

UNIVERSITY OF BONN

notes for the lecture

# Topology I

held by

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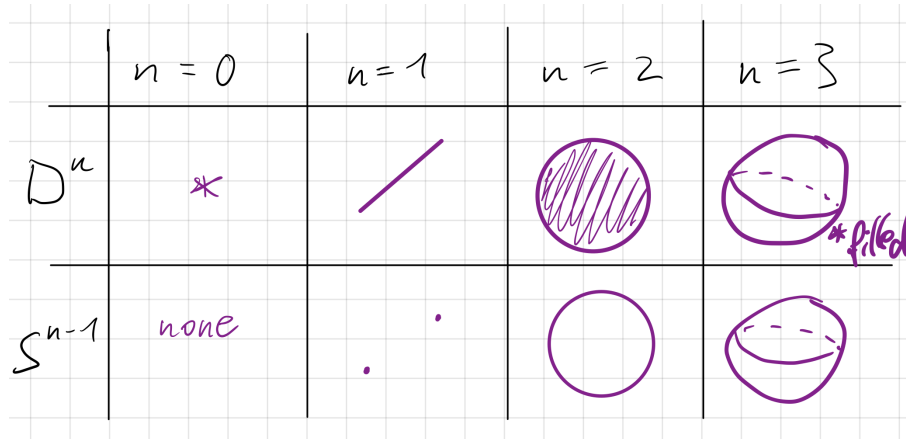
T<sub>E</sub>Xed by

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Figure 1:  $D^n$  and  $S^{n-1}$  for small  $n$ 

## 1 CW-Complexes

The name abbreviates compact-Closure-Weak-Topology. They are „nice“ classes of spaces for the purpose of homotopy theory/algebraic topology. They are build by successively attaching cells.

The  $n$ -cell is  $D^n = \{x \in \mathbb{R}^n : |x| \leq 1\}$ . It may also be called  $n$ -balls or  $n$ -discs.  $S^{n-1} = \partial D^n = \{x \in \mathbb{R}^n : |x| = 1\}$  is the  $n-1$ -Sphere. See figure 1 for examples.

### 1.1 Definition

**Construction.** Let  $n \geq 0$ , let  $f: S^{n-1} \rightarrow X$  be a continuous map, the *attaching map*. We form the quotient space

$$X \cup_{f, \partial D^n} D^n = X \cup_f D^n = X \cup_{\partial D^n} D^n := X \amalg D^n / \sim$$

where  $\sim$  is the equivalence relation on  $X \amalg D^n$  generated by  $\forall x \in S^{n-1} : f(x) \sim x$ .

**Terminology.** We say: „ $X \cup_f D^n$  is obtained by attaching an  $n$ -cell to  $X$  along  $f$ “.

**Example 1.1.** •  $X \cup_f D^0 = X \amalg D^0$

- $\{*\} \cup_{S^{-1}} D^n = D^n / \sim = D^n / S^{n-1} \cong S^n$

In this example  $\sim$  identifies all of  $S^{n-1}$  to a point, which then is homeomorphic to  $S^n$ .

- Remark, that the attaching map matters greatly. See figure 2

$$S^{n-1} \cup_f D^n \cong D^n \quad \text{with } f = \text{Id}: S^{n-1} \rightarrow S^{n-1}$$

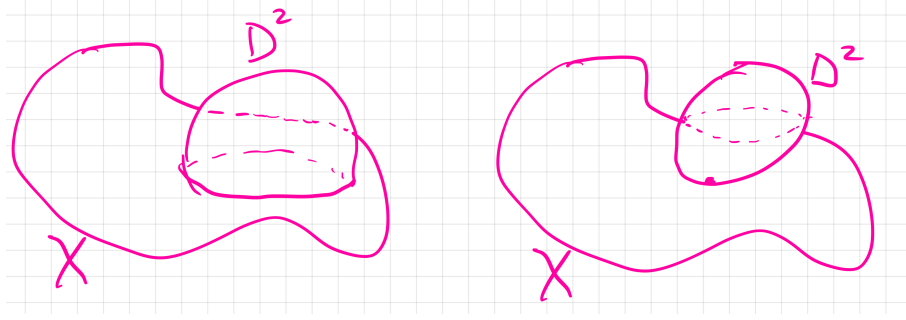
$$S^{n-1} \cup_f D^n \quad \text{with } f: S^{n-1} \rightarrow S^{n-1} \text{ constant}$$

### Simultaneous attachment of several cells

Let  $J$  be an indexing<sup>2</sup> set, considered as a discrete space ( $J = \emptyset$  is allowed).

<sup>1</sup>supposed as known

<sup>2</sup>„indexing“ does not carry mathematical meaning

Figure 2: The attaching map influences how  $D^n$  is attached.

Give  $J \times D^n$  the product topology, then

$$J \times D^n \cong \coprod_{j \in J} \{j\} \times D^n$$

as a topological space. The  $\coprod$  represents the disjoint union topology.

It follows, that

$$\begin{array}{ccc} \{\text{continuous maps } f: J \times D^n \rightarrow X\} & & f \\ \parallel & & \downarrow \\ \{J\text{-indexed families of continuous maps } \{f_j: D^n \rightarrow X\}_{j \in J}\} & & f_j = f(j, \_) \end{array}$$

We will identify them from now on.

### Definition 1.2

Let  $f: J \times \partial D^n \rightarrow X$  be a continuous map, the *attaching map*.

$$X \cup_{f, J \times \partial D^n} J \times D^n = X \cup_f J \times D^n = X \cup_{J \times \partial D^n} J \times D^n := X \amalg J \times D^n / \sim$$

where  $\sim$  is the equivalence relation generated by  $f(x) \sim x$  for all  $x \in J \times \partial D^n$ .

**Remark.** Write

$$p: X \amalg J \times D^n \rightarrow X \cup_f J \times D^n$$

for the quotient map. From the universal property of the quotient map follows: Given maps  $g: X \rightarrow Y$  and  $\psi_j: D^n \rightarrow Y$  such that  $g(f_j(x)) = \psi_j(x)$  for all  $j \in J, x \in \partial D^n$  there is a unique map  $\psi: X \cup_f J \times D^n \rightarrow Y$ , such that

$$\psi \circ p = g + \coprod_{j \in J} \psi_j: X \amalg (J \times D^n) \rightarrow Y$$

and  $\psi$  is continuous iff  $g$  and all  $f_j$  are continuous.

Remember the quotient-topology: A subset  $O$  in  $X \cup_f J \times D^n$  is open iff  $p^{-1}(O)$  is open in  $X \amalg J \times D^n$ . This is equivalent to  $p^{-1}(O) \cap X$  is open in  $X$  and for all  $j \in J$   $p^{-1}(O) \cap j \times D^n$  is open in  $D^n$ .

$X$  is a closed subspace of  $X \cup_f J \times D^n$ .  $J \times \mathring{D}^n$  is an open subset of  $X \cup_f J \times D^n$ .  $X \cup_f J \times D^n$  is as a set (not as a space) the disjoint union of  $X$  and  $J \times \mathring{D}^n$ . We elaborate

**Proposition 1.3.** 1. *The composition*

$$X \longrightarrow X \amalg (J \times D^n) \xrightarrow{p} X \cup_f J \times D^n$$

*is a closed embedding (i.e. a closed injective map).*

2. *The composition*

$$J \times \mathring{D}^n \xrightarrow{\text{incl}} J \times D^n \longrightarrow X \amalg J \times D^n \xrightarrow{p} X \cup_f J \times D^n$$

*is an open embedding (i.e. injective and open)*

3. *The underlying set of  $X \cup_f J \times D^n$  is the disjoint union of the image of  $X$  and  $J \times \mathring{D}^n$ .*

*Proof.* Suppose  $M \subseteq X \amalg J \times D^n$  is saturated, i.e.  $M = p^{-1}(p(M))$ . If  $M$  is saturated and open, then  $p(M)$  is open in  $X \cup_f J \times D^n$ .

1.  $n = 0$   $X \cup J \times D^0 = X \amalg J \times D^0$  is obvious.

$n \geq 1$  let  $r: D^n \rightarrow S^{n-1}$  be a map, such that  $r(x) = x$  for all  $x \in S^{n-1}$ . This cannot be done continuously. Define  $X \amalg J \times D^n \rightarrow X$  by  $x \mapsto x, (j, y) \mapsto r(y)$ . This is compatible with the equivalence relation, so it descends to a (noncontinuous) map  $X \cup_f J \times D^n \rightarrow X$ . This proves injectivity. To show this is a closed map, we consider a closed subset  $A \subseteq X$ . Then  $p^{-1}(p(A)) = A \amalg f^{-1}(A) \subseteq X \amalg J \times D^n \subset J \times \partial D^n \subset J \times D^n$  is closed in  $X \amalg J \times D^n$ . So  $p(A)$  is closed in  $X \cup_f J \times D^n$ .

2. All points in  $J \times \mathring{D}^n$  are their own equivalence classes, so the map is injective. To show that the map of 2. is open, we let  $B$  be an open subset of  $J \times \mathring{D}^n$ . This is then also open in  $J \times D^n$ .  $p^{-1}(p(B)) = \emptyset \amalg B \subset X \amalg J \times D^n$  open, so  $p(B)$  is open in  $X \cup_f J \times D^n$ .

3. I think this was proven with a picture I didn't draw.

□

**Exercise.** Let  $V_j$  be an open subset of  $D^n$  for every  $j \in J$ , such that  $V_j \supset \partial D^n$ . Show, that the set  $V = X \cup \bigcup_{j \in J} V_j$  is open in  $X \cup_f J \times D^n$ .

From now on we often identify  $X$  with its image in  $X \cup_f J \times D^n$  and  $J \times \mathring{D}^n$  with its image in  $X \cup_f J \times D^n$

#### Definition 1.4: Compactness

A space  $X$  is *compact*, if it is Hausdorff (any two points can be separated by two disjoint open sets) and *quasicompact* (any open cover has a finite subcover).

**Remark.** Some literature defines compactness equivalent to quasicompactness. This lecture uses the definition that was given.

