

III. Physikalisches  
Institut A

RWTHAACHEN  
UNIVERSITY

# Experimental Techniques in Particle Physics (WS 2020/2021)

## Particle Identification

Prof. Alexander Schmidt

# schedule

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- the time slots of the GEANT4 course on
    - Thursday 4th February and
    - Thursday 11th February
- will be used for the ongoing exercise (CMS data analysis tutorial). We will use the usual zoom link of the lecture:  
<https://rwth.zoom.us/j/94827607276?pwd=RGYvRzdUbWhzNFZOb0szSUpDOXQ4UT09>
- the last lecture will be Tuesday 9th February (topic: “some example applications and alternative particle detection techniques”)
  - the written exam (Klausur) will take place **as planned** on 16. March at 14:00
  - the planned location for the exam is still the physics lecture hall at Campus Melaten (28D001)
  - we will notify you through Email (through moodle) in case location or date need to be changed
  - the regulations may change on short notice 😞
  - **you must be registered for the exam in RWTH online**

# motivation for particle ID

- without knowing the type of particle, we don't know its mass
- without the mass, we can't determine the full four-vector, and therefore not reconstruct decay chains
- methods for particle ID:

## topological

- geometrical matchings
- event topology
- global properties of events
- not through a dedicated detector interaction

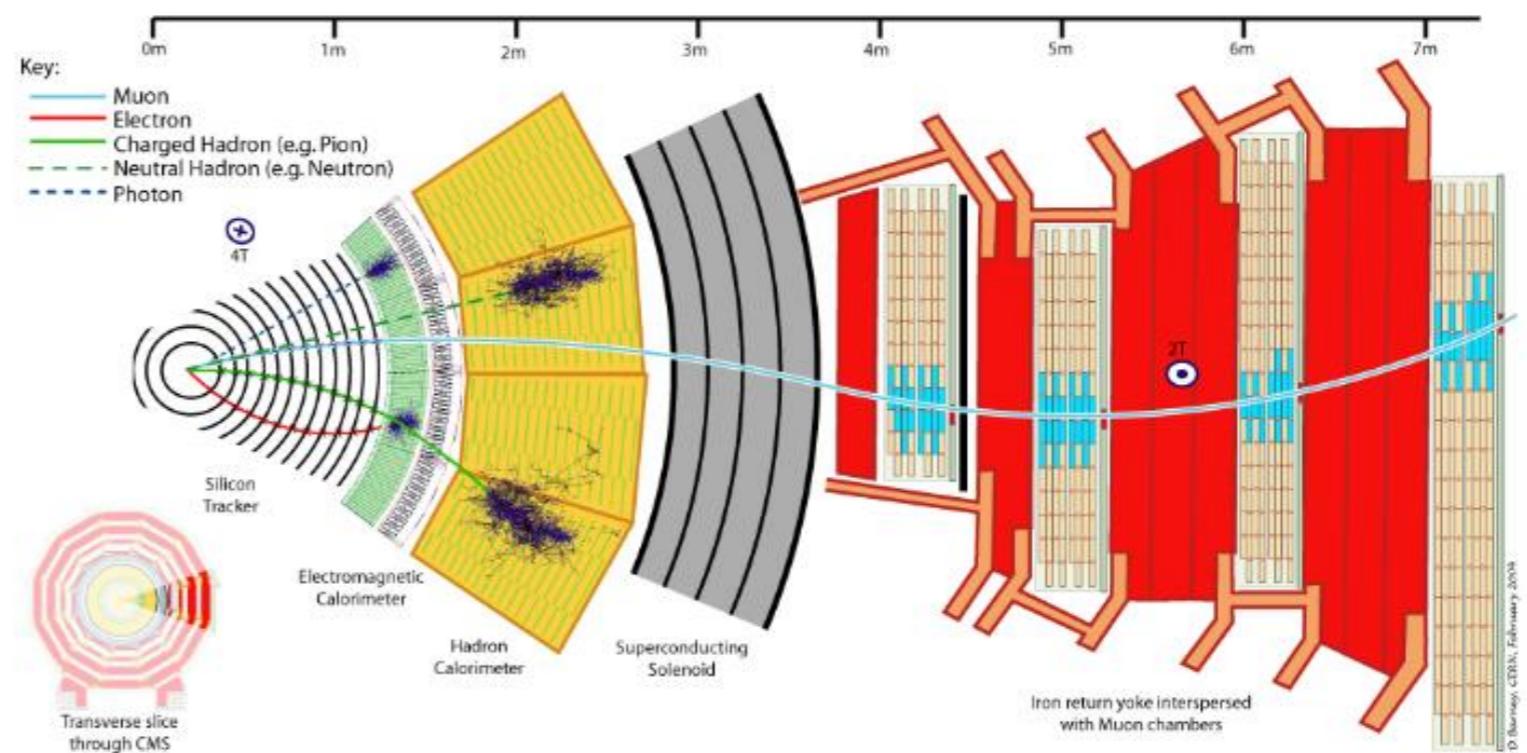
## by means of certain properties

- time of flight
- ionisation properties
- Cerenkov radiation
- transition radiation
- these proceed through dedicated detector interactions
- these are understood as the classical “PID” methods

# Particle Identification:

Multi-purpose detectors

- Tracking chamber
- Calorimeters
- Muon chamber



CMS-Collaboration

→ Identification of

- Photon: no track, electromagnetic cluster in calorimeters
- Electron: track, electromagnetic cluster in calorimeters
- Hadron: track, hadronic cluster in calorimeters
- Muon: track in muon chamber

But no distinction between pion, kaons and protons

} all topological

The identification of these hadrons is here called PID („Particle ID“)

In other detectors (e.g. neutrino detectors) also the PID of other particles is needed (e.g. electron-muon distinction)

# basic ingredients

All methods for the PID of charged particles use their mass

Mass determination is possible by measuring two kinematic variables

Example:  $p$  and  $\beta$

$$\Rightarrow m = p \sqrt{\frac{1}{\beta^2} - 1}$$

## 1. Momentum

Always one of the two kinematic parameters.

$$\frac{\sigma_p}{p} \propto p \quad \text{Resolution } \sim 1\% \text{ at } 10 \text{ GeV}$$

Energy?  $mc^2 = \sqrt{E^2 - p^2 c^2}$

But calorimetric measurements  
much too unprecise for this purpose

## 2.a Velocity $\beta$

Usefull as long as particle is non relativistic:

- Time of flight:  $\Delta t \propto 1/\beta$
- Specific ionisation:  $dE/dx \propto 1/\beta^2$
- Cerenkov radiation:  $\cos \theta_c \propto 1/\beta$

## 2.b Lorentz boost $\gamma$

For relativistic particles

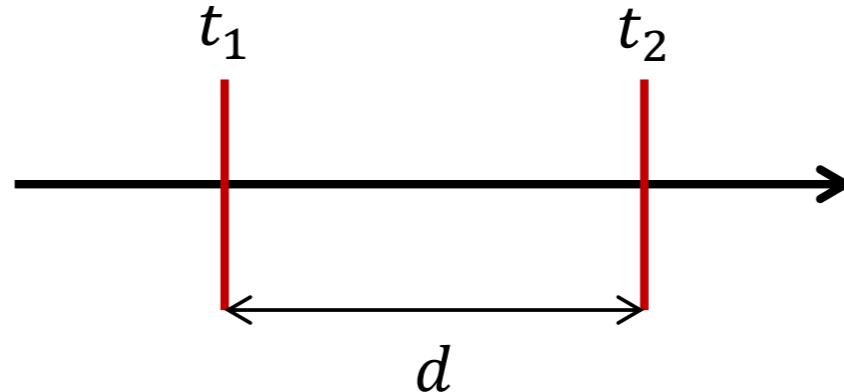
- Specific ionisation:  $dE/dx \propto \ln \gamma$
- Transition radiation:  $E_\gamma \propto \gamma$

# time of flight

Time-of-flight measurement to determine  $\beta$

Precision proportional  
to detector distance

$$\frac{\sigma_t}{t} \propto d$$



In collider experiments often only one counter, because start time known from bunch crossing

Very good time resolution of detectors needed:

- Plastic scintillators
- Resistive plate chambers (RPC)  
→ large area gas filled detectors in streamer mode

# Measurement of particle velocity

- Time of flight

Measure signal time difference between two detectors with good time resolution [start and stop counter]

- Typical detectors:

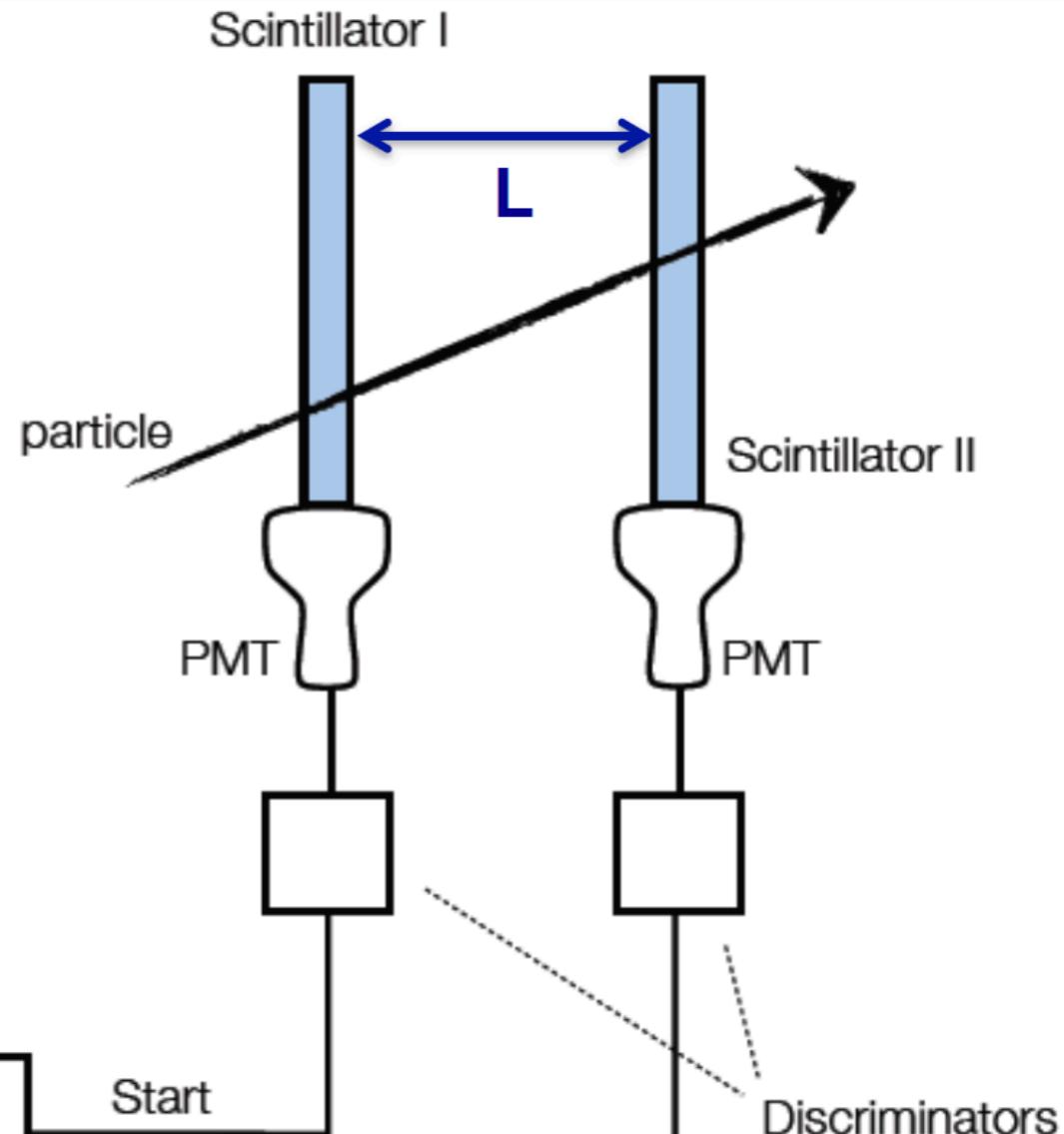
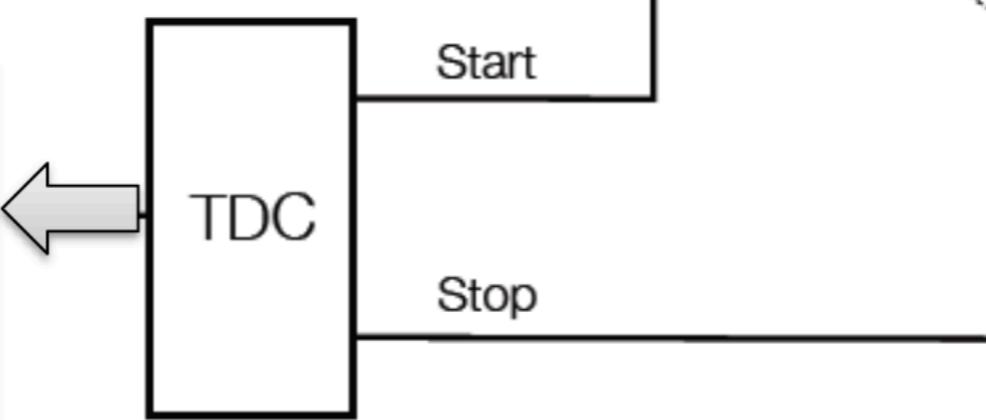
Scintillation counter + photodetector  
time resolutions ~50-100 ps (r/o at both ends of the scintillator bar)

Resistive Plate Chamber (RPC)

not sensitive to B, time resolutions ~30-50 ps  
cost effective solution for large surfaces

$$\Delta t = t_2 - t_1 = \frac{L}{c\beta}$$

Multi-channel analyzer  
 $t_1, t_2$



# Time-of-Flight method

Distinguishing particles with ToF:  
[particles have same momentum p]

$$\begin{aligned}\Delta t &= L \left( \frac{1}{v_1} - \frac{1}{v_2} \right) = \frac{L}{c} \left( \frac{1}{\beta_1} - \frac{1}{\beta_2} \right) \\ &= \frac{L}{pc^2} (E_1 - E_2) = \frac{L}{pc^2} \left( \sqrt{p^2 c^2 + m_1^2 c^4} - \sqrt{p^2 c^2 + m_2^2 c^4} \right)\end{aligned}$$

Relativistic particles,  $E \simeq pc \gg m_i c^2$ :

$$\Delta t \approx \frac{L}{pc^2} \left[ \left( pc + \frac{m_1^2 c^4}{2pc} \right) - \left( pc + \frac{m_2^2 c^4}{2pc} \right) \right]$$

$$\Delta t = \frac{Lc}{2p^2} (m_1^2 - m_2^2)$$

Example:

Pion/Kaon separation ...  
[ $m_K \approx 500 \text{ MeV}$ ,  $m_\pi \approx 140 \text{ MeV}$ ]

Assume:

$p = 1 \text{ GeV}$ ,  $L = 2 \text{ m}$  ...

Particle 1 : velocity  $v_1$ ,  $\beta_1$ ; mass  $m_1$ , energy  $E_1$   
Particle 2 : velocity  $v_2$ ,  $\beta_2$ ; mass  $m_2$ , energy  $E_2$

Distance  $L$  : distance between ToF counters

For  $L = 2 \text{ m}$ :

Requiring  $\Delta t \gtrsim 4\sigma_t$  K/π separation possible  
up to  $p = 1 \text{ GeV}$  if  $\sigma_t \approx 200 \text{ ps}$  ...

Cherenkov counter, RPC :  $\sigma_t \approx 40 \text{ ps}$  ...

Scintillator counter :  $\sigma_t \approx 80 \text{ ps}$  ...

$$\begin{aligned}\rightarrow \Delta t &\approx \frac{2 \text{ m} \cdot c}{2 (1000)^2 \text{ MeV}^2/c^2} (500^2 - 140^2) \text{ MeV}^2/c^4 \\ &\approx 800 \text{ ps}\end{aligned}$$

