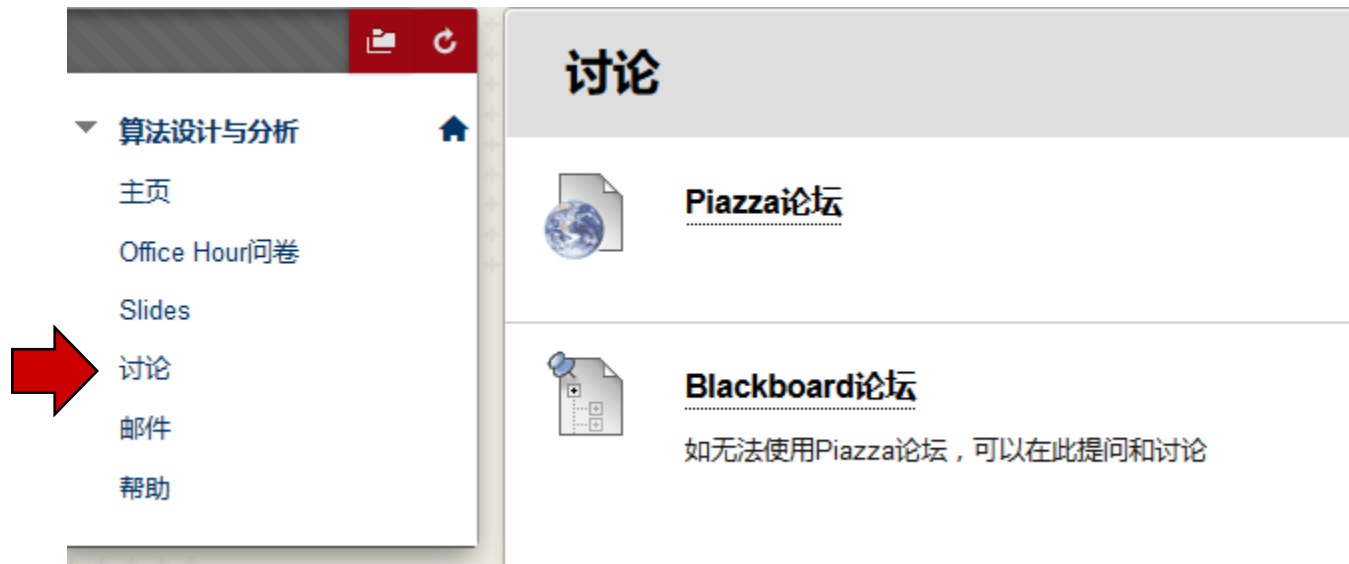


# Announcement

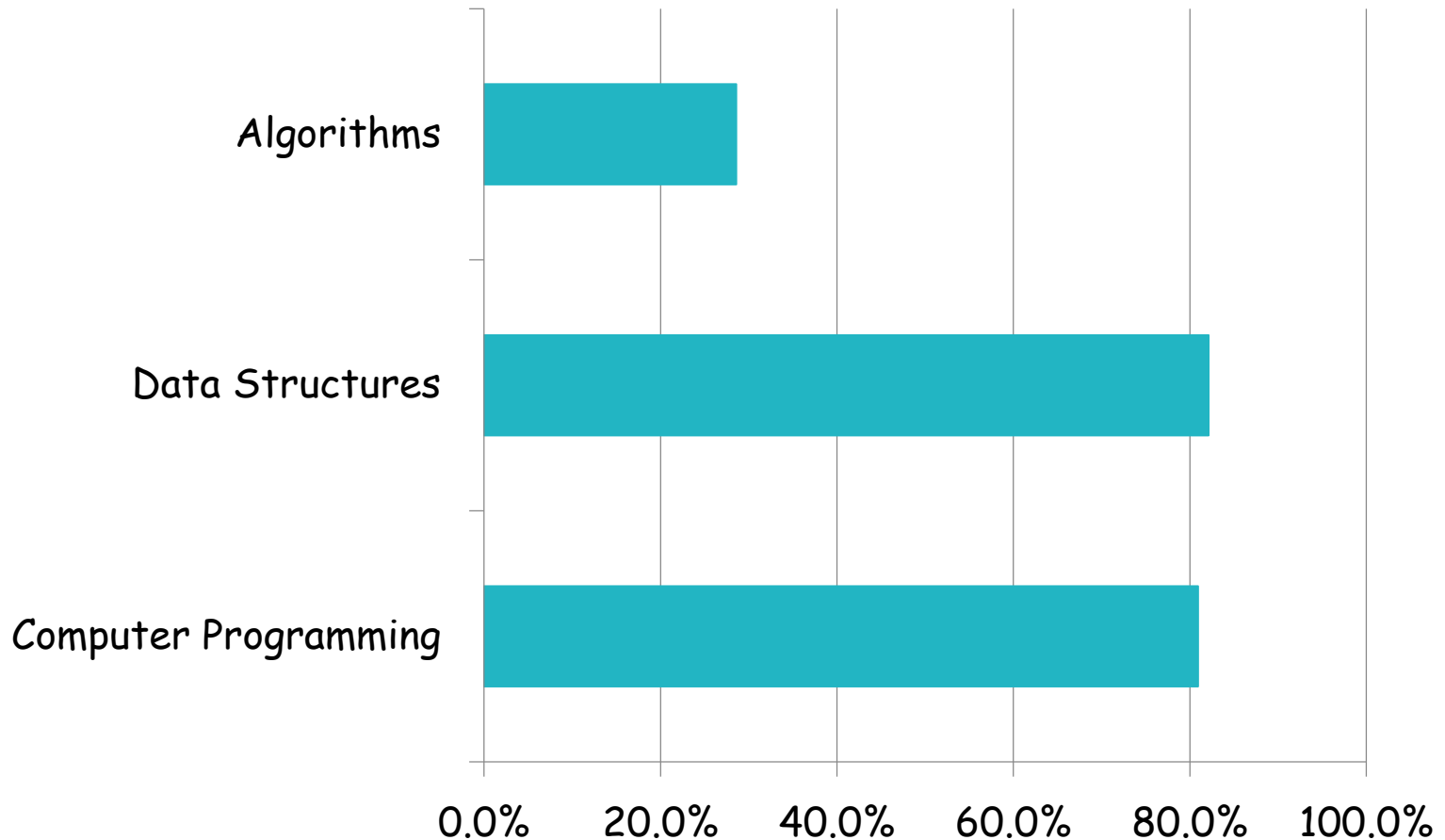
## Forum

- Piazza
- Blackboard Forum



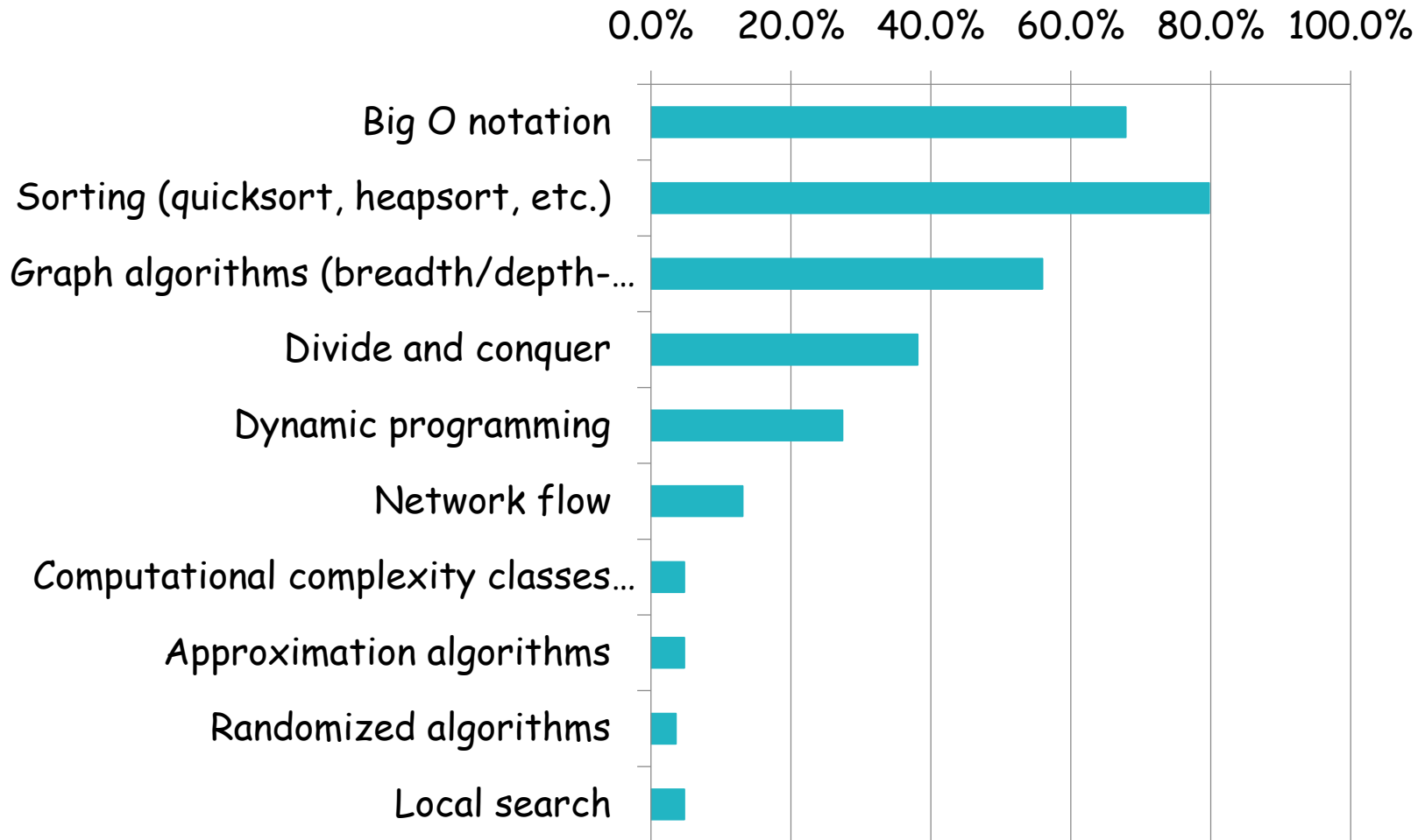
## Survey Results

Select the subjects that you have learned in your undergraduate studies.



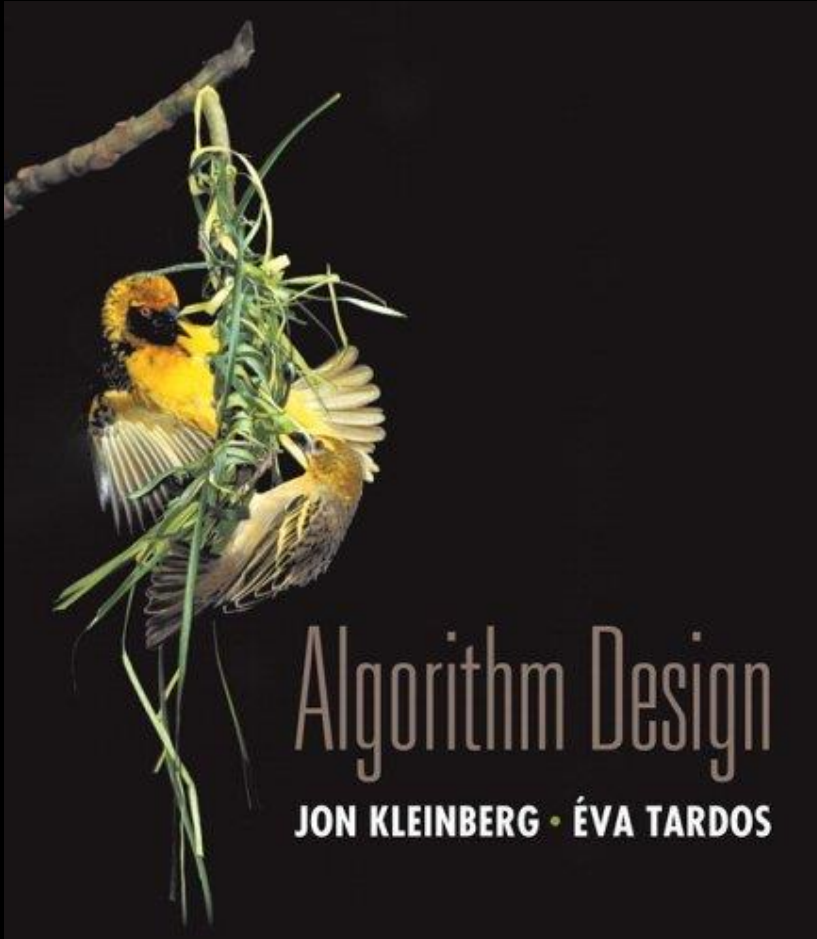
# Survey Results

Select the concepts in algorithm design that you have a good understanding of.



# Chapter 3

## Graphs



Slides by Kevin Wayne.  
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All rights reserved.

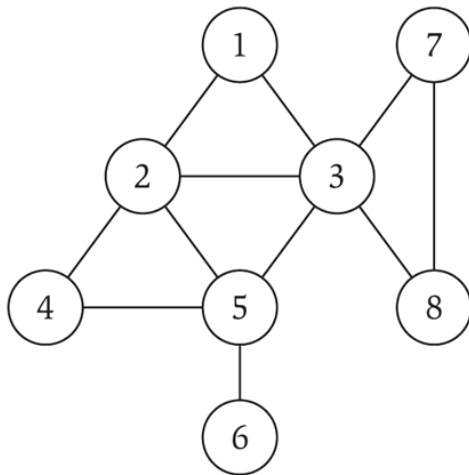
## 3.1 Basic Definitions and Applications

---

# Undirected Graphs

Undirected graph.  $G = (V, E)$

- $V$  = nodes.
- $E$  = edges between pairs of nodes.
- Captures pairwise relationship between objects.
- Graph size parameters:  $n = |V|$ ,  $m = |E|$ .



$V = \{ 1, 2, 3, 4, 5, 6, 7, 8 \}$

$E = \{ 1-2, 1-3, 2-3, 2-4, 2-5, 3-5, 3-7, 3-8, 4-5, 5-6 \}$

$n = 8$

$m = 11$

# 9-11 Terrorist Network

## Social network graph.

- Node: people.
- Edge: relationship between two people.



