{\gamma \rightarrow 0} \frac{\gamma}{(E - E_0)^2 + \gamma^2} \approx \frac{\gamma}{\pi (E - E_0)^2 + \gamma^2} \quad (89)$$

in (88) yields $\gamma = \pi |V(E_0)|^2$.

Coupling the atom to a clock using the clock Hamiltonian in (75):

$$H = H_a + P_0 \omega J \quad (90)$$

and setting the initial state of the clock to $v_0 = \frac{1}{\sqrt{N}} \sum u_n$ as in (69), J can be replaced by the numerical constant $n\hbar$ by virtue of the eigenvalue equation (76). Note this shifts the energy of the initial state $E_0 \rightarrow E_0 + n\hbar\omega$.

In the limit of large t the combined state of the atom and clock is:

$$\psi = N^{-\frac{1}{2}} \sum u_n \int dE \frac{V(E) \phi(E) e^{-\frac{iEt}{\hbar}}}{E - E_0 - n\hbar\omega + i\gamma} \quad (91)$$

Peres subsequently derives the density matrix representing the state of the clock:

$$\rho = Tr_a(|\psi\rangle \langle \psi|) = \frac{1}{N} \sum \frac{|u_n\rangle \langle u_m|}{1 + i\alpha(n - m)} \quad (92)$$

where Tr_a indicates the trace is to be taken over the atom degrees of freedom only, and $\alpha = \frac{\hbar\omega}{2\gamma}$ is the angle through which the pointer turns during an average atom lifetime $\frac{\hbar}{2\gamma}$. From this the probability $\langle P_k \rangle$ of finding the clock stopped at time $t_k = k\tau \stackrel{(75)}{=} \frac{2\pi k}{N\omega}$ is given by:

$$Tr(\rho P_k) = \frac{1}{N} \sum_{m,n} \frac{\langle v_k | u_n \rangle \langle u_m | v_k \rangle}{1 + i\alpha(n - m)} \quad (93)$$

$$\stackrel{(69)}{=} \frac{1}{N^2} \sum_{m,n=-j}^j \frac{e^{\frac{2\pi i k(n-m)}{N}}}{1 + i\alpha(n - m)} \quad (94)$$

The double summation can be evaluated as follows: Let $p = n - m$ and $q = n + m$. The summation becomes:

$$Tr(\rho P_k) = \frac{1}{N^2} \sum_{p=-2j}^{2j} \sum_{q=q_1(p)}^{q_2(p)} \frac{e^{i\theta p}}{1 + i\alpha p} \quad (95)$$

where $\theta = \frac{2\pi k}{N}$, q_1 and q_2 are bounds that can be determined as follows:

For fixed p , demonstrated by the dashed line in Figure 3, the upper and lower bounds can be read off to be:

$$q_1(p) = -2j + |p| \quad q_2(p) = 2j - |p| \quad (96)$$

Next noting that for fixed p of given parity, all $q \in \{q_1(p), \dots, q_2(p)\}$ have the same parity and hence:

$$Tr(\rho P_k) = \frac{1}{N^2} \sum_{p=-2j}^{2j} \frac{e^{i\theta p}}{1 + i\alpha p} \sum_{q=-2j+|p|}^{2j-|p|} 1 \quad (97)$$

$$= \frac{1}{N^2} \sum_{p=-2j}^{2j} \frac{e^{i\theta p}}{1 + i\alpha p} \left[\frac{2j - |p| - (-2j + |p|)}{2} + 1 \right] \quad (98)$$

$$= \frac{1}{N^2} \sum_{p=-2j}^{2j} \frac{(N - |p|) e^{i\theta p}}{1 + i\alpha p} \approx \frac{1}{N} \sum_{p=-2j}^{2j} \frac{e^{i\theta p}}{1 + i\alpha p} \quad (99)$$

This result can be recognised as the Fourier series expansion of $\frac{2\pi e^{-\frac{\theta}{\alpha}}}{N\alpha(1 - e^{-\frac{2\pi}{\alpha}})}$, and hence the clocks stop (atoms decay) according to the exponential decay law as expected.

