

### CH 3 - METRIC SPACES

"We can develop a general notion of distance that covers distances between numbers, vectors, sequences, sets and much more. Within this theory, we can develop and formulate and prove results about convergence and continuity."

#### 3.1 DEFINITIONS AND EXAMPLES

Def (3.1.1) A metric space  $(X, d)$  consists of  $X \neq \emptyset$  and  $d: X \times X \rightarrow [0, \infty)$ , such that:

$$(1) \forall x, y \in X: d(x, y) \geq 0 \quad \wedge \quad d(x, y) = 0 \Leftrightarrow x = y$$

$$(2) \forall x, y \in X: d(x, y) = d(y, x)$$

$$(3) \forall x, y, z \in X: d(x, y) \leq d(x, z) + d(z, y)$$

A function  $d$  satisfying these conditions is said to be a metric on  $X$ .

When it is clear, we shall use "the metric space  $X$ " instead of "the metric space  $(X, d)$ ".

Examples:

a)  $d(x, y) = |x - y|$ ,  $(\mathbb{R}, d)$  is a metric space. (1) and (2) are obvious.

(3) follows from triangle inequality of real numbers:

$$\underbrace{d(x, y)}_{= |x - y|} = |x - y| = |x + z - z - y| = |(x - z) + (z - y)| \leq |x - z| + |z - y| = \underbrace{d(x, z)}_{= |x - z|} + \underbrace{d(z, y)}_{= |z - y|}$$

b)  $d(x, y) = \|x - y\| = \sqrt{\sum_{i=1}^n (x_i - y_i)^2} = \sqrt{(x_1 - y_1)^2 + \dots + (x_n - y_n)^2}$ , then  $(\mathbb{R}^n, d)$  is a metric space.

(1) and (2) are again obvious. (3) follows from triangle equality for vectors:

$$\underbrace{d(x, y)}_{= \|x - y\|} = \|x - y\| = \|x - z + z - y\| \leq \|x - z\| + \|z - y\| = \underbrace{d(x, z)}_{= \|x - z\|} + \underbrace{d(z, y)}_{= \|z - y\|}$$

c) Assume we want to move from a point  $x = (x_1, x_2)$  to  $y = (y_1, y_2)$  on a plane, but we can only move horizontally/vertically. Then the total distance is:

$$d(x, y) = \underbrace{|y_1 - x_1|}_{\text{Horizontal}} + \underbrace{|y_2 - x_2|}_{\text{Vertical}}$$

Horizontally from  $(x_1, x_2)$   
to  $(y_1, x_2)$

Vertically from  $(y_1, x_2)$  to  $(y_1, y_2)$

This is referred to as the TAXI-CAB metric or MANHATTAN metric.

(1) and (2) are obvious.

To prove (3), let  $z = (z_1, z_2)$ . We can again use triangle inequality from  $\mathbb{R}$  numbers.

$$\begin{aligned}
 d(x, y) &= |y_1 - x_1| + |y_2 - x_2| \\
 &= |y_1 - z_1 + z_1 - x_1| + |y_2 - z_2 + z_2 - x_2| \\
 &= |(z_1 - x_1) + (y_1 - z_1)| + |(z_2 - x_2) + (y_2 - z_2)| \\
 &\leq |z_1 - x_1| + |y_1 - z_1| + |z_2 - x_2| + |y_2 - z_2| \\
 &= \underbrace{|z_1 - x_1| + |z_2 - x_2|}_{d(x, z)} + \underbrace{|y_1 - z_1| + |y_2 - z_2|}_{d(z, y)} \\
 &= d(x, z) + d(z, y)
 \end{aligned}$$

d) the distance between functions: let  $X$  be the set of all continuous functions  $f: [a, b] \rightarrow \mathbb{R}$ . Then:

$$d_1(f, g) = \sup \{ |f(x) - g(x)| ; x \in [a, b] \}$$

is a metric on  $X$ . It determines the distance between two functions by measuring it at the  $x$ -value where the graphs are MOST apart (sup).