# Some Graph Applications

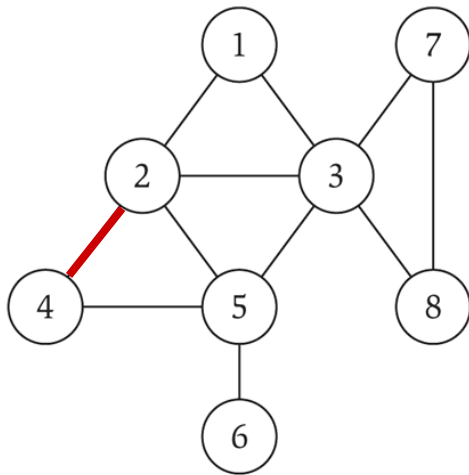
| <i>Graph</i>     | <i>Nodes</i>         | <i>Edges</i>           |
|------------------|----------------------|------------------------|
| transportation   | street intersections | highways               |
| communication    | computers            | fiber optic cables     |
| World Wide Web   | web pages            | hyperlinks             |
| social           | people               | relationships          |
| food web         | species              | predator-prey          |
| software systems | functions            | function calls         |
| scheduling       | tasks                | precedence constraints |
| circuits         | gates                | wires                  |



# Graph Representation: Adjacency Matrix

**Adjacency matrix.**  $n$ -by- $n$  matrix with  $A_{uv} = 1$  if  $(u, v)$  is an edge.

- Two representations of each edge.
- Space proportional to  $n^2$ .
- Checking if  $(u, v)$  is an edge takes  $\Theta(1)$  time.
- Identifying all edges takes  $\Theta(n^2)$  time.