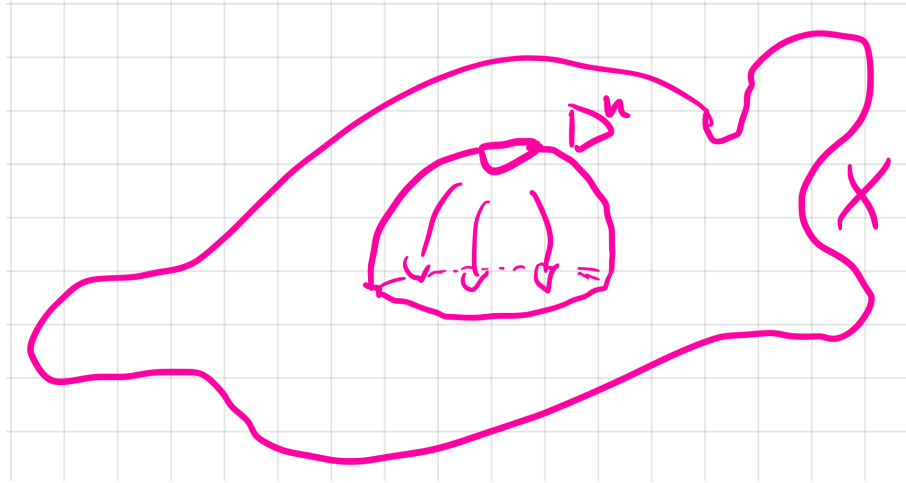


Figure 3: If a point in  $D^n$  is missing, it can be continuously retracted.

### Theorem 1.5

Let  $f: J \times \partial D^n \rightarrow X$  be a continuous attaching map.

- If  $X$  is Hausdorff, then so is  $X \cup_f J \times D^n$ .
- If  $X$  is compact and  $J$  is finite, then  $X \cup_f J \times D^n$  is compact.
- Let  $K$  be a quasicompact subset of  $X \cup_f J \times D^n$ . Then  $K \cap (\{j\} \times \mathring{D}^n) = \emptyset$  for almost<sup>a</sup>  $j \in J$ .

<sup>a</sup>mathematical term for all but finitely many.

### Lemma 1.6

There exists an open neighborhood  $V$  of  $X$  in  $X \cup_f J \times D^n$  and a continuous map  $r: V \rightarrow X$  that is the identity on  $X$ . ( $X$  is a neighborhood retract inside  $X \cup_f J \times D^n$ ).

*Proof.* See figure 3. We take  $V = X \cup_{J \times \partial D^n} J \times (D^n \setminus 0)$ . This is open in  $X \cup_f J \times D^n$ . We define  $r: V \rightarrow X$  by  $x \mapsto x, (j, z) \mapsto f(j, z/|z|)$ .  $\square$

*Proof of theorem 1.5.*

1. **Case 1**  $x, y \in J \times \mathring{D}^n$ . Since  $\mathring{D}^n$  is Hausdorff, so is  $J \times \mathring{D}^n$ , so we can separate  $x$  and  $y$  by open disjoint subsets in  $J \times \mathring{D}^n$ . Since  $J \times \mathring{D}^n$  is open in  $X \cup_f J \times D^n$ , these subsets are also open in  $X \cup_f J \times D^n$ .
- Case 2**  $x \in X, y \in \{j\} \times \mathring{D}^n$ . We choose an  $y \in O_y \subset j \times D^n$  open  $j \times \partial D^n \subseteq V_j \subseteq j \times D^n$  s.t.  $O_j \cap V_j = \emptyset$ . Then  $V := X \cup V_j \cup \bigcup_{k \in J \setminus \{j\}} D^n$  is open<sup>4</sup> in  $X \cup_f J \times D^n$ .  $V \cap O_j = \emptyset, x \in V, y \in O_j$ .
- Case 3**  $x, y \in X$ . Since  $X$  is Hausdorff, there are open subsets  $O_x, O_y$  of  $X$  with  $x \in O_x, y \in O_y, O_x \cap O_y = \emptyset$ . We let  $V$  be an open subset of  $X \cup_f J \times D^n$  with a continuous retraction  $r: V \rightarrow X, r|_X = \text{Id}_X$ . Then  $x \in r^{-1}(O_x), y \in r^{-1}(O_y), r^{-1}(O_x), r^{-1}(O_y)$  are open, and disjoint.

<sup>4</sup>by an exercise.

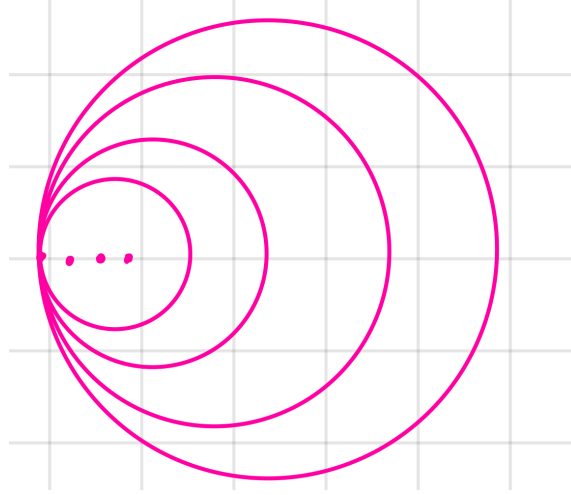


Figure 4: Hawaiian earrings

2. If  $X$  is compact and  $J$  is finite, then  $X \amalg J \times D^n = X \amalg \coprod_{j \in J} \{j\} \times D^n$  is compact hence also the quotient space  $X \cup_f J \times D^n$  is quasi-compact. Hausdorff is inherited by 1..
3. Let  $K$  be a quasicompact subset of  $X \cup_{J \times \mathring{D}^n} J \times D^n$ . We define subsets  $V_j$  of  $D^n$  for all  $j \in J$  as follows: If  $K \cap (j \times \mathring{D}^n) = \emptyset$ , we set  $V_j = D^n$ . If  $K \cap (j \times \mathring{D}^n) \neq \emptyset$ , we choose a  $V_j$ , that doesn't contain at least one point of  $K$ , is open, and contains  $\partial D^n$ . Now

$$(X \bigcup_{j \in J} V_j) \cup \bigcup_{j \in J} \{j\} \times \mathring{D}^n$$

is an open cover of  $X \cup_f J \times D^n$ . Since  $K$  is quasicompact, there is a finite subset  $L$  of  $J$  such that

$$K \subset (X \cup_{j \in J} V_j) \cup \bigcup_{j \in L} \{j\} \times \mathring{D}^n.$$

□

**Example 1.7** (Hawaiian Earrings). The set

$$H = H_1 \cup H_2 \cup H_3 \cup \dots = \bigcup_{i \geq 1} H_i$$

wherein  $H_i$  is the circle in  $\mathbb{R}^2$  with radius  $1/i$  and center  $(1/i, 0)$ , equipped with the subspace topology of  $\mathbb{R}^2$  is called the Hawaiin earrings (see figure 4).

Is  $H$  obtained from  $\{(0, 0)\}$  by attaching countably many 1-cells? It is not.

Consider a continuous map  $\psi_j: D^1 = [-1, 1]$  such that it is a surjective, and  $[-1, 1]/-1 \sim 1$  onto  $H_j \subset H$  is a homeomorphism.

$$\{(0, 0)\} \amalg \mathbb{N} \times D^1 \rightarrow H, \quad (j, x) \mapsto \psi_j(x)$$

is a continuous surjection. Then

$$\{(0, 0)\} \cup_{\mathbb{N} \times \partial D^1} \mathbb{N} \times D^1 \rightarrow H$$

is a continuous bijection. However, it is not a homeomorphism.

Consider  $V = \{(0, 0)\} \cup_{\mathbb{N} \times \partial D^1} \mathbb{N} \times ([-1, 0) \cup (0, 1])$ . This is open in  $\{(0, 0)\} \cup_{\mathbb{N} \times \partial D^1} \mathbb{N} \times D^1$ . Its complement is closed, but the image of that complement,  $(1/n, 0)_{n \in \mathbb{N}}$  is not closed in  $H$ .

### Definition 1.8: CW-Complex

A relative *CW-complex* is a space  $X$  equipped with a sequence of closed subspaces

$$A = X_{-1} \subseteq X_0 \subseteq X_1 \subseteq \cdots \subseteq X_n \subseteq \cdots$$

such that

1. For every  $n \geq 0$   $X_n$  can be obtained from  $X_{n-1}$  by attaching  $n$ -cells.
2.  $X = \bigcup_{n \geq 0} X_n$  and  $X$  has the weak topology with respect to the sequences.

precisely:

1. There exists an index set  $J$ , a continuous map  $f: J \times \partial D^n \rightarrow X_{n-1}$  and a homeomorphism  $\psi: X_{n-1} \cup_f J \times D^n \rightarrow X_n$  that is the identity on  $X_{n-1}$ .
2. A subset  $O$  of  $X$  is open in  $X$  iff  $O \cap X_n$  is open in  $X_n$  for all  $n \geq 0$ .

**Remark.** 2. is equivalent to: a subset  $C$  of  $X$  is closed in  $X$  iff  $C \cap X_n$  is closed in  $X_n$  for all  $n \geq 0$ .

2. implies, that a map  $f: X \rightarrow Y$  is already continuous if  $f|_{X_n}: X_n \rightarrow Y$  is continuous for all  $n \geq 0$ .

