# Study Guide

# Optimising Compilers @ Lunds Universitet

## **Basics**

#### Lattice

It's a partially ordered set where every pair has least upper and greatest lower bounds (supremum and infimum) which are unique. They are called the join ( $\vee$ ) and meet ( $\wedge$ ), respectively.

### Tarjan's algorithm for Strongly Connected Components

```
\underline{proc} tarjan() \equiv
   components = new Set
   dfsNumIndex = 0
  stack = new Stack
  foreach vertex v in V:
     \underline{\mathbf{if}} \ (dfsNum[v] = null) \rightarrow
           strongConnect(v)
proc strongConnect(vertex v) \equiv
   dfsNum[v] = dfsNumIndex
   lowLink[v] = dfsNumIndex
   dfsNumIndex += 1
  stack.push(v)
  foreach w in succ(v):
     \underline{\mathbf{if}} (dfsNum[w] = null) \rightarrow
           strongConnect(w)
           lowLink[v] = min(lowLink[v], lowLink[w])
     \underline{\mathbf{elif}} \; (\mathit{dfsNum}[w] < \mathit{dfsNum}[v] \land \mathit{stack.contains}(w)) \rightarrow
              lowLink[v] = min(lowLink[v], dfsNum[w])
  \underline{\mathbf{if}} (lowLink[v] = dfsNum[v]) \rightarrow
        scc = new Set
        do
             w = stack.pop()
             scc.add(w)
        while (w \neq v)
        components.add(scc)
```

## **Dominance Analysis**

## Compute dominance

## Theory

- 1.  $v \ge w$  iff every path from s to w includes v. v dominates w.
- $2. \ dom(w) = \{v \mid v \ge w\}$
- 3.  $\geq$  is a partial order relation.
- 4.  $u \ge v$  iff  $u \ge p_i$  for every  $p_i \in pred(v)$
- 5.  $dom(w) = \{w\} \cup \bigcap_{p \in pred(w)} dom(p)$

## Algorithm

```
proc computeDominance() ≡
dom[s] = \{s\}
foreach vertex v in V except s:
dom[v] = V
while some dom[v] has changed:
foreach vertex <math>v in V except s:
dom[v] = \{v\} \cup \bigcap_{p \in pred(v)} dom[p]
```

#### Lenguaer-Tarjan Algorithm (Dominator Tree)

#### Theory

- 1.  $v \gg w$  iff  $v \geq w \land v \neq w$  v strictly dominates w.
- 2.  $v \in dom(w) \land u \in dom(w) \Rightarrow u \ge v \lor v \ge u$
- 3. v = iDom(w) iff  $v \gg w \land (\forall u \mid u \gg w : v \gg u)$  v is the immediate dominator of w.
- 4. The graph of the reflexive and transitive closure of the dominance relation is a tree, called the dominator tree (DT).  $(u, v) \in DT$  iff u = iDom(v).
- 5.  $u \ge v$  iff  $dfsNum(u) \le dfsNum(v) \land dfsNum(v) \le dfsNum(u) + numberOfDescendants(u)$

6. v = sDom(w) iff v is the smallest vertex by dfsNum such that there is a path  $(v, u_1, u_2, ..., u_{k-1}, w)$  with  $dfsNum(u_i) > dfsNum(w)$ . The semidominator is frequently equal to the immediate dominator.

**Algorithm** parent is the parent in the DFS tree after calling dfs().

```
proc lenguaer Tarjan() \equiv
  emptyBuckets()
  setSdominatorsToSelf()
  dfs()
  foreach vertex v in reverse dfsNumber order:
     foreach predecessor p of v:
       u = ancestorWithLeastSdom(p)
       if (dfsNum(sDom[u]) < dfsNum(sDom[v])) \rightarrow
            sDom[v] = sDom[u]
     bucket[sDom[v]].add(v)
     ancestor[v] = parent(v)
     foreach vertex b in bucket[parent(v)]:
       remove b
       u = ancestorWithLeastSdom(b)
       \underline{\mathbf{if}} (dfsNum(sDom[u]) < dfsNum(sDom[b])) \rightarrow
            iDom[b] = u
       else
            iDom[b] = parent(v)
  foreach vertex v except s:
     \underline{\mathbf{if}} \ iDom[v] \neq sDom[v] \rightarrow
          iDom[v] = iDom[iDom[v]]
\mathbf{proc} ancestorWithLeastSdom(vertex w) \equiv
  go through the ancestor chain of w
  return ancestor with the least sDom
```

