

UNIVERSITÄT BONN

Notes for the lecture

# Topology II

held by

**Stefan Schwede**

T<sub>E</sub>Xed by

Jan Malmström

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**Corrections and improvements**

If you have corrections or improvements, contact me via ([s94jmalm@uni-bonn.de](mailto:s94jmalm@uni-bonn.de)).

# Contents

<b>Lecture</b>	<b>1</b>
<b>I Cup product and Künneth theorem</b>	<b>2</b>
<b>1 Cup-product</b>	<b>4</b>
1.1 Commutativity of the cup-product . . . . .	7
<b>2 Künneth theorem</b>	<b>12</b>
2.1 The Eilenberg-Zilber-theorem . . . . .	12
2.2 Yoneda Lemma & Acyclic models . . . . .	16
2.3 Revisiting Commutativity of the cup-product . . . . .	21
2.4 Algebraic Künneth theorem. . . . .	22
2.5 Homological Künneth theorem . . . . .	28
2.6 Künneth theorem for cohomology . . . . .	29
2.7 Example calculations for Cohomology-rings . . . . .	34
<b>II Manifolds and Poincaré Duality</b>	<b>39</b>
<b>3 Topological manifolds</b>	<b>40</b>
3.1 Orientations . . . . .	45
3.1.1 Orientability of $\mathbb{R}P^n$ . . . . .	48
3.2 The fundamental class . . . . .	52
<b>4 Cap Product</b>	<b>55</b>
<b>5 Poincaré Duality</b>	<b>57</b>
5.1 Cohomology with compact support . . . . .	57
5.1.1 Covariant functoriality for open embeddings . . . . .	59
5.2 The duality map . . . . .	63
5.2.1 Mayer-Vietoris sequences for compactly supported cohomology . . . . .	66
<b>III Homotopy Theory</b>	<b>73</b>
5.3 Fiber Bundles . . . . .	74
5.4 Fibrations . . . . .	77
5.4.1 Induced fibration . . . . .	83
5.5 Mapping spaces . . . . .	83
5.6 Loop-spaces . . . . .	88
<b>Appendix</b>	<b>A</b>
<b>List of definitions</b>	<b>B</b>
<b>List of statements</b>	<b>C</b>



# Lecture

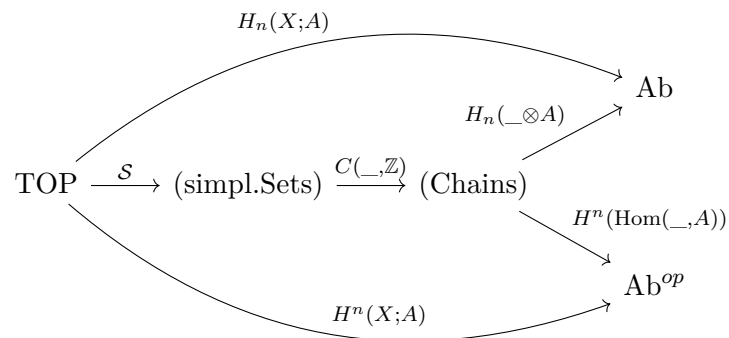
## **Part I**

# **Cup product and Künneth theorem**

In last term, we discussed

- CW-complexes
- higher homotopy groups
- Whitehead theorem
- Singular homology
- cellular homology

In the very end, cohomology was started. Remember



# Chapter 1

## Cup-product

Let  $X$  be a simplicial set, and  $R^1$  a ring.

$$C^n(X, R) = \text{maps}(X_n, R)$$

is an abelian group under pointwise addition. There is a differential

$$d^n : C^n(X, R) \rightarrow C^{n+1}(X, R)$$

given by

$$d^n(f)(y) = \sum_{i=0}^{n+1} (-1)^i f(d_i^*(y))$$

with  $f : X_n \rightarrow R, y \in X_{n+1}$

**Construction 1.1** (Cup product/Alexander Whitney map). The cup product/Alexander Whitney map

$$\cup : C^n(X, R) \times C^m(X, R) \rightarrow C^{m+n}(X, R)$$

with  $n, m \geq 0$  is defined by

$$(f \cup g)(x) := f(d_{front}^*(x)) \cdot g(d_{back}^*(x))$$

with  $f : X_n \rightarrow R, g : X_m \rightarrow R, x \in X_{n+m}$ .

Where we use  $[n+m] = \{0, 1, \dots, n+m\}$  and  $d_{front} : [n] \rightarrow [n+m], d_{back} : [m] \rightarrow [n+m]$  are given by  $d_{front}(i) = i, d_{back}(i) = n+i$ . Note, that  $d_{front}$  and  $d_{back}$  respectively suppress in their notation  $n$  and  $m$ .

### Theorem 1.2: fundamental properties of cup product

*The cup-product satisfies the following properties.*

1. The AW-map is biadditive and satisfies a boundary formula:

$$d(f \cup g) = (df) \cup g + (-1)^n f \cup (dg) \in C^{m+n+1}(X, R)$$

2. Associativity: For  $h \in C^k(X, R), (f \cup g) \cup h = f \cup (g \cup h) \in C^{n+m+k}(X, R)$ .

Let  $1 \in C^0(X, R)$  be the constant function  $1 : X_0 \rightarrow R$  with value 1. Then  $1 \cup f = f \cup 1 = f$ .

3. Naturality: Let  $\alpha : Y \rightarrow X$  be a morphism of simplicial sets. Then

$$\alpha^*(f \cup g) = \alpha^*(f) \cup \alpha^*(g), \quad \alpha^*(1) = 1.$$

where  $\alpha^* : C^n(X, R) \rightarrow C^n(Y, R), f \mapsto f \circ \alpha_n$ .

<sup>1</sup>A ring is not necessarily commutative, but has a unit



*Proof.*

1. We check some properties: Let  $d_{front}: [n] \rightarrow [n+m]$ ,  $d_{back}: [m] \rightarrow [n+m]$  be as in the definition of  $\cup$ . Then

$$d_i \circ d_{front} = \begin{cases} d_{front} \circ d_i & 0 \leq i \leq n+1 \\ d_{front} & n+1 \leq i \leq n+m+1 \end{cases}$$

and

$$d_i \circ d_{back} = \begin{cases} d_{back} \circ d_i & 0 \leq i \leq n \\ d_{back} \circ d_{i-n} & n \leq i \leq n+m+1 \end{cases}$$

Note, that for  $n+1$  and  $n$  respectively the cases are the same.

Now we calculate

$$\begin{aligned} d(f \cup g)(x) &= \sum_{i=0}^{n+m+1} (-1)^i (f \cup g)(d_i^*(x)) \\ &= \sum_{i=0}^{n+m+1} (-1)^i \cdot f(d_{front}^*(x)) \cdot g(d_{back}^*(d_i^*(x))) \\ &= \sum_{i=0}^n (-1)^i \cdot f(d_{front}^*(d_i^*(x))) \cdot g(d_{back}^*(d_i^*(x))) + \sum_{j=1}^{m+1} (-1)^{n+j} \cdot f(d_{front}^*(d_{j+n}^*(x))) \cdot g(d_{back}^*(d_{j+n}^*(x))) \\ &= \sum_{i=0}^{n+1} (-1)^i \cdot f(d_i^*(d_{front}^*(x))) \cdot g(d_{back}^*(x)) + \sum_{j=0}^{m+1} (-1)^{n+j} f(d_{front}^*(x)) \cdot g(d_j^*(d_{back}^*(x))) \\ &= d(f)(d_{front}^*(x)) \cdot g(d_{back}^*(x)) + (-1)^n \cdot f(d_{front}^*(x)) \cdot d(g)(d_{back}^*(x)) \\ &= ((df) \cup g)(x) + (-1)^n \cdot (f \cup dg)(x) \\ &= ((df) \cup g + (-1)^n \cdot f \cup (dg))(x) \end{aligned}$$

2. For  $x \in X_{n+m+k}$  we see

$$\begin{aligned} ((f \cup g) \cup h)(x) &= (f \cup g)(d_{front}^*(x)) \cdot h(d_{back}^*(x)) \\ &= f(d_{front}^*(d_{front}^*(x))) \cdot g(d_{back}^*(d_{front}^*(x))) \cdot h(d_{back}^*(x)) \\ &= f(d_{front}^*(x)) \cdot g(d_{middle}^*(x)) \cdot h(d_{back}^*(x)) \end{aligned}$$

Note that we abuse that  $d_{front}$  suppresses the indices for which the map is the front map. We have in the last line

$$d_{front}: [n] \rightarrow [n+m+k], d_{middle}: [m] \rightarrow [n+m+k], d_{back}: [k] \rightarrow [n+m+k]$$

defined by

$$d_{front}(i) = i, d_{middle}(i) = n+i, d_{back}(i) = n+m+i$$

this is obviously associative in the inputs<sup>2</sup>

3. Naturality for  $\alpha: Y \rightarrow X$  we see

$$\begin{aligned} (\alpha^*(f \cup g))(y) &= (f \cup g)(\alpha_{n+m}(y)) \\ &= f(d_{front}^*(\alpha_{n+m}(y))) \cdot g(d_{back}^*(\alpha_{n+m}(y))) = f(\alpha_n(d_{front}^*(y))) \cdot g(\alpha_m(d_{back}^*(y))) \\ &= \alpha^*(f)(d_{front}^*(y)) \cdot \alpha^*(g)(d_{back}^*(y)) \\ &= (\alpha^*(f) \cup \alpha^*(g))(y). \end{aligned}$$

□

---

<sup>2</sup>for Schwede at least.

**Definition 1.3: Differential graded ring**

A differential graded ring (dg-ring) is a cochain-complex  $A = \{A^n, d^n\}_{n \in \mathbb{Z}}$  equipped with biadditive maps

$$\cdot : A^n \times A^m \rightarrow A^{n+m}, \quad n, m \in \mathbb{Z}$$

and a unit  $1 \in A^0$ , such that;

- $\cdot$  is associative and has 1 as a unit element.
- the Leibniz rule holds:

$$d(a \cdot b) = (da) \cdot b + (-1)^n \cdot a \cdot (db)$$

with  $a \in A^n, b \in A^m$ .<sup>1</sup>

---

<sup>1</sup>The sign is somehow connected to a sign-rule I couldn't follow. The  $d$  moved past the  $a$  or something.

**Example 1.4.** Some Differential graded rings are:

- $C^*(X, R)$  for a simplicial set  $X$  and a ring  $R$ .
- De Rham complex of a smooth manifold.

**Construction 1.5** (Cup-Product on cohomology). Let  $A = (A^n, d, \cdot)$  be a dg-ring. We define a map

$$\cdot : H^n(A) \times H^m(A) \rightarrow H^{n+m}(A), \quad [a] \cdot [b] = [a \cdot b]$$

This is well defined:

$$d(a \cdot b) = \underset{=0}{(da)} \cdot b + (-1)^n \underset{=0}{a} \cdot (db) = 0$$

so  $a \cdot b$  is a cycle and we can take its homology class. Let  $x \in A^{n-1}$ .

$$(a + dx) \cdot b = a \cdot b + (dx) \cdot b = a \cdot b + d(x \cdot b) = [(a + dx) \cdot b] = [a \cdot b]$$

so it only depends on the cohomology class of  $a$ , analogous for  $b$ .

The product on cohomology inherits associativity and unity with  $1 = [1] \in H^0(A)$ . We need to see 1 is a cocycle:

$$d(1) = d(1 \cdot 1) = (d1) \cdot 1 + (-1)^0 1 \cdot (d1) = 2 \cdot d(1)$$

and so  $d(1) = 0$ .

The cup product on the  $R$ -cohomology of a simplicial set  $X$  is the product induced by the cup product on  $C^*(X, R)$  in  $H^*(C(X, R)) = H^*(X, R)$ .

**Theorem 1.6: Properties of the cup-product on homology**

Let  $X$  be a simplicial set and  $R$  a ring. Then

- The cup product on  $H^*(X, R)$  is associative and unital, with unit the cohomology class of the constant function  $1: X_0 \rightarrow R$ .
- For a morphism of simplicial sets  $\alpha: Y \rightarrow X$ , the relation

$$\alpha^*([x] \cup [y]) = \alpha^*[X] \cup \alpha^*[y]$$

holds for all  $[x] \in H^n(X, R), [y] \in H^m(X, R)$ .

**Remark 1.7.** The cup product generalizes to relative cohomology: For  $A, B$  simplicial subsets of  $X$ . We have

$$C^m(X, A; R) = \{f: X_n \rightarrow R \mid f(A_n) = \{0\}\}$$

The relative cup product is the restriction of  $\cup$  on  $C^*(X, R)$  to

$$C^m(X, A; R) \times C^m(X, B; R) \xrightarrow{\cup} C^{n+m}(X, A \cup B; R).$$

Let  $x \in (A \cup B)_{n+m}$ , then

$$(f \cup g)(x) = f(d_{front}^*(x)) \cdot g(d_{back}^*(x))$$

if  $x \in A_{n+m}$  then  $f(d_{front}^*(x)) = 0$  and analogous with  $B_{n+m}$ , anyways the product is 0.

This gives us biadditive well defined maps

$$\cup: H^n(X, A; R) \times H^n(X, B; R) \rightarrow H^{n+m}(X, A \cup B; R)$$

In particular for  $A = B$  we get

$$\cup: H^n(X, A; R) \times H^n(X, A; R) \rightarrow H^{n+m}(X, A; R)$$

which is well defined and associative, but not unital anymore.

## 1.1 Commutativity of the cup-product

### Theorem 1.8: Commutativity of the cup-product

Let  $X$  be a simplicial set and  $R$  a commutative ring. Then for all  $[x] \in H^n(X, R); [y] \in H^m(X, R)$  the relation

$$[x] \cup [y] = (-1)^{n \cdot m} \cdot [y] \cup [x]$$

holds.

Schwede points out, that the easy way doesn't work. **Warning.** For  $f \in C^n(X, R), g \in C^m(Y, R)$ , then in general  $f \cup g \neq (-1)^{n+m}(g \cup f)$  in  $C^{n+m}(X, R)$ . The commutativity is a property we only get on homology.

**Construction 1.9.** The  $\cup_1$ -product (spoken Cup-one)

$$\cup_1: C^m(X, R) \times C^m(X, R) \rightarrow C^{m+m-1}(X, R)$$

is defined by

$$(f \cup_1 g)(x) = \sum_{i=0}^{n-1} (-1)^{(n-1) \cdot (m+1)} f((d_i^{out})^*(x)) \cdot g((d_i^{inner})^*(x))$$

for  $f \in C^n, g \in C^m$  and  $x \in X_{n+m-1}$ .<sup>3</sup> where  $d_i^{out}: [n] \rightarrow [n+m-1], d_i^{inner}: [m] \rightarrow [n+m-1]$  are the unique monotone injective maps with images  $\text{Im}(d_i^{out}) = \{0, \dots, i\} \cup \{i+m, \dots, n+m-1\}$  and  $\text{Im}(d_i^{inner}) = \{i, \dots, i+m\}$ .

<sup>3</sup>There are also  $\cup_i$  for  $i \in \mathbb{N}$ . However, they are quite messy and combinatorical.

**Theorem 1.10:  $\cup_1$ -Product**

The  $\cup_1$ -product satisfies the following formula

$$d(f \cup_1 g) = (df) \cup_1 g + (-1)^n \cdot f \cup_1 (dg) - (-1)^{n+m} (f \cup g) - (-1)^{n+1} m + 1 (g \cup f)$$

for  $f \in C^n(X, R)$  and  $g \in C^m(X, R)$ .

**Remark 1.11.** What we want to see, is that  $f \cup g$  and  $g \cup f$  are not the same but rather homotopic, and  $\cup_1$  witnesses that homotopy.

*Proof.* This theorem will not be proven, because it is quite messy. You should find a lecture-video for that.  $\square$

Now suppose that  $f$  and  $g$  are cocycles, i.e.  $df = 0$ ,  $dg = 0$ . Then

$$d(f \cup_1 g) = -(-1)^{n+m} (f \cup g) - (-1)^{(n+1)(m+1)} (g \cup f)$$

and we get

$$(-1)^{n+m+1} \cdot d(f \cup_1 g) = f \cup g - (-1)^{n \cdot m} (g \cup f)$$

and as such

$$0 = [(-1)^{n+m-1}] = [f] \cup [g] - (-1)^{n \cdot m} [g] \cup [f]$$

**Remark 1.12.** Last term we discussed the tensor product of two chain complexes (in an exercise):

$$(C \otimes D)_n = \bigoplus_{p+q=n} C_p \otimes D_q$$

and differential

$$d(x \otimes y) = (dx) \otimes y + (-1)^{|x|} \cdot x \otimes (dy)$$

**Remark 1.13.** Reinterpretation of  $d(f \cup_1 g)$ . The cup product yields a morphism of cochain complexes

$$C^*(X, R) \otimes C^*(X, R) \rightarrow C^*(X, R)$$

and we get a diagram

$$\begin{array}{ccc} x \otimes y & C^*(X, R) \otimes C^*(X, R) & \xrightarrow{\cup} C^*(X, R) \\ \downarrow & \downarrow & \searrow \cup \\ y \otimes x & C^*(X, R) \otimes C^*(X, R) & \end{array}$$

that does not commute, however it does so up to cochain homotopy and  $\cup_1$  is exactly a cochain homotopy between the two maps.

[07.04.2025, Lecture 1]  
[09.04.2025, Lecture 2]

Only with the definition of the cup-product we cannot calculate a lot yet. Some methods to compute cup-products are:

- directly from the definition
- cellular approximation of the diagonal (whatever that means, he gives a little intuition I failed to record.) (this might be used later)
- Group homology (one example later today, something for AT I)

- Poincaré duality (later this term)
- Analysis on smooth manifolds together with De Rahm Cohomology

The first two methods are not very practical.

**Example 1.14.** Let  $X$  be a discrete space, Then  $\mathcal{S}(X)$  is a constant simplicial set. The chain complex has the form

$$\xrightarrow{0} \mathbb{Z}[X] \xrightarrow{=} \mathbb{Z}[X] \xrightarrow{0} \mathbb{Z}[X]$$

And so  $H^n(X, R) = 0$  for  $n \geq 0$ . And only for  $n = m = 0$  something nontrivial happens. for  $f: X_0 \rightarrow R, g: X_0 \rightarrow R$ , we have  $(f \cup g)(x) = f(d_{front}^*(x)) \cdot g(d_{back}^*(x)) = f(x) \cdot g(x)$  and so the cup product is just pointwise multiplication in dimension 0.

More generally:  $H^0(X, R) = \text{maps}(\pi_0(X), R)$  with  $\cup$ -product pointwise multiplication

**Example 1.15.** Let  $G$  be a group: Define a category  $\underline{G}^4$  wit one object  $*$  and  $\text{Hom}_{\underline{G}}(*, *) = G$ . We then define

$$BG = N(\underline{G})$$

Where  $N$  is the Nerve-Functor  $\mathbf{CAT} \rightarrow \mathbf{Sset}$ . Then

$$(BG)_n = G^n, \quad d_i^*: G^n \rightarrow G^{n-1}(g_1, \dots, g_n) \mapsto \begin{cases} (g_2, \dots, g_n) & i = 0 \\ (g_1, \dots, g_i \circ g_{i+1}, \dots, g_n) & 1 \leq i \leq n-1 \\ (g_1, \dots, g_{n-1}) & i = n \end{cases}$$

And  $s_i(g_1, \dots, g_n) = (g_1, \dots, g_i, 1, g_{i+1}, \dots, g_n)$ .

The general case of this is too hard to calculate. We take  $G = (\mathbb{F}_2, +)$  and  $R = \mathbb{F}_2$  and we calculate  $H^*(B\mathbb{F}_2, \mathbb{F}_2)$ . We see

$$\begin{array}{ccccccc} C^0(BG, A) & \xrightarrow{d} & C^1(BG, A) & \xrightarrow{d} & C^2(BG, A) & \longrightarrow & \dots \\ \parallel & & \parallel & & \parallel & & \\ \text{maps}(\{1\}, A) & \xrightarrow{0} & \text{maps}(G, A) & \longrightarrow & \text{maps}(G^2, A) & & \\ \parallel & & & & & & \\ A & & (f: G \rightarrow A) & \longrightarrow & (df)(g, h) & & \end{array}$$

And the map is defined by

$$f(d_0^*(g, h)) - f(d_1^*(g, h)) + f(d_2^*(g, h)) = f(h) - f(g \cdot h) + f(g)$$

and

$$df = 0 \Leftrightarrow f(g, h) = f(g) + f(h)$$

$\Rightarrow$  1-cocycles are the group homomorphisms from  $G$  to  $A$

$$H^1(BG, A) \cong \text{Hom}(G, A)$$

and for  $G = (\mathbb{F}_2, +)$ ,  $A = \mathbb{F}_2$

We define

$$0 \neq x := [\text{Id}_{\mathbb{F}_2}] \in H^1(B\mathbb{F}_2, \mathbb{F}_2).$$

---

<sup>4</sup>via geometric realization, these define interesting spaces, namely some (missed word)-MacLane spaces  $M(G, 1)$ , didn't catch it all

We will show that  $x^n = x \cup \dots \cup x$  ( $n$ -times)  $\in H^n(B\mathbb{F}_2, \mathbb{F}_2)$  is nonzero.

**Proposition.**  $x^n \in H^n(B\mathbb{F}_2, \mathbb{F}_2)$  is represented by

$$f_n: (\mathbb{F}_2)^n \rightarrow \mathbb{F}_2, f_n(\lambda_1, \dots, \lambda_n) = \lambda_1 \cdot \dots \cdot \lambda_n = \begin{cases} 1 & \text{if } \lambda_1 = \lambda_2 = \dots = \lambda_n = 1 \\ 0 & \text{else} \end{cases}$$

*Proof.* By induction on  $n$ . We checked for  $n = 1$ . For  $n \geq 2$  we have

$$\begin{aligned} x^n &= x^{n-1} \cup x = [f_{n-1}] \cup [\text{Id}_{\mathbb{F}_2}] \\ &= [f_{n-1} \cup \text{Id}] \end{aligned}$$

Then

$$\begin{aligned} (f_{n-1} \cup \text{Id})(\lambda_1, \dots, \lambda_n) &= f_{n-1}(d_{\text{front}}^*(\lambda_1, \dots, \lambda_n)) \cdot \text{Id}(d_{\text{back}}^*(\lambda_1, \dots, \lambda_n)) \\ &= f_{n-1}(\lambda_1, \dots, \lambda_{n-1}) \cdot \text{Id}(\lambda_n) \\ &= (\lambda_1 \cdot \dots \cdot \lambda_{n-1}) \cdot \lambda_n \end{aligned}$$

□

Claim:  $x^n \neq 0$ . In the UCT for cohomology we used the evaluation pair

$$\Phi: H^n(X, A) \rightarrow \text{Hom}(H_n(X; \mathbb{Z}); A), \quad [f_n: X_n \rightarrow A] \mapsto \left\{ \left[ \sum b_i x_i \right] \mapsto \sum b_i f(x_i) \right\}$$

for  $b_i \in \mathbb{Z}, x_i \in X_n$ . We can slightly vary that for ring coefficients:

$$\Phi: H^n(X, R) \rightarrow \text{Hom}(H_n(X, R), R)$$

and  $[f: X_n \rightarrow R] \mapsto \left\{ \left[ \sum r_i \cdot x_i \right] \mapsto \sum r_i \cdot f(x_i) \right\}$  with  $r_i \in R, x_i \in X_n$ .

With  $X = B\mathbb{F}_2, R = \mathbb{F}_2$ , we consider

$$y := \sum_{(\lambda_1, \dots, \lambda_n) \in (\mathbb{F}_2)^n} 1(\lambda_1, \dots, \lambda_n) \in \mathbb{F}_2[(\mathbb{F}_2)^n] = \mathbb{F}_2[(B\mathbb{F}_2)_n]$$

Claim:  $y$  is an  $n$ -cycle in  $C_*(B\mathbb{F}_2, \mathbb{F}_2)$ .

$$\begin{aligned} dy &= \sum_{i=0, \dots, n} (-1)^i \cdot d_i^* \left( \sum_1 \cdot (\lambda_1, \dots, \lambda_n) \right) \\ &= \sum_{i=0, \dots, n} \underbrace{\sum_{(\lambda_1, \dots, \lambda_n) \in \mathbb{F}_2^n} (-1)^i \cdot d_i^*(\lambda_1, \dots, \lambda_n)}_{\text{cancel in pairs}} \\ &= 0 \end{aligned}$$

Now

$$d_0^*(0, \lambda_2, \dots, \lambda_n) = (\lambda_2, \dots, \lambda_n) = d_0^*(1, \lambda_2, \dots, \lambda_n)$$

So

$$\Phi(x^n): H_n(B\mathbb{F}_2, \mathbb{F}_2) \rightarrow \mathbb{F}_2$$

$$\Phi(x^n)[y] = \Phi[f_n] \left[ \sum_{(\lambda_1, \dots, \lambda_n) \in \mathbb{F}_2^n} (\lambda_1, \dots, \lambda_n) \right] = \sum_{(\lambda_1, \dots, \lambda_n)} f_n(\lambda_1, \dots, \lambda_n) = \sum_{(\lambda_1, \dots, \lambda_n)} \lambda_1 \cdot \dots \cdot \lambda_n = 1 \neq 0$$

and  $[y] \neq 0$  in  $H_n(B\mathbb{F}_2, \mathbb{F}_2)$ .

We will later see, that in fact  $H^*(B\mathbb{F}_2; \mathbb{F}_2) = \mathbb{F}_2[X]$ .

**Remark.** Let  $p$  be an odd prime.  $H^*(B\mathbb{F}_p, \mathbb{F}_p) = ?$ .

$$0 \neq x = [\text{Id}_{\mathbb{F}_p} \in H^1(B\mathbb{F}_p; \mathbb{F}_p)]$$

still makes sense, but now there are more scalars and

$$x^n = 0$$

for  $n \geq 2$ . The graded commutativity says:

$$x \cup x = (-1)^{1 \cdot 1} x \cup x = -x \cup x$$

so if  $R$  is commutative,  $x \in H^n(X, R)$  and  $n$  is odd, then  $2 \cdot (x \cup x) = 0$  in  $H^{2n}(X, R)$ . And then  $2 \cdot x^2 = 0 \Rightarrow x^2 = 0$ .

Define  $h: \mathbb{F}_p \times \mathbb{F}_p \rightarrow \mathbb{F}_p$  by

$$h(i, j) = \begin{cases} 0 & \text{if } i + j < p \\ 1 & \text{if } i + j \geq p \end{cases}$$

where we write  $\mathbb{F}_p = \{0, \dots, p-1\}$ . Now  $h \in C^2(B\mathbb{F}_p, \mathbb{F}_p)$ . Fact:  $dh = 0$  and  $0 \neq y := [h] \in H^2(B\mathbb{F}_p, \mathbb{F}_p)$ .

We then get (but do not prove)

$$H^*(B\mathbb{F}_p, \mathbb{F}_p) = \Lambda(x) \otimes \mathbb{F}_p[y]$$

and

$$H^{2n}(B\mathbb{F}_p, \mathbb{F}_p) = \mathbb{F}_p\{y^n\}, \quad H^{2n+1}(B\mathbb{F}_p, \mathbb{F}_p) = \mathbb{F}_p\{xy^n\}$$

# Chapter 2

## Künneth theorem

The Künneth theorem is an algebraic relationship between  $H_*^*(X, R)$ ,  $H_*^*(Y, R)$  and  $H_*^*(X \times Y, R)$ <sup>1</sup>.

Here is a simplest version in homology with field coefficients:

### Theorem 2.1: Künneth, simple version

Let  $X$  and  $Y$  be spaces and  $k$  a field. Then

$$H_n(X \times Y, k)$$

is natural isomorphic to

$$\bigoplus_{p+q=n} H_p(X, k) \otimes_k H_q(Y, k)$$

## 2.1 The Eilenberg-Zilber-theorem

Let  $A, B$  be simplicial abelian groups. Then we get two natural chain homotopy equivalences

$$\begin{array}{ccc} & \text{Eilenberg-Zilber-Map} & \\ & \curvearrowright & \\ C_*(A) \otimes C_*(B) & & C_*(A \otimes B) \\ & \curvearrowleft & \\ & \text{Alexander Whitney map} & \end{array}$$

### Definition 2.2: Simplicial abelian group

A *simplicial abelian group* is a functor  $A: \Delta^{op} \rightarrow \mathbf{Ab.Groups}$ .

**Remark.** Equivalently a simplicial abelian group is a collection of abelian groups  $A_n$ , and homomorphisms  $\alpha^*: A_m \rightarrow A_n$  for all  $\alpha: [n] \rightarrow [m]$  in  $\Delta$ , s.t.  $(\alpha \circ \beta)^* = \beta^* \circ \alpha^*$ .

Equivalently a simplicial abelian group is a simplicial set endowed with abelian group structure on the sets of  $n$ -simplices, such that all  $\alpha^*$  are homomorphisms.

**Example 2.3.** Let  $X$  be a simplicial set and  $A$  an abelian group. Then the composite

$$\Delta^{op} \xrightarrow{X} (\mathbf{Sets}) \xrightarrow{A[\_]} (\mathbf{ab.grps})$$

$A[X]$

<sup>1</sup> $H_*^*$  denotes, that Schwede was too lazy to write the statement for homology and cohomology separately



is a simplicial abelian group.

**Construction 2.4.** Let  $A: \Delta^{op} \rightarrow (\mathbf{ab.grps})$  be a simplicial abelian groups. Its *chain complex*  $C_*(A)$  is the chain complex with  $C_n(A) = A_n$  with differential

$$d: C_n(A) = A_n \rightarrow A_{n-1} = C_{n-1}(A), \quad d(a) = \sum_{i=0, \dots, n} (-1)^i d_i^*(a)$$

And one can easily check  $d \circ d = 0$ .

**Note.** The following commutes

$$\begin{array}{ccc} (\mathbf{Ssets}) & \xrightarrow{X \mapsto C_*(X, A)} & (\mathbf{Chains}) \\ & \searrow A[\_] \quad \nearrow C_* & \\ & (\mathbf{s.ab.grps}) & \end{array}$$

**Remark 2.5.** The tensor product of chain complexes  $C, D$  is

$$(C \otimes D)_n := \bigoplus_{p+q=n} C_p \otimes D_q$$

with differential

$$d(x \otimes y) = (dx \otimes y) + (-1)^p x \otimes (dy)$$

for  $x \in C_p, y \in D_q$ .

We can also form the tensor product of simplicial abelian groups:

**Definition 2.6: Tensor product of simplicial abelian groups**

$A, B: \Delta^{op} \rightarrow (\mathbf{ab.grps})$  by

$$(A \otimes B)_n = A_n \otimes B_n, \quad \alpha^*: (A \otimes B)_n \rightarrow (A \otimes B)_m$$

for  $\alpha: [m] \rightarrow [n]$  is defined as  $\alpha^*(a \otimes b) = \alpha^*(a) \otimes \alpha^*(b)$  and we write  $\alpha_{A \otimes B}^* := \alpha_A^* \otimes \alpha_B^*$ . This can be equally described as the composite

$$\Delta^{op} \xrightarrow{(A, B)} (\mathbf{ab.grps}) \times (\mathbf{ab.grps}) \xrightarrow{\otimes} (\mathbf{ab.grps})$$

**Warning.** For  $A, B \in (\mathbf{SAB}) = \text{simplicial abelian groups}$

$$C_*(A \otimes B) \neq C_*(A) \otimes C_*(B)$$

Also he did this in dimension  $n$ , but I lacked time to copy.

The Eilenberg-Zilber theorem is a natural pair of chain homotopy equivalences between these two.

**Construction 2.7.** Let  $A, B$  be simplicial chain groups. The *Alexander-Whitney map* is the chain map

$$AW: C_*(A \otimes B) \rightarrow C_*(A) \otimes C_*(B)$$

defined by

$$\begin{array}{ccc}
 C_n(A \otimes B) & \longrightarrow & \bigoplus_{p+q=n, p, q \geq 0} A_p \otimes B_q \\
 \parallel & & \parallel \\
 A_n \otimes B_n & & C_*(A) \otimes C_*(B) \\
 AW_n(a \otimes b) = \sum_{p+q=n} d_{front}^*(a) \otimes d_{back}^*(b)
 \end{array}$$

Where  $[p] \xrightarrow{d_{front}} [p+q] = [n] \xleftarrow{d_{back}} [q]$ .

You may check for yourself, that this is a chain map, however Schwede didn't do that.

[09.04.2025, Lecture 2]

[14.04.2025, Lecture 3]

**Remark.** An example for a simplicial abelian group, that is not of the form

$$\Delta^{op} \xrightarrow{X} \mathbf{sets} \xrightarrow{A[-]} (\mathbf{ab.grps.})$$

is for any abelian group  $G$  the simplicial set  $BG$ , that also admits structure of a simplicial abelian group.

**Remark 2.8** (Relation between AW-map and cup-product). For a simplicial set  $X$  and ring  $R$ ,

$$C^*(X, R) = \text{Hom}(C_*(X, \mathbb{Z}), R) = \text{Hom}(C_*(\mathbb{Z}[X]), R)$$

and  $C^n(X, R) = \text{Hom}(C_n(X, \mathbb{Z}), R)$ . If  $\psi \in C^n(X, R)$  is a cocycle, i.e.  $d(\psi) = 0$ , then it extends to a chain map

$$\tilde{\psi}: C_*(\mathbb{Z}[X]) \rightarrow R[n]$$

where  $R[n]$  is the complex with  $R$  in dimension  $n$  and 0 otherwise. and  $\tilde{\psi}$  is  $\psi$  in dimension  $n$  and 0 otherwise.

For  $f \in C^n(X, R), g \in C^m(X, R)$  cocycles, we have  $f \cup g \in C^{n+m}(X, R)$ . Then  $f \tilde{\cup} g$  is the following composite

$$\begin{array}{ccccc}
 C_*(\mathbb{Z}[X]) & \xrightarrow{C_*(\mathbb{Z}[\text{diagonal}])} & C_*(\mathbb{Z}[X \times X]) & \cong & C_*(\mathbb{Z}[X] \otimes \mathbb{Z}[X]) \\
 & & \searrow \text{AW} & & \\
 C_*(\mathbb{Z}[X]) \otimes C_*(\mathbb{Z}[X]) & \xleftarrow{\tilde{f} \otimes g} & R[n] \otimes R[m] & \xrightarrow{\text{mult}} & R[n+m]
 \end{array}$$

### Definition 2.9: (p,q)-shuffle

A  $(p, q)$ -shuffle for  $p, q \geq 0$  is a permutation  $\sigma$  of  $\{0, 1, \dots, p+q-1\}$ , such that the restriction of  $\sigma$  to  $\{0, 1, \dots, p-1\}$  is monotone, and the restriction of  $\sigma$  to  $\{p, \dots, p+q-1\}$  is monotone.

**Remark.** „Shuffles leave the first  $p$  elements in order and the last  $q$  elements in order.“

**Example 2.10.** The only  $(p, 0)$ -shuffle or  $(0, q)$ -shuffles are the identity.

There are precisely two  $(1, 1)$ -shuffles, namely both permutations of  $\{0, 1\}$ .

$\sigma \in S_3$  given by  $\sigma(0) = 0, \sigma(1) = 2, \sigma(2) = 1$  is not a  $(2, 1)$ -shuffle, but it is a  $(1, 2)$ -shuffle.

**Remark 2.11.**  $(p, q)$ -shuffles biject with  $p$ -element subsets of  $\{0, 1, \dots, p + q - 1\}$  by  $\sigma \mapsto \{\sigma(0), \dots, \sigma(p)\}$  and also with  $q$ -element subsets of  $\{0, 1, \dots, p + q - 1\}$  by  $\sigma \mapsto \{\sigma(p), \dots, \sigma(p + q - 1)\}$ .

This means  $|(p, q)\text{-shuffles}| = \binom{p+q}{p} = \binom{p+q}{q}$ .

**Notation 2.12.** Let  $\sigma$  be a  $(p, q)$ -shuffle. We write  $\mu_i := \sigma(i - 1)$  for  $1 \leq i \leq p$  and  $\nu_i := \sigma(p + i - 1)$  for  $1 \leq i \leq q$ .