**Notation.** • We usually say  $(X, A)$  is a relative CW-complex and leave the  $X_n$  implicit.

- For  $A = \emptyset$ ,  $X$  is called a absolute CW-complex, or just a CW-complex.
- The subspace  $X_n$  in a CW-complex is the  $n$ -skeleton.
- A relative CW-complex  $(X, A)$  is finite-dimensional if  $X_n = X$  for some  $n \geq 0$ .
- A relative CW-complex  $(X, A)$  is finite, if there are only finitely many cells altogether.
- Once chosen a homeomorphism  $\psi$  as above, then the characteristic map of the  $j$ -th  $n$ -cell  $\chi_j$  is the composite

$$D^n \xrightarrow{(j, \cdot)} X_{n-1} \cup_{J \times \partial D^n} J \times D^n \xrightarrow[\cong]{\psi} X_n \hookrightarrow X$$

$\chi_j|_{\mathring{D}^n}: \mathring{D}^n \rightarrow \chi_j(\mathring{D}^n)$  is a homeomorphism onto its image, which is one path component of  $X_n \setminus X_{n-1}$ . The restriction

$$f_j := \chi_j|_{\partial D^n}: \partial D^n \rightarrow X_{n-1}$$

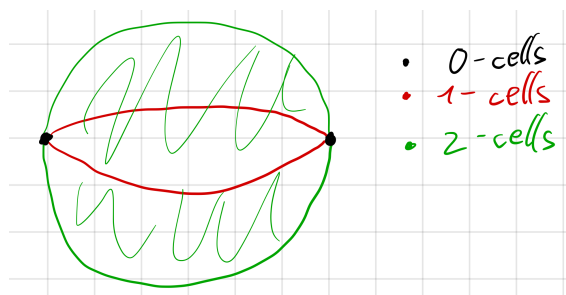
is called the attaching map as before.

**Comment.** The space  $X_n \setminus X_{n-1}$  is a disjoint union of open cells  $\mathring{D}^n$ . So the indexing set could be taken as  $\pi_0(X_n \setminus X_{n-1})$ . Especially its cardinality is fixed.

It can be shown, that for every path-component of  $X_n \setminus X_{n-1}$  there exists a homeomorphism

$$f: \mathring{D}^n \rightarrow \text{that path-component}$$



Figure 5:  $S^2$  is built from several cells.

that extends to a continuous map  $\bar{f}: D^n \rightarrow X_n$ .

**Example 1.9.** • Any discrete space is an absolute 0-dimensional CW-complex.

- Let  $z \in S^n$  be any point. Then the minimal CW-structure on  $S^n$  is

$$X_{-1} = \emptyset, \quad X_0 = \{z\} = X_1 = \cdots = X_{n-1}$$

$$S^n = X_n = X_{n+1} = \cdots$$

It consists of one 0-cell and one  $n$ -cell. This can be seen, because  $S^n \cong D^n / \partial D^{n-1}$  by  $\partial D^{n-1} \rightarrow \{z\}$ .

The CW-structure on a given space  $X$  is not unique. For example a different CW-structure on  $S^2$  consists of two of each 0, 1 and 2-cells. See figure 5 for the construction. Analog,  $S^n$  is a CW-complex with 2  $i$ -cells for  $i = 0, \dots, n$ .

Also a CW-structure: For  $S^1$  pick any finite subset  $A \subseteq S^1$ . Then  $S^1$  has a CW-structure with  $X_{-1} = \emptyset, X_1 = A, X_2 = S^1$ .  $n$  0 cells  $n$  1 cells.

It can be shown, that any non-discrete space, that admits an absolute CW-structure admits uncountably many different CW-structures.

**Preview.** The Euler characteristic of a finite absolute CW-complex is

$$\chi(X) = \sum_{n \geq 0} (-1)^n \#n\text{-cells}$$

does not depend on the CW-structure. We will eventually show this using singular homology.

### Theorem 1.10

Let  $(X, A)$  be a relative CW-complex.

1. If  $A$  is Hausdorff, then so is  $X$ .
2. If  $A$  is compact and  $(X, A)$  is finite, then  $X$  is also compact.

*Proof.* Because  $X_{-1} = A$  is Hausdorff and  $X_n$  can be obtained from  $X_{n-1}$ , by attaching cells, inductively  $X_n$  is Hausdorff for all  $n \geq 0$ .

**Claim.** Let  $O_n, P_n$  be open disjoint subsets of  $X_n$ . Then there exist disjoint open subsets  $O_{n+1}, P_{n+1}$  of  $X_{n+1}$ , such that  $O_n = O_{n+1} \cap X_n, P_n = P_{n+1} \cap X_n$ .

*Proof.* Since  $X_{n+1}$  can be obtained from  $X_n$  by attaching  $(n+1)$ -cells  $X_n$  is a neighborhood

retract in  $X_{n+1}$ , i.e. there is a open neighborhood  $V$  of  $X_n$  in  $X_{n+1}$  and a continuous retraction  $r: V \rightarrow X_n$  with  $r|_{X_n} = \text{Id}$ . We set  $O_{n+1} = r^{-1}(O_n)$ ,  $P_{n+1} = r^{-1}(P_n)$ .  $\square$

We prove the Hausdorff property: Let  $x, y \in X$  be disjoint points. Since  $X = \bigcup_{n \in \mathbb{N}} X_n$ . Then for some  $n \geq 0$ ,  $x, y \in X_n$ . Since  $X_n$  is Hausdorff, there are open, disjoint subsets  $O_n, P_n$  of  $X_n$  with  $x \in O_n, y \in P_n$ . Inductively use the claim to find open disjoint subsets  $O_m, P_m$  of  $X_m$  for all  $m \geq n$ , such that  $O_{m+1} \cap X_m = O_m, P_{m+1} \cap X_m = P_m$  for all  $m \geq n$ . Then set  $O = \bigcup_{m \geq n} O_m, P = \bigcup_{m \geq n} P_m$  disjoint subsets of  $X$  and open in  $X$  by the weak topology, as  $O \cap X_m = O_m$  open in  $X_m$ .

For compactness, Induction over  $n$ , such that  $X_n$  is compact because  $X_n$  is obtained from  $X_{n-1}$  by attaching finitely many cells. Also  $X = X_n$  for sufficiently large  $n$ . So  $X$  is compact.  $\square$

**Note.** Suppose that  $X$  admits a CW-structure. Then the following are equivalent:  $X$  admits a finite CW-structure  $\Leftrightarrow X$  is compact.

From now on we assume, the base  $A$  in a relative CW-complex  $X, A$  is Hausdorff. Then  $X$  is also Hausdorff.

### Theorem 1.11

Let  $X, A$  be a relative CW-complex.

1. The closure of every open  $n$ -cell (= path component of  $X_n \setminus X_{n-1}$ ) is compact.
2. Let  $\chi: D^n \rightarrow X$  be a characteristic map for some  $n$ -cell, then the image  $\chi(D^n)$  is the closure of the open cell  $\chi(\mathring{D}^n)$
3. Let  $U$  be a subset of  $X$  s.t.  $A \subseteq U$ . Suppose that the intersection of  $U$  with the closure of every cell is closed. Then  $U$  is closed in  $X$ .

**Warning.** The closure of a cell is not necessary a closed cell. See for example the minimal CW-structure on  $S^2$ . The closure of the open 2-cell  $S^2 \setminus \{z\}$  is  $S^2 \neq D^2$ .

*Proof.*

1. By definition every open  $n$ -cells admits a characteristic map  $\chi: D^n \rightarrow X_n$  continuous s.t.  $\chi|_{\mathring{D}^n}$  is a homeomorphism onto the open cell. Then

$$\chi(D^n) \subseteq \text{closure of } \chi(\mathring{D}^n)$$

and as  $D^n$  is compact, and  $X$  is Hausdorff,  $\chi(D^n)$  is closed, so  $\chi(D^n) = \text{closure of } \chi(\mathring{D}^n)$ . As  $D^n$  is compact, this is also.

2. Already contained in 1.

3. Let  $U \subseteq X$  be as in 2. It suffices to show that  $U \cap X_n$  is closed in  $X_n$  for all  $n \geq 0$  (weak topology). We argue by induction on  $n$ .

$n = -1$   $U \cap X_{-1} = U \cap A = A$  closed in  $A = X_{-1}$ .

$n \geq 0$  We choose a homeomorphism  $\psi: X_n \cong X_{n-1} \cup_{J \times \partial D^n} J \times D^n$  that is the identity on  $X_{n-1}$ . We let

$$p: X_{n-1} \amalg J \times D^n \rightarrow X_{n-1} \cup_{J \times \partial D^n} J \times D^n \cong X_n$$

be the quotient map. Then

$$p^{-1}(U \cap X_n) = \underbrace{(U \cap X_{n-1})}_{\text{closed by induction}} \amalg \coprod_{j \in J} \underbrace{p^{-1}(U \cap \text{closure of } j\text{-th } n\text{-cell})}_{\text{closed by hypothesis}}$$

This is closed as a subspace of  $X_{n-1} \amalg J \times D^n$  and hence  $U \cap X_n$  is closed in  $X_n$

□

## 1.2 CW-subcomplexes

**Proposition 1.12.** *Let  $A$  be a Hausdorff-space,  $X = A \cup_f J \times D^n$  obtained from  $A$  by attaching  $n$ -cells. Let  $Y \subseteq X$  be a subspace, such that*

- $Y \cap A$  is closed in  $A$
- $Y$  can be obtained from  $A \cap Y$  by attaching  $n$ -cells.
- $Y \cap (J \times \mathring{D}^n)$  is a union of path components of  $J \times \mathring{D}^n$ .

Then  $Y$  is closed in  $X$ .

*Proof. Claim.* If  $Y \cap \{j\} \times \mathring{D}^n \neq \emptyset$  ( $\Leftrightarrow j \times \mathring{D}^n \subseteq Y$ ). Then  $Y$  contains the closure of  $j \times \mathring{D}^n$  in  $X$ . (= the closure of this cell).

*Proof.*  $Y$  can be obtained from  $Y \cap A$  by attaching  $n$ -cells and  $Y \setminus (Y \cap A)$  is a union of some of the open cells of  $X \setminus A = J \times \mathring{D}^n$ . Let  $\chi: D^n \rightarrow Y$  be a characteristic map for the attaching of the  $j$ -th  $n$ -cell to  $Y$ .  $\chi(\mathring{D}^n) = j \times \mathring{D}^n$ . Since  $D^n$  is compact,  $f(D^n)$  is quasicompact, and hence closed in  $X$  since  $X$  is Hausdorff. Then

$$j \times \mathring{D}^n = \text{closed in } X \subseteq \chi(D^n) \subseteq Y \subseteq X$$

and the closure of  $\chi \mathring{D}^n = j \times \mathring{D}^n$  is in  $\chi(D^n)$  and hence in  $Y$ .