$$d_2(f, g) = \int_a^b |f(x) - g(x)| dx$$

This metric instead sums up the distance between  $f(x)$  and  $g(x)$  at all points.

$$d_3(f, g) = \left( \int_a^b |f(x) - g(x)|^2 dx \right)^{1/2}$$

This metric is a generalization of the usual (euclidean) metric in  $\mathbb{R}^n$ :

$$d(x, y) = \left( \sum_{i=1}^n (x_i - y_i)^2 \right)^{1/2}$$

e) Let  $X \neq \emptyset$  and define:

$$d(x, y) = \begin{cases} 0 & ; x = y \\ 1 & ; x \neq y \end{cases} \quad \text{This is usually referred to as the discrete metric.}$$

f) There are many ways to make a metric space from  $d$ . For example: if  $(X, d_x)$  is a metric space and  $A \neq \emptyset$ ,  $A \subseteq X$ , we can make a metric  $d_A$  on  $A$  by putting  $d_A(x, y) = d(x, y)$  for all  $x, y \in A$  - we simply restrict the metric to  $A$ .

## WHEN ARE TWO METRIC SPACES THE SAME?

Let  $(X, d_x)$  and  $(Y, d_y)$  be two metric spaces. In the most "strictest" sense, they are the same when the sets  $X, Y$  and the metrics  $d_x, d_y$  are equal.

It's often more appropriate to use a looser definition - we are more interested in the relationship between the sets, rather than what they are.

Def (3.1.2) An isometry between two metric spaces is a bijection that preserves the distance between points (what is important for metric spaces).

Assume  $(X, d_x)$  and  $(Y, d_y)$  are metric spaces. An isometry between them is a bijection  $i: X \rightarrow Y$ , such that:

$$d_x(x, y) = d_y(i(x), i(y)) \quad ; \quad \forall x, y \in X$$

$(X, d_x)$  and  $(Y, d_y)$  are isometric if there exists an isometry from  $(X, d_x)$  to  $(Y, d_y)$ .

The inverse  $i^{-1}$  is an isometry from  $(Y, d_y)$  to  $(X, d_x)$ , hence being isometric is a symmetric relation.

(3.1.4) (Inverse triangle inequality)  $\forall x, y, z \in (X, d)$ , we have:

$$|d(x, y) - d(x, z)| \leq d(y, z)$$

Proof: Pokazati moramo, da veljata dve neenačbi:

$$i) \quad d(x, y) - d(x, z) \leq d(y, z)$$

$$\begin{aligned} \text{Začni s (3): } d(x, y) &\leq d(x, z) + d(z, y) \\ d(x, y) - d(x, z) &\leq d(z, y) \\ &= d(y, z) \end{aligned}$$

$$\begin{aligned} ii) \quad -(d(x, y) - d(x, z)) &\leq d(y, z) \\ d(x, z) - d(x, y) &\leq d(y, z) \end{aligned}$$

$$\begin{aligned} \text{Spet začni s (3): } d(x, y) &\leq d(x, z) + d(z, y) \\ d(x, y) - d(x, z) &\leq d(z, y) \\ d(x, z) - d(x, y) &\leq d(y, z) \end{aligned}$$

□



to lahko razčlenimo po pravilu:  $|A \cup B| = |A| + |B| - |A \cap B|$

$$|I_{xy} \cup I_{yz}| = |I_{xy}| + |I_{yz}| - |I_{xy} \cap I_{yz}|$$



dodatno na obe strani:

ker  $n \in I_{xy}$  ali  $n \in I_{yz} \Rightarrow$  lahko je v enem, lahko je v obeh  $\rightarrow$  presek  $\neq \emptyset$

presek  $= \emptyset$

$$|I_{xy} \cap I_{yz}| \geq 0$$

$$|I_{xy}| + |I_{yz}| + |I_{xy} \cap I_{yz}| \geq |I_{xy}| + |I_{yz}|$$

Če je presek neprazen, potem imata množici skupen element (vsaj en), zato to moč ni manjša (ali enaka) vsoti moči množic!