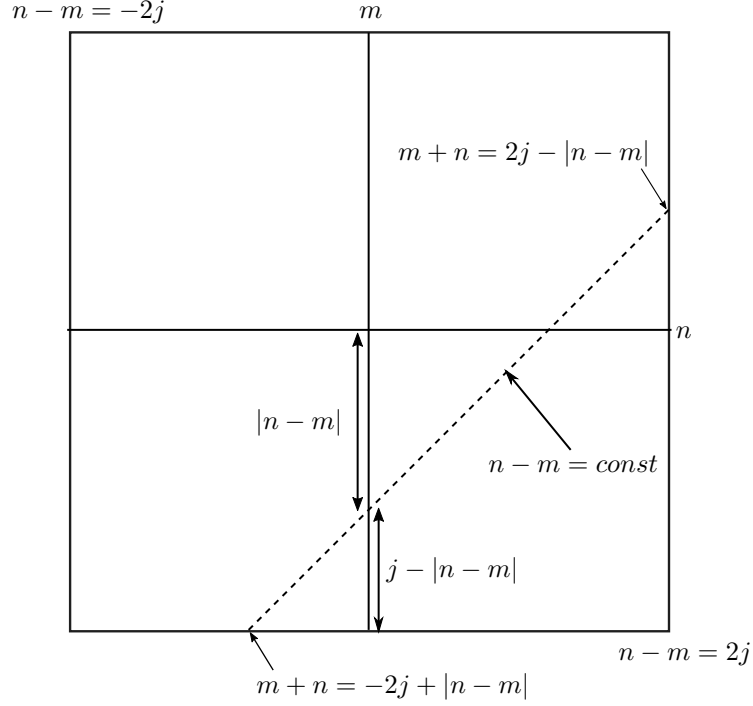


Figure 3: Bounds of the double sum in p, q coordinates

2.6 Larmor Precession

In this section I consider the quantum barrier experiment as in section 2.1, but now with the additional constraints that the particles carry spin $s = \frac{1}{2}$ polarised in the x-direction, and with the presence of a small magnetic field \mathbf{B}_0 parallel with the z-axis and confined to the width of the barrier:

The magnetic field engenders on the particles in the barrier a Larmor precession of frequency $\omega_L = \frac{g\mu B_0}{\hbar}$, where g is the gyromagnetic ratio and μ is the magnetic moment. This changes the spin of the particles to be polarised in the x-y plane such that:

$$\langle S_x \rangle \approx \frac{\hbar}{2} \quad \langle S_y \rangle \approx -\frac{\hbar}{2} \omega_L \tau_y \quad (100)$$

The presence of the magnetic field and barrier also induces a component of spin in the z-direction, although through an alternative mechanism: Recall from the theory of spin in quantum mechanics that particles with spin in the x-direction can be represented as a linear combination of particles in the $\pm z$ direction:

$$|x; \pm\rangle = \frac{1}{\sqrt{2}} |z; +\rangle \pm \frac{1}{\sqrt{2}} |z; -\rangle \quad (101)$$

with z-components $\pm \frac{\hbar}{2}$ each with probability $\frac{1}{2}$. Inside the barrier the magnetic field induces a Zeeman shift $\pm \frac{\hbar\omega_L}{2}$ to the energies of the particles, giving rise to different exponential decays of the wave functions inside the barrier (12)¹:

$$\kappa_{\pm} = (k_0^2 - k^2 \mp \frac{m\omega_L}{\hbar})^{\frac{1}{2}} \quad (102)$$

where κ_+ (κ_-) corresponds to particles with spin z parallel (antiparallel) to the magnetic field. In the limit $\mathbf{B}_0 \propto \omega_L$ is small, κ_{\pm} can be approximated as:

¹Note I ignore the exponentially growing term in (12) because it is multiplied by a suppressive pre-factor $e^{-\frac{\kappa d}{2}}$ in equation (13).

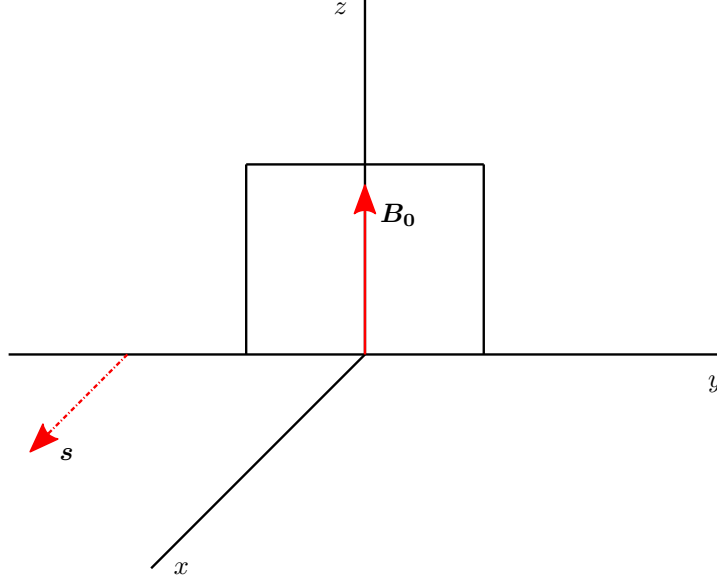


Figure 4: Experimental set up of a quantum barrier with a magnetic field constrained inside.

$$\kappa_{\pm} = \left(k_0^2 - k^2 \mp \frac{m\omega_L}{\hbar} \right)^{\frac{1}{2}} \quad (103)$$

$$= \kappa \left(1 \mp \frac{m\omega_L}{\hbar\kappa^2} \right)^{\frac{1}{2}} \quad (104)$$

$$\approx \kappa \left(1 \mp \frac{m\omega_L}{2\hbar\kappa^2} \right) \quad (105)$$

$$= \kappa \mp \frac{m\omega_L}{2\hbar\kappa} \quad (106)$$

As $\kappa_+ < \kappa_-$, particles with spin aligned with the magnetic field penetrate more easily than particles with spin anti-aligned with the field, reflected in the transmission probability:

$$T \stackrel{(28)}{=} \left[1 + \frac{(k^2 + \kappa^2)^2 \sinh^2 \kappa d}{4k^2 \kappa^2} \right]^{-1} \quad (107)$$