□

We let

$$p: A \amalg J \times D^n \rightarrow A \cup_f J \times D^n \cong X$$

be the quotient map. Then

$$p^{-1}(Y) = (Y \cap A) \amalg \coprod_{\substack{j \in J \\ Y \cap (j \times \mathring{D}^n) \neq \emptyset}} j \times D^n \amalg \coprod_{\substack{j \in J \\ Y \cap (j \times \mathring{D}^n) = \emptyset}} p^{-1}(Y \cap A) \cap (j \times D^n)$$

So  $Y$  is closed in  $X$ .

□

### Theorem 1.13

Let  $(X, A)$  be a relative CW-complex and  $Y$  a closed subspace of  $X$  with  $A \subseteq Y$ . Suppose that for all  $n \geq 0$ ,  $Y \cap X_n \setminus X_{n-1}$  is a disjoint union of path components of  $X_n \setminus X_{n-1}$ . Then  $(Y, A)$  is a relative CW-complex with respect to the induced filtration, i.e.

$$A = Y_{-1} \subseteq Y_0 = (X_0 \cap Y) \subseteq Y_1 = X_1 \cap Y \subseteq \cdots \subseteq Y_n = X_n \cap Y \subseteq \cdots$$

*Proof.*

1.  $Y_n$  can be obtained from  $Y_{n-1}$  by attaching  $n$ -cells. Let

$$I = \{j \in J : Y \cap (j \times \mathring{D}^n) \neq \emptyset\} = \{j \in J : j \times \mathring{D}^n \subseteq Y\}.$$

Let  $\chi_j : D^n \rightarrow X_n \subseteq X$  be a characteristic map for the  $j$ -th  $n$ -cell of  $X$ . If  $j \in I$ , then

$$\chi(D^n) = \text{closure of } \chi(\mathring{D}^n)$$

and since  $Y$  is closed, this is a closed subspace of  $Y$ . So we can (and will) consider  $\chi$  as a map with target  $Y \cap X_n = Y_n$ . We get a continuous map

$$\psi : Y_{n-1} \cup_{I \times \partial D^n} I \times D^n \rightarrow Y_n$$

(induced by  $\coprod_{j \in I} \chi_j$ ), which is bijective because source and target are - as sets - both the disjoint union of  $Y_{n-1}$  and  $I \times \mathring{D}^n$ . We argue, that  $\psi$  is a closed map and hence a homeomorphism. See

$$\begin{array}{ccc} Y_{n-1} \amalg I \times D^n & \xhookrightarrow{\quad} & X_{n-1} \amalg J \times D^n \\ \downarrow q & & \downarrow p \\ Y_{n-1} \cup_{I \times \partial D^n} I \times D^n & \xrightarrow{\psi} & Y_n \quad \subseteq \quad X_n \end{array}$$

Let  $B \subseteq Y_{n-1} \cup_{I \times \partial D^n} I \times D^n$  be a closed subset, where  $f_j : \partial D^n \rightarrow X_{n-1}$  is the attaching map for the  $j$ -th  $n$ -cell i.e.  $f_j = \chi_j|_{\partial D^n}$ . Then

$$p^{-1}(\psi(B)) = X_n \amalg I \times D^n \amalg \coprod_{q^{-1}(B)} j \times f_j^{-1}(B \cap X_{n-1})$$

With  $f_j = \chi_j|_{\partial D^n} : \partial D^n \rightarrow X_{n-1}$ . As all these are closed,  $p^{-1}(\psi(B))$  is closed. Hence  $\psi(B)$  is closed in  $X_n$  and also in  $Y_n$ .

2.  $Y$  has the weak topology with respect to

$$Y = Y \cap X = Y \cap \left( \bigcup_{n \geq 0} X_n \right) = \bigcup_{n \geq 0} (Y \cap X_n) = \bigcup_{n \geq 0} Y_n.$$

Let  $B \subseteq Y$  be a subset such that for all  $n \geq 0$ ,  $B \cap Y_n$  is closed in  $Y_n$ . Since  $Y$  is closed in  $X$ ,  $Y_n$  is closed in  $X_n$ , so  $B \cap Y_n$  is closed in  $X_n$ . Since  $X$  has the weak topology,  $B$  is closed in  $X$ , hence also in  $Y$ .

□

#### Definition 1.14

A CW-subcomplex of a relative CW-complex  $(X, A)$  is a closed subspace  $Y$  of  $X$ , such that  $A \subseteq Y$  and for all  $n \geq 0$   $Y \cap (X_n \setminus X_{n-1})$  is a union of path components of  $X_n \setminus X_{n-1}$ .

**Note.** Let  $(Y, A)$  be a CW-subcomplex of  $(X, A)$ . Then  $(Y, A)$  is a relative CW-complex with respect to the induced filtration.

**Theorem 1.15**

Let  $(X, A)$  be a relative CW-complex.

1. The closure of every cell is contained in a finite subcomplex.
2. Every compact subset of  $X$  is contained in a finite subcomplex of  $X$ .

**Remark.** The Historically first definition of CW-complexes (J.H.C. Whitehead). A CW-complex is a space  $X$  equipped with a decomposition  $X = \bigcup_{n \geq 0, i \in J_n} e_i^n$ , such that

1.  $e_i^n$  is homeomorphic to  $\mathring{D}^n$ .
2. The closure of  $e_i^n$  is contained in the union of finitely many  $e_j^m$ -s („closure finite“).
3. a subset  $Y$  of  $X$  is closed iff  $Y \cap \overline{e_i^n}$  is closed for all  $e_i^n$ . then called weak topology.<sup>5</sup>

*Proof.* Since the closure of every cell is compact, 1 is a special case of 2.

Let  $K$  be a compact subset of  $X$ . **Claim** There is an  $n \geq 0$ , such that  $K \subseteq X_n$ .

*Proof by contradiction.* If  $K \not\subseteq X_n$  for all  $n \geq 0$ . Then we can choose points in  $K$   $x_1, x_2, x_3, \dots \in K$ , such that  $x_i \in X_{n_i} \setminus X_{n_i-1}$  for some  $n_1 < n_2 < n_3 < \dots$ . Set  $D := \{x_1, x_2, x_3, \dots\}$ .

**Subclaim.** Every subset of  $D$  is closed in  $X$ . Let  $S \subseteq D$  be any subset. Thus for all  $n \geq 0$   $S \cap X_n$  is finite, hence closed in  $X$  (Hausdorff). In particular,  $D$  is Closed in  $X$  and contained in  $K$  hence compact. But  $D$  has discrete topology and  $D$  is infinite. Contradiction.  $\square$

Now we assume that the compact subset  $K$  is contained in  $X_n$ . We argue by induction over  $n$ .

$n = -1$  If  $K$  is contained in  $A$ , then  $A, A$  is a finite CW complex.

$n \geq 0$  We choose a representatoin  $X_n \cong X_{n-1} \cup_{J \times \partial D^n} J \times D^n$  We showed earlier, that  $K$  only meets finitely many of the  $n$ -cells in the interior. Set

$$I = \{j \in J : K \cap (j \times \mathring{D}^n) \neq \emptyset\}$$

a finite subset of  $J$ . Set

$$L := K \cup \bigcup_{j \in I} \underbrace{(\text{closure of } j\text{-th } n\text{-cell})}_{\text{compact}}$$

Note that  $L$  is compact. Since  $X_{n-1}$  is closed in  $X$ ,  $L \cap X_{n-1}$  is closed in  $X_{n-1}$ , and hence compact. So by induction,  $L \cap X_{n-1}$  is contained in some finite CW-subcomplex of  $(X_{n-1}, A)$ . Then  $K$  is contained in  $Y \cup_{I \times \partial D^n} I \times D^n$ , another finite subcomplex of  $(X, A)$ .  $\square$

### 1.3 Cellular approximation theorem

We will formulate the cellular approximation theorem and spend some time to prove it.

<sup>5</sup>The equivalence of this definition to ours will be shown later.

**Definition 1.16**

Let  $(X, A)$  and  $(Y, B)$  be relative CW-complexes. Let  $f: X \rightarrow Y$  be a continuous map, such that  $f(A) \subseteq B$ . The map  $f$  is *cellular* if  $f(X_n) \subseteq Y_n$  for all  $n \geq 0$ .

**Theorem 1.17: Cellular approximation**

Let  $(X, A)$ ,  $(Y, B)$  be relative CW-complexes, and  $f: X \rightarrow Y$  continuous with  $f(A) \subseteq B$ . Then  $f$  is homotopic, relative  $A$ , to a cellular map.

**Reminder.** „relatively homotopic“ means, there is a homotopy  $H: X \times [0, 1] \rightarrow Y$ , such that  $f = H(\_, 0): X \rightarrow Y$ ,  $H(\_, 1): X \rightarrow Y$  is cellular,  $H(a, t) = f(a)$  for all  $a \in A, t \in [0, 1]$ .

**Example 1.18.** Consider a minimal CW-structure on  $S^n$ , i.e. one 0-cell and one  $n$ -cell.  $A = X_{-1} = \{z\} = X_0 = \dots = X_{n-1} \subseteq X_n = S^n$ . Suppose that  $m < n$ , give  $S^m$  a minimal CW-structure. Let  $f: S^m \rightarrow S^n$  be continuous. Take  $z := f(x)$

CAT gives  $f$  is homotopic to a constant map!

We can say  $\pi_m(S^n, z) = \{0\}$  for  $m \leq n$

*Proof of CAT.* We start by proving a special case:

**Theorem 1.19**

Let  $Y = B \cup_{\partial D^n} D^n$ . Then for all  $m < n$ , every continuous map  $f: D^m \rightarrow Y$  with  $f(\partial D^m) \subseteq B$ , is homotopic relative  $\partial D^m$  to a map with image in  $B$ .

*Proof.* By induction on  $n$ .

For  $n = 1$ ,  $m = 0$ ,  $D^0 = \{x\}$ ,  $\partial D^0 = \emptyset$ .

$$f: \{x\} \rightarrow B \cup_{\partial D^1} D^1$$

is homotopic to a map with image in  $B$  because  $D^1$  is path connected.