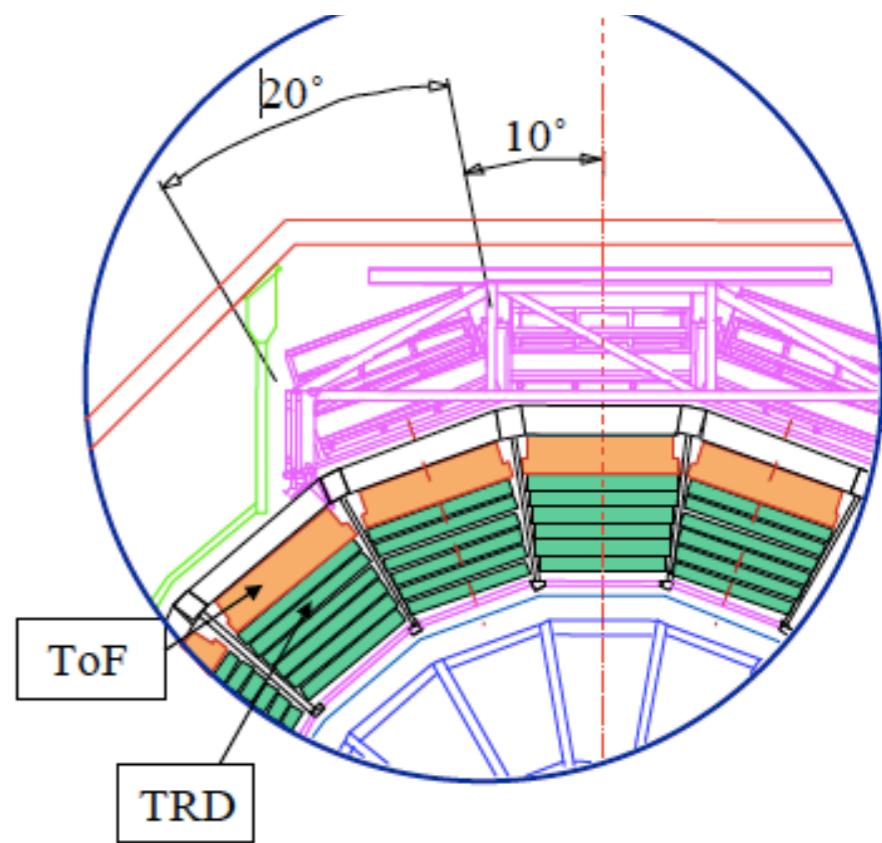
# TOF detector - ALICE

ALICE Multi Resistive Plate Chamber

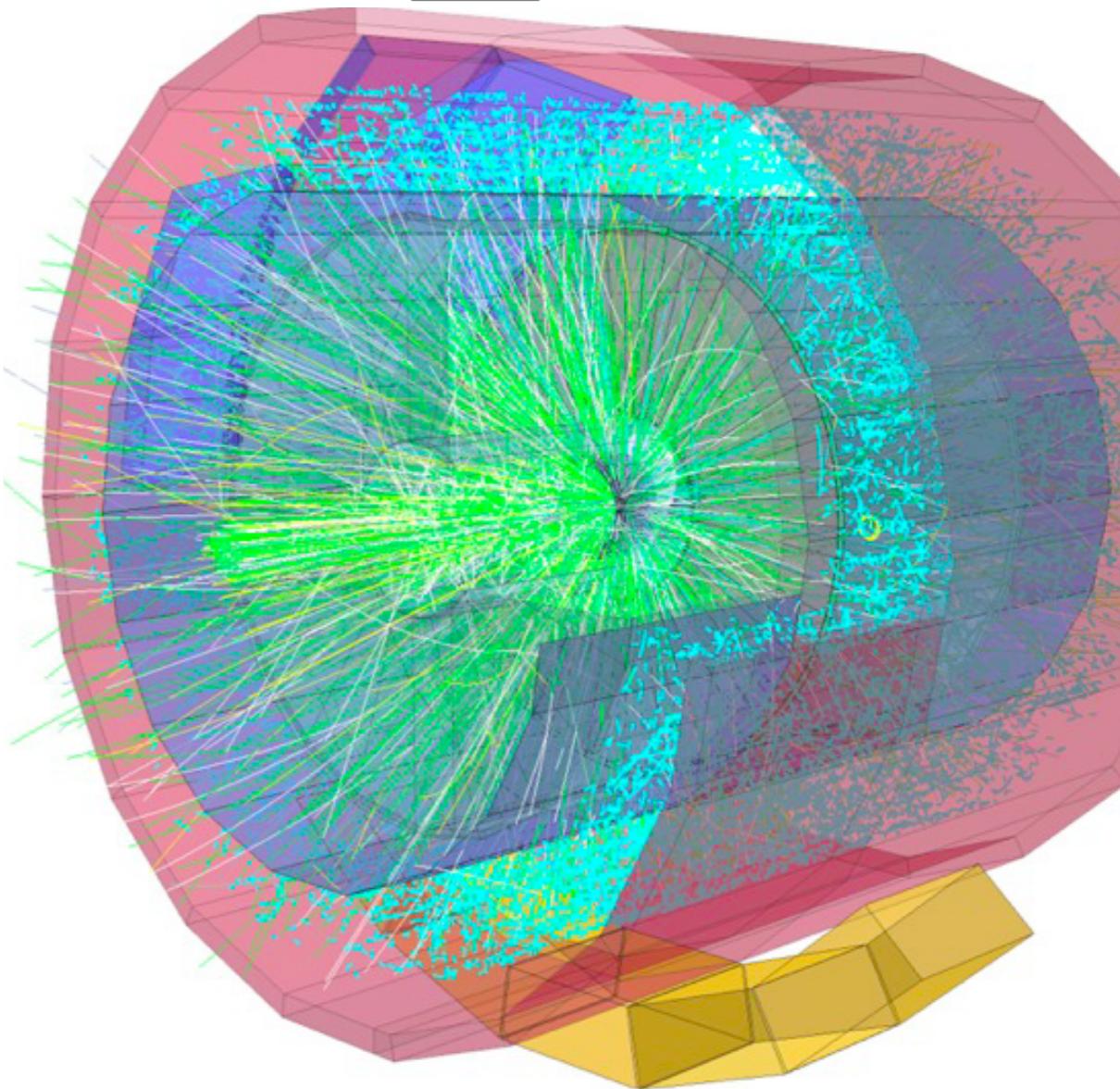
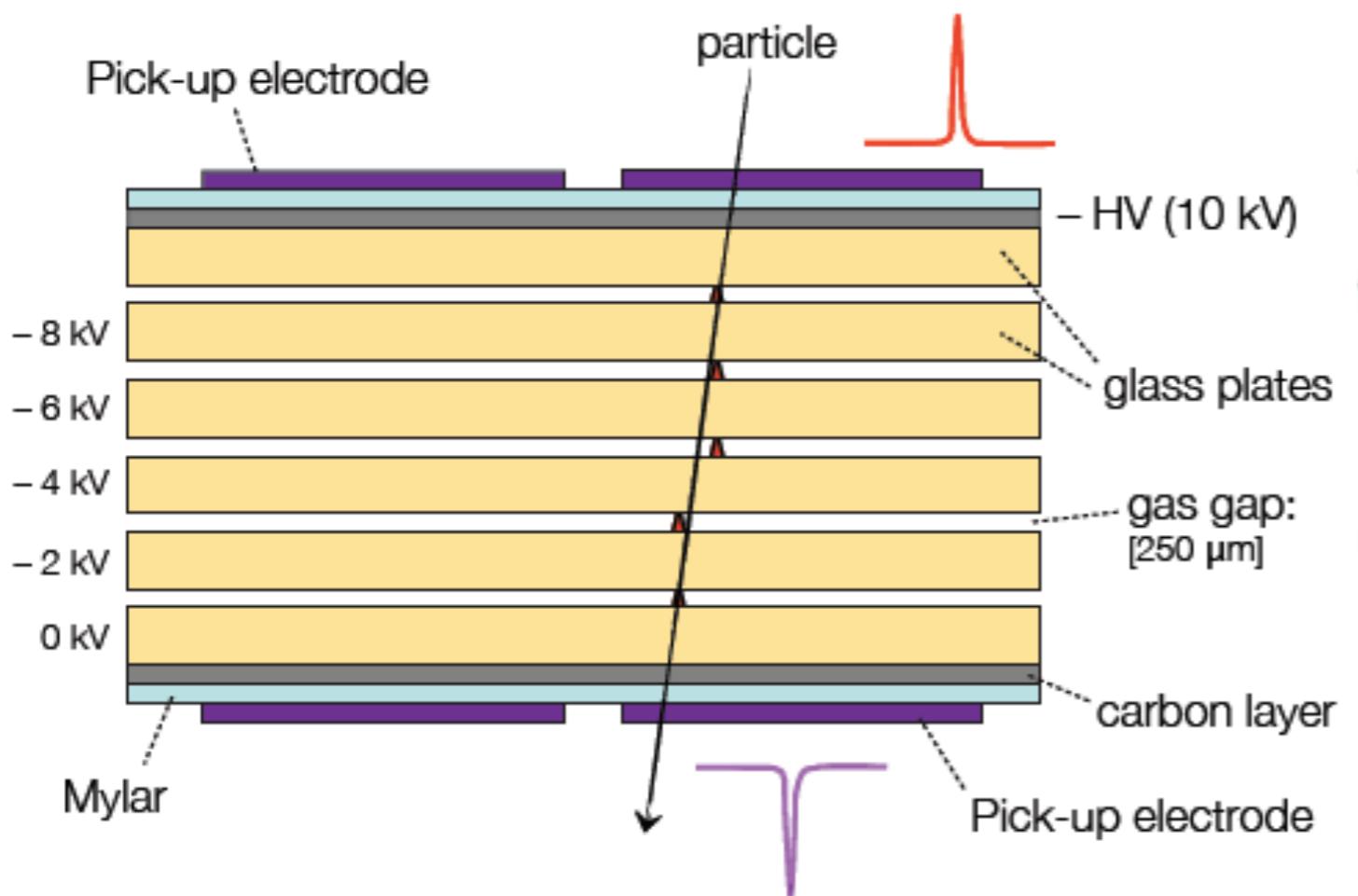
[Time-of-Flight System]

Particle ID in high multiplicity environment

- ToF with very high granularity and coverage of full ALICE barrel
- Gas detector is only choice!



## Multi Resistive Plate Chamber



## Specific Ionisation $dE/dx$ to determine $\beta$

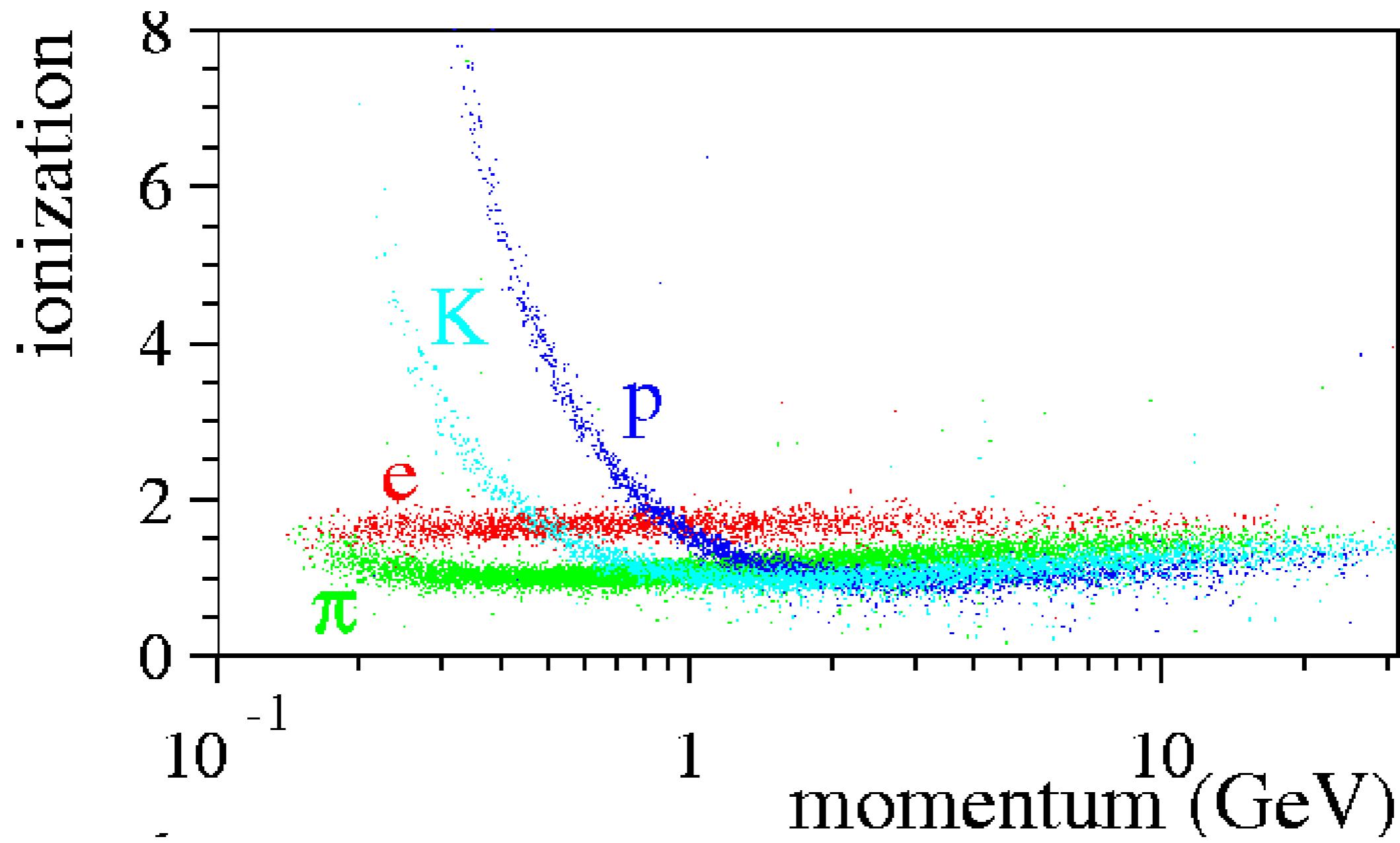
$$\frac{dE}{dx} \propto \frac{1}{\beta^2} \quad (\text{non-relativistic region})$$

