

Jacob Miller

Training with Topology

Using the Euler Characteristic Transform

December 13, 2024

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 - Simplicial Complexes
 - Mapper
 - Euler Characteristic Transform (ECT)
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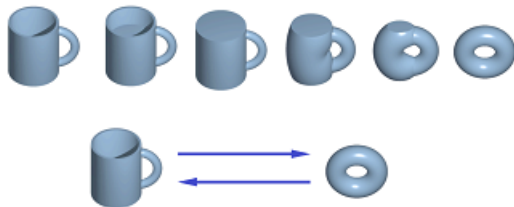
What is topology?

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Topology is the study of shape up to deformations. In the mind of a topologist, a coffee cup is the same as a donut.

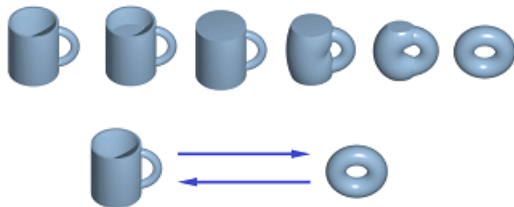
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Remember that, as topologists, we cannot:

1. cut
2. glue

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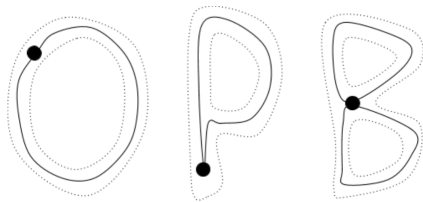
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- Ignore this issue for now...

Simplices: Building Blocks

Simplicial complexes are a common way to describe shapes in topology

Definition (Simplex)

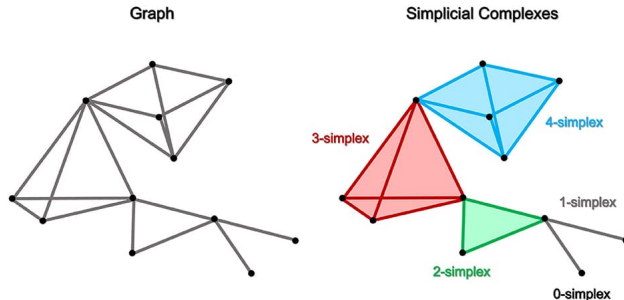
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Example:

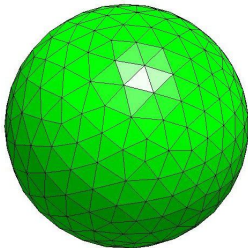
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Euler Characteristic for Simplicial Complexes

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The **Euler characteristic** $\chi(\Delta)$ of a finite simplicial complex Δ is:

$$\chi(\Delta) = \sum_{i=0}^{\infty} (-1)^i f_i$$

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Example

A filled in triangle (a.k.a. 2-simplex):

- $f_0 = 3$ (vertices), $f_1 = 3$ (edges), $f_2 = 1$ (faces)
- $\chi(\text{triangle}) = 3 - 3 + 1 = 1$

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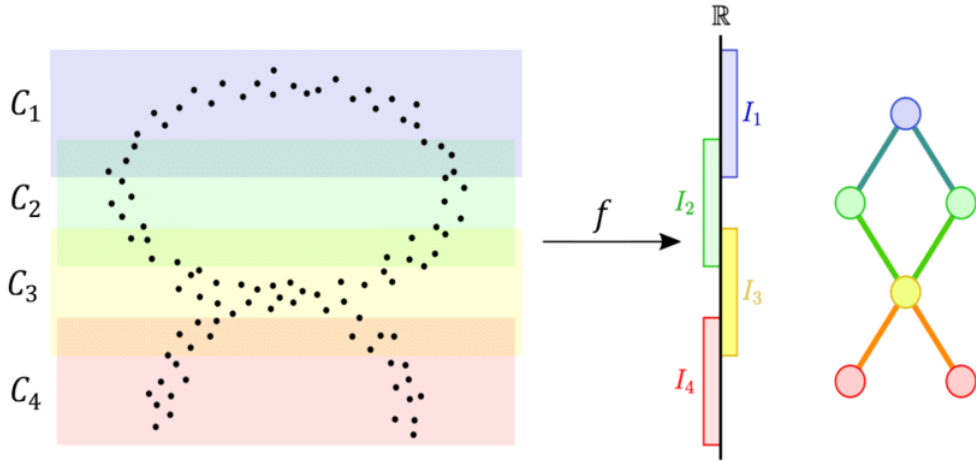
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Output: A simplicial complex – a topological approximation of the manifold M .

Diagram of Mapper



Euler Characteristic Transform

Input: An embedded simplicial complex Δ – normalized to live in a unit ball in \mathbb{R}^n .