This means  $0 \leq \mu_1 \leq \dots \leq \mu_p$  and  $0 \leq \nu_1 \leq \dots \leq \nu_q \leq p + q - 1$ .

### Definition 2.13: Eilenberg-Zilber map

Let  $A, B$  be simplicial abelian groups. The Eilenberg-Zilber map /shuffle map is

$$EZ: C_*(A) \otimes C_*(B) \rightarrow C_*(A \otimes B)$$

is the direct sum of the homomorphisms

$$\nabla_{p,q}: A_p \otimes B_q \rightarrow A_{p+q} \otimes B_{p+q}$$

given by

$$a \otimes b \mapsto a \nabla b := \sum_{\sigma: (p,q)\text{-shuffle}} \text{sgn}(\sigma) \cdot (s_{\nu_i} \circ \dots \circ s_{\nu_q})^*(a) \otimes (s_{\mu_1} \circ \dots \circ s_{\mu_p})^*(b)$$

**Example 2.14.** There is only one  $(p, 0)$ -shuffle, the identity of  $\{0, \dots, p - 1\}$ . Then  $\mu_i = i - 1$ .

$$\nabla_{p,0}: A_p \otimes B_0 \rightarrow A_p \otimes B_p$$

is defined by

$$a \otimes b \mapsto a \nabla b = a \otimes (s_0 \circ \dots \circ s_{p-1})^*(b).$$

For  $p = q = 1$  i didn't have the time to copy.

Schwede claims, that the Eilenberg-Zilber map is a chain map and he can't believe he actually did those calculations 4 years ago. He will not torture us, but you may watch the videos.

### Theorem 2.15: Shuffle maps form a chain map

The shuffle maps  $\nabla_{p,q}$  for varying  $p, q \geq 0$  assemble into a chain map. Furthermore, for  $a \in A_p, b \in B_q$

$$d(a \nabla b) = (da) \nabla b + (-1)^p a \nabla (db)$$

He specifies, that the calculation takes up 8 pages of his notes.

### Theorem 2.16: Eilenberg-Zilber

Let  $A, B$  be simplicial abelian groups. Then the morphisms

$$\begin{array}{ccc} & \xrightarrow{\text{Eilenberg-Zilber}} & \\ C_*(A) \otimes C_*(B) & & C_*(A \otimes B) \\ & \xleftarrow{AW} & \end{array}$$

are mutually inverse natural chain homotopy equivalences.

A first method of proof would be explicit formulas for the chain homotopies  $AW \circ EZ \sim \text{Id}$  and  $EZ \circ AW \sim \text{Id}$ . That is however infinitely annoying and we will not do this.

For the special case, where  $A = \mathbb{Z}[X], B = \mathbb{Z}[Y]$  for simplicial sets  $X, Y$  we prove this via acyclic models. For that we need some category-theory:

## 2.2 Yoneda Lemma & Acyclic models

### Theorem 2.17: Yoneda lemma

Let  $\mathcal{C}$  be a category and  $c$  an object of  $\mathcal{C}$ . Let  $F: \mathcal{C} \rightarrow (\mathbf{sets})$  be a functor: Then the evaluation map

$$\text{Nat}_{\mathcal{C} \rightarrow \mathbf{sets}}(\mathcal{C}(c, \_), F) \rightarrow F(c)$$

given by

$$(\tau: \mathcal{C}(c, \_) \rightarrow F) \mapsto (\tau_c: \mathcal{C}(c, c) \rightarrow F(c))(\text{id}_c)$$

is bijective.

Equally: for every  $x \in F(c)$ , there is a unique natural transformation  $\tau: (\mathcal{C}(c, \_) \rightarrow F)$ , such that  $\tau_c(\text{id}_c) = x$ .

**Remark.** A special case of this is

$$\text{Hom}_{\mathbf{sset}}(\Delta^n, X) \cong X_n, \quad (f: \Delta^n \rightarrow X) \mapsto f_n(\text{id}_{[n]}).$$

where  $\Delta^n = \Delta(\_, [n])$ .

*Proof.* We show injectivity and surjectivity.

**Injectivity** Let  $\tau: \mathcal{C}(c, \_) \rightarrow F$  be any natural transformation. Let  $d$  be another object of  $\mathcal{C}$ ,  $f: c \rightarrow d$  any morphism. Then we have

$$\tau_d: \mathcal{C}(c, d) \rightarrow F(d)$$

and

$$\tau_d(f: c \rightarrow d) = \tau_d(\mathcal{C}(c, f)(\text{id}_c)) = F(f)(\tau_c(\text{id}_c))$$

where we use naturality of  $\tau$ :

$$\begin{array}{ccc} \mathcal{C}(c, d) & \xrightarrow{\tau_d} & F(d) \\ \downarrow \mathcal{C}(c, g) & & \downarrow F(g) \\ \mathcal{C}(c, e) & \xrightarrow{\tau_e} & F(e) \end{array}$$

which implies the value of  $\tau$  at  $d, f: c \rightarrow d$  is determined by its value of  $(c, \text{id}_c)$  and the functoriality of  $F$ .

**Surjectivity** Let  $y \in F(c)$  be given. For an object  $d$  of  $\mathcal{C}$  and morphism  $f: c \rightarrow d$ , we define

$$\tau_d: \mathcal{C}(c, d) \rightarrow F(d) \quad \tau_d(f) := F(f)(y).$$

We check  $\tau_c(\text{id}_c) = F(\text{id}_c)(y) = y$ . We need to check for naturality. Let  $g: d \rightarrow e$  be another morphism. Then

$$\begin{aligned} F(g)(\tau_d(f)) &= F(g)(F(f)(y)) = F(g \circ f)(y) \\ &= \tau_e(g \circ f) = \tau_e(\mathcal{C}(c, g)(f)) \end{aligned}$$

□

Let  $\mathcal{C}$  be a category,  $c$  an object of  $\mathcal{C}$ . We define the functor  $\mathbb{Z}[\mathcal{C}(c, \_)] : \mathcal{C} \rightarrow (\mathbf{ab.grps.})$  as the composite

$$\mathcal{C} \xrightarrow{\mathcal{C}(c, \_)} (\mathbf{sets}) \xrightarrow{\mathbb{Z}[\_]} (\mathbf{ab.grps.}).$$

In particular,  $\mathbb{Z}[\mathcal{C}(c, \_)](d) = \mathbb{Z}[\mathcal{C}(c, d)]$ .

**Proposition** (Additive Yoneda lemma). Let  $c \in \text{ob}(\mathcal{C})$ ,  $F : \mathcal{C} \rightarrow (\mathbf{ab.grps.})$  any functor. Then the evaluation map

$$\text{Nat}_{\mathcal{C} \rightarrow (\mathbf{ab.grps.})}(\mathbb{Z}[\mathcal{C}(c, \_)], F) \rightarrow F(c)$$

is bijective.  $(\tau : \mathbb{Z}[\mathcal{C}(c, \_)] \rightarrow F) \mapsto \tau_c(1 \cdot \text{id}_c)$ .

*Proof.* For varying objects  $d$  of  $\mathcal{C}$ , the bijections

$$\text{Hom}_{AB}(\mathbb{Z}[\mathcal{C}(c, d)], F(d)) \cong \text{Hom}_{\mathbf{sets}}(\mathcal{C}(c, d), F(d))$$

assemble into a bijection<sup>2</sup>

$$\text{Nat}_{\mathcal{C} \rightarrow \mathbf{Ab}}(\mathbb{Z}[\mathcal{C}(c, \_)], F) \cong \text{Nat}_{\mathcal{C} \rightarrow \mathbf{sets}}(\mathcal{C}(c, \_), F) \xrightarrow{\text{Yoneda}} F(c)$$

□

### Definition 2.18: Representable functor

A functor  $F : \mathcal{C} \rightarrow \mathbf{Ab}$  is representable if there is an object  $c \in \mathcal{C}$  and a natural isomorphism  $F \cong \mathbb{Z}[\mathcal{C}(c, \_)]$

**Note.** Any isomorphism  $F \cong \mathbb{Z}[\mathcal{C}(c, \_)]$  is determined by the „universal element“ in  $F(c)$ .

**Example 2.19.** Let  $\mathcal{C} = (\mathbf{ssets}) \times (\mathbf{ssets})$  be the product of two copies of the category of simplicial sets. Define  $f : (\mathbf{ssets}) \times (\mathbf{ssets}) \rightarrow \mathbf{Ab}$  given by  $F(X, Y) = \mathbb{Z}[X_p \times Y_q]$  for some  $p, q \geq 0$ . **Claim.** This functor is representable by  $(\Delta^p, \Delta^q)$  with natural isomorphisms.

$$(\mathbf{ssets} \times \mathbf{ssets})((\Delta^p, \Delta^q), (X, Y)) = \mathbf{sets}(\Delta^p, X) \times \mathbf{sets}(\Delta^q, Y) \cong X_p \times Y_q$$

Apply free abelian groups to get

$$\mathbb{Z}[(\mathbf{ssets} \times \mathbf{ssets})((\Delta^p, \Delta^q), (X, Y))] \cong \mathbb{Z}[X_p \times Y_q]$$

**Notation 2.20.** For  $F : \mathcal{C} \rightarrow \mathbf{Chains}$  we write  $F_n = (\_)_n \circ F : \mathcal{C} \rightarrow \mathbf{Ab}$  as the composite.

$$\mathcal{C} \xrightarrow{F} \mathbf{Chains} \xrightarrow{(\_)_n} \mathbf{Ab}$$

and the second map sends  $C = C(n, d_n)_{n \in \mathbb{Z}} \mapsto C_n$ .

<sup>2</sup>I don't know why though.

**Theorem 2.21: Acyclic models**

Let  $\mathcal{C}$  be a category,  $F, G: \mathcal{C} \rightarrow \mathbf{Chains}_+ = \text{non-negative grade chain complexes}$ . Let  $\psi: F \rightarrow G$  be a natural transformation of functors. Suppose;

1. The transformation  $\psi_0: F_0 \rightarrow G_0: \mathcal{C} \rightarrow \mathbf{Ab}$  is the zero natural transformation
2. For every  $n \geq 1$ , the functor  $F_n: \mathcal{C} \rightarrow \mathbf{Ab}$  is isomorphic to a direct sum of representable functors,  $\bigoplus_{i \in I} \mathbb{Z}[\mathcal{C}(c_i, \_)]$  for some family  $\{c_i\}_{i \in I}$  of  $\mathcal{C}$ -objects such that  $H_n(G(c_i)) = 0$ .

Then  $\psi$  is naturally chain nullhomotopic.

[14.04.2025, Lecture 3]  
[16.04.2025, Lecture 4]

*Proof.* For  $n \geq 0$ , we will construct natural transformations

$$s_n: F_n \rightarrow G_{n+1}$$

of functors  $\mathcal{C} \rightarrow \mathbf{Ab}$ , such that

$$d_{n+1} \circ s_n + s_{n-1} \circ d_n = \psi_n \quad (*)$$

as natural transformations (i.e. they have the chain homotopy property).

The construction is by induction on  $n$ . We begin with  $s_0 = 0$  and  $s_{-1} = 0$ . Suppose  $n \geq 1$  and that  $s_0, \dots, s_{n-1}$  have been constructed satisfying  $(*)$ . Then

$$d_n^G \circ (\psi_n - s_{n-1} \circ d_n^F) = d_n^G \circ \psi_n - d_n^G \circ s_{n-1} \circ d_n^F$$

as  $\psi$  is a chain map,

$$= \psi_{n-1} \circ d_n^F - d_n^G \circ s_{n-1} \circ d_n^F = (\psi_{n-1} - d_n^G \circ s_{n-1}) \circ d_n^F \stackrel{(*)}{=} s_{n-2} \circ d_{n-1}^F \circ d_n^F = 0.$$

So  $\psi_n - s_{n-1} \circ d_n^F: F_n \rightarrow G_n$  takes values in cycles. By 2.,

$$f_n = \bigoplus_{i \in I} \mathbb{Z}[\mathcal{C}(c_i, \_)]$$

for some set  $\{c_i\}_{i \in I}$  of  $\mathcal{C}$ -objects, such that  $H_n(G(c_i)) = 0$ . Let  $j \in I$ , write

$$x_j \in F(c_j) = \bigoplus_{i \in I} \mathbb{Z}[\mathcal{C}(c_i, c_j)]$$

be the element  $1 \cdot \text{id}_j$  in the  $j$ -th summand. Then

$$\psi_n^{c_j}(x_j) - s_{n-1}^{c_j}(d_n^{F, c_i}(x_j)) \in G_n(c_j)$$

is a cycle. Since  $H_n(G(c_j)) = 0$ , the class is a boundary in the complex  $G(c_j)$ .

Let  $y_j \in G(c_j)_{n+1}$  be a element such that

$$d_{n+1}^{c_j}(y_j) = \psi_n^{c_j}(x_j) - s_{n-1}^{c_j}(d_n^{F, c_j}(x_j))$$

The additive Yoneda lemma provides a unique natural transformation

$$s_{n,j}: \mathbb{Z}[\mathcal{C}(c_j, \_)] \rightarrow G_{n+1}$$

such that  $s_{n,j}(x_j) = s_{n,j}^{c_j}(1 \cdot \text{id}_{c_j}) = y_j \in G_{n+1}(c_j)$ .

We define the natural transformation

$$s_n: F_n = \bigoplus_{i \in I} \mathbb{Z}[\mathcal{C}(c_i, \_)] \rightarrow G_{n+1}$$

as  $s_n = \bigoplus_{j \in I} s_{n,j}$ .

It suffices now to show, that (\*) holds on each summand  $\mathbb{Z}[\mathcal{C}(c_j, \_)]$ . By the additive Yoneda lemma, there it suffices to check the relation on  $1 \cdot \text{id}_{c_j}$ , which holds by definition.  $\square$

**Remark.** We only proved „half“ of the acyclic models theorem. The other half states:

Let  $\mathcal{C}$  and  $F, G: \mathcal{C} \rightarrow \mathbf{Chains}_+$  be as before, satisfying 2.. Then any natural transformation  $\psi_0: F_0 \rightarrow G_0$  can be extended to a natural transformation  $\psi: F \rightarrow G$ .

*Proof of theorem 2.16.* Now to actually prove the Eilenberg-Zilber-Theorem 2.16 (at least in a special case.) Let  $A, B$  be simplicial abelian groups. We assume  $A = \mathbb{Z}[X]$ ,  $B = \mathbb{Z}[Y]$  for some simplicial sets  $X, Y$ . We write  $C_*(X), C_*(Y)$ . For sets  $S, T$ ,

$$\begin{array}{ccc} \mathbb{Z}[S] \otimes \mathbb{Z}[T] & \begin{array}{c} \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} & \mathbb{Z}[S \times T] \\ s \otimes t & \xrightarrow{\quad} & (s, t) \end{array}$$

is naturally isomorphic. Dimensionwise this gives  $\mathbb{Z}[X] \otimes \mathbb{Z}[Y] \cong \mathbb{Z}[X \times Y]$ .

We want to move this further to  $C_*(X) \otimes C_*(Y) \cong C_*(X \times Y)$ .

**Proposition 2.22.**

1. For all  $p \geq 0$ , the simplicial set  $\Delta^p$  is simplicially contractible.
2. For all  $p \geq 0$ , the complex  $C_*(\Delta^p)$  is chain homotopy equivalent to the complex  $\mathbb{Z}[0]$ , the complex consisting of  $\mathbb{Z}$  in dimension 0.
3. For  $p, q \geq 0$ , the chain complex  $C_*(\Delta^p) \otimes C_*(\Delta^q)$  is chain homotopy equivalent to  $\mathbb{Z}[0]$ . In particular,

$$H_n(C_*(\Delta^p) \otimes C_*(\Delta^q)) = 0$$

for  $n > 0$ .

*Proof.*

1. We define a morphism of simplicial sets  $H: \Delta^p \times \Delta^1 \rightarrow \Delta^p$  that contracts  $\Delta^p$  to the last vertex.<sup>3</sup> In dimension  $n$ ,

$$H_n: \Delta([n], [p]) \times \Delta([n], [1]) \rightarrow \Delta([n], [p])$$

is given by

$$H_n(\alpha, \beta)(i) = \begin{cases} \alpha(i) & \text{if } \beta(i) = 0 \\ p & \text{if } \beta(i) = 1 \end{cases}$$

for  $0 \leq i \leq n$ . Let  $\gamma: [m] \rightarrow [n]$  be any morphism in  $\Delta$ . Then

$$H_m(\gamma^*(\alpha, \beta))(j) = H_m(\alpha \circ \gamma, \beta \circ \gamma)(j) = \begin{cases} \alpha(\gamma(j)) & \text{if } \beta(\gamma(j)) = 0 \\ p & \text{if } \beta(\gamma(j)) = 1 \end{cases} = H_n(\alpha, \beta)(\gamma(j)) = \gamma^*(H_n(\alpha, \beta)(j))$$

<sup>3</sup>remember, that Homotopy is not symmetric in Simplicial sets. This is such an example.

This means  $H$  is a homotopy from  $\text{Id}_{\Delta^p}$  to the composite

$$\Delta^p \rightarrow \Delta^0 \xrightarrow{p\text{-th vertex}} \Delta^p$$

2.  $C_*: \mathbf{ssets} \rightarrow \mathbf{chains}$  takes simplicial homotopies to chain homotopies. So we know  $C_*(\Delta^p)$  is chain homotopy equivalent to

$$C_*(\Delta^0) = (\dots \mathbb{Z} \xrightarrow{\text{Id}} \mathbb{Z} \xrightarrow{0} \mathbb{Z})$$

which is chain homotopy equivalent to

$$(\dots 0 \rightarrow 0 \rightarrow 0 \rightarrow 0 \rightarrow \mathbb{Z}) = \mathbb{Z}[0]$$

3. The tensor product of chain complexes preserves chain homotopy equivalences in each variable separately. So

$$C_*(\Delta^p) \otimes C_*(\Delta^q) \sim \mathbb{Z}[0] \otimes C_*(\Delta^1) \sim \mathbb{Z}[0] \otimes \mathbb{Z}[0] \cong \mathbb{Z}[0].$$

□

We now must produce natural chain homotopies from

$$\mathbf{AW} \circ \mathbf{EZ}: C_*(X) \otimes C_*(Y) \rightarrow C_*(X) \otimes C_*(Y)$$

and

$$\mathbf{EZ} \circ \mathbf{AW}: C_*(X \times Y) \rightarrow C_*(X \times Y)$$

to the respective identities.

**Claim.**  $\mathbf{AW} \circ \mathbf{EZ} - \text{Id}_{C_*(X) \otimes C_*(Y)}: C_*(X) \otimes C_*(Y) \rightarrow C_*(X) \otimes C_*(Y)$  satisfies the hypothesis of acyclic models.

*Proof.*

$$\begin{array}{ccccc} C_0(X) \otimes C_0(Y) & \cong & \mathbb{Z}[X_0] \otimes \mathbb{Z}[Y_0] & \xrightarrow{\cong} & \mathbb{Z}[X_0 \times Y_0] \\ & \parallel & & \xleftarrow{\cong} & \parallel \\ (C_*(X) \otimes C_*(Y))_0 & & & & C_0(X \times Y) \end{array}$$

Which means  $(\mathbf{AW} \circ \mathbf{EZ})_0 = \text{Id}$  and  $(\mathbf{EZ} \circ \mathbf{AW})_0 = \text{Id}$ . which means  $\psi_0 = \text{zero natural transformation}$ .

$$(C_*(X) \otimes C_*(Y))_n = \bigoplus_{p+q=n} C_p(X) \otimes C_q(Y) = \bigoplus_{p+q=n} \mathbb{Z}[X_p] \otimes \mathbb{Z}[Y_q] \cong \bigoplus_{p+q=n} \mathbb{Z}[X_p \times Y_q]$$

which is represented by  $(\Delta^p, \Delta^q)$ . Then  $H_n(C_*(\Delta^p \otimes \Delta^q)) = 0$  (I think, he erased before I could copy.)

We consider  $\phi: \mathbf{EZ} \circ \mathbf{AW} - \text{Id}_{C_*(X \times Y)}: C_*(X \times Y) \rightarrow C_*(X \times Y)$ . We know,  $\phi_0 = 0$ . We need to show, that  $\phi$  satisfies the hypothesis of acyclic models.

$$C_n(X \times Y) = \mathbb{Z}[X_n \times Y_n]$$

is representable by  $(\Delta^n, \Delta^n)$ .

$$H_n(C_*(\Delta^n \times \Delta^n)) \cong H_n(\Delta^0 \times \Delta^0) = H_n(\Delta^0) = 0$$



for  $n > 0$ , where we used  $\Delta^n \sim \Delta^0$  and so  $\Delta^n \times \Delta^n \sim \Delta^0 \times \Delta^0$ . So acyclic models produces a natural chain nullhomotopy of  $\phi$ .  $\square$

This concludes the proof of the Künneth theorem.  $\square$

## 2.3 Revisiting Commutativity of the cup-product

The symmetry isomorphism of chain complexes  $C, D$  is the morphism.

$$\tau_{C,D}: C \otimes D \xrightarrow{\cong} D \otimes C$$

is given by

$$\begin{aligned} \tau_{C,D_n} &: (C \otimes D)_n && (D \otimes C)_n \\ &\oplus_{p+q=n} C_p \otimes D_q && \oplus_{q+p=n} D_q \otimes C_p \\ &c \otimes d && (-1)^{pq} \cdot d \otimes c \end{aligned}$$

me not being able to keep up.

**Fact.**

$$\begin{array}{ccc} C_*(X) \otimes C_*(Y) & \xrightarrow{\mathbf{EZ}} & C_*(X, Y) \\ \downarrow \tau & & \downarrow C_*(flip) \\ C_*(Y) \otimes C_*(X) & \xrightarrow{\mathbf{EZ}} & C_*(Y \otimes X) \end{array}$$

commutes. where  $flip: X \times Y \rightarrow Y \times X$ ,  $(x, y) \mapsto (y, x)$ . Hence, „The Eilenberg-Zilber map is symmetric“.

But however for AW the same diagram does NOT commute. Another diagram is missing.

However it does so up to natural chain homotopy by applying the acyclic models to the difference of the two composites. He explains, why we can apply acyclic models.

Let  $X$  be a simplicial set. The diagonal  $\Delta: X \rightarrow X \times X$  is flip-invariant, i.e.

$$\begin{array}{ccc} X & \xrightarrow{\Delta} & X \times X \\ & \searrow \Delta & \downarrow flip \\ & & X \times X \end{array}$$

We draw a diagram:

$$\begin{array}{ccccc} C_*(X) & \xrightarrow{C_*(\Delta)} & C_*(X \times X) & \xrightarrow{\mathbf{AW}} & C_*(X) \otimes C_*(X) \\ & \searrow C_*(\Delta) & \downarrow C_*(flip) & & \downarrow \tau \\ & & C_*(X \times X) & \xrightarrow{\mathbf{AW}} & C_*(X) \otimes C_*(X) \end{array}$$

that commutes up to homotopy. We apply the functor  $\text{Hom}(\_, R)$  to get a new diagram and my speed at copying was not capable of keeping up. You may want to have a look at the videos for this.

[16.04.2025, Lecture 4]

[23.04.2025, Lecture 5]

The Plan for today is to show the Künneth theorem for homology. The rough approximation is, that product of spaces goes to Tensorproducts of abelian groups.

## 2.4 Algebraic Künneth theorem.

If  $X, Y$  are simplicial sets, then by EZ we have  $H_*(X \times Y; R) = H_*(C_*(X \times Y; R)) \cong H_*((C_*(X, R)) \otimes_R C_*(Y, R))$  and we want to see how that relates to  $H_*(X, R) \otimes_R H_*(Y, R)$ . This will be the algebraic Künneth theorem.

In the following  $R$  is a commutative ring (have integers and fields in mind).

### Definition 2.23: Tensor Product of $R$ -chains

Let  $C, D$  be chain complexes of  $R$ -modules. We define a new complex of  $R$ -modules  $C \otimes_R D$ :

$$(C \otimes_R D)_n = \bigoplus_{p+q=n} C_p \otimes_R D_q$$

with differential

$$d(x \otimes y) = dx \otimes y + (-1)^{pq} x \otimes dy.$$

Note that  $R \otimes \mathbb{Z}[S] \cong R[S]$  for  $S$  a simplicial set. And  $R[S] \otimes_R R[T] \cong R[S \times T]$  for  $S, T$  simplicial sets.

For  $X, Y$  simplicial sets, we have

$$R \otimes C_*(X, \mathbb{Z}) \otimes C_*(Y, \mathbb{Z}) \xrightarrow{R \otimes \mathbf{EZ}} R \otimes C_*(X \times Y; \mathbb{Z}) \cong C_*(X \otimes Y; R)$$

and for  $R \otimes C_*(X; \mathbb{Z}) \otimes C_*(Y; \mathbb{Z}) \cong (R \otimes C_*(X; \mathbb{Z})) \otimes_R (R \otimes C_*(Y; \mathbb{Z})) = C_*(X, R) \otimes_R C_*(Y, R)$ , so we get a Eilenberg-Zilber map

$$C_*(X, R) \otimes_R C_*(Y, R) \xrightarrow{\mathbf{EZ}} C_*(X \times Y; R)$$

**Aim.** relate  $H_*(C \otimes_R D)$  to  $H_*(C), H_*(D)$ . Our hope is to have a map

$$\bigoplus_{p+q=n} H_p(C) \otimes_R H_q(D) \xrightarrow{???} H_n(C \otimes_R D)$$

For example taking  $R = \mathbb{Z}$  and  $C = D = (\dots, \rightarrow 0 \rightarrow \mathbb{Z} \xrightarrow{\cdot 2} \mathbb{Z} \rightarrow 0)$ . Then

$$H_n(C) = H_n(D) = \begin{cases} \mathbb{Z}/2 & n = 0 \\ 0 & n \neq 0 \end{cases}$$

but  $C \otimes D = (0 \rightarrow 0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}^2 \rightarrow \mathbb{Z} \rightarrow 0)$ . And

$$H_1(C \otimes D) = \{(x, -x) \in \mathbb{Z}\} / \{(2y, -2y) \mid y \in \mathbb{Z}\} \cong \mathbb{Z}/2 \neq 0$$

**Definition 2.24: Projective  $R$ -modules**

An  $R$ -module  $P$  is *projective* if for every epimorphism  $\varepsilon: M \rightarrow N$  of  $R$ -modules, the map

$$\text{Hom}(P, \varepsilon): \text{Hom}(P, M) \rightarrow \text{Hom}(P, N)$$

is surjective.

$$\begin{array}{ccc} & & M \\ & \nearrow & \downarrow \varepsilon \\ P & \xrightarrow{f} & N \end{array}$$

**Fact.**  $P$  is projective iff  $P$  is a direct summand of a free module iff there exists a  $R$ -module  $Q$  and a set  $S$ , such that

$$P \oplus Q \cong R[S].$$

*Proof.* Free modules are projective:

$$\begin{array}{ccc} & & M \\ & \nearrow g & \downarrow \varepsilon \\ R[S] & \xrightarrow{f} & N \end{array}$$

for every  $s \in S$  choose  $m_s \in M$   $\varepsilon(m_s) = f(s)$ . Then there is a unique homomorphism  $g: R[S] \rightarrow M$  such that  $g(s) = m_s$ .

Let  $P$  be projective and  $Q$  a summand of  $P$ . For reasons I couldn't copy, then  $Q$  is also projective.

Let  $P$  be a projective  $R$ -module. Consider the epimorphism

$$\begin{array}{c} R[P] \rightarrow P \\ p \mapsto p \end{array}$$

Then we have

$$\begin{array}{ccc} & & R[P] \\ & \nearrow g & \downarrow \\ p & \xrightarrow{\text{id}} & P \end{array}$$

So  $P$  is a direct summand of  $R[P]$ .

□

- If  $R$  is a field, then all modules are free, hence projective.
- $R = \mathbb{Z}/6$ ,  $P = \mathbb{Z}/2$ ,  $Q = \mathbb{Z}/3$ . Then  $\mathbb{Z}/6 \cong \mathbb{Z}/2 \oplus \mathbb{Z}/3$ , so, as  $\mathbb{Z}/6$  is free,  $\mathbb{Z}/2$  and  $\mathbb{Z}/3$  are projective, but not free.

**Proposition 2.25.** Let  $R$  be a commutative ring, and

$$0 \rightarrow I \xrightarrow{\alpha} M \xrightarrow{\beta} N \rightarrow 0$$

be a short exact sequence of  $R$ -modules.

Then for every  $R$ -module  $P$ , the sequence

$$P \otimes_R I \xrightarrow{P \otimes_R \alpha} P \otimes_R M \xrightarrow{P \otimes_R \beta} P \otimes_R N \rightarrow 0$$

is exact. („ $P \otimes_R \_$  is right exact“). If moreover  $P$  is projective, then it is also exact with a 0 on the left, i.e.  $P \otimes_R \alpha$  is injective. („projective modules are flat“).

*Proof.*

$$p \otimes_R \beta) \circ (p \otimes_R \alpha) = P \otimes_r (\beta \circ \alpha) = P \otimes_R 0 = 0$$

so  $\text{Im}(P \otimes_R \alpha) \subseteq \ker(P \otimes_R \beta)$  so we get an induced homomorphism

$$\gamma \frac{P \otimes_R M}{\text{Im}(P \otimes_R \alpha)} \rightarrow P \otimes_R N$$

exactness is equivalent to  $\delta$  being an isomorphism. We define a homomorphism  $\delta: P \otimes_R N \rightarrow \frac{P \otimes_R M}{\text{Im}(P \otimes_R \alpha)}$  given by  $(p, n) \in P \otimes N$  choose  $\tilde{n} \in M$ , such that  $\beta(\tilde{n}) = n$ .

**Claim.**  $\delta(p \otimes n) = p \otimes \tilde{n} + \text{Im}(P \otimes_R \alpha)$  is independent of choice of  $\tilde{n}$

*Proof.* Let  $\tilde{\tilde{n}} \in M$  also satisfy  $\beta(\tilde{\tilde{n}}) = n$ . Then  $\beta(\tilde{\tilde{n}} - \tilde{n}) = 0$ , so there is  $i \in I$  s.t.  $\alpha(i) = \tilde{\tilde{n}} - \tilde{n}$ .  $p \otimes \tilde{\tilde{n}} - p \otimes \tilde{n} = p \otimes (\tilde{\tilde{n}} - \tilde{n}) = p \otimes \alpha(i) \in \text{Im}(P \otimes_R \alpha)$ .  $\square$

**Claim.** The assignment of  $\delta$  is biadditive and sends  $(rp, n)$  and  $(p, rn)$  to the same element.

Then this extends to a well defined  $R$ -linear map

$$P \otimes_R N \rightarrow \frac{P \otimes_R M}{\text{Im}(P \otimes_R \alpha)}$$

which is isomorphic.

Now let  $P$  be projective. We show that then  $P \otimes_R \alpha$  is injective.

**Case 1**  $P = R[S]$  free,  $S$  some set. Then

$$P \otimes_R M = R[S] \otimes_R M \cong \bigoplus_{s \in S} s \in SM$$

we have a natural isomorphism of  $R$ -modules in  $M$ .

From this we get a commutative square of  $R$ -modules:

$$\begin{array}{ccc} P \otimes_R I & \xrightarrow{P \otimes_R \alpha} & P \otimes_R M \\ \parallel & & \parallel \\ \bigoplus_{s \in S} I & \xrightarrow{\bigoplus_{s \in S} \alpha} & \bigoplus_{s \in S} M \end{array}$$

where the bottom map is injective.

**General case**  $P$  projective is a summand of a free module  $F$ , i.e. there are homomorphisms

$$P \xrightarrow{\lambda} F \xrightarrow{\mu} P$$

s.t.  $\mu \circ \lambda = \text{Id}_P$ . We consider the commutative square

$$\begin{array}{ccc} P \otimes_R I & \xrightarrow{P \otimes_R \alpha} & P \otimes_R N \\ \downarrow \lambda \otimes_R I & & \downarrow \lambda \otimes_R N \\ F \otimes_R I & \xrightarrow{F \otimes_R \alpha} & F \otimes_R N \end{array}$$

where the bottom map is injective by Case 1 and  $\lambda \otimes_R I$  is injective, as it admits a retraction.

□

### Definition 2.26: Global dimension of rings

A commutative ring  $R$  has global dimension  $\leq 1$  if every submodule of a projective module is projective.

**Example 2.27.** Some rings with global dimension  $\leq 1$  are

- fields
- the ring of integers  $\mathbb{Z}$  (subgroups of free abelian groups are free).
- every PID<sup>4</sup> is of this form. See for example  $k[x]$  for  $k$  a field or  $\mathbb{Z}[i]$  the gaussian integers
- $\mathbb{Z}_p$  the  $p$ -adic integers.

### Definition 2.28: Tor of nice rings

Let  $R$  be a commutative ring of global dimension  $\leq 1$ . Let  $M, N$  be  $R$ -modules. Choose an epimorphism  $p: P \rightarrow N$  of  $R$ -modules with  $P$  projective. Define

$$\text{Tor}^R(M, N) = \text{Ker}(M \otimes_R N \xrightarrow{M \otimes_R \text{incl}} M \otimes_R P)$$

**Facts.** This is independent up to preferred isomorphism of the choice of  $p: P \rightarrow N$ .

It is symmetric, i.e. we can resolve  $M$  instead of  $N$ .

If  $P$  is projective, then  $\text{Tor}^R(P, N) = 0 = \text{Tor}^R(M, P)$ .

**Construction 2.29.** For  $R$  a commutative ring,  $C, D$  complexes of  $R$ -modules. We define a natural homomorphism

$$\Phi: H_p(C) \otimes_R H_q(D) \rightarrow H_{p+q}(C \otimes_R D)$$

via  $[x] \otimes [y] \mapsto [x \otimes y]$

We can check this is well defined.

<sup>4</sup>no zero divisors and every ideal is generated by a single element.

**Theorem 2.30: Algebraic Künneth theorem**

Let  $R$  be a commutative ring of global dimension  $\leq 1$ . Let  $C, D$  be complexes of projective  $R$ -modules. Then the following map is  $R$ -linearly split injective

$$\bigoplus \Phi: \bigoplus_{p+q=n} H_p(C) \otimes_R H_q(D) \rightarrow H_n(C \otimes_R D)$$

Moreover the cokernel is naturally isomorphic to

$$\bigoplus_{p+q=n-1} \mathrm{Tor}^R(H_p(C), H_q(D)).$$

Equivalently, there is a natural and split short exact sequence

$$0 \rightarrow \bigoplus_{p+q=n} H_p(C) \otimes_R H_q(D) \xrightarrow{\Phi} H_n(C \otimes_R D) \rightarrow \bigoplus_{p+q=n-1} \mathrm{Tor}^R(H_p(C), H_q(D)) \rightarrow 0$$

*Proof.* We let  $Z = \{Z_q\}_{q \in \mathbb{Z}}$  be the complex of  $R$  modules with  $d = 0$  where  $Z_q = \mathrm{Ker}(d: D_q \rightarrow D_{q-1})$ , let  $B = \{B_q\}$  be the complex with  $d = 0$  where  $B_q = \mathrm{Im}(D: D_{q+1} \rightarrow D_q)$ . We have a short exact sequence of complexes of  $R$ -modules

$$0 \rightarrow Z \xrightarrow{\mathrm{incl}} D \xrightarrow{d} B[1] \rightarrow 0$$

where  $B[1]$  is the complex  $B$  shifted up by 1.

We have  $B_q \subseteq Z_q \subseteq D_q$  projective by hypothesis. Since  $R$  has global dimension  $\leq 1$ ,  $B_q$  and  $Z_q$  are also projective.

$$0 \rightarrow Z_q \rightarrow D_q \xrightarrow{d} B_{q-1} \rightarrow 0$$

is short exact,  $B_{q-1}$  is projective, so the sequence splits.

For every  $R$ -module  $N$ , the sequence

$$0 \rightarrow N \otimes_R Z_p \rightarrow N \otimes_R D_p \rightarrow N \otimes_R B_{p-1} \rightarrow 0$$

is exact.