$$\approx \left[\frac{(k^2 + \kappa^2)^2}{4k^2 \kappa^2} \frac{e^{2\kappa d}}{4} \right]^{-1} \quad (108)$$

$$= \frac{16k^2 \kappa^2}{k^2 + \kappa^2} e^{-2\kappa d} \quad (109)$$

$$\Rightarrow T_{\pm} = T e^{\pm \omega_L \tau_z} \quad (110)$$

where $\tau_z = \frac{md}{\hbar\kappa}$ is the time a particle with velocity $v(k) = \frac{\hbar k}{m}$ takes to traverse the barrier. Hence the barrier with the magnetic field induces a net z-component of spin polarisation aligned with the field, quantified the ratio of excess flux to total flux:

$$\langle S_z \rangle = \frac{\hbar}{2} \frac{T_+ - T_-}{T_+ + T_-} = \frac{\hbar}{2} \tanh \omega_L \tau_z \quad (111)$$

The polarisation of the transmitted and reflected particles for all incident energies can be calculated. To do so one must solve the scattering problem with the Hamiltonian:

$$H = \begin{cases} \left(\frac{p^2}{2m} + V_0 \right) \mathbb{1} - \left(\frac{\hbar \omega_L}{2} \right) \sigma_z & |y| \leq \frac{d}{2} \\ \left(\frac{p^2}{2m} \right) \mathbb{1} & |y| \geq \frac{d}{2} \end{cases} \quad (112)$$

where $\mathbb{1}$ is the 2×2 identity matrix and $\sigma_x, \sigma_y, \sigma_z$ are the Pauli spin matrices. H acts on spinors

$$\psi = \begin{pmatrix} \psi_+(y) \\ \psi_-(y) \end{pmatrix} \quad (113)$$

As usual $|\psi_{\pm}(y)|^2 dy$ is the probability of finding a particle *upon measurement* with spin $\pm \frac{\hbar}{2}$ in the interval $y, y + dy$. I emphasise 'upon measurement' here as this is an important point of distinction between the orthodox and pilot-wave interpretations addressed in this essay. The incident beam is polarised in the x-direction:

$$\psi = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} e^{iky} \quad (114)$$

i.e. ψ is an eigenvector of S_x

H is diagonal in the spinor basis so one can solve the scattering problem for particles with spin $\frac{\hbar}{2}$ and $-\frac{\hbar}{2}$ separately. This amounts to solving the quantum barrier in (12) with the following adjustments:

For particles with spin aligned(anti-aligned) with the magnetic field:

- $\kappa \rightarrow \kappa_+(\kappa_-)$
- $V_0 \rightarrow V_0 + (-)\frac{\hbar \omega_L}{2}$
- A, B, C, D in (13) $\rightarrow A_{+(-)}, B_{+(-)}, C_{+(-)}, D_{+(-)}$ by replacing $\kappa \rightarrow \kappa_+(\kappa_-)$

2.6.1 The Strong-Field Limit

The transmitted particles have spinor:

$$\psi_T = (|D_+|^2 + |D_-|^2) \begin{pmatrix} D_+ \\ D_- \end{pmatrix} \quad (115)$$

Recalling the Pauli sigma matrices:

$$\sigma_x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \quad \sigma_y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \quad \sigma_z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \quad (116)$$

one finds for the expectation values of spin for the transmitted particles:

$$\langle S_z \rangle_T = \frac{\hbar}{2} \langle \psi_T | \sigma_z | \psi_T \rangle = \frac{\hbar}{2} \frac{|D_+|^2 + |D_-|^2}{|D_+|^2 + |D_-|^2} \quad (117)$$

$$\langle S_y \rangle_T = \frac{\hbar}{2} \langle \psi_T | \sigma_y | \psi_T \rangle = i \frac{\hbar}{2} \frac{D_+ D_-^* - D_+^* D_-}{|D_+|^2 + |D_-|^2} \quad (118)$$

$$\langle S_x \rangle_T = \frac{\hbar}{2} \langle \psi_T | \sigma_x | \psi_T \rangle = \frac{\hbar}{2} \frac{D_+ D_-^* + D_+^* D_-}{|D_+|^2 + |D_-|^2} \quad (119)$$

Using (13) and the adjustments for the magnetic field outlined above, one finds:

$$\langle S_z \rangle_T = \frac{\hbar}{2} \frac{T_+ - T_-}{T_+ + T_-} \quad (120)$$

Proof. Follows immediately from the fact that $T = |D|^2$. \square

$$\langle S_y \rangle_T = -\hbar \sin(\Delta\phi_+ - \Delta\phi_-) \frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \quad (121)$$

Proof.

$$\langle S_y \rangle_T = i \frac{\hbar}{2} \left(\frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \right) \left(e^{i(\Delta\phi_+ - \Delta\phi_-)} - e^{-i(\Delta\phi_+ - \Delta\phi_-)} \right) \quad (122)$$

$$= i \frac{\hbar}{2} \left(\frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \right) (2i \sin(\Delta\phi_+ - \Delta\phi_-)) \quad (123)$$

$$= -\hbar \sin(\Delta\phi_+ - \Delta\phi_-) \frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \quad (124)$$

□

$$\langle S_x \rangle_T = \hbar \cos(\Delta\phi_+ - \Delta\phi_-) \frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \quad (125)$$

follows along similar lines to the case of $\langle S_y \rangle_T$.

