

→ Many problems of practical significance are NP-Complete, yet they are too important to abandon merely because we don't know how to find an optimal solution in polynomial time.

→ At least 3 ways to get around NP-Completeness

① The actual inputs are small, an algorithm with exponential running time is perfectly satisfactory.

② We may be able to isolate important special cases that we can solve in polynomial time.

③ We may come up with approaches to find near-optimal solution in polynomial time. (Either in the worst case or the expected case.)

→ In practice, "Near-Optimality" is often very good.

→ We call an algorithm that returns near-optimal solutions an "Approximation Algorithm".

→ This chapter presents polynomial-time approximation algorithms for several NP-Complete problems.

* Performance Ratios for Approximation Algo.

→ Algorithm for a problem has an approximation ratio of $S(n)$ if, for any input of size n , the cost C of the solution produced by the algorithm is within a factor of $S(n)$ of the cost C^* of an optimal solution;

$$\max\left(\frac{C}{C^*}, \frac{C^*}{C}\right) \leq S(n)$$

If an algo. achieves an approximation ratio of $S(n)$, we call it a $S(n)$ approximation algo.

* For Maximization Problem,

$$0 < C \leq C^*$$

↓
Cost of
the solution
produced by
approx. algo

↓
Cost of the solution i.e.
Optimal solution

∴ Ratio = $\frac{C^*}{C}$ gives the factor by which

the cost of an optimal solution
is ~~the~~ larger than the cost of
the approximate solution.

★ For Minimization Problem

$$0 < C^* \leq C$$

↑ ↑
Optimal Obtained
Solution Approximate
 Solution

Here $\frac{C}{C^*} = \boxed{\text{Ratio } \leq S(n)}$

→ It gives the factor by which the cost of approximate solution is larger than the cost of an optimal solution.

→ For many problems, we have small constant Approximation Ratios

E.g. For Approximate Min. Vertex Cover there exist Ratio S ,

i.e. $\frac{C}{C^*} \leq \boxed{S(n) = 2}$

$\therefore \boxed{C \leq 2C^*}$

i.e. Approximate solⁿ cost is at most 2 times the cost of the Optimal solⁿ.

→ For some problems ratios grow as a function of n .

E.g. "Set Cover" problem.

→ Since for Min. Problem

$$\frac{C}{C^*} \leq g(n)$$

→ For Maximiz. Problem

$$\frac{C^*}{C} \leq g(n)$$

∴ We can say

$$\text{Max} \left\{ \frac{C}{C^*}, \frac{C^*}{C} \right\} \leq g(n)$$

→ In general for any type of problem.

→ It says Approximation Ratio is $g(n)$.

Note: Approximation Ratio can never be less than 1.

Why? → Let's say Approx. Ratio is less than 1 for Minimization problem

$$\frac{C}{C^*} < 1 \Rightarrow C < C^*$$

which contradicts the fact that for Minimization problem $C^* \leq C$. i.e. optimal solution \leq APPX. soln

★ Say, for Maximization problem - approx.
Ratio is less than 1

i.e.

$$\frac{C^*}{C} < 1$$

$$\Rightarrow \boxed{\frac{C^*}{C} < 1}$$

C^* C
 ↑ ↑
 Cost of Cost of
 Optimal Approximate
 sol^n sol^n

→ This contradicts the fact that
the approximate sol^n for
Maximization problem is less
than Optimal sol^n cost.

∴ For Both the types of problems
ratio can never be less
than 1

∴ Approximation Ratio $|S(n)| \geq 1$

→ If $S(n) = 1$, which means

$C = C^*$, which may not be practically
correct.

If $f(n) = 1 \Rightarrow c = c^*$

Approximate Optimal
 Solution $s(n)$
 by Polytime by Exponential
 algo. time algo

Which means Approx. algo always returns exact $s(n)$. That is not true practically.

∴ For approximation algorithms

Ratio $f(n) > 1$

→ $f(n)$ can be constant.

or

$f(n)$ can be function of n .