This means we get a short exact sequence of complexes

$$0 \rightarrow C \otimes_R Z \rightarrow C \otimes_R D \rightarrow C \otimes_R B[1] \rightarrow 0$$

This means we get a long exact homology sequence

$$\rightarrow H_n(C \otimes_R Z) \xrightarrow{H_n(C \otimes_R \mathrm{incl})} H_n(C \otimes_R D) \xrightarrow{H_n(C \otimes_R d)} H_{n-1}(C \otimes_R B) \xrightarrow{\partial} H_{n-1}(C \otimes_R Z) \rightarrow \dots$$

Since  $Z$  has trivial differential:

$$H_n(C \otimes_R Z) = H_n\left(\bigoplus_{q \in \mathbb{Z}} C[q] \otimes Z_q\right) \cong \bigoplus_{q \in \mathbb{Z}} H_n(C[q] \otimes Z_q) \cong \bigoplus_{q \in \mathbb{Z}} H_n(C[q]) \otimes_R Z_q = \bigoplus_{p \in \mathbb{Z}} H_{n-q}(C) \otimes_R Z_q$$

where we use that  $Z_q$  is projective.

Similarly  $H_n(C \otimes_R B) \cong \bigoplus_{q \in \mathbb{Z}} H_{n-q}(C) \otimes B_q$ .

This gives us a long exact sequence

$$\dots \rightarrow \bigoplus_{p+q=n} H_p(C) \otimes_R Z_q \rightarrow H_n(C \otimes_R D) \rightarrow \bigoplus_{p+q=n-1} H_p(C) \otimes B_q \rightarrow \bigoplus_{p+q=n-1} H_p(C) \otimes Z_q$$

This splits up into short exact sequences

$$0 \rightarrow \bigoplus_{p+q=n} \text{Coker}(H_p(C) \otimes B_q \rightarrow H_p(C) \otimes_R Z_p) \rightarrow H_n(C \otimes_R D) \rightarrow \bigoplus_{p+q=n-1} \text{Ker}(H_p(C) \otimes_R B_q \rightarrow H_p(C) \otimes_R Z_q) \rightarrow 0$$

We know  $0 \rightarrow B_q \rightarrow Z_q \rightarrow H_q(D)$  is a projective resolution of  $H_q(D)$ .

This means for all  $R$ -modules  $N$ ,

$$\text{Tor}^R(N; H_q(D)) = \text{Ker}(N \otimes_R B_q \rightarrow N \otimes_R Z_q)$$

$$N \otimes_R H_q(D) \cong \text{Coker}(N \otimes_R B_q \rightarrow N \otimes_R Z_q)$$

So we get:

$$0 \rightarrow \bigoplus_{p+q=n} H_p(C) \otimes H_q(D) \xrightarrow{\Phi} H_n(C \otimes_R D) \rightarrow \bigoplus_{p+q=n-1} \text{Tor}^R(H_p(C), H_q(D)) \rightarrow 0$$

for next lecture remains, that  $\Phi$  has a  $R$ -linear retraction!

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[23.04.2025, Lecture 5]  
[28.04.2025, Lecture 6]

For the  $R$ -linear spitting.

Because  $B_q$  is projective, the following s.e.s. splits:

$$0 \rightarrow Z_q \xrightarrow{\text{incl}} D_q \xrightarrow{d} B_q \rightarrow 0$$

and the map  $Z_q$  to  $D_q$  admits a retraction. We choose a retraction  $r_q: D_q \rightarrow Z_q$  to the inclusion.

Then

$$\begin{array}{ccccc} D_q + 1 & & & & \\ \downarrow d & \searrow 0 & & & \\ B_q & & & & \\ \downarrow \cap & \searrow 0 & & & \\ D_q & \xrightarrow{r_q} & Z_q & \longrightarrow & H_q(D) \end{array}$$

the retraction  $\{r_q\}_{q \in \mathbb{Z}}$  for a morphism of chain complexes

$$r: D \rightarrow \{H_q(D), d = 0\}_q$$

that induces the identity on homology.

$H_q(r) \cong H_q(D) \rightarrow H_q(H_*(D), d = 0) = H_q(D)$ . Similalry, there is a chain map  $\rho: C \rightarrow \{H_p(C), d = 0\}$  that is the identity on homology. This gives a chain mpa  $\rho \otimes_R r: C \otimes_R D \rightarrow (H_*(C) \otimes_R H_*(D), d = 0)$  which on homology

$$H_n(\rho \otimes_R r): H_n(C \otimes_R D) \rightarrow H_n(H_*(C) \otimes_R H_*(D), d = 0) = \bigoplus_{p+q=n} H_n(C) \otimes_R H_n(D)$$

which is a retraction to

$$\Psi: \bigoplus_{p+q=n} H_p(C) \otimes_R H_q(D) \rightarrow H_n(C \otimes_R D)$$

□

**Example 2.31.** Let  $R$  be a field. Then every module is free, hence projective, and

$$\mathrm{Tor}^R(M, N) = 0$$

for all  $R$ -modules  $M, N$ . For all complexes of  $R$ -modules  $C, D$ ;

$$\psi: \bigoplus_{p+q=n} H_p(C) \otimes_R H_q(D) \xrightarrow{\cong} H_n(C \otimes_R D).$$

is an isomorphism.

If  $R = \mathbb{Z}$ . Let  $C, D$  be a complex of free abelian groups. Then there is a split s.e.s.

$$0 \rightarrow \bigoplus_{p+q=n} H_p(C) \otimes H_q(D) \rightarrow H_n(C \otimes D) \rightarrow \bigoplus_{p+q=n-1} \mathrm{Tor}(H_p(C), H_q(D)) \rightarrow 0$$

## 2.5 Homological Künneth theorem

**Construction 2.32** (Homology exterior pairing). Let  $X, Y$  be simplicial sets. Let  $R$  be a commutative ring. We define

$$\times: H_p(X, R) \otimes_R H_q(Y, R) \rightarrow H_{p+q}(X \times Y, R)$$

as the composite

$$H_p(C_*(X, R)) \otimes_R H_q(C_*(Y, R)) \xrightarrow{\Phi} H_{p+q}(C_*(X, R) \otimes C_*(Y, R)) \xrightarrow{H_{p+q}(\mathrm{EZ})} H_{p+q}(C_*(X \times Y, R))$$

For topological spaces  $A, B$  we Define

$$\times: H_p(A; R) \otimes_R H_q(B, R) \rightarrow H_{p+q}(A \times B, R)$$

as the composite

$$H_p(\mathcal{S}(A), R) \otimes_R H_q(\mathcal{S}(B), R) \xrightarrow{\times} H_{p+q}(\mathcal{S}(A) \otimes \mathcal{S}(B), R) \cong H_{p+q}(\mathcal{S}(A \times B); R)$$

where the isomorphism is given by the fact, that simplicial complex commutes with products. The isomorphism is the canonical map

$$\mathcal{S}(A) \times \mathcal{S}(B) \xleftarrow{(\mathcal{S}(p_A), \mathcal{S}(p_B))} \mathcal{S}(A \times B)$$

### Theorem 2.33: Künneth theorem for homology with field coefficients

Let  $R$  be a field. Let  $X, Y$  be simplicial sets or spaces. Then the homology external product

$$\times: \bigoplus_{p+q=n} H_p(X, R) \otimes_R H_q(X, R) \rightarrow H_n(X \times Y; R)$$

is an isomorphism.

*Proof.* Follows directly from algebraic Künneth + Eilenberg-Zilber □



**Theorem 2.34: Künneth theorem for homology**

Let  $X, Y$  be spaces or simplicial sets. Then there is a natural and split s.e.s.

$$0 \rightarrow \bigoplus_{p+q=n} H_p(X, \mathbb{Z}) \otimes H_q(Y, \mathbb{Z}) \rightarrow H_n(X \times Y; \mathbb{Z}) \rightarrow \bigoplus_{p+q=n-1} \text{Tor}(H_p(X, \mathbb{Z}), H_q(Y, \mathbb{Z})) \rightarrow 0$$

**Special Case.** Let  $X, Y$  be spaces or simplicial sets. Suppose that  $H_n(X, \mathbb{Z})$  is free for all  $n \geq 0$ . Then

$$\bigoplus_{p+q=n} H_p(X, \mathbb{Z}) \otimes H_q(Y, \mathbb{Z}) \xrightarrow{\Phi} H_n(X \times Y; \mathbb{Z})$$

is an isomorphism.

## 2.6 Künneth theorem for cohomology

Next we want to show the Künneth theorem for cohomology. The strategy:

- EZ provides a chain homotopy equivalence  $C_*(X, \mathbb{Z}) \otimes C_*(Y, \mathbb{Z})$  to  $C_*(X \times Y, \mathbb{Z})$ .
- $\text{Hom}(\_, R): \mathbf{Chains} \rightarrow \mathbf{coChains}_R$  preserves chain homotopies, so

$$\text{Hom}(C_*(X, \mathbb{Z}), R) \otimes \text{Hom}(C_*(Y, \mathbb{Z}), R) \cong \text{Hom}((C_*(X \times Y), \mathbb{Z}), R)$$

- in favorable cases we can relate

$$H^*(\text{Hom}(C, R) \otimes_R \text{Hom}(D, R)) \text{ to } H^*(\text{Hom}(C, R)) \otimes_R H^*(\text{Hom}(D, R))$$

- apply the algebraic Künneth theorem.

Step 3 is the hard step. For that we study relations between  $\text{Hom}$  and tensors.

Let  $A$  be an abelian group and  $R$  a commutative ring. We make the set  $\text{Hom}(A, R)$  of group homomorphisms into an  $R$  module by pointwise addition and skalar multiplication. So  $f, g \in \text{Hom}(A, R)$ ,  $r \in R$ . then

$$(f + g)(a) = f(a) + g(a), \quad ((r \cdot f)(a) = r \cdot f(a))$$

Let  $B$  be another abelian group. Then

$$\bullet: \text{Hom}(A, R) \times \text{Hom}(B, R) \rightarrow \text{Hom}(A \otimes B, R)$$

by  $(f \bullet g)(a \otimes b) = f(a) \cdot g(b)$ . This is additive in  $f$  and  $g$ .

$$(f + f') \bullet g = (f \bullet g) + (f' \bullet g)$$

and

$$(rf) \bullet g = r \cdot (f \bullet g) = f \bullet (r \cdot g)$$

for all  $r \in R$ . This means this extends to a well-defined  $R$ -linear map

$$\text{Hom}(A, R) \otimes_R \text{Hom}(B, R) \rightarrow \text{Hom}(A \otimes B, R)$$

**Proposition 2.35.** Let  $A, B$  be abelian groups and  $R$  a commutative ring. If  $A$  is finitely generated and free, then

$$\text{Hom}(A, R) \otimes_R \text{Hom}(B, R) \rightarrow \text{Hom}(A \otimes B, R)$$

is an isomorphism of  $R$ -modules.

*Proof.* For  $A = \mathbb{Z}$ :

$$\begin{array}{ccc} \mathrm{Hom}(\mathbb{Z}, R) \otimes_R \mathrm{Hom}(B, R) & \xrightarrow{\bullet} & \mathrm{Hom}(\mathbb{Z} \otimes B, R) \\ \downarrow \mathrm{ev} \otimes_R \mathrm{Hom}(B, R) & & \downarrow \cong \mathrm{Hom}(k, R) \\ R \otimes_R \mathrm{Hom}(B, R) & \xrightarrow[r \otimes g \mapsto r \cdot g]{\cong} & \mathrm{Hom}(B, R) \end{array}$$

where we have  $k: B \rightarrow \mathbb{Z} \otimes B$  with  $b \mapsto 1 \otimes b$ .

Suppose the claim holds for  $A$  and  $A'$ . Then it holds for  $A \oplus A'$ .

$$\begin{array}{ccc} \mathrm{Hom}(A \oplus A', R) \otimes_R \mathrm{Hom}(B, R) & \xrightarrow{\bullet} & \mathrm{Hom}((A \oplus A') \otimes B, R) \\ \parallel & & \parallel \\ (\mathrm{Hom}(A, R) \oplus \mathrm{Hom}(A', R)) \otimes_R \mathrm{Hom}(B, R) & & \mathrm{Hom}((A \otimes B) \oplus (A' \otimes B), R) \\ \parallel & & \parallel \\ (\mathrm{Hom}(A, R) \otimes_R \mathrm{Hom}(B, R)) \oplus (\mathrm{Hom}(A', R) \otimes_R \mathrm{Hom}(B, R)) & \xrightarrow[\text{by assumption}]{\cong} & \mathrm{Hom}(A \otimes B, R) \oplus \mathrm{Hom}(A' \otimes B, R) \end{array}$$

The claim holds for  $A = \mathbb{Z}^k$ ,  $k \in \mathbb{N}$ . any finitely generated free abelian group is isomorphic to  $\mathbb{Z}^k$ .  $\square$

**Example 2.36.**  $R = \mathbb{F}_2$   $A = B = \mathbb{Z}[\mathbb{N}]$ . Then  $\mathrm{Hom}(\mathbb{Z}[\mathbb{N}], R) \cong \mathrm{maps}(\mathbb{N}, R)$  by evaluation of generators. This is  $R$ -linear by the  $R$ -module structure on  $\mathrm{maps}(\mathbb{N}, R)$ .

$$\begin{array}{ccc} \mathrm{Hom}(A, R) \otimes \mathrm{Hom}(B, R) & \xrightarrow{\bullet} & \mathrm{Hom}(A \otimes B, R) \\ \mathrm{maps}(\mathbb{N}, R) \otimes_R \mathrm{maps}(\mathbb{N}, R) & & \mathrm{Hom}(\mathbb{Z}[\mathbb{N} \times \mathbb{N}], R) \\ & & \mathrm{maps}(\mathbb{N} \times \mathbb{N}, R) \end{array}$$

This is however not an isomorphism.

$A = B = \mathbb{Z}/2$  and  $R = \mathbb{Z}/4$ . Then  $\mathrm{Hom}(A, R) = \mathrm{Hom}(B, R) = \mathrm{Hom}(\mathbb{Z}/2, \mathbb{Z}/4)$  is cyclic of order two generate by  $i: \mathbb{Z}/2 \rightarrow \mathbb{Z}/4$ ,  $n + 2\mathbb{Z} \mapsto 2n + 4\mathbb{Z}$ .

$$\begin{array}{ccc} \mathrm{Hom}(A, R) \otimes_R \mathrm{Hom}(B, R) & \xrightarrow{\bullet} & \mathrm{Hom}(A \otimes B, R) \\ \parallel & & \\ \mathrm{Hom}(\mathbb{Z}/2, \mathbb{Z}/4) \otimes_{\mathbb{Z}/4} \mathrm{Hom}(\mathbb{Z}/2, \mathbb{Z}/4) & & \mathrm{Hom}(\mathbb{Z}/2 \otimes \mathbb{Z}/2, \mathbb{Z}/4) \\ \parallel & & \parallel \\ \mathbb{Z}/2 & \xrightarrow{0} & \mathbb{Z}/2 \end{array}$$

This shows, that both assumptions are strictly necessary.

Now let  $C, D$  be complexes of abelian groups. Then  $\mathrm{Hom}(C, R), \mathrm{Hom}(D, R)$  are cochain complexes of  $R$ -modules.

$$\mathrm{Hom}(C, R)^n = \mathrm{Hom}(C_n, R)$$

and

$$d^n: \mathrm{Hom}(C, R)^n \rightarrow \mathrm{Hom}(C, R^{n+1}) = \mathrm{Hom}(D_{n+1}, R)$$

The sum of the  $\oplus$  homomorphism gives a cochain map

$$\bigoplus: \operatorname{Hom}(C, R) \otimes_R \operatorname{Hom}(D, R) \rightarrow \operatorname{Hom}(C \otimes D, R)$$

which is in dimension  $n$ :

$$\bigoplus_{p+q=n} \operatorname{Hom}(C_p, R) \otimes_R \operatorname{Hom}(D_q, R) \xrightarrow{\text{sum of } \bigoplus} \operatorname{Hom}\left(\bigoplus_{p+q=n} C_p \otimes D_q, R\right)$$

**Proposition 2.37.** Let  $C$  and  $D$  be chain complexes of abelian groups, such that  $C_n = 0 = D_n$  for  $n < 0$  and that  $C_n$  is finitely generated and free for all  $n \geq 0$ . Then  $\bigoplus$  is an isomorphism.

$$\bigoplus: \operatorname{Hom}(C, R) \otimes \operatorname{Hom}(D, R) \rightarrow \operatorname{Hom}(C \otimes D, R)$$

is an isomorphism of cochain complexes.

*Proof.* The vanishing hypothesis makes the potentially infinite sums

$$\bigoplus_{p+q=n} \operatorname{Hom}(C_p, R) \otimes_R \operatorname{Hom}(D_q, R)$$

finite.

Then  $\operatorname{Hom}(\_, R)$  preserves sums. And

$$\operatorname{Hom}(C_p, R) \otimes \operatorname{Hom}(D_q, R) \xrightarrow{\bigoplus} \operatorname{Hom}(C_p \otimes D_q, R)$$

is an isomorphism by the previous proposition.  $\square$

This is not yet good enough to apply to topological spaces, as they are very not finitely generated.

**Proposition 2.38.** Let  $C$  be a chain complex of free abelian groups, such that  $C_n = 0$  for  $n < 0$ . Suppose that  $H_n(C)$  is finitely generated for all  $n > 0$ .

Then there is a subcomplex  $B$  of  $C$ , such that

- $B_n$  is finitely generated and free for all  $n \geq 0$ .
- The inclusion  $B \rightarrow C$  is a chain homotopy equivalence.

*Proof.* We construct subgroups  $B_n$  of  $C_n$  by induction on  $n \geq 0$ , such that

- $d(B_n) \subseteq B_{n-1}$
- the inclusions of  $0 \rightarrow B_n \xrightarrow{d} B_{n-1} \xrightarrow{d} \cdots \rightarrow B_0 \rightarrow 0$
- into  $C$  induces an isomorphism on  $H_i$  for all  $0 \leq i \leq n-1$  and an epimorphism on  $H_n$ .

Induction start: Let  $x_1, \dots, x_m$  be elements of  $C_0$ , that generate  $H_0(C)$ . Select  $B_0$  to be the subgroups of  $C_0$  generated by  $x_1, \dots, x_m$ .

Induction step: Suppose  $B_0, \dots, B_{n-1}$  have been constructed fulfilling the conditions. Let  $x_1, \dots, x_m$  be cycles in  $C_n$  whose homology classes generate  $H_n(C)$ , which is possible because  $H_n(C)$  is finitely generated. Set

$$Z = \operatorname{Ker}(d: B_{n-1} \rightarrow B_{n-2}) \cap \operatorname{Im}(d: C_n \rightarrow C_{n-1})$$

which is finitely generated because  $B_{n-1}$  is. Let  $z_1, \dots, z_k$  generate this intersection. Choose  $y_1, \dots, y_k \in C_n$ , such that  $d(y_i) = z_i$  for  $1 \leq i \leq k$ .

Let  $B_n$  be the subgroup generated by  $x_1, \dots, x_m, y_1, \dots, y_k$ . Then  $d(B_n) \subseteq B_{n-1}$ .

Let  $B_{\leq n}$  and  $B_{< n}$  be the subcomplexes of  $C$  generated by  $B_0, \dots, B_n$  and  $B_0, \dots, B_{n-1}$

Then  $B_{< n} \subseteq B_{\leq n} \subseteq C$  where  $B_{< n}$  induces isomorphism on  $H_i$  for  $0 \leq i \leq n-2$  and epi on  $H_{n-1}$ . Similarly  $B_{< n} \rightarrow B_{\leq n}$  is iso in dimension  $\leq n-1$ .

Then  $B_{\leq n}$  is an Isomorphism on  $H_i$  for  $0 \leq i \leq n-2$  and surjective on  $H_n$  because we include  $x_1, \dots, x_m$  that generate  $H_n(C)$ .

Let  $x \in B_{n-1}$  be any cycle whose class is in the kernel of  $H_{n-1}(B_{< n}) \rightarrow H_{n-1}(C)$ . Then  $x \in Z$  so  $x$  is a linear combination of the classes  $z_1, \dots, z_k$  and hence a boundary of a linear combination of  $y_1, \dots, y_k$ . So  $x = d(w)$  for some  $w \in B_n$ . Then

$$\begin{array}{ccc} & H_{n-1}(B_n) & \\ \nearrow & & \searrow \\ H_{n-1}(B_{< n}) & \xrightarrow{\quad\quad\quad} & H_{n-1}(C) \end{array}$$

the class of  $x$  maps to 0 and the map becomes injective and hence an isomorphism.

We let  $B$  be the subcomplex of  $C$  generated by all  $B_i$  for all  $i \geq 0$ . Then the inclusion  $B \rightarrow C$  induces an isomorphism on  $H_i$  for all  $i \geq 0$ , so it is a quasi-isomorphism.

By the end of last term we proved, it is already a chain homotopy equivalence!  $\square$

[23.04.2025, Lecture 6]  
[30.04.2025, Lecture 7]

### Theorem 2.39: Algebraic Künneth theorem, cohomology

Let  $R$  be a commutative ring of global dimension  $\leq 1$ . Let  $C, D$  be chain complexes of abelian groups such that  $C_n = 0 = D_n$  for  $n < 0$  and all  $C_n$  are free and  $H_n(C)$  is finitely generated free.

Then for all  $n \geq 0$ :

$$\bigoplus_{p+q=n} H^p(\text{Hom}(C, R)) \otimes_R H^q(\text{Hom}(D, R)) \xrightarrow{\Phi} H^n(\text{Hom}(C \otimes D, R))$$

is injective and its cokernel is isomorphic to

$$\bigoplus_{p+q=n+1} \text{Tor}^R(H^p(\text{hom}(C, R)), H^q(\text{Hom}(D, R)))$$

**Warning.** We do not assume, that there is a splitting.

*Proof.* „Basically just putting all the hard stuff we’ve already done together in the right way.“

**Case 1** Suppose that also  $C_n$  is finitely generated for all  $n \geq 0$ . Then  $\bullet: \text{Hom}(C, R) \otimes_R \text{Hom}(D, R) \rightarrow \text{Hom}(C \otimes D, R)$  is an isomorphism of cochain complexes. Applying the homological algebraic Künneth theorem to

$$H^n(\text{Hom}(C \otimes D, R)) \cong H^n(\text{Hom}(C, R) \otimes_R \text{Hom}(D, R))$$

since  $C_n$  is finitely generated and free, it is isomorphic to  $\mathbb{Z}^k$  for some  $k \geq 0$ , so  $\text{Hom}(C, R)^n = \text{Hom}(C_n, R) \cong \text{Hom}(\mathbb{Z}^k, R) = R^k$  which is free hence projective as an  $R$ -module for all  $n \geq 0$ .

**Caveat 1.** we make cochain complexes into chain complexes, then apply Künneth, then come back. This turns  $n-1$  in the  $\bigoplus$  for  $\text{Tor} R$  into  $n+1$ .

**Caveat 2.** The proof of the homological Künneth theorem (without the splitting) used only that one complex is dimensionwise projective. Hence it is no problem, that  $D$  is not projective.

**General case** We choose a subcomplex  $B$  of  $C$  such that  $B_n$  is finitely generated for all  $n \geq 0$  and  $B \hookrightarrow C$  is a chain homotopy equivalence. Then

$$\mathrm{Hom}(i, R): \mathrm{Hom}(B, R) \rightarrow \mathrm{Hom}(C, R)$$

is a chain homotopy equivalence of  $R$ -module complexes.<sup>5</sup>

**Note** Additive functors preserve chain homotopy equivalences, however not quasi-isomorphisms. Because of that, quasi-Isomorphisms and chain homotopy equivalences are quite different.

Similarly we see

$$\mathrm{Hom}(i \otimes D, R): \mathrm{Hom}(C \otimes D, R) \rightarrow \mathrm{Hom}(B \otimes D, R)$$

is a chain homotopy equivalence.

This gives a commutative square in  $\mathbf{coChains}_R$ :

$$\begin{array}{ccc} \bigoplus_{p+q=n} H^p(\mathrm{Hom}(C, R)) \otimes H^q(\mathrm{Hom}(D, R)) & \xrightarrow{\Phi} & H^n(\mathrm{Hom}(C \otimes D, R)) \\ \downarrow \cong & & \downarrow \cong \\ \bigoplus_{p+q=n} H^p(\mathrm{Hom}(B, R)) \otimes_R H^q(\mathrm{Hom}(D, R)) & \xrightarrow[\text{by special case}]{\Phi} & H^n(\mathrm{Hom}(B \otimes D, R)) \end{array}$$

□

**Construction 2.40.** Let  $X, Y$  be spaces or simplicial sets.  $R$  an commutative ring. The *exterior cup product*

$$\times: H^p(X, R) \times H^q(Y, R) \rightarrow H^{p+q}(X \otimes Y, R)$$

is defined by  $(x, y) \mapsto p_1^*(x) \cup p_2^*(y)$ , where  $p_1: X \times Y \rightarrow X$  and  $p_2: X \times Y \rightarrow Y$ .

**Recall.** The AW-map is

$$\mathrm{AW}: C_*(X \times Y) \rightarrow C_*(X) \otimes C_*(Y)$$

**Proposition 2.41.** Let  $X, Y$  be simplicial sets,  $R$  commutative ring. Then the composite

$$H^p(X, R) \otimes_R H^q(Y, R) \xrightarrow[\downarrow f]{\otimes} [g] \mapsto [f \otimes g] \Phi H^{p+q}(\mathrm{Hom}(C_*(X), R) \otimes_R \mathrm{Hom}(C_*(Y), R)) \xrightarrow{H^{p+q}(\bullet)} H^{p+q}(\mathrm{Hom}(C_*(X \otimes Y), R))$$

equals the external cup product.

*Proof.* In the notes. □

### Theorem 2.42: Künneth theorem in cohomology

Let  $R$  be a commutative ring of global dimension  $\leq 1$ . Let  $X, Y$  be spaces such that  $H_n(X, \mathbb{Z})$  is finitely generated for all  $n \geq 0$ . Then the total exterior cup product map

$$\bigoplus_{p+q=n} H^p(X, R) \otimes_R H^q(Y, R) \rightarrow H^n(X \times Y, R)$$

is injective, and its cokernel is naturally isomorphic to

$$\bigoplus_{p+q=n+1} \mathrm{Tor}^R(H^p(X, R), H^q(Y, R))$$

<sup>5</sup>This is due to the Hom-functor being additive. Unfortunately I don't know what that means.

*Proof.* Similar to the homological one. Use the cohomological algebraic Künneth theorem and the Eilenberg-Zilber theorem. You can read it up somewhere.  $\square$

**Remark 2.43.** Let  $X$  be a CW-complex of finite type i.e. such that it has only finitely many cells in every dimension. (ex.  $\mathbb{R}P^\infty$ ). Then

$$C_*^{Cell}(X, \mathbb{Z})$$

is finitely generated free in every dimension, hence  $H_n^{cell}(X, \mathbb{Z}) \cong H_n(X, \mathbb{Z})$  if finitely generated, so Künneth theorem applies.

**Construction 2.44.** Let  $A, B$  be graded-commutative<sup>6</sup> rings. Then  $A \otimes B$  is another graded-commutative ring by

$$(A \otimes B)_n = \bigoplus_{p+q=n} A_p \otimes B_q$$

with multiplication for  $a \in A_p, b \in B_q, a' \in A_{p'}, b' \in B_{q'}$ .

$$(a \otimes b) \cdot (a' \otimes b') = (-1)^{p' \cdot q} (aa') \otimes (bb')$$

Check for well-definedness yourself.

**Corollary 2.45.** Let  $R$  be a field,  $X, Y$  spaces and suppose, that  $H_n(X, \mathbb{Z})$  is finitely generated for all  $n \geq 0$ . Then

$$\times : H^*(X, R) \otimes_R H^*(Y, R) \rightarrow H^*(X \times Y, R)$$

is an isomorphism of graded-commutative  $R$ -algebras.

**Note.** We already knew that this is a isomorphism of abelian groups. The new information is, that this is compatible with ring structure.

*Proof.* We take  $x \in H^p(X, R), x' \in H^{p'}(X, R), y \in H^q(Y, R), y' \in H^{q'}(Y, R)$  and then

$$\begin{aligned} (x \cup x') \times (y \cup y') &= p_1^*(x \cup x') \cup p_2^*(y \cup y') \\ &= (p_1^*(x) \cup p_1^*(x')) \cup (p_2^*(y) \cup p_2^*(y')) \\ &= (-1)^{p' \cdot q} (p_1^*(x) \cup p_2^*(y)) \cup (p_1^*(x') \cup p_2^*(y')) \\ &= (-1)^{p \cdot q'} (x \times y) \cup (x' \times y') \end{aligned}$$

$\square$

**Corollary 2.46.** Let  $X, Y$  be spaces such that  $H_n(X, \mathbb{Z})$  is finitely generated and free for all  $n \geq 0$ . Then

$$H^*(X, \mathbb{Z}) \otimes H^*(Y, \mathbb{Z}) \rightarrow H^*(X \times Y, \mathbb{Z})$$

is an isomorphism of graded-commutative rings.

## 2.7 Example calculations for Cohomology-rings

Now we are actually calculating some cohomology rings. Namely for  $S^k \times S^l, S^1 \times \dots \times S^1$  and  $\mathbb{C}P^2$ .

Remember

$$H^n(S^k) = \begin{cases} \mathbb{Z} & n = 0, k \\ 0 & n \neq 0, k \end{cases}$$

---

<sup>6</sup>  $a \cdot b = (-1)^{\deg(A) \cdot \deg(B)} b \cdot a$

and assume  $k \geq 1$ . For dimensional reasons, the cup product on  $H^*(S^k, \mathbb{Z})$  is trivial.  $H^*(S^k, \mathbb{Z})$  is dimensionwise finitely generated free, and hence for every space  $Y$  the exterior cup product

$$H^*(S^k, \mathbb{Z}) \otimes H^*(Y, \mathbb{Z}) \rightarrow H^*(S^k \times Y, \mathbb{Z})$$

is an isomorphism of graded-commutative rings. Take  $Y = S^l$  for  $l \geq 1$ .

Let  $e_k \in H^n(S^k; \mathbb{Z})$  be one of the two generators. Then  $H^+(S^k, \mathbb{Z}) = \Lambda(e_k)$  where  $\Lambda$  denotes an exterior product. This includes  $e_k^2 = 0$ . We define  $a := p_1^*(e_k) \in H^k(S^k \times S^l; \mathbb{Z})$  and  $b := p_2^*(e_l) \in H^l(S^k \times S^l; \mathbb{Z})$ . Then

$$H^*(S^k, \mathbb{Z}) \otimes H^*(S^l, \mathbb{Z}) \xrightarrow[x]{\cong} H^*(S^k \times S^l; \mathbb{Z}) = \mathbb{Z}\{1 \times 1, 1 \times e_l, e_k \times 1, e_k \cdot e_l\}$$

where we have  $1 \times 1 = 1, 1 \times e_l = b, e_k \times 1 = a, e_k \cdot e_l = a \cup b$ .

We look at multiplicative relations:

$$a^2 = 0, b^2 = 0$$

and so

$$a^2 = (p_1^*(e_k))^2 = p_1^*(e_k^2) = p_1^*(0) = 0$$

If  $k$  or  $l$  is even, then  $a \cup b = b \cup a$  and if both are odd, then  $a \cup b = -b \cup a$ .

We summarize, if  $k$  and  $l$  are even, then

$$H^*(S^k \times S^l; \mathbb{Z}) = \mathbb{Z}[a, b]/(a^2 = 0, b^2 = 0)$$

and if one is odd

$$H^*(S^k \times S^l; \mathbb{Z}) = \Lambda(a, b)$$

where  $\Lambda$  again denotes exterior products.

We give an inductive description of  $H^*(S^1 \times \cdots \times S^1; \mathbb{Z})$   $n$ -times. We use, that

$$\times: H^*(S^1; \mathbb{Z}) \otimes H^*(\underbrace{S^1 \times \cdots \times S^1}_{n-1 \text{ times}}) \cong H^*(S^1 \times \cdots \times S^1, \mathbb{Z})$$

we define  $a_i = p_i^*(e_1) \in H^1(\underbrace{S^1 \times \cdots \times S^1}_n; \mathbb{Z})$ , where  $p_i: (S^1)^n \rightarrow S^1$  is projection to the  $i$ -th factor for  $1 \leq i \leq n$ . We get  $a_i^2 = 0$  and  $a_i \cup a_j = -a_j \cup a_i$  for  $i \neq j$ . This gives us, that an additive basis of  $H^*(S^1)^n; \mathbb{Z}$  is given by

$$a_{i_1} \cup \cdots \cup a_{i_k} \text{ for all tuples } 1 \leq a_i < a_2 < \cdots < a_k \leq n$$

This gives us  $\text{rank}(H^*((S^1)^n; \mathbb{Z})) = 2^n$ . The multiplicative structure is given by  $H^*((S^1)^n, \mathbb{Z}) = \Lambda(a_1, \dots, a_n)$ .

Later we will compute  $H^*(\mathbb{C}P^n; \mathbb{Z})$  via Poincaré-duality to get  $\cong \mathbb{Z}[X]/(X^{n+1})$  for  $x \in H^2(\mathbb{C}P^n, 2)$ .

We will now use a trick to at least calculate  $H^*(\mathbb{C}P^2; \mathbb{Z})$ . We know, that

$$H^n(\mathbb{C}P^2, \mathbb{Z}) = \begin{cases} \mathbb{Z} & n = 0, 2, 4 \\ 0 & \text{else} \end{cases}$$

we take  $x \in H^2(\mathbb{C}P^2; \mathbb{Z})$  a generator. The multiplicative structure is completely defined by which multiple of the generator of  $H^4(\mathbb{C}P^2, \mathbb{Z})$   $x^2$  is.

We use homogenous coordinate notation for  $\mathbb{C}P^2$ . For  $0 \neq (x, y, z) \in \mathbb{C}^3$  we write  $[x, y, z] := \mathbb{C} \cdot (x, y, z) \in \mathbb{C}P^2$ . We define a continuous map

$$\mu: \mathbb{C}P^1 \times \mathbb{C}P^1 \rightarrow \mathbb{C}P^2$$

given by  $([v, w], [x, y]) \mapsto [vx, vy + wx, wy]$ . We let  $e = [1, 0]$  a basepoint in  $\mathbb{C}P^1$ . Then  $\mu(e, \_), \mu(\_, e): \mathbb{C}P^1 \rightarrow \mathbb{C}P^2$ . are both the „standard inclusions“  $[x, y] \mapsto [x, y, 0]$ .

**Proposition 2.47.** The map  $\mu^*: H^4(\mathbb{C}P^2, \mathbb{Z}) \rightarrow H^4(\mathbb{C}P^1 \times \mathbb{C}P^1, \mathbb{Z})$  is injective and its image has index 2.

proof next time.

[30.04.2025, Lecture 7]

[5.05.2025, Lecture 8] Rather sleepy today, quality may be accordingly.

**Note.** Remember  $\mathbb{C}P^2 \cong S^2$ .

*Proof.* We will drop coefficients from the notation.  $H^*(X) := H^*(X; \mathbb{Z})$ . The continuous map  $\mathbb{C}^2 \rightarrow \mathbb{C}P^2$ ,  $\pi(a, b) = (a^2 - b, 2a, 1)$  is an open embedding and a homoeomorphism onto the open 4-cell  $\mathbb{C}P^2 \setminus \mathbb{C}P^1$ . That is just the set  $[x, y, 1]$  for  $(x, y) \in \mathbb{C}^2$ . Then

$$(x, y) = (a^2 - b, 2a) \implies a = y/2, b = (a - x = y^2/4 - x)$$

This gives an isomorphism of relative cohomology groups

$$\pi^*: H^4(\mathbb{C}P^2 \setminus \mathbb{C}P^1, \mathbb{C}P^2 \setminus (\mathbb{C}P^1 \cup [0, 0, 1])) \rightarrow H^4(\mathbb{C}^2, \mathbb{C}^2 \setminus (0, 0))$$

Then we have an excision isomorphism:

$$H^4(\mathbb{C}P^2, \mathbb{C}P^2 \setminus [0, 0, 1]) \cong H^4(\mathbb{C}P^2 \setminus \mathbb{C}P^1, \mathbb{C}P^2 \setminus \mathbb{C}P^1 \cup [0, 0, 1])$$

The long exact sequence of the pair gives an isomorphism

$$H^4(\mathbb{C}P^2, \mathbb{C}P^2 \setminus [0, 0, 1]) \rightarrow H^4(\mathbb{C}P^2)$$

We also Define

$$\pi': \mathbb{C}^2 \rightarrow \mathbb{C}P^1 \times \mathbb{C}P^1, (a, b) \mapsto ([a + b, 1], [a - b, 1])$$

A similar calculation gives

$$H^4(\mathbb{C}P^1 \times \mathbb{C}P^1, \mathbb{C}P^1 \times \mathbb{C}P^1 \setminus ([0, 1], [0, 1]))$$

as isomorphic to  $H^4(\mathbb{C}P^1 \times \mathbb{C}P^1)$ .