- Measured with gas ionisation detectors
- Measure mean energy loss, but take care of Landau fluctuations!
  - For one track measure  $\frac{dE}{dx}$  several times (30-300x)
  - Extract  $\langle \frac{dE}{dx} \rangle$  from the measured distribution
  - „Truncated Mean“: Remove measurements with high values
    - i.e.  $\delta$ -electrons and Landau tails
- Precision for argon:

$$\sigma \approx 10\% \sqrt{\frac{100}{N}} \sqrt{\frac{1 \text{ m}}{l}} \sqrt{\frac{1 \text{ bar}}{p}}$$

$N$ : Measurements ( $\lesssim 100$ )  
 $l$ : Track length  
 $p$ : Pressure

ALEPH

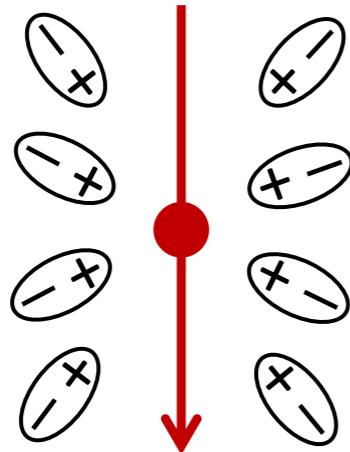


## Cerenkov Radiation to determine $\beta$

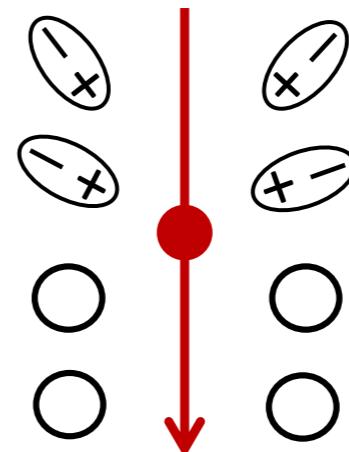
The speed of the propagation of light in water is only  $0.75c$ . Matter can be accelerated beyond this speed easily.

A charged particle passes a medium faster than the speed of light in this medium

$$v < \frac{c}{n}$$



$$v > \frac{c}{n}$$



Symmetric  
charge distribution

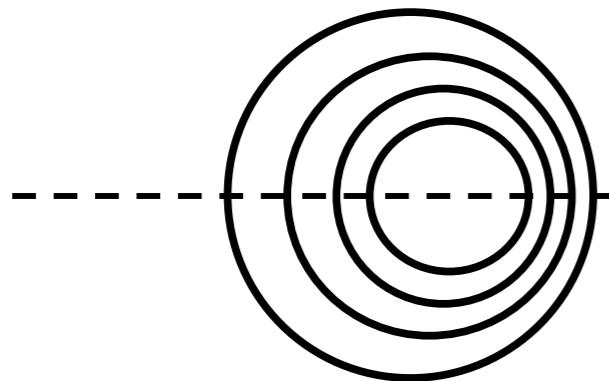
- Dipol moment vanishes
- No radiation

Asymmetric  
charge distribution

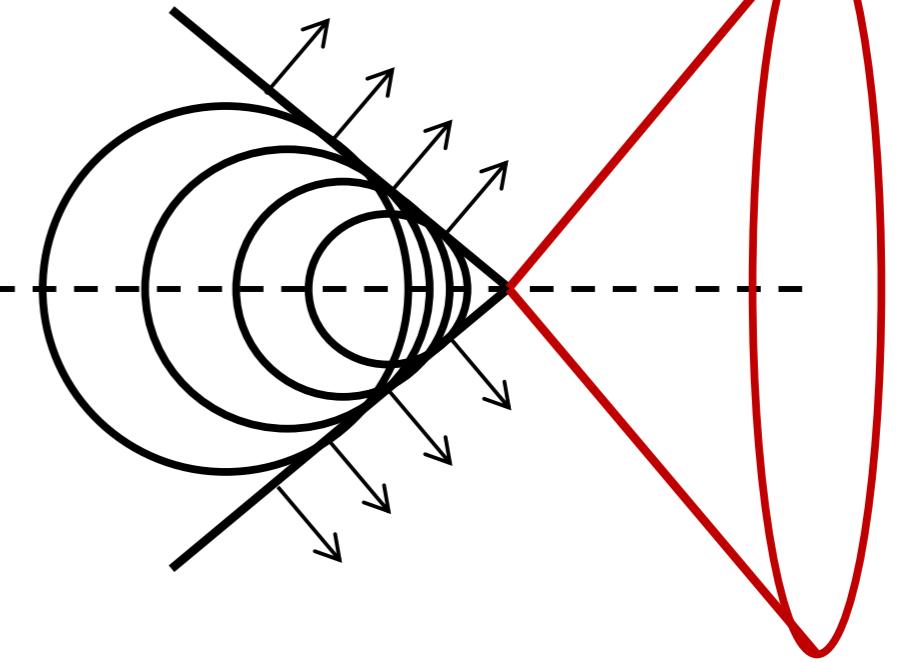
- Moving dipol moment
- Radiation of Cerenkov light