→ If $f(n)$ is constant, it is better approximation strategy

A

Approximate Vertex Cover

Data
Page

- Vertex Cover of undirected graph $G = \langle V, E \rangle$ is a subset $V' \subseteq V$ such that if (u, v) is an edge of G , then either $u \in V'$ or $v \in V'$ (or both).
- Size of vertex cover = Number of vertices in it.

Algo:

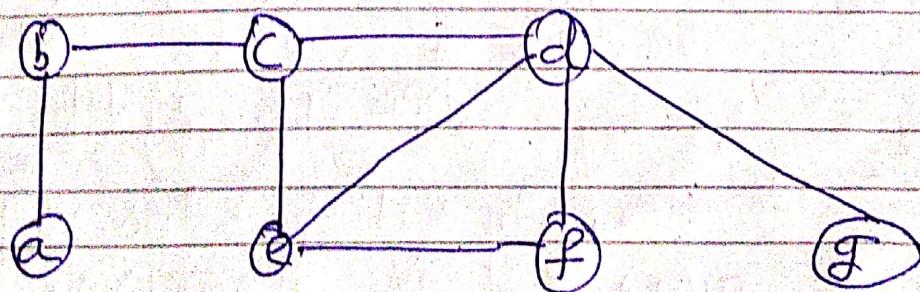
Approx-Vertex-Cover(G)

- ① $C = \emptyset$;
- ② $E' = G \cdot E$;
- ③ While $E' \neq \emptyset$
 {
 ④ → Let (u, v) be any arbitrary edge
 of E' .

- ⑤ → $C = C \cup \{u, v\}$
- ⑥ → Remove from E' every edge
 incident on either u or v .

}
 return C ;

E.g.



① $C = \emptyset$

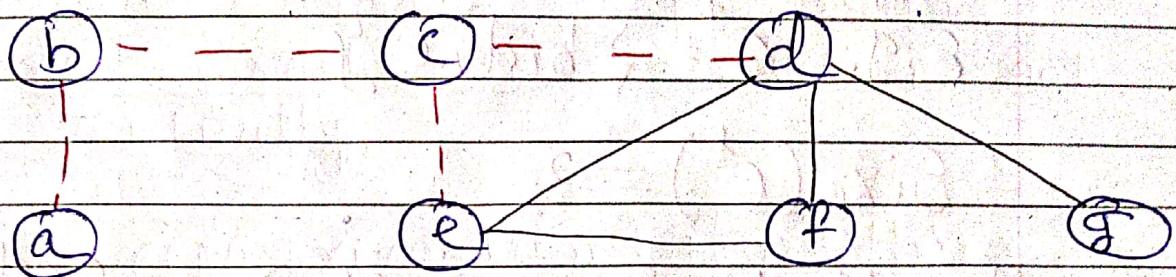
- ② $(u, v) = (b, c)$ be a random edge Selected.

$$\therefore C = C \cup \{u, v\}$$

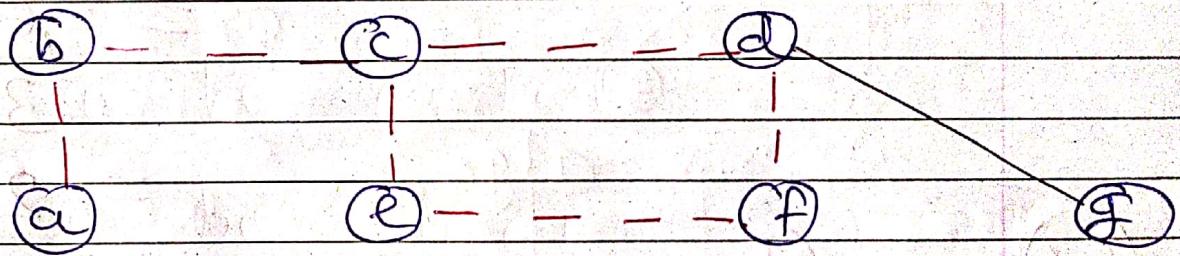
$$= \emptyset \cup \{b, c\}$$

$$= \{b, c\}$$

Remove from E' every edge incident on either b or c.



