

# An introduction to Anderson localization and MBL

Author: Jan Šuntajs  
Mentor: prof. Janez Bonča  
Comentor: doc. Lev Vidmar

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University of *Ljubljana*  
Faculty of *Mathematics and Physics*



# Anderson localization

What began in 1958 ...

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PHYSICAL REVIEW

VOLUME 109, NUMBER 5

MARCH 1, 1958

## Absence of Diffusion in Certain Random Lattices

P. W. ANDERSON

*Bell Telephone Laboratories, Murray Hill, New Jersey*

(Received October 10, 1957)

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... still remains relevant today

PHYSICAL REVIEW B **96**, 214201 (2017)



## Anderson localization transitions with and without random potentials

Trithap Devakul and David A. Huse

*Department of Physics, Princeton University, New Jersey 08544, USA*

(Received 20 October 2017; published 6 December 2017)

# MBL - the current “hot topic”

Published in 2015:

## Many-Body Localization and Thermalization in Quantum Statistical Mechanics

Rahul Nandkishore<sup>1</sup> and David A. Huse<sup>1,2</sup>

<sup>1</sup>Princeton Center for Theoretical Science, Princeton University, Princeton, New Jersey 08544; email: rahuln@princeton.edu, huse@princeton.edu

<sup>2</sup>Department of Physics, Princeton University, Princeton, New Jersey 08544

672 citations as of April 2018 acc. to Google Scholar.

## Part 1: the Anderson localization

- 1 The basic concepts
- 2 The Anderson model
- 3 Numerical simulations

## Part 2: MBL

- 1 The basics of ETH
- 2 MBL criteria
- 3 Numerical results - the level statistics of the tJ model

# What is Anderson localization all about?

- Conduction in **NON-INTERACTING** systems with **DISORDER**
- Describes the role of **IMPURITIES**
- Completely different than the **Drude** model:

$$\sigma \propto l, \quad l : \text{the mean-free path}$$

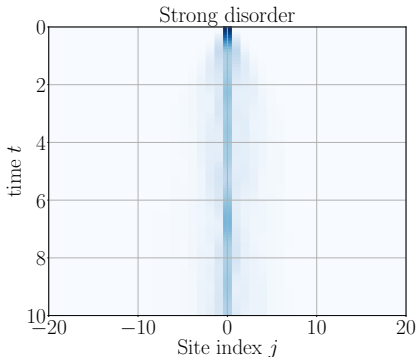
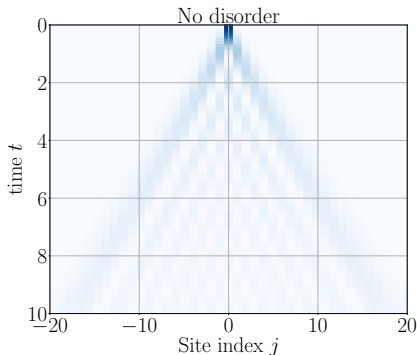
# Anderson localization - the predictions

- for some disorder:  $\sigma = 0$
- seminal paper by **P. W. Anderson (1958)** [1]
- Nobel prize in **1977**

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Probability density profile in ballistic and localized regimes in 1D,  $L = 1000$





# The basics

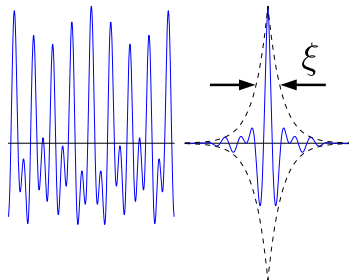
- **DISORDER**  $\rightarrow$  (**eigen**)states can localize

Localization:

- A localized state:

$$|\psi(\mathbf{r})| \sim \exp(|\mathbf{r} - \mathbf{r}_0|/\xi)$$

- explains **vanishing** transport



Extended   Localized

# The important keynotes

- An **interference** phenomenon
- Strong **dimensionality** dependence
- Energy dependence  $\rightarrow$  the **mobility edge**