Now let  $n \geq 2$  and assume the special case for all smaller values of  $n$ .

**Fact 1** For all  $p < n - 1$ , every continuous map  $S^p \rightarrow S^{n-1}$  is homotopic to a constant map.

*Proof.* By the inductive hypothesis, the composite

$$D^p \rightarrow D^p / S^{p-1} \cong S^p \xrightarrow{f} S^{n-1} \cong \{z\} \cup_{\partial D^{n-1}} D^{n-1}$$

with  $z := f(\partial D^p)$  is homotopic, relative  $\partial D^p$ , to a constant map with value  $\{z\}$ . Let  $H: D^p \times [0, 1] \rightarrow S^{n-1}$  be such a homotopy. This descends to a map

$$\begin{array}{ccc} D^p \times [0, 1] & \xrightarrow{H} & S^{n-1} \\ \downarrow p & \nearrow & \\ D^p / \partial D^p \times [0, 1] \cong S^p \times [0, 1] & & \end{array}$$

which is again continuous. □

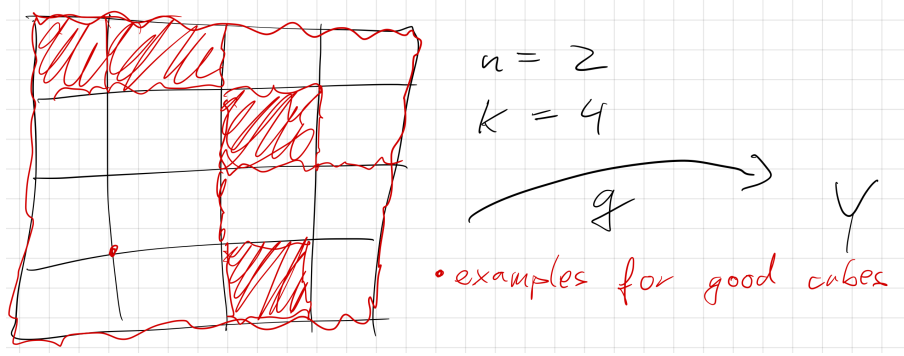


Figure 6: examples for good/bad cubes.

**Fact 2** For  $p < n - 1$ , every continuous map

$$h = (h_1, h_2): S^p \rightarrow S^{n-1} \times (a, b)$$

with  $a < b \in \mathbb{R}$ . is homotopic to a constant map.

*Proof.* Let  $H_1: S^p \times [0, 1] \rightarrow S^{n-1}$  be a homotopy of  $h_1$  to a constant map (Fact 1). Let  $H_2: S^p \times [0, 1] \rightarrow (a, b)$  be a linear homotopy from  $h_2$  to some constant map. Then  $H = (H_1, H_2): S^p \times [0, 1] \rightarrow S^{n-1} \times (a, b)$  is the desired homotopy.  $\square$

**Fact 3** For  $q < n$ , every continuous map  $h: \partial D^q \rightarrow S^{n-1} \times (a, b)$  admits a continuous extension to  $D^q$ .

*Proof.* The map  $\partial D^q \times [0, 1] \rightarrow D^q$ ,  $(x, t) \mapsto x \cdot t$  is a quotient map. Let  $p = q - 1$ .  $\partial D^q = S^p$ , we let  $H: \partial D^q \rightarrow S^{n-1} \times (a, b)$  be a homotopy from a constant map as in Fact 2.

$$\begin{array}{ccc} \partial D^q \times [0, 1] & \xrightarrow{H} & S^{n-1} \times (a, b) \\ (x, t) \mapsto x \cdot t \downarrow & \nearrow \bar{H} & \\ D^q & & \end{array}$$

So there is a continuous map  $\bar{H}: D^q \rightarrow S^{n-1} \times (a, b)$  with the desired property.  $\square$

**Inductive Step.** Let  $m < n$  and  $f: D^m \rightarrow Y = B \cup_{\partial D^n} D^n$ , such that  $f(\partial D^m) \subseteq B$ . We define two open subsets of  $Y$ .

$$U = \{x \in D^n : |x| < 2/3\}, \quad V = B \cup_{\partial D^n} \{x \in D^n : |x| > 1/3\}$$

Note that  $U \cap V \cong \partial D^n \times (1/3, 2/3)$ . Fact 3 gives: Every continuous map  $\partial D^q \rightarrow U \cap V$  admits a continuous extension to  $D^q$  for  $q < n$ .

We replace the pair  $(D^m, \partial D^m)$  by the homeomorphic pair  $[0, 1]^m, \partial([0, 1]^m)$ . Let

$$g: [0, 1]^m \rightarrow B \cup_{\partial D^n} D^n = U \cup V, \text{ such that } g(\partial([0, 1]^m)) \subseteq B$$

Then  $g^{-1}(U), g^{-1}(V)$  is an open cover of the compact metric space  $[0, 1]^m$ , so by Lebesgue's Lemma there is an  $\varepsilon > 0$ , such that every  $\varepsilon$ -ball in  $[0, 1]^m$  is contained in  $g^{-1}(U)$  or in  $g^{-1}(V)$ . So we can subdivide  $[0, 1]^m$  into sufficiently small equally sized and equally spaced subcubes, such that each subcube maps wholly  $U$  or to  $V$  by  $g$ . We need to consider all vertices, edges, squares,  $\dots$ ,  $(m-1)$ -cubes and  $m$ -cubes. Let  $W$  be any such  $p$ -cube. We call  $W$

**Good** if  $g(W) \subseteq V$ .

**Bad** if  $g(W) \not\subseteq V$

Note, that

- if  $W$  is bad, then  $g(W) \subseteq U$ .
- every face of a good cube is good.
- every cube contained in  $\partial([0, 1]^m)$  is good.

See figure 6 for an example.

Let  $\Gamma$  be the union of all good cubes of all dimension.  $\Gamma \subseteq [0, 1]^m$ . We define

$$\begin{aligned} K^{-1} &= \Gamma = \text{all good cubes} \\ K^0 &= K^{-1} \cup \text{bad 0-cubes} \\ K^1 &= K^0 \cup \text{bad 1-cubes} \\ &\vdots \\ K^m &= [0, 1]^m \end{aligned}$$

By induction on  $p$  we will construct continuous maps

$$g_p: K^p \rightarrow Y = B \cup_{\partial D^n} D^n = U \cup V.$$

such that:

- $g_p|_{K^{p-1}} = g_{p-1}$
- if  $W$  is a bad cube, then  $g_p(W) \subseteq U \cap V$ .

Start:  $g_{-1} = g|_{\Gamma}: \Gamma = K^{-1} \rightarrow Y$ .

Suppose, that  $g_{-1}, g_0, \dots, g_{p-1}$  have already been constructed.

**Claim.** If  $W$  is a bad  $p$ -cube, then  $g_{p-1} \subseteq U \cap V$ .

*Proof.* Let  $W'$  be a  $q$ -cube in  $\partial W$ , so  $q < p$ . If  $W'$  is good, then

$$g_{p-1}(W') = g(W') \subseteq V$$

But also

$$g_{p-1}(W') = g(W') \subseteq g(W) \subseteq U$$

.

If  $W'$  is bad, then  $g_{p-1}(W') \subseteq U \cap W$  by induction hypothesis. □

Fact 3 implies, that  $g_{p-1}|_{\partial W}: \partial W \rightarrow U \cap V \cong \partial D^n \times (1/3, 2/3)$  admits a continuous extension to  $W$ . We choose such a continuous extension for every bad  $p$ -cube and then define

$$g_p: K^p = K^{p-1} \cup \text{bad } p\text{-cubes} \rightarrow Y \quad \text{as } g_{p-1} \cup \text{chosen extensions.}$$

This completes the inductive construction of the maps  $g_p: K^p \rightarrow Y$ .

**Claim.**  $g_m$  and  $g$  are homotopic relative  $\partial[0, 1]^m$ .

*Proof.* We show that  $g$  and  $g_m$  are even homotopic relative to  $\Gamma = K^{-1} \supset \partial([0, 1]^m)$ .

We write  $C$  for the union of all bad cubes. Then  $[0, 1]^m = C \cup \Gamma$ . Then  $g(C) \subseteq U$  and  $g_m(C) \subseteq U \cap V \subseteq U$ . So we can consider the restrictions of both  $g$  and  $g_m$  to  $C$  as continuous maps

$$g_m|_C, g|_C: C \rightarrow U \cong R^n$$



We can use the linear homotopy between  $g_m$  and  $g$ . This linear homotopy has the additional property, that it is constant on all points, where  $g$  and  $g_m$  agree. In particular, the homotopy is constant on  $C \cap \Gamma$ . So the linear homotopy on  $C$  and the constant homotopy on  $\Gamma$ , patch together to a homotopy between  $g_m$  and  $g$ , that is moreover constant on  $\Gamma$ , hence also constant on  $\partial([0, 1]^m)$ .  $\square$

End of the inductive step: We have constructed a homotopy relative to  $\partial([0, 1]^m)$  from  $g$  to  $g_m$ , which has image in  $V$ .  $V$  deformation retracts onto  $B$ . Following  $g_m$  with such a deformation retraction, is a relative homotopy from  $g_m$  to a map with image in  $B$ .  $\square$

### Theorem 1.20

Let  $(Y, B)$  be a relative CW-complex, and let  $f: D^m \rightarrow Y$  be a continuous map, such that  $f(\partial D^m) \subseteq B$ . Then  $f$  is homotopic, relative  $\partial D^m$  to a map with image in  $Y_m$ .

*Proof. Special case.*  $(Y, Y_m)$  is a finite relative CW-complex. We argue by induction on the number of relative cells of  $(Y, Y_m)$ .

Start:  $Y = Y_m$  is trivial.