These derivations have utilised no assumptions about the strength of the field, so hold for arbitrary magnetic field. Using (28) one sees that $T_+ \propto e^{-2\kappa_+ d}$ and $T_- \propto e^{-2\kappa_- d}$. As $\kappa_- > \kappa_+$, for a sufficiently opaque barrier ($k_0 d \gg 1$), $T_+ \gg T_-$, and therefore:

$$\langle S_z \rangle_T \approx \frac{\hbar}{2} \quad \langle S_y \rangle_T = \langle S_x \rangle_T \approx 0 \quad (126)$$

Hence the transmitted beam is almost exclusively polarised parallel to the magnetic field.

By similar arguments, with the spinor:

$$\psi_R = (|A_+|^2 + |A_-|^2)^{-\frac{1}{2}} \begin{pmatrix} A_+ \\ A_- \end{pmatrix} \quad (127)$$

one arrives at the analogous results for the reflected wave:

$$\langle S_z \rangle_R = \frac{\hbar}{2} \frac{R_+ - R_-}{R_+ + R_-} \quad (128)$$

$$\langle S_y \rangle_R = -\hbar \sin(\Delta\phi_+ - \Delta\phi_-) \frac{(R_+ R_-)^{\frac{1}{2}}}{R_+ + R_-} \quad (129)$$

$$\langle S_x \rangle_R = \hbar \cos(\Delta\phi_+ - \Delta\phi_-) \frac{(R_+ R_-)^{\frac{1}{2}}}{R_+ + R_-} \quad (130)$$

Using the statement of particle conservation $R_+ - R_- = -(T_+ - T_-)$, one finds that:

$$\langle S_z \rangle_R = -\langle S_z \rangle_T \frac{T_+ + T_-}{R_+ + R_-} \quad (131a)$$

$$\langle S_y \rangle_R = \langle S_y \rangle_T \left(\frac{R_+ R_-}{T_+ T_-} \right)^{\frac{1}{2}} \frac{T_+ + T_-}{R_+ + R_-} \quad (131b)$$

$$\langle S_x \rangle_R = \langle S_x \rangle_T \left(\frac{R_+ R_-}{T_+ T_-} \right)^{\frac{1}{2}} \frac{T_+ + T_-}{R_+ + R_-} \quad (131c)$$

and hence $\langle S_z \rangle_R + \langle S_z \rangle_T = 0$, the statement of conservation of angular momentum.

2.6.2 Infinitesimal Field

In this section I report the study of polarisation of the transmitted and reflected waves in the limit of an infinitesimal field. Using (106), one finds the result:

$$T_+ - T_- = T(\kappa_+) - T(\kappa_-) \quad (132)$$

$$= \frac{T(k - \frac{m\omega_L}{2\hbar\kappa}) - T(k + \frac{m\omega_L}{2\hbar\kappa})}{\frac{m\omega_L}{\hbar\kappa}} \times \frac{m\omega_L}{\hbar\kappa} \quad (133)$$

$$= -\left(\frac{m\omega_L}{\hbar\kappa}\right) \frac{\partial T}{\partial \kappa} \quad (134)$$

In equation (134) the Larmor frequency ω_L is multiplied by a time $\frac{m}{\hbar\kappa} \frac{\partial T}{\partial \kappa}$. This motivates the definition of the characteristic times $\tau_{zT}, \tau_{yT}, \tau_{xT}$ such that:

$$\langle S_z \rangle_T = \frac{\hbar}{2} \omega_L \tau_{zT} \quad (135a)$$

$$\langle S_y \rangle_T = -\frac{\hbar}{2} \omega_L \tau_{yT} \quad (135b)$$

$$\langle S_x \rangle_T = \frac{\hbar}{2} \left(1 - \frac{\omega_L^2 \tau_{xT}^2}{2}\right) \quad (135c)$$

Using equations (120), (121) and (125) and the approximation $T_+ + T_- \approx 2T$, one can derive explicit results for the characteristic times:

$$\tau_{zT} = -\left(\frac{m}{\hbar\kappa}\right) \frac{\partial \ln T^{\frac{1}{2}}}{\partial \kappa} \quad (136)$$

Proof.

$$\langle S_z \rangle_T \stackrel{(120)}{=} \frac{\hbar}{2} \frac{T_+ - T_-}{T_+ + T_-} \quad (137)$$

$$\approx \frac{\hbar}{4} \frac{T_+ - T_-}{T} \quad (138)$$

$$\stackrel{(134)}{=} -\frac{m\omega_L}{\hbar\kappa} \frac{\hbar}{4} \frac{1}{T} \frac{\partial T}{\partial \kappa} \quad (139)$$

$$= -\frac{m\omega_L}{\hbar\kappa} \frac{\hbar}{2} \frac{\partial \ln T^{\frac{1}{2}}}{d\kappa} \quad (140)$$

$$= \frac{\hbar}{2} \omega_L \tau_{zT} \quad (141)$$

□

$$\tau_{yT} = -\left(\frac{m}{\hbar\kappa}\right) \frac{\partial \Delta\phi}{\partial \kappa} \quad (142)$$