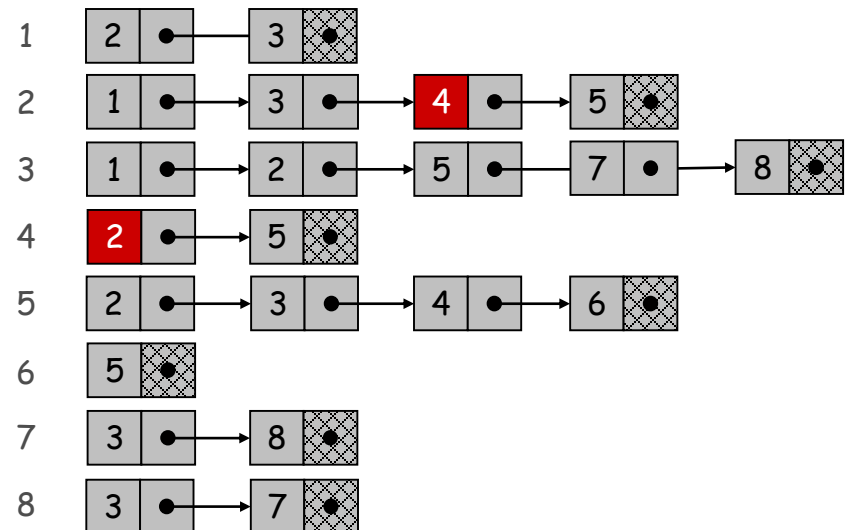
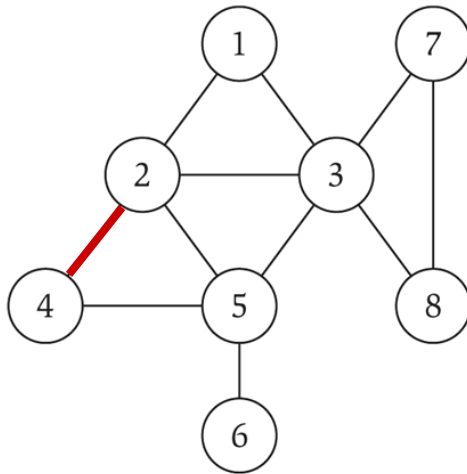
|   | 1 | 2 | 3 | 4 | 5 | 6 | 7 | 8 |
|---|---|---|---|---|---|---|---|---|
| 1 | 0 | 1 | 1 | 0 | 0 | 0 | 0 | 0 |
| 2 | 1 | 0 | 1 | 1 | 1 | 0 | 0 | 0 |
| 3 | 1 | 1 | 0 | 0 | 1 | 0 | 1 | 1 |
| 4 | 0 | 1 | 0 | 1 | 1 | 0 | 0 | 0 |
| 5 | 0 | 1 | 1 | 1 | 0 | 1 | 0 | 0 |
| 6 | 0 | 0 | 0 | 0 | 1 | 0 | 0 | 0 |
| 7 | 0 | 0 | 1 | 0 | 0 | 0 | 0 | 1 |
| 8 | 0 | 0 | 1 | 0 | 0 | 0 | 1 | 0 |

# Graph Representation: Adjacency List

**Adjacency list.** Node indexed array of lists.

- Two representations of each edge.
- Space proportional to  $m + n$ .
- Checking if  $(u, v)$  is an edge takes  $O(\deg(u))$  time.
- Identifying all edges takes  $\Theta(m + n)$  time.

degree = number of neighbors of  $u$

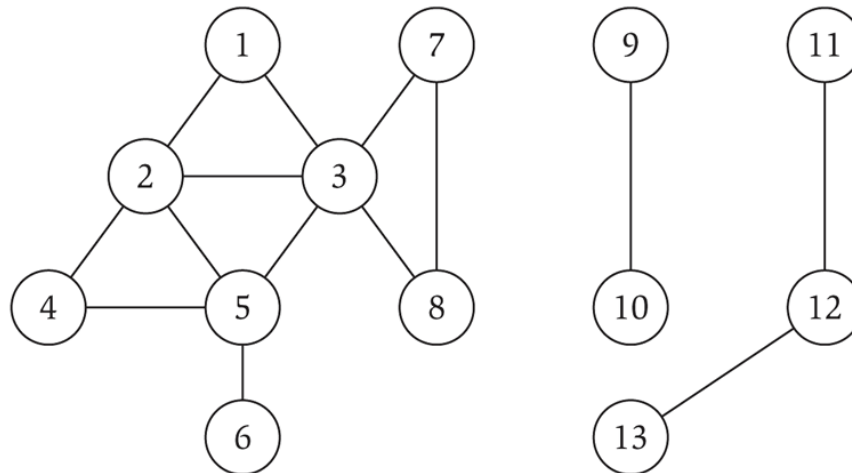


# Paths and Connectivity

**Def.** A **path** in an undirected graph  $G = (V, E)$  is a sequence  $P$  of nodes  $v_1, v_2, \dots, v_{k-1}, v_k$  with the property that each consecutive pair  $v_i, v_{i+1}$  is joined by an edge in  $E$ .

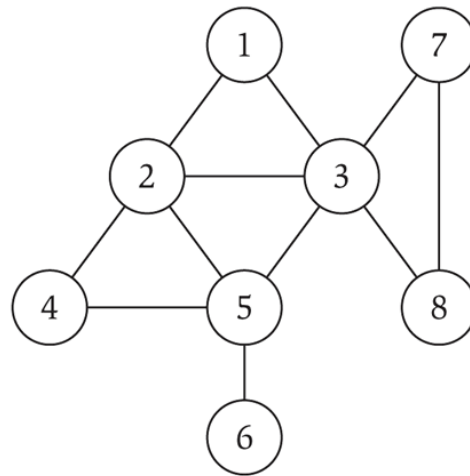
**Def.** A path is **simple** if all nodes are distinct.

**Def.** An undirected graph is **connected** if for every pair of nodes  $u$  and  $v$ , there is a path between  $u$  and  $v$ .



# Cycles

**Def.** A **cycle** is a path  $v_1, v_2, \dots, v_{k-1}, v_k$  in which  $v_1 = v_k$ ,  $k > 2$ , and the first  $k-1$  nodes are all distinct.



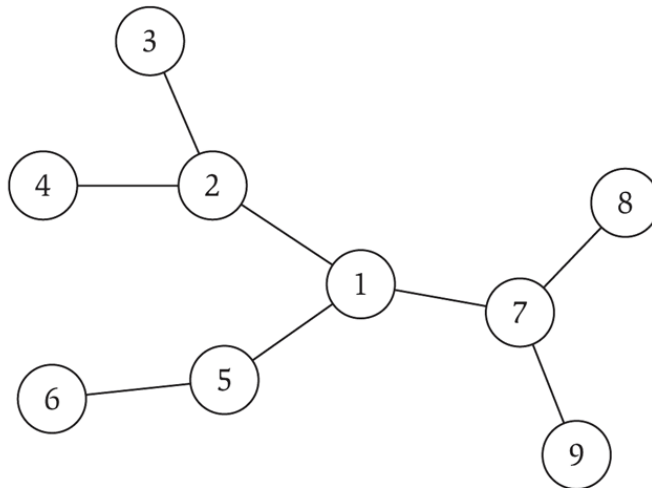
cycle  $C = 1-2-4-5-3-1$

# Trees

**Def.** An undirected graph is a **tree** if it is connected and does not contain a cycle.

**Theorem.** Let  $G$  be an undirected graph on  $n$  nodes. Any two of the following statements imply the third.

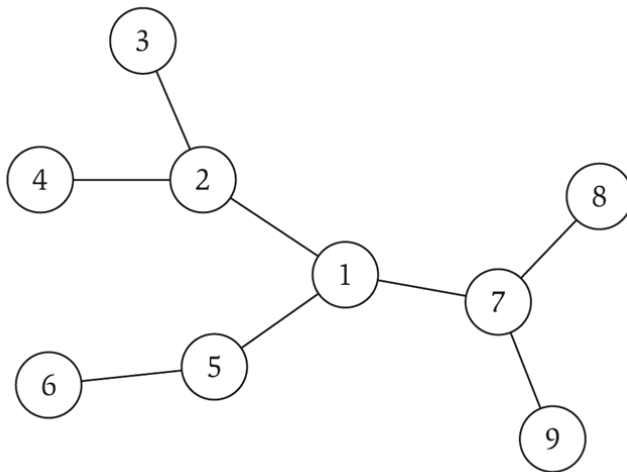
- $G$  is connected.
- $G$  does not contain a cycle.
- $G$  has  $n-1$  edges.