# The scaling theory

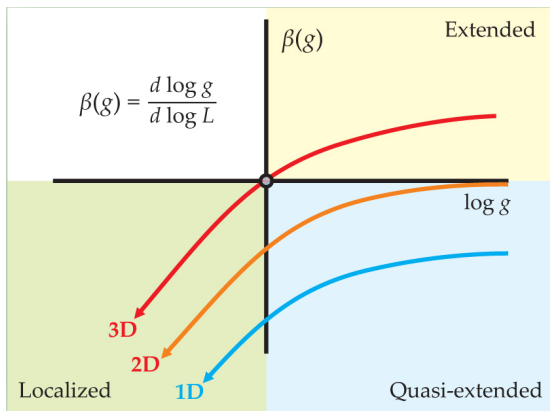
- scaling of the **conductance**  $g$  of a **hypercube**  $L^d$  [2]

- **Ohmic** conductor:

$$g = \sigma L^{d-2}$$

- **Localized** regime:

$$g \propto \exp(-L)$$



Transition between ext. and loc. states is only possible in 3D. Taken from [4].

# The scaling theory

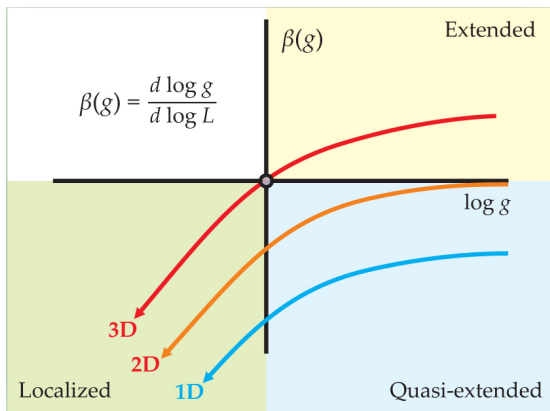
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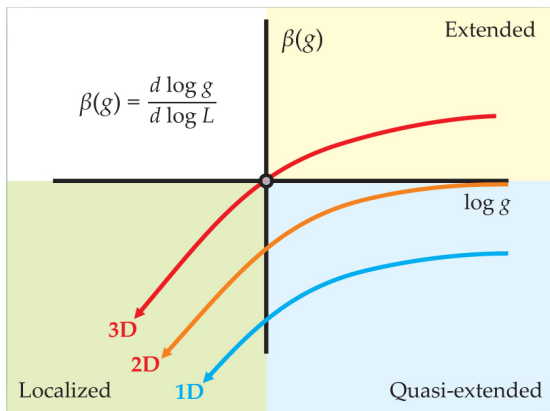
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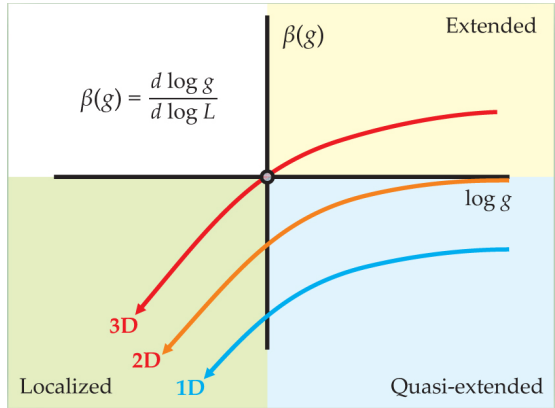
# The scaling theory

**1D, 2D**

localization for any finite disorder

**3D**

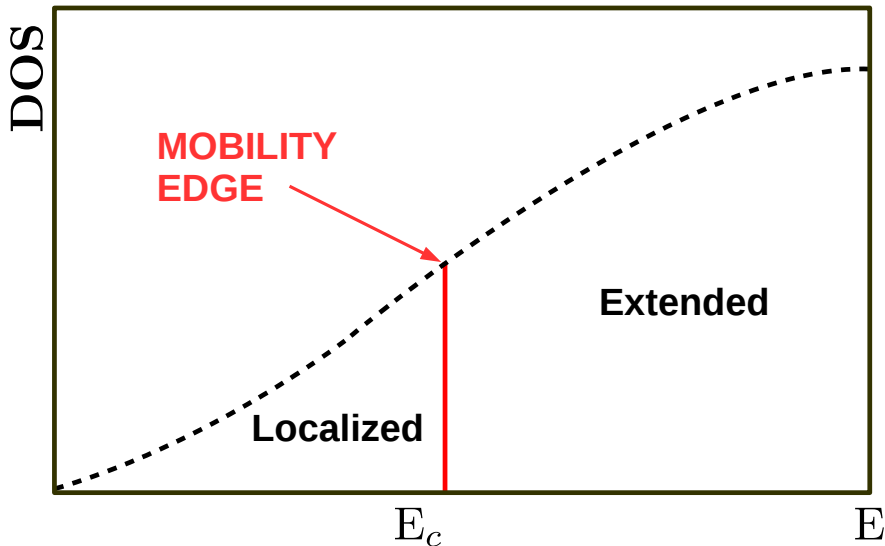
localization for some critical disorder



Transition between ext. and loc. states is only possible in 3D. Taken from [4].

