# Differential Equations in Geophysical Fluid Dynamics

XII. Wind-driven circulation: Introduction and Sverdrup balance

Jang-Geun Choi

Center for Ocean Engineering University of New Hampshire

Apr, 2025

This seminar is supported by mathematics community EM (maintained by Prof. Gunhee Cho) and oceanography community COKOAA.

#### Introduction

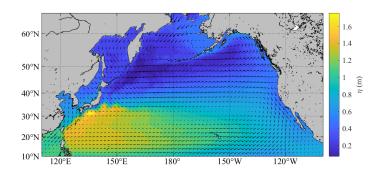


Figure: Climatologial mean wind stress and sea surface height fields.

## Governing equation

Let us consider steady, linear, and lateral inviscid shallow water equations (Stommel, 1948):

$$\frac{\partial \bar{u}}{\partial t} + \vec{u} \cdot \nabla \bar{u} - f \bar{v} = -g \frac{\partial \eta}{\partial x} + \nabla \cdot (A_h \nabla \bar{u}) + \frac{\tau_x^s}{\rho_0 h} - \frac{\gamma}{h} \bar{u}$$
 (1a)

$$\frac{\partial \bar{v}}{\partial t} + \vec{u} \cdot \nabla \bar{v} + f \bar{u} = -g \frac{\partial \eta}{\partial y} + \nabla \cdot (A_h \nabla \bar{v}) + \frac{\tau_y^s}{\rho_0 h} - \frac{\gamma}{h} \bar{v}$$
 (1b)

$$\frac{\partial \eta}{\partial t} + h \left( \frac{\partial \bar{u}}{\partial x} + \frac{\partial \bar{v}}{\partial y} \right) = 0.$$
 (1c)

We will use the vorticity equation. Taking curl of momentum equations yields  $-(\gamma/h)\nabla \times \vec{u}$ : Bottom stress curl

$$\frac{\partial f}{\partial y}\bar{v} = \frac{1}{\rho_0 h} \left( \frac{\partial \tau_y^s}{\partial x} - \frac{\partial \tau_x^s}{\partial y} \right) - \frac{\gamma}{h} \left( \frac{\partial \bar{v}}{\partial x} - \frac{\partial \bar{u}}{\partial y} \right)$$
(2)

## Governing equation

Using stream functions,  $\bar{v}=\partial\psi/\partial x$  and  $\bar{u}=-\partial\psi/\partial y$ , (2) can be written as

$$\beta \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s - \frac{\gamma}{h} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right)$$
(3)

where  $\beta = \partial f/\partial y$ .

Note that  $f=2\Omega\sin\theta$  where  $\theta$  is latitude. There are two approximation: "f-plane ( $f\approx f_0$ )" and " $\beta$ -plane ( $f\approx f_0+\beta_0 y$ )" where  $f_0$  and  $\beta_0$  are constants.

This is based on the **Taylor expansion** (Pedlosky, 1987; Verkley, 1990).

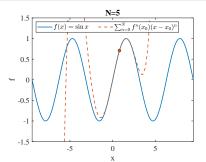
### f- and $\beta$ -planes

#### Taylor expansion

Arbitrary function f(x) near x=a can be expressed as infinite sum of polynomials:

$$f(x) = \sum_{n=0}^{\infty} \frac{f^{(n)}(a)}{n!} (x - a)^n$$
 (4)

where  $f^{(n)} = \partial^n f/\partial x^n$ , f differentiated n times.



# f- and $\beta$ -planes

Taylor expansion of the Coriolis frequency f near  $\theta_0$  yields

$$f = 2\Omega \sin \theta$$

$$\approx 2\Omega \left( \sin \theta_0 + (\cos \theta_0) (\theta - \theta_0) - (\sin \theta_0) (\theta - \theta_0)^2 \cdots \right)$$

$$= 2\Omega \left( \sin \theta_0 + (\cos \theta_0) \frac{y}{R} - (\sin \theta_0) \left( \frac{y}{R} \right)^2 \cdots \right)$$
(5)

If  $\sin\theta_0 \approx \cos\theta_0$  (mid-latitude) and y/R < 1 (so smaller length scale than R),  $$\beta_0$$ 

$$f \approx 2\Omega \sin \theta_0 + \frac{2\Omega \cos \theta_0}{R} y \tag{6}$$

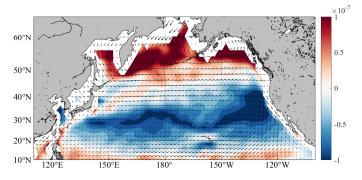
Note that the second  $\beta$ -term  $(\beta_0 y)$  still depends on y/R, so it can be negligible for "much smaller" length scale.