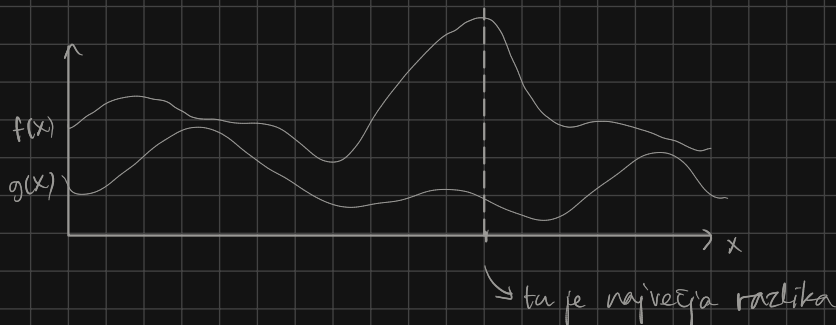
$$|I_{xy} \cup I_{yz}| \leq |I_{xy}| + |I_{yz}| \longrightarrow |I_{xz}| \leq |I_{xy} \cup I_{yz}| \leq |I_{xy}| + |I_{yz}|$$

$$d(x, z) \leq d(x, y) + d(y, z)$$

□

2. Pokaži, da je  $(X, d)$  v Example 5 metrika

$d_1(f, g) = \sup \{ |f(x) - g(x)| : x \in [a, b] \}$   $\rightarrow$  vrne največjo razliko med funkcijama, npr.:



$$(1) d(x, y) \geq 0 ; d(x, y) = 0 \Leftrightarrow x = y$$

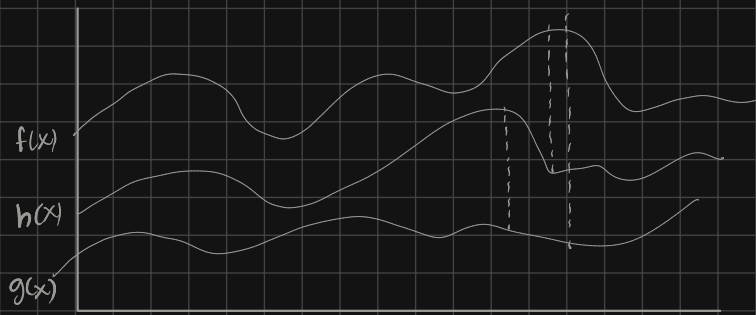
večje od 0 je, ker je absolutna vrednost razlike

če je  $x = y$ , potem  $f = g$  in zato

$$d(x, x) = \sup \{ |f(x) - f(x)| = |0| = 0 ; \forall x \in [a, b] \} = \sup \{ 0 \} = 0$$

$$(2) d(x, y) = d(y, x) \dots \text{očito, saj } |f(x) - g(x)| = |-(g(x) - f(x))| = |g(x) - f(x)|$$

$$(3) d(x, y) \leq d(x, z) + d(z, y) \quad \text{oz.} \quad d(f, g) \leq d(f, h) + d(h, g)$$



$$\downarrow$$

$$\sup \{ |f(x) - g(x)|; \forall x \} \leq \sup \{ |f(x) - h(x)|; \forall x \} + \sup \{ |h(x) - g(x)|; \forall x \}$$