Proof.

$$\langle S_y \rangle_T \stackrel{(121)}{=} -\hbar \sin(\Delta\phi_+ - \Delta\phi_-) \frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \quad (143)$$

$$\sin(\Delta\phi_+ - \Delta\phi_-) \approx \Delta\phi_+ - \Delta\phi_- \approx \Delta(\Delta\phi) \text{ where } \Delta\phi := \phi_+ - \phi_- \quad (144)$$

$$\Rightarrow \langle S_y \rangle_T \approx -\frac{\hbar}{2} \Delta(\Delta\phi) \text{ as } \frac{(T_+ T_-)^{\frac{1}{2}}}{T_+ + T_-} \approx \frac{1}{2} \quad (145)$$

$$\Delta\kappa := \kappa_+ - \kappa_- = -\frac{m\omega_L}{\hbar\kappa} \quad (146)$$

$$\Rightarrow \langle S_y \rangle_T = -\frac{\partial \Delta\phi}{\partial \kappa} \left(\frac{m\omega_L}{\hbar\kappa} \right) \quad (147)$$

$$= -\frac{\hbar}{2} \omega_L \tau_{yT} \quad (148)$$

□

$$\tau_{xT} = \left(\frac{m}{\hbar\kappa} \right) \left[\left(\frac{\partial \Delta\phi}{\partial \kappa} \right)^2 + \left(\frac{\partial \ln T^{\frac{1}{2}}}{\partial \kappa} \right)^2 \right]^{\frac{1}{2}} \quad (149a)$$

$$= \left(\frac{m}{\hbar\kappa} \right) \left| D^{-1} \frac{\partial D}{\partial \kappa} \right| \quad (149b)$$

Proof. Equation (149a) can be proven using similar methods to above but can be proven more easily by noting that since $\langle S_x \rangle^2 + \langle S_y \rangle^2 + \langle S_z \rangle^2 = \frac{\hbar^2}{4}$ then $\tau_{xT} = (\tau_{yT}^2 + \tau_{zT}^2)^{\frac{1}{2}}$. Equation (149b) follows simply using the form of D in (13). □

The derivatives in (136), (142) can be evaluated explicitly to give:

$$\tau_{zT} = \frac{mk_0^2 (\kappa^2 - k^2) \sinh^2 \kappa d + \left(\frac{\kappa d k_0^2}{2} \right) \sinh 2\kappa d}{\hbar\kappa^2 (4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d)} \quad (150)$$

Proof.

$$\tau_{zT} \stackrel{(136)}{=} -\left(\frac{m}{\hbar\kappa} \right) \frac{\partial \ln T^{\frac{1}{2}}}{\partial \kappa} \quad (151)$$

$$\ln T^{\frac{1}{2}} = \frac{m}{2\hbar\kappa} \frac{\partial}{\partial \kappa} \ln \left[1 + \frac{(k^2 + \kappa^2)^2 \sinh^2 \kappa d}{4k^2\kappa^2} \right] \quad (152)$$

$$= \frac{m}{2\hbar\kappa} \left[1 + \frac{k_0^4 \sinh^2 \kappa d}{4k^2\kappa^2} \right]^{-1} \frac{1}{4k^2} \frac{\partial}{\partial \kappa} \left[\frac{k_0^4 \sinh^2 \kappa d}{\kappa^2} \right] \quad (153)$$

the derivative term evaluates to:

$$\frac{\partial}{\partial \kappa} [\dots] = 2 \left(-\frac{k^4}{\kappa^3} + \kappa \right) \sinh^2 \kappa d + \left(\frac{k^4}{\kappa^2} + 2k^2 + \kappa^2 \right) d \sinh 2\kappa d \quad (154)$$

$$\Rightarrow \tau_{zT} = \frac{m}{2\hbar} \frac{\kappa}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \left[2 \frac{\kappa^4 - k^4}{\kappa^3} \sinh^2 \kappa d + \frac{k^4\kappa + 2k^2\kappa^3 + \kappa^5}{\kappa^3} d \sinh 2\kappa d \right] \quad (155)$$

$$= \frac{m}{\hbar\kappa^2} \frac{1}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \left[(\kappa^2 - k^2) k_0^2 \sinh^2 \kappa d + \frac{1}{2} k_0^4 \kappa d \sinh 2\kappa d \right] \quad (156)$$

$$= \frac{mk_0^2 (\kappa^2 - k^2) \sinh^2 \kappa d + \frac{1}{2} k_0^2 \kappa d \sinh 2\kappa d}{\hbar\kappa^2 (4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d)} \quad (157)$$

□

$$\tau_{yT} = \frac{mk}{\hbar\kappa} \frac{2\kappa d(\kappa^2 - k^2) + k_0^2 \sinh 2\kappa d}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \quad (158)$$

Proof.

$$\tau_{yT}^{(142)} = - \left(\frac{m}{\hbar\kappa} \right) \frac{\partial \Delta\phi}{\partial \kappa} \quad (159)$$