## Wind-driven circulation over f-plane

$$\beta \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s - \frac{\gamma}{h} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right)$$

$$\therefore \quad \nabla \times \vec{u} = \frac{1}{\rho_0 \gamma} \nabla \times \vec{\tau}^s$$
(7)

The curl of ocean currents  $(\nabla \times \vec{u})$  is proportional to the curl of wind stress  $(\nabla \times \vec{\tau}^s)$  in the same direction.



# Wind-driven circulation over $\beta$ -plane

$$\frac{(\beta_0 U) \frac{\partial \psi^*}{\partial x^*}}{\beta_0 \frac{\partial \psi}{\partial x}} + \frac{\left(\frac{\gamma U}{hL}\right) \frac{\partial^2 \psi^*}{\partial x^{*2}}}{h\left(\frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2}\right)} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s \tag{8}$$

For large scale ocean  $(\gamma U/(hL) \ll \beta_0 U$  so  $\gamma/(h\beta_0) \ll L$ ), the bottom stress curl becomes negligible.

$$\beta_0 \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s \tag{9}$$

that is referred to as Sverdrup balance equation (Sverdrup, 1947).

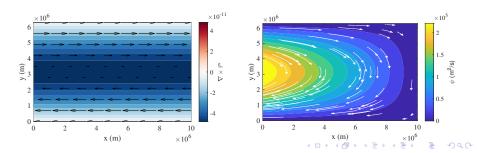
### Sverdrup balance

Solution to (9) can be easily obtained by integrating (9) with respect to x over the domain:

$$\psi = \frac{1}{\rho_0 h \beta_0} \int_0^L \nabla \times \vec{\tau}^s \, dx \tag{10}$$

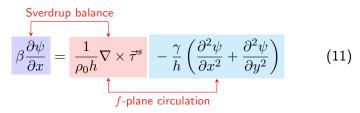
9/12

Below are the solution with idealized wind stress  $( au_x^s, au_y^s)=(- au_0\cos(\pi y/L_y),0)$ , and a boundary condition  $\psi|_{x=L_x}=0$ .



#### Summary

Governing equation to the Stommel's wind-driven circulation is



- 1. Based on the Taylor expansion,  $f = 2\Omega \sin \theta$  can be approximated to  $f \approx f_0 + \beta y$  ( $\beta$ -plane approximation).
- 2. For relatively small scale,  $\beta$ -term becomes negligible so  $f \approx f_0$ .
- 3. On the f-plane, wind stress curl is balanced by bottom stress curl.
- 4. On the  $\beta$ -plane at large length scales, it is dominantly balanced by the planetary  $\beta$ -term.



## Assignment

Shows that the potential vorticity can be approximated to

$$q = \frac{\xi + f}{h + \eta} = \frac{\xi + f}{h} \frac{1}{1 + \eta/h} \approx \frac{1}{h} \left( \xi + f - \frac{f}{h} \eta \right)$$
 (12)

when  $Ro = \xi/f \ll 1$  and  $\eta/h \approx Ro \ll 1$ . Ignore terms of order  $Ro^2$  and below. Use Taylor series  $1/(1+x) = 1-x+x^2-x^3\cdots$ .

#### References I

- Pedlosky, Joseph (1987). *Geophysical fluid dynamics*. Springer New York.
- Stommel, Henry (1948). "The westward intensification of wind-driven ocean currents". In: *Eos, Transactions American Geophysical Union* 29.2, pp. 202–206.
- Sverdrup, Harald Ulrich (1947). "Wind-driven currents in a baroclinic ocean; with application to the equatorial currents of the eastern Pacific". In: *Proceedings of the National Academy of Sciences* 33.11, pp. 318–326.
- Verkley, WTM (1990). "On the beta plane approximation". In: Journal of Atmospheric Sciences 47.20, pp. 2453–2460.