We now also define  $\nu$ .

$$\nu: \mathbb{C}^2 \rightarrow \mathbb{C}^2; \quad (a, b) \mapsto (a, b^2)$$

Now a diagram I didn't copy commutes.

The problem now reduces to show that

$$\nu^*: H^4(\mathbb{C}^2, \mathbb{C}^2 \setminus (0, 0)) \rightarrow H^4(\mathbb{C}^2, \mathbb{C}^2 \times 0), 0$$

is multiplication by 2.

A diagram I didn't copy. He applied Künneth and found out some map is multiplication by 2.  $\square$

**Proposition 2.48.** Let  $x \in H^2(\mathbb{C}P^2, \mathbb{Z})$  be an additive generator. Then  $x^2$  is an additive generator of  $H^4(\mathbb{C}P^2, \mathbb{Z})$ . So  $H^*(\mathbb{C}P^2, \mathbb{Z})$  is a truncated polynomial algebra i.e.

$$H^*(\mathbb{C}P^2, \mathbb{Z}) = \mathbb{Z}[X]/(x^3)$$

**Outlook.**  $H^*(\mathbb{C}P^m; \mathbb{Z}) = \mathbb{Z}[X]/(x^{m+1})$  This will be proven later using Poincaré-Duality.



*Proof.* We write  $i: \mathbb{C}P^1 \rightarrow \mathbb{C}P^2$  for „the inclusion“,  $i[x, y] = [x, y, 0]$ . Then

$$H^*(\mathbb{C}P^1, \mathbb{Z}) = \mathbb{Z}\{1, i^2(x)\}$$

$$\times: H^*(\mathbb{C}P^2) \otimes H^*(\mathbb{C}P^1) \cong H^*(\mathbb{C}P^1 \times \mathbb{C}P^1)$$

we write  $a := p_1^*(i^*(x)), b := p_2^*(i^*(x))$ . Then

$$H^*(\mathbb{C}P^1 \times \mathbb{C}P^1) = \mathbb{Z}\{1, a, b, a \cdot b\}$$

with  $a^2 = b^2 = 0, ab = ba$ .

**Claim.** We have

$$\begin{array}{ccc} \mu^*(x) = a + b & \in & H^2(\mathbb{C}P^1 \times \mathbb{C}P^1) \\ & & \downarrow \cong \\ & & H^2(\mathbb{C}P^1 \vee \mathbb{C}P^1) \\ & & \downarrow \cong \\ & & H^2(\mathbb{C}P^1) \times H^2(\mathbb{C}P^1) \end{array}$$

where we use that the wedge is an isomorphism on the 2-skeleton of  $\mathbb{C}P^1 \times \mathbb{C}P^1$ . The composite map is given by

$$z \mapsto ((e, \_ )^*(z)), (\_ , e)^*(z))$$

We note

$$\begin{aligned} (e, \_ )^*(a + b) &= (e, \_ )^*(p_1^*(i^*(x))) + (e, \_ )^*(p_2^*(i^*(x))) \\ &= (i \circ \underbrace{p_1 \circ (e, \_ )}_{\text{constant}})^*(x) + (i \circ \underbrace{p_2 \circ (e, \_ )}_{\text{identity}})^*(x) \\ &= i^*(x) \end{aligned}$$

and also

$$(e, \_ )^*(\mu^*(x)) = (\underbrace{\mu \circ (e, \_ )}_{=1})^* = i^*(x)$$

This gives  $\mu^*(x) = a + b$ . Now let  $y \in H^4(\mathbb{C}P^2)$  be a generator and let  $n \in \mathbb{Z}$  be such, that  $x^2 = n \cdot y$ . Now

$$2ab = (a + b)^2 = (\mu^*(x))^2 = \mu^*(x^2) = \mu^*(ny) = n \cdot \mu^*(y) = n \cdot 2 \cdot ab$$

where the last equality uses degree 2 of  $\mu$ . This holds in the free abelian group  $H^4(\mathbb{C}P^1 \times \mathbb{C}P^1) = \mathbb{Z}\{a, b\}$ . This means  $2 = 2n$  and hence  $n = 1$  and so  $x^2 = y$ .  $\square$

#### Application to the Hopf map.

The Hopf map  $\eta: S^3 \rightarrow S^2$  is defined as

$$S^3 = S(\mathbb{C}^2) \rightarrow \mathbb{C}P^1 \cong S^2$$

given by  $(x, y) \mapsto [x, y]$ .

Then  $0 \neq [y] \in \pi_3(S^2, *) \cong \mathbb{Z}\{y\}$ .

**Proposition 2.49.** Attaching a 4-cell to  $\mathbb{C}P^1$  yields a space homeomorphic to  $\mathbb{C}P^2$ . Informally: „ $\eta$  is the attaching map of the 4-cell in  $\mathbb{C}P^2$ .“

*Proof.* Consider the map  $\alpha: D(\mathbb{C}^2) \rightarrow \mathbb{C}P^2$ ,  $(x, y) \mapsto [x, y, 1 - |x|^2 - |y|^2]$ .

This restricts to a homeomorphism from  $D(\mathbb{C}^4) \setminus S(\mathbb{C}^2)$  onto  $\mathbb{C}P^2 \setminus \mathbb{C}P^1$  and the following commutes:

$$\begin{array}{ccc} S(\mathbb{C}^2) & \xrightarrow{\eta} & \mathbb{C}P^1 \\ \downarrow & & \downarrow i \\ D(\mathbb{C}^2) & \xrightarrow{\alpha} & \mathbb{C}P^2 \end{array} \quad \begin{array}{c} [x, y] \\ \downarrow \\ [x, y, 0] \end{array}$$

this gives a well-defined continuous map  $D(\mathbb{C}^2) \cup_{S(\mathbb{C}^2), \eta} \mathbb{C}P^1 \rightarrow \mathbb{C}P^2$ , This is a continuous bijection between compact Hausdorff spaces, hence a homeomorphism.  $\square$

### Theorem 2.50: Hopf map is not constant

*The Hopf map  $\eta$  is not homotopic to a constant map.*

*Proof.* By contradiction. If  $\eta$  was homotopic to the constant map  $c: S^3 \rightarrow S^2$ , then  $D^4 \cup_{S^3, \eta} \mathbb{C}P^1$  would be homotopy-equivalent to  $D^4 \cup_{S^3, \text{const}} \mathbb{C}P^1 = \mathbb{C}P^1 \vee (D^4/S^3) \cong S^2 \vee S^4$ .

These spaces have the same additive cohomology. However, their cup-product differs. Namely in  $H^*(\mathbb{C}P^1 \vee S^4, \mathbb{Z})$  the square of every 2-dimensional class is 0.

As such,  $\mathbb{C}P^1 \vee S^4 \not\sim \mathbb{C}P^2$ .  $\square$

**Outlook.** The Hopf map is sometimes presented as the map

$$S(\mathbb{C}^2) \rightarrow \mathbb{C} \cup \{\infty\} = \text{one point compactification of } \mathbb{C} \cong S^2$$

given by  $(x, y) \mapsto x/y$ . For  $\mathbb{H} =$  the quaternions  $= \mathbb{R}^4$  with the skew-field multiplication  $= \mathbb{R}\{1, i, j, k\}$  and  $i^2 = j^2 = k^2 = ijk = -1$ . And then we get

$$\nu: S^7 = S(\mathbb{H}^2) \mapsto \mathbb{H} \cup \{\infty\} = S^4$$

given by  $(x, y) \mapsto x/y = xy^{-1} \vee y^{-1}x$ . This map is also called the second Hopf-map. Using that most of linear algebra still applies to skew-fields, we can define  $\mathbb{H}P^n$  and see by a similar argument, that  $\nu$  is not nullhomotopic. Then  $[\nu] \in \pi_7(S^4, *) \cong \mathbb{Z}\{\nu\} \oplus \mathbb{Z}/?$  Schwede doesn't remember what exactly  $\pi_7$  is.

Then we also have  $\mathbb{O} =$  Cayley octonians  $= \mathbb{R}^8$  with a nonassociative, noncommutative division algebra structure  $\cdot \mathbb{O} \times \mathbb{O} \rightarrow \mathbb{O}$ . Then there is still an  $\mathbb{O}P^2$  but no general  $\mathbb{O}P^n$ .

However this is enough to still calculate that  $H^*(\mathbb{O}P^2, \mathbb{Z}) = \mathbb{Z}[w]/w^3$  where  $w \in H^8(\mathbb{O}P^2, \mathbb{Z})$ . And you can show

$$\sigma: S(\mathbb{O}^2) \rightarrow \mathbb{O}P^1 = \mathbb{O} \cup \{\infty\}$$

given by  $(x, y) \mapsto x/y$  is non zero-homotopic. And  $[\sigma] \in \pi_{15}(S^8) = \mathbb{Z} \oplus \mathbb{Z}/120$ .

He also talks about a theorem, that these are all the Hopf-Maps that exist. No more in higher dimensions.

[5.05.2025, Lecture 8]  
[07.05.2025, Lecture 9]

## **Part II**

# **Manifolds and Poincaré Duality**

# Chapter 3

## Topological manifolds

The long-time goal is to prove Poincaré duality. For that we first need to study manifolds.

### Definition 3.1: Manifold

An  $m$ -manifold is a Hausdorff space  $M$  such that every point of  $M$  has an open neighborhood homeomorphic to  $\mathbb{R}^m$ .<sup>1</sup>

<sup>1</sup>This is sometimes called a topological manifold to differentiate from smooth ones.

**Remark 3.2.** • The empty space is an  $m$ -manifold for all  $m \geq 0$ .

- Let  $M$  be a non empty manifold. Then the dimension  $m$  is an intrinsic invariant. Let  $x \in M$  be a point, let  $U$  be an open neighborhood of  $x$  homeomorphic to  $\mathbb{R}^m$ . Let  $\varphi: \mathbb{R}^m \rightarrow U$  be a homeomorphism such that  $\varphi(0) = x$ . Then

$$H_i(M, M \setminus \{x\}, \mathbb{Z}) \xleftarrow{\cong} H_i(U, U \setminus \{x\}, \mathbb{Z}) \xleftarrow{\varphi^*} H_i(\mathbb{R}^m, \mathbb{R}^m \setminus \{0\}, \mathbb{Z})$$

where we use excision for the first homeomorphism. Furthermore we see

$$H_i(\mathbb{R}^m, \mathbb{R}^m \setminus \{0\}, \mathbb{Z}) \sim H_i(D^m, S^{m-1}, \mathbb{Z}) = \begin{cases} \mathbb{Z} & i = m \\ 0 & i \neq m \end{cases}$$

We call this the local homology of  $x$ . From this we can reproduce the dimension of  $M$ .

- The Hausdorff condition is important to rule out pathological examples such as the „line with double origin“:

$$\mathbb{R} \amalg \mathbb{R} / (x, 0) \sim (x, 1) \text{ for all } x \in \mathbb{R} \setminus \{0\}$$

Can't draw the picture of the space.

This is not Hausdorff, but locally  $\mathbb{R}^1$ . we don't want this to be a manifold.

**Example 3.3.** • open subsets of  $\mathbb{R}^m$  are  $m$ -manifolds.

- Let  $M$  be a Hausdorff space, such that every point has an open neighborhood that is an  $m$ -manifold. Then  $M$  is an  $m$ -manifold.
- Let  $M$  be an  $m$ -manifold and  $N$  an  $n$ -manifold. Then  $M \times N$  is an  $m + n$ -manifold.
- The  $m$ -sphere  $S^m = \{(x_1, \dots, x_{m+1}) \in \mathbb{R}^m \mid x_1^2 + \dots + x_{m+1}^2 = 1\}$  is an  $m$ -manifold.

Let  $x = (x_1, \dots, x_{m+1}) \in S^m$  be a point. Let  $V = \{y \in \mathbb{R}^{m+1} \mid \langle y, x \rangle = 0\}$  be the orthogonal complement of  $x$ . The stereographic projection is a homeomorphism

$$x \in S^m \setminus \{-x\} \rightarrow V$$

given by some formula I couldn't copy before it was erased and he also had a nice picture.

- The real projective space  $\mathbb{R}P^m \cong S^m / x \sim -x$  is an  $m$ -manifold. Let  $\{x, -x\}$  be a point in  $\mathbb{R}P^m$  for  $x \in S^m$ . Let  $x$  be one of the representatives. Let  $\mathbb{R}^m \cong U = \{z \in S^m \mid \langle z, x \rangle \geq 0\}$

„The northern hemisphere with north-pole  $x$ “. As  $U \subseteq S^m$  we get via projection a map to  $\mathbb{R}P^m$ . This is an open embedding onto a neighborhood.

- Let  $\mathbb{C}P^m = \{l \in \mathbb{C}^{n+1} : L \text{ complex line through } 0\}$ . is a  $2m$  manifold. Consider first the point  $[0, 0, \dots, 0, 1]$ .

Then  $\mathbb{R}^{2n} \cong \mathbb{C}^n \rightarrow \mathbb{C}P^n$  given by  $(z_1, \dots, z_m) \mapsto [z_1, \dots, z_m, 1]$  is an homeomorphism onto a open neighborhood  $U$  of  $[0, 0, \dots, 0, 1]$ .

Let  $l \in \mathbb{C}P^n$  be any point, let  $v \in l$  be a nonzero vector in  $l$ . Let  $A \in GL_n(\mathbb{C})$  such that  $A \cdot (0, \dots, 0, 1) = v$ . Then  $A: \mathbb{C}P^n \rightarrow \mathbb{C}P^n$ ,  $L_0 = [0, \dots, 0, 1]$  given by  $L \mapsto A \cdot L$  sends  $A \cdot L_0 = L$ . So we can take  $A(U)$  as an open neighborhood of  $L$  homeomorphic to  $\mathbb{R}^{2n}$ .

Now we do some examples that are a little more involved.

**Construction 3.4** (Stiefel manifold). Let  $0 \leq k \leq n$ . The Stiefel manifold  $V_{k,n} = \{(v_1, \dots, v_k) \in \mathbb{R}^n\} \mid \text{orthonormal set}\}$ . We call this the „ $k$ -frame“ this means

$$\langle v_i, v_j \rangle = \begin{cases} 1 & i = j \\ 0 & i \neq j \end{cases}$$

note, that each  $v_i$  is a vector in  $\mathbb{R}^n$ . We give  $V_{k,n}$  the subspace topology of  $(\mathbb{R}^n)^k$ . This is even a closed subspace of  $(S^{n-1})^k$ , so  $V_{k,n}$  is compact.

Examples are,

- $V_{0,n} = \{\emptyset\}$  is a point hence a 0-manifold.
- $V_{1,n} = S^{n-1}$ .
- $V_{n,n} = O(n)$  the  $n$ -th orthogonal group.
- $V_{n-1,n} \xrightarrow{\cong} SO(n)$  given by  $(Ae_1, \dots, A \cdot e_{n-1}) \mapsto A$  where  $e_i = {}^t(0, \dots, 1, \dots, 0)$ . This is bijective because it sends orthogonal matrices to the orthogonal vectors that span it. That is not what was written on the board. That was erased before I could copy.

**Proposition 3.5.**  $V_{k,n}$  is a manifold of dimension  $(n-1) + (n-2) + \dots + (n-k) = nk - \frac{k(k+1)}{2}$

*Proof.* By induction on  $k$ . We have already seen  $V_{0,n} = \{\emptyset\}$  as a 0-manifold and  $V_{1,n} = S^{n-1}$  a  $(n-1)$ -manifold.

Now let  $k \geq 2$ . Let  $S_+^{n-1} = \{(x_1, \dots, x_n) \in S^{n-1} : x_1 \geq 0\}$  be the „northern hemisphere“. We define a continuous map  $\psi: S_+^{n-1} \rightarrow O(n)$  as the following composite

$$S_*^{n-1} \rightarrow GL_n(\mathbb{R}) \xrightarrow{\text{Gram-Schmidt}} O(n) \quad w \mapsto ({}_t w, e_2, \dots, e_n) \mapsto \dots$$

where Gram-Schmidt is a continuous way to orthonormalize a matrix.

We remember the properties:

- $\psi$  is continuous
- $\psi(e_1) = \psi(1, 0, 0, \dots, 0) = E_n$
- $\psi(w) \cdot e_1 = w$ .

**Warning.** There is no continuous map  $\tilde{\psi}: S^{n-1} \rightarrow O(n)$  such that  $\tilde{\psi}(w) \cdot e_1 = w$ .

We show the manifold condition around  $(e_1, \dots, e_k) \in V_{k,n}$ . We set  $U = \{(v_1, \dots, v_k) \in V_{k,n} : v_1 \in S_+^{n-1}\}$  is open in  $V_{k,n}$  around  $(e_1, \dots, e_k)$ . The map

$$U \rightarrow S^{n-1} \times V_{k-1,n-1}, \quad (v_1, \dots, v_k) \mapsto (v_1, (\psi(v_1))^{-1}(v_2), \dots, (\psi(v_1))^{-1}(v_k))$$

where  $(\psi(v_1))^{-1}(v_i)$  are in  $0 \times \mathbb{R}^{n-1}$ . The well-definedness follows from  $\psi(v_1)^{-1}$  is an orthogonal matrix such that  $\psi(v_1)^{-1}(v_1) = e_1$ . This means, that  $\psi(v_1)^{-1}(v_2, \dots, v_k)$  will be an orthonormal  $k-1$ -set that is also orthogonal to  $e_1$ , i.e. they sit in  $0 \times \mathbb{R}^{n-1}$ . He also rambles, as to why this is continuous.

It is a homeomorphism. This shows that around  $e_1, e_2, \dots, e_k$   $V_{k,n}$  is locally a manifold of dimension  $(n-1) + \dim(V_{k-1,n-1}) = (n-1) + (n-2 + \dots + n-k)$ .

We have a continuous inverse:

$$S_+^{n-1} \times V_{k-1,n-1} \rightarrow U \quad (v, w_1, \dots, w_{k-1}) \mapsto (v, \psi(v)(0, w_1), \dots, \psi(v)(0, w_{k-1}))$$

Now let  $(v_1, \dots, v_k) \in V_{k,n}$  be any point. We choose an extension to an orthonormal basis  $(v_1, \dots, v_k, v_{k+1}, \dots, v_n)$ . Set  $A = (v_1, \dots, v_n) \in O(n)$ . then

$$A \cdot \_ : V_{k,n} \rightarrow V_{k,n}$$

is a self homeomorphism that sends  $(w_1, \dots, w_k) \mapsto (A \cdot w_1, \dots, A \cdot w_k)$  and specifically  $e_1, \dots, e_k$  to  $v_1, \dots, v_k$ . So the homeomorphism takes the previous neighborhood  $U$  homeomorphically onto the neighborhood  $A \cdot U$  of  $(v_1, \dots, v_k)$   $\square$

**Remark 3.6.** What we really showed is, that  $V_{k,n} \rightarrow S^{n-1}$ ,  $(v_1, \dots, v_k) \mapsto v_1$  is a smooth locally trivial fiberbundle with fiber  $V_{k-1,n-1}$ .

**Note.** Complex Stiefel Manifold. We can also define

$$V_{k,n}^{\mathbb{C}} = \{(v_1, \dots, v_k) \in \mathbb{C}^n : \langle v_i, v_j \rangle = \delta_{i,j}\}$$

where  $\delta$  denotes the Kronecker-symbol and we use the hermitian complex bilinear product.

This is a manifold of dimension  $(2n-1) + (2n-3) + (2n-5) + \dots + (2n-2k+1) = 2nk - k^2$ . We will see.

$$V_{0,n}^{\mathbb{C}} = \{\cdot\} \quad V_{1,n}^{\mathbb{C}} = S^{2n-1}, \quad V_{n-1,n} \cong SU(n), \quad V_{n,n} \cong U(n)$$

For the quaternions  $\mathbb{H} = \mathbb{R}\{1, i, j, k\}$  with  $i^2 = j^2 = k^2 = ijk = -1$ , we have quaternionic conjugation  $\lambda = a + bi + cj + dk \rightarrow \bar{\lambda} = a - bi - cj - dk$  that is an anti-isomorphism:  $\lambda \bar{\cdot} \mu = \bar{\mu} \cdot \bar{\lambda}$ . This gives a „Quaternionic skalar product“ on  $\mathbb{H}^n$  is defined by  $[x, y] := \bar{x}_1 y_1 + \dots + \bar{x}_n y_n$  for  $x, y \in \mathbb{H}^n$ . This is an  $\mathbb{H}$ -sesquilinear, non degenerate positive definite  $\mathbb{R}$ -bilinear form.

With the right definitions and being careful, all of this works.

This gives Quaternionic Stiefel manifolds:

$$V_{k,n}^{\mathbb{H}} = \{(v_1, \dots, v_k) \in (\mathbb{H}^n)^k : [v_i, v_j] = \delta_{i,j}\}$$

is a manifold of dimension  $(4n-1) + (4n-5) + \dots + (4n-4k+3) = 4nk - k(2k-1)$ . And we see again

$$V_{1,n}^{\mathbb{H}} = S^{4n-1}, \quad V_{n,n}^{\mathbb{H}} = Sp(n) = \{A \in M(n \times n, \mathbb{H}) : A \cdot \bar{A}^t = \bar{A}^t \cdot A = E_n\}$$

Where  $Sp$  is the symplectic group. There is no such thing as a special symplectic group, because you would need determinant for that, which then really needs commutativity.

**Construction 3.7** (Grassmann manifolds). Let  $0 \leq k \leq n$  The Grassmann manifold of  $k$ -pairs in  $\mathbb{R}^n$  is

$$Gr(k, n) = Gr_k(n) = Gr_k(\mathbb{R}^n) = \{L \subseteq \mathbb{R}^n : L \text{ is } k\text{-dimensional } \mathbb{R}\text{-subspace.}\}$$

There is a surjective map

$$\text{span}: V_{k,n} \rightarrow Gr(k, n) \quad (v_1, \dots, v_k) \mapsto \text{span}(v_1, \dots, v_k).$$

we give  $Gr(k, n)$  the quotient topology. Next time we will see  $Gr(k, n)$  is a compact manifold of dimension  $k \cdot (n - k)$ .

The map  $Gr(k, n) \mapsto Gr(n - k, n)$  given by  $L \mapsto L^\perp$  is a homeomorphism.

[07.05.2025, Lecture 9]

[12.05.2025, Lecture 10]

**Example.** We have  $Gr(1, n) = \mathbb{R}P^{n-1}$ .

### Theorem 3.8: Grassmann Manifolds

$Gr(k, n)$  is a compact manifold of dimension  $k \cdot (n - k)$ .

*Proof.* We first show compactness. Quasicompactness is clear, as it is a quotient space of a compact space.

We will show Hausdorff by constructing an injection into a Hausdorff space. For  $V \in Gr(k, n)$  we consider the orthogonal projection  $p_V: \mathbb{R}^n \rightarrow \mathbb{R}^n$ . Let  $(v_1, \dots, v_k)$  be an orthonormal basis. then

$$p_V(x) = \langle x, v_1 \rangle \cdot v_1 + \dots + \langle x, v_k \rangle \cdot v_k$$

We will sometimes also write  $p_V: \mathbb{R}^n \rightarrow \mathbb{R}^k$ .

The map  $Gr(k, n) \rightarrow \text{Hom}_{\mathbb{R}}(\mathbb{R}^n \rightarrow \mathbb{R}^n)$  given by  $V \mapsto p_V$  is injective. Claim: this map is continuous.

By the quotient topology, we need to show, that the composite  $V_{k,n} \rightarrow Gr(k, n) \rightarrow \text{Hom}_{\mathbb{R}}(\mathbb{R}^n, \mathbb{R}^n)$  is continuous. This map is

$$(v_1, \dots, v_k) \mapsto \sum_{i=1, \dots, k} \langle \_, v_i \rangle \cdot v_i$$

and as a sum of continuous maps it is continuous. Because  $Gr(kn)$  admits an injective continuous map to a Hausdorff space, it is Hausdorff.

**Manifold property.** Let  $V \in Gr(k, n)$  be any  $k$ -plane. Set  $U := \{L \in Gr(k, n) : L \cap V^\perp = \{0\}\}$ . Claim:  $U$  is an open subset of  $Gr(k, n)$ . We choose an orthonormal basis  $(v_1, \dots, v_k)$  of  $V$ .

**Claim.**  $\text{span}^{-1}(U) = \{(l_1, \dots, l_k) : \det(\langle l_i, v_j \rangle)_{1 \leq i, j \leq k} \neq 0\} \subseteq V_{k,n}$ .

If we show this, we are done, as  $\det \neq 0$  is an open condition.

**Note.**  $V^\perp$  is the kernel of  $p_V: \mathbb{R}^n \rightarrow \mathbb{R}^n$ . So  $L \cap V^\perp = \{0\} \Leftrightarrow pr|_L: L \rightarrow V$  is injective.

As  $\dim(L) = \dim(V) = k$ , this is equal to  $pr|_L: L \rightarrow V$  is bijective. Since  $(\langle l_i, v_j \rangle)_{1 \leq i, j \leq k}$  is the matrix that expresses  $(pr)|_L$  in terms of the basis  $(l_i)_{1 \leq i \leq k}$  and  $(v_j)_{1 \leq j \leq k}$ , this is equivalent to  $\det(\langle l_i, v_j \rangle) \neq 0$ .

The map  $V_{k,n} \rightarrow \mathbb{R}, (l_1, \dots, l_k) \mapsto \det(\langle l_i, v_j \rangle)$  is continuous, so  $\text{span}^{-1}(U)$  is open in  $V_{k,n}$ , hence  $U$  is open in  $Gr(k, n)$ .

Next, we exhibit a homeomorphism

$$\begin{array}{ccc} & \Psi & \\ U & \xrightarrow{\quad} & \text{Hom}_{\mathbb{R}}(V, V^\perp) \\ & \Gamma & \end{array}$$

We then use  $\dim(V) = k, \dim(V^\perp) = n - k$ , so  $\text{Hom}_{\mathbb{R}}(V, V^\perp) \cong \mathbb{R}^{k(n-k)}$ .

Note that  $\Gamma(f) \cap V^\perp = \{v \oplus f(V) : v = 0\} = \{0, 0\}$ .

We define  $\Gamma: \text{Hom}(V, V^\perp) \rightarrow U$  using that  $\mathbb{R}^n = V \oplus V^\perp$ . Then

$$\Gamma(f: V \rightarrow V^\perp) = \text{Graph of } f = \{v \oplus f(v) : v \in V\}$$

The graph map factors as the composite after choice of orthonormal basis  $v_1, \dots, v_k$  of  $V$  as

$$\text{Hom}_{\mathbb{R}}(V, V^\perp) \xrightarrow{\text{Gram-Schmidt}} V_{k,n} \xrightarrow{\text{span}} Gr(k, n)$$

so  $\Gamma$  is a continuous map.

We define  $\Psi: U \rightarrow \text{Hom}_{\mathbb{R}}(V, V^\perp)$  as follows: If  $L \in U$ , then  $p_V|_L: L \rightarrow V$  is a linear isomorphism.

We define  $\Psi(L)$  as the composite  $V \xrightarrow{(p_V|_L)^{-1}} L \xrightarrow{(p_{V^\perp})|_L} V^\perp$ .

This is inverse to  $\Gamma$  by go check yourself.

For Continuity of  $\Psi: U \rightarrow \text{Hom}_{\mathbb{R}}(V, V^\perp)$ . Since  $\text{span}: V_{k,n} \rightarrow Gr(k, n)$  is a quotient map, so is its restriction

$$\text{span}: \text{span}^{-1}(U) \rightarrow U$$

So it suffices to show, that the composite

$$\text{span}^{-1}(U) \rightarrow U \xrightarrow{\Psi} \text{Hom}_{\mathbb{R}}(V, V^\perp)$$

is continuous.

To prove that, we choose orthonormal bases  $(v_1, \dots, v_k)$  of  $V$  and  $w_1, \dots, w_{n-k}$  of  $V^\perp$ . Expressing a linear map in the basis is a linear isomorphism

$$\text{Hom}_{\mathbb{R}}(V, V^\perp) \cong M(k \times (n - k), \mathbb{R}).$$

So we only need to show that

$$\text{span}^{-1}(U) \xrightarrow{\text{span}} U \xrightarrow{\Gamma} \text{Hom}_{\mathbb{R}}(V, V^\perp) \cong M(k \times (n - k), \mathbb{R})$$

is continuous. Did not copy the argument. Something about how we just compose matrices.  $\square$

**Corollary 3.9.** The map  $Gr(k, n) \rightarrow P_{k,n} := \{q \in \text{Hom}_{\mathbb{R}}(\mathbb{R}^n, \mathbb{R}^n) : q^2 = q = q^*, \text{trace}(q) = k\}$  is a homeomorphism.

**Corollary 3.10.** For all  $0 \leq k \leq n$ , the map  $Gr(k, n) \rightarrow Gr(n - k, n)$  given by  $V \mapsto V^\perp$  is an homeomorphism.

*Proof.* We need only show continuity.

$$\begin{array}{ccc} Gr(k, n) & \xrightarrow{V \mapsto V^\perp} & Gr(n - k, n) \\ \parallel & & \parallel \\ P_{k,n} & \xrightarrow{f \mapsto \text{Id} - f} & P_{n-k,n} \end{array}$$

$\square$

We can define the complex analogue:  $Gr^{\mathbb{C}}(k, n) = \{L \subseteq \mathbb{C}^n : L \text{ is a complex linear subspace}\}$  with quotient topology by  $V_{k,n}^{\mathbb{C}} \xrightarrow{\text{span}} Gr^{\mathbb{C}}(k, n)$  is a compact manifold of dimension  $2k \cdot (n - k)$ .



We can even define this for Quaternions:

$$Gr^{\mathbb{H}}(k, n) = \{L \subseteq \mathbb{H}^n : \mathbb{H}\text{-right submodule of dimension } k\}$$

is a compact manifold of dimension  $4 \cdot k \cdot (n - k)$ .

**Bigger picture.** The orthogonal group  $O(n)$  acts transitively on  $V_{k,n}$ . This gives an isomorphism  $O(n)/(1 \times O(n - k)) \rightarrow V_{k,n}$ , that is even a homeomorphism.

Similarly, we have a transitive action  $O(n) \rightarrow Gr(k, n)$ . Looking at the stabilizer of  $\mathbb{R}^k$ . We get  $O(n)/O(k) \times O(n - k) \xrightarrow{\cong} Gr(k, n)$  an homeomorphism.

This works similarly for complex and quaternionic Stiefel/Graßmann manifolds. This can be summarized as: „Stiefel manifolds and Grassmannians are homogenous spaces“.

**Fact.** Let  $G$  be a liegroup.  $H$  a closed subgroup. Then  $G/H$  is a (smooth) manifold of dimension  $\dim G - \dim H$ .

### 3.1 Orientations

We will (again) try  $H_n(X)$  for  $H_n(X, \mathbb{Z})$  and see how long it takes for him to forget he wanted to drop the  $\mathbb{Z}$  from the notation.

**Notation 3.11.** For  $Y \subseteq X$ , write  $H_n(X | Y) := H_n(X, X \setminus Y; \mathbb{Z})$ , we call the „local homology of  $X$  at  $Y$ “.

This is because for  $Y \subseteq U \subseteq X$ ,  $U$  a neighborhood of  $Y$ , then excision gives

$$H_n(U | Y) = H_n(U; U \setminus Y; \mathbb{Z}) \xrightarrow{\cong} H_n(X, X \setminus Y; \mathbb{Z}) = H_n(X | Y)$$

If  $M$  is an  $m$ -manifold, and  $x \in M$ , then  $H_n(X | x) = H_n(X | \{x\})$ . This is  $\mathbb{Z}$  iff  $m = n$  and else 0.

#### Definition 3.12: Local orientation

Let  $M$  be an  $m$ -manifold. A local orientation of  $M$  at  $x \in M$  is a generator of  $H_m(X | x)$ .

There are exactly two local orientations at every point.

**Construction 3.13** (Orientation covering). Let  $M$  be an  $m$ -manifold. We define the set  $\tilde{M} = \{(x, \mu) : x \in M, \mu \text{ is a local orientation at } x\}$ . This comes with a map  $p: \tilde{M} \rightarrow M$ ,  $p(x, \mu) = x$ . This map is surjective and every point in  $M$  has exactly two preimages.

A subset  $B$  of  $M$  is a *Local ball* if  $B$  is a local subset of  $M$ , such that there exists a homeomorphism  $\phi: \mathbb{R}^n \rightarrow M$  onto some open subset, such that  $\phi(\langle D \rangle^n) = B$ .

**Note.** If  $B$  is a local ball in  $M$ , then  $M \setminus B \rightarrow M \setminus \{x\}$  is a homotopy-equivalence (here we need the special definition of open ball). This induces an isomorphism  $r_x^B: H_m(M | B) \rightarrow H_m(X | x) \cong \mathbb{Z}$  for all  $x \in B$ . If  $\mu$  is a local orientation at  $x$ , i.e. a generator of  $H_m(X | B)$ , we set  $U(B, \mu) = \{(x, r_x^B(\mu)) : x \in B\} \subseteq \tilde{M}$ .

**Theorem 3.14: Orientation covering**

Let  $M$  be an  $m$ -manifold.

1. As  $(B, \mu)$  varies over all pairs of local balls  $B$  and generators  $\mu$  of  $H_m(M | B)$ , the subset  $U(B, \mu)$  of  $\tilde{M}$  are the basis of a topology on  $\tilde{M}$ .
2. In this topology on  $\tilde{M}$ , the map  $p: \tilde{M} \rightarrow M$ ,  $p(x, \mu) = x$  is a twofold covering, the orientation covering of  $M$ .
3.  $\tilde{M}$  is an  $m$ -manifold.

*Proof.* 1. We need to show, that for all local balls  $B, B'$  and all generators  $\mu \in H_m(X | B)$ ,  $\mu' \in H_m(X, B')$ , the set  $U(B, \mu) \cap U(B', \mu')$  is a union of basiss sets. Let  $(x, \nu) \in U(B, \mu) \cap U(B', \mu')$ . so  $x \in B \cap B'$ . and  $r_x^B(\mu) = r_x^{B'}(\mu') := \nu$ .

Choose a smaller local ball, s.t.  $x \in B'' \subseteq B \cap B'$ . We consider the following diagram of local homology groups:

$$\begin{array}{ccccc}
 H_m(X | B) & & & & \\
 \downarrow & \searrow \cong & & \searrow \cong & \\
 H_m(X | B \cap B') & \longrightarrow & H_m(X | B'') & \xrightarrow[\cong]{r_x^{B''}} & H_m(X | x) \\
 \uparrow & \nearrow \cong & & \nearrow \cong & \\
 H_m(X | B') & & & & 
 \end{array}$$

so  $\mu$  and  $\mu'$  map to the same generator of  $H_m(X | B'')$ . Set  $\mu'' = \text{incl}_*(\mu) = \text{incl}'_*(\mu')$ . Then  $(x, \nu) \in U(B'', \mu'') \subseteq U(B, \mu) \cap U(B', \mu')$

2. Because  $M$  is a manifold, the local balls form a basis of a topology of  $M$ . So it suffices to establish for all local balls  $B$  in  $M$  a homeomorphism

$$\begin{array}{ccc}
 p^{-1}(B) & \cong & B \amalg B \\
 \downarrow p & \swarrow \text{fold} & \\
 B & & 
 \end{array}$$

I did not manage to copy the rest of this argument.