- ③ Select any edge (u, v) say it be (e, f)

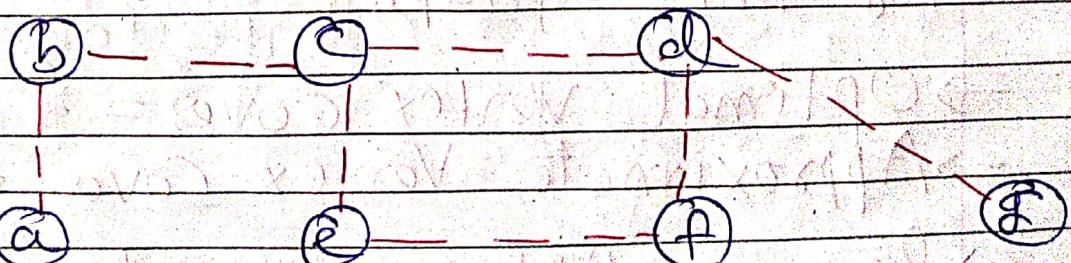


$$C = C \cup \{e, f\}$$

$$= \{b, c\} \cup \{e, f\}$$

$$= \{b, c, e, f\}$$

- ④ Select any edge $(u, v) = \{d, g\}$



- ⑤ Now No edges left

\therefore Algo will terminate

$$\therefore C = \{b, c, d, e, f, g\} \quad \text{Size}(C) = 6$$

* What is the size of optimal vertex cover?

For this graph Size 3 vertex cover is possible.

$$\text{e.g. } C^* = \{b, d, e\}$$

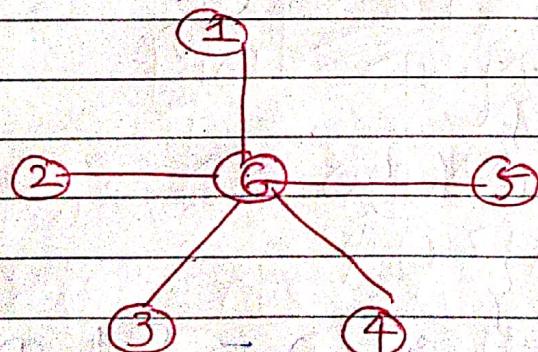
$$\text{Size}(C^*) = 3$$

$$\text{For that Ratio } S(n) = \frac{\text{cost of } C}{\text{cost of } C^*}$$

$$= \frac{6}{3}$$

$$= 2.$$

(EX)



For this graph

→ Optimal vertex cover = {6}

→ Approximate vertex cover = {1, 6}

→ Because At least 2 endpoints of every edge will form approximate vertex cover.

$$\therefore \text{Cost of } C = 2$$

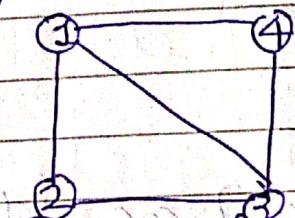
$$\text{Cost of } C^* = 1$$

$$\therefore \text{Ratio} = \frac{\text{Cost of approx.}}{\text{Cost of optimal}}$$

$$= \frac{2}{1}$$

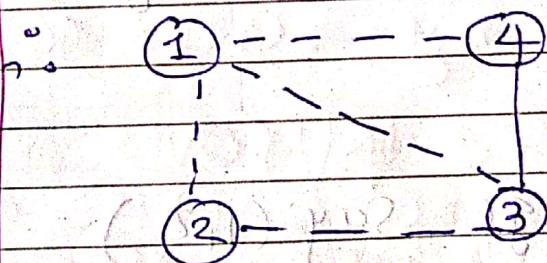
$$= 2.$$

(Ex)