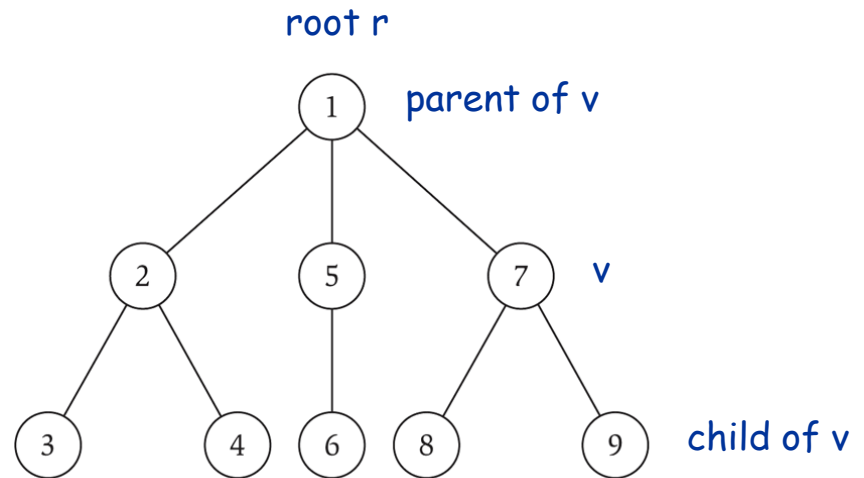
# Rooted Trees

**Rooted tree.** Given a tree  $T$ , choose a root node  $r$  and orient each edge away from  $r$ .

**Importance.** Models hierarchical structure.



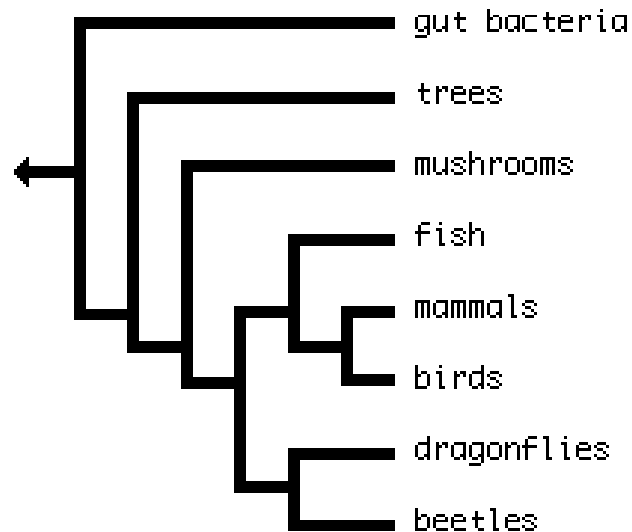
a tree



the same tree, rooted at 1

# Phylogeny Trees

Phylogeny trees. Describe evolutionary history of species.



## 3.2 Graph Traversal

---



# Connectivity

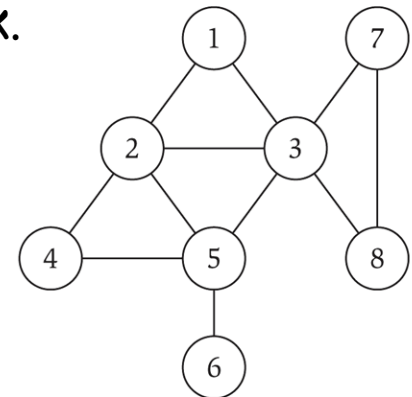
**s-t connectivity problem.** Given two node s and t, is there a path between s and t?

**s-t shortest path problem.** Given two node s and t, what is the length of the shortest path between s and t?

**Def.** The **distance** between two nodes s and t is the length of the shortest path between them.

## Applications.

- Maze traversal.
- Fewest number of hops in a communication network.
- Driving direction in Google Maps

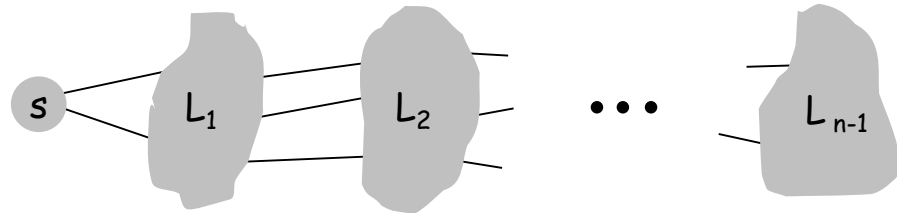


# Breadth First Search

**BFS intuition.** Explore outward from  $s$  in all possible directions, adding nodes one "layer" at a time.

**BFS algorithm.**

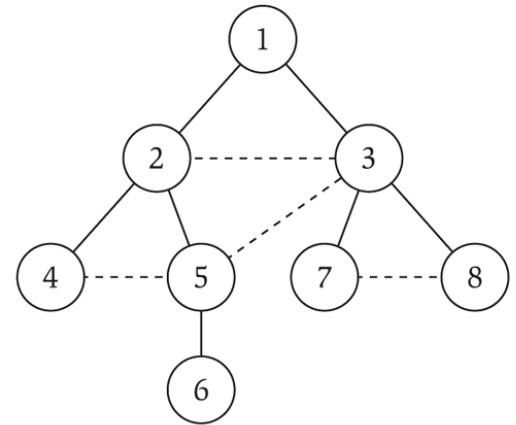
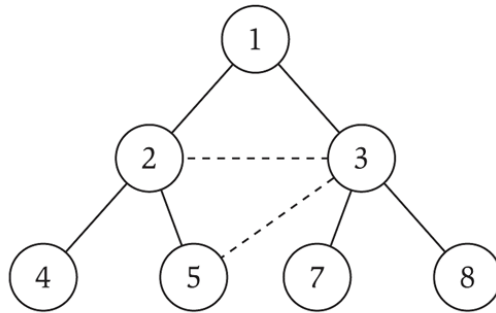
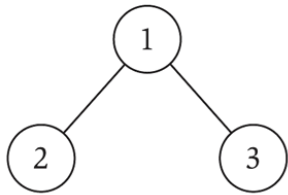
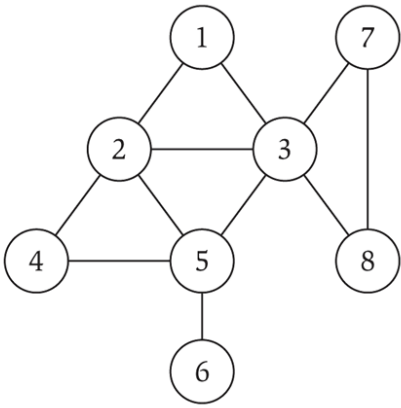
- $L_0 = \{ s \}$ .
- $L_1$  = all neighbors of  $L_0$ .
- $L_2$  = all nodes that do not belong to  $L_0$  or  $L_1$ , and that have an edge to a node in  $L_1$ .
- $L_{i+1}$  = all nodes that do not belong to an earlier layer, and that have an edge to a node in  $L_i$ .



**Theorem.** For each  $i$ ,  $L_i$  consists of all nodes at distance exactly  $i$  from  $s$ . There is a path from  $s$  to  $t$  iff  $t$  appears in some layer.

**Property.** Let  $(x, y)$  be an edge of  $G$ . Then the level of  $x$  and  $y$  differ by at most 1.

# Breadth First Search



$L_0$

$L_1$

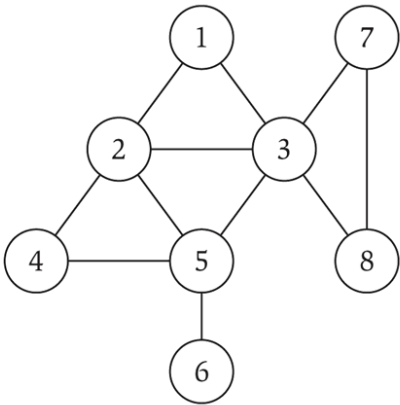
$L_2$

$L_3$

# Depth First Search

**DFS intuition.** Starts at  $s$  and explores as far as possible along each branch before backtracking.

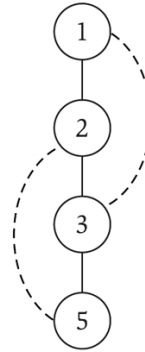
# Depth First Search



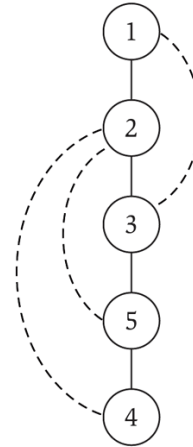
(a)



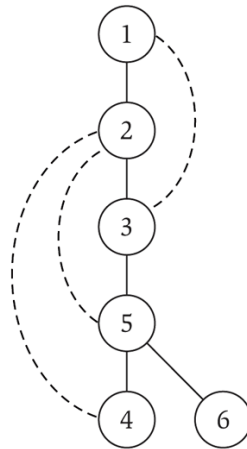
(b)