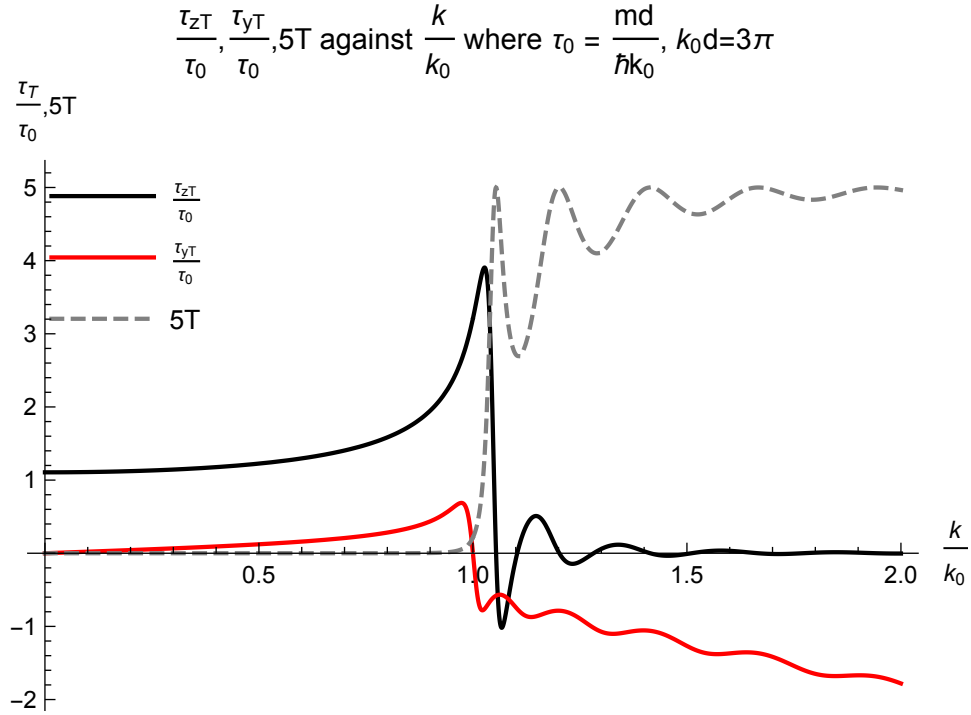
$$= - \frac{m}{\hbar\kappa} \frac{1}{1 + \left(\frac{k^2 - \kappa^2}{2k\kappa} \tanh \kappa d \right)^2} \frac{\partial}{\partial \kappa} \left[\left(\frac{k}{2\kappa} - \frac{\kappa}{2k} \right) \tanh \kappa d \right] \quad (160)$$

$$= - \frac{m}{\hbar\kappa} \frac{4k^2\kappa^2}{4k^2\kappa^2 + (k^2 - \kappa^2)^2 \tanh^2 \kappa d} \left[- \left(\frac{k}{2\kappa^2} + \frac{1}{2k} \right) \tanh \kappa d + \left(\frac{k}{2\kappa} - \frac{\kappa}{2k} \right) \text{sech}^2 \kappa d \right] \quad (161)$$

$$= \frac{mk}{\hbar\kappa} \frac{2\kappa d(\kappa^2 - k^2) + k_0^2 \sinh 2\kappa d}{4k^2\kappa^2 + k_0^4 \sinh^2 \kappa d} \quad (162)$$

□

Note in taking $\frac{\partial \Delta\phi}{\partial \kappa}$, one assumes k and κ are no longer related by the equation in (12) such that taking the derivative with respect to κ whilst keeping k constant makes sense.



One can define characteristic reflection times $\tau_{zR}, \tau_{yR}, \tau_{xR}$ analogous to those in (135) such that:

$$\langle S_z \rangle_R = \frac{\hbar}{2} \omega_L \tau_{zR} \quad (163a)$$

$$\langle S_y \rangle_R = - \frac{\hbar}{2} \omega_L \tau_{yR} \quad (163b)$$

$$\langle S_x \rangle_R = \frac{\hbar}{2} \left(1 - \frac{\omega_L^2 \tau_{xR}^2}{2} \right) \quad (163c)$$

By application of equations (131), one arrives at:

$$\tau_{zR} = -\tau_{zT} \frac{T}{R} \quad (164a)$$

$$\tau_{yR} = \tau_{yT} \quad (164b)$$

$$\tau_{xR} = (\tau_{yR}^2 + \tau_{zR}^2)^{\frac{1}{2}} = \left(\tau_{yT}^2 + \tau_{zT}^2 \frac{T^2}{R^2} \right)^{\frac{1}{2}} \quad (164c)$$

We consider the case of scattering in one dimension with particles of mass m , spin $\frac{1}{2}$ and kinetic energy $E = \frac{\hbar^2 k^2}{2m}$. The particles move along the y-axis with spins polarised with the x-axis and interact with a rectangular barrier,

$$V = \begin{cases} V_0 & -\frac{d}{2} < y < \frac{d}{2} \\ 0 & \text{otherwise} \end{cases} \quad (165)$$

A small magnetic field \vec{B}_0 points along the z-axis and is confined to the barrier. As particles enter the barrier, the magnetic field induces a Larmor precession with frequency $\omega_L = \frac{g\mu B_0}{\hbar}$, where g is the gyromagnetic ratio, μ is the absolute value of the magnetic moment. The polarisations of the transmitted and reflected particles are compared with the polarisation of the incident particles.