3. is a special case of

**Proposition 3.15.** Let  $p: N \rightarrow M$  be a covering map and  $M$  and  $m$ -manifold. Then  $N$  is an  $m$ -manifold.

*Proof.* Hausdorff is clear.

For  $y \in N$  choose an open neighborhood  $U$  of  $p(y) = x$  in  $M$ , such that  $U \cong \mathbb{R}^m$  and  $p$  is locally trivial over  $U$ . Choose a homeomorphism of  $p^{-1}(U) \cong U \times F$  for  $F$  some discrete space. Then  $U \times f$  is again homeomorphic to  $\mathbb{R}^n$  and its preimage is an open neighborhood of  $y \in N$ . □

□

[12.05.2025, Lecture 10]  
[19.05.2025, Lecture 11]<sup>1</sup>

<sup>1</sup>I recently got a new laptop (including a new keyboard), which may negatively affect my writing speed and subsequently quality of the script for the next few lectures

**Definition 3.16: Orientation**

An orientation of an  $m$ -manifold is a continuous section  $s: M \rightarrow \tilde{M}$  of  $p$  the orientation covering.

**Definition 3.17: Orientability**

An manifold is orientable, if it has an orientation.

**Remark 3.18.** If  $M$  is connected and orientable,  $s: M \rightarrow \tilde{M}$  an orientation. Then  $\tau \circ s: M \rightarrow \tilde{M}$  is another orientation where  $\tau: \tilde{M} \rightarrow \tilde{M}, (x, \mu) \mapsto (x, -\mu)$ .

Then  $M \amalg M \cong \tilde{M}$  is a homeomorphism and  $p: \tilde{M} \rightarrow M$  is the trivial covering.

So for connected  $M$ , the following are equivalent:  $M$  is orientable,  $p: \tilde{M} \rightarrow M$  has a continuous section,  $p$  is trivial, i.e.  $\tilde{M} \cong M \amalg M$ .

An orientable connected manifold has exactly two orientations

If  $M$  is orientable and has  $n$  path-components, then  $M$  has exactly  $2^n$  orientations.

**Corollary 3.19.** Let  $M$  be a connected  $m$ -manifold such that for some (hence any)  $x \in M$ , the group  $\pi_1(M, x)$  does not have a subgroup of index 2. Then  $M$  is orientable.

*Proof.* We argue by contradiction. If  $M$  was not orientable, then  $p: \tilde{M} \rightarrow M$  is not a product covering. So  $\tilde{M}$  is path connected, so for every  $\tilde{x} \in \tilde{M}$  the homomorphism on fundamental groups  $p_*: \pi_1(\tilde{M}, \tilde{x}) \rightarrow \pi_1(M, p(\tilde{x}))$  is a monomorphism with image of index 2. So  $\text{Im}(p_*)$  is an index 2 subgroup of  $\pi_1(M, x)$ .  $\square$

This gives that in particular every simply connected manifold is orientable.

**Example 3.20.** The spaces  $S^n$  (for  $n \geq 2$ ),  $\mathbb{C}P^n, \mathbb{H}P^n$  are orientable manifolds.

He continues to draw, that  $S^1$  is also orientable.

Let  $M$  be an  $m$ -manifold, that is also a topological group, i.e. there is a continuous map  $m: M \times M \rightarrow M$  that is also a group structure on  $M$  and such that  $m \mapsto m^{-1}$  is continuous. Then  $M$  is orientable.

*Proof.* choose a local orientation  $\mu \in H_m(M | 1)$ , where  $1 \in M$  is the multiplicative unit. For every  $m \in M$ ,

$$m \cdot \_ : M \rightarrow M$$

is a homeomorphism that takes 1 to  $m$ , so  $(m \cdot \_)*: H_m(M | 1) \rightarrow H_m(M | m)$  is an isomorphism. Set  $\mu_m := (m \cdot \_)*(\mu)$ . Then  $\{\mu_m\}_{m \in M}$  is an orientation of  $M$ .  $\square$

Examples for this are  $S^1, O(n), U(n), \text{Sp}(n), SO(n), SU(n), \dots$

**Proposition 3.21.** Let  $M$  be any  $n$ -manifold.

1. The manifold  $\tilde{M}$  is orientable, and the map  $\tau: \tilde{M} \rightarrow \tilde{M}$  given by  $\tau(x, \mu) = (x, -\mu)$  is orientation reversing.
2. Let  $q: N \rightarrow M$  be a twofold covering and  $N$  be orientable manifold,  $\tau: N \rightarrow N$  the free deck-transformation. If  $\tau: N \rightarrow N$  is orientation reversing, then  $q: N \rightarrow M$  is isomorphic as a covering to  $p: \tilde{M} \rightarrow M$ .

*Proof.*

1. Let  $\tilde{x} = (x, \mu) \in \tilde{M}$  be any point in  $\tilde{M}$ . Since  $p: \tilde{M} \rightarrow M$  is a local homeomorphism. so  $p: H_n(\tilde{M}, \tilde{x}) \xrightarrow{\cong} H_n(M, x) \ni \mu$ . Set  $\mu_{\tilde{x}} := p_*^{-1}(\mu)$ . then  $\{\mu_{\tilde{x}}\}_{\tilde{x} \in \tilde{M}}$  is an orientation of  $\tilde{M}$ .

The map  $\tau: \tilde{M} \rightarrow \tilde{M}$  reverses this orientation.

$$\tau_*: H_n(\tilde{M}, \tilde{x}) \rightarrow H_n(\tilde{M}, \tau_*(\tilde{x})) \quad p_*^{-1}(\mu) \mapsto \tau_*(p_*^{-1}(\mu)) = p_*^{-1}(\mu) = -p_*^{-1}(-\mu) = \mu_{\tau(\tilde{x})}$$

2. We have

$$\begin{array}{ccc} N & & \tilde{M} \\ & \searrow q & \swarrow p \\ & M & \end{array}$$

and we look for a map  $N \rightarrow \tilde{M}$ . Define  $f: N \rightarrow \tilde{M}$  by  $f(y) = (q(y), q_*(\mu_y))$ . We use  $q_*: H_n(N | y) \xrightarrow{\cong} H_n(M | q(y))$ . this  $f$  is continuous. We will not check this,  $f$  commutes with the free involution:

$$f(\tau y) = (q(y), q_*(\mu_{\tau y})) = (q(y), q_*(-\tau_*(\mu_y))) = (q(y), -q_*(\mu_y)) = \tau(q(y), q_*(\mu_y)) = \tau(f(y))$$

So  $f$  is a continuous bijection over  $M$ , hence a homeomorphism.

□

### 3.1.1 Orientability of $\mathbb{R}P^n$

We already know  $\mathbb{R}P^1$  and  $\mathbb{R}P^3$  are orientable, as  $\mathbb{R}P^1 \cong S^1$  and  $\mathbb{R}P^3 \cong SO(3)$ .

**Recall.** The antipodal map  $A: S^n \rightarrow S^n$ , given by  $x \mapsto -x$  has degree  $(-1)^{n+1}$ .

Let  $\mu \in H_n(S^n, \mathbb{Z})$  be any generator, define an orientation on  $S^n$  by  $x \in S^n : \mu_x := r_x^{S^n}(\mu) \in H_n(S^n(x))$ .

We look at

$$\begin{array}{ccc} H_n(S^n, \mathbb{Z}) & \xrightarrow[r_x^{S^n}]{\cong} & H_n(S^n | x) \\ \downarrow A_* & & \downarrow A_* \\ H_n(S^n, \mathbb{Z}) & \xrightarrow{\cong} & H_n(S^n | -x) \end{array}$$

Some of this diagram is missing.

this gives if  $n$  is even, then  $A: S^n \rightarrow S^n$  is orientation reversing. if  $n$  is odd, then  $A: S^n \rightarrow S^n$  is orientation preserving:

So for even  $n$ , then  $q: S^n \rightarrow \mathbb{R}P^n$  is twofold covering and flip reverses orientation, so this „is“ the orientation covering. As  $S^n \not\cong \mathbb{R}P^n \amalg \mathbb{R}P^n$  we have no continuous section to  $S^n \xrightarrow{q} \mathbb{R}P^n$  and  $\mathbb{R}P^n$  is not orientable.

For  $n$  odd we have

**Proposition 3.22.** Let  $f: N \rightarrow N$  be continuous free involution of a connected oriented  $m$ -manifold. Then

1.  $M := N/x \sim f(x)$  is an  $m$ -manifold.
2. If  $N$  is orientable and  $f$  is orientation preserving, then  $M$  is orientable.

*Proof.* 1. We have implicitly already done he's trying to convince me.

2. Choose an orientation  $\{\mu_y\}_{y \in N}$  of  $N$ . We define an orientation of  $M$  as follows: For  $x \in M$  choose  $y \in N$ , such that  $p(y) = x$ . Then we have

$$p_*: H_n(N | y) \xrightarrow{\cong} H_n(M | x)$$

and set  $\mu_x := q_*(\mu_y)$ . This is independent of the choice of  $y$ : the other choice is  $f(y)$ .

Some diagram I couldn't copy. As  $f$  is orientation preserving, the choice does not matter.

□

Then  $\mathbb{R}P^n$  is orientable for  $n$  odd.

**Next.** We want to show, that for a  $m$ -manifold and all  $n > m$ :  $H_n(M, A) = 0$ . As a slogan „Homology vanishes above the geometric dimension.“

In many examples,  $m$ -manifolds  $M$  have  $m$ -dimensional CW-structure, e.g.

$$S^n, \mathbb{R}P^n, \mathbb{H}P^n, \mathbb{C}P^n$$

We could also produce CW-structure on the Grassmannians and Stiefel-Manifolds.

**Warning.** an  $m$ -manifold need not admit a CW-structure! Smooth manifolds admit triangulations, hence CW-structures. But there are non-smoothable manifolds, that do not admit CW-structures.

### Theorem 3.23: Vanishing homology in high dimensions

Let  $M$  be an  $m$ -manifold; let  $K$  be a compact subset of  $M$ . Then

1.  $H_i(M, M \setminus K; A) = 0$  for all  $i > n$  and  $A$  any abelian group.  
In particular, if  $M$  is compact, then  $H_i(M; A) = 0$  for all  $i > n$  and all  $A$ .
2. A class in  $H_n(M, M \setminus K, A)$  is zero if and only if for all  $x \in K$ , its image under  $r_x^K: H_n(M, M \setminus K, A) \rightarrow H_n(M, M \setminus \{x\}; A)$  is zero.  
In particular, if  $M$  is compact, the maps  $r_x: H_n(M, A) \rightarrow H_n(M, M \setminus \{x\}; A)$  are jointly injective.

*Proof.* In 6 bootstrapping-steps.

**Step 1** If  $M = \mathbb{R}^n$ , and  $K$  is a convex compact subset. Choose  $R > 0$  such that  $K$  is contained in the open ball of radius  $R$  around  $y \in K$ .

$$\{z \in \mathbb{R}^n : |z - y| = R\} =: S_R^{n-1} \subseteq M \setminus K \subseteq M \setminus \{y\}$$

and these are homotopy equivalences.

So  $H_i(M | K) \cong H_i(M | x) = 0$  for  $i > n$ .

**Step 2**  $K_1, K_2$  two compact subsets of  $M$ . Suppose the claim holds for  $K_1, K_2$  and  $K_1 \cap K_2$ . Then it also holds for  $K_1 \cup K_2$ .

We do this by a Mayer-Vietoris argument for local homology.

$$M \setminus (K_1 \cap K_2) = (M \setminus K_1) \cup (M \setminus K_2)$$

$$\text{And } M \setminus K_1 \cap M \setminus K_2 = M \setminus (K_1 \cup K_2)$$

Remembering the theorem of small simplices we get long fractions of chain complexes, which I did not copy. We get a long exact sequence of homology groups

$$H_{n+1}(M | K_1 \cap K_2) \xrightarrow{\partial} H_n(M | K_1 \cup K_2) \rightarrow H_n(M | K_1) \oplus H_n(M | K_2) \rightarrow H_n(M | K_1 \cap K_2)$$

For  $i > n$  we have  $H_i(M \mid K_1 \cup K_2)$  lies between  $H_{i+1}(M \mid K_1 \cap K_2) = 0$  and  $H_i(M \mid K_1) \oplus H_i(M \mid K_2) = 0$ .

For  $i = n$

$$0 = H_{n+1}(M \mid K_1 \cap K_2) \rightarrow H_n(M \mid K_1 \cup K_2) \hookrightarrow H_n(M \mid K_1) \oplus H_n(M \mid K_2)$$

Let  $Z \in H_n(M \mid K_1 \cup K_2)$  such that  $r_x^{K_1 \cup K_2}(z) = 0$  for all  $x \in K_1 \cup K_2$ .

**Claim.**  $r_{K_1}^{K_1 \cup K_2}(z) = 0$ . To see this pick  $x \in K_1$ . Then

$$\begin{array}{ccc} H_n(M \mid K_1 \cup K_2) & \xrightarrow[r_{K_1}^{K_1 \cup K_2}]{} & H_n(M \mid K_1) \\ & \searrow & \downarrow r_x^{K_1} \\ & & H_n(M \mid x) \end{array}$$

For all  $x \in K_1$ ,  $r_x^{K_1}(r_{K_1}^{K_1 \cup K_2}(z)) = 0$  and so  $r_{K_1}^{K_2 \cap K_1}(z) = 0$  because the claim ii) holds for  $K_1$ . Similarly  $r_{K_2}^{K_1 \cap K_2}(z) = 0$  and then also  $z = 0$  by the injectivity of

$$(r_{K_1}^{K_1 \cap K_2}, r_{K_2}^{K_1 \cup K_2})$$

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[19.05.2025, Lecture 11]  
[21.05.2025, Lecture 12]

### Interlude.

**Construction 3.24.** Let  $\mu \in H_m(M \mid x)$  be a local orientation of a manifold  $M$  at  $x$ . Let  $\nu \in H_n(N \mid y)$  similarly. We construct the relative Künneth isomorphism

$$\begin{array}{ccc} H_m(M \mid x) \otimes H_n(N \mid y) & & H_{m+n}(M \times N \mid (x, y)) \\ \parallel & & \parallel \\ H_m(M, M \setminus \{x\}; \mathbb{Z}) \otimes H_n(N, N \setminus \{y\}; \mathbb{Z}) & \xrightarrow{\times} & H_{m+n}(M \times N, M \times (N \setminus \{y\}) \cup (M \setminus \{x\}) \times N; \mathbb{Z}) \end{array}$$

„We can combine local orientations to get some in the product.“  $\mu \times \nu$  is a local orientation of  $M \times N$  at  $(x, y)$ . Because we didn't make any choices, this is probably continuous. Let  $\{\mu_x\}_{x \in X}$  and  $\{\nu_y\}_{y \in Y}$  be orientation of  $M$  and  $N$ . Then  $\{\mu_x \times \nu_y\}_{(x,y) \in X \times Y}$  is an orientation of  $M \times N$ .

### End of interlude.

**Step 3** Let  $M = \mathbb{R}^n$ ,  $K = K_1 \cup \dots \cup K_m$  for  $K_1, \dots, K_m$  being compact convex subsets of  $M = \mathbb{R}^n$ .

*Proof.* By induction on  $m$ .  $m = 1$  is clear by step 1.

Now let  $K = (K_1 \cup \dots \cup K_{m-1}) \cup K_m$  where we have for both terms the conditions by induction. We need to look at

$$(K_1 \cup \dots \cup K_{m-1}) \cap K_m = (K_1 \cap K_m) \cup \dots \cup (K_{m-1} \cap K_m)$$

where we use that the intersection of convex subsets is again convex. Then the union is again fulfilling the conditions by induction.  $\square$

**Step 4**  $M = \mathbb{R}^n$  and  $K$  any compact subset of  $\mathbb{R}^n$ . We need the following claim.

**Claim.** Let  $\alpha \in H_i(\mathbb{R}^n | K)$ . Then there is a compact neighborhood  $N$  of  $K$  and a class  $\alpha' \in H_i(\mathbb{R}^n | N)$  such that  $\alpha \in r_K^N(\alpha')$ .

*Proof.* Let  $\alpha = [x + C_i(\mathbb{R}^n \setminus K)]$  for some  $x \in C_i(\mathbb{R}^n)$  such that  $d_i(x) \in C_{i-1}(\mathbb{R}^n \setminus K)$ . Then

$$d_i(x) = \sum_{\text{finite}} \alpha_j \cdot (f_j: \nabla^{i-1} \rightarrow \mathbb{R} \setminus K)$$

with  $\alpha_j \in A$  and  $f_j$  continuous. Set  $L = \text{supp}(d_i(x)) := \bigcup f_j(\nabla^{i-1})$  a compact subset of  $\mathbb{R}^n \setminus K$ . Now  $K, L$  are disjoint subsets of  $\mathbb{R}^n$ . so there is an  $N$ , compact neighborhood of  $K$  with  $N \cap L = \emptyset$ . You can for example look at  $\text{dist}(L, K) > 0$  and take  $N$  accordingly.

Then  $d_i(x) \in C_{i-1}(L) \subseteq C_{i-1}(\mathbb{R}^n \setminus N)$  so  $\alpha' := [x + C_i(\mathbb{R}^n \setminus N)] \in H_i(\mathbb{R}^n | N)$  satisfies  $r_K^N(\alpha') = \alpha$ .  $\square$

Now for the proof of step 4: either  $i > n$  or  $i = n$  and  $\text{res}_x^K(\alpha) = 0$  for all  $x \in K$ .

Let  $N$  be a compact neighborhood of  $K$  and  $\alpha' \in H_i(\mathbb{R}^n | N)$  with  $r_K^N(\alpha') = \alpha$ . Since  $K$  is compact, it can be covered with finitely many metric open balls in  $N$ . Let  $b_1, \dots, b_m$  be the closed metric balls, which still lie in  $N$ . Now  $K \subseteq B_1 \cup \dots \cup B_m \subseteq N$  and  $B_i$  is a convex compact subset, so laim i) and ii) hold for  $B_1 \cup \dots \cup B_m$ . So

$$r_{B_1 \cup \dots \cup B_m}^n(\alpha') = 0$$

if  $i > n$ . and

$$\text{res}_X^{B_1 \cup \dots \cup B_m}(\text{res}_{B_1 \cup \dots \cup B_m}^N(\alpha')) = 0$$

for  $i = n, x \in B_1 \cup \dots \cup B_m$ . And then

$$r_{B_1 \cup \dots \cup B_m}^N(\alpha') = 0$$

by step 3. and then  $\alpha = r_K^N(\alpha') = r_K^{B_1 \cup \dots \cup B_m}(\underbrace{r_{B_1 \cup \dots \cup B_m}^N(\alpha')}_{=0}) = 0$

**Step 5**  $M$  arbitrary, there is an open neighborhood  $U$  of  $K$  homeomorphic to  $\mathbb{R}^n$ .

Choose a homeomorphism  $\phi: U \xrightarrow{\cong} \mathbb{R}^n$ . contemplate the commutative diagram

$$\begin{array}{ccc} H_i(M | K) & \xleftarrow[\cong]{\text{excision}} H_i(U | K) & \xrightarrow[\phi_*]{\cong} H_i(\mathbb{R}^n | \phi(K)) \\ \downarrow r_*^K & & \downarrow r_{\phi(x)}^{\phi(K)} \\ H_i(U | x) & \xrightarrow[\phi_*]{\cong} & H_i(\mathbb{R}^n | \phi(x)) \end{array}$$

**Step 6** General case.

**Claim.** There are compact subsets  $K_1, \dots, K_m$  of  $M$  such that

- $K_i$  is contained in an open subset homeomorphic to  $\mathbb{R}^m$ .
- $K = K_1 \cup \dots \cup K_m$ .

When we prove this claim, we are done by step 2.

*Proof of claim.* Each  $x \in K$  has an open neighborhood homeomorphic to  $\mathbb{R}^n$ . So there is a compact neighborhood  $N_x$  of  $x$  such that  $x \in N_x \subseteq U \cong \mathbb{R}^n$ .  $K \subseteq \bigcup_{x \in K} N_x$  so by compactness  $K = N_{x_1} \cup \dots \cup N_{x_m}$ .  $\square$

$\square$

### 3.2 The fundamental class

We know  $H_n(S^n; \mathbb{Z}) \cong \mathbb{Z}$ ,  $H_{k+l}(S^k \times S^l; \mathbb{Z}) \cong \mathbb{Z}$ ,  $H_n(S^n \amalg S^n; \mathbb{Z}) \cong \mathbb{Z} \oplus \mathbb{Z}$  and  $H_{2n}(\mathbb{C}P^n; \mathbb{Z}) \cong \mathbb{Z}$ ,  $H_{4n}(\mathbb{H}P^n; \mathbb{Z}) \cong \mathbb{Z}$

$$H_n(\mathbb{R}P^n, \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & n \text{ odd} \\ 0 & n \text{ even.} \end{cases}$$

$$H_m(\mathbb{R}^n; \mathbb{Z}) = 0 \text{ for } n \geq 1.$$

The pattern should be for connected manifolds, their top homology is  $\mathbb{Z}$ , if  $M$  is compact and orientable.

We want to show:

**Proposition 3.25.** Let  $M$  be a compact connected  $n$ -manifold. Then

$$H_n(M; \mathbb{Z}) \cong \begin{cases} \mathbb{Z} & \text{if } M \text{ is orientable} \\ 0 & M \text{ is not orientable.} \end{cases}$$

For that we first study fundamental classes.

#### Theorem 3.26: Fundamental Classes

Let  $M$  be an oriented  $n$ -manifold and  $K$  a compact subset of  $M$ . then there is a unique class  $\mu_K \in H_n(M, M \setminus K; \mathbb{Z}) \cong H_n(M | K)$  such that

$$r_x^K(\mu_K) = \mu_x \in H_n(M | x)$$

for all  $x \in K$ .

The class  $\mu_K$  is the relative fundamental class .

**Special Case.** Let  $M$  be a compact oriented  $m$ -manifold. Then there is a unique class  $[M] \in H_n(M; \mathbb{Z})$  such that  $r_x^K([M]) = \mu_x$  for all  $x \in M$ . Then  $[M]$  is the fundamental class.

If in addition  $M$  is connected, then  $[M]$  generates  $H_n(M, \mathbb{Z}) \cong \mathbb{Z}$ .

*Proof.* Uniqueness is already done. We make a construction in three steps.

**Step 1** Suppose  $K$  is contained in an open subset  $U$  homeomorphic to  $\mathbb{R}^n$ . Then  $K$  is contained in a local ball  $B$  in the sense of the definition of the orientation covering. We consider the commutative diagram: For  $x, y \in K$ :

$$\begin{array}{ccccc} & & H_n(M | B) & & \\ & \swarrow r_x^B \cong & \downarrow r_K^B & \searrow r_y^B \cong & \\ & & H_n(M | K) & & \\ & \swarrow r_x^K & & \searrow r_y^K & \\ H_n(M | x) & & & & H_n(M | y) \end{array}$$

Then  $r_K^B(\mu_B)$  has the desired property.

**Step 2** Suppose that  $K = K_1 \cup K_2$ ,  $K_1, K_2$  compact, claim true for  $K_1$  and  $K_2$ . Let  $\mu_{K_1} \in H_n(M | K_1)$  and  $\mu_{K_2} \in H_n(M | K_2)$  be the relative fundamental classes. We showed in the proof of Step 2 of the previous result that the following is exact:

$$0 \rightarrow H_n(M | K) \xrightarrow{(r_{K_1}^K, r_{K_2}^K)} H_n(M | K_1) \oplus H_n(M | K_2) \rightarrow H_n(M | K_1 \cap K_2) \rightarrow \dots$$



so we see  $(\mu_{K_1}, \mu_{K_2}) \mapsto r_{K_1 \cap K_2}^{K_1}(\mu_{K_1}) - r_{K_1 \cap K_2}^{K_2}(\mu_{K_2})$ , where both terms are equal to  $\mu_{K_1 \cap K_2}$ , so their difference is 0. Any  $x \in K$  is contained in  $K_1 \cap K_2$ . If  $x \in K_1$ , then

$$r_x^K(\mu_K) = r_x^{K_1}(r_{K_1}^K(\mu_K)) = r_x^{K_1}(\mu_{K_1}) = \mu_x$$

**Step 3** General case. As in step 6 of the previous proof, we can write  $K = K_1 \cup \dots \cup K_m$  where all  $K_i$  are compact and all  $K_i$  are contained in an open subset homeomorphic to  $\mathbb{R}^n$ . Then  $\mu_{K_i}$  exists for all  $i = 1, \dots, m$  by step 1. By induction on  $m$  and Step 2 it holds for  $K = K_1 \cup \dots \cup K_m$ . □

We draw some corollaries:

**Theorem 3.27: Top Homology of connected Manifolds**

Let  $M$  be a connected, compact, oriented  $n$ -manifold. Then  $H_n(M; \mathbb{Z}) \cong \mathbb{Z}$ , generated by  $[M]$ .

Moreover, for all  $x \in M$ , the restriction  $r_x^M: H_n(M, \mathbb{Z}) \rightarrow H_n(M | x)$  is an isomorphism.

*Proof. Claim.* Let  $\alpha \in H_n(M, \mathbb{Z})$ . Then the set  $x \in \{M \mid r_x^M(\alpha) = 0 \text{ in } H_n(M | x)\}$  is open and closed in  $M$ .

Let  $x \in M$  be such that  $r_x^M(\alpha) = 0$  (or  $r_x^M(\alpha) \neq 0$ ). Let  $B$  be a local ball in  $M$  containing  $x$ . We get a commutative diagram: For  $x, y \in B$

$$\begin{array}{ccc} & H_n(M) & \\ & \downarrow r_B^M & \\ & H_n(M | B) & \\ \swarrow \cong \quad r_x^B & & \searrow r_y^B \quad \cong \\ H_n(M | x) & & H_n(M | y) \end{array}$$

For some reason, this shows the claim.

Then  $r_x^M: H_n(M; \mathbb{Z}) \rightarrow H_n(M | x) \cong \mathbb{Z}$  is surjective, because  $r_x^M([M]) = \mu_x$  generates  $H_n(M | x)$ . If  $\alpha \in H_n(M, \mathbb{Z})$  is such that  $r_x^M(\alpha) = 0$ , then  $\{y \in M : r_y^M(\alpha) = 0\}$  is open, closed and nonempty. Since  $M$  is connected, this set is all of  $M$ , so  $\alpha = 0$  by the detection property (ii) in the previous theorem. □

**Corollary 3.28.** Let  $M$  be a compact connected and non-orientable  $n$ -manifold. Then  $H_n(M; \mathbb{Z}) = 0$ .

*Proof.* Let  $\alpha \in H_n(M, \mathbb{Z})$ . As in the previous proof,  $\{x \in M : r_x^M(\alpha) = 0\}$  is an open and closed subset. Also  $r_x^M: H_n(M, \mathbb{Z}) \rightarrow H_n(M | x)$  is injective for all  $x \in M$ . Hence  $H_n(M; \mathbb{Z}) \hookrightarrow H_n(M | x) \cong \mathbb{Z}$ , so  $H_n(M; \mathbb{Z})$  is torsion free.

Let  $p: \tilde{M} \rightarrow M$  be the orientation covering. Since  $M$  is connected and not orientable,  $\tilde{M}$  is connected, compact and orientable. Let  $\{\mu_x\}_{x \in \tilde{M}}$  be an orientation of  $\tilde{M}$ . Then

$$H_n(\tilde{M}; \mathbb{Z}) \cong \mathbb{Z}$$

generated by  $[\tilde{M}]$ . Let  $\tau: \tilde{M} \rightarrow \tilde{M}$  be the nonidentity deck transformation. Then  $\tau$  is orientation reversing,  $\tau_*[\tilde{M}] = -[\tilde{M}]$  (A diagram I didn't copy as proof).

So  $p_*[\tilde{M}] = p_*(\tau_*([\tilde{M}])) = p_*(-[\tilde{M}]) = -p_*[\tilde{M}]$  so  $2 \cdot p_*[\tilde{M}] = 0$ . so by torsion-freeness  $p_*([\tilde{M}]) = 0$ .

As  $[\tilde{M}]$  generates  $H_n(\tilde{M}, \mathbb{Z})$ ,  $p_* = 0: H_n(\tilde{M}; \mathbb{Z}) \rightarrow H_n(M; \mathbb{Z})$ .

Let  $\text{tr}: H_n(M, \mathbb{Z}) \rightarrow H_n(\tilde{M}; \mathbb{Z})$  be the transfer map. Then  $0 = p_* \circ \text{tr} =$  multiplication by 2 on  $H_n(M; \mathbb{Z})$  so  $H_n(M, \mathbb{Z}) = 0$ .  $\square$

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[19.05.2025, Lecture 12]

[26.05.2025, Lecture 13]

———— Was unfortunately unable to attend the lecture. ————

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[26.05.2025, Lecture 13]

[28.05.2025, Lecture 14]

# Chapter 4

## Cap Product

Due to missing the last lecture I intended to copy the repetition Schwede does at the beginning of his lecture. However, public transport prevented me from copying the start of the Repetition. The most important definition of last lecture was the Cap-Product:

It will later be used for Poïncare-Duality.

### Definition 4.1: Cap-Product

Let  $X$  be a simplicial set,  $Y \subseteq X$  simplicial subset,  $R$  any commutative ring. For  $0 \leq i \leq n$  we define

$$\cap: C_n(X, Y; R) \times C^i(X, Y, R) \rightarrow C_{n-i}(X; R)$$

for

$$x \in C_n(X, Y; R) = \frac{R[X_n]}{R[Y_n]}, f \in C^i(X, Y; R), \text{ i.e. } f: X_i \rightarrow R, f(Y_i) = \{0\}$$

given by

$$x \cap f = \underbrace{f(x[0, i])}_{\in R} \cdot x[i, n]$$

and then i missed something due to broken board

**Proposition 4.2.** Let  $Y \subseteq X$  be simplicial sets,  $R$  some commutative ring. Then the following hold:

1.  $d(x \cap f) = (-1)^i \cdot (dx \cap f - x \cap df)$  for  $x \in C_n(X, Y; R), f \in C^i(X, Y; R)$
2. The cap product descends to a well defined and  $R$ -bilinear map

$$\cap: H_n(X, Y; R) \times H^i(X, Y; R) \rightarrow H_{n-1}(X, R), \quad [x] \cap [f] := [x \cap f]$$

3. If  $Y = \emptyset$   $\xi \in H_n(X, R), \alpha \in H^i(X, R), \beta \in H^j(X, R)$  we have

$$(\xi \cap \alpha) \cap \beta = \xi \cap (\alpha \cup \beta)$$

4. if  $Y = \emptyset$ , then  $\xi \cap 1 = \xi$ .

5. Let  $\Psi: X \rightarrow X'$  be a morphism of simplicial sets, s.t.  $\Psi(Y) \subseteq Y', \xi \in H_n(X, Y; R), \alpha \in H^i(X', Y', R)$ . Then

$$\Psi_*(\xi) \cap \alpha = \Psi_*(\xi \cap \Psi^*(\alpha))$$

*Proof.* 1. Was done last time.

2. Suppose  $dx = 0, df = 0$ , then

$$d(x \cap f) = (-1)^i \underbrace{((dx) \cap f - x \cap df)}_{=0} = 0$$

so  $x \cap f$  is a cycle. For  $y \in C_{n+1}(X, Y; R)$

$$(x + dy) \cap f = x \cap f \pm d(y \cap f)$$

which gives  $[(x+dy)\cap f] = [x\cap f]$  and similarly  $[x\cap(f+dg)] = [x\cap f]$  for  $g \in C^{i-1}(X, Y; R)$ .

3. Let  $x \in C_n(X; R)$  represent  $\xi \in H_n(X, R)$ . Let  $a: X_i \rightarrow R, b: X_j \rightarrow R$  represent  $\alpha \in H^i(X, R)$  and  $\beta \in H^j(X, R)$ . Then

$$\begin{aligned}
 (x \cap a) \cap b &= (a(x[0, i]) \circ x[i, n]) \cap b \\
 &= a(x[0, i]) \cdot (x[i, n] \cap b) \\
 &= a(x[0, 1]) \cdot b(x[i, n][0, j]) \cdot x[i, n][j, n-1] \\
 &= a(x[0, i]) \cdot b(x[i, i+j]) \cdot x[i+j, n] \\
 &= a(x[0, i+j][0, i]) \cdot b(x[0, i+j][i, i+j]) \cdot x[i+j, n] \\
 &= (a \cup b)(x[0, i+j]) \cdot x[i+j, n] \\
 &= x \cap (a \cup b)
 \end{aligned}$$

4. For some reason clear.

5. We let  $x \in X_n$  be an  $n$ -simplex,  $f \in C^i(X', Y'; R)$  representing a chain in  $H^i(X', Y'; R)$ .

$$\begin{aligned}
 \Psi_*(x) \cap f &= \Psi_n(x) \cap f \\
 &= f((\Psi_n(x))[0, i]) \cdot (\psi_n(x))[i, n] \\
 &= f(\psi_i(x[0, i])) \cdot \Psi_{[n-i]}(x[i, n]) \\
 &= \Psi_*(f(\Psi_i(x[0, i]))) \cdot x[i, n] \\
 &= \Psi_*(x \cap \Psi^*(f))
 \end{aligned}$$

□

# Chapter 5

## Poincaré Duality

### 5.1 Cohomology with compact support

We are working towards:

**Theorem 5.1: Poïncare-duality**

Let  $M$  be a compact  $n$ -manifold,  $i \geq 0$ .

- If  $M$  is oriented, then  $[M] \cap \_ : H^i(M; \mathbb{Z}) \rightarrow H_{n-i}(M; \mathbb{Z})$  is an isomorphism.
- The map  $\nu_M \cap \_ : H^i(M; \mathbb{F}_2) \rightarrow H_{n-i}(M; \mathbb{F}_2)$  is an isomorphism.

Our idea is to proof this by

- Proof for  $\mathbb{R}^n$
- Patching/Mayer-Vietoris argument  $M = U_1 \cup U_2$ .

However, we have a problem:  $M = \mathbb{R}$  is an oriented 1-manifold.  $H^1(\mathbb{R}; \mathbb{Z}) \cong 0$ , but  $H_0(\mathbb{R}; \mathbb{Z}) \cong \mathbb{Z}$ , which is not the same.

To solve this, we introduce Compactly supported cohomology as a variation of singular cohomology that has Poincaré duality for not necessarily compact manifolds: We will get

$$H_{comp}^i(M; \mathbb{Z}) \xrightarrow{\cong} H_{n-i}(M; \mathbb{Z})$$

for compact  $M$ .

**Construction 5.2.** Let  $X$  be a topological space,  $A$  an abelian group. A singular cochain  $f \in C^n(\mathcal{S}(X), A)$

$$f : \mathcal{S}(X)_n = \text{maps}^{\text{cont}}(\nabla^n; X) \rightarrow A$$

is supported in a subset  $K$  of  $X$  if  $f(\phi) = 0$  for all  $\phi : \nabla^n \rightarrow X$  with  $\phi(\nabla^n) \subseteq X \setminus K$ . Equivalently  $f$  belongs to the kernel of the homomorphism

$$C^n(\mathcal{S}(X); A) \rightarrow C^n(\mathcal{S}(X \setminus K); A)$$

$f \in C^n(\mathcal{S}(X); A)$  is *compactly supported* if there is a compact subset  $K$  of  $X$  such that  $f$  is supported on  $K$ .

**Proposition 5.3.** Compactly supported cochains form a subcomplex of  $C^*(\mathcal{S}(X); A)$ .

*Proof.* Suppose  $f : \mathcal{S}(X)_n \rightarrow A$  is supported on  $K$  with  $K$  compact. Then

$$(df)(\Psi) = \sum_{i=0, \dots, n+1} (-1)^i f(d_i^*(\Psi)) = \sum_{i=0, \dots, n+1} (-1)^i f(\Psi \circ (d_i)_*)$$

and then he outspeeded me. □

**Example 5.4.** There are no continuous maps  $\phi: \nabla^n \rightarrow X$  with image in  $X \setminus X$ , so every cochain  $f \in C^n(\mathcal{S}(X), A)$  is supported on  $X$ .

