



FIDELITY BROKERAGE SERVICES LLC  
P.O. Box 28019  
Albuquerque, NM 87125-8019

# 2020 TAX REPORTING STATEMENT

GOWRI C KAMARTHY

Account No. **X65-264482** Customer Service: 800-544-5704  
Recipient ID No. **\*\*\*-\*\*-2356** Payer's Fed ID Number: 04-3523567

eDelivered

GOWRI C KAMARTHY  
34 GOLF RD  
PLEASANTON CA 94566-9752

Payer's Name and Address:  
NATIONAL FINANCIAL SERVICES LLC  
499 WASHINGTON BLVD  
JERSEY CITY, NJ 07310

## Form 1099-DIV \*

### 2020 Dividends and Distributions

Copy B for Recipient  
(OMB No. 1545-0110)

1a Total Ordinary Dividends .....21,543.65  
1b Qualified Dividends .....20,874.59  
2a Total Capital Gain Distributions .....0.00  
2b Unrecap. Sec 1250 Gain .....0.00  
2c Section 1202 Gain .....0.00  
2d Collectibles (28%) Gain .....0.00  
3 Nondividend Distributions .....0.00  
**4 Federal Income Tax Withheld .....0.00**  
5 Section 199A Dividends .....0.00  
6 Investment Expenses .....0.00

7 Foreign Tax Paid .....0.00  
8 Foreign Country or U.S. Possession .....0.00  
9 Cash Liquidation Distributions .....0.00  
10 Non-Cash Liquidation Distributions .....0.00  
11 Exempt Interest Dividends .....0.00  
12 Specified Private Activity Bond Interest Dividends .....0.00  
13 State .....0.00  
14 State Identification No. ....0.00  
15 State Tax Withheld .....0.00

## Form 1099-INT \*

### 2020 Interest Income

Copy B for Recipient  
(OMB No. 1545-0112)

1 Interest Income .....0.00  
2 Early Withdrawal Penalty .....0.00  
3 Interest on U.S. Savings Bonds and Treas. Obligations .....0.00  
**4 Federal Income Tax Withheld .....0.00**  
5 Investment Expenses .....0.00  
6 Foreign Tax Paid .....0.00  
7 Foreign Country or U.S. Possession .....0.00  
8 Tax-Exempt Interest .....0.00  
9 Specified Private Activity Bond Interest .....0.00

10 Market Discount .....0.00  
11 Bond Premium .....0.00  
12 Bond Premium on U.S. Treasury Obligations .....0.00  
13 Bond Premium on Tax-Exempt Bond .....0.00  
14 Tax-Exempt Bond CUSIP no. ....0.00  
15 State .....0.00  
16 State Identification No. ....0.00  
17 State Tax Withheld .....0.00

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## Form 1099-MISC \*

## 2020 Miscellaneous Income

Copy B for Recipient  
(OMB No. 1545-0115)

2 Royalties .....	0.00	15 State Tax Withheld .....	0.00
3 Other Income .....	0.00	16 State/Payer's State No. ....	
<b>4 Federal Income Tax Withheld .....</b>	<b>0.00</b>	17 State Income .....	0.00
8 Substitute Payments in Lieu of Dividends or Interest .....	0.00		

## Summary of 2020 Proceeds From Broker and Barter Exchange Transactions

1099-B Section	Total Proceeds	Total Cost Basis	Total Market Discount	Total Wash Sales	Realized Gain/Loss	Federal Income Tax Withheld
Short-term transactions for which basis is <u>reported</u> to the IRS	23,218.32	24,989.69	0.00	0.00	-1,771.37	0.00
Short-term transactions for which basis is <u>not reported</u> to the IRS	0.00	0.00	0.00	0.00	0.00	0.00
Long-term transactions for which basis is <u>reported</u> to the IRS	65,352.55	21,169.12	0.00	0.00	44,183.43	0.00
Long-term transactions for which basis is <u>not reported</u> to the IRS	254,367.36	0.00	0.00	0.00	254,367.36	0.00
Transactions for which basis is not reported to the IRS and Term is Unknown	0.00	0.00	0.00	0.00	0.00	0.00
	342,938.23	46,158.81	0.00	0.00	296,779.42	0.00

1099-B amounts are reported individually to the IRS. Refer to the Form 1099-B sections in this statement, if applicable.