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Output: A function

$$ECT : S^{n-1} \times [-1, 1] \rightarrow \mathbb{Z}$$

Given an embedded simplicial complex Δ and a direction $\vec{w} \in S^{n-1} \subseteq \mathbb{R}^n$ we can define a function $F_{\vec{w}} : \Delta \rightarrow \mathbb{R}$ inductively:

$$F_{\vec{w}}(v) := v \cdot \vec{w} \quad \text{For any vertex } v \in \Delta$$

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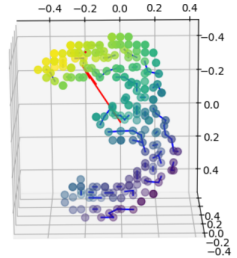
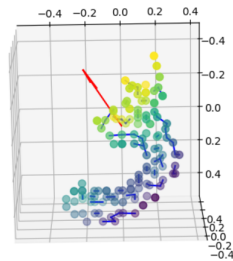
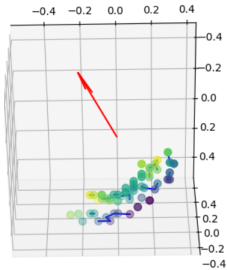
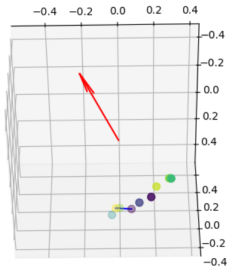
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$$F_{\vec{w}}(\sigma) := \max \{ F_{\vec{w}}(v_i) \mid 1 \leq i \leq k \}$$

For any threshold value $t \in [-1, 1]$

$$F_{\vec{w}}^{-1}[[-1, t]] \text{ is a subcomplex of } \Delta$$

An example of a filtration



If we pick a direction and a threshold value, we get a simplicial complex.

Recap

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Changing our threshold value will give us different subcomplexes of Δ .

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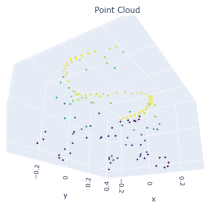
IDEA: For each direction and threshold value, calculate the Euler characteristic!

ECT Definition

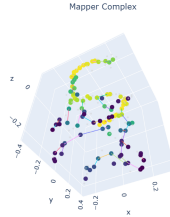
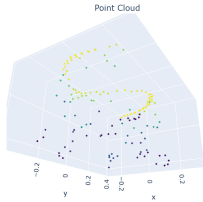
The Euler Characteristic Transform ECT is given by

$$ECT(\vec{w}, t) := \chi(F_{\vec{w}}^{-1} [(-\infty, t)])$$

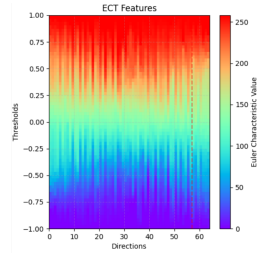
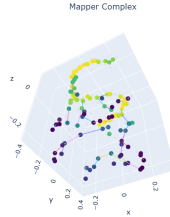
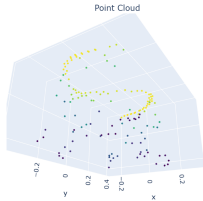
ECT Results



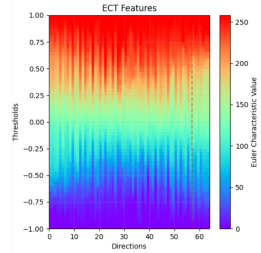
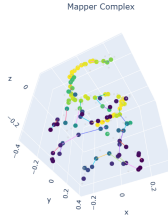
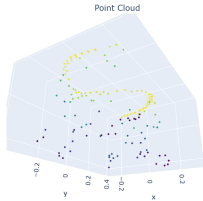
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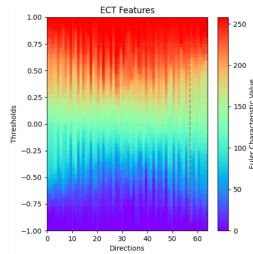
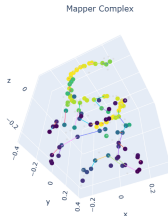
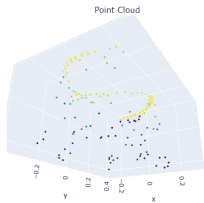


Theorem (Turner et al., 2014)

*The ECT is **injective** on the space of constructible* sets in \mathbb{R}^d . So:*

If $ECT(K) \neq ECT(K')$, then $K \neq K'$.