## Loop Analysis

#### **Basics**

- 1. A loop is a subgraph of the CFG that is a strongly connected component
- 2. An entry loop is a vertex with a predecessor that is not in the loop
- 3. Irreducible loops have multiple entry points

4. Reducible or natural loops only have one entry point: the loop header. It dominates all other vertices in the loop.

```
forward arc u < v

\underbrace{\operatorname{proc}_{identifyNuturalLoops}^{u}}_{identifyNuturalLoops}^{u} \underbrace{\operatorname{proc}_{ii}}_{ii} \text{ the stack}

\underbrace{\operatorname{foreach}_{identifyNuturalLoops}^{u}}_{back\ edge\ (v,w)\ of\ G}:

\underbrace{\operatorname{if}_{if}_{i}(w.dominates(v))}_{search\ backwards\ all\ paths\ from\ v\ to\ w.}

\underbrace{\operatorname{the\ visited\ vertices\ belong\ to\ the\ natural\ loop}}_{ii}
```

## **Dataflow Analysis**

#### **Basics**

- It is concerned with how a procedure uses and defines its variables and expressions
- Every assignment statement is given an index
- Local analysis discovers what happens within a vertex: its output is gen(v) and kill(v)
- Global analysis: each vertex has in(v) and out(v) in addition to gen and kill.

```
definitions reaching the beginning of v
 in(v)
 out(v)
            definitions reaching the end of v
 qen(v)
            definitions generated in v
 kill(v)
           definitions killed in v
proc reaching Defs() \equiv
   workList = vertices in reverse postorder
  while workList is not empty:
           v = workList.first()
           old = out[v]
           in[v] =
                       out[p]
                    p \in pred(v)
           out[v] = gen[v] \cup (in[v] - kill[v])
           \underline{\mathbf{if}} \ (old \neq out(v)) \rightarrow
                foreach successor s of v:
                   \underline{\mathbf{if}} (s is not in workList) \rightarrow
                         workList.add(s)
```

```
\begin{array}{l} \mathbf{proc} \ localLiveAnalysis() \equiv \\ \mathbf{foreach} \ vertex \ v: \\ \mathbf{foreach} \ statement \ s: \\ \mathbf{foreach} \ used \ variable \ x \ of \ s: \\ \mathbf{if} \ (x \not\in kill[v]) \rightarrow \\ use[v].add(x) \\ \mathbf{foreach} \ defined \ variable \ x \ of \ s: \\ \mathbf{if} \ (x \not\in gen[v]) \rightarrow \\ kill[v].add(x) \\ \\ \mathbf{proc} \ globalLiveAnalysis() \equiv \\ \mathbf{while} \ some \ in[v] \ has \ changed: \\ \mathbf{foreach} \ vertex \ v: \\ out[v] = \bigcup_{s \in succ(v)} in[s] \\ s \in succ(v) \\ in[v] = use[v] \cup (out[v] - kill[v]) \end{array}
```

# Static Single Assignment Form

- Every variable is defined as most once (statically)
- $\phi$ -functions: one argument for each predecessor at some join vertices

#### **Dominance Frontiers**

#### Theory

1.  $DF(v) = \{ w \mid (\exists p \mid p \in pred(w) : v \geq p \land v \gg w) \}$  the dominance frontier of vertex v

the set of vertices w such that v dominates a predecessor of w, but does not strictly dominate w.

if v dominates a predecessor of w but does not strictly dominate w, then w is in the dominance frontier of v.

2. 
$$DF_{local}(v) = \{ w \in succ(v) \mid v \gg w \}$$

3. 
$$DF_{up}(v) = \{ w \in DF(v) \mid iDom(v) \gg w \}$$

4. 
$$DF(v) = DF_{local}(v) \cup \bigcup_{w \in children(v)} DF_{up}(w)$$

5. 
$$DF_{local}(v) = \{w \in succ(v) \mid iDom(w) \neq v\}$$

6. 
$$DF_{up}(v) = \{w \in DF(v) \mid iDom(w) \neq v\}$$

### Algorithm

```
proc dominanceFrontiers() ≡
foreach vertex v in a postorder traversal of DT:
dominanceFrontier[v] = new Set
foreach vertex w in succ(v):
if (iDom(w) \neq v) \rightarrow
dominanceFrontier[v].add(w)
foreach vertex w in children(v):
foreach vertex u in dominanceFrontier[w]:
if (iDom(u) \neq v) \rightarrow
dominanceFrontier[v].add(u)
```

