

# Differential Equations in Geophysical Fluid Dynamics

## XII. Wind-driven circulation: Introduction and Sverdrup balance

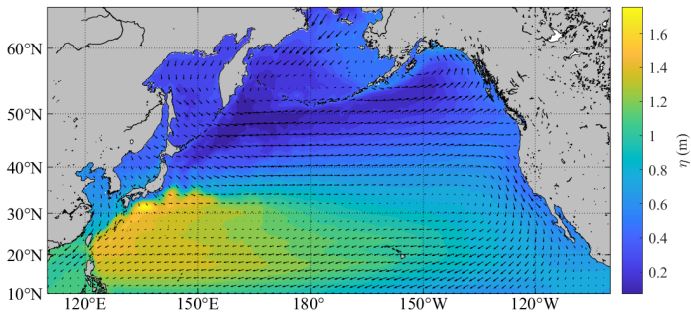
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# Introduction



**Figure:** Climatological mean wind stress and sea surface height fields.

# Governing equation

Let us consider steady, linear, and lateral inviscid shallow water equations (Stommel, 1948):

$$\frac{\partial \bar{u}}{\partial t} + \vec{u} \cdot \nabla \bar{u} - f \bar{v} = -g \frac{\partial \eta}{\partial x} + \nabla \cdot (A_h \nabla \bar{u}) + \frac{\tau_x^s}{\rho_0 h} - \frac{\gamma}{h} \bar{u} \quad (1a)$$

$$\frac{\partial \bar{v}}{\partial t} + \vec{u} \cdot \nabla \bar{v} + f \bar{u} = -g \frac{\partial \eta}{\partial y} + \nabla \cdot (A_h \nabla \bar{v}) + \frac{\tau_y^s}{\rho_0 h} - \frac{\gamma}{h} \bar{v} \quad (1b)$$

$$\frac{\partial \eta}{\partial t} + h \left( \frac{\partial \bar{u}}{\partial x} + \frac{\partial \bar{v}}{\partial y} \right) = 0. \quad (1c)$$

We will use the vorticity equation. Taking curl of momentum equations yields

$$\frac{\partial f}{\partial y} \bar{v} = \frac{1}{\rho_0 h} \left( \frac{\partial \tau_y^s}{\partial x} - \frac{\partial \tau_x^s}{\partial y} \right) - \frac{\gamma}{h} \left( \frac{\partial \bar{v}}{\partial x} - \frac{\partial \bar{u}}{\partial y} \right) \quad (2)$$

Planetary  $\beta$ -term

$-(\gamma/h) \nabla \times \vec{u}$ : Bottom stress curl

$\nabla \times \vec{\tau}^s$ : Wind stress curl

# Governing equation

Using stream functions,  $\bar{v} = \partial\psi/\partial x$  and  $\bar{u} = -\partial\psi/\partial y$ , (2) can be written as

$$\beta \frac{\partial\psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s - \frac{\gamma}{h} \left( \frac{\partial^2\psi}{\partial x^2} + \frac{\partial^2\psi}{\partial y^2} \right) \quad (3)$$

where  $\beta = \partial f / \partial y$ .

Note that  $f = 2\Omega \sin \theta$  where  $\theta$  is latitude. There are two approximation: “ $f$ -plane ( $f \approx f_0$ )” and “ $\beta$ -plane ( $f \approx f_0 + \beta_0 y$ )” where  $f_0$  and  $\beta_0$  are constants.

This is based on the **Taylor expansion** (Pedlosky, 1987; Verkleij, 1990).

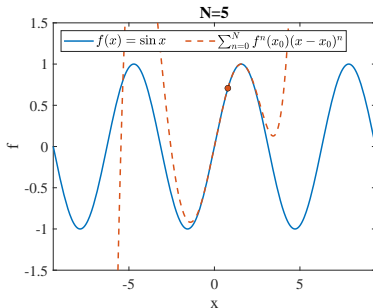
# $f$ - and $\beta$ -planes

## Taylor expansion

Arbitrary function  $f(x)$  near  $x = a$  can be expressed as infinite sum of polynomials:

$$f(x) = \sum_{n=0}^{\infty} \frac{f^{(n)}(a)}{n!} (x - a)^n \quad (4)$$

where  $f^{(n)} = \partial^n f / \partial x^n$ ,  $f$  differentiated  $n$  times.



## $f$ - and $\beta$ -planes

Taylor expansion of the Coriolis frequency  $f$  near  $\theta_0$  yields

$$\begin{aligned} f &= 2\Omega \sin \theta \\ &\approx 2\Omega \left( \sin \theta_0 + (\cos \theta_0) (\theta - \theta_0) - (\sin \theta_0) (\theta - \theta_0)^2 \dots \right) \\ &= 2\Omega \left( \sin \theta_0 + (\cos \theta_0) \frac{y}{R} - (\sin \theta_0) \left( \frac{y}{R} \right)^2 \dots \right) \end{aligned} \quad (5)$$

If  $\sin \theta_0 \approx \cos \theta_0$  (mid-latitude) and  $y/R < 1$  (so smaller length scale than  $R$ ),

$$f \approx \underbrace{2\Omega \sin \theta_0}_{f_0} + \underbrace{\frac{2\Omega \cos \theta_0}{R}}_{\beta_0} y \quad (6)$$

Note that the second  $\beta$ -term ( $\beta_0 y$ ) still depends on  $y/R$ , so it can be negligible for “much smaller” length scale.