Particles initially polarised in the x direction obtain a y and z components when tunnelling through the barrier. We know from the Stern-Gerlach experiment that particles polarised in the x direction can be represented as combinations of particles with z polarisations, $|x; \pm\rangle = \frac{1}{\sqrt{2}} |z; +\rangle \pm \frac{1}{\sqrt{2}} |z; -\rangle$. Outside the barrier, particles have kinetic energy E , independent of their spin. Inside the barrier, the kinetic energy differs by the Zeeman contribution $\pm \frac{\hbar\omega_L}{2}$. The wavefunction inside the barrier will contain an exponentially decaying term $Exp(\kappa_{\pm})$, where $\kappa_{\pm} = (k_0^2 - k^2 \pm \frac{m\omega_L}{\hbar})^{\frac{1}{2}}$, where $\kappa = (k_0^2 - k^2)^{\frac{1}{2}}$ and the sign indicates spin parallel or antiparallel to the field.

We can approximate this in the small ω_L limit as

$$\begin{aligned} \kappa_{\pm} &= \left(k_0^2 - k^2 \mp \frac{m\omega_L}{\hbar} \right)^{\frac{1}{2}} \\ &= \kappa \left(1 \mp \frac{m\omega_L}{\hbar\kappa^2} \right)^{\frac{1}{2}} \\ &\approx \kappa \left(1 \mp \frac{m\omega_L}{2\hbar\kappa^2} \right) \\ &= \kappa \mp \frac{m\omega_L}{2\hbar\kappa} \end{aligned}$$

Here we examine tunnelling through a barrier in a magnetic field. In this case our Hamiltonian is

$$H = \begin{cases} \left(\frac{p^2}{2m} + V_0 \right) \mathbb{1} - \left(\frac{\hbar\omega_L}{2} \right) \sigma_z & |y| \leq \frac{d}{2} \\ \left(\frac{p^2}{2m} \right) \mathbb{1} & |y| \geq \frac{d}{2} \end{cases} \quad (166)$$

where $\mathbb{1}$ is the 2×2 identity matrix and $\sigma_x, \sigma_y, \sigma_z$ are the Pauli spin matrices.

H acts on spinors

$$\psi = \begin{pmatrix} \psi_+(y) \\ \psi_-(y) \end{pmatrix} \quad (167)$$

As usual $|\psi_{\pm}(y)|^2 dy$ is the probability of finding a particle *upon measurement* with spin $\pm \frac{\hbar}{2}$ in the interval $y, y + dy$. We emphasise the 'upon measurement' here as this is an important point of distinction between the orthodox and pilot-wave interpretations addressed in this essay. The incident beam is polarised in the x direction,

$$\psi = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix} e^{iky} \quad (168)$$

i.e. ψ is an eigenvector of S_x

H is diagonal in the spinor basis so we can solve the scattering problem for particles with spin $\frac{\hbar}{2}$ and $-\frac{\hbar}{2}$ separately. Our wavefunction is of the form

$$\psi = \begin{cases} A_{\pm}e^{iky} + B_{\pm}e^{-iky} & y \leq -\frac{d}{2} \\ C_{\pm}e^{\kappa_{\pm}y} + D_{\pm}e^{-\kappa_{\pm}y} & -\frac{d}{2} \leq y \leq \frac{d}{2} \\ F_{\pm}e^{iky} & y \geq \frac{d}{2} \end{cases} \quad (169)$$

We will soon set $A_{\pm} = 1$, corresponding to 1 particle per $??$, but maintain it for now to aid a future calculation. Note there is no e^{-iky} term on the right of the barrier, as no particles are reflected.

The effect of the magnetic field B_0 is simply to adjust the height of the barrier, $V'_0 = V_0 \pm \frac{\hbar\omega_L}{2}$. Hence we can solve the scattering problem initially assuming no magnetic field, and then adjusting our solution by replacing κ in the field-free problem with κ_{\pm} . Our job now is to calculate the wavefunction coefficients A, B, C, D, F using the continuity of the wavefunction and its first derivative at the boundaries. This is a lengthy calculation but the results are used so frequently that it is necessary to include a derivation. The results are stated here and derived below:

$$\begin{aligned} F &= T^{\frac{1}{2}} e^{i\Delta\phi} e^{-ikd} & B &= R^{\frac{1}{2}} e^{-\frac{i\pi}{2}} e^{i\Delta\phi} e^{-ikd} \\ C &= \frac{\kappa + ik}{2\kappa} e^{\frac{ikd}{2}} e^{-\frac{\kappa d}{2}} F & D &= \frac{\kappa - ik}{2\kappa} e^{\frac{ikd}{2}} e^{-\frac{\kappa d}{2}} F \end{aligned} \quad (170)$$

where T is the transmission probability and $R = 1 - T$ is the reflection probability.