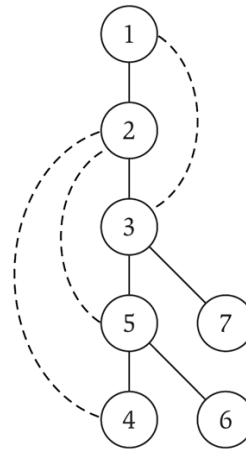
(c)



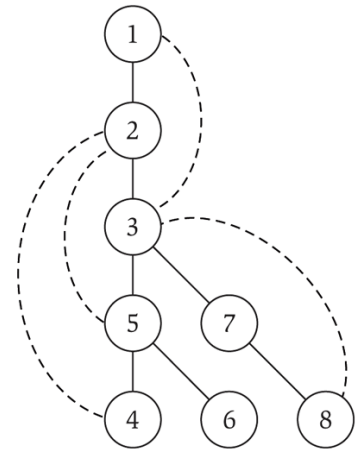
(d)



(e)



(f)



(g)

# BFS/DFS: Analysis

**Theorem.** BFS/DFS runs in  $O(m + n)$  time if the graph is given by its adjacency list representation.

**Pf.**

Initialization of all the nodes takes  $O(n)$  time.

When visiting each node, we check all the edges connected to it

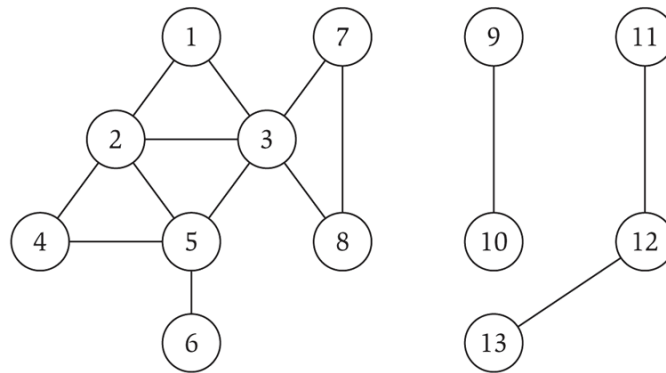
- when we consider node  $u$ , there are  $\deg(u)$  edges
- total time processing edges is  $\sum_{u \in V} \deg(u) = 2m$



each edge  $(u, v)$  is counted exactly twice  
in sum: once in  $\deg(u)$  and once in  $\deg(v)$

# Connected Component

Connected component. Find all nodes reachable from  $s$ .



Connected component containing node 1 =  $\{ 1, 2, 3, 4, 5, 6, 7, 8 \}$ .

Approach: BFS/DFS from  $s$

## 3.4 Testing Bipartiteness

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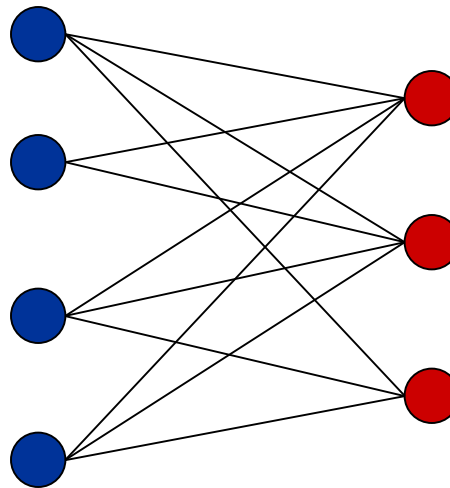


# Bipartite Graphs

**Def.** An undirected graph  $G = (V, E)$  is **bipartite** if the nodes can be colored red or blue such that every edge has one red and one blue end.

## Applications.

- Stable marriage: men = red, women = blue.
- Scheduling: machines = red, jobs = blue.

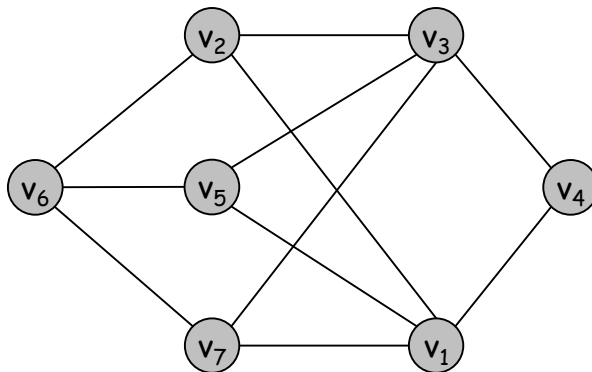


a bipartite graph

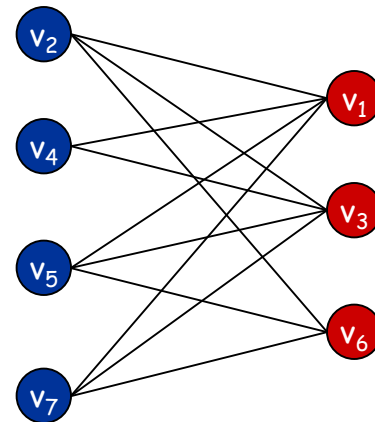
# Testing Bipartiteness

**Testing bipartiteness.** Given a graph  $G$ , is it bipartite?

- Many graph problems become:
  - easier if the underlying graph is bipartite (matching)
  - tractable if the underlying graph is bipartite (independent set)
- Before attempting to design an algorithm, we need to understand structure of bipartite graphs.



a bipartite graph  $G$

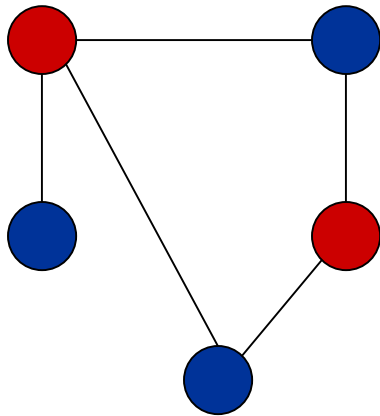


another drawing of  $G$

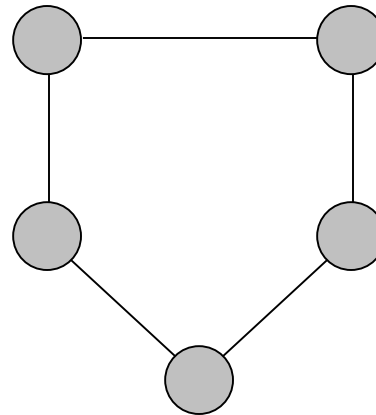
# An Obstruction to Bipartiteness

**Lemma.** If a graph  $G$  is bipartite, it cannot contain an odd length cycle.

**Pf.** Not possible to 2-color the odd cycle, let alone  $G$ .



bipartite  
(2-colorable)

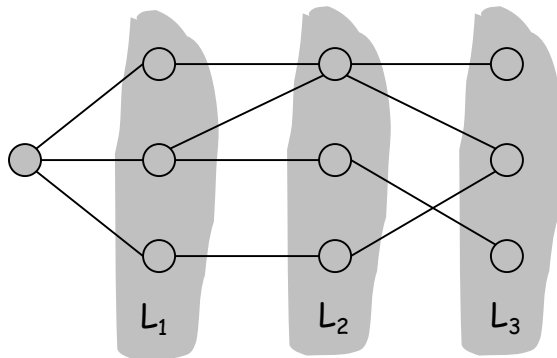


not bipartite  
(not 2-colorable)

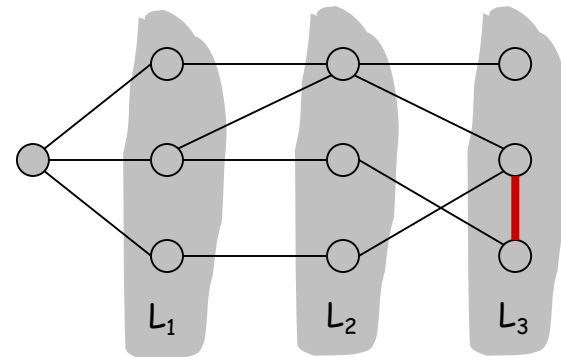
# Bipartite Graphs

**Lemma.** Let  $G$  be a connected graph, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . Exactly one of the following holds.

- (i) No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
- (ii) An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).