## Insertion of $\phi$ -functions

## Theory

- 1. Two paths  $p = (v_0, ..., v_X)$  and  $q = (w_0, ..., w_Y)$  converge at u iff
  - $a) v_0 \neq w_0$
  - b)  $v_X = w_Y = u$
  - c)  $(u_i = v_i) \Rightarrow i = X \lor j = Y$
- 2. Given a set A of vertices, the join of A (J(A)) is the set of all vertices w such that there are two distinct vertices u and v in A with paths which converge at w.
- 3.  $J_{i+1}(A) = J(A \cup J_i(A)), J^+(A)$  is the fixed-point.
- 4. DF can be applied to sets of vertices, mapping DF over all the vertices of its argument.
- 5.  $DF_{i+1}(A) = DF(A \cup DF_i(A))$ , and  $DF^+(A) = J^+(A)$ .
- 6. The set of vertices which need  $\phi$ -functions for any variable v is the iterated dominance frontier  $DF_+(A)$ , where A is the set of vertices with assignment statements to v.

## Algorithm

```
\underline{\mathbf{proc}} \ insert_{-}\phi() \equiv
  iteration = 0
  foreach vertex v:
     hasAlready[v] = 0
     work[v] = 0
   W = new Set
  foreach variable x:
     iteration += 1
     foreach vertex v \in A(x):
        work[v] = iteration
        W.add(v)
     while (W \text{ is not empty}):
             v = take vertex from W
             foreach w in DF(v):
                \underline{\mathbf{if}} (hasAlready[w] < iteration) \rightarrow
                     place x = \phi(x, ..., x) at w
                     hasAlready[w] = iteration
                     \underline{\mathbf{if}} \ (work[w] < iteration) \rightarrow
                           work[w] = iteration
                          W.add(w)
proc renameVariables() \equiv
  foreach variable x:
     C[x] = 0
     S[x] = new Stack
  search(s)
                   // s = the start vertex
```

```
proc search(vertex v) \equiv
  oldLHS = new\ List
  foreach assignment statement s in v:
    foreach variable x in RHS(s):
      i = S[x].top()
      replace use of x by use of x_i
    foreach variable x in LHS(s):
      oldLHS.add(x)
      i = C[x]
      replace x by x_i
      S[x].push(i)
       C[x] += 1
  foreach w in succ(v):
                                          // first, second, ... ?
    j = which predecessor is v to w?
    foreach \phi-function in w:
      i = S[x].top()
      replace use of the j-th operand in RHS(\phi) by use of x_i
  foreach w in children(v):
    search(w)
    // pop every variable version pushed in v
    foreach variable x in oldLHS(s):
      S[x].pop()
```

# SSA Optimisation

## Copy propagation

```
int x;
int t;
t = a + b;
x = t;
is translated into...
int t;
t = a + b;
```

- It considers all copy statements such as x = t in a procedure and propagates the source by replacing uses of the destination by uses of the source instead.
- If the destination is no longer used anywhere, it can be removed.

- When SSA form is not used, copy propagation cannot be performed without limitations: careful about redefinitions. One can use iterative dataflow analysis.
- Consider x = t and an expression x + y to which we want to propagate t. On SSA form, it is always legal to propagate t by replacing uses of x with uses of t. Recall that at any use of a variable, there is exactly one reaching definition of such a variable and the definition of the variable dominates its use.
- Copy propagation can be performed during variable renaming: when the statement is a copy statement x = t, the original renaming algorithm replaces t with the top of the stack of t, say t', and then replaces x with a new version of x which is pushed on stack x. The new copy statement becomes x' = t'. To do copy propagation during renaming, we simply push t' on the stack of x and delete the copy statement.

```
\begin{array}{l} \mathbf{proc} \ copy() \equiv \\ \mathbf{foreach} \ \ variable \ x \colon \\ \mathbf{if} \ (def[x] \ is \ move \ \land \ source[def[x]] \ is \ var) \rightarrow \\ y = source(def(x)) \\ \mathbf{foreach} \ \ use \ u \ of \ x \colon \\ \mathbf{replace} \ x \ by \ y \\ add \ u \ to \ uses[y] \\ \mathbf{remove} \ def[x] \ from \ the \ program \\ \mathbf{remove} \ x \ from \ the \ program \end{array}
```

#### Copy propagation

- Simplifies expressions with compile-time constant operands. It can change conditional branches to unconditional and delete code.
- Initially only the start vertex is known to be executable.
- CFG arcs on a worklist: it contains arcs which have been discovered to possibly be eecutable.
- At  $\phi$ -functions, only values from operands corresponding to CFG arcs marked as executable are inspected.  $\phi$ -functions can become constant.
- A lattice is used with three element types,  $\top$ ,  $\bot$  and  $c_i$ .