$\rightarrow \text{Optimal Vertex Cover} = \{1, 3\}$

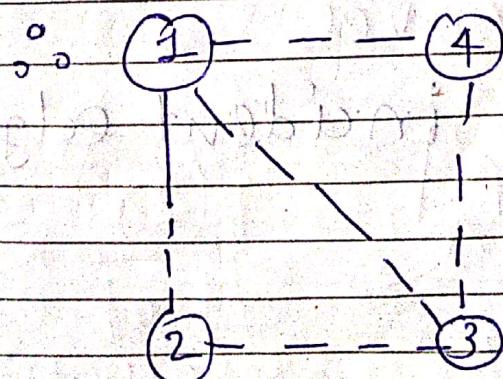
Say, Approx. Vertex Cover Selects edge $(1, 2)$ first.



$$\begin{aligned} C &= C \cup \{1, 2\} \\ &= \emptyset \cup \{1, 2\} \\ &= \{1, 2\} \end{aligned}$$

Still $C' \neq \emptyset$

\therefore we need to select $(3, 4)$



$$C = \{1, 2, 3, 4\}$$

\therefore Cost of Approx. sol is 4
Cost of Optimal sol is 2

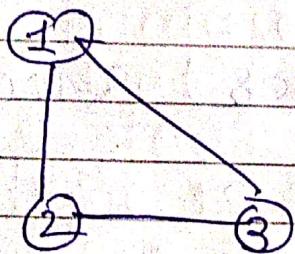
$\therefore \text{Ratio} = \frac{\text{Cost of Approx. sol}}{\text{Cost of Optimal sol}}$

$= \frac{\# \text{ of Vertices in Approx. Sol}}{\# \text{ of Vertices in Optimal sol}}$

$$= \frac{4}{2}$$

$$= 2$$

(Ex)



$$C = \{1, 2\} \text{ or } \{1, 3\} \\ \text{or } \{2, 3\}$$

Approx. algo

Pick any edge say (1,2)

$$\begin{aligned} C &= C \cup \{1, 2\} \\ &= \emptyset \cup \{1, 2\} \\ &\rightarrow \{1, 2\} \end{aligned}$$

Remove all incident edges

$$\therefore E' = \emptyset$$



Terminate

$$\therefore C = \{1, 2\}$$

$$\text{Ratio} = \frac{\text{# of Vertices in approx. soln}}{\text{# of Vertices in Optimal soln}}$$

$$= \frac{2}{2}$$

$$= 1$$

∴ Here Ratio is 1.

So, we have seen examples where Ratio is either 1 or 2.

→ Now, we shall see a proof that for the above algorithm Approximation Ratio is at most 2.

i.e. $\boxed{S \leq 2}$

Theorem: Prove that Approx. Vertex Cover is a polynomial-time 2-approx. algorithm.

Proof: Above algorithm runs in

$O(V+E)$ if we use adjacency List for E
 $O(V^2)$ if we use adj. Matrix for E.

∴ Approx. Vertex Cover is polytime algorithm.

→ Let A denote the set of edges that line 4 of approx. Vertex Cover picked.

→ In order to cover the edges in A , any vertex cover - in particular an optimal cover C^* - must include at least one endpoint of each edge in A .

→ No two edges in A share an endpoint.
Why?

Because once edge is picked, all incident edges are removed.

∴ There is no common vertex among edges selected by vertex cover.

$$\therefore |C^*| \geq |A| \rightarrow ①$$

\uparrow Number of Elements in Optimal Vertex Cover \uparrow Number of Edges Selected by algo

→ But

$$|C| = 2|A| \rightarrow ②$$

Number of Elements in Approx. Vertex Cover algo

of edges selected by algo

This is true because we take both endpoints of the selected edge in the answer.

$$\begin{aligned} |C| &\leq 2|A| \\ &\leq 2|C^*| \quad (\because |C^*| \geq |A|) \\ \Rightarrow |A| &\leq |C^*| \end{aligned}$$

$$\therefore |C| \leq 2|C^*|$$

$$\therefore \frac{|C|}{|C^*|} \leq 2$$

$\therefore \frac{\text{Cost of Approx}}{\text{Cost of Optimal}} \leq 2$

Ratio is ≤ 2

Above algo is having Ratio 2.

V

is bounded

$L \leq (V) \times H(w)$

$E \rightarrow (V) \times L(w)$

Initial state given and