So if  $X$  is compact, then every cochain is compactly supported, hence  $C_{comp}^*(X, A) = C^*(X, A)$ .

**Example 5.5.** Note:  $\{0\}$  is a compact subset of  $\mathbb{R}^n$ . So

$$\underbrace{C^*(\mathcal{S}(X), \mathcal{S}(X \setminus \{0\}); A)}_{\text{Cochains supported on } \{0\}} \subseteq C_{comp}^*(\mathcal{S}(X), A)$$

**Proposition 5.6.** For all  $n \geq 1$ , all abelian groups  $A$ , the inclusion

$$C^*(\mathcal{S}(\mathbb{R}^n); \mathcal{S}(\mathbb{R}^n \setminus \{0\}); A) \rightarrow C_{comp}^*(\mathcal{S}(\mathbb{R}^n); A)$$

is a quasi-isomorphism. In particular:

$$H_{comp}^i(\mathbb{R}^n; A) = H^i(\mathbb{R}^n; \mathbb{R}^n \setminus \{0\}; A) \cong \begin{cases} A & \text{if } i = n \\ 0 & \text{else} \end{cases}$$

*Proof.* By the five lemma, it suffices to show that the quotient complex

$$\frac{C_{comp}^*(\mathcal{S}(\mathbb{R}^n))}{C^*(\mathcal{S}(\mathbb{R}^n), \mathcal{S}(\mathbb{R}^n \setminus \{0\}))}$$

is acyclic. Let  $f \in C_{comp}^i(\mathcal{S}(X))$  be a compactly supported cochain class in the quotient complex in a cocycle, i.e.  $df \in C^{i+1}(\mathcal{S}(X), \mathcal{S}(X \setminus \{0\}))$ . Then ?? of  $f$  is supported on  $\{0\}$ .

Since  $f$  is compactly supported and every compact subset of  $\mathbb{R}^n$  is contained in a sufficiently large ball, there is a  $r > 0$  s.t.  $f$  is supported on  $D_r^n = \{x \in \mathbb{R}^n : |x| \leq r\}$  the disc of radius  $r$  around 0. Write  $\text{res}(f)$  for the restriction of  $f$  to  $C^*(\mathcal{S}(X), \mathcal{S}(X \setminus D_r^n))$ . The inclusion

$$\mathbb{R}^n \setminus D_r^n \rightarrow \mathbb{R}^n \setminus \{0\}$$

is a homotopy equivalence, so the cohomology of the pair  $\mathbb{R}^n \setminus \{0\}; \mathbb{R}^n \setminus D_r^n$  is trivial. Equivalently, the residue cochain complex

$$C^*(\mathcal{S}(\mathbb{R}^n \setminus \{0\}), \mathcal{S}(\mathbb{R}^n \setminus D_r^n))$$

is trivial. So the cycle  $\text{res}(f)$  in the acyclic complex is a coboundary. So there exists  $g \in C^{i-1}(\mathcal{S}(\mathbb{R}^n \setminus \{0\}), \mathcal{S}(\mathbb{R}^n \setminus D_r^n))$  s.t.  $dg = \text{res}(f)$ . We extend  $g$  to a cochain

$$\tilde{g}: \mathcal{S}(X)_{i-1} \rightarrow A$$

by zero, i.e.

$$\tilde{g}(\phi) = \begin{cases} g(\phi) & \text{if } \phi(\nabla^{i-1}) \subseteq \mathbb{R}^n \setminus 0 \\ 0 & \text{else} \end{cases}$$

Then  $\text{res}(\tilde{g}) = g$ . In particular,  $\tilde{g}$  is supported on  $D_r^n$  because  $g$  is.

Then

$$\text{res}(d\tilde{g}) = d(\text{res}(\tilde{g})) = dg = \text{res}(f)$$

so  $\text{res}(f - d\tilde{g}) = 0$  in  $C^i(\mathcal{S}(\mathbb{R}^n \setminus \{0\}), \mathcal{S}(\mathbb{R}^n \setminus D_r^n))$ . so  $f - d\tilde{g}$  is supported on 0, so ?? to  $C^*(\mathcal{S}(\mathbb{R}^n); \mathcal{S}(\mathbb{R}^n \setminus \{0\}))$ . Since  $[f] = [f - d\tilde{g}] = 0$ .  $\square$

He talks about how this could also be done using some category theory.

**Note.** We can take  $\mathbb{R}^n \rightarrow \mathbb{R}^{n+1} \rightarrow \mathbb{R}^n$  taking inclusion and projection. So we get  $\mathbb{Z} \cong H_{comp}^n(\mathbb{R}^n; \mathbb{Z})$  is a retract of  $H_{comp}^n(\mathbb{R}^{n+1}; \mathbb{Z}) \cong 0$ . That doesn't make sense and gives the following

**Warning!**  $H_{comp}^*$  is not functorial for arbitrary continuous maps!

Compactly supported cohomology is

- contravariantly functorial in *proper* continuous maps
- covariantly functorial in open embeddings.

### Definition 5.7: Proper maps

A continuous map  $f: X \rightarrow Y$  is *proper* if for every compact subset  $K$  of  $Y$ , the set  $f^{-1}(K)$  is compact with the subspace topology of  $X$ .

**Example 5.8.** Let  $X$  be a space. Then  $X \rightarrow \{x\}$  is proper iff  $X$  is compact.

If  $K$  is compact and  $X$  is any space, then the projection  $X \times K \xrightarrow{p} X$  is proper: For  $L \subseteq X$  compact,  $p^{-1}(L) = L \times K$  is compact.

**Proposition 5.9.** Let  $\psi: X \rightarrow Y$  be a proper continuous map. Then the cochain map

$$\psi^*: C^*(\mathcal{S}(Y), A) \rightarrow C^*(\mathcal{S}(X); A)$$

takes compactly supported cochains to compactly supported cochains, so it restricts to a chain map

$$\psi^*: C_{comp}^*(\mathcal{S}(Y), A) \rightarrow C_{comp}^*(\mathcal{S}(X), A)$$

This restriction induces group homomorphisms  $\psi^*: H_{comp}^i(Y; A) \rightarrow H_{comp}^i(X, A)$

*Proof.* We let  $f: \mathcal{S}(Y)_n \rightarrow A$  be a simplicial cochain that is supported on the compact subset  $K$  of  $Y$ . then  $\psi^{-1}(K)$  is compact because  $f$  is proper.

**Claim.**  $\psi^*(f)$  is supported on  $\psi^{-1}(K)$ .

Let  $\phi: \nabla^n \rightarrow X$  be continuous with image in  $X \setminus \psi^{-1}(K)$ . Then

$$(\psi^*(f))(\phi) = f(\psi \circ \phi) = 0$$

because  $\psi \circ \phi$  has image in  $Y \setminus K$ . □

[28.05.2025, Lecture 14]

[02.06.2025, Lecture 15]

So  $f$  from the last proposition induces a homomorphism

$$f^*: H_{comp}^i(Y, A) \rightarrow H_{comp}^i(X, A)$$

and we get a contravariant functor in proper maps.

Today we will talk about

### 5.1.1 Covariant functoriality for open embeddings

This comes formally from understanding that compactly supported Cohomology is a colimit of some relative Cohomologies.

Let  $K$  be a compact subset of some space  $X$ . Then

$$C^*(\mathcal{S}(X), \mathcal{S}(X \setminus K)) \subseteq C_{comp}^*(X, A)$$

We write

$$\lambda_K: H^i(X, X \setminus K; A) \rightarrow H_{comp}^i(X, A)$$

for the induced map in cohomology.

For  $K \subseteq L \subseteq X$ ,  $L$  also compact, then  $X \setminus K \supseteq X \setminus L$  so

$$C^*(\mathcal{S}(X), \mathcal{S}(X \setminus K), A) \hookrightarrow C^*(\mathcal{S}(X), \mathcal{S}(X \setminus L); A) \subseteq C_{comp}^*(X, A)$$

giving a commutative triangle of cohomology groups:

$$\begin{array}{ccc} H^i(X, X \setminus K; A) & & \\ \downarrow \text{incl}^* & \searrow \lambda_K & \\ H^i(X, X \setminus L; A) & \nearrow \lambda_L & H_{comp}^i(X; A) \end{array}$$

**Proposition 5.10.** Let  $X$  be a Hausdorff space,  $A$  and  $B$  two abelian groups. Let  $\alpha_K: H^i(X, X \setminus K; A) \rightarrow B$  be a homomorphism, for all compact subsets  $K$  of  $X$ , such that for all  $K \subseteq L \subseteq X$ , with  $L$  compact, the following commutes:

$$\begin{array}{ccc} H^i(X, X \setminus K; A) & & \\ \downarrow j_L^K & \searrow \alpha_K & \\ H^i(X, X \setminus L; A) & \nearrow \alpha_L & B \end{array}$$

Then there is a unique homomorphism  $\alpha: H_{comp}^i(X, A) \rightarrow B$  such that  $\alpha \circ \lambda_K = \alpha_K$  for all  $K \subseteq X$  compact.<sup>1</sup>

*Proof.* We drop the coefficients  $A$  from the notation (but only when he remembers he did this). Furthermore we set for this proof  $n = i$ .

**Uniqueness** We let  $\alpha: H_{comp}^i(X) \rightarrow B$  be a homomorphism such that  $\alpha \circ \lambda_K = \alpha_K$  for all  $K$  compact in  $X$ .

Let  $f \in C_{comp}^n(X, A)$  be a compactly supported cochain. Let  $f$  be supported on the compact subset  $K$ . Then  $f \in C^n(X, X \setminus K)$ , and  $\lambda_K[f] = [f]$ . Hence

$$\alpha[f] = \alpha(\lambda_K[f]) = \alpha_K[f]$$

**Existence/Construction** Given  $f \in C_{comp}^n(X, A)$  let  $K$  be a compact subset on which  $f$  is supported.

**Claim.**  $\alpha[f] := \alpha_K[f]$  is independent of the choice of  $K$ .

Let  $K'$  be another compact subset of  $X$  on which  $f$  is supported. Let  $L = K \cup K'$ , another compact subset of  $X$ . We consider

$$\alpha_K[f] = \alpha_L(j_K^L[f]) = \alpha_L[f] = \alpha_L(j_{K'}^L[f]) = \alpha_{K'}[f]$$

**Claim.**  $\alpha_K[f]$  only depends on the cohomology class of  $f$ .

<sup>1</sup>The morphisms  $\{\lambda_K\}_K$  express  $H_{comp}^i(X, A)$  as a colimit of the groups  $H^i(X, X \setminus K; A)$



Let  $g \in C_{comp}^{n-1}(X, A)$  be any cochain. Then  $g$  is supported on some compact subset  $K'$  of  $X$ . Then  $f$  and  $g$  are supported on  $L = K \cup K'$ . So  $\alpha[f] = \alpha_K[f] = \alpha_L[f + dg] = \alpha[f + dg]$ , so  $\alpha: H_{comp}^i(X, A) \rightarrow B$  is a well defined map and  $\alpha \circ \lambda_K = \alpha_K$  for all  $K$  compact.

We still need to show, that  $\alpha$  is a group homomorphism: Let  $f, f' \in C_{comp}^n(X, A)$ . Let  $f$  be supported on  $K$ ,  $f'$  supported on  $K'$ , for  $K, K'$  compact in  $X$ . Then  $f$  and  $f'$  are both supported on  $L = K \cup K'$ , and

$$\alpha[f + f'] = \alpha_L[f + f'] = \alpha_L[f] + \alpha_L[f'] = \alpha[f] + \alpha[f']$$

□

**Excursion 5.11** (filtered colimits.).

**Definition.** A PoSet (partially ordered set) is a pair  $(P, \leq)$  consisting of a set  $P$  and a relation  $\leq$ , that is

- reflexve
- transitive
- antisymmetric, i.e. if  $x \leq y$  and  $y \leq x$ , then  $x = y$ .

A poset  $(P, \leq)$  is *filtered* if for all  $x, y \in P$ , there is  $z \in P$  s.t.  $x \leq z, y \leq z$ .

Some examples are

- $(\mathbb{N}, \leq), (\mathbb{R}, \leq)$
- the set of finite subsets of a given set, under  $\subseteq$ .
- The set of finite dimension vector subspaces of a vector space under  $\subseteq$
- the set of compact subsets  $\mathcal{C}(X)$  in a Hausdorff space under  $\subseteq$ .

Every poset  $P, \subseteq$  gives rise to a category  $\underline{P}$  with

- $\text{Ob}(\underline{P}) = P$
- $\underline{P}(x, y) = \begin{cases} \{(x, y)\} & \text{if } x \leq y \\ \emptyset & \text{else} \end{cases}$

Composition is then uniquely defined.

A filtered colimit is a colimit over a filtered poset.

**Example 5.12.** Let  $X$  be a Hausdorff space,  $\mathcal{C}(X)$  the poset of its compact subsets. A functor  $\mathcal{C}(X) \rightarrow \mathbf{Ab}$  is given by  $K \mapsto H^i(X, X \setminus K; A)$  and for  $K \subseteq L$ , we get  $j_L^K$ .

We can now say

$$C_{comp}^i(X, A) = \text{colim}_{\mathcal{C}(X)} C^*(X, X \setminus K; A)$$

**Proposition** (filtered colimits are exact). Let  $(P, \leq)$  be a filtered poset,  $F, G, H: \underline{P} \rightarrow \mathbf{Ab}$  functors,  $\phi: F \rightarrow G$  and  $\psi: G \rightarrow H$  natural transformations of functors. Suppose that for all  $x \in P$ ,

$$0 \rightarrow F(x) \xrightarrow{\phi(x)} G(x) \xrightarrow{\psi(x)} H(x) \rightarrow 0$$

is exact. Then the sequence

$$0 \rightarrow \text{colim}_{\underline{P}}(F) \xrightarrow{\text{colim}_{\underline{P}} \phi} \text{colim}_{\underline{P}} G \xrightarrow{\text{colim}_{\underline{P}} \psi} \text{colim}_{\underline{P}} H \rightarrow 0$$

is exact.

This might become an exercise.

**Corollary 5.13.** Let  $(P, \leq)$  be a filtered coposet,  $F: \underline{P} \rightarrow \mathbf{Chains}$  a functor. Then the canonical morphism

$$\operatorname{colim}_P (H_n \circ F) \rightarrow H_n(\operatorname{colim}_P (F))$$

is an isomorphism.

**Corollary 5.14.**

$$H_{comp}^i(X, A) = H^i(\operatorname{colim}_{\mathcal{C}(X)} C^*(X, X \setminus K; A)) \xleftarrow{\cong} \operatorname{colim}_{\mathcal{C}(X)} H^i(C^*(X, X \setminus K; A)) = \operatorname{colim}_{\mathcal{C}(X)} H^i(X, X \setminus K; A)$$

**Definition.** Let  $(P, \leq)$  be a poset. Let  $Q \subseteq P$  a subset.  $Q$  is *cofinal* in  $P$ , if for all  $x \in P$ , there is  $y \in Q$  such that  $x \leq y$ .

If  $P$  is filtered,  $Q$  cofinal in  $P$ , then  $Q$  is also filtered.

**Proposition.** Let  $Q$  be a cofinal subset of a filtered Poset  $P$ . Let  $F: \underline{P} \rightarrow \mathcal{C}$  be a functor to any category that has a colimit. Then  $\operatorname{colim}_Q F = \operatorname{colim}_P F$ .

Proof might be another exercise.

**Example 5.15.**  $\mathcal{C}(\mathbb{R}^n) \subseteq \{D_r^n : r \geq 0\} \subseteq D_r^n : r \in \mathbb{N}$  are cofinal, where  $D_r^n$  again denotes the ball of radius  $r$  around 0. This gives

$$\begin{aligned} H_{comp}^i(\mathbb{R}^n; A) &= \operatorname{colim}_{K \in \mathcal{C}(X)} H^i(X, X \setminus K; A) \cong \operatorname{colim}_{\text{cofinal } r \in (\mathbb{N}, \leq)} H^i(\mathbb{R}^n, \mathbb{R}^n \setminus D_r^n; A) \\ &= \operatorname{colim}(H^i(\mathbb{R}^n, \mathbb{R}^n \setminus \{0\}) \xrightarrow{\cong} H^i(\mathbb{R}^n, \mathbb{R}^n \setminus D_1^n) \xrightarrow{\cong} \dots \xrightarrow{\cong} H^i(\mathbb{R}^n, \mathbb{R}^n \setminus D_k^n) \xrightarrow{\cong} \dots) \end{aligned}$$

which is by category theory already isomorphic to  $H^i(\mathbb{R}, \mathbb{R}^n \setminus 0)$ . This roughly represents the proof we did last week in more detail.

**Construction 5.16.** Let  $Y$  be a open subset of a Hausdorff space  $X$ . We'll define a homomorphism

$$\iota_Y^X: H_{comp}^i(Y; A) \rightarrow H_{comp}^i(X; A)$$

Let  $K$  be a compact subset of  $Y$ . We define

$$\alpha_K: H^i(Y, Y \setminus K; A) \rightarrow H_{comp}^i(X, A)$$

by looking at  $H^i(Y, Y \setminus K; A) \xleftarrow[\text{excision}]{\cong} H^i(X, X \setminus K; A)$  is an isomorphism and we can invert it.

We use  $(X, Y, K)$  is excisive. We then compose with  $\lambda_K: H^i(X, X \setminus K; A) \xrightarrow{\lambda_K} H_{comp}^i(X, A)$ .

The maps  $\alpha_K$  are compatible for  $K \subseteq L \subseteq Y$  all compact.

$$\begin{array}{ccccc} & & \alpha_K & & \\ & \curvearrowright & & \curvearrowleft & \\ H^i(Y, Y \setminus K; A) & \xleftarrow{\cong} & H^i(X, X \setminus K; A) & \xrightarrow{\lambda_K} & H_{comp}^i(X; A) \\ \downarrow j_L^K & & \downarrow j_L^K & & \uparrow \lambda_L \\ H^i(Y, Y \setminus L; A) & \xleftarrow{\cong} & H^i(X, X \setminus L; A) & \xrightarrow{\lambda_L} & H_{comp}^i(X; A) \\ & & \alpha_L & & \end{array}$$

The universal property of  $H_{comp}^i(Y, A)$  as a colimit yields a unique homomorphism  $\iota_Y^X$  that admits a commutative square I couldn't copy for all  $K \subseteq Y$  and  $K$  compact.

**Proposition 5.17.** Let  $X$  be a Hausdorff space.

1. The homomorphism  $\iota_X^X: H_{comp}^i(X, A) \rightarrow H_{comp}^i(X, A)$  is the identity.
2. If  $Z \subseteq Y \subseteq X$  with  $Z$  and  $Y$  open, then

$$\iota_Y^X \circ \iota_Z^Y = \iota_Z^X: H_{comp}^i(Z, A) \rightarrow H_{comp}^i(X, A)$$

*Proof.* We let  $K$  be any compact subset of  $Z$ .

$$\begin{array}{ccccc}
 & & \xrightarrow[\text{excision}]{\cong} & & \\
 & \searrow & & \swarrow & \\
 H^i(X, X \setminus K; A) & \xrightarrow[\text{excision}]{\cong} & H^i(Y, Y \setminus K; A) & \xrightarrow[\text{excision}]{\cong} & H^i(Z, Z \setminus K; A) \\
 \downarrow \lambda_K & & \downarrow \lambda_K & & \downarrow \lambda_K \\
 H_{comp}^i(X, A) & \xleftarrow[\iota_Y^X]{} & H_{comp}^i(Y, A) & \xleftarrow[\iota_Z^Y]{} & H_{comp}^i(Z, A) \\
 & \nwarrow & & \nearrow & \\
 & & \xrightarrow[\iota_Z^X]{} & & 
 \end{array}$$

Something about precomposing with any  $H^i(Z, Z \setminus K; A)$  is enough. □

The maps  $\iota_Y^X$  form a covariant functor from the poset of open subsets of  $X$ .

[02.06.2025, Lecture 15]  
[04.06.2025, Lecture 16]

## 5.2 The duality map

For compact oriented manifolds  $M$ , Poincaré duality says that

$$[M] \cap \_ : H^i(M; \mathbb{Z}) \rightarrow H_{n-i}(M; \mathbb{Z})$$

is an isomorphism.

If  $M$  is oriented, but not necessarily compact, this is generalized by the duality map, for which we will write

$$D_M: H_{comp}^i(M; \mathbb{Z}) \rightarrow H_{n-i}(M; \mathbb{Z})$$

This will be an isomorphism.

**Construction 5.18.** Let  $(M, \mu)$  be an oriented  $n$ -manifold.  $\mu = \{\mu_x\}_{x \in M}$  an orientation; earlier we saw: For every compact subset  $K$  of  $M$  there is a unique class („relative fundamental class“)  $\mu_K \in H_n(M, M \setminus K; \mathbb{Z})$  such that

$$r_x^K(\mu_K) = \mu_x$$

for all  $x \in K$ . So the cap product gives a map

$$\mu_K \cap \_ : H^i(M, M \setminus K; \mathbb{Z}) \rightarrow H_{n-i}(M; \mathbb{Z})$$

**Claim.** for  $K \subseteq L$  both compact, the map

$$\text{incl}_*: H_n(M, M \setminus L; \mathbb{Z}) \rightarrow H_n(M, M \setminus K; \mathbb{Z})$$

satisfies  $\text{incl}_*(\mu_L) = \mu_K$ .

Indeed for all  $x \in K$ ,

$$r_x^K(\text{incl}_*(\mu_L)) = r_x^L(\mu_L) = \mu_x$$

for all  $x \in K$ , so  $\text{incl}_*(\mu_L)$  has the properties that characterize  $\mu_K$ .

**Claim.** For  $\alpha \in H^i(M; M \setminus K; \mathbb{Z})$  we have

$$\mu_K \cap \alpha = \text{incl}_*(\mu_L) \cap \alpha = \mu_L \cap \text{incl}^*(\alpha)$$

in  $H_{n-i}(M; \mathbb{Z})$  using the mixed functoriality of cap.

So the following commutes

$$\begin{array}{ccc} H^i(M, M \setminus K; \mathbb{Z}) & & \\ \downarrow j_L^K = \text{incl}^* & \searrow \mu_K \cap - & \\ H^i(M, M \setminus L; \mathbb{Z}) & \xrightarrow{\mu_L \cap -} & H_{n-i}(M, \mathbb{Z}) \end{array}$$

so there is a unique homomorphism  $D_M: H_{comp}^i(M, \mathbb{Z}) \rightarrow H_{n-i}(M; \mathbb{Z})$  such that for all  $K \subseteq M$  compact, the following commutes:

$$\begin{array}{ccc} H_{comp}^i(M; \mathbb{Z}) & \xrightarrow{\quad} & H_{n-i}(M; \mathbb{Z}) \\ \uparrow \lambda_K & \nearrow \mu_K \cap - & \\ H^i(M, M \setminus K; \mathbb{Z}) & & \end{array}$$

This can be thought of to some extent as „capping with a non-existent fundamental class.“

**Proposition 5.19.** For any orientation of  $\mathbb{R}^n$ , all  $i \geq 0$ , the duality map

$$D_{\mathbb{R}^n}: H_{comp}^i(\mathbb{R}^n, \mathbb{Z}) \rightarrow H_{n-i}(\mathbb{R}^n; \mathbb{Z})$$

is an isomorphism.

*Proof.* Earlier:  $H_{comp}^i(\mathbb{R}^n; \mathbb{Z}) = \begin{cases} \mathbb{Z} & \text{if } i = n \\ 0 & \text{else} \end{cases}$  and  $H_{n-i}(\mathbb{R}^n; \mathbb{Z}) = 0$ , if  $i \neq n$ , so  $D_M$  is an isomorphism between trivial groups for  $i \neq n$ .

For  $i = n$ , let

$$\Psi: H^n(\mathbb{R}^n; \mathbb{R}^n \setminus \{0\}; \mathbb{Z}) \rightarrow \text{Hom}(H_n(\mathbb{R} \mid 0), \mathbb{Z})$$

be the evaluation homomorphism from the universal coefficient theorem. This map is surjective by the universal coefficient theorem. Let  $\mu_0 \in H_n(\mathbb{R}^n \mid 0)$  be the chosen local orientation. So there is a class  $\alpha \in H^n(\mathbb{R}^n, \mathbb{R}^n \setminus \{0\}; \mathbb{Z})$  such that

$$\Phi(\alpha)(\mu_0) = 1.$$

Since  $\mathbb{R}^n$  is path connected, every point  $x \in \mathbb{R}^n$  represents the same element  $e = [x] \in H_0(\mathbb{R}^n; \mathbb{Z})$  a generator. Let  $f \in C^n(\mathcal{S}(\mathbb{R}^n), \mathcal{S}(\mathbb{R}^n \setminus 0); \mathbb{Z})$  be a cochain that represents  $\alpha$ , and let

$$\sum a_i \cdot \psi_i \in C_n(\mathcal{S}(\mathbb{R}^n), \mathcal{S}(\mathbb{R}^n \setminus 0); \mathbb{Z})$$

represent  $\mu_0$ . with some  $\psi_i: \nabla^n \rightarrow \mathbb{R}^n, a_i \in \mathbb{Z}$ . Then

$$\begin{aligned} \mu_0 \cap \alpha &= [\sum a_i \cdot \psi_i] \cap [f] \\ &= \sum a_i \cdot [\psi_i \cap f] \\ &= \sum a_i \cdot f(\psi_i) \cdot [\psi(0, 0, \dots, 0, 1)] \\ &= (\sum a_i \cdot f(\psi_i)) \cdot e \\ &= \underbrace{\Psi(\alpha)(\mu_0)}_{=1} \cdot e = e \end{aligned}$$

where  $e \in H_0(\mathbb{R}, \mathbb{Z})$  is the geometric generator. So  $\mu_0 \cap \alpha$  generates  $H_0(\mathbb{R}^n; \mathbb{Z})$ .

We can conclude:

$$\begin{array}{ccc} H^n(\mathbb{R}^n, \mathbb{R}^n \setminus 0; \mathbb{Z}) & \xrightarrow[\cong]{\lambda_{\{0\}}} & H^n_{comp}(\mathbb{R}^n; \mathbb{Z}) \\ & \searrow \mu_0 \cap - & \downarrow D_{\mathbb{R}^n} \\ & & H_0(\mathbb{R}^n; \mathbb{Z}) \end{array}$$

So  $D_M$  is a surjection between free abelian groups of rank 1, hence an isomorphism! □

We now proved Poincaré duality for  $\mathbb{R}^n$ . This is in itself of course not very exciting, but the first step for the bootstrapping we will do later. For that we will develop a Mayer-Vietoris sequence for compactly supported cohomology.

We now first need to show naturality of the duality map.

We let  $M$  be a  $n$ -manifold,  $\mu = \{\mu_x\}_{x \in X}$  an orientation and  $U$  an open subset of  $M$ . Then  $\{r_U^M(\mu_x)\}_{x \in U}$  is an orientation of  $U$ .

**Proposition 5.20.** Let  $(M, \mu)$  be an oriented  $n$ -manifold and  $U$  an open subset of  $M$  with induced orientation. Then the following diagram commutes:

$$\begin{array}{ccc} H^i_{comp}(M; \mathbb{Z}) & \xrightarrow{D_M} & H_{n-i}(M; \mathbb{Z}) \\ \iota_U^M \uparrow & & \uparrow \text{incl}_* \\ H^i_{comp}(U; \mathbb{Z}) & \xrightarrow{D_U} & H_{n-i}(U; \mathbb{Z}) \end{array}$$

*Proof.* Let  $K$  be a compact subset of  $U$ .

**Claim.** The map

$$\text{incl}_*: H_n(U, U \setminus K; \mathbb{Z}) \rightarrow H_n(M, M \setminus K; \mathbb{Z})$$

takes  $\mu_K^U$  to  $\mu_K^M$ .

Indeed for all  $x \in K$ ,

$$r_x^M(\text{incl}_*(\mu_K^U)) = r_x^U(\mu_K^U) = \mu_x^U = \mu_x$$

Since this property characterise  $\mu_K^M$ , the claim holds.

Consider the diagram

$$\begin{array}{ccccc}
 & & \mu_K^U \cap \_ & & \\
 & \nearrow & & \searrow & \\
 H^i(U, U \setminus K; \mathbb{Z}) & \xrightarrow{\lambda_K} & H_{comp}^i(U; \mathbb{Z}) & \xrightarrow{D_U} & H_{n-i}(U; \mathbb{Z}) \\
 \uparrow \text{incl}^* \cong & & \downarrow \iota_U^M & & \downarrow \text{incl}_* \\
 H^i(M, M \setminus K; \mathbb{Z}) & \xrightarrow{\lambda_K} & H_{comp}^i(M; \mathbb{Z}) & \xrightarrow{D_M} & H_{n-i}(M; \mathbb{Z}) \\
 & \searrow & & \nearrow & \\
 & & \mu_K^M \cap \_ & & 
 \end{array}$$

where we now the left square commutes but don't know about the right one yet.

Every class in  $H_{comp}^i(U; \mathbb{Z})$  is of the form  $\lambda_K(\alpha)$  for some compact subset  $K$  of  $U$ , some  $\alpha \in H^i(U, U \setminus K; \mathbb{Z})$ . By excision there is a class  $\beta \in H^i(M, M \setminus K; \mathbb{Z})$ , such that  $\text{incl}^*(\beta) = \alpha$ . So using the mixed functoriality of  $\cap$  and the definition of  $D_M$ , we get

$$\begin{aligned}
 \text{incl}_*(D_U(\lambda_K(\alpha))) &\stackrel{\text{def. } D_U}{=} \text{incl}_*(\mu_K^U \cap \alpha) \\
 &= \text{incl}_*(\mu_K^U \cap \text{incl}^*(\beta)) \\
 &= \mu_K^M \cap \beta \\
 &= D_M(\lambda_K(\beta)) \\
 &= D_M(\iota_U^M(\lambda_K(\text{incl}^*(\beta)))) \\
 &= D_M(\iota_U^M(\lambda_K(\alpha)))
 \end{aligned}$$

Since all classes in  $H_{comp}^i(U; \mathbb{Z})$  are of the form  $\lambda_K(\alpha)$ , this proves the proposition.  $\square$

### 5.2.1 Mayer-Vietoris sequences for compactly supported cohomology

#### Lemma 5.21: Compact unions

Let  $X$  be a locally compact Hausdorff space. Let  $U, V$  be open subsets of  $X$ , such that  $X = U \cup V$ . Then every compact subset of  $X$  is of the form  $K \cup L$  for a compact subset  $K$  of  $U$  and a compact subset  $L$  of  $V$ .

*Proof.* Let  $C$  be any compact subset of  $X = U \cup V$ . Then every point  $x \in C$  is contained in  $U$  or in  $V$ . If  $x \in U$ , then  $U$  is a neighborhood of  $x$  in  $X$ . Since  $x$  is locally compact, there is a compact neighborhood  $N_x$  of  $x$  in  $U$ . If  $x \in V$ , there is a compact neighborhood  $N_x$  of  $x$  in  $V$ . Since  $C$  is compact, it is covered by finitely many  $N_{x_1} \cup \dots \cup N_{x_n}$  of these compact neighborhoods, with each  $N_{x_i}$  contained in  $U$  or  $V$ .

Set  $\bar{K} = \bigcup N_{x_i}$  such that  $N_{x_i} \subseteq U$  and  $\bar{L} = \bigcup N_{x_i}$  such that  $N_{x_i} \subseteq V$ . So  $\bar{K}, \bar{L}$  are compact,  $\bar{K} \subseteq U, \bar{L} \subseteq V$ . So  $K = C \cap \bar{K}$  is compact in  $U$  and  $L = C \cap \bar{L}$  is compact in  $V$ . We see  $C = K \cup L$ .  $\square$

**Construction 5.22** (Connecting homomorphism). Let  $X$  be a locally compact hausdorff space,  $U, V \subseteq X$  open, such that  $X = U \cup V$ . Let  $A$  be any coefficient group, that we drop from the notation. We define

$$\partial: H_{comp}^i(X) \rightarrow H_{comp}^{i+1}(U \cap V)$$

For every compact subset  $C$  of  $X$ , we define

$$\partial_C: H^i(X, X \setminus C) \rightarrow H_{comp}^{i+1}(U \cap V)$$

as follows. We choose compact subsets  $K$  of  $U$  and  $L$  of  $V$ , such that  $C = K \cup L$  and define  $\partial_C$  as the composite

$$H^i(X, X \setminus C) = H^i(X, X \setminus (C \cup L)) \xrightarrow{\partial} H^{i+1}(X, X \setminus (K \cap L)) \xrightarrow{\text{excision}} H^{i+1}(U \cap V, (U \cap V) \setminus (K \cap L)) \xrightarrow{\lambda_{K \cap L}} H_{comp}^{i+1}(U \cap V)$$

using  $X \setminus (K \cap L) = (X \setminus K) \cup (X \setminus L)$  and  $(X \setminus K) \cap (X \setminus L) = X \setminus (K \cup L)$

**Claim.**

1. the definition of  $\partial_C$  is independent of the choice of  $K, L$ .
2. If  $C \subseteq \bar{C} \subseteq X$  with  $C, \bar{C}$  compact, then

$$\begin{array}{ccc} H^i(X, X \setminus C) & & \\ \downarrow \iota_{\bar{C}} & \searrow \partial_C & \\ H^i(X, X \setminus \bar{C}) & \xrightarrow{\partial_{\bar{C}}} & H_{comp}^{i+1}(U \cap V) \end{array}$$

[04.06.2025, Lecture 16]

[16.06.2025, today held by Tobias Lenz, Lecture 17]

**Reminder.** Our goal is to proof Poincaré duality:

### Theorem 5.23: Poincaré Duality

- Let  $M$  be a compact oriented manifold of dimension  $n$ ,  $\mu \in H_n(M)$ . Then

$$D = \mu \cap \_ : H^k(M) \xrightarrow{\cong} H_{n-k}(M)$$

- Let  $M$  be an oriented manifold of dimension  $n$ ; then

$$D : H_{comp}^k(M) \xrightarrow{\cong} H_{n-k}(M)$$

We have seen  $\lambda_K : H^*(X, X \setminus K) \rightarrow H_{comp}^*(X)$ . Together with a filtered colimit due to the following commutative diagram.

**Proposition.** Let  $X$  be Hausdorff. Then

$$H^*(X, X \setminus K)$$

$$H_{comp}^*(X) \quad B$$

$$H^*(X, X \setminus L)$$

We have then seen the following application:

**Proposition.** Let  $X$  be Hausdorff,  $U \subseteq X$ .

$$\begin{array}{ccc} H^*(U, U \setminus K) & \xrightarrow{\lambda} & H_{comp}^*(U) \\ \downarrow & & \downarrow \exists! \\ H^*(X, X \setminus K) & \xrightarrow{\lambda} & H_{comp}^*(X) \end{array}$$

We write  $\iota_U^X =: i_*$ .

Now for  $X$  locally compact and Hausdorff,  $U, V \subseteq X$  open with  $X = U \cup V$ . We then get a „Mayer-Vietoris sequence“:

$$H_{comp}^k(U \cap V) \xrightarrow{i_*, j_*} H_{comp}^k(U) \oplus H_{comp}^k(V) \xrightarrow{(k_* - l_*)} H_{comp}^*(U \cup V) \xrightarrow{\partial^{????}}$$

We search for this boundary map.