- Initially, each lattice cell is  $\top$ , the unknown value. If any of the source operands is  $\bot$ , the result becomes  $\bot$ , if both are constants the result becomes constant. Otherwise, the result remains  $\top$ .
- The first time a vertex is visited, all statements are interpreted. Subsequent visits to the vertex only need to interpret the  $\phi$ -functions.

```
proc constantPropagation() \equiv
   foreach definition d:
      value[d] = \top
   foreach vertex v:
      visited[v] = false
   visitVertex(s)
   while (arcWorkList \neq \emptyset \lor ssaWorkList \neq \emptyset):
            \underline{\mathbf{if}} (arcWorkList \neq \emptyset) \rightarrow
                   arc = arkWorkList.first()
                  \underline{\mathbf{if}} \ (\neg executable[arc]) \rightarrow
                         executable[arc] = true
                         visitVertex(head(arc))
            \underline{\mathbf{if}} (ssaWorkList \neq \emptyset) \rightarrow
                  t = ssaWorkList.first()
                   visitStatement(t)
\mathbf{proc}\ visitVertex(v) \equiv
   onlyPhi = visited[v]
   visited[v] = true
   foreach statement s in w:
      if (onlyPhi \wedge s is not \phi) \rightarrow
            continue
      visitStatement(s, v)
```

```
\mathbf{proc} visitStatement(vertex v, statement s) \equiv
  statementType = statementType(s)
  <u>if</u> (statement Type is unconditional branch) \rightarrow
        arcWorkList.add(v, succ(v))
  elif (statement Type is conditional branch) \rightarrow
          add appropriate arcs depending on what is known
          about the operands
  elif (statementType is add, mul, etc) \rightarrow
          left = value of the first source operand
          right = value of the second source operand
          result = what can be determined from left and right
          if (result < value[s]) \rightarrow
               add uses of destination of s to ssaWorkList
                value[s] = result
  elif (statement Type is a \phi-function) \rightarrow
          result = \top
          foreach p \in pred(v):
             \underline{\mathbf{if}} \ (executable[(p,v)]) \rightarrow
                  value = value of \phi-function operand for p
                  result = infimum(result, value)
          \underline{\mathbf{if}} \ (result < value[s]) \rightarrow
               add uses of destination of s to ssaWorkList
                value[s] = result
```

## **Dead Code Elimination**

#### Control dependence

- Removes useless statements: a statement is useless if it cannot affect program output in any way
- First remove unreachable code: DFS from the start vertex and delete all unvisited vertices
- Early DCE algorithms: live variable analysis to remove assignments to local variables which have no use
- Other optimisations on SSA form frequently produce useless code

- New idea: statements which can directly affect output are prelive and are marked as live, then a search from their operands mark additional statements as live. These statements are put in prelive:
  - 1. Writes to global variables and through pointers
  - 2. Function calls to I/O routines
  - 3. Function calls with unknown side-effects
  - 4. Return statements
- When a statement is marked as live, all multiway branches which directly control whether that statement will be executed should also be marked as live.

## Theory

- 1. The reverse control flow graph: s and e have switched roles.
- 2. Postdominance,  $\leq$ , is equivalent to dominance in the RCFG.
- 3. Control dependence is equivalent to dominance frontiers in the RCFG.
- 4. A vertex v is control dependent on  $w \in CD^{-1}(v)$  if v postdominates a successor of w but does not strictly postdominate w:  $CD^{-1}(v) = \{ w \mid (\exists s \in succ(w) \mid : v \leq s \land v \leq w) \}$
- 5.  $w \in CD^{-1}(v)$  in the CFG iff  $w \in DF(v)$  in the RCFG

## Algorithm

```
\begin{array}{l} \mathbf{proc} \ compute Control Dependence() \equiv \\ build \ RCFG \\ compute \ RDT \\ compute \ RDF \\ \hline \mathbf{foreach} \ \ vertex \ v \colon \\ CD[u] = new \ Set \\ \hline \mathbf{foreach} \ \ vertex \ v \colon \\ \hline \mathbf{foreach} \ \ vertex \ w \ in \ RDF(v) \colon \\ CD[w].add(v) \end{array}
```