Case (i)



Case (ii)

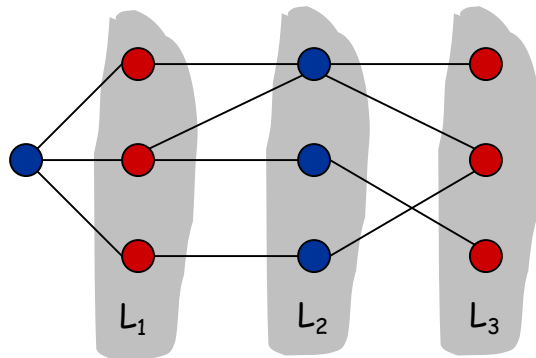
# Bipartite Graphs

**Lemma.** Let  $G$  be a connected graph, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . Exactly one of the following holds.

- (i) No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
- (ii) An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).

**Pf.** (i)

- Suppose no edge joins two nodes in the same layer.
- Bipartition: red = nodes on odd levels, blue = nodes on even levels.



Case (i)

# Bipartite Graphs

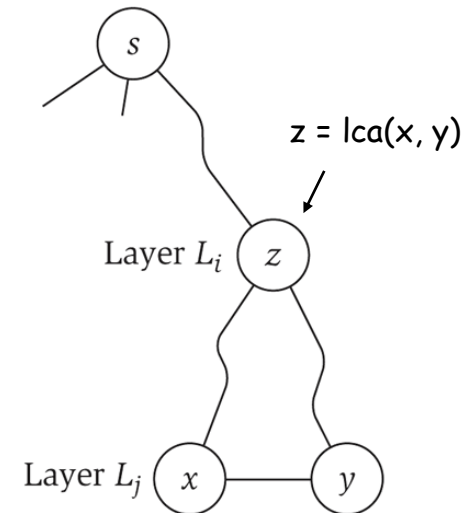
**Lemma.** Let  $G$  be a connected graph, and let  $L_0, \dots, L_k$  be the layers produced by BFS starting at node  $s$ . Exactly one of the following holds.

- (i) No edge of  $G$  joins two nodes of the same layer, and  $G$  is bipartite.
- (ii) An edge of  $G$  joins two nodes of the same layer, and  $G$  contains an odd-length cycle (and hence is not bipartite).

**Pf.** (ii)

- Suppose  $(x, y)$  is an edge with  $x, y$  in same level  $L_j$ .
- Let  $z$  be lowest common ancestor of  $x, y$  in BFS tree.
- Let  $L_i$  be level containing  $z$ .
- Consider cycle that takes edge from  $x$  to  $y$ , then path from  $y$  to  $z$ , then path from  $z$  to  $x$ .
- Its length is  $1 + (j-i) + (j-i)$ , which is odd. ▪

$$\underbrace{1}_{(x, y)} + \underbrace{(j-i)}_{\text{path from } y \text{ to } z} + \underbrace{(j-i)}_{\text{path from } z \text{ to } x}$$



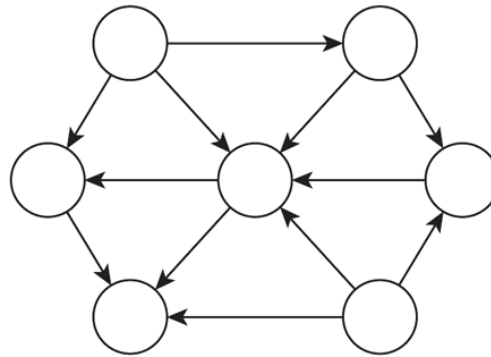
## 3.5 Connectivity in Directed Graphs

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# Directed Graphs

Directed graph.  $G = (V, E)$

- Edge  $(u, v)$  goes from node  $u$  to node  $v$ .



Ex. Web graph - hyperlink points from one web page to another.

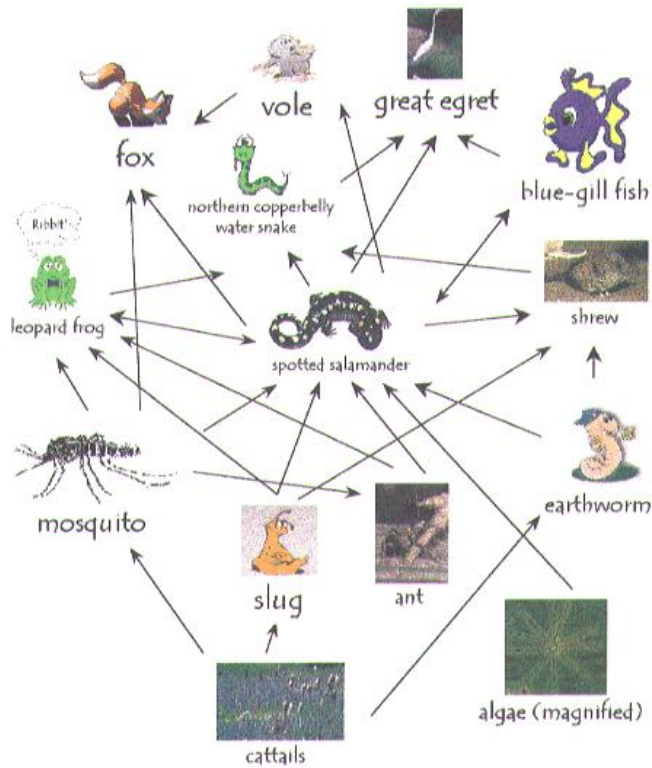
- Directedness of graph is crucial.
- Modern web search engines exploit hyperlink structure to rank web pages by importance.



# Ecological Food Web

## Food web graph.

- Node = species.
- Edge = from prey to predator.



Reference: <http://www.twingroves.district96.k12.il.us/Wetlands/Salamander/SalGraphics/salfoodweb.gif>

# Graph Search

**Directed reachability.** Given a node  $s$ , find all nodes reachable from  $s$ .

**Directed  $s$ - $t$  shortest path problem.** Given two nodes  $s$  and  $t$ , what is the length of the shortest path between  $s$  and  $t$ ?

**Graph search.** BFS/DFS extends naturally to directed graphs.

**Web crawler.** Start from web page  $s$ . Find all web pages linked from  $s$ , either directly or indirectly.

# Strong Connectivity

**Def.** Node  $u$  and  $v$  are **mutually reachable** if there is a path from  $u$  to  $v$  and also a path from  $v$  to  $u$ .

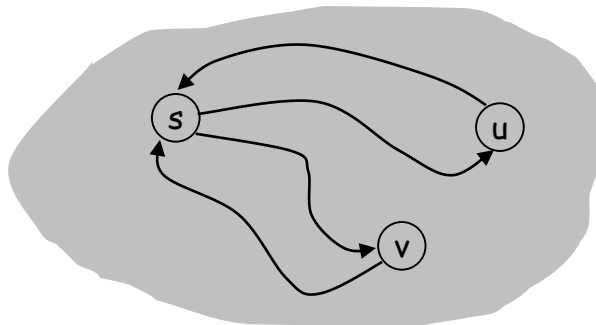
**Def.** A graph is **strongly connected** if every pair of nodes is mutually reachable.

**Lemma.** Let  $s$  be any node.  $G$  is strongly connected iff every node is reachable from  $s$ , and  $s$  is reachable from every node.