Otherwise, choose a cell of  $Y$  of top dimension  $n$ . Then  $m < n$ . We choose

$$Y' = B \cup \text{all cells of } Y \text{ except for the chosen } n\text{-cell}$$

Then  $(Y', B)$  is a relative CW-complex. Hence  $(Y', Y_m)$  is a relatively finite CW-complex with one cell less than  $(Y, Y_m)$ .  $Y = Y' \cup_{\partial D^n} D^n$ . By the previous theorem applied to  $(Y, Y')$ , the map  $f$  is homotopic relative  $\partial D^m$  to a map  $g': D^m \rightarrow Y$  with image in  $Y'$ . By induction  $g'$  is homotopic relative  $\partial D^m$  to a map  $g'': D^m \rightarrow Y'$  with image in  $Y_m$ .  $g''$  is the desired map.

**General case**  $f(D^m)$  is a compact subset of  $Y$ , and hence contained in some finite subcomplex  $(\bar{Y}, B)$  of  $(Y, B)$ . Apply the special case to  $f$ , considered as a map into  $\bar{Y}$ .  $\square$

### Theorem 1.21

Let  $X$  be obtained from  $A$  by attaching (arbitrarily many)  $n$ -cells. Let  $(Y, B)$  be a relative CW-complex. Let  $f: X \rightarrow Y$  be a continuous map with  $f(A) \subseteq B$ . Then  $f$  is homotopic, relative  $A$  to a map with image in  $Y_m$ .

*Proof.* We may assume  $X = A \cup_{J \times \partial D^m} J \times D^m$  for some attaching map  $J \times \partial D^m \rightarrow A$ . For  $j \in J$  we define  $f_j: D^m \rightarrow Y$  as the composite

$$\begin{aligned} D^m &\rightarrow X = A \cup_{J \times \partial D^m} J \times D^m \xrightarrow{f} Y \\ x &\mapsto (j, x) \end{aligned}$$

This satisfies  $f_j(\partial D^m) \subseteq f(A) \subseteq B$ . The previous special case provides a homotopy  $H_j: D^m \times [0, 1] \rightarrow Y$  relative  $\partial D^m$ , from  $f_j$  to a map with image in  $Y_m$ . We „glue“ the

homotopies and the constant homotopy on  $A$  to a homotopy on  $X$ , i.e.

$$\begin{array}{ccc}
 A \times [0, 1] \amalg J \times D^n \times [0, 1] & \xrightarrow{(\text{const} \rightarrow f|_A) \amalg \coprod_{j \in J} H_j} & Y \\
 \downarrow p \times [0, 1] & \nearrow \bar{H} & \\
 X \times [0, 1] = (A \cup_{J \times \partial D^m} J \times D^m) \times [0, 1] & & 
 \end{array}$$

where  $p: A \amalg J \times D^n \rightarrow X$  is the quotient map.  $\bar{H}$  is continuous by the quotient property of  $p \times [0, 1]$ .  $\bar{H}$  is the desired homotopy. That  $p \times [0, 1]$  is a quotient map will be shown later.  $\square$

### Definition 1.22: A

continuous map  $f: X \rightarrow Y$  is a *quotient map* if it is surjective and  $U \subseteq Y$  is open if and only if  $f^{-1}(U)$  is open

Equivalently: the induced map  $X / \sim_f \xrightarrow{\cong} Y$  is a homeomorphism, where  $x \sim_f x' \Leftrightarrow f(x) = f(x')$ .

In general, if  $f: X \rightarrow Y$  is a quotient map, then  $f \times Z: X \times Z \rightarrow Y \times Z$  is continuous and surjective, but not necessarily a quotient map!

The next steps will be

- If  $Z$  is locally compact, then  $\times Z$  preserves quotient maps.
- Suppose  $f: X \rightarrow Y$  is cellular up to level  $m - 1$ , i.e.  $f(X_k) \subseteq Y_k$  for  $k = -1, 0, 1, \dots, X_{m-1}$ , then apply the previous special case to  $f|_{X_m}: (X_m, X_{m-1}) \rightarrow (Y, Y_{m-1})$  makes  $f|_{X_m}$  homotopic to a cellular map.
- Looking at the *Homotopy Extension property*, which some spaces have, allowing to extend a homotopy from a subspace of it to the whole space.
- A limit argument to finish the proof.

### Definition 1.23

A space  $X$  is *locally compact*, if every neighborhood of any point of  $X$  contains a compact neighborhood of that point.

### Lemma 1.24

Let  $X$  be a space, such that every point has a compact neighborhood. Then  $X$  is locally compact. In particular, compact spaces are locally compact.

**Example 1.25.**  $\mathbb{R}^n$  is locally compact, but not compact.

*Proof.* Let  $U$  be a neighborhood of  $x \in X$  in  $X$ . Then there is a open set  $U'$  of  $X$  with  $x \in U' \subseteq U \subseteq X$ . Let  $K$  be a compact neighborhood of  $x$  in  $X$ . Then  $K \setminus U'$  and  $\{x\}$  are disjoint closed subsets of the compact space  $K$ . Compact spaces are normal, so there are relatively open subsets  $W_1$  and  $W_2$  of  $K$ , such that  $x \in W_1 \subseteq K$  and  $K \setminus U' \subseteq W_2 \subseteq K$  and  $W_1 \cap W_2 = \emptyset$ .

Then  $K \setminus W_2$  is closed in  $K$  and hence compact. Since  $W_1$  is a neighborhood of  $x$  in  $K$  and  $K$  is a neighborhood of  $x$  in  $X$ ,  $W_1$  is a neighborhood of  $x$  in  $X$ . So

$$x \in W_1 \subseteq K \setminus W_2 \subseteq U \subseteq X.$$

□

### Lemma 1.26: Slice lemma

Let  $X$  and  $Y$  be spaces and  $K$  a compact subset of  $Y$ . Let  $x \in X$  and let  $W$  be an open subset of  $X \times Y$ , such that  $\{x\} \times K \subseteq W$ . Then there is an open subset  $V$  of  $X$ , such that  $x \in V$  and  $V \times K \subseteq W$ .

This was proven in GeoTopo.

### Theorem 1.27

Let  $f: X \rightarrow Y$  be a quotient map. Then for every locally compact space  $Z$ , the map

$$f \times Z: X \times Z \rightarrow Y \times Z$$

is a quotient map.

*Proof.*  $f \times Z$  is continuous and surjective. We must show: Let  $B \subseteq Y \times Z$  such that  $f^{-1}(B)$  is open in  $X \times Z$ , then  $B$  is open in  $Y \times Z$ .

We consider any point  $(y, z) \in B$ . We choose some  $x \in X$ , such that  $f(x) = y$ . Then  $(x, z) \in f^{-1}(B)$ . We define

$$\begin{aligned} A &:= \{\bar{z} \in Z : (y, \bar{z}) \in B\} = \{\bar{z} \in Z : (x, \bar{z}) \in f^{-1}(B)\} \\ &= \text{preimage of } B \text{ under the continuous map } Z \xrightarrow{(y, \_)} Y \times Z \end{aligned}$$

$A$  is open in  $Z$ . Since  $Z$  is locally compact, there is a compact neighborhood  $K$  of  $z$  inside  $A$ .

$$z \in K \subseteq A \subseteq Z$$

In particular,  $\{y\} \times K \subseteq B$ . We define  $U := \{\bar{y} \in Y : \{\bar{y}\} \times K \subseteq B\}$ . Then  $y \in U$ .

**Claim**  $U$  is open in  $Y$ .

*Proof.* Since  $f: X \rightarrow Y$  is a quotient map, it suffices to show that

$$f^{-1}(U) = \{\bar{x} \in X : \{\bar{x}\} \times K \subseteq (f \times Z)^{-1}(B)\}$$

is open in  $X$ .

Since  $\bar{x} \in f^{-1}(U)$  there is an open subset  $V$  of  $\bar{x}$  in  $X$  with  $V \times K \subseteq (f \times Z)^{-1}(U)$  (Slice Lemma!). Hence  $\bar{x} \in V \subseteq f^{-1}(U)$  so  $f^{-1}(U)$  is open in  $X$ , hence  $U$  is open in  $Y$ . □

Consider: Given  $(y, z) \in B$  we found  $(y, z) \in U \times K \subseteq B$  with  $U$  open and  $K$  a neighborhood of  $z$ . So  $B$  is indeed open. □

**Corollary 1.28.** Let  $X = A \cup_{J \times D^n} J \times D^n$  be obtained from  $A$  by attaching  $n$ -cells. Then for every locally compact space  $Z$ , the map  $(A \times Z) \amalg (J \times D^n \times Z) \rightarrow (A \cup_{J \times \partial D^n} J \times D^n) \times Z = X \times Z$  is a quotient map.

*Proof.* The map  $f$  is the composite

$$A \times Z) \amalg (J \times D^n \times Z) \cong (A \amalg J \times D^n) \times Z \rightarrow X \times Z$$

Products commutes with disjoint unions.  $\square$

**Corollary 1.29.** *Let  $(X, A)$  be a relative CW-complex and  $Z$  a locally compact space. Then for any  $O \subseteq X \times Z$ , the following are equivalent:*

1. *The set  $O$  is open in  $X \times Z$ .*
2. *For every  $n \geq -1$ ,  $O \cap (X_n \times Z)$  is open in  $X_n \times Z$*
3. *For every finite subcomplex  $(Y, A)$  of  $X$ ,  $O \cap (Y \times Z)$  is open in  $Y \times Z$ .*

*Proof.*

1.  $\implies$  2., 1.  $\implies$  3. by subspace topology.

2.  $\implies$  1. We define

$$\bar{X} = X_{-1} \amalg X_0 \amalg X_1 \amalg \cdots \amalg X_n \amalg \cdots$$

Let  $\bar{f}: \bar{X} \rightarrow X$  be the inclusion on all  $X_m$ .  $\bar{f}$  is a quotient map by the weak topology. By the theorem,  $\bar{f} \times Z: \bar{X} \times Z \rightarrow X \times Z$  is a quotient map. Hence also  $\coprod_{n \geq 1} (X_n \times Z) \rightarrow X \times Z$  is a quotient map.

3.  $\implies$  1. Recall from the previous class: Let  $(X, A)$  be a relative CW-complex, let  $U \subseteq X$ , such that

- $U \cap A$  is closed in  $A$
- $U$  intersected with the closure of every cell is closed.