## Cerenkov radiation

$$v < \frac{c}{n}$$

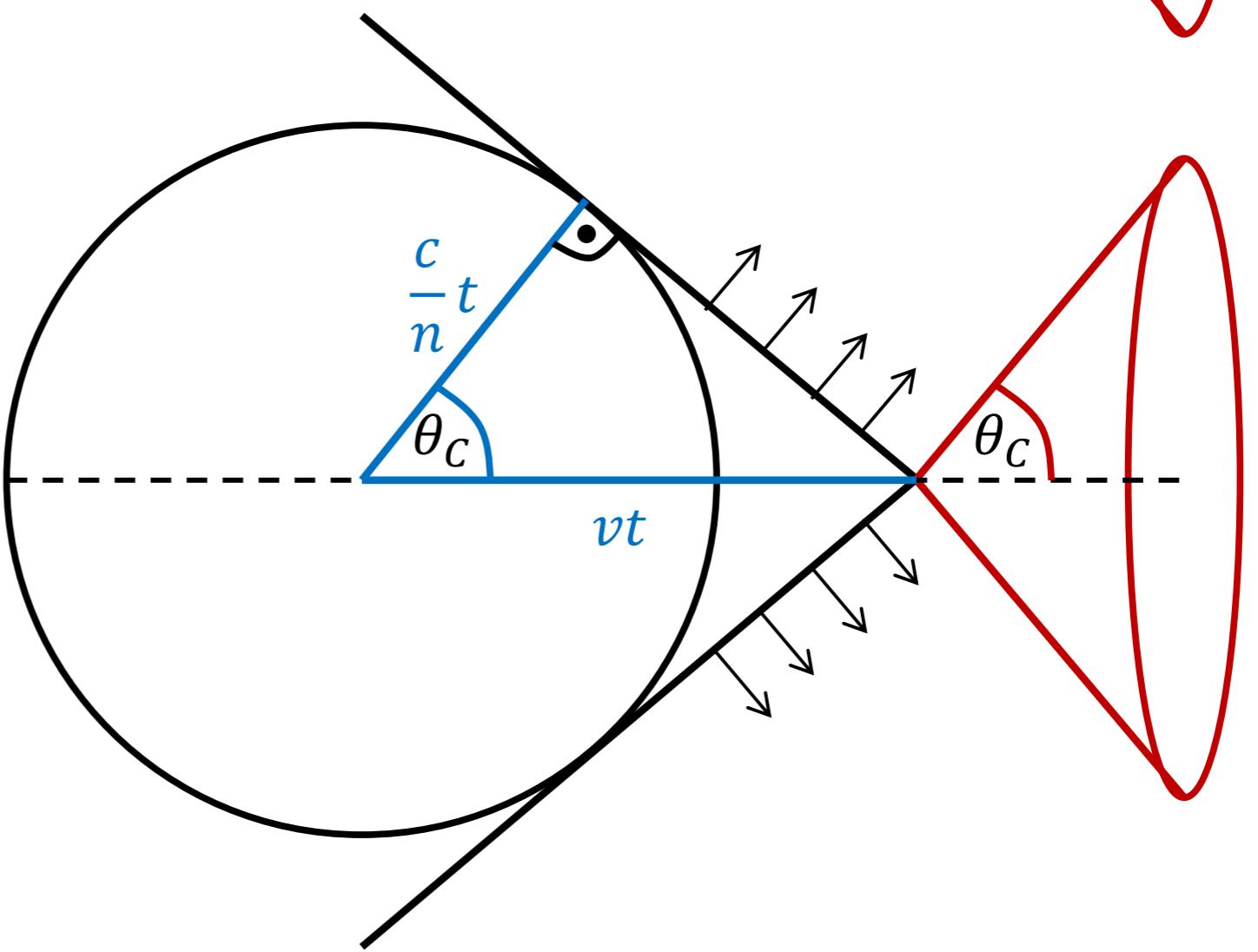


$$v > \frac{c}{n}$$



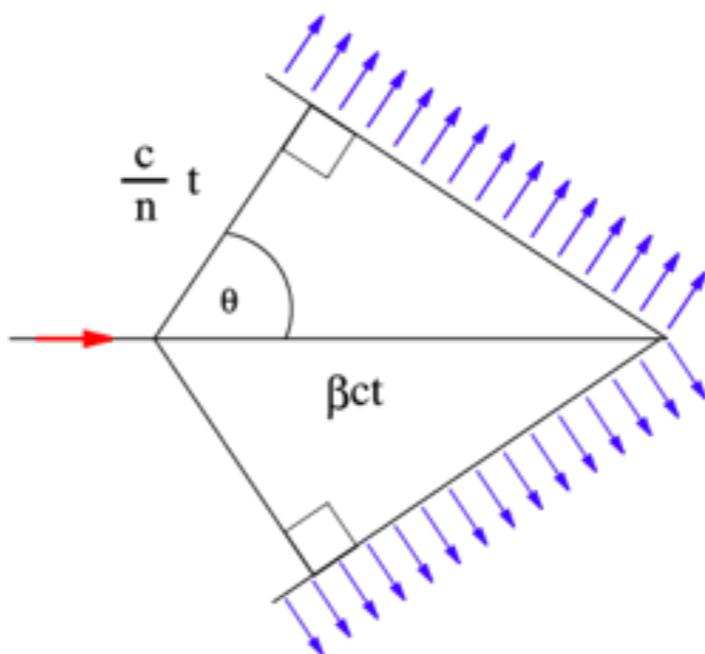
$$\cos \theta_C = \frac{c}{n} \frac{t}{vt} = \frac{c}{n v} = \frac{1}{\beta n}$$

Light cone with  
half opening angle  $\theta_C$



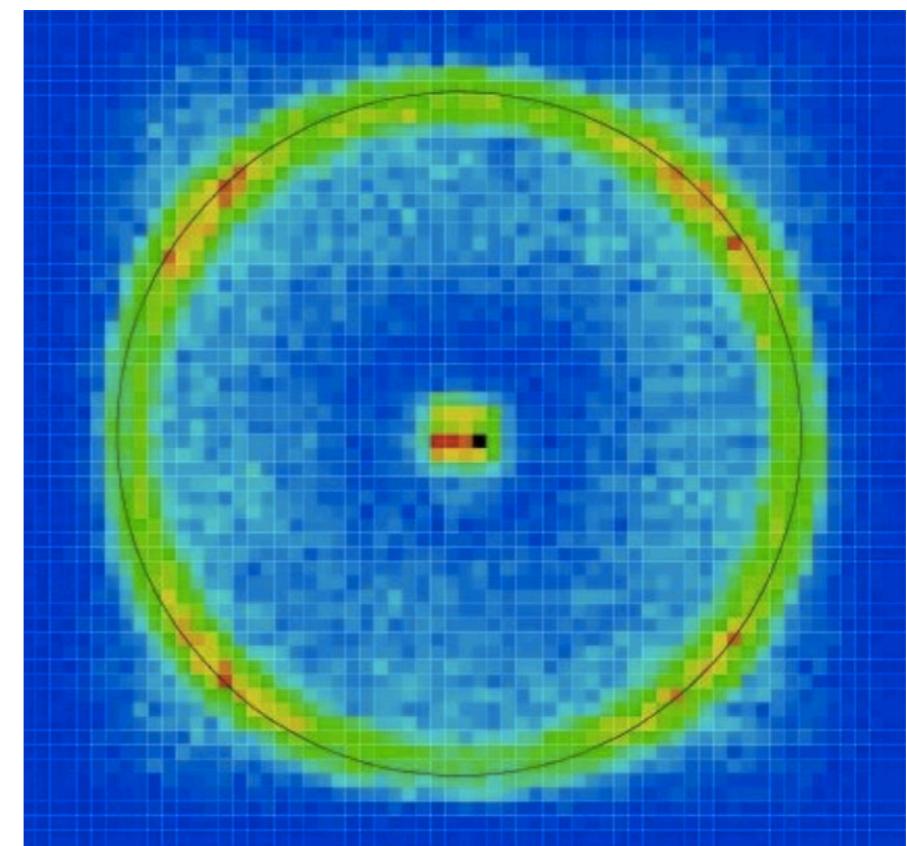
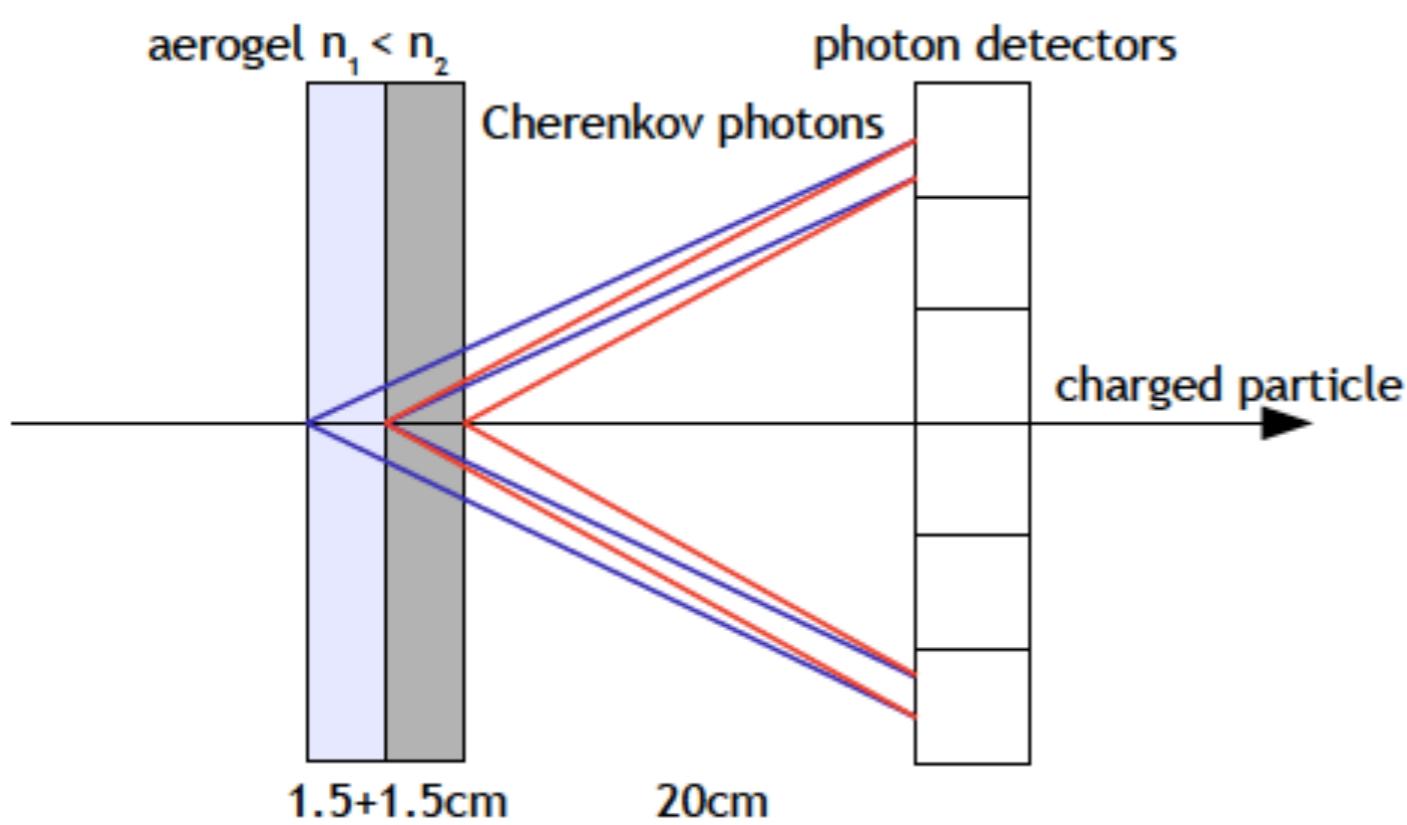
# Measurement of particle velocity