**Construction 5.24.** to construct  $\partial: H_{comp}^*(U \cup V) \rightarrow H_{comp}^k(U \cap V)$  give

$$\partial_C: H_{comp}^*(U \cup V, U \cup V \setminus C) \rightarrow H_{comp}^{k+1}(U \cap V)$$

for all  $C \subseteq U \cup V$  compact. Any such  $C$  decomposes as  $C = K \cup L$  with  $K \subseteq U, L \subseteq V$  compact. We have the Mayer-Vietoris sequence in relative cohomology. This yields

$$H^k(X, X \setminus C) = H^k(X, (X \setminus K) \cap (X \setminus L)) \xrightarrow{\partial} H^{k+1}(X, X \setminus (K \cap L)) \xrightarrow{\lambda} H_{comp}^{k+1}(X).$$

We need to show well definedness. For that take  $C = K \cup L = \bar{K} \cup \bar{L}, K \subseteq \bar{K}, L \subseteq \bar{L}$ . Then

$$\begin{array}{ccc} H^k(X, X \setminus (K \cup L)) & \xrightarrow{\text{incl}_*} & H^k(X, X \setminus (\bar{K} \cup \bar{L})) \\ \downarrow \partial & & \downarrow \partial \\ H^{k+1}(X, X \setminus (K \cap L)) & \xrightarrow{\text{incl}_*} & H^{k+1}(X, X \setminus (\bar{K} \cap \bar{L})) \\ \partial_{K,L} \swarrow \cong \downarrow \text{excision} & & \cong \downarrow \text{excision} \\ H^{k+1}(U \cap V, (U \cap V) \setminus (K \cap L)) & \xrightarrow{\text{incl}_*} & H^{k+1}(U \cap V, U \cap V \setminus (\bar{K} \cap \bar{L})) \\ \downarrow \lambda_{K \cap L} & \swarrow \lambda_{\bar{K} \cap \bar{L}} & \\ H_{comp}^{k+1}(U \cap V) & & \end{array}$$

Now for independence of choices take  $C = K \cup L = K' \cup L'$  and set  $\bar{K} = K \cup K'$  and  $\bar{L} = L \cup L'$ . Then  $\partial_{K,L} = \partial_{\bar{K}, \bar{L}} = \partial_{K', L'}$ .

Now for compatibility with inclusions,  $C \subseteq \bar{C}$  compact. Then  $\bar{C} = \bar{K} \cup \bar{L}$  and  $K := \bar{K} \cap C, L := \bar{L} \cap C$  and the diagram we just drew gives

$$\begin{array}{ccc} H^k(X, X \setminus C) & \xrightarrow{\text{incl}_*} & H^k(X, X \setminus \bar{C}) \\ & \searrow \partial_C & \swarrow \partial_{\bar{C}} \\ & H_{comp}^{k+1}(U \cap V) & \end{array}$$

**Proposition 5.25.** The Mayer-Vietoris sequence given by  $\partial$  is exact.



*Proof.* We want to use facts about filtered colimits from the exercises.

**Lemma 5.26.** *Let  $f: P \rightarrow Q$  be surjective order preserving map of filtered posets. Let  $X: Q \rightarrow \mathbf{Ab}$ . Then there exists a unique map  $\Psi: \operatorname{colim}_P(X_{f(p)}) \rightarrow \operatorname{colim}_Q(X)$ , such that  $\Psi\lambda_P = \lambda_{f(p)}$ . and this is an isomorphism.*

**Lemma 5.27.** *Let  $I$  be a small category,  $X, Y: I \rightarrow \mathbf{Ab}$  with colimits  $(X_i \xrightarrow{\lambda_i} \operatorname{colim}_I(X))_{i \in I}$  and analogous for  $Y$  with  $\mu_i$ .*

*Then  $(X_i \oplus Y_i \xrightarrow{\lambda_i \oplus \mu_i} (\operatorname{colim}_I(X)) \oplus (\operatorname{colim}_I(Y)))_{i \in I}$  is again a colimit.*

*Proof.* We check the universal property. Let  $X_i \oplus Y_i \xrightarrow{(\phi_i, \psi_i)} B$   $_{i \in I}$  compatible.

**Uniqueness** Assume  $(\operatorname{colim}_I X \oplus \operatorname{colim}_I Y) \xrightarrow{(\alpha_1, \alpha_2)} B$  such that  $(\alpha_1, \alpha_2) \circ (\lambda_i \oplus \mu_i) = (\phi_i, \psi_i)$  for all  $i \in I$ . Then  $\alpha_1 \lambda_i = \phi_i$  and by the universal property of colimits  $\alpha_1$  is unique. Similarly for  $\alpha_2$  and by the universal property of  $\bigoplus \alpha$  is unique.

**Existence** The same argument backwards, using existence part of the universal properties of  $\operatorname{colim}_I$  and  $\bigoplus$ .

□

Let  $P := \{(K, L) \mid K \subseteq U \text{ compact}, L \subseteq V \text{ compact}\}$  given as a Poset by  $(K, L) \leq (K', L')$ , if and only if  $K \subseteq K'$  and  $L \subseteq L'$ . This is again filtered.

For every  $(K, L) \in P$  we have a Mayer-Vietoris sequence

$$\cdots \rightarrow H^k(X, X \setminus (K \cap L)) \rightarrow H^k(X, X \setminus K) \oplus H^k(X, X \setminus L) \rightarrow H^k(X, X \setminus (K \cup L)) \rightarrow \cdots$$

By an exercise<sup>2</sup> We have the filtered colimit over  $P$  is again exact.

Now

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$$\operatorname{colim}_{(K,L) \in P} H^k(X, X \setminus (K \cap L)) \cong \operatorname{colim}_{c \in U \cap V \text{ compact}} H^k(X, X \setminus C) \cong \operatorname{colim}_{C \subseteq U \cap V} H^k(U \cap V, (U \cap V) \setminus C) \cong H_{\text{comp}}^k(U \cap V).$$

$$P \rightarrow \{\text{compact subsets of } U \cap V\}, (K, L) \mapsto K \cap L, (C, C) \mapsto C.$$

•

$$\begin{aligned} \operatorname{colim}_{(K,L) \in P} (H^k(X, X \setminus K) \oplus H^k(X, X \setminus L)) &\cong \operatorname{colim}_{(K,L)} H^k(X, X \setminus K) \oplus \operatorname{colim}_{K,L} H^k(X, X \setminus L) \\ &\cong \operatorname{colim}_{K \subseteq U \text{ compact}} H^k(X, X \setminus K) \oplus \operatorname{colim}_{L \subseteq V \text{ compact}} H^k(X, X \setminus L) \\ &\cong \operatorname{colim}_{\text{exc. } K \subseteq U} H^k(U, U \setminus K) \oplus \operatorname{colim}_{L \subseteq V} H^k(V, V \setminus L) \\ &\cong H_{\text{comp}}^k(U) \oplus H_{\text{comp}}^k(V) \end{aligned}$$

•

$$\operatorname{colim}_{(K,L)} H^k(X, X \setminus (K \cup L))$$

Last time we did  $P \rightarrow \{\text{compact subsets of } X = U \cap V\}$  is surjective. Something is missing here.

We have more stuff to proof, unfortunately I have no idea what and we are skipping it. Check at home: the maps are what I claimed they are. □

---

<sup>2</sup>That we didn't have to do yet

**Proposition 5.28.** We have a map of exact sequences between the Mayer Vietoris sequence for compactly supported cohomology and the Mayer Vietoris sequence for normal homology given by the Duality map. We want to check that commutes. That is clear for all but one of the squares. We now calculate that. It only commutes up to sign, but that will be enough to apply 5-lemma later.

**Proposition 5.29.** Let  $M = U \cup V$  be an oriented  $n$ -manifold.

$$\begin{array}{ccc} H_{comp}^k(M) & \xrightarrow{\partial} & H_{comp}^{k+1}(U \cap V) \\ \downarrow D_M & & \downarrow D_{U \cap V} \\ H_{n-k}(M) & \xrightarrow{\partial} & H_{n-k-1}(U \cap V) \end{array}$$

ommmutes up to  $(-1)^{k+1}$ .

*Proof.* It suffices that the following commutes for all  $C = K \cup L \subseteq M$  compact:

$$\begin{array}{ccc} H^k(M, M \setminus (K \cup L)) & & \\ \downarrow \lambda & & \\ H_{comp}^k(M) & \xrightarrow{\partial} & H_{comp}^{k+1}(U \cap V) \\ \downarrow D_M & & \\ H_{n-k}(M) & \xrightarrow{\partial} & H_{n-k-1}(U \cap V) \end{array}$$

And the board hid the rest of the diagram before I could copy. We get another diagram:

I lacked the energy to copy the rest of the proof. Very exhausting long computation. □

**Corollary 5.30.** Let  $M$  be an oriented  $n$ -manifold,  $U, V \subseteq M$  open. Assume  $U, V, U \cap V$  satisfy Poincaré duality. Then  $M$  satisfies Poincaré duality.

*Proof.* We have the (almost)-morphism of Long exact sequences. We want to apply 5-lemma. For that we change the signs in the correct vertical maps. Then  $D_{U \cup V}$  is by 5-lemma an isomorphism. □

**Corollary 5.31.** Let  $M$  be an oriented  $n$ -manifold,  $U_1, \dots, U_k \subseteq M$  open such that  $\bigcap_{i \in I} U_i$  satisfies Poincaré duality for all  $\emptyset \neq I \subseteq \{1, \dots, k\}$ . Then  $M$  satisfies Poincaré duality.

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[16.06.2025, today held by Tobias Lenz, Lecture 17]

[18.06.2025, Lecture 18]

——— Unable to attend the lecture, unfortunately ———

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[18.06.2025, Lecture 18]

[23.06.2025, Lecture 19]

### Theorem 5.32

Let  $M$  be a compact oriented  $n$ -manifold,  $K \subseteq M$  closed semilocally contractible. Then

$$H_i(M, M \setminus K; \mathbb{Z}) \cong H^{n-i}(K, \mathbb{Z})$$

We still need to prove:

**Theorem 5.33**

*M compact manifold. Then M embeds as a retract of a finite CW-complex.*

**Definition 5.34**

A topological space  $X$  is called a Euclidean neighborhood retract (ENR), if it admits an embedding  $X \hookrightarrow \mathbb{R}^m$  and for any embedding  $j: X \hookrightarrow \mathbb{R}^m$  there exists  $U \supseteq j(X)$  a neighborhood retraction onto  $X$ , i.e. there is a map  $r: U \rightarrow X$  such that  $r \circ j = \text{Id}_X$ .

**Theorem 5.35**

*Let  $K \subseteq \mathbb{R}^n$  compact semilocally contractible, then  $K$  is an ENR. In particular, every compact manifold is an ENR.*

*Proof Of 2nd theorem.* Fix a closed embedding  $M \hookrightarrow \mathbb{R}^n$ . There exists  $U \supseteq \phi(M) =: K$ , together with  $r: U \rightarrow M$  a retraction. WLog we have  $U \subseteq [-R, R]^n$  for some  $R \in \mathbb{N}$ .

By Lebesgue-Lemma, there exists some  $\epsilon > 0$ , such that every open cube of length  $\leq \epsilon$  is contained in  $U$  or in  $[-R, R]^n \setminus K$ . Take  $N \gg 0$ , such that  $N^{-1} < \epsilon$ . and subdivide  $[-R, R]^n$  into  $(2RN)^n$  cubes of side length  $1/N$ . Then if  $A$  is the union of all cubes intersecting  $K$ , then  $K \subseteq A \subseteq U$ . In particular  $A$  retracts to  $K$ . But  $A$  is naturally a finite CW-complex.  $\square$

*Proof of 1st theorem.* Fix  $U \supseteq K$  open neighborhood, and consider  $\mu \in H_n(M)$  roientation. As  $M \setminus K, U$  is an open cover, we find a representantive of  $\mu$  of the form  $\alpha + \beta$ , with  $\alpha \in C_n(M \setminus K)$ , and  $\beta \in C_n(U)$ . Then  $d\alpha + d\beta = 0$ , so  $d\alpha, d\beta \in C_{n-1}(M \setminus K)$ . In particular  $\alpha, \beta$  define elements in  $H_n(M \setminus K, U \setminus K)$  and  $H_n(U, U \setminus K)$ , respectively. We have

$$\begin{array}{ccccccc}
 \dots & \longrightarrow & H^{n-i}(M, U) & \longrightarrow & H^{n-i}(M) & \longrightarrow & H^{n-i}(U) \xrightarrow{\partial} H^{n-i+1}(M, U) \\
 & & \downarrow \cong & & \downarrow & & \downarrow \cong \\
 & & H^{n-i}(M \setminus K, U \setminus K) & & \downarrow \mu \cap - & & H_i(U, U \setminus K) \xrightarrow{\beta \cap -} H^{n-i+1}(M \setminus K, U \setminus K) \\
 & & \downarrow \alpha \cap - & & \downarrow & & \downarrow \cong \\
 \dots & \longrightarrow & H_i(M \setminus K) & \longrightarrow & H_i(M) & \longrightarrow & H_i(M, M \setminus K) \xrightarrow{\partial} H_{i-1}(M \setminus K)
 \end{array}$$

This diagram commutes up to sign for reasons I didn't copy.

I did not copy the rest of the proof.  $\square$

**Proposition 5.36.** Let  $K \subseteq M \subseteq \mathbb{R}^n$  be ENRs,  $U_0 \subseteq M$  open neighborhood of  $K$  in  $M$ . Then there exists some  $U \subseteq U_0$  open neighborhood together with a retraction  $\tau: U \rightarrow K$ , such that  $\tau: U \rightarrow U_0$  is homotopic to the inclusion.

**Lemma 5.37**

*The  $f_0, f_1: X \rightarrow Y$  be two maps agreeing on some subspace  $A$ ,  $H$  a homotopy  $f_0 \Rightarrow f_1$  relative  $A$ . Moreover, let  $V$  be a neighborhood of  $f_0(A) = f_1(A)$ . Then there exists an open neighborhood  $U$  of  $A$ , such that  $H$  restricts to a homotopy of maps  $U \rightarrow V$ .*

*Proof.* Did not copy.  $\square$

*Proof of proposition.* Did not copy.  $\square$

After this I stopped following the lecture entirely.

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[23.06.2025, Lecture 19]

[30.06.2025, Lecture 20]

We finished what Schwede did in Topology last time.

We will start with a bit of Homotopy theory. This will continue in AlgTop I.

Schwede will not have lecture videos. He might have a script but probably not.

**Part III**

**Homotopy Theory**

## 5.3 Fiber Bundles

We might know

- Covering Spaces
- Vector bundles: continuous maps  $p: E \rightarrow B$  that locally in  $B$  look like  $B \times \mathbb{R}^n$ .
- In the proof of the manifold property of  $V_{k,n} = \{(v_1, \dots, v_k) \in (\mathbb{R}^n)^k; \langle v_i, v_j \rangle = \partial_{i,j}\}$ . We showed that  $V_{k,n} \rightarrow S^{n-1}$  locally in  $S^{n-1}$  looks like a product with  $V_{k-1,n-1}$ .

We will see „Fiberbundles = twisted products“.

### Definition 5.38: Fiber Bundle

A (locally trivial) fiber bundle is a continuous map  $p: E \rightarrow B$  such that for all  $b \in B$ , there is a neighborhood  $U$  of  $b$  in  $B$  and a homeomorphism  $u: p^{-1}(U) \xrightarrow{\cong} E_b \times U$ , where  $E_b = p^{-1}(\{b\})$  is the *fiber over  $b$* , and the following commutes:

$$\begin{array}{ccc} p^{-1}(U) & \xrightarrow[u \cong]{u} & E_b \times U \\ & \searrow p|_{p^{-1}(U)} & \swarrow \text{proj.} \\ & U & \end{array}$$

**Notation 5.39.**  $B$  is called the base space,  $E$  is called the total space.

**Example 5.40.** There is the trivial fiber bundle  $\text{proj.}: F \times B \rightarrow B$ ,  $(f, b) \mapsto b$ . for  $F, B$  topological spaces.

There are trivialisable fiber bundles, i.e.  $p: E \rightarrow B$ , such that there is a space  $F$  and a homeomorphism  $u: E \rightarrow F \times B$  over  $B^3$ .

### Definition 5.41: Isomorphism of Fiber bundles

A isomorphism of fiber bundles from  $E \xrightarrow{p} B$  to  $E' \xrightarrow{p'} B$  is a homeomorphism  $\psi: E \rightarrow E'$  such that  $p' \circ \psi = p$ .

Now Trivialisable is equivalent to isomorphic to a trivial bundle.

Given a fibre bundle „in nature“, it might not be obvious at all whether or not it is trivialisable.

**Example.** For which  $n \geq 1$  is the tangent bundle

$$\begin{array}{ccc} T(S^n) & \xrightarrow{p} & S^n \\ \parallel & & \\ \{(v, x) \in S^n \times \mathbb{R}^{n+1} \mid \langle v, x \rangle = 0\} & & \end{array}$$

trivialisable?

It is trivialisable for  $n = 1$  (which you can apparently see) and  $n = 3$  using the Lie group structure  $S^3 \cong SU(2)$  and  $n = 7$  via the octonian multiplication on  $S^7 \subseteq \mathbb{R}^8$ .

There is a non-trivial theorem:  $T(S^n)$  is not trivialisable for  $n \neq 1, 3, 7$ .

<sup>3</sup>A map over  $B$  requires the triangle to commute

**Remark 5.42.** „The fiber of a fiber bundle is locally constant.“. If  $p: E \rightarrow B$  is a fiber bundle,  $b \in U \subseteq B$  a neighborhood as in the definition of „locally trivial“, then  $p^{-1}(U) \xrightarrow{\cong} F \times U$ . Then for all  $b' \in U$  the fibre  $p^{-1}(\{b'\})$  is homeomorphic to  $F$  and hence to  $p^{-1}(\{b\})$ . So

$$\{b' \in B \mid p^{-1}(\{b'\}) \cong p^{-1}(\{b\})\}$$

is open in  $B$ . So if  $B$  is connected, then all fibers are homeomorphic.

But if  $B = B_1 \amalg B_2$ , then the fiber over points in  $B_1$  must not be related to fibers over points in  $B_2$ .

So often it is no loss of generality to assume that the base is connected.

Note that  $n$ -dimensional vector bundles over  $B$  are not the same as locally trivial fibrations with fiber  $\mathbb{R}^n$ . The difference can be explained by „structure groups“.

**Construction 5.43.** Let  $p: E \rightarrow B$  be a fiber bundle such that all fibers are homeomorphic to  $F$ , (e.g.  $B$  is connected). Then there is a open cover  $\{U_i\}_{i \in I}$  of  $B$  and local trivialisations  $\psi_i: p^{-1}(U_i) \cong F \times U_i$  over  $U_i$  for all  $i \in I$ .

Then for all  $i, j \in I$ , we obtain a homeomorphism over  $U_i \cap U_j$ :

$$F \times (U_i \cap U_j) \xrightarrow{(\psi_i|_{U_i \cap U_j})^{-1} \cong} p^{-1}(U_i \cap U_j) \xrightarrow{(\psi_j|_{U_i \cap U_j}) \cong} F \times (U_i \cap U_j)$$

which is of the form  $(f, b) \mapsto (\phi_{i,j}(b)(f), b)$ , where  $\phi_{i,j}: U_i \cap U_j \rightarrow \text{Homeo}(F, F)$ .

We will later see: there is a natural „mapping space topology“ on  $\text{map}(F, F) \supseteq \text{Homeo}(F, F)$  such that (in good cases)  $\phi_{i,j}$  is continuous.

$\phi_{i,j}$  is called the transition function. They satisfy:

- $\phi_{i,i} = \text{const}_{\text{Id}}$ .
- Cocycle condition for  $i, j, k \in I$ . He draws a diagram I didn't copy. We get  $\phi_{i,k}(b) = \phi_{j,k}(b) \circ \phi_{i,j}(b)$ .

Conversely given

- an open covering  $\{U_i\}_{i \in I}$  of  $B$
- continuous maps  $\phi_{i,j}: U_i \cap U_j \rightarrow \text{Homeo}(F, F)$

that satisfy  $\phi_{i,i} = \text{const}_{\text{Id}}$  and the cocycle condition, we can define

$$E := \left( \coprod_{i \in I} F \times U_i \right) / (f, b) \sim (\phi_{i,j}(b)(f), b)$$

to be a fiber bundle, and in good cases, all locally trivial fibrations with fiber  $F$  are this way.

**Structure groups.** Suppose that the generic fiber  $F$  „has additional structure“ that allows us to single out a subgroup

$$G \subseteq \text{Homeo}(F, F)$$

„those  $\phi: F \rightarrow F$  that preserve the extra structure“. Then we can require that all transition functions

$$\phi_{i,j}: U_i \cap U_j \rightarrow \text{Homeo}(F, F)$$

take values in  $G$ . Then we can say  $p: E \rightarrow B$  is a locally trivial fibration with structure group  $G$ .

If we take  $F = \mathbb{R}^n$ ,  $G = \text{Gl}_n(\mathbb{R}) \subseteq \text{Homeo}(\mathbb{R}^n, \mathbb{R}^n)$  locally trivial fibration with structure group  $\text{Gl}_n(\mathbb{R})$  are equivalent to rank  $n$  real vectorbundles over  $B$ .

If we take  $G = O(n)$ , we get equivalence to euclidean rank  $n$  vector bundles.

Setting  $F = \mathbb{C}^n$ ,  $G = Gl_n(\mathbb{C})$  gives rank  $n$  complex vector bundles.

Setting  $F = \mathbb{R}^n$ ,  $G = Gl_n^+(\mathbb{R})$  gives oriented rank  $n$  vector bundles.

**Example 5.44.** Let  $G$  be a topological group. Then  $G \subseteq \text{Homeo}(G, G)$ ,  $g \mapsto lg: G \rightarrow G\gamma \mapsto g\gamma$ . locally trivial fiber bundles with fiber  $G$  and structure groups  $G$  are called „ $G$ -principal bundles“ or „Principal  $G$ -bundles“.

**Example 5.45.** • Let  $F$  be discrete. Then  $\text{Homeo}(F, F) = \text{Bij}(F, F)$  so we get covering spaces.

- $V_{k,n} \rightarrow S^{n-1}$  is a locally trivial fiber bundle with fiber  $V_{k-1,n-1}$ . The orthogonal group  $O(n-1)$  acts on  $V_{k-1,n-1}$  by  $A \cdot (w_1, \dots, w_{k-1}) = (Aw_1, \dots, Aw_{k-1})$ . So  $O(n-1) \hookrightarrow \text{Homeo}(V_{k-1,n-1}, V_{k-1,n-1})$ . So  $V_{k,n} \rightarrow S^{n-1}$  can take  $O(n-1)$  as structure group.<sup>4</sup>

- For  $1 \leq k \leq n$ :

$$V_{k,n} \rightarrow V_{i,n}, (v_1, \dots, v_k) \mapsto (v_1, \dots, v_i)$$

is a locally trivial fiber bundle with fibre  $V_{k-i,n-i}$  and also with structure group  $O(n-i)$ .

- Same with complex Stiefel manifolds: for  $1 \leq i \leq k$ , we have  $V_{k,n}^{\mathbb{C}} \rightarrow V_{i,n}^{\mathbb{C}}$  is a locally trivial fibre bundle with fibre  $V_{k-i,n-i}^{\mathbb{C}}$  and structure group  $U(n-i)$ .
- Same with quaternionic Stiefel manifolds.
- The map  $\text{span}: V_{k,n} \rightarrow Gr(k, n) = \{L \subseteq \mathbb{R}^n \mid L \text{ is a linear subspace of dimension } k\}$ . is a locally trivial fibre bundle with fibre  $O(k)$ . This is even an  $O(k)$ -principal bundle.
- Same over  $\mathbb{C}, \mathbb{H}$ .

We will make this explicit in a special case: We look at  $S(\mathbb{C}^2) = V_{1,2}^{\mathbb{C}} \rightarrow Gr^{\mathbb{C}}(1, 2) = \mathbb{C}P^1$  the Hopf map given by  $(x, y) \mapsto \mathbb{C} \cdot (x, y)$  This is a  $U(1) = \{z \in \mathbb{C} \mid |z| = 1\} = S^1$ .

We compute explicit local trivialisations and transition functions.

We write  $\mathbb{C}P^1 = U \cup V$  with  $U = \mathbb{C}P^1 \setminus \{\mathbb{C} \oplus 0\}$  and  $V = \mathbb{C}P^1 \setminus \{0 \oplus \mathbb{C}\}$ . We have

$$\mathbb{C} \xrightarrow{\cong} U, z \mapsto \mathbb{C} \cdot (z, 1) \quad \text{and} \quad \mathbb{C} \xrightarrow{\cong} V, z \mapsto \mathbb{C}(1, v)$$

and we get  $U \cap V \cong \mathbb{C} \setminus \{0\}$  by  $z \mapsto \mathbb{C} \cdot (z, 1)$  (note this is a choice).

A trivialisattion of  $\eta$  over  $U$ :  $S^1 \times U \xrightarrow{\psi_1} \eta^{-1}(U)$ ,  $(\lambda, \mathbb{C} \cdot (z, 1)) \mapsto \frac{\lambda}{\sqrt{|z|^2+1}}(z, 1)$  with inverse  $(x, y) \mapsto (\frac{y}{|y|}, \mathbb{C} \cdot (x, y))$ . For  $V$  we do the analogue to get  $\psi_2$ .

We set  $U = U_1, V = U_2$ . We look at the transition map

$$\phi_{1,2}$$

I lacked energy to copy that.

**Example 5.46.** Might also be understood as an exercise. Locally trivial bundles over  $S^1$ . Let  $F$  be any space,  $\psi: F \rightarrow F$  a homomorphism. The mapping torus  $T_f: F \times [0, 1]/(f, 0) \sim (\psi(f), 1)$ . We get  $T_f \rightarrow S^1$ ,  $[x, t] \mapsto e^{2\pi it}$ .

Show that this is a locally trivial fibre bundle. All<sup>5</sup> fibre bundles over  $S^1$  are in this way.

If  $\psi \in G \subseteq \text{Homeo}(F, F)$ , the  $T_f \rightarrow S^1$  has structure group  $G$ .

<sup>4</sup>We are encouraged to check this for ourselves

<sup>5</sup>sufficiently nice



And now also locally trivial bundles over  $S^n$  via clutching functions. Let  $c: S^{n-1} \times F \rightarrow F$  be continuous such that for all  $x \in S^{n-1}$ ,  $c(x, \_): F \rightarrow F$  is a homeomorphism. Is called a „Clutching function“.

Set  $S_+^n = \{(X_1, \dots, x_{n+1}) \in S^n : x_{n+1} \geq 0\}$  and  $S_-^n$  analogous. Then  $S^{n-1} = S_+^n \cap S_-^n$ . Set  $E := (F \times S_+^n) \amalg (F \times S_-^n) / (f, x) \cap (c(x, f), x)$ . Now we have  $E \rightarrow S^n$  given by  $(f, x) \mapsto x$ .

As an exercise show this is a fibre bundle with fibre  $F$ . and all<sup>6</sup> fibre bundles over  $S^n$  are in this way.

[30.06.2025, Lecture 20]

[02.07.2025, Lecture 21]

## 5.4 Fibrations

A rough plan: We have already talked about fiber bundles. We will see they are (Seere) fibrations, which gives a long exact sequence of homotopy groups for  $F, E$  and  $B$ .

### Definition 5.47: Homotopy lifting property

et  $X$  be a space. A continuous map  $p: E \rightarrow B$  has the homotopy lifting property (HLP) for  $X$  if for every commutative square

$$\begin{array}{ccc} X \times \{0\} & \xrightarrow{f} & E \\ \downarrow \text{incl} & \searrow \lambda & \downarrow p \\ X \times [0, 1] & \xrightarrow{\Psi} & B \end{array}$$

has a solution, i.e. there is a continuous map  $\lambda: X \times [0, 1] \rightarrow E$  such that  $\lambda|_{X \times 0} = f$ ,  $p \circ \lambda = \Psi$ .

### Definition 5.48: Fibrations

A continuous map  $p: E \rightarrow B$  is a

**Hurewicz-fibration** if it has the HLP for all spaces  $X$

**Serre fibration** if it has the HLP for all CW-complexes.

<sup>6</sup>nice enough

**Theorem 5.49: Weaker conditions for HLP**

For a continuous map  $p: E \rightarrow B$ , the following are equivalent:

1.  $p$  has the HLP for  $D^n$  for  $n \geq 0$ .
2.  $p$  has the relative HLP for the pair  $(D^n, \partial D^n)$  for all  $n \geq 0$ , i.e. every commutative square of the form

$$\begin{array}{ccc} D^n \times \{0\} \cup \partial D^n \times [0, 1] & \xrightarrow{\quad} & E \\ \downarrow \text{incl} & \nearrow \lambda & \downarrow p \\ D^n \times [0, 1] & \xrightarrow{\quad} & B \end{array}$$

has a lift.

3.  $p$  is a Serre fibration, i.e. it has the HLP for all CW-complexes
4.  $p$  has the relative HLP for all CW-pairs  $(X, A)$  i.e. every commutative square of the form

$$\begin{array}{ccc} X \times \{0\} \cup A \times [0, 1] & \xrightarrow{\quad} & E \\ \downarrow \text{incl} & \nearrow \lambda & \downarrow p \\ X \times [0, 1] & \xrightarrow{\quad} & B \end{array}$$

*Proof.*  $i) \Leftrightarrow ii)$  There is a homeomorphism  $h: D^n \times [0, 1] \rightarrow D^n \times [0, 1]$  that takes  $D^n \times \{0\} \cup (\partial D^n) \times [0, 1]$  homeomorphically into  $D^n \times \{0\}$ .

He draws a picture, but no idea how to copy that.

Any such pair homeomorphism can be used to translate absolute lifting problems and solutions into relative lifting problems and solution:

$$\begin{array}{ccccc} D^n \times 0 \cup (\partial D^n) \times [0, 1] & \xrightarrow[h]{\cong} & D^n \times \{0\} & \xrightarrow{f} & E \\ \downarrow \text{incl} & & \downarrow \text{incl} & & \downarrow p \\ D^n \times [0, 1] & \xrightarrow[h]{\cong} & D^n \times [0, 1] & \longrightarrow & B \end{array}$$

$iii) \Rightarrow i)$   $D^n$  admits the structure of a CW-complex.

$ii) \Rightarrow iv)$  Special case:  $X$  can be obtained from  $A$  by attaching  $n$ -cells for a single fixed  $n$ . We choose an index set  $I$ , and characteristic maps  $\chi_i: (D^n, \partial D^n) \rightarrow (X, A)$  such that

$$\bigcup_{i \in I} \chi_i: A \cup_{I \times \partial D^n} I \times D^n \rightarrow X$$

is a homeomorphism. We consider a relative lifting problem

$$\begin{array}{ccc} X \times 0 \cup A \times [0, 1] & \longrightarrow & E \\ \downarrow \text{incl} & & \downarrow p \\ X \times [0, 1] & \longrightarrow & B \end{array}$$

We know that product with the compact space  $[0, 1]$  preserves pushouts (glueings), i.e. the canonical map  $A \times [0, 1] \cup_{I \times \partial D^n \times [0, 1]} I \times D^n \times [0, 1] \rightarrow X \times [0, 1]$  is a homeomorphism.

This homeomorphism takes  $A \times [0, 1] \cup_{I \times \partial D^n \times \{0\}} I \times D^n \times \{0\}$  onto  $X \times 0 \cup A \times [0, 1]$ , so we can translate the lifting problem into an equivalent one:

$$\begin{array}{ccccc}
 I \times D^n \times \{0\} \cup \partial D^n \times [0, 1] & \longrightarrow & A \times [0, 1] \cup_{I \times \partial D^n \times \{0\}} I \times D^n \times \{0\} & \xrightarrow{f} & E \\
 \downarrow \text{incl} & \nearrow \text{pushout} & \downarrow \text{incl} & \nearrow \mu & \downarrow p \\
 I \times D^n \times [0, 1] & \longrightarrow & A \times [0, 1] \cup_{I \times \partial D^n \times [0, 1]} I \times D^n \times [0, 1] & \xrightarrow{\Psi} & B
 \end{array}$$

As we get liftings for both parts of the pushout, we get a lift for the original space too.

For the general case: We denote by  $X_n$  the skeleta in a relative CW-structure on  $(X, A)$ ,  $X_{-1} = A$ . given a lifting problem for  $(X, A)$ :

$$\begin{array}{ccc}
 X \times 0 \cup_{A \times 0} A \times [0, 1] & \xrightarrow{f} & E \\
 \downarrow & \nearrow \lambda_n & \downarrow p \\
 "X \times 0 \cup_{X_n \times 0} X_n \times [0, 1] & & \\
 \downarrow & & \\
 X \times [0, 1] & \longrightarrow & B
 \end{array}$$

We will inductively construct "partial lifts", i.e. continuous maps  $\lambda_n: X \times 0 \cup_{X_n \times 0} X_n \times [0, 1] \rightarrow E$ , such that those are lifts.

We start with  $\lambda_{-1} = f$ . For the induction:

$$\begin{array}{ccccc}
 X_n \times 0 \cup_{X_{n-1} \times 0} X_{n-1} \times [0, 1] & \xrightarrow{\text{incl}} & X \times 0 \cup_{X_{n-1} \times 0} X_{n-1} \times [0, 1] & \xrightarrow{\lambda_{n-1}} & E \\
 \downarrow \text{incl} & \nearrow \text{pushout} & \downarrow \text{incl} & \nearrow \lambda_n & \downarrow p \\
 X_n \times [0, 1] & \xrightarrow{\text{incl}} & X \times 0 \cup_{X_n \times 0} X_n \times [0, 1] & \longrightarrow & B
 \end{array}$$

The special case gives a solution on the left in the composite. The universal property of the product gives a unique continuous  $\lambda_n$  making the right part commute. Since  $X \times [0, 1]$  has the weak topology with respect to  $X \times 0 \cup_{X_n \times 0} X_n \times [0, 1]$  so the union of the maps  $\{\lambda_n\}_{n \geq -1}$  is a continuous map  $\lambda: X \times [0, 1] \rightarrow E$ . This shows the lifting property.

*iv)  $\implies$  i)* take  $X = D^n$ ,  $A = \emptyset$ .

□

### Theorem 5.50: Fiber bundles and fibrations

*Every locally trivial fibre bundle is a Serre fibration.*

*Proof.* Let  $p: E \rightarrow B$  be a fibre bundle. It suffice sto show that  $p$  has the HLP for  $D^n$  for all

$n \geq 0$ . Or equivalently for  $I^n = [0, 1]^n$  for all  $n \geq 0$ . We consider a lifting problem

$$\begin{array}{ccc} [0, 1]^n \times \{0\} & \xrightarrow{f} & E \\ \downarrow \text{incl} & & \downarrow p \\ I^n \times [0, 1] & \xrightarrow{\Psi} & B \end{array}$$

We choose an open cover  $\{U_i\}_{i \in I}$  of  $B$  such that  $p|_{p^{-1}(U_i)} p^{-1}(U_i) \rightarrow U_i$  is trivialisable. Then  $\{\Psi^{-1}(U_i)\}_{i \in I}$  is an open cover of the compact metric space  $[0, 1]^{n+1}$ , so by Lebesgue's Lemma, there is a number  $k \geq 1$ , such that every subcube of side length  $1/k$  is contained in  $\Psi^{-1}(U_i)$  for some  $i \in I$ . Equivalently:  $\Psi$  takes every such subcube into an open subset of  $B$  over which  $p$  is trivialisable.

We successively add more subcubes of side length  $1/k$  to  $I^n \times \{0\}$  to obtain a function

$$I^n \times \{0\} = X_0 \subseteq X_1 \subseteq X_2 \subseteq \dots \subseteq X_N = I^{n+1} = I^n \times [0, 1]$$

such that  $X_n = X_{n-1} \cup Q$ , where  $Q$  is a subcube of side length  $1/k$  and  $(Q, Q \cap X_{n-1}) \cong (I^{n+1}, I^n \times 0)$  pair homeomorphism. He draws a picture for  $n = 1, k = 3$ . We need to pick the cubes in a right order, for example „lexicographically“.

We inductively construct „partial“ lifts  $\lambda_i: X_i \rightarrow E$  that extend each other, i.e.  $\lambda_i|_{X_{i-1}} = \lambda_{i-1}$  and  $p \circ \lambda_i = \Psi|_{X_i}$ . We start with  $\lambda_0 = f: X_0 = I^n \times 0 \rightarrow E$ .