Then  $U$  is closed.

**Proposition 1.30.** *Let  $(X, A)$  be a relative CW-complex. Then the tautological map*

$$\coprod_{(Y,A) \text{ finite CW-subcomplex of } (X,A)} Y \rightarrow X$$

*is a quotient map.*

*Proof.* Every point of  $X$  is either contained in  $A$  or some open cell of  $(X, A)$ . Since  $(A, A)$  is finite, and the closure of every cell is contained in a finite subcomplex, the map is surjective. Let  $U \subseteq X$  be such that  $q^{-1}(U)$  is closed. Then  $U \cap Y$  is closed in  $Y$  for every finite subcomplex  $(Y, A)$  of  $(X, A)$ . This includes  $(A, A)$ , so  $U \cap A$  is closed in  $A$ . The closure  $\bar{e}_j$  of a cell  $e_j$  is contained in some finite subcomplex  $(Y, A)$ , since  $U \cap Y$  is closed in  $Y$ , also  $U \cap \bar{e}_j$  is closed in  $\bar{e}_j$ . Hence  $U$  is closed in  $X$ .  $\square$

Let  $O \subseteq X \times Z$  be such that  $O \cap (Y \times Z)$  is open in  $Y \times Z$  for all finite subcomplexes  $(Y, A)$  of  $X$ . Then  $B = (X \times Z) \setminus O$  has the property that  $B \cap (Y \times Z)$  is closed in  $Y \times Z$  for every finite subcomplex  $(Y, A)$  of  $(X, A)$ . Since  $Z$  is locally compact, product with  $Z$  preserves quotient maps, so

$$\begin{array}{ccc} (\coprod_{(Y,A)} Y) \times Z & \cong & \coprod_{(Y,A)} (Y \times Z) \\ & \searrow q \times Z & \swarrow \\ & X \times Z & \end{array}$$

□

**Corollary 1.31.** *Let  $(X, A)$  be a relative CW-complex, and  $Z$  a locally compact space. Let  $f: X \times Z \rightarrow Y$  be any map. Then the following are equivalent:*

1.  *$f$  is continuous.*
2. *For all  $n \geq -1$ , the map  $f|_{X_n \times Z}: X_n \times Z \rightarrow Y$  is continuous.*

*Proof.*  $X \times Z$  has the weak topology of the filtration  $\{X_n \times Z\}_{n \geq -1}$  because

$$\coprod_{n \geq 1} X_n \times Z \rightarrow X \times Z$$

is a quotient map. □

### 1.3.1 Homotopy extension property

#### Definition 1.32

Let  $X$  be a space and  $A$  a subspace of  $X$ . Then  $(X, A)$  has the *homotopy extension property*, if the following holds: let  $f: X \rightarrow Y$  be a continuous map and let  $H: A \times [0, 1] \rightarrow Y$  be a homotopy starting with  $f|_A$ , i.e. for all  $a \in A$ ,  $H(a, 0) = f(a)$ . Then there is a homotopy

$$\bar{H}: X \times [0, 1] \rightarrow Y$$

starting with  $f$  and extending  $H$ , i.e.

- for all  $x \in X$ ,  $\bar{H}(x, 0) = f(x)$
- for all  $(a, t) \in A \times [0, 1]$ ,  $\bar{H}(a, t) = H(a, t)$ .

#### Lemma 1.33

A pair  $(X, A)$  has the HEP if and only if for every continuous map  $g: X \cup_A A \times [0, 1] \rightarrow Y$ , there is a continuous extension to  $X \times [0, 1] \rightarrow Y$ . Here

$$X \cup_A A \times [0, 1] := (X \amalg A \times [0, 1]) / \sim$$

with  $a \sim (a, 0)$  for all  $a \in A$ .

**Warning.**

$$X \cup_A A \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1] \subseteq X \times [0, 1]$$

$x \mapsto (x, 0), (a, t) \mapsto (a, t)$  need not be a homeomorphism.

**Proposition 1.34.** *The ??  $(f, H)$  of a homotopy extension property is equally defined to a continuous map*

*So  $(X, A)$  has the HEP iff  $f \cup_A H$  extends continuously to  $X \times [0, 1]$ .*

**Lemma 1.35: L**

Let  $A$  be a closed subset of  $X$ . Then the tautological map

$$\tau: X \cup_A A \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1]$$

is a homeomorphism.

*Proof.* We know that  $\tau$  is a continuous bijection. We show that  $\tau$  is also a closed map. Let  $B \subseteq X \cup_A A \times [0, 1]$  be a closed subset. Let  $p: X \amalg A \times [0, 1] \rightarrow X \cup_A A \times [0, 1]$  be the quotient map. Then  $p^{-1}(B) \cap X$  is closed in  $X$ , and  $p^{-1}(B) \cap A \times [0, 1]$  is closed in  $A \times [0, 1]$ . Since  $X \times \{0\}$  is closed in  $X \times [0, 1]$ ,  $(p^{-1}(B) \cap X) \times \{0\}$  is closed in  $X \times [0, 1]$  because  $A$  is closed in  $X$ , hence  $A \times [0, 1]$  is closed in  $X \times [0, 1]$ . So  $\tau(B)$  is the union of two closed subsets in  $X \times [0, 1]$ , and continuous in  $X \times \{0\} \cup A \times [0, 1]$  and have ?? in  $X \times \{0\} \cup A \times [0, 1]$ .  $\square$

**Corollary 1.36.** Let  $A$  be a closed subspace of  $X$ . Then  $(X, A)$  has the HEP if and only if the inclusion  $X \times \{0\} \cup A \times [0, 1] \rightarrow X \times [0, 1]$  has a continuous retraction.

*Proof.*  $\Rightarrow$  Apply the HEP to  $f: X \rightarrow X \times \{0\} \cup A \times [0, 1]$  and  $x \mapsto (x, 0)$ .  $H: A \times [0, 1] \rightarrow X \times [0, 1]$  So the HEP gives a continuous map  $\bar{H}: X \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1]$ . that extends  $f$  &  $H$

$\Leftarrow$  Let  $\gamma: X \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1]$  be a continuous retraction. Let  $f: X \rightarrow Y$ ,  $H: A \times [0, 1] \rightarrow Y$  be a homotopy extension problem. Then

$$X \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1] \rightarrow Y$$

Then  $\bar{H} := \gamma$  is a homotopy extension of  $f$  and  $H$ .

$\square$

**Proposition 1.37.** For every  $m \geq 0$ , the pair  $(\partial D^m, D^m)$  has the HEP.

We exhibit a retraction  $r: D^m \times [0, 1] \rightarrow D^m \times \{0\} \cup \partial D^m \times [0, 1]$  to the inclusion. For  $(x, t)$  in  $D^m \times [0, 1]$ , the line through  $(x, t)$  and  $(0, 2)$  meets  $D^m \times 0 \cup \partial D^m \times [0, 1]$  in exactly one point that varies continuously with  $(x, t)$ , this point defines  $r(x, t)$ .

**Proposition 1.38.** Let  $X$  be a space obtained by attaching  $m$ -cells to  $A$ . Then  $(X, A)$  has the HEP.

*Proof.* We construct a continuous retraction to  $X \times \{0\} \cup A \times [0, 1] \rightarrow X \times [0, 1]$ . We let  $r: D^m \times [0, 1] \rightarrow D^m \times \{0\} \cup \partial D^m \times [0, 1]$  be a continuous retraction to the inclusion. We define the retraction  $\rho: X \times [0, 1] \rightarrow X \times \{0\} \cup A \times [0, 1]$  as follows:

$$X \times [0, 1] = (A \cup_{J \times \partial D^m} J \times D^m) \times [0, 1] \leftarrow A \times [0, 1] \cup_{J \times \partial D^m \times [0, 1]} J \times D^m \times [0, 1]$$

arrow down  $A \times [0, 1] \cup X \times \{0\} = A \times [0, 1] \cup_{J \times \partial D^m} J \times D^m \cong A \times [0, 1] \cup_{J \times \partial D^m \times [0, 1]} J \times (D^m \times 0 \cup \partial D^m \times [0, 1])$   $\square$

**Theorem 1.39**

Every relative CW-complex has the HEP.

*Proof.* Let  $(X, A)$  be a relative CW-complex. We construct by induction continuous retractions  $r_m: X_m \times [0, 1] \rightarrow X_m \times 0 \cup A \times [0, 1]$ .

$m = -1$  Nothing to do.

$m \geq 0$  Suppose  $r_{m-1}$  has already been constructed. We define  $r_m$  as the composite  $X_m \times [0, 1] \rightarrow X_m \times \{0\} \cup X_{m-1} \times [0, 1] \rightarrow X_m \times \{0\} \cup (X_{m-1} \times \{0\} \cup A \times [0, 1]) = X_m \times \{0\} \cup A \times [0, 1]$ . First arrow any retraction from previous proposition, second  $\text{Id} \cup r_{m-1}$ .

We now define  $r: X \times [0, 1]$  as the „union“ of the  $r_m$ s, i.e. any  $(x, t) \in X \times [0, 1]$  is contained in  $X_m \times [0, 1]$  for some  $m \geq 0$ . We set  $r(x, t) := r_m(x, t)$ . This is independent of  $m$ , because  $r_{m+1}|_{X_m \times [0, 1]} = r_m$ . Then  $r|_{X_m \times [0, 1]} = r_m$  is continuous for all  $m \geq 0$ . So  $r$  is continuous because  $X \times [0, 1]$  has the weak topology wrt  $\{X_m \times [0, 1]\}_{m \geq 0}$ .

□

**non-example** Let  $X = [-1, 0] \cup \{1/n : n \geq 1\}$ ,  $A = [-1, 0]$ . Claim:  $(X, A)$  does not have the HEP.

Let  $f: X \rightarrow X$  be the identity,  $H: A \times [0, 1] \rightarrow X$  be  $H(a, t) = (1 - t) \cdot a - t$  this is contracting  $[-1, 0]$  onto

$$-1$$

. Suppose there existed a homotopy  $\bar{H}: X \times [0, 1] \rightarrow X$  from the identity that extends  $H$ . Then  $\bar{H}$  would need to be constant on each isolated point  $1/n$ . By continuity  $\bar{H}$  would also have to be the identity on the limit point 0, but  $H$  is not.