Naj bo  $M_{fg} = d(f, g)$  in  $M_{gh} = d(g, h)$ . Za  $\forall x \in [a, b]$  po definiciji supremuma velja:

$$|f(x) - g(x)| \leq M_{fg}$$

$$|g(x) - h(x)| \leq M_{gh}$$

Kaj pa  $|f(x) - h(x)|$ ? Če dodamo 0:  $|f(x) - g(x) + g(x) - h(x)| \leq |f(x) - g(x)| + |g(x) - h(x)|$  in za to velja:

$$|f(x) - g(x)| \leq M_{fg}$$

$$|f(x) - g(x)| + |g(x) - h(x)| \leq \underbrace{M_{fg} + M_{gh}}$$



neko realno število

To zaporedje je karzgor omejeno s  $M_{fg} + M_{gh}$  oz. to je zgornja meja tega zaporedja. Po definiciji supremuma velja, da je vsaka zgornja meja večja ali enaka supremumu množice za t.d. v mn.:

$$\sup \{ |f(x) - g(x)| + |g(x) - h(x)|; \forall x \in [a, b] \} \leq M_{fg} + M_{gh}$$

Rekli smo, da je:

$$|f(x) - h(x)| \leq |f(x) - g(x)| + |g(x) - h(x)| \leq M_{fg} + M_{gh}$$

$$\sup \{ |f(x) - h(x)| \} \leq \dots \leq \sup \{ M_{fg} + M_{gh} \}$$

$$\sup \{ |f(x) - h(x)| \} \leq M_{fg} + M_{gh}$$

$$d(f, h) \leq M_{fg} + M_{gh}$$

$$d(f, h) \leq d(f, g) + d(g, h)$$

□

4. Pokaži, da je diskretna metrika (example 6.) metrika.

$$d(x, y) = \begin{cases} 1 & ; x \neq y \\ 0 & ; x = y \end{cases} \quad (1) d(x, y) \geq 0 : \text{očitno po predpisu (df.)}$$

$$(2) d(x, y) = 0 \Leftrightarrow x = y : \text{očitno...}$$

$$(3) d(x, y) \leq d(x, z) + d(z, y)$$

i)  $x = y$ :

$$d(x, y) = 0$$

$$a) z = x : d(z, x) = d(x, x) = 0 \Rightarrow d(x, x) \leq d(x, x) + d(x, x) \\ \Rightarrow z = y : d(z, y) = d(y, y) = d(x, x) = 0 \quad \square$$

$$b) z \neq x : d(z, x) = 1 \Rightarrow d(x, y) = d(x, x) \leq d(z, y) + d(z, x) \\ \Rightarrow z \neq y : d(z, y) = 1 \quad \begin{matrix} 0 \leq 1 + 1 \\ 0 \leq 2 \end{matrix} \quad \square$$

ii)  $x \neq y$ :

$$d(x, y) = 1$$

$$a) z = x : d(z, x) = d(x, x) = 0 \Rightarrow d(x, y) \leq d(x, z) + d(z, y) \\ \Rightarrow z \neq y : d(z, y) = 1 \quad \begin{matrix} 1 \leq 0 + 1 \\ 1 \leq 1 \end{matrix} \quad \square$$

$$b) z \neq x : d(x, z) = 1 \\ \Rightarrow z = y \vee z \neq y \\ \downarrow \quad \quad \quad \downarrow \quad \quad \quad \Rightarrow \quad \begin{matrix} 1. d(x, y) \leq d(y, y) + d(z, x) \\ 1 \leq 0 + 1 \\ 2. d(x, y) \leq d(z, y) + d(z, x) \\ 1 \leq 1 + 1 \end{matrix} \quad \square$$

□

5. Zaporedje realnih števil  $\{x_n\}$  je omejeno, če obstaja  $M \in \mathbb{R}$ , tako da  $|x_n| \leq M, \forall n \in \mathbb{N}$ . Naj bo  $x$  un. vseh omejenih zaporedij. Pokaži, da je  $d$  metrika nad  $x$ :

$$d(\{x_n\}, \{y_n\}) = \sup \{|x_n - y_n|; n \in \mathbb{N}\}$$

(1) Naj bo  $x = \{x_n\}$  in  $y = \{y_n\}$ .