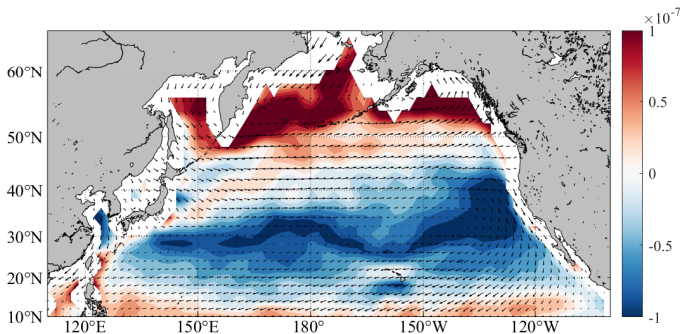
# Wind-driven circulation over $f$ -plane

$$\beta \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s - \frac{\gamma}{h} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right) \quad (7)$$

$\nabla \times \vec{u}$

$$\therefore \nabla \times \vec{u} = \frac{1}{\rho_0 \gamma} \nabla \times \vec{\tau}^s$$

The curl of ocean currents ( $\nabla \times \vec{u}$ ) is proportional to the curl of wind stress ( $\nabla \times \vec{\tau}^s$ ) in the same direction.



# Wind-driven circulation over $\beta$ -plane

$$\underbrace{\beta_0 U \frac{\partial \psi^*}{\partial x^*}}_{\beta_0 \frac{\partial \psi}{\partial x}} + \underbrace{\left( \frac{\gamma U}{hL} \right) \frac{\partial^2 \psi^*}{\partial x^{*2}}}_{\frac{\gamma}{h} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right)} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s \quad (8)$$

For large scale ocean ( $\gamma U / (hL) \ll \beta_0 U$  so  $\gamma / (h\beta_0) \ll L$ ), the bottom stress curl becomes negligible.

$$\beta_0 \underbrace{\frac{\partial \psi}{\partial x}}_{\bar{v}} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s \quad (9)$$

that is referred to as Sverdrup balance equation (Sverdrup, 1947).



# Sverdrup balance

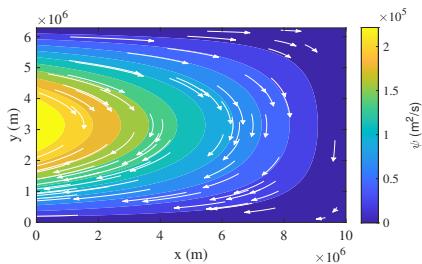
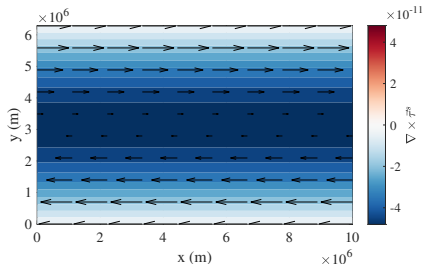
Solution to (9) can be easily obtained by integrating (9) with respect to  $x$  over the domain:

$$\psi = \frac{1}{\rho_0 h \beta_0} \int_0^L \nabla \times \vec{\tau}^s dx \quad (10)$$

Below are the solution with idealized wind stress

$(\tau_x^s, \tau_y^s) = (-\tau_0 \cos(\pi y/L_y), 0)$ , and a boundary condition

$\psi|_{x=L_x} = 0$ .



# Summary

Governing equation to the Stommel's wind-driven circulation is

$$\beta \frac{\partial \psi}{\partial x} = \frac{1}{\rho_0 h} \nabla \times \vec{\tau}^s - \frac{\gamma}{h} \left( \frac{\partial^2 \psi}{\partial x^2} + \frac{\partial^2 \psi}{\partial y^2} \right) \quad (11)$$

Sverdrup balance

f-plane circulation

1. Based on the Taylor expansion,  $f = 2\Omega \sin \theta$  can be approximated to  $f \approx f_0 + \beta y$  ( $\beta$ -plane approximation).
2. For relatively small scale,  $\beta$ -term becomes negligible so  $f \approx f_0$ .
3. On the  $f$ -plane, wind stress curl is balanced by bottom stress curl.
4. On the  $\beta$ -plane at large length scales, it is dominantly balanced by the planetary  $\beta$ -term.





# Assignment

Shows that the potential vorticity can be approximated to

$$q = \frac{\xi + f}{h + \eta} = \frac{\xi + f}{h} \frac{1}{1 + \eta/h} \approx \frac{1}{h} \left( \xi + f - \frac{f}{h} \eta \right) \quad (12)$$

when  $Ro = \xi/f \ll 1$  and  $\eta/h \approx Ro \ll 1$ . Ignore terms of order  $Ro^s$  and below. Use Taylor series  $1/(1+x) = 1 - x + x^2 - x^3 \dots$ .

# References I

-  Pedlosky, Joseph (1987). *Geophysical fluid dynamics*. Springer New York.
-  Stommel, Henry (1948). “The westward intensification of wind-driven ocean currents”. In: *Eos, Transactions American Geophysical Union* 29.2, pp. 202–206.
-  Sverdrup, Harald Ulrich (1947). “Wind-driven currents in a baroclinic ocean; with application to the equatorial currents of the eastern Pacific”. In: *Proceedings of the National Academy of Sciences* 33.11, pp. 318–326.
-  Verkey, WTM (1990). “On the beta plane approximation”. In: *Journal of Atmospheric Sciences* 47.20, pp. 2453–2460.