Remember 1.17.

We will inductively construct the following data: for  $m \geq -1$ :

- a continuous map  $f_m: X \rightarrow Y$
- Homotopy  $H_m: X \times [0, 1] \rightarrow Y$

such that  $f_m$  is „cellular up to level  $m$ “, i.e.  $f_m(X_k) \subseteq Y_k$  for all  $k = -1, 0, \dots, m$ .  $H_m$  is a homotopy from  $f_{m-1}$  to  $f_m$  relative to  $X_{m-1}$ .

We begin with  $f_{-1} = f$ . For  $m \geq 0$  suppose the previous data has been constructed. By a previous special case of CAT applied to  $(X_m, X_{m-1})$ ,  $(Y, Y_{m-1})$  and  $f_{m-1}|_{X_m}: X_m \rightarrow Y$  we obtain a homotopy

$$H: X_m \times [0, 1] \rightarrow Y$$

relative  $X_{m-1}$  from  $f_{m-1}|_{X_m}$  to some map  $H(\_, 1): X_m \rightarrow Y$  such that  $H(X_m \times \{1\}) \subseteq Y_m$ . The HEP for the pair  $(X, X_m)$  applied to  $f_{m-1}: X \rightarrow Y$  and  $H$  yields a homotopy

$$H_m: X \times [0, 1] \rightarrow Y$$

from  $f_{m-1}$  that extends  $H$ . Then we set  $f_m := H_m(\_, 1): X \rightarrow Y$ . This has the desired properties.

If  $X$  was a finite-dimensional CW-complex we would be done. We now define a homotopy

$H: X \times [0, 1] \rightarrow Y$  by „running through the homotopies  $H_m$  faster and faster.“

$$H(x, t) = \begin{cases} H_0(x, 2t) & 0 \leq t \leq 1/2 \\ H_1(x, 6 \cdot (t - 1/2)) & 1/2 \leq t \leq 2/3 \\ \vdots \\ H_m(x, (m+1)(m+2) \cdot (t - m/(m+1))) & \text{for } m/(m+1) \leq t \leq (m+1)/(m+2) \\ H_m(x, 1) & \text{for } t = 1, x \in X_m \end{cases}$$

This map is continuous on  $X \times [0, 1]$  by the weak topology because it is continuous on  $X_m \times [0, 1]$  for all  $m \geq -1$ .  $\square$

„The product of two CW-complexes „is“ a CW-complex (often)“

**Cells multiply:** There is a homeomorphism  $D^m \times D^n \cong D^{m+n}$  that such  $(\partial D^m) \times D^n \cup D^m \times (\partial D^n)$  homeomorphic onto  $\text{partial}(D^{m+n})$ . picture square = circle

Let  $X$  and  $Y$  be CW-complexes. The conaditate CW-structure on  $X \times Y$  is the *product CW-structure* with skeleta  $(X \times Y)_n = \bigcup_{k=0, \dots, n} X_k \times Y_{n-k}$ .

**Proposition 1.40** (CW-recognition theorem). *Let  $X$  be a Hausdorff space,  $J_k$  a set for all  $k \geq 0$ , and  $q: \coprod_{k \geq 0} J_k \times D^k \rightarrow X$  a continuous map. Suppose that:*

1. *For every  $n \geq 0$ , the restriction of  $q$  to  $J_n \times \mathring{D}^n$  is injective, and the ... set of  $X$  is the disjoint union of  $q(J_n \times \mathring{D}^n)$  for  $n \geq 0$*
2. *For all  $k \geq 0$  and  $j \in J_k$ , the set  $q(j \times \partial D^k)$  is contained in a finite union of sets of the form  $q(i \times D^j)$  for some  $j < k$ ,  $i \in J_j$ .*
3. *A subset  $A \subseteq X$  is closed in  $X$  if and only if  $A \cap q(j \times D^k)$  is closed in  $q(j \times D^k)$  for all  $k \geq 0$ ,  $j \in J_k$ .*

Then setting  $X_n := \bigcup_{0 \leq k \leq n} q(J_k \times D^k)$  defines a CW-structure on  $X$ .

*Proof.* Convenient notation:  $e_j^k := q(j \times \mathring{D}^k)$  for  $k \geq 0$ ,  $j \in J_k$  is the „ $j$ -th open  $k$ -cell“.  $\bar{e}_j^k = \text{closure of } e_j^k = q(j \times D^k)$  „ $j$ -th closed cell“.

We show by induction on  $n$ , that  $X_n$  is closed in  $X$  and  $X_n$  can be obtained from  $X_{n-1}$  by attaching  $n$ -cells indexed by  $J_n$ .

We write  $\alpha_{J_n} \times \partial D^n \rightarrow X_{n-1}$  for the restriction of  $q$ .

$$X_{n-1} \amalg J_n \times D^n \rightarrow X$$

arrow down  $P$  arrow up  $f$   $X_{n-1} \cup_\alpha J \times D^n$  arrow up is continuous and injective with image  $X_n$ .

**Claim.**  $f$  is a closed map. Let  $A \subseteq X_{n-1} \cup_\alpha J \times D^n$  be a closed subset. We want to show, that  $f(A)$  is closed in  $X$ . We use 3. and check that  $f(A) \cap \bar{e}_j^k$  is closed in  $\bar{e}_j^k$  for all  $k \geq 0$ ,  $j \in J_k$ .

**Case 1**  $k < n$ . Then  $\bar{e}_j^k \subseteq X_{n-1}$ . Because  $A$  is closed,  $p^{-1}(A)$  is closed, so  $A \cap X_{n-1}$ , in  $X_{n-1}$  This is closed in  $X$  by induction. So  $f(A) \cap \bar{e}_j^k$  is closed

**Case 2**  $k = n$   $p^{-1}(A) \cap (j \times D^n)$  is closed in  $j \times D^n$ , which is compact. So  $f(A) \cap \bar{e}_j^n$  is the continuous image of a compact set hence compact in  $X$ , hence closed in  $X$ , and in  $\bar{e}_j^n$ .



**Case 3**  $k > n$ . Because  $f(A) \subseteq X_n$ ,  $f(A) \cap \bar{e}_j^k \subseteq q(j \times \partial D^n) \subseteq$  finite union of cells of smaller dimension, each of which are closed in the set by induction. So  $f(A) \cap \bar{e}_j^k$  is closed.

$X$  has the weak topology: Let  $A \subseteq X$  be such that  $A \cap X_n$  is closed in  $X_n$  for all  $n \geq 0$ . Then  $A \cap \bar{e}_j^k$  is closed in  $\bar{e}_j^k$  for all  $k \geq 0$ ,  $j \in J_k$  because  $\bar{e}_j^k \subseteq X_k$ . By 3.  $A$  is closed in  $X$ .  $\square$

**non-example.**  $D^2 = \bigcup_{j \in \partial D^2} \{j\} \cup \mathring{D}^2$  is a union of uncountably many open 0-cells, and one 2-cell.

$q: (\partial D^2)_{\text{discret}} \amalg D^2 \rightarrow D^2$  the tautological map. This does not define a CW-structure on  $D^2$ . The finiteness in 2 fails. Because  $\partial D^2$  is not contained in a finite union of cells of dimension  $\leq 1$ .

### Theorem 1.41

Let  $X, Y$  be CW-complexes such that  $Y$  is locally compact. Then  $(X \times Y)_n := \bigcup_{k \leq n} X_k \times Y_{n-k}$  defines a CW-structure on  $X \times Y$ .

The  $n$ -cells of this product CW-structure biject with pairs of

$$\bigcup_{k=0, \dots, n} (k\text{-cells of } X) \times ((n-k)\text{-cells of } Y)$$

*Proof.* We choose indexing sets and characteristic maps for the given CW-structure on  $X$  and  $Y$ . This yields two quotient maps

$$q: \coprod_{k \geq 0} J_k \times D^k \rightarrow X \quad q': \coprod_{l \geq 0} J'_l \times D^l \rightarrow Y$$

The product yields a continuous map

$$\coprod_{k, l \geq 0} J_k \times J'_l \times D^{k+l} \cong \left( \coprod_{k \geq 0} J_k \times D^k \right) \times \left( \coprod_{l \geq 0} J'_l \times D^l \right) \xrightarrow{q \times q'} X \times Y$$

The composite satisfies condition 1 and 2 of the previous „recognition theorem“ for CW-structures.

**Claim.**  $q \times q'$  is a quotient map.

*Proof.*

$$\left( \coprod_{k \geq 0} J_k \times D^k \right) \times \left( \coprod_{l \geq 0} J'_l \times D^l \right) \xrightarrow{\text{Id} \times q'} \left( \coprod_{k \geq 0} J_k \times D^k \right) \times Y \xrightarrow{q \times Y} X \times Y$$

first: quotient maps because  $\coprod_{k \geq 0} J_k \times D^k$  is disjoint union of compact spaces. second: Quotient map because  $Y$  is locally compact.  $\square$

Condition 3 of recognition theorem: Let  $A \subseteq X \times Y$  be a subset such that  $A \cap \bar{e}_j^k \times \bar{e}_{j'}^l = A \times (\bar{e}_j^k \times \bar{e}_{j'}^l)$  is closed in  $\bar{e}_j^k \times \bar{e}_{j'}^l$  for all  $k \geq 0$ ,  $l \geq 0$ ,  $j \in J_k$ ,  $j' \in J'_l$ . Then  $(q \times q')^{-1}(A) \cap ((j, j') \cap D^k \times D^l) = (q \times q')^{-1}|_{(j, j') \times D^k \times D^l}(A \cap (\bar{e}_j^k \times \bar{e}_{j'}^l))$  is closed. Since  $(q \times q')^{-1}(A)$  is closed and  $q \times q'$  is a quotient map,  $A$  is indeed closed in  $X \times Y$ .  $\square$

## **2 Higher homotopy groups**

## **3 singular homology groups**

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