First we introduce a new coordinate system so that the boundaries of our barrier become 0, d . Then, denoting our wavefunctions before, inside and after the barrier as ψ_1, ψ_2, ψ_3 respectively, we have:

$$\psi_1 = Ae^{iky} + Be^{-iky} \quad \psi'_1 = Aike^{iky} - ikBe^{-iky} \quad (171)$$

$$\psi_2 = Ce^{-\kappa y} + De^{\kappa y} \quad \psi'_2 = -\kappa Ce^{-\kappa y} + \kappa De^{\kappa y} \quad (172)$$

$$\psi_3 = Fe^{iky} \quad \psi'_3 = ikFe^{iky} \quad (173)$$

Imposing continuity of the wavefunction and its first derivative at the barrier boundaries:

$$\psi_1(0) = \psi_2(0) \implies A + B = C + D \quad (174)$$

$$\psi'_1(0) = \psi'_2(0) \implies ikA - ikB = -\kappa C + \kappa D \quad (175)$$

$$\psi_2(d) = \psi_3(d) \implies Ce^{-\kappa d} + De^{\kappa d} = Fe^{ikd} \quad (176)$$

$$\psi'_2(d) = \psi'_3(d) \implies -\kappa Ce^{-\kappa d} + \kappa De^{\kappa d} = ikFe^{ikd} \quad (177)$$

$$ik(17) + (18) \implies 2ikA = C(ik - \kappa) + D(ik + \kappa) \quad (178)$$

$$ik(17) - (18) \implies 2ikB = C(ik + \kappa) + D(ik - \kappa) \quad (179)$$

$$\kappa(19) - (20) \implies 2\kappa Ce^{\kappa d} = Fe^{ikd}(\kappa - ik) \quad (180)$$

$$\kappa(19) + (20) \implies 2\kappa De^{\kappa d} = Fe^{ikd}(\kappa + ik) \quad (181)$$

Inserting equations (23) and (24) into equation (21) we arrive at:

$$2ikA = -\frac{(ik - \kappa)^2}{2\kappa} Fe^{(ik+\kappa)d} + \frac{(ik + \kappa)^2}{2\kappa} Fe^{(ik-\kappa)d} \quad (182)$$

$$\implies 4\kappa ikAe^{-ikd} = F[(k^2 - \kappa^2)(e^{\kappa d} - e^{-\kappa d}) + 2ik\kappa(e^{\kappa d} + e^{-\kappa d})] \quad (183)$$

$$= F[2(k^2 - \kappa^2) \sinh \kappa d + 4ik\kappa \cosh \kappa d] \quad (184)$$

One arrive at the first result, the transmission probability $T = \frac{|F|^2}{|A|^2}$:

$$T = [1 + \frac{(k^2 + \kappa^2)^2 \sinh^2 \kappa d}{4k^2 \kappa^2}]^{-1}.$$

and, by writing D in polar form $D = |D|e^{i\theta}$, the phase change across the barrier: i

$$D \propto 4k^2 \kappa^2 \cosh \kappa d e^{-ikd} + i2k\kappa(k^2 - \kappa^2) \sinh \kappa d e^{-ikd} \quad (185)$$

$$\implies \Delta\phi := \arg(D) = \arctan\left(\frac{\text{Im}(D)}{\text{Re}(D)}\right) = \arctan\left(\frac{(k^2 - \kappa^2)}{2k\kappa} \tanh \kappa d\right) \quad (186)$$

$$\implies D e^{ikx}|_{x=d} - e^{ikx}|_{x=0} = |D| e^{i\Delta\phi} e^{ik(d-d)} - 1 \quad (187)$$

$$\text{and so the phase change} = \Delta\phi \quad (188)$$

It is clear now why we left the coefficient A explicit, but from now on it will be set to $A = 1$. Rearranging (27) for F , we can compare with the final result in (13) and factorise out $T^{\frac{1}{2}}$:

$$F = T^{\frac{1}{2}} e^{-ikd} \times \frac{(k^2 - \kappa^2)i \sinh \kappa d + 2k\kappa \cosh(\kappa d)}{\sqrt{(k^2 + \kappa^2)^2 \sinh^2 \kappa d + 4k^2 \kappa^2}}$$

This yields the identification

$$e^{i\Delta\phi} = \frac{(k^2 - \kappa^2)i \sinh \kappa d + 2k\kappa \cosh \kappa d}{\sqrt{(k^2 + \kappa^2) \sinh^2(\kappa d) + 4k^2 \kappa^2}} \quad (189)$$

$$= \frac{(k^2 - \kappa^2)i \tanh \kappa d + 2k\kappa}{\sqrt{(k^2 - \kappa^2)^2 \tanh^2 \kappa d + 4k^2 \kappa^2}} \quad (190)$$

Expanding the left hand side into real and imaginary parts and comparing coefficients we deduce:

$$\tan \Delta\phi = \frac{(k^2 - \kappa^2) \tanh(\kappa d)}{2k\kappa}$$

The result for B follows along similar lines and results for C and D follow immediately from equations (23) and (24). We note that our final results are independent of the coordinate system used (up to scaling by a multiplicative constant) so the result also holds in our other coordinate system.

References

- [1] Goldstein