- Cherenkov angle

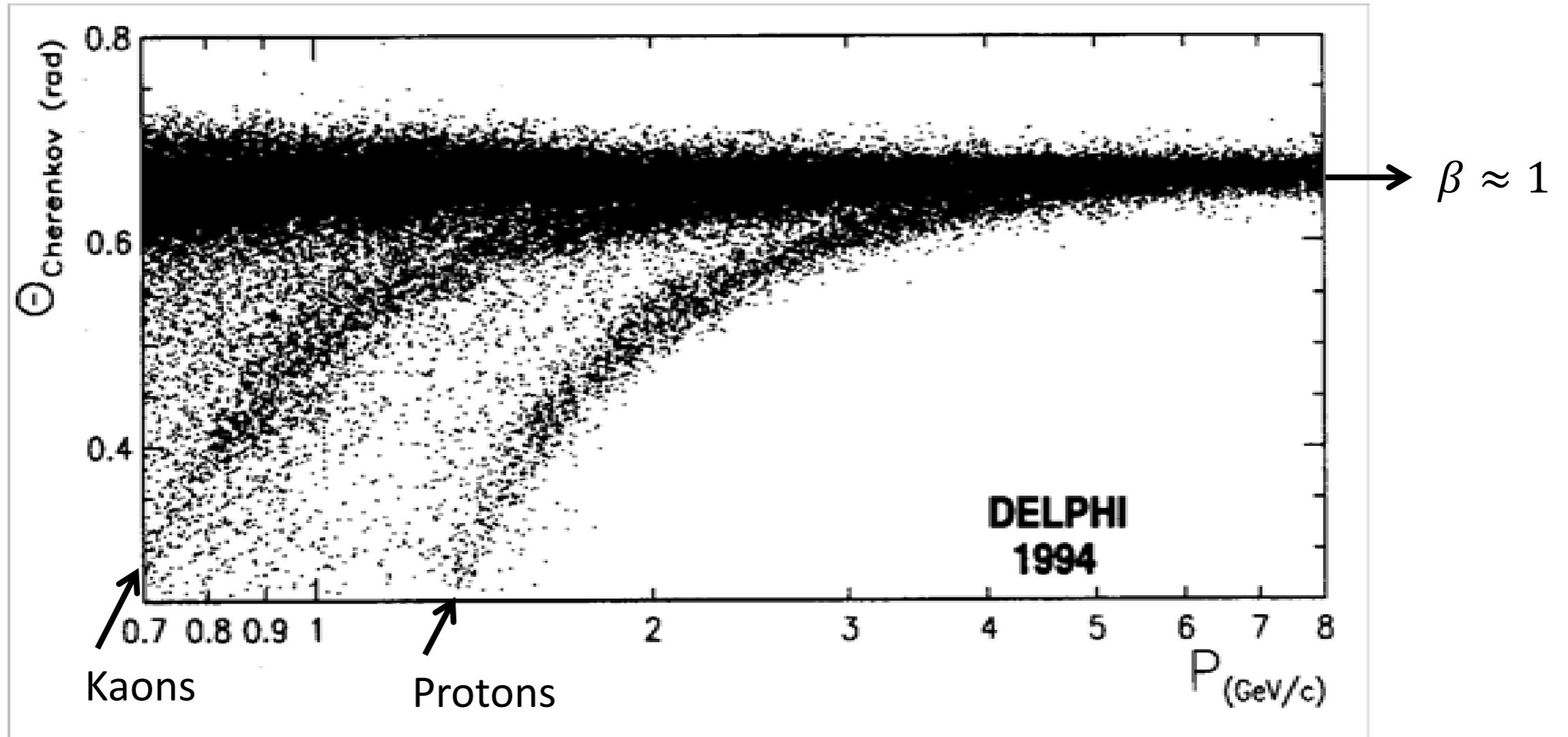


$$v_{th} \geq \frac{c}{n} \Rightarrow \beta_{th} \geq \frac{1}{n}$$

$$\cos \theta_c = \frac{1}{n\beta}$$



- Diameter of ring  $\rightarrow$  Cerenkov angle  $\theta_C \rightarrow \beta$



$$\cos \theta_C = \frac{1}{\beta n} \Rightarrow \cos 0.68 = \frac{1}{n} \Rightarrow n \approx 2$$

# Cherenkov detectors

Determination of  $\beta$  from ring radius:

$$\beta = \frac{1}{n \cos(2r / R_s)}$$

$R_s$  : radius of spherical mirror

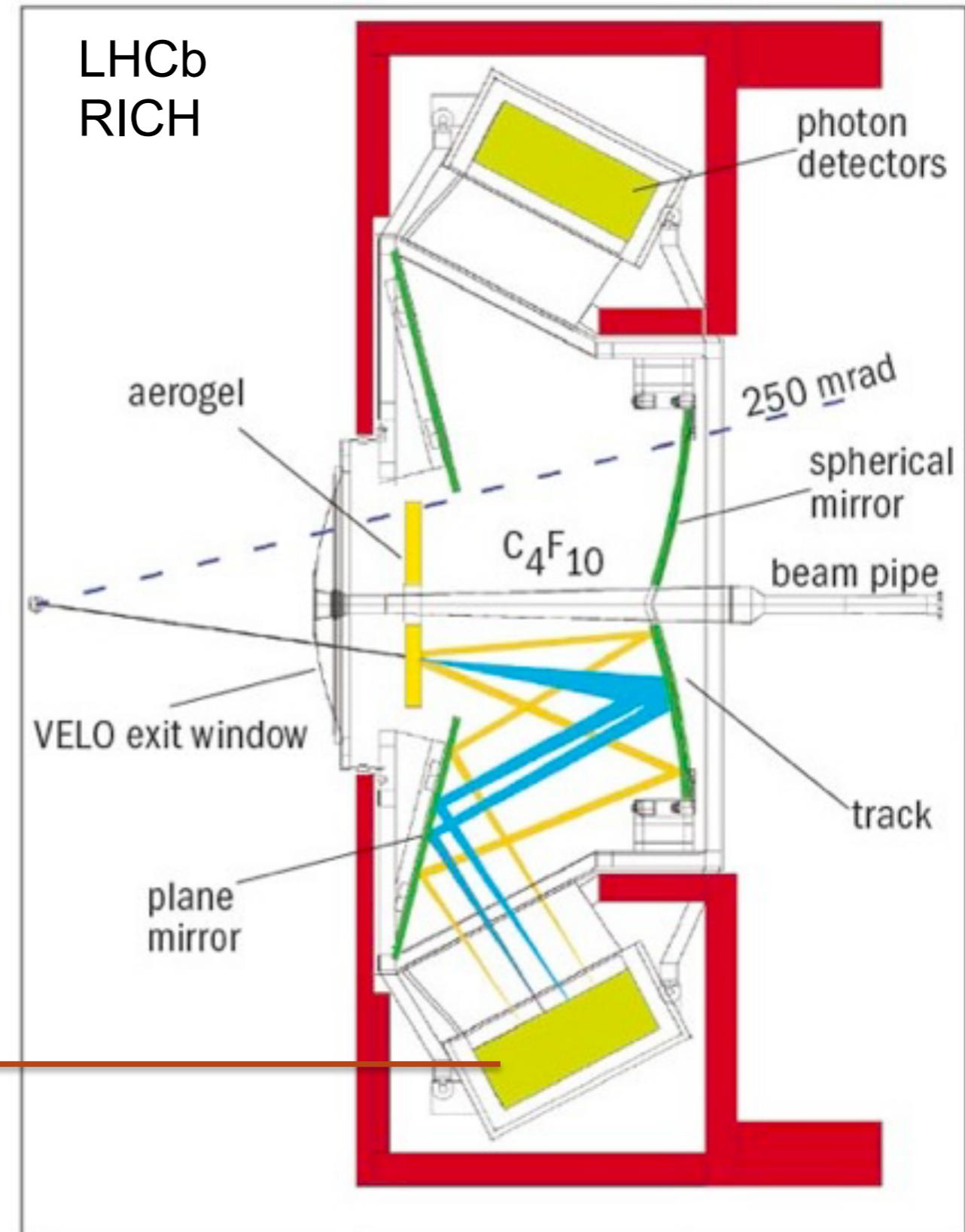
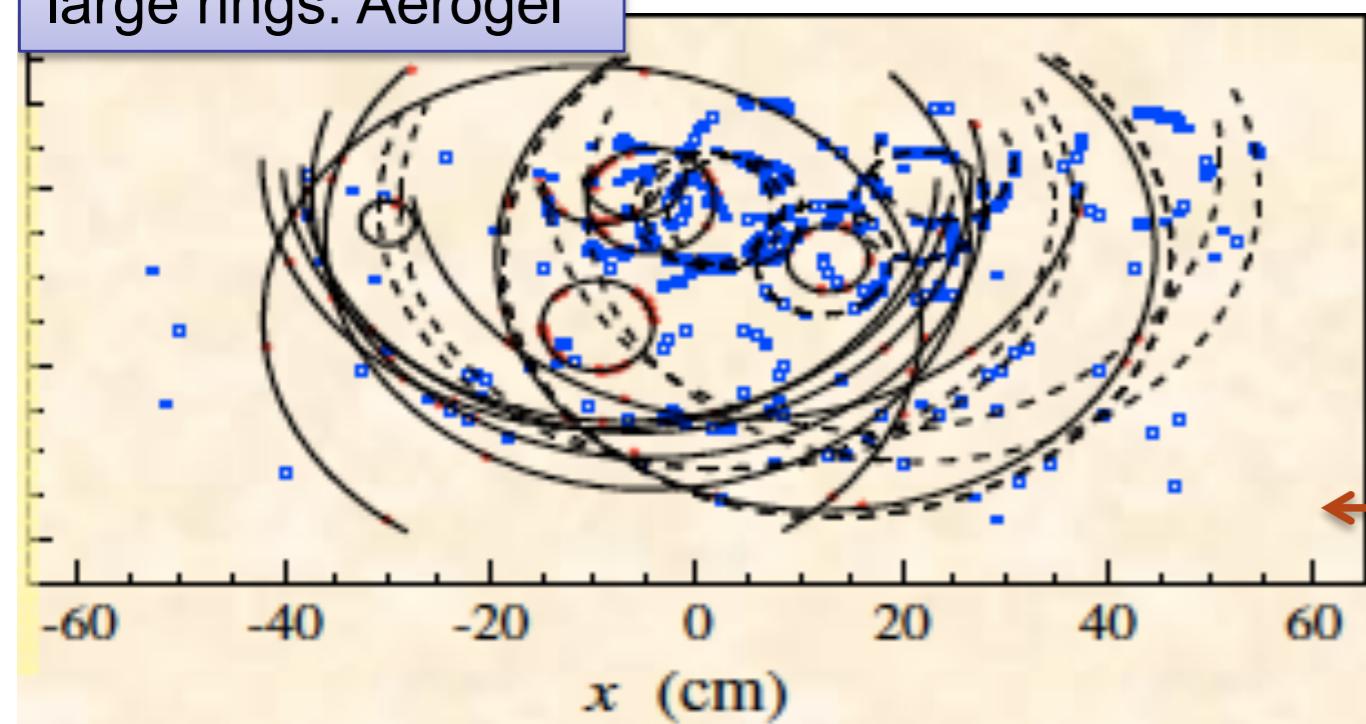
RICH (Ring Imaging Cherenkov Counter)