## Summary of 2020 Original Issue Discount

Total Original Issue Discount .....	0.00	Total Original Issue Discount - REMIC .....	0.00
Total Original Issue Discount on U.S. Treasury Obligations .....	0.00		
Total Original Issue Discount on Tax-Exempt Obligations .....	0.00		

Original Issue Discount (OID) amounts are reported individually to the IRS. Refer to the Form 1099-OID pages in this statement, if applicable.

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Account No. **X65-264482** Customer Service: 800-544-5704  
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FORM 1099-B\*

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Short-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box A checked and/or Schedule D, Part I  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
APPLE INC COM USD0.00001, AAPL, 037833100										
Sale	190.000	09/02/20	12/09/20	23,218.32	24,989.69			-1,771.37		
TOTALS				23,218.32	24,989.69	0.00	0.00		0.00	
				Box A Short-Term Realized Gain				0.00		
				Box A Short-Term Realized Loss				-1,771.37		

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Recipient ID No. **\*\*\*-\*\*-2356** Payer's Fed ID Number: 04-3523567

**FORM 1099-B\***

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Long-term transactions for which basis is reported to the IRS** --report on Form 8949 with Box D checked and/or Schedule D, Part II  
Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes **2, 5, 6 & 12**)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
LAM RESEARCH CORP COM USD0.001, LRCX, 512807108										
Sale	69.000	04/30/19	11/05/20	27,495.89	8,906.52(e)			18,589.37		
Sale	79.000	10/31/19	11/05/20	31,480.80	10,197.32(e)			21,283.48		
Sale	16.000	10/31/19	11/05/20	6,375.86	2,065.28(e)			4,310.58		
<b>Subtotals</b>				<b>65,352.55</b>	<b>21,169.12</b>					
<b>TOTALS</b>				<b>65,352.55</b>	<b>21,169.12</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	
<b>Box D Long-Term Realized Gain</b>								<b>44,183.43</b>		
<b>Box D Long-Term Realized Loss</b>								<b>0.00</b>		

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**FORM 1099-B\***

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

**Long-term transactions for which basis is not reported to the IRS** --report on Form 8949 with Box E checked and/or Schedule D, Part II  
 Proceeds are reported as **gross proceeds** unless otherwise indicated (a). (This Label is a Substitute for Boxes 2, 5, 6 & 12)

(IRS Form 1099-B box numbers are shown below in **bold** type)

1a Description of property, Stock or Other Symbol, CUSIP										
Action	Quantity	1b Date Acquired	1c Date Sold or Disposed	1d Proceeds	1e Cost or Other Basis (b)	1f Accrued Market Discount	1g Wash Sale Loss Disallowed	Gain/Loss (-)	4 Federal Income Tax Withheld	14 State Tax Withheld
LAM RESEARCH CORP COM USD0.001, LRCX, 512807108										
Sale	200.000	03/01/19	11/16/20	87,398.06	0.00(e)			87,398.06		
Sale	154.000	03/01/19	11/16/20	67,296.51	0.00(e)			67,296.51		
Sale	1.000	03/01/19	11/23/20	442.99	0.00(e)			442.99		
Sale	100.000	03/01/19	11/23/20	44,299.02	0.00(e)			44,299.02		
Sale	124.000	03/01/19	11/23/20	54,930.78	0.00(e)			54,930.78		
<b>Subtotals</b>				<b>254,367.36</b>	<b>0.00</b>					
<b>TOTALS</b>				<b>254,367.36</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>		<b>0.00</b>	
				<b>Box E Long-Term Realized Gain</b>				<b>254,367.36</b>		
				<b>Box E Long-Term Realized Loss</b>				<b>0.00</b>		

For any transaction listed on Form 1099-B in a section indicating that "**basis is reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **2** type of gain or loss (i.e. short-term or long-term), **3** Proceeds from QOF (Qualified Opportunity Fund), **6** Gross or Net Proceeds, **12** basis reported to IRS, and columns **1b, 1c, 1d, 1e, 1f, 1g, 4, 7, 14, 15** and **16**. We are not reporting to the IRS: the Action, the Gain/Loss, and all subtotals and totals.