**Pf.**  $\Rightarrow$  Follows from definition.

**Pf.**  $\Leftarrow$  Path from  $u$  to  $v$ : concatenate  $u$ - $s$  path with  $s$ - $v$  path.

Path from  $v$  to  $u$ : concatenate  $v$ - $s$  path with  $s$ - $u$  path. •

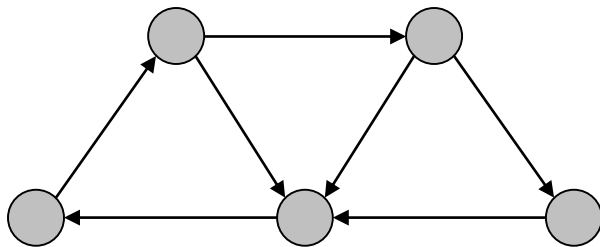


↖  
ok if paths overlap

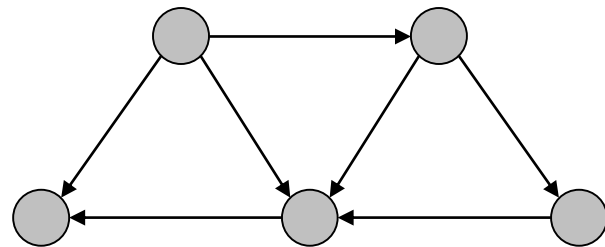
## Strong Connectivity: Algorithm

**Theorem.** Can determine if  $G$  is strongly connected in  $O(m + n)$  time.  
**Pf.**

- Pick any node  $s$ .
- Run BFS from  $s$  in  $G$ .
- Run BFS from  $s$  in  $G^{\text{rev}}$ . ← reverse orientation of every edge in  $G$
- Return true iff all nodes reached in both BFS executions.
- Correctness follows immediately from previous lemma. ▪



strongly connected



not strongly connected

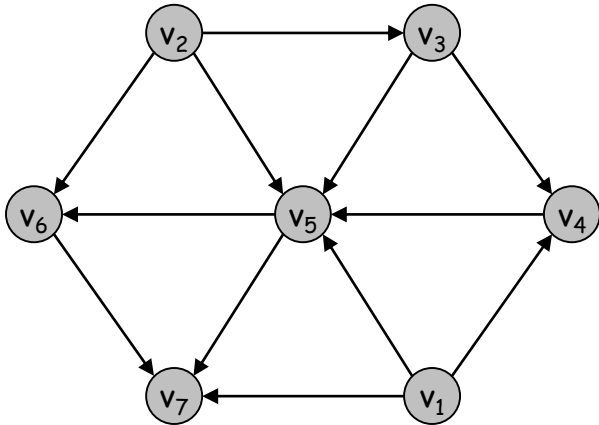
## 3.6 DAGs and Topological Ordering

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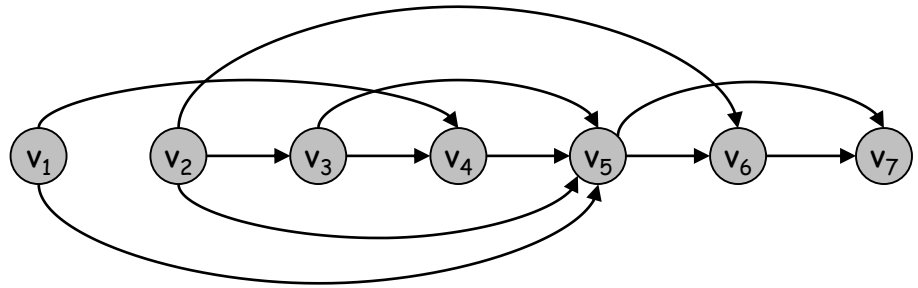
# Directed Acyclic Graphs

**Def.** An **DAG** is a directed graph that contains no directed cycles.

**Def.** A **topological order** of a directed graph  $G = (V, E)$  is an ordering of its nodes as  $v_1, v_2, \dots, v_n$  so that for every edge  $(v_i, v_j)$  we have  $i < j$ .



a DAG



a topological ordering

# Precedence Constraints

Precedence constraints. Edge  $(v_i, v_j)$  means task  $v_i$  must occur before  $v_j$ .

## Applications.

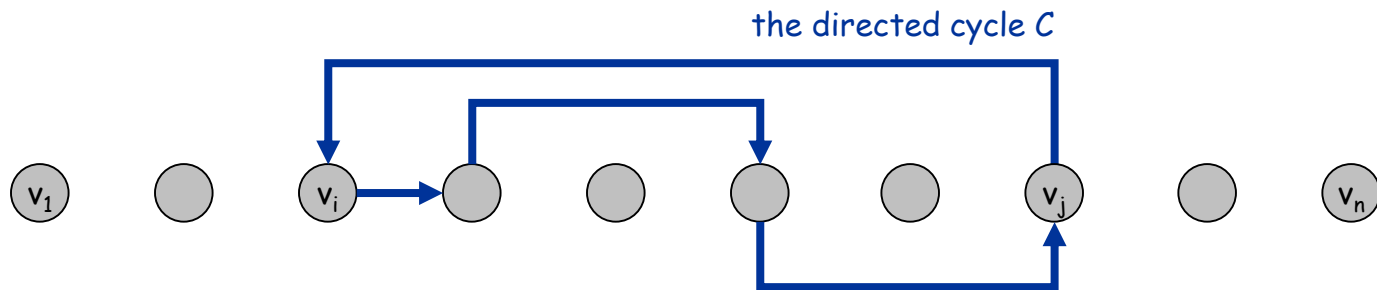
- Course prerequisite graph: course  $v_i$  must be taken before  $v_j$ .
- Compilation: module  $v_i$  must be compiled before  $v_j$ .
- Pipeline of computing jobs: output of job  $v_i$  needed to determine input of job  $v_j$ .

# Directed Acyclic Graphs

**Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.

**Pf.** (by contradiction)

- Suppose that  $G$  has a topological order  $v_1, \dots, v_n$  and that  $G$  also has a directed cycle  $C$ . Let's see what happens.
- Let  $v_i$  be the lowest-indexed node in  $C$ , and let  $v_j$  be the node just before  $v_i$ ; thus  $(v_j, v_i)$  is an edge.
- By our choice of  $i$ , we have  $i < j$ .
- On the other hand, since  $(v_j, v_i)$  is an edge and  $v_1, \dots, v_n$  is a topological order, we must have  $j < i$ , a contradiction. ▪



the supposed topological order:  $v_1, \dots, v_n$



# Directed Acyclic Graphs

**Lemma.** If  $G$  has a topological order, then  $G$  is a DAG.

Q. Does every DAG have a topological ordering?

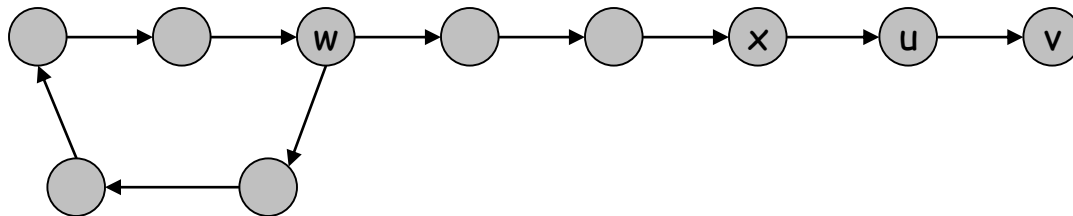
Q. If so, how do we compute one?

# Directed Acyclic Graphs

**Lemma.** If  $G$  is a DAG, then  $G$  has a node with no incoming edges.

**Pf.** (by contradiction)

- Suppose that  $G$  is a DAG and every node has at least one incoming edge. Let's see what happens.
- Pick any node  $v$ , and begin following edges backward from  $v$ . Since  $v$  has at least one incoming edge  $(u, v)$  we can walk backward to  $u$ .
- Then, since  $u$  has at least one incoming edge  $(x, u)$ , we can walk backward to  $x$ .
- Repeat until we visit a node, say  $w$ , twice.
- Let  $C$  denote the sequence of nodes encountered between successive visits to  $w$ .  $C$  is a cycle. ▪



# Directed Acyclic Graphs

**Lemma.** If  $G$  is a DAG, then  $G$  has a topological ordering.

**Pf.** (by induction on  $n$ )

- Base case: true if  $n = 1$ .
- Given DAG on  $n > 1$  nodes, find a node  $v$  with no incoming edges.
- $G - \{v\}$  is a DAG, since deleting  $v$  cannot create cycles.
- By inductive hypothesis,  $G - \{v\}$  has a topological ordering.
- Place  $v$  first in topological ordering; then append nodes of  $G - \{v\}$  in topological order. This is valid since  $v$  has no incoming edges. ▪

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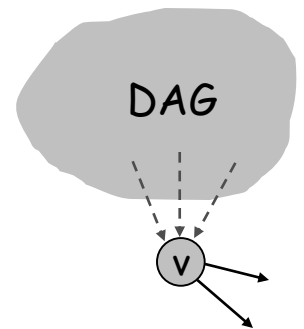
To compute a topological ordering of  $G$ :