# The mobility edge

**3D, finite disorder**



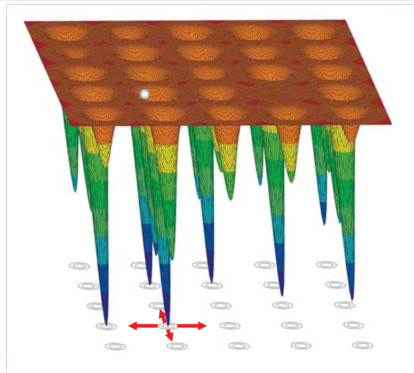
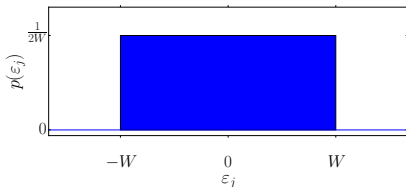
# Our model - the **Anderson model**

## The Anderson Hamiltonian [1]

$$H = \sum_j \varepsilon_j c_j^\dagger c_j - V \sum_{\text{n.n.}} c_i^\dagger c_j + \text{h.c.}$$

## Probability distribution of $\varepsilon_j$

$$p(\varepsilon_j) = \frac{1}{2W} \Theta(W - |\varepsilon_j|)$$



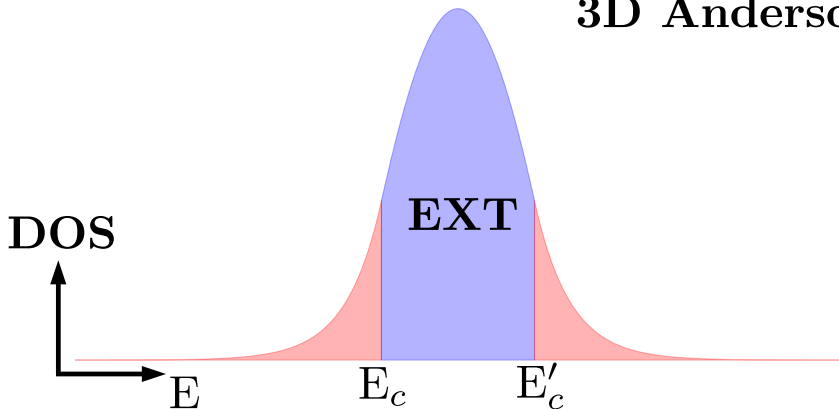
The model in 2D. Taken from [4].



# The Anderson model

- Two mobility edges in 3D

## 3D Anderson



# My work - the numerical simulations

- How to extract features of the Anderson localization numerically?
  - implementation in 1D, 2D and 3D
  - calculations were run at the F-1 cluster at IJS
  - Full diagonalization and time evolution calculations
- Two localization criteria:
  - the **inverse participation ratio** (IPR)
  - the **absence of diffusion**

# Localization criteria - the IPR

## The definition

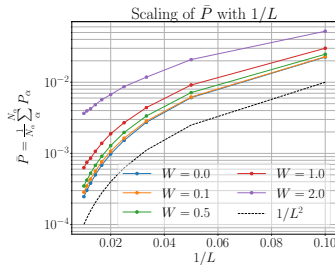
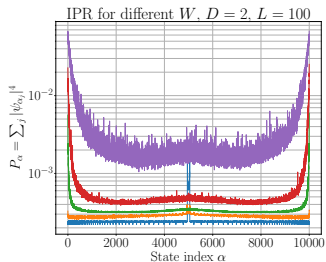
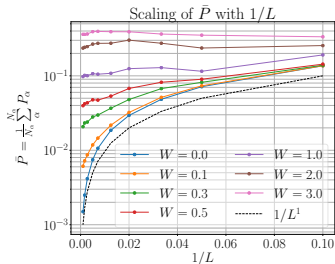
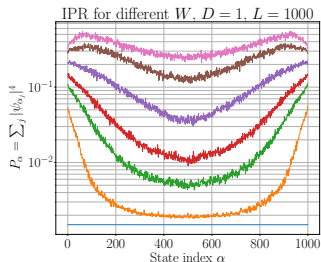
$$P^{-1} = \sum_{\mathbf{r}} |\psi(\mathbf{r})|^4, \quad \|\psi\| = 1.$$