For any transaction listed on Form 1099-B in a section indicating that "**basis is not reported to the IRS**", we are reporting to the IRS: **1a** Description of Property, **3** Proceeds from QOF (Qualified Opportunity Fund), **5** Noncovered security, **6** Gross or Net Proceeds, and columns **1c, 1d, 4, 14, 15** and **16**. We are not reporting to the IRS: **2** type of gain or loss (i.e. short-term or long-term), the Action, the Gain/Loss, columns **1b, 1e, 1f, 1g, 2, 7** and **12** and all subtotals and totals.

For any section 1256 option contracts we are reporting to the IRS: **1a** Description of Property and totals for boxes **8, 9, 10** and **11**.

Although Fidelity makes every effort to provide accurate information, please bear in mind that you, the taxpayer, are ultimately responsible for the accuracy of your tax returns.

(b) Cost or other basis provided may include adjustments including, but not limited to, dividend reinvestment, return of capital/principal, wash sale loss disallowed, amortization, accretion, acquisition premium, bond premium, market discount, market premium, and option premium.

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**FORM 1099-B\***

## 2020 Proceeds from Broker and Barter Exchange Transactions

Copy B for Recipient OMB No. 1545-0715

- (e) Your 1099-B reflects shares disposed of that were acquired through your employers stock plan. Cost basis associated with these shares may not have been adjusted for any compensation income that was associated with those shares in the year of acquisition or disposal. Please consult a tax advisor for the rules specific to your grant and plan. Refer to the Supplemental Stock Plan Service Lot Detail section of this form for additional detail.

Amortization, accretion, and similar adjustments to cost basis are not provided for short-term instruments and unit investment trusts.

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## Summary of 2020 Supplemental Information Not Reported to the IRS

Margin Interest Paid .....	0.00
Tax Exempt Investment Expense .....	0.00
Accrued Interest Paid on Purchases .....	0.00
Proceeds Investment Expenses .....	0.00
Severance Tax .....	0.00
Administrative Expenses .....	0.00
Non-deductible Generic Expenses .....	0.00
Deductible Generic Expenses .....	0.00

Currency Realized Gain/Loss (USD) .....	0.00
Actual Payment Shortfall.....	0.00
Addition to Basis .....	0.00
Account Fees.....	0.00
Short Dividends.....	0.00
Money Market Realized Gain/Loss.....	0.00
Short/Long Term Realized Gain/Loss.....	0.00
Mortgage Pool Statement (MBS) .....	0.00

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# 2020 SUPPLEMENTAL INFORMATION

GOWRI C KAMARTHY

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Recipient ID No. **\*\*\*-\*\*-2356** Payer's Fed ID Number: 04-3523567

**Note: This information is not reported to the IRS. It may assist you in tax return preparation.**

## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	1a Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	1b Qualified Dividends	5 Section 199A Dividends	11 Exempt Interest Dividends	12 Specified Private Activity Bond Interest Dividends	7 Foreign Tax Paid
APPLE INC COM USD0.00001, AAPL, 037833100								
11/12/20	38.95			38.95				
FIDELITY GOVERNMENT MONEY MARKET, SPAXX, 31617H102								
01/31/20	291.66	291.66						
02/28/20	241.50	241.50						
03/31/20	117.32	117.32						
04/30/20	3.36	3.36						
05/29/20	2.02	2.02						
06/30/20	1.94	1.94						
07/31/20	2.05	2.05						
08/31/20	2.07	2.07						
09/30/20	1.83	1.83						
10/30/20	0.63	0.63						
11/30/20	1.55	1.55						
12/31/20	3.13	3.13						
<b>Subtotals</b>	<b>669.06</b>	<b>669.06</b>						
LAM RESEARCH CORP COM USD0.001, LRCX, 512807108								
01/08/20	4,235.45			4,235.45				
04/08/20	5,993.80			5,993.80				
07/08/20	6,096.15			6,096.15				
10/14/20	4,503.20			4,503.20				
<b>Subtotals</b>	<b>20,828.60</b>			<b>20,828.60</b>				
NVIDIA CORPORATION COM, NVDA, 67066G104								
12/29/20	7.04			7.04				







# 2020 SUPPLEMENTAL INFORMATION

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## Details of 1099-DIV Transactions