ECT Results



Theorem (Turner et al., 2014)

The ECT is *injective* on the space of constructible* sets in \mathbb{R}^d . So:

$$\text{If } ECT(K) \neq ECT(K'), \text{ then } K \neq K'.$$

So the above theorem is true for simplicial complexes in \mathbb{R}^d .

Preprocessing

- Load image from MNIST dataset
- Filter out empty pixels
- Normalize pixel and grayscale values to live in the unit 3-ball
- Translate by the mean to standardize
- Apply some Gaussian smoothing (to look good)

Processing

- Load data cloud
- Compute mapper complex using zen-mapper
 - filter function: Projection to the line $y + x = 0$.
 - Covering Scheme: Width Balanced with 10 elements, 40% overlap
 - Clusterer: Affinity Propagation with 0.9 damping (random preferences)
- Embed into \mathbb{R}^3
- Approximate ECT
 - 64 directions (sampled via Fibonacci spiral)
 - 64 thresholds (uniformly distributed in $[-1, 1]$)

ECTNet Architecture

Designing your own CNN - Sanjay Dutta

Creating a CNN from Scratch using Pytorch - Abhishek

- **Convolutional Layers:**

- Conv1: $1 \rightarrow 16$ channels (3×3)
- BatchNorm + ReLU + MaxPool(2×2)
- Conv2: $16 \rightarrow 32$ channels (3×3)
- BatchNorm + ReLU + MaxPool(2×2)
- Conv3: $32 \rightarrow 64$ channels (3×3)
- BatchNorm + ReLU

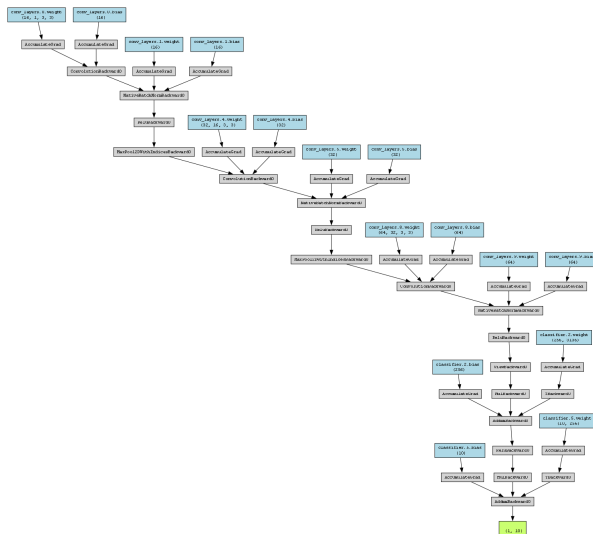
- **Classifier Head (Make Decision):**

- Flatten
- Dropout (0.3) – randomly deactivates neurons
- Linear: $\text{input_dim} \rightarrow 256$
- ReLU + Dropout(0.3)
- Linear: $256 \rightarrow 10$

Simplified:

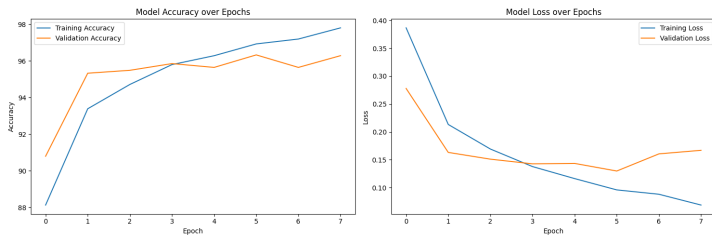
- Two main components:
 - Feature extraction (conv layers)
 - Classification (dense layers)
- Convolutional section:
 - Progressively expands features
 - Spatial reduction via max pooling (takes maximum value from the input region)
- Classifier section:
 - Flattens features
 - Final 10-class prediction

Torchviz Diagram:

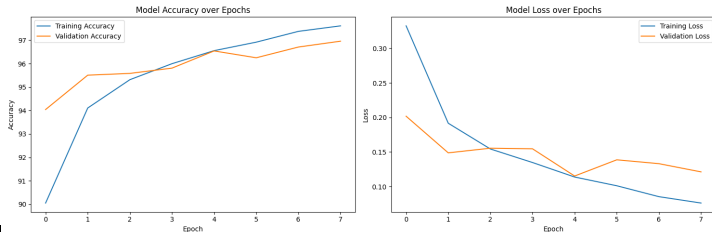


Training Results:

Mapper Complex Training Results: 97.8% accuracy



Point Complex Training Results: 97.6% accuracy



Thank you!

Thanks for listening! If you want to see the implementation checkout the GitHub:

<https://github.com/Jamiller137/ect-mnist>

There is an interactive app that will let you draw a number and see what the model is doing.