$d(x, y) \geq 0 \rightarrow$  ne more bit manj kot 0 zaradi absolutne

(2)  $d(x, y) = 0 \Leftrightarrow x = y$ : Očitno, če je  $x_n = y_n$  za  $\forall n \in \mathbb{N}$ , potem je  $|x_n - y_n| = |x_n - x_n| = 0$  in  $\sup \{0\} = 0$

če obstaja vsaj en  $n_0 \in \mathbb{N}$ , tako da je  $x_{n_0} \neq y_{n_0}$ , potem naj bo  $s = |x_{n_0} - y_{n_0}|$  in velja

$$\sup \{0, 0, \dots, s, 0, \dots, 0\} = \sup \{0, s\}$$

ker  $s \geq 0$ , je  $\sup \{0, s\} = s$  in  $s \neq 0$  \*

(3) Naj bo  $z = \{z_n\}$ . Velja  $d(x, y) \leq d(x, z) + d(z, y)$ .

$$\text{Naj bo } M_{xz} = d(x, z) \text{ in } M_{zy} = d(z, y) \Rightarrow \begin{aligned} M_{xz} &= \sup \{ |x_n - z_n|; n \in \mathbb{N} \} \\ M_{zy} &= \sup \{ |z_n - y_n|; n \in \mathbb{N} \} \end{aligned}$$

↓  
Po def. supremuma za  $\forall n \in \mathbb{N}$  velja:

$$|x_n - z_n| \leq M_{xz}$$

$$|z_n - y_n| \leq M_{zy}$$

Enako kot pri nalogi (2.) zdaj obravnavamo

$$|x_n - y_n| = |x_n - z_n + z_n - y_n| \leq |x_n - z_n| + |z_n - y_n| \leq M_{xz} + M_{zy}$$

↓  
Po def. supremuma velja, da je manjši/enak vsaki zgornji meji množice:

$$\sup \{ |x_n - z_n| + |z_n - y_n| \} \leq M_{xz} + M_{zy}$$

$$\sup \{ |x_n - y_n| \} \leq \sup \{ \dots \} \leq M_{xz} + M_{zy}$$

⇓

$$\sup \{ |x_n - y_n| \} \leq M_{xz} + M_{zy}; \forall n \in \mathbb{N}$$

$$d(\{x_n\}, \{y_n\}) \leq d(\{x_n\}, \{z_n\}) + d(\{z_n\}, \{y_n\})$$

□

6. Če je  $V$  v.p. nad  $\mathbb{R}$  (ali  $\mathbb{C}$ ), je funkcija  $\|\cdot\|: V \rightarrow \mathbb{R}$  imenovana norma, če izpolnjuje naslednje pogoje:

(1)  $\forall x \in V: \|x\| \geq 0 \wedge \|x\| = 0 \Leftrightarrow x = 0$  ( $x$  je vektor...?)

(2)  $\forall \alpha \in \mathbb{R} \text{ in } \forall x \in V: \|\alpha x\| = |\alpha| \|x\|$

(3)  $\|x + y\| \leq \|x\| + \|y\|; \forall x, y \in V$

Pokaži, da je v tem primeru  $d(x, y) = \|x - y\|$  metrika nad  $V$ .

(1)  $d(x, y) \geq 0: \|x - y\| \geq 0$  ... po def. norme je ta izraz  $\geq 0$  in  $= 0$ , ko  $\vec{x} = \vec{y}$ . □

(2)

(3)  $d(x, y) \leq d(x, z) + d(z, y)$   
 $\|x - y\| \leq \|x - z\| + \|z - y\|$

Velja:

$$\|x - z\| = \|x + (-z)\| \leq \|x\| + \overset{-1}{\| -z \|} = \|x\| + \|z\|$$

\* analogno za  $\|z - y\|$  in  $\|x - y\|$ .

Torej:

$$\|x\| \geq \|x - z\| - \|z\| \text{ in } \|x\| \geq \|x - y\| - \|y\|$$







10. Naj bo  $X \neq \emptyset$  in  $p: X \times X \rightarrow \mathbb{R}$  funkcija, ki zadošča pogojem:

(i)  $p(x,y) \geq 0$  in  $p(x,y) = 0 \Leftrightarrow x=y$

(ii)  $p(x,y) \leq p(x,z) + p(z,y)$  za  $\forall x,y,z \in X$

Definiraj  $d: X \times X \rightarrow \mathbb{R}$ :  $d(x,y) = \max\{p(x,y), p(y,x)\}$ . Pokaži, da je  $d$  metrika nad  $X$ .

Okej... torej...

(1)  $d(x,y) \geq 0$ :  $\max\{p(x,y), p(y,x)\} \geq 0$

$\geq 0$   $\geq 0$

po predpisu  $p$   $\square$

(2)  $d(x,y) = 0 \Leftrightarrow x=y$ :  $\max\{p(x,x), p(x,x)\} = 0$

$=0$   $=0$

po predpisu  $p$   $\square$

(3)  $d(x,y) \leq d(x,z) + d(z,y)$

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

Ker ni simetričnosti, verjetno velja  $p(x,z) \neq p(z,x)$  ali pa sta enaka... če sta enaka, potem bi veljala simetričnost, ampak izgleda ne velja za vse elemente to...

(i) Če najprej predpostavimo, da velja  $x=y=z$ , potem je:

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

$=0$

$=0$

$=0$

$=0$

$=0$

$=0$

$\max\{0, 0\} \leq \max\{0, 0\} + \max\{0, 0\}$

$0 \leq 0 + 0$

$0 = 0$

$\square$

(ii)  $x=y \neq z$ :

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

$=0$

$=0$

$>0$

$>0$

$>0$

$>0$

$0 \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

$\square$

(iii)  $x \neq y = z$ :

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

$>0$

$>0$

$>0$

$>0$

$=0$

$=0$

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,y), p(y,x)\}$

$\dots = \dots$

$\square$

(iv)  $x \neq y \neq z$ :

$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$

$>0$

$>0$

$>0$

$>0$

$>0$

$>0$

Velja torej, da je  $p(x,y) \geq 0$  za  $\forall x,y \in X$ , torej je tudi  $\max\{p(x,y), p(y,x)\} \geq 0, \forall x,y \in X$ .  
Vemo, da  $x \neq y$ , zato:

$$\max\{p(x,y), p(y,x)\} > 0$$

Vemo tudi, da je  $x \neq z$ , zato:

$$\max\{p(x,z), p(z,x)\} > 0$$

in velja:

$$\max\{p(x,y), p(y,x)\} \leq \max\{p(x,z), p(z,x)\}$$

BŠZS predpostavimo, da  $p(x,y) > p(y,x)$  in  $p(x,z) > p(z,x)$ , torej:

$$p(x,y) \leq p(x,z)$$

Očitno bo veljala enakost, ko  $y = z$ , kar pa je v protislovju s predpostavko.  
Podrobno opazimo, za izraz:

$$p(x,y) \leq p(z,x)$$

Enakost bi bila takrat, ko  $x = z$  in  $y = x$ , kar je protislovno.

Očitno velja neenakost:

$$\max\{p(x,y), p(y,x)\} < \max\{p(x,z), p(z,x)\}$$

Ker velja tudi  $y \neq z$ , je tudi izraz  $\max\{p(z,y), p(y,z)\} > 0$  in velja:

$$\max\{p(x,y), p(y,x)\} < \max\{p(x,z), p(z,x)\} + \max\{p(z,y), p(y,z)\}$$

Enakost velja, ko sta vsaj dva elementa enaka ter neenakost, ko so vsi elementi različni.

□

