

**2020 Form 3922: Transfer of Stock Acquired Through an Employee Stock Purchase Plan Under Section 423(c)**

OMB No. 1545-2129

**Copy B, For Employee**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

EMPLOYEE'S name, street address, city, state and zip code



KAMARTHY, GOWRI C.  
34 GOLF RD  
Pleasanton, CA 94586-9752

44-1-005245-LRCX-11-005245-02AE1

☐ **CORRECTED**

CORPORATION'S name, street address, city, state and ZIP code

LAM RESEARCH CORPORATION  
4650 CUSHING PARKWAY  
FREMONT, CA 94538-6401

CORPORATION'S federal identification number  
942634797

EMPLOYEE'S identification number  
xxx-xx-2356

**Instructions for Employee**

You have received this form because (1) your employer (or its transfer agent) has recorded a first transfer of legal title of stock you acquired pursuant to your exercise of an option granted under an employee stock purchase plan and (2) the exercise price was less than 100 percent of the value of the stock on the date shown in box 1 or was not fixed or determinable on that date.

No income is recognized when you exercise an option under an employee stock purchase plan. **However**, you must recognize (report) gain or loss on your tax return for the year in which you sell or otherwise dispose of the stock. Keep this form and use it to figure the gain or loss. For more information, see Pub. 525, Taxable and Nontaxable Income.

**Account number.** May show an account or other unique number the payer assigned to distinguish your account.

**Box 1.** Shows the date the option to purchase the stock was granted to you.

**Box 2.** Shows the date you exercised the option to purchase the stock.

**Box 3.** Shows the fair market value (FMV) per share on the date the option to purchase the stock was granted to you.

**Box 4.** Shows the FMV per share on the date you exercised the option to purchase the stock.

**Box 5.** Shows the price paid per share on the date you exercised the option to purchase the stock.

**Box 6.** Shows the number of shares to which legal title was transferred by you.

**Box 7.** Shows the date legal title of the shares was first transferred by you.

**Box 8.** If the exercise price per share was not fixed or determinable on the date entered in box 1, box 8 shows the exercise price per share determined as if the option was exercised on the date shown in box 1. If the exercise price per share was fixed or determinable on the date shown in box 1, then box 8 will be blank.

Account Number (see instructions)	1 — Date option granted	2 — Date option exercised	3 — Fair market value per share on grant date	4 — Fair market value per share on exercise date	5 — Exercise price paid per share	6 — No. of shares transferred	7 — Date legal title transferred	8 — Exercise price per share determined as if the option was exercised on the date shown in box 1.
JTADVH54J	11/01/2019	04/30/2020	\$278.26	\$255.28	\$216.99	89	04/30/2020	\$236.52