Find a node  $v$  with no incoming edges and order it first

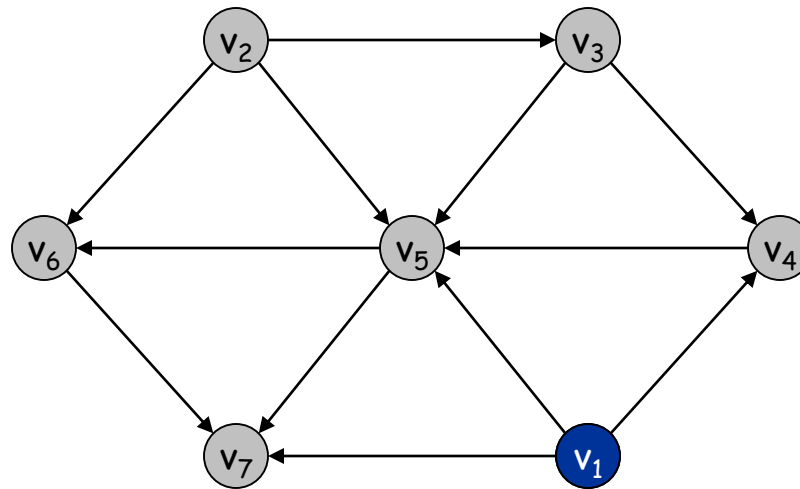
Delete  $v$  from  $G$

Recursively compute a topological ordering of  $G - \{v\}$   
and append this order after  $v$

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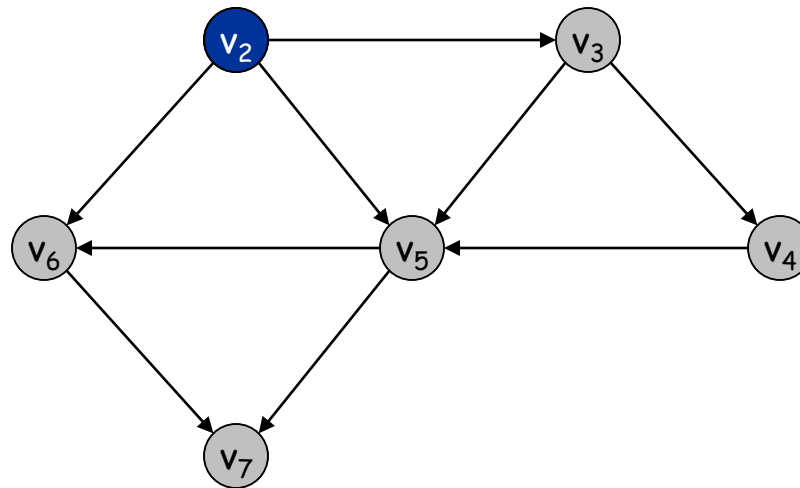


# Topological Ordering Algorithm: Example



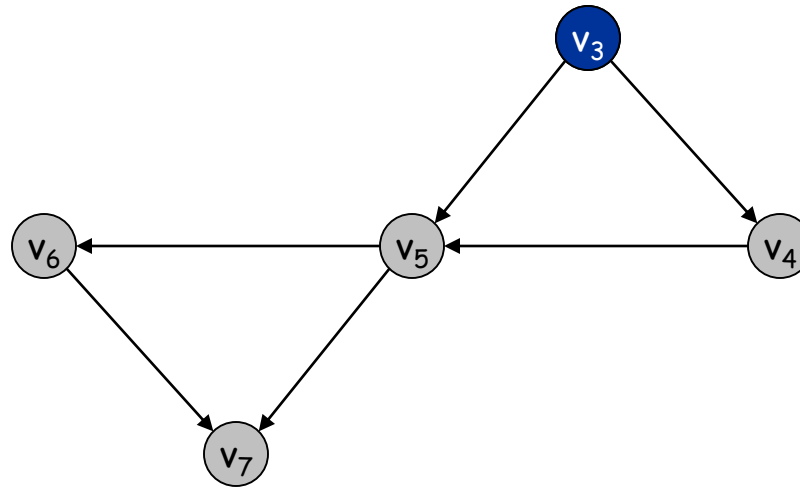
Topological order:

# Topological Ordering Algorithm: Example



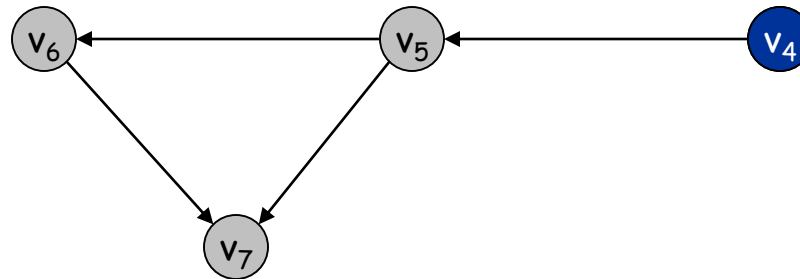
Topological order:  $v_1$

## Topological Ordering Algorithm: Example



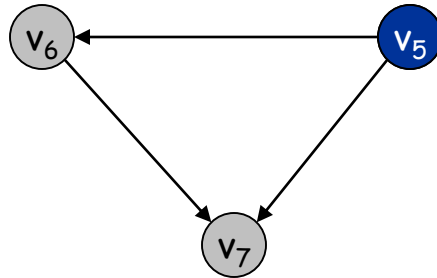
Topological order:  $v_1, v_2$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3$

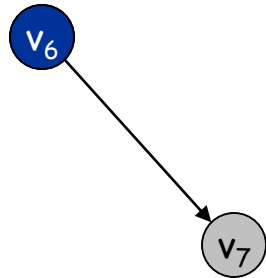
# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4$



# Topological Ordering Algorithm: Example



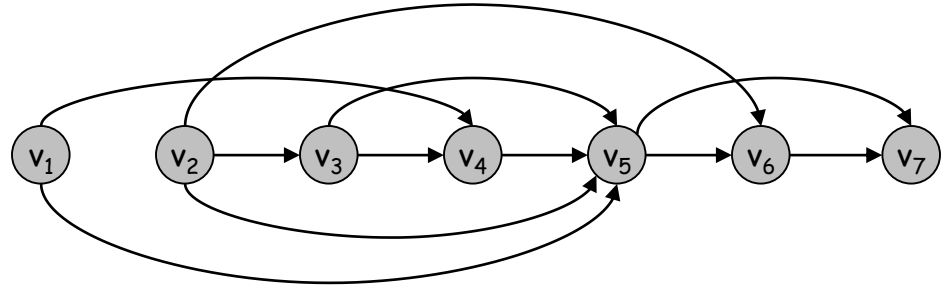
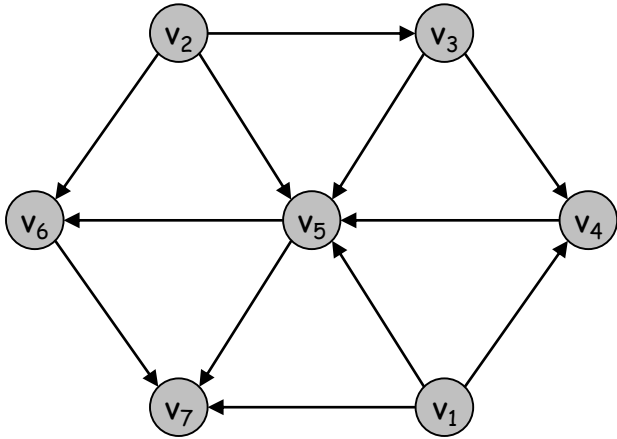
Topological order:  $v_1, v_2, v_3, v_4, v_5$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6$

# Topological Ordering Algorithm: Example



Topological order:  $v_1, v_2, v_3, v_4, v_5, v_6, v_7$ .

# Topological Sorting Algorithm: Running Time

**Theorem.** Algorithm finds a topological order in  $O(m + n)$  time.

**Pf.**

- Maintain the following information:
  - `count[w]` = remaining number of incoming edges
  - $S$  = set of remaining nodes with no incoming edges
- Initialization:  $O(m + n)$  via single scan through graph.
- Update: to delete  $v$ 
  - remove  $v$  from  $S$
  - decrement `count[w]` for all edges from  $v$  to  $w$ , and add  $w$  to  $S$  if `count[w]` hits 0

# Summary

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# Graph Algorithms

## Graph Traversal

- **Breadth First Search:** Explore outward from  $s$  in all possible directions, adding nodes one "layer" at a time.
- **Depth First Search:** Starts at  $s$  and explores as far as possible along each branch before backtracking.

## Testing Bipartiteness

- BFS and check if there is an edge between nodes of the same layer

## Strong Connectivity in Directed Graphs

- BFS + reverse BFS

## DAGs and Topological Ordering

- Recursively remove nodes with no incoming edge