- sum over the lattice sites

If  $L$  large enough

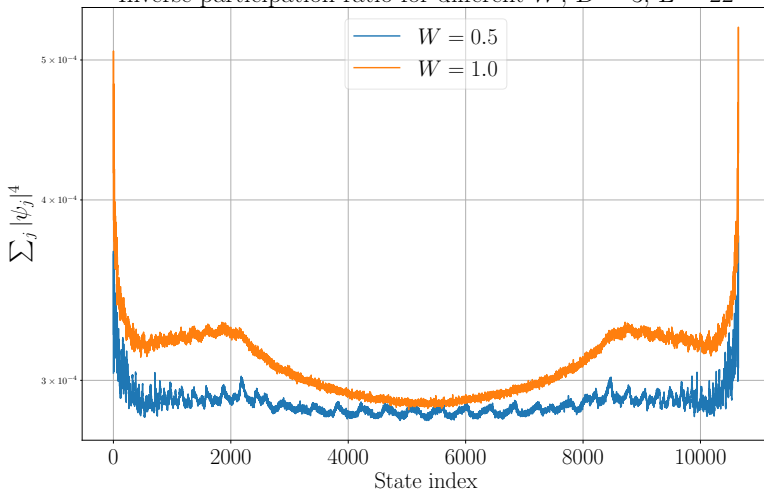
$$P^{-1} \propto \begin{cases} 1/L^d, & \text{extended} \\ \text{const}, & \text{localized} \end{cases}$$

# IPR - the results



# IPR 3D

Inverse participation ratio for different  $W$ ,  $D = 3$ ,  $L = 22$



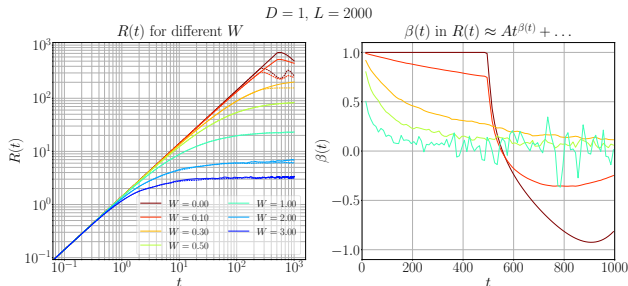
# Absence of diffusion

## Time evolution

$$|\psi, t + dt\rangle = \exp(-i\hat{H} dt) |\psi, t\rangle,$$

$$\hat{R}^2 = \sum_{\mathbf{r}_j} \mathbf{r}_j^2 \hat{n}_{\mathbf{r}_j},$$

$$\beta(t) = \frac{d \log R}{d \log t}$$

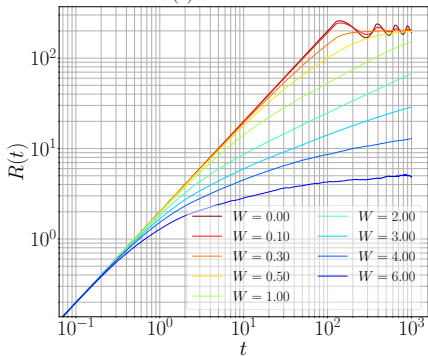


$$R(t) = \sqrt{\langle \psi, t | \hat{R}^2 | \psi, t \rangle - \langle \psi, 0 | \hat{R}^2 | \psi, 0 \rangle}$$

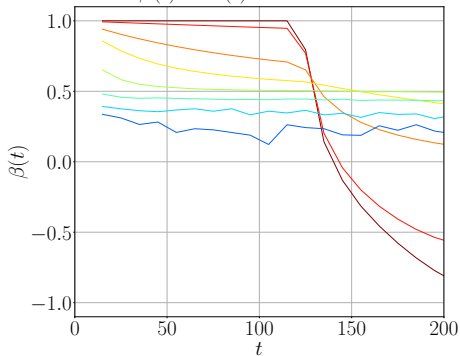
# Absence of diffusion, 2D

$D = 2, L = 500$

$R(t)$  for different  $W$



$\beta(t)$  in  $R(t) \approx At^{\beta(t)} + \dots$



# Many-body localization

What happens when **INTERACTIONS** are included?