For the inductive step,

$$\begin{array}{ccccccc} I^n \times 0 & \xrightarrow{\cong} & X_{i-1} \cap Q & \longrightarrow & X_{i-1} & \xrightarrow{\lambda_{i-1}} & E \\ & & \downarrow & \text{pushout} & \downarrow \text{incl} & & \downarrow p \\ I^{n+1} & \xrightarrow{\cong} & Q_i & \longrightarrow & X_n & \longrightarrow & B \end{array}$$

and then he drew arrows I couldn't follow. It suffices to show the lifting problem

$$\begin{array}{ccccc} I^n \times \{0\} & \longrightarrow & p^{-1}(U) & \xrightarrow{\cong} & F \times U \\ \downarrow \text{incl} & & \downarrow p & & \\ I^n \times [0, 1] & \longrightarrow & U & \xrightarrow{=} & U \end{array}$$

We choose a trivialization  $u: p^{-1}(U) \xrightarrow{\cong} F \times U$  over  $U$ , so it suffices to show the outer lifting problem.

$$\begin{array}{ccc} I^n \times \{0\} & \xrightarrow{f = (f_1, \Psi|_{I^n \times \{0\}})} & F \times U \\ \downarrow \text{incl} & & \\ I^{n+1} & \xrightarrow{\Psi} & U \end{array}$$

This has the lifting  $I^{n+1} = I^n \times [0, 1] \xrightarrow{\lambda} F \times U$ , given by  $(x, t) \mapsto (f_1(x), \Psi(x, t))$ .  $\square$

**Theorem 5.51: Serre Fibrations and Homotopy groups**

Let  $p: E \rightarrow B$  be a Serre fibration,  $Y \subseteq B$  any subspace,  $x \in p^{-1}(Y)$ . Then  $p$  induces a bijection

$$p_*: \pi_n(E; p^{-1}(Y), x) \rightarrow \pi_n(B, Y, p(x))$$

for  $n \geq 1$ .

**Recall.**  $\pi_n(B, Y, y)$  = triple homotopy classes of triple maps

$$(I^n, I^{n-1} \times 0, J) \rightarrow (B, Y, \{y\})$$

where  $J = (\partial I^n) \times [0, 1] \cup (I^n \times 0)$  and  $I^{n-1} \times 0 \cup J = \partial(I^n)$  and  $(I^{n-1} \times 0) \cap J = \partial(I^{n-1}) \times 0$ .

*Proof. Surjectivity* We represent a given element of  $\pi_n(B, Y, p(x))$  by a triple map  $\beta: (I^n, I^{n-1} \times 0, J) \rightarrow (B, Y, p(x))$ . The pair  $(I^n, J)$  is pair homeomorphic to  $(I^n, I^{n-1} \times 0)$ , so the following lifting problem can be solved because  $p$  is a Serre fibration.

$$\begin{array}{ccc} J & \xrightarrow{\text{const}_x} & E \\ \downarrow & & \downarrow p \\ I^n & \xrightarrow{\beta} & B \end{array}$$

Then any lift  $\lambda$  is a triple map  $\lambda: (I^n, I^{n-1} \times 0, J) \rightarrow (E, p^{-1}(Y), x)$ , so  $\lambda$  represents an element in  $\pi_n(E, p^{-1}(Y), x)$  such that  $p_*[\lambda] = [p \circ \lambda] = [\beta]$ .

**Injectivity** Let  $\alpha_1, \alpha_2: (I^n, I^{n-1} \times 0, J) \rightarrow (E, p^{-1}(Y), x)$  be two triple maps, such that  $p_*[\alpha_1] = p_*[\alpha_2]$  in  $\pi_n(B, Y, P(x))$ . So there exists a triple homotopy  $H: I^n \times [0, 1] \rightarrow B$  from  $p \circ \alpha_1$  to  $p \circ \alpha_2$ , i.e.  $H(I^{n-1} \times 0 \times [0, 1]) \subseteq Y$ ,  $H(J \times [0, 1]) = \{p(x)\}$ .

The pair  $(I^n \times [0, 1], I^n \times \{0, 1\} \cup_{J \times \{0, 1\}} J \times [0, 1])$  is pair homeomorphic to  $I^n \times [0, 1], I^n \times 0$ . He draws why that is for  $n = 1$ . So the following lifting problem has a solution

$$\begin{array}{ccc} I^n \times \{0, 1\} \cup_{J \times \{0, 1\}} J \times [0, 1] & \xrightarrow{\text{incl} \cup \alpha_2 \cup \text{const}_x} & E \\ \downarrow \text{incl} & \nearrow K & \downarrow p \\ I^n \times [0, 1] & \xrightarrow{H} & B \end{array}$$

The lift  $K$  is a triple homotopy from  $\alpha_1$  to  $\alpha_2$ , so  $[\alpha_1] = [\alpha_2]$  in  $\pi_n(E, p^{-1}(Y), x)$ . □

Let  $p: E \rightarrow B$  be any Serre fibration,  $b \in B$ . Set  $F := E_b = p^{-1}(\{b\})$ , choose  $x \in F$ .

Recall by the long exact sequence of homotopy groups for the pair  $(E, F)$ :

$$\dots \pi_{n+1}(E, F, x) \xrightarrow{\partial} \pi_n(F, x) \rightarrow \pi_n(E, x) \rightarrow \pi_n(E, F, x) \rightarrow \dots$$

but we have  $p_*$  an isomorphism to  $\pi_{n+1}(B, \{b\}, b) = \pi_{n+1}(B, b)$  and we get another LES

$$\dots \pi_{n+1}(B, b) \xrightarrow{\partial} \pi_n(F, x) \rightarrow \pi_n(E, x) \rightarrow \pi_n(B, b)$$

**Corollary 5.52.** For every Serre fibration  $p: E \rightarrow B$  and  $b \in B$ ,  $x \in F = p^{-1}(\{b\})$ , the sequence above is exact.

[02.07.2025, Lecture 21]

[07.07.2025, Lecture 22]

Everything from now on is not relevant for the first exam anymore, but will be for the second exam<sup>7</sup>.

The Hopf map  $\eta: S^3 \rightarrow S^2$  is a locally trivial fibre bundle. So we get a long exact sequence of homotopy groups

$$\pi_n(S^1, x) \rightarrow \pi_n(S^3, x) \rightarrow \pi_n(S^2, y), \pi_{n-1}(S^1, x)$$

This implies, that for all  $n \geq 3$  the map  $\eta_*: \pi_n(S^3, x) \rightarrow \pi_n(S^2, b)$  is an isomorphism.

This yields  $\mathbb{Z}[\text{Id}] \cong \pi_3(S^3, x) \cong \pi_3(S^2, b) \cong \mathbb{Z}[\eta]$ .

**Observation.** Let  $p: E \rightarrow B$  be a retract of  $p': E' \rightarrow B$ , i.e. there are continuous maps  $i: E \rightarrow E'$ ,  $r: E' \rightarrow E$ , such that  $r \circ i = \text{Id}_E$  and the following commutes:

$$\begin{array}{ccccc} E & \xrightarrow{i} & E' & \xrightarrow{r} & E \\ & \searrow p & \downarrow p' & \swarrow p & \\ & & B & & \end{array}$$

Then if  $p'$  has the HLP with respect to  $X$  then so does  $p$ .

*Proof.* I lacked speed to copy. □

**Corollary 5.53.** Every retract of a Hurewicz-fibration is a Hurewicz-fibration.

Every retract of a Serre-fibration is a Serre-fibration.

**Example 5.54.** We want to construct a Serre-fibration, that is not a fibre-bundle. The example is even a Hurewicz-fibration.

We set  $E = \{(x, y) \in [0, 1]^2 \mid x \leq y\} \rightarrow [0, 1] = B$  given by  $(x, y) \mapsto x$ . This is a fibration but not a fibre bundle. It is a retract of  $[0, 1]^2 \rightarrow [0, 1]$  given by the projection.

### Theorem 5.55: Fibers of fibrations

Let  $p: E \rightarrow B$  be a continuous map with path-connected base  $B$ .

1. If  $p$  is a Hurewicz-fibration, then all its fibers are homotopy equivalent.
2. If  $p$  is a Serre-fibration, then all fibers that admit the structure of a CW-complex are homotopy equivalent.

*Proof.* We only proof 1. The proof for 2 is analogous. Let  $b, b' \in B$  be any points.  $E_b = p^{-1}(\{b\})$ ,  $E_{b'} = p^{-1}(\{b'\})$ .

Solve the following lifting problem: Let  $w: [0, 1] \rightarrow B$  be any path from  $b$  to  $b'$ .

$$\begin{array}{ccc} E_b \times 0 & \xrightarrow{\text{incl}} & E \\ \downarrow & \searrow \lambda & \downarrow p \\ E_b \times [0, 1] & \xrightarrow{w \circ \text{proj}} & B \end{array}$$

Now  $\lambda(E_b \times 1) \subseteq E_{b'}$ . So we can define a continuous map  $f: E_b \rightarrow E_{b'}$  by  $\lambda(x, 1) = f(x)$ .

<sup>7</sup>Somehow he assumes we will all pass the first exam

Let  $\bar{w}: [0, 1] \rightarrow B$  be the reverse path to  $w$ . Now define  $f': E_{b'} \rightarrow E_b$  be analogous to  $f$  using  $\bar{w}$ .

We will show that  $f' \circ f \simeq \text{Id}_{E_{b'}}$ . The other case is analogous.

I did not manage to copy. □

### 5.4.1 Induced fibration

Let  $p: E \rightarrow B$  and  $f: B' \rightarrow B$  be continuous maps. The *pullback* is the space  $\{(b', e) \in B' \times E \mid f(b') = p(e)\}$  with subspace topology of the product topology. This comes with continuous maps making the following square commute

$$\begin{array}{ccc} B' \times_B E & \xrightarrow{f'} & E \\ (y, e) \mapsto y \downarrow p' & & \downarrow p \\ B' & \xrightarrow{f} & B \end{array}$$

The maps  $p'$  and  $f'$  establish  $B' \times_B E$  as a pullback in the sense of category theory, of  $p$  and  $f$ .

#### Theorem 5.56: L

Let  $p: E \rightarrow B$  and  $f: B' \rightarrow B$  be continuous maps.

1. If  $p$  is a fibre bundle, then so is  $p': B' \times_B E \rightarrow B'$
2. if  $p$  has the HLP with regard to a space  $X$ , then so does  $p'$ .
3. If  $p$  is a Hurewicz fibration, then so is  $p'$ .
4. If  $p$  is a Serre-fibration, then so is  $p'$ .

*Proof.* We only show 1 and 2. I lacked energy to copy. □

[07.07.2025, Lecture 22]  
[09.07.2025, Lecture 23]

## 5.5 Mapping spaces

**Motivation.** Remember the „exponential rule“ for sets  $X, Y, Z$  we have a natural isomorphism

$$Z^{X \times Y} \cong (Z^Y)^X$$

where we set  $Z^Y := \text{maps}(Y, Z)$  the set of maps  $Y \rightarrow Z$ .

In categorical language we have

- the functor  $(\_)^Y: \mathbf{sets} \rightarrow \mathbf{sets}$ ,  $Z \mapsto Z^Y$  is right adjoint to  $\_ \times Y: \mathbf{sets} \rightarrow \mathbf{sets}$ .
- The category of sets is *cartesian closed*.

We would like **Top** the category of topological spaces and continuous maps to be cartesian closed, but it is not quite, but close.

For a different approach: „Compactly generated weak Hausdorff spaces“ is a full subcategory of spaces which is cartesian closed.

For now we are talking about the mapping space topology

**Motivation.** Suppose,  $Y$  is compact,  $(Z, d)$  is metric. We can define the supremum-metric on  $Z^Y := \text{maps}^{cts}(Y, Z)$  by

$$d(f, g) = \sup_{y \in Y} d(f(y), g(y))$$

If  $X$  is another compact space, then also  $X \times Y$  is compact and we have to metrics on

$$Z^{X \times Y} \cong (Z^Y)^X$$

In this case, the „exponential bijection“ is an isometry.

$$\sup_{(x,y) \in X \times Y} d(f(x, y), g(x, y)) = \sup_{x \in X} (\sup_{y \in Y} d(\tilde{f}(x)(y), \tilde{g}(x)(y)))$$

so in particular the exponential bijection is a homeomorphism.

The compact open topology generalizes this definition

### Definition 5.57: Compact open topology

Let  $Y, Z$  be topological spaces, and set

$$Z^Y = \text{maps}^{\text{continuous}}(Y, Z) = \text{set of continuous maps } Y \rightarrow Z$$

Let  $K$  be a compact<sup>1</sup> subset of  $Y$ , let  $O$  be an open subset of  $Z$ . Define

$$W(K, O) = \{f \in Z^Y \mid f(K) \subseteq O\}$$

The compact-open topology is the topology on  $Z^Y$  with subbasis

$$\{W(K, O) \mid K \text{ compact in } Y, O \text{ open in } Z\}$$

---

<sup>1</sup>remember this also implies Hausdorff

### Theorem 5.58: Compact open recovers supremum metric

Let  $Y$  be a compact space,  $(Z, d)$  a metric space. Then the compact-open topology on  $Z^Y$  agrees with the metric topology of the supremum metric.

*Proof.* 1. Compactly open  $\implies$  metrically open. It suffices to show that the subbasis sets  $W(K, O)$  are metrically open. So we let  $K$  be compact in  $Y$ ,  $O$  open in  $Z$ ,  $f \in W(K, O)$ , i.e.  $f(K) \subseteq O$ .

Then  $f(K)$  is a compact subset of  $Z$ , and disjoint from the closed subset  $Z \setminus O$ . So  $f(K)$  and  $Z \setminus O$  have a finite and positive real distance

$$\epsilon := \inf_{k \in K} \inf_{z \in Z \setminus O} d(f(k), z) > 0$$

**Claim.**  $f \in B(\epsilon, f) \subseteq W(K, O)$ , i.e. the open  $\epsilon$ -ball around  $f$  is contained in  $W(K, O)$ , hence  $W(K, O)$  is metrically open.

*Proof of claim.* Let  $g \in B(\epsilon, f)$ , i.e.  $d(f, g) < \epsilon$ . Then in particular  $d(f(k), g(k)) < \epsilon$  for all  $k \in K$  and thus  $g(k) \in Z \setminus O$  for all  $k \in K$ . Thus  $g(k) \in O$ , i.e.  $g \in W(K, O)$ .  $\square$

2. Metrically open  $\implies$  compactly open. It suffices to show that open  $\epsilon$ -balls in the supremum metric are compactly open. Let  $\epsilon > 0$ ,  $f: Y \rightarrow Z$  continuous. We show that  $B(\epsilon, f)$  is compactly open. For every  $y \in Y$  consider the open neighborhood:

$$y \in f^{-1}(B(\epsilon/5, f(y)))$$



Because  $Y$  is compact and hence locally compact, there is a compact neighborhood  $K_y$  of  $y$  inside  $f^{-1}(B(\epsilon/5, f(y)))$ . The compact space  $Y$  is covered by the neighborhoods  $\{K_y\}_{y \in Y}$  so there is a finite subset  $I \subseteq Y$  such that  $Y = \bigcup_{y \in I} K_y$ . For  $i \in I$  set

$$O_i := \text{open } \epsilon/5 \text{ neighborhood of the compact subset } f(K_i) \text{ of } Z$$

Then the diameter of  $O_i$  (= supremum of distance of any two points) is at most  $4/5 \cdot \epsilon$ . He explains this by a picture.

**Claim.**  $f \in \bigcap_{i \in I} W(K_i, O) \subseteq B(\epsilon, f)$  so  $B(\epsilon, f)$  is compactly open.

*Proof of claim.* Let  $g \in W(K_i, O)$  for all  $i \in I$ , i.e.  $g(K_i) \subseteq O$  for all  $i \in I$ . For every  $y \in Y$ , there is an  $i \in I$ , such that  $y \in K_i$ , so  $f(y) \in f(K_i) \subseteq O_i$  we have  $d(f(y), g(y)) \leq 4/5 \cdot \epsilon$ . So

$$\sup_{y \in Y} d(f(y), g(y)) \leq 4/5 \cdot \epsilon < \epsilon$$

and  $g \in B(\epsilon, f)$  □

□

**Corollary 5.59.** Let  $X, Y$  be compact spaces,  $Z$  metrisable space. Then the exponential map is a homeomorphism

$$Z^{X \times Y} \cong (Z^Y)^X$$

for the compact open topology.

We will later only need  $X$  Hausdorff and  $Y$  locally compact Hausdorff for this result. We are working on this

**Proposition 5.60.** Let  $Y$  and  $Z$  be spaces.

1. Let  $\mathcal{B}$  be a basis of the topology on  $Z$ . Then

$$\{W(K, O)\}_{K \text{ compact in } Y, O \in \mathcal{B}}$$

is a subbasis of the compact open topology on  $Z^Y$ .

2. Let  $\mathcal{S}$  be a subbasis of the topology on  $Z$ . Then

$$\{W(K, O)\}_{K \text{ compact in } Y, O \in \mathcal{S}}$$

of the compact open-topology on  $Z^Y$ .

We only state part 1 here, because it is a big stepping stone for the proof.

*Proof.* 1. We show that  $W(K, O)$ ,  $O$  any open subset of  $Z$ , is open in the potentially smaller topology mentioned in 1. Take  $f \in W(K, O)$ , i.e.  $f(K) \subseteq O$ . For every  $y \in K$ , we have  $f(y) \in O$ . Since  $\mathcal{B}$  is a basis of the topology on  $Z$ , there is an  $O_y \in \mathcal{B}$  s.t.  $f(y) \in O_y \subseteq O$ . So  $f^{-1}(O_y)$  is an open neighborhood of  $y$ . So  $f^{-1}(O_y) \cap K$  is an open neighborhood of  $y$  inside  $K$ . Since  $K$  is compact, there is a compact neighborhood  $K_y$  of  $y$  in  $K$  inside  $f^{-1}(O_y)$ . By compactness of  $K$ , there is a finite subset  $I$  of  $K$ , such that  $K = \bigcup_{y \in I} K_y$ .

**Claim.**  $f \in \bigcap_{i \in I} W(K_i, O_i) \subseteq W(K, O)$ .

*Proof of claim.* Let  $g \in \bigcap_{i \in I} W(K_i, O_i)$ . Then for every  $y \in K$ , there is an  $i \in I$ , such that  $y \in K_i$ . Then  $g(y) \in g(K_i) \subseteq O_i \subseteq O$ . So  $g(K) \subseteq O$ . and  $g \in W(K, O)$ . □

2. Let  $\mathcal{B}_\mathcal{S}$  be the basis generated by the subbasis  $\mathcal{S}$  of the topology on  $Z$ , i.e.

$$\mathcal{B}_\mathcal{S} = \text{set of finite intersections of sets in } \mathcal{S}$$

By *i*), the sets  $W(K, O)$  with  $O \in \mathcal{B}_\mathcal{S}$  generate the compact-open topology of  $Z^Y$ . So it suffices to show that each such  $W(K, O)$   $O \in \mathcal{B}_\mathcal{S}$  are open in the potentially smaller topology.

Since  $O \in \mathcal{B}_\mathcal{S}$ ,  $O = O_1 \cap \cdots \cap O_n$  for some  $O_1, \dots, O_n \in \mathcal{S}$ . Then

$$W(K, O) = W(K, O_1 \cap \cdots \cap O_n) = W(K, O_1) \cap \cdots \cap W(K, O_n)$$

is open in the potentially smaller topology. □

### Theorem 5.61: Continuous evaluation

Let  $Y$  and  $Z$  be spaces, such that  $Y$  is locally compact Hausdorff. Then the evaluation map

$$\text{ev}: Z^Y \times Y \rightarrow Z, \quad \text{ev}(f, y) = f(y)$$

is continuous.

*Proof.* We just need to check continuity. Let  $O$  be an open subset of  $Z$ . Let  $(f, y) \in \text{ev}^{-1}(O)$ , i.e.  $f(y) \in O$ ,  $f: Y \rightarrow Z$  continuous. So  $f^{-1}(O)$  is an open neighborhood of  $y$ . Since  $Y$  is locally compact, there is a compact neighborhood  $K$  of  $y$  in  $Y$ . So  $y \in K \subseteq f^{-1}(O)$ . So  $(f, y) \in W(K, O) \times K$ . So  $W(K, O) \times K$  is a neighborhood of  $(f, y)$  in the product topology of  $Z^Y \times Y$ . Moreover,  $\text{ev}(W(K, O) \times K) \subseteq O$ , or  $W(K, O) \times K \subseteq \text{ev}^{-1}(O)$ . So  $\text{ev}^{-1}(O)$  is open in  $Z^Y \times Y$ . □

**Proposition 5.62.** Let  $X, Y, Z$  be topological spaces. Let  $f: X \times Y \rightarrow Z$  be continuous. Then  $\tilde{f}: X \rightarrow Z^Y$   $\tilde{f}(x)(y) = f(x, y)$  is continuous in the compact open topology of  $(Z^Y)^X$ .

*Proof.* To show that  $\tilde{f}: X \rightarrow Z^Y$  is continuous, it suffices to show that  $\tilde{f}^{-1}(W(K, O))$  is open in  $X$  for all  $K$  compact in  $Y$ ,  $O$  open in  $Z$ . Let  $K$  be compact in  $Y$ ,  $O$  open in  $Z$ .

$$\tilde{f}^{-1}(W(K, O)) = \{x \in X \mid f(\{x\} \times K) \subseteq O\} = \{x \in X \mid \{x\} \times K \subseteq f^{-1}(O)\}$$

The slice lemma provides an open neighborhood  $U$  of  $x$  in  $X$ , such that  $U \times K \subseteq f^{-1}(O)$ . Hence  $x \in U \subseteq \tilde{f}^{-1}(W(K, O))$ , so  $\tilde{f}^{-1}(W(K, O))$  is open in  $X$ . □

Now we know that the exponential map

$$\Phi: Z^{X \times Y} \rightarrow (Z^Y)^X, \quad f \mapsto \Phi(f) = \tilde{f}$$

indeed takes values in  $(Z^Y)^X$ .

### Theorem 5.63: Exponential map

Let  $X, Y, Z$  be topological spaces.

1. The map  $\Phi: Z^{X \times Y} \rightarrow (Z^Y)^X$  is continuous.
2. Suppose that  $Y$  is locally compact Hausdorff. Then  $\Phi$  is bijective.
3. Suppose that  $X, Y$  are Hausdorff and  $Y$  is locally compact. Then  $\Phi$  is a homeomorphism

Important is the third part.

**Note.** 2. implies, that  $\text{map}^{cts}(X \times Y, Z) \cong \text{map}^{cts}(X, Z^Y)$  is a natural bijection. So for  $Y$  locally compact we have  $\_ \times Y$  is left adjoint to  $(\_)^Y$ , So  $\_ \times Y$  preserves all colimits.

*Proof.* 1. By definition  $Z^Y$  has a subbasis of the compact-open topology  $\{W(K, O)\}_{K \text{ compact in } Y, O \text{ open in } Z}$ . By an earlier proposition, the following set generate the iterated compact-open topology on  $(Z^Y)^X$ :

$$\{W(K', W(K, O))\}_{K' \text{ compact in } X, K \text{ compact in } Y, O \text{ open in } Z}$$

But luckily

$$\Phi^{-1}(W(K', W(K, O))) = W(K' \times K, O)$$

is an open subset in the compactly-open topology on  $Z^{X \times Y}$ . So  $\Phi$  is continuous.

2. Since  $Y$  is locally compact Hausdorff, the evaluation map  $\text{ev}: Z^Y \times Y \rightarrow Z$  is continuous. So for every continuous map  $g: X \rightarrow Z^Y$ , the following composite is continuous:

$$X \times Y \xrightarrow{g \times Y} Z^Y \times Y \xrightarrow{\text{ev}} Z$$

so  $\text{ev} \circ (g \times Y) \in Z^{X \times Y}$ . So  $(Z^Y)^X \rightarrow Z^{X \times Y}$ ,  $g \mapsto \text{ev} \circ (g \times Y)$  is a well defined set-theoretic map, and inverse to  $\Phi$ . So  $\Phi$  is bijective.

3. We need a proposition

**Proposition 5.64.** Let  $X, Y$  be Hausdorff spaces,  $Z$  any space. Then the sets  $W(K' \times K, O)$  for  $K'$  compact in  $X$ ,  $K$  compact in  $Y$ ,  $O$  open in  $Z$  generate the compact-open topology on  $Z^{X \times Y}$ .

This will be proved next week. For the proof of 3., by 1. and 2.  $\Phi: Z^{X \times Y} \rightarrow (Z^Y)^X$  is a continuous bijection, so it remains to show that it is an open map. By the Proposition it suffices to show that  $\Phi$  takes the open subsets  $W(K' \times K, O)$  to open subsets in  $(Z^Y)^X$ . But

$$\Phi(W(K' \times K, O)) = W(K', W(K, O))$$

which is open in the iterated compact-open topology of  $(Z^Y)^X$ .

□

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[09.07.2025, Lecture 23]  
[14.07.2025, Lecture 24]

*Proof of proposition 5.64.* Let  $L$  be a compact subset of  $X \times Y$ ,  $O \subseteq Z$  open. We must show that  $W(L, O)$  is open in the potentially smaller topology. We let  $f \in W(L, O)$ . For every  $(x, y) \in L$  we choose a product open neighborhood  $(x, y) \in U_{x,y} \times V_{x,y} \subseteq f^{-1}(O)$ , with  $U_{x,y}$  open in  $X$ ,  $V_{x,y}$  open in  $Y$ . Set  $L_X := \text{image of } L \text{ under } X \times Y \xrightarrow{\text{proj}} X$  and  $L_Y$  analogue. These are compact subsets of  $X$  resp.  $Y$  because of the Hausdorff assumption. Since  $x \in U_{x,y} \cap L_X$  is a neighborhood of  $x$  in  $L_X$ , so there is a compact neighborhood  $K_{x,y}$  of  $x$  in  $L_X \cap U_{x,y}$ . Similarly we get  $K'_{x,y}$  of  $y$  in  $L_Y$ . Then

$$\{K_{x,y} \times K'_{x,y}\}_{(x,y) \in L}$$

is a cover of  $L$ , so there is a finite subset  $I$  of  $L$  such that

$$L = \bigcup_{(x,y) \in I} K_{x,y} \times K'_{x,y}$$

then  $f \in \bigcap_{(x,y) \in I} W(K_{x,y} \times K'_{x,y}, O) = W(L, O)$ . So  $W(L, O)$  is open in the potentially smaller topology. □

**Remark.** (*functoriality*) Let  $\alpha: Y' \rightarrow Y$ ,  $\beta: Z \rightarrow Z'$  be continuous maps. We define

$$\alpha^*: Z^Y \rightarrow Z^{Y'}$$

by  $\alpha^*(f) = f \circ \alpha$ . And

$$\beta_*: Z^Y \rightarrow (Z')^Y, \quad \beta_*(f) = \beta \circ f$$

**Proposition 5.65.** 1. If  $Y$  is Hausdorff, then  $\alpha^*$  is continuous.

2.  $\beta_*$  is always continuous.

3. The following commutes

$$\begin{array}{ccc} Z^Y & \xrightarrow{\alpha^*} & Z^{Y'} \\ \downarrow \beta_* & & \downarrow \beta_* \\ (Z')^Y & \xrightarrow{\alpha^*} & (Z')^{Y'} \end{array}$$

In other words, the assignment  $(Y, Z) \mapsto Z^Y$  is extended to a functor

$$\mathbf{Top}_{Hd}^{Op} \times \mathbf{Top} \rightarrow \mathbf{Top}$$

*Proof.* Was too lazy to copy. □

Last class of topology II. Exam starts at 8:30 so the people always late will also be there. Be there 10 minutes early please. Good luck.

## 5.6 Loop-spaces

### Theorem 5.66: Mapping spaces and fibrations

Let  $Y$  be a locally compact CW-complex,  $B \subseteq Y$  subcomplex. Then for every space  $Z$ , the map

$$\text{incl}^*: Z^Y \rightarrow Z^B, \quad f \mapsto f|_B$$

is a Serre-fibration.

*Proof.* We show the HLP for  $D^n, n \geq 0$ . We consider a lifting problem:

$$\begin{array}{ccc} D^n \times 0 & \xrightarrow{g} & Z^Y \\ \downarrow \text{incl} & & \downarrow \text{incl}^* \\ D^n \times [0, 1] & \xrightarrow{\Phi} & Z^B \end{array}$$

We consider a lifting problem. By the product-mapping-space adjunction, the map  $g$  is adjoint to a continuous map

$$\hat{g}: D^n \times 0 \times Y \rightarrow Z, \quad \hat{g}(x, 0, y) = g(x, 0)(y)$$

and similarly  $\hat{\Phi}$ . The commutativity of the square is equivalent to the fact that

$$\hat{g}|_{D^n \times 0 \times B} = \hat{\Phi}|_{D^n \times [0, 1] \times Y}$$

so we define a continuous function  $\hat{g} \cup \hat{\Phi}$ . Since  $(D^n \times Y, D^n \times B)$  is a relative CW-complex, it has the HEP, this is a continuous extension  $K: D^n \times [0, 1] \times Y \rightarrow Z$  of  $\hat{g} \cup \hat{\Phi}$ . Adjoint to  $K$

is the continuous map  $K^b: D^n \times [0, 1] \rightarrow Z^Y$ ,  $K^b(x, t)(y) = K(x, t, y)$ . This solves the lifting problem.  $\square$

### Definition 5.67: Loop Space

Let  $(Z, z_0)$  be a based space. The loop space is the space

$$\Omega Z = \{w: [0, 1] \rightarrow Z \mid w(0) = w(1) = z_0\}$$

with the subspace topology of  $Z^{[0,1]}$ .

**Aim.** natural isomorphism  $\pi_n(\Omega Z, \text{const}_{z_0}) \cong \pi_{n+1}(Z, z_0)$ . „Looping shifts homotopy groups“.

We write  $EZ = \{z_0\} \times_Z Z^{[0,1]} = \{w: [0, 1] \rightarrow Z \mid w(0) = z_0\}$ .

**Proposition 5.68.** The space  $EZ$  is contractible to the constant path at  $z_0$ . The map  $\text{ev}_1: EZ \rightarrow Z$ ,  $\text{ev}_1(w) = w(1)$  is a Serre fibration.

*Proof.* For contractibility: We consider the homotopy  $H: [0, 1] \times [0, 1] \rightarrow [0, 1]$  given by  $H(x, t) = x \cdot t$ . Then  $H(\_, 0) = \text{const}_0$  and  $H(\_, 1) = \text{Id}$ .  $H$  is relative to 0. We look at the composite

$$EZ = \{z_0\} \times_Z Z^{[0,1]} \hookrightarrow Z^{[0,1]} \rightarrow H^* Z^{[0,1] \times [0,1]} \cong (Z^{[0,1]})^{[0,1]}$$

Let  $H^b: EZ \times [0, 1] \rightarrow Z^{[0,1]}$  be the adjoint. Then

$$H^b(w, *) = \{t \mapsto w(x \cdot t)\}, 0 \mapsto w(0) = z_0$$

So the image of  $H^b$  lies in  $EZ$ . So we can view  $H^b$  as a homotopy  $H^b: EZ \times [0, 1] \rightarrow EZ$ . And  $H^b(\_, 0) = \text{const}_{z_0}$ ,  $H^b(w, 1) = w$ , so  $H^b(\_, 1) = \text{Id}$ .  $H^b(\text{const}_{z_0}, t) = \text{const}_{z_0}$ . So  $EZ$  is contractible.

For Serre-fibration:  $Z^{[0,1]} \xrightarrow{\text{incl}^*} Z^{[0,1]} \cong Z \times Z$  is a Serre fibration given by  $w \mapsto (w(0), w(1))$ . We look at  $Z \mapsto Z \times Z$ ,  $z \mapsto (z_0, z)$ . We use that Serre-fibration is stable under Pullback. The pullback is  $EZ$  and the map is  $\text{ev}_1$ .  $\square$

The loop space  $\Omega Z$  is the fiber of  $\text{ev}_1: EZ \rightarrow Z$  over  $z_0$ . So we get a long exact homotopy group sequence:

$$\pi_{n+1}(EZ, \text{const}_{z_0}) \xrightarrow{(\text{ev}_1)_*} \pi_{n+1}(Z, z_0) \xrightarrow{\partial} \pi_n(\Omega Z, \text{const}_{z_0}) \xrightarrow{\text{incl}_*} \pi_n(EZ, \text{const})$$

So  $\partial: \pi_{n+1}(Z, z_0) \cong \pi_n(\Omega Z, \text{const}_{z_0})$  is an isomorphism.

End of the lecture

# Appendix

## List of definitions

1.3	Differential graded ring . . . . .	6
2.2	Simplicial abelian group . . . . .	12
2.6	Tensor product of simplicial abelian groups . . . . .	13
2.9	$(p,q)$ -shuffle . . . . .	14
2.13	Eilenberg-Zilber map . . . . .	15
2.18	Representable functor . . . . .	17
2.23	Tensor Product of $R$ -chains . . . . .	22
2.24	Projective $R$ -modules . . . . .	23
2.26	Global dimension of rings . . . . .	25
2.28	Tor of nice rings . . . . .	25
3.1	Manifold . . . . .	40
3.12	Local orientation . . . . .	45
3.16	Orientation . . . . .	47
3.17	Orientability . . . . .	47
4.1	Cap-Product . . . . .	55
5.7	Proper maps . . . . .	59
5.34	. . . . .	71
5.38	Fiber Bundle . . . . .	74
5.41	Isomorphism of Fiber bundles . . . . .	74
5.47	Homotopy lifting property . . . . .	77
5.48	Fibrations . . . . .	77
5.57	Compact open topology . . . . .	84
5.67	Loop Space . . . . .	89

## List of statements

1.2	fundamental properties of cup product . . . . .	4
1.6	Properties of the cup-product on homology . . . . .	6
1.8	Commutativity of the cup-product . . . . .	7
1.10	$\cup_1$ -Product . . . . .	8
2.1	Künneth, simple version . . . . .	12
2.15	Shuffle maps form a chain map . . . . .	15
2.16	Eilenberg-Zilber . . . . .	15
2.17	Yoneda lemma . . . . .	16
2.21	Acyclic models . . . . .	18
2.30	Algebraic Künneth theorem . . . . .	26
2.33	Künneth theorem for homology with field coefficients . . . . .	28
2.34	Künneth theorem for homology . . . . .	29
2.39	Algebraic Künneth theorem, cohomology . . . . .	32
2.42	Künneth theorem in cohomology . . . . .	33
2.50	Hopf map is not constant . . . . .	38
3.8	Graßmann Manifolds . . . . .	43
3.14	Orientation covering . . . . .	46
3.23	Vanishing homology in high dimensions . . . . .	49
3.26	Fundamental Classes . . . . .	52
3.27	Top Homology of connected Manifolds . . . . .	53
5.1	Poincaré-duality . . . . .	57
5.21	Compact unions . . . . .	66
5.23	Poincaré Duality . . . . .	67
5.32	. . . . .	70
5.33	. . . . .	71
5.35	. . . . .	71
5.37	. . . . .	71
5.49	Weaker conditions for HLP . . . . .	78
5.50	Fiber bundles and fibrations . . . . .	79
5.51	Serre Fibrations and Homotopy groups . . . . .	81
5.55	Fibers of fibrations . . . . .	82
5.56	L . . . . .	83
5.58	Compact open recovers supremum metric . . . . .	84
5.61	Continuous evaluation . . . . .	86
5.63	Exponential map . . . . .	86
5.66	Mapping spaces and fibrations . . . . .	88



# Index

- (p,q)-shuffle, 14
- Alexander Whitney map, 4
- compactly supported, 57
- cup-product, 4, 6
- differential graded ring, 6
- duality map, 63
- Eilenberg-Zilber map, 15
- exterior cup product, 33
- Fiber bundle, 74
- finite type CW-complex, 34
- fundamental class, 52
- Global dimension, 25
- graded ring, 6
- homotopy lifting property, 77
- Künneth theorem, 12
- Local homology, 45
- manifold, 40
- Orientation, 47
- Orientation covering, 46
- Projective Module, 23
- representable functor, 17
- ring, 4
- shuffle map, 15
- simplicial abelian group, 12
- Tensor product of simplicial abelian groups, 13