### Dead code elimination on SSA Form

eliminateDeadCode will return a CFG that consists of some vertices that are live and some that are not. Then, simplify will connect the live blocks and delete the others.

```
proc eliminateDeadCode() \equiv
   foreach statement s:
      \underline{\mathbf{if}} (s is prelive) \rightarrow
            live[s] = true
             workList.add(s)
     \underline{\mathbf{else}}
            live[s] = false
   while (workList \neq \emptyset):
            s = workList.first()
            v = vertex(s)
            live[v] = true
            foreach source operand \omega of s:
                t = def(\omega)
                \underline{\mathbf{if}} \ (\neg live[t]) \rightarrow
                      live[t] = true
                      workList.add(t)
            foreach vertex v \in CD^{-1}(vertex(s)):
                t = multiway branch of v
               if (\neg live[t]) \rightarrow
                      live[t] = true
                      workList.add(t)
   foreach statement s:
      \underline{\mathbf{if}} \ (\neg live[s] \land s \ \text{is not a label} \land s \ \text{is not a branch}) \rightarrow
            delete s
   simplify()
```

```
\mathbf{proc} \ simplify() \equiv
   live[e] = true
   change = false
  foreach vertex v in CFG:
     if (\neg live[v]) \rightarrow
          continue
     foreach w \in succ(v):
       if (live(w)) \rightarrow
             continue
        u = iPdom(w)// inmediate postdominator
        replace (v, w) with (v, u)
        update the branch in v to its new target u
        change = true
  \underline{\mathbf{if}} (change) \rightarrow
        delete vertices from CFG which have become unreachable
        update dominator tree
```

## Instruction scheduling

Its purpose is to improve performance by reducing the number of pipeline stalls suffered during execution. The module of the optimiser which does this is the instruction scheduler, and it tries to remove pipeline stalls by changing the order of instructions in a basic block, for example. It is usually done before register allocation. Additional registers are needed to hold values when there are unrelated instructions between a producer and a consumer of a value (register pressure). It needs an instruction level data dependency graph.

The most fundamental instruction scheduling technique is **list scheduling**, and schedules one basic block at a time. First, an instruction level data dependency graph is built, based on the definition and uses of storage resources, e.g. variables, registers.

- def(r) is the instruction which most recently modified r while scanning backwards, or  $\bot$ .
- uses(r) is the set of instructions which use the current value of r.

## Register allocation

If it is done one basic block at a time, it is called **local register allo**cation. One of the best approaches is to model it as graph coloring. An undirected graph, the interference graph is constructed with live ranges as nodes and with an edge between the two nodes if the corresponding live ranges are live at the same time anywhere in the procedure. The graph should be colored with K colors (number of registers). One can decide to decide that certain variables must reside in memory (spilling).

- The interference graph is built
- The number of neighbors of a node is its degree
- Suppose K colors are available, and a node n has a degree less than K. If we were to remove n and the resulting graph is colorable with K colors, then the original graph is also colorable with K colors.
- The allocator keeps looking for a node n with fewer than K colors, removes it from the graph and pushes it into a stack. This is repeated until either the graph is empty or no node with degree less than K is found, in which case a node is removed from the graph and its variable is spilled.
- When the graph has become empty, one node n at a time is popped from the stack and reinserted into the graph. Then, a color which is not used by any neighbor of n is chosen and n is allocated this color.
- Register coalescing resembles copy propagation.

# **ADVANCED - SSA Optimisation**

### Partial Redundancy Elimination (PRE)

#### **Basics**

- Attempt to calculate expressions only once
- Partial redundancy: one of the expressions is inside an if-else
- Case examples

```
2. if (condition)
      x = a * b
  y = a * b
  is translated into...
  if (condition) {
      t = a * b
     x = t
  }
  else
  t = a * b
  y = t
3. do {
     x += a * b
  while (x < y)
  is translated into...
  t = a * b
  do {
     x += t
  while (x < y)
```

```
4. do {
       x += a * b
  while (x < y)
  is translated into...
  t = a * b
  do {
      x += t
  }
  while (x < y)
5. if (condition) {
      // some code
       y = x + 4
  else {
      // other code
  z = x + 4
  is translated into...
  if (condition) {
       // some code
       t = x + 4
       y = t
  }
  else {
       // other code
       t = x + 4
  }
  z = t
```

- Loop improvement
- Global value numbering can optimise code that PRE cannot
- Common subexpression elimination and code motion of loop invariants are subsumed by PRE