- Localization for low  $T$ , weak interactions
- Localization for any  $T$ , any interaction strength

Annals of Physics 321 (2006) 1126-1205



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Metal–insulator transition in a weakly interacting many-electron system with localized single-particle states

D.M. Basko<sup>a,b,\*</sup>, I.L. Aleiner<sup>b</sup>, B.L. Altshuler<sup>a,b,c</sup>

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*PHYSICAL REVIEW B* **75**, 155111 (2007)

- Localization for any  $T$ , any interaction strength

**Localization of interacting fermions at high temperature**

Vadim Oganesyan<sup>\*</sup>

*Department of Physics, Yale University, New Haven, Connecticut 06520, USA*

David A. Huse<sup>†</sup>

*Department of Physics, Princeton University, Princeton, New Jersey 08544, USA*

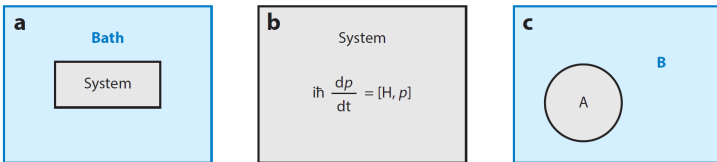
(Received 28 December 2006; published 23 April 2007)

# MBL - keynotes

- Occurs in **closed** quantum many-body systems
- MBL systems fail to thermally equilibrate
- The **eigenstate thermalization hypothesis (ETH)** is not valid in such systems

# Thermalization in closed quantum systems

- closed system  $\rightarrow$  no coupling to an external **reservoir**



Nandkishore, Huse, 2015

- Thermalization in such systems **is possible** if subsystem **B** can act as a reservoir for the subsystem **A**

# The eigenstate thermalization hypothesis

Thermalization in a system  $\iff$  its **EIGENSTATES**  $|m\rangle$  are  
**“THERMAL”**

Eigenstate expectation values equal the ensemble averages at a given temperature:

$$\langle m | \hat{O} | m \rangle = \langle \hat{O} \rangle_T$$

Not valid for **INTEGRABLE** and **MBL** systems

# Hallmarks of MBL

- **ABSENCE of ERGODICITY**

Abanin, Altman, Bloch, Serbyn, 2018

- **ENTANGLEMENT ENTROPY:**

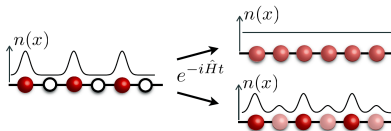
- Eigenstates with area-law entanglement
- Entanglement grows logarithmically in time

- **CHARACTERISTIC ENERGY LEVEL STATISTICS**

- The subject of my current numerical investigations

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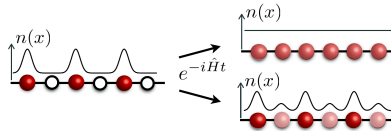
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PHYSICAL REVIEW B 77, 064426 (2008)

## Many-body localization in the Heisenberg $XXZ$ magnet in a random field

Marko Žnidarič,<sup>1</sup> Tomaž Prosen,<sup>1</sup> and Peter Prelovšek<sup>1,2</sup>

<sup>1</sup>Department of Physics, FMF, University of Ljubljana, Jadranska 19, SI-1000 Ljubljana, Slovenia

<sup>2</sup>Jožef Stefan Institute, Jamova 39, SI-1000 Ljubljana, Slovenia

(Received 31 August 2007; revised manuscript received 8 November 2007; published 25 February 2008)

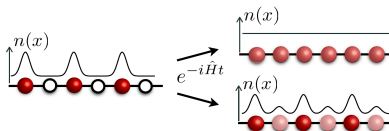
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# The tJ model