DIRC (Detection of Internally Reflected Cherenkov Light)

DISC (special DIRC; e.g. Panda)

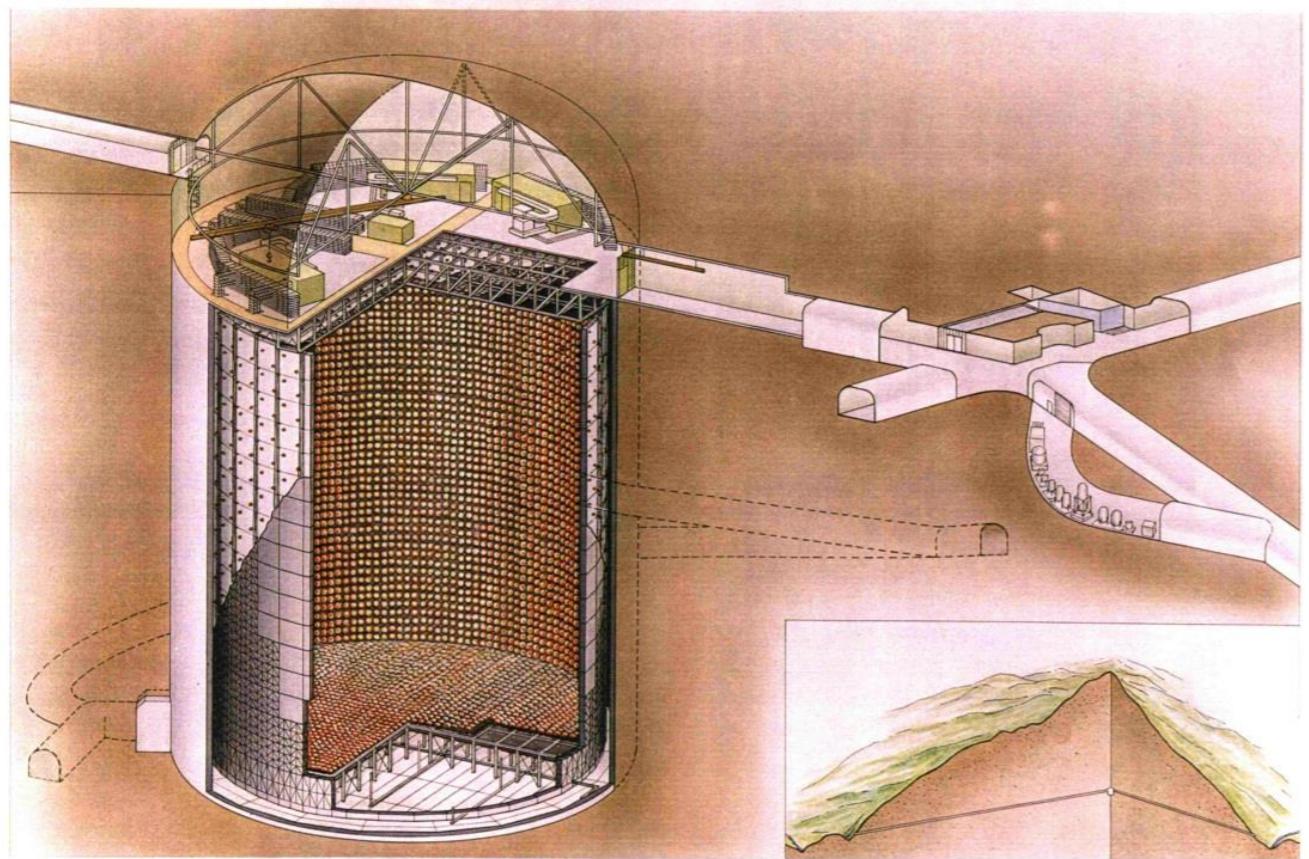
small rings:  $C_4F_{10}$

large rings: Aerogel

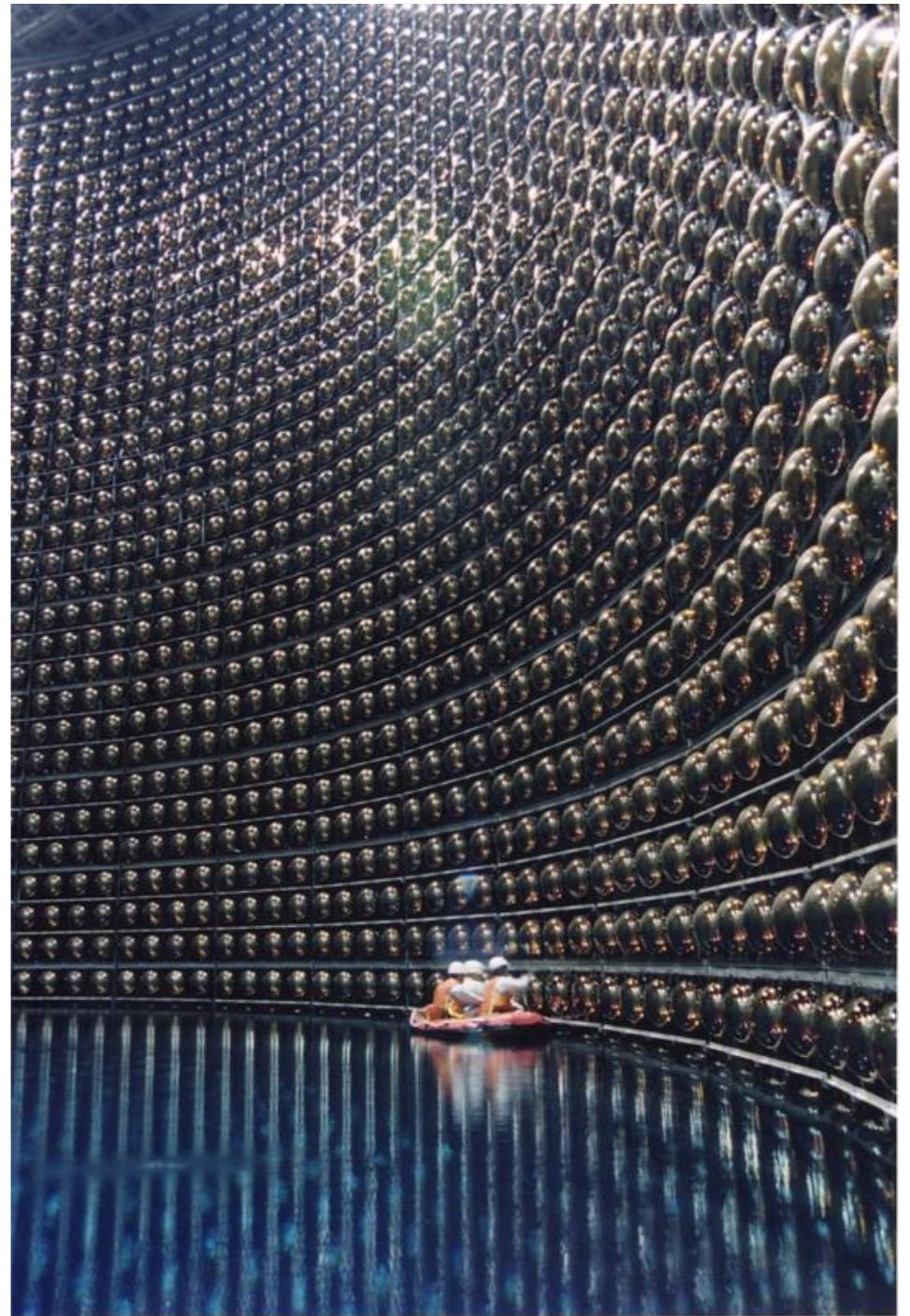


## Insertion: Cherenkov cones in neutrino detectors

Super-Kamiokande is a 50,000 ton water Cherenkov detector, with 11,000 photomultiplier tubes, which started observation in 1996 after 5 years of construction