### Total Ordinary Dividends and Distributions Detail

Description, Symbol, CUSIP								
Date	<b>1a</b> Total Ordinary Dividends (includes 1b and 5)	Dividend Distributions	Short-Term Capital Gains	<b>1b</b> Qualified Dividends	<b>5</b> Section 199A Dividends	<b>11</b> Exempt Interest Dividends	<b>12</b> Specified Private Activity Bond Interest Dividends	<b>7</b> Foreign Tax Paid
<b>TOTALS</b>	<b>21,543.65</b>	<b>669.06</b>	<b>0.00</b>	<b>20,874.59</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>

Short-term capital gain distributions reported on monthly/quarterly account statements are included in **1a** Total Ordinary Dividends on Form 1099-DIV.

To see the **2020** State Percentages of Tax-Exempt Income for Fidelity Federal Tax-Exempt Funds or the Percentage of Income from U.S. Government Securities for applicable Fidelity Funds, visit **Fidelity.com/fundtaxinfo**.



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## Detail Information

## Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

### Long-Term Transactions

Description of Property, Stock or Other Symbol, Cusip								
Grant Type (w)	Quantity	Date of Acquisition (x)	Date Sold or Disposed	Proceeds	Ordinary Income Reported (y)	Adjusted Cost or Other Basis (z)	Wash Sale Loss Disallowed	Adjusted Gain/Loss
LAM RESEARCH CORP COM USD0.001, LRCX, 512807108								
QSP	69.000	04/30/19	11/05/20	27,495.89	1,571.75	10,478.27	0.00	17,017.62
QSP	79.000	10/31/19	11/05/20	31,480.80	1,799.54	11,996.86	0.00	19,483.94
QSP	16.000	10/31/19	11/05/20	6,375.86	364.46	2,429.74	0.00	3,946.12
RSU	200.000	03/01/19	11/16/20	87,398.06	35,350.00	35,350.00	0.00	52,048.06
RSU	154.000	03/01/19	11/16/20	67,296.51	27,219.50	27,219.50	0.00	40,077.01
RSU	1.000	03/01/19	11/23/20	442.99	176.75	176.75	0.00	266.24
RSU	100.000	03/01/19	11/23/20	44,299.02	17,675.00	17,675.00	0.00	26,624.02
RSU	124.000	03/01/19	11/23/20	54,930.78	21,917.00	21,917.00	0.00	33,013.78
<b>Totals</b>				<b>319,719.91</b>		<b>127,243.12</b>		
				<b>Long-Term Adjusted Realized Gain</b>				<b>192,476.79</b>
				<b>Long-Term Adjusted Realized Loss</b>				<b>0.00</b>
				<b>Wash Sale Loss Disallowed</b>			<b>0.00</b>	

(w) Grant Type describes the equity award source of the lot you sold this tax year. Please see the Grant Type table for additional description.





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## Detail Information

## Supplemental Stock Plan Lot Detail

Based on the disposal method you have selected, the lots that appear on your 1099 Supplemental may differ from the lots on the 1099-B.

Grant Type	Equity Award Type	Acquisition date represents:
DO	Deposit Only Shares	Deposit Date
NQSOP	Non Qualified Stock Option Shares	Exercise Date
NQSP	Non Qualified ESPP Shares	Purchase Date
NSR	Non-Incentive SAR (NSR)	Exercise Date
QSOP	Qualified Stock Option Shares	Exercise Date
QSP	Qualified ESPP Shares	Purchase Date
RSA	Restricted Awards	Vesting Date
RSU	Restricted Units	Distribution Date
RSU	Performance Units	Distribution Date
RSU	Total Shareholder Return Units	Distribution Date
SAR	Stock Appreciation Rights	Exercise Date

- (x) Date of Acquisition is the date that shares were acquired from your Equity Plan and deposited into your brokerage account.
- (y) Fidelity-calculated ordinary income that was reported on the W2 from the year you acquired the shares. Fidelity-calculated ordinary income does not include any adjustments that your company has made. Fidelity is not responsible for any adjustments that your company may have made to this number.
- (z) Adjusted cost or other basis provided may include the following adjustments: ordinary income reported, dividend reinvestment, return of capital/principal and wash sale loss disallowed.

**Important Tax Return Document Enclosed.**

**\*\* This Page Intentionally Left Blank \*\***