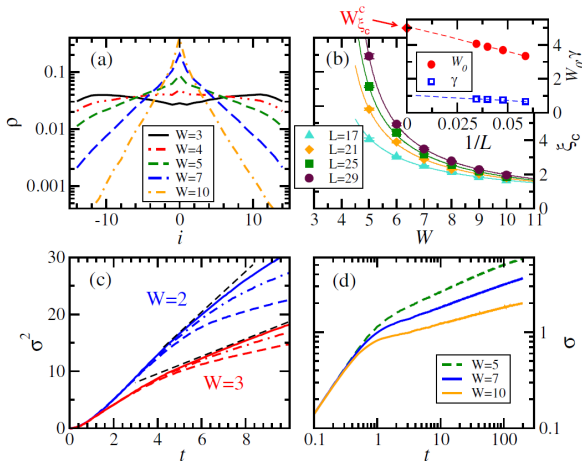
## The model Hamiltonian

$$H = -t \sum_{i,\sigma} \left( \tilde{c}_{i,\sigma}^\dagger \tilde{c}_{i+1,\sigma} + c.c. \right) + J \sum_i S_i S_{i+1} + \sum_i w_i S_i^z + \sum_{i,\sigma} h_i n_{i,\sigma}$$

- The projected fermion operators:  $\tilde{c}_{i,\sigma} = (1 - n_{i,-\sigma}) c_{i,\sigma}$
- $w_i, h_i$ : spin and hole disorder, box distributions with parameters  $W$  and  $H$
- We consider the 1D PBC case with  $S^z = 0$  for a single hole and for finite doping as well

# The tJ model

## Studies of the hole (sub)diffusion in the tJ model



Lemut, Bonča, Mierzejewski, PRL **119** (2017)

# Studies of the level statistics

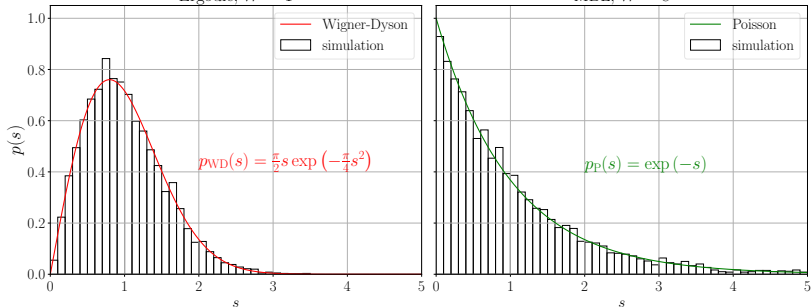
- We study the **spectral statistics** of the adjacent energy levels of the tJ Hamiltonian
- We lean on the findings of the **random matrix theory (RMT)**:
  - The **ergodic/quantum chaotic** case: spectral statistics of the Gaussian orthogonal ensemble (**GOE**)
  - **MBL** case: no level repulsion, the adjacent energy levels are distributed according to the **Poisson** distribution
- Further reading:
  - Oganesyan, Huse, PRB **75**, 15511 (2007)
  - Y.Y. Atas *et. al.*, PRL **110**, 084101 (2013)

# Studies of the level statistics

tJ model, exemplary ergodic and MBL level statistics,  $L = 13, N_h = 1, N_u = 6$

Ergodic,  $W = 1$

MBL,  $W = 8$



$L$  - system size,  $N_h$  - number of holes,  $N_u$  - number of up spins.

**GOE:** Wigner-Dyson statistics

**MBL:** Poisson statistics

# Quantitative analysis

- Gaps between **adjacent** many body levels:

$$\delta_n = E_{n+1} - E_n \geq 0$$

- We define the **gap ratio**:

$$0 \leq r_n = \min\{\delta_n, \delta_{n-1}\} / \max\{\delta_n, \delta_{n-1}\} \leq 1$$

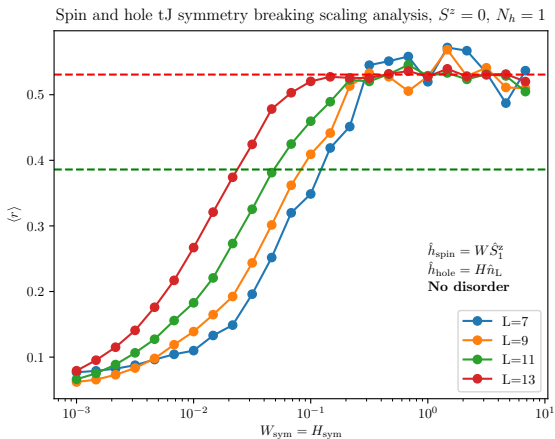
- **GOE** and **Poisson** average values  $\langle r \rangle$  are well known:

$$\langle r \rangle_{\text{GOE}} = 0.5307, \quad \langle r \rangle_{\text{P}} = 2 \ln 2 - 1 \approx 0.3863$$