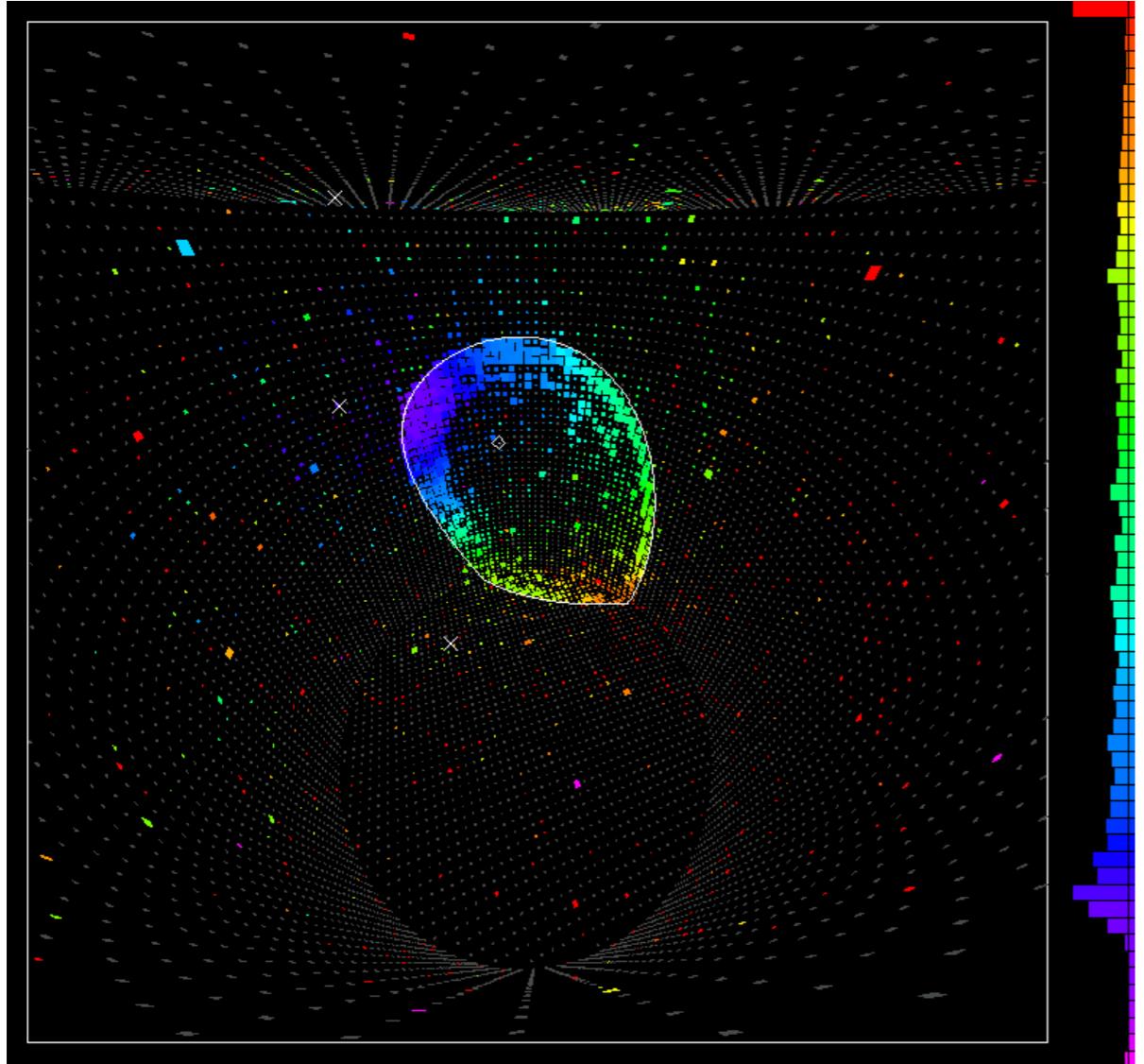
SUPERKAMIOKANDE INSTITUTE FOR COSMIC RAY RESEARCH UNIVERSITY OF TOKYO



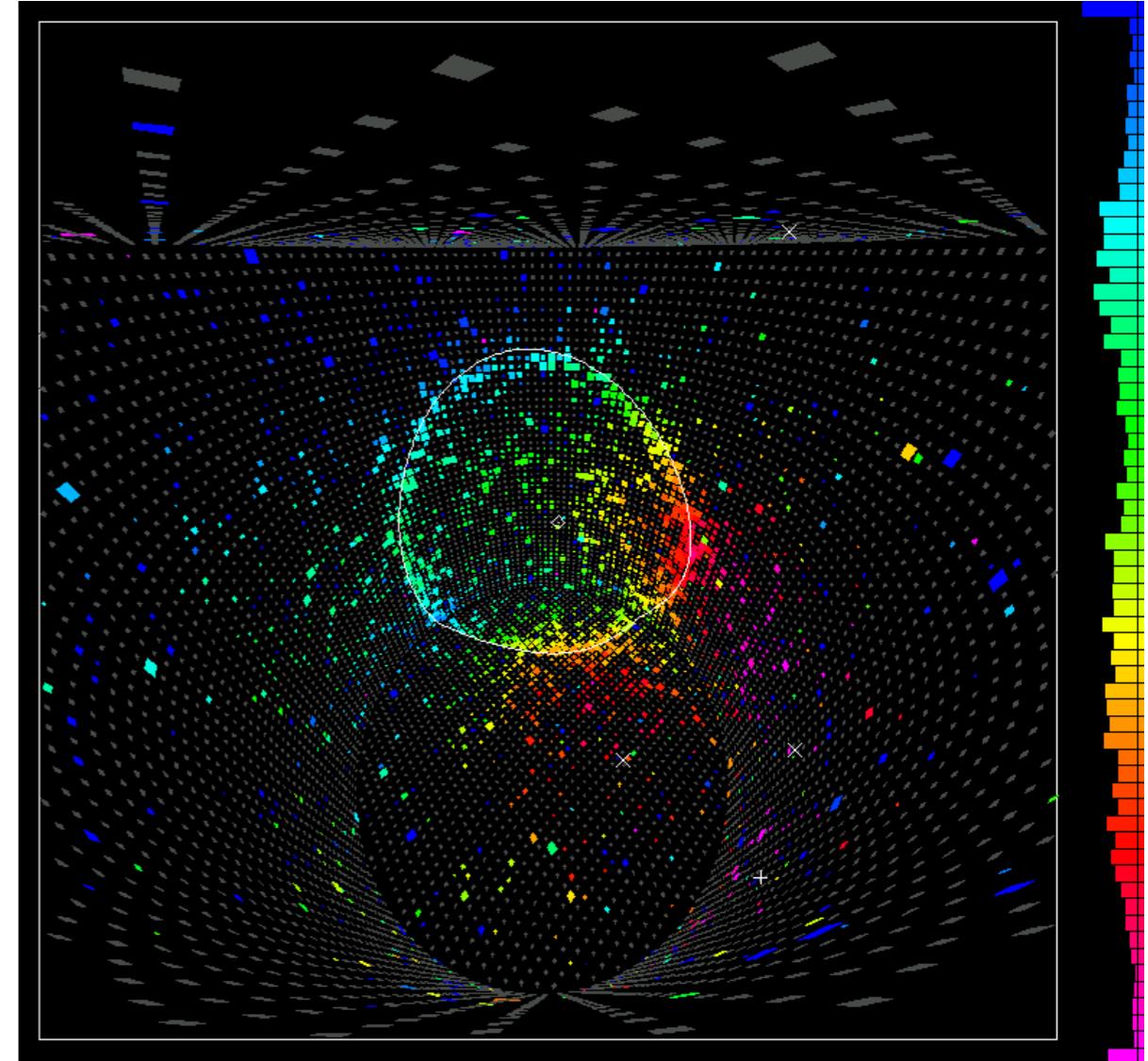
NIKKEN SEKKEI  
PHOTO: TOSHIO KAWABE

## Insertion: Cerenkov cones in neutrino detectors

Muon



Electron



- Rings on tank walls, because particle tracks very short (otherwise discs)
- Sharp ring for muons  $\leftrightarrow$  fuzzy ring for electrons due to bremsstrahlung
- Different kind of particle ID!  $\rightarrow$  Has nothing to do with a measurement of  $\beta$

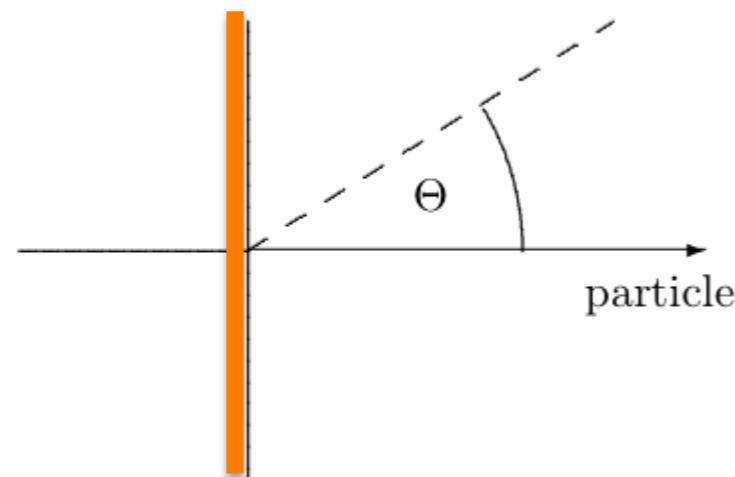
## Transition radiation (TR)

is a form of electromagnetic radiation emitted when a charged particle passes through inhomogeneous media, such as a boundary between two different media. This is in contrast to Cherenkov radiation, which occurs when a charged particle passes through a homogeneous dielectric medium at a speed greater than the phase velocity of electromagnetic waves in that medium.

Since the electric field of the particle is different in each medium, the particle has to "shake off" the difference when it crosses the boundary. The total energy loss of a charged particle on