# Numerical results - single hole case

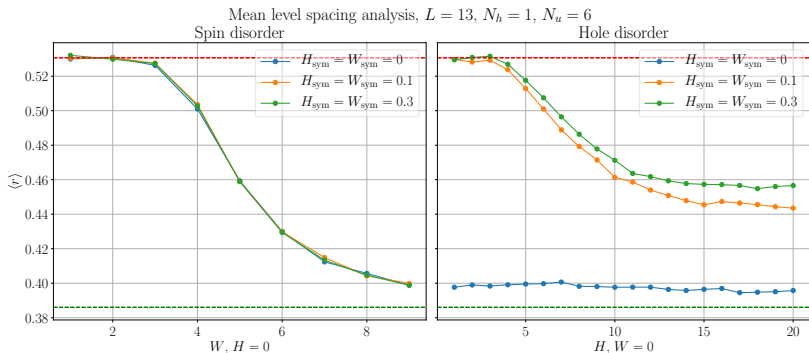
The effect of local symmetry-breaking terms

## Finite size scaling analysis



# Numerical results - single hole case

Varying spin (left) and hole (right) disorder:



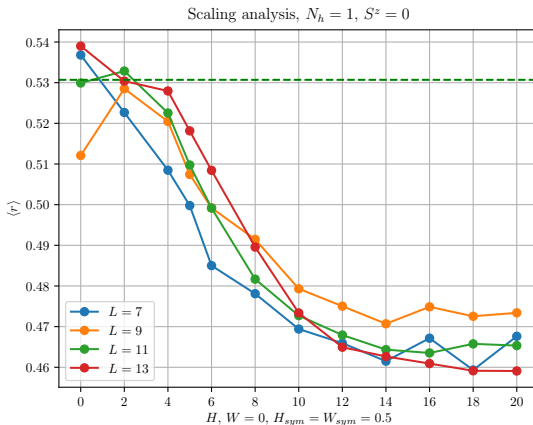
Averaging over different realizations of disorder(s) is performed to obtain final results.



# Numerical results - single hole case

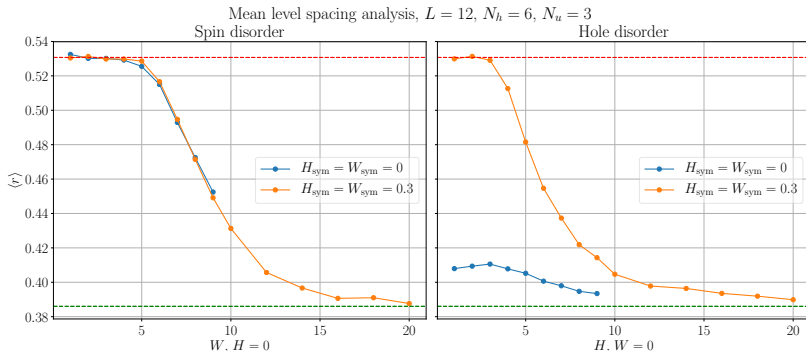
## Finite size scaling analysis

Hole disorder, no spin disorder.



# Numerical results - finite doping case

Varying spin (left) and hole (right) disorder:



**Finite hole doping: emergence of MBL for any type of disorder?**

# References and sources of images



Anderson, P. (1958). *Absence of Diffusion in Certain Random Lattices*. Physical Review, **109**(5), pp.1492-1505.



Abrahams E., Anderson P. W., Licciardello, D. and Ramakrishnan, T.V. (1979). *Scaling Theory of Localization: Absence of Quantum Diffusion in Two Dimensions*. Phys. Rev. Lett. **42**(10), 673



Kramer, B. and MacKinnon, A. (1993). *Localization: theory and experiment*. Reports on Progress in Physics, **56**(12), pp.1469-1564.



Lagendijk, A., Tiggelen, B. and Wiersma, D. (2009). *Fifty years of Anderson localization*. Physics Today, **62**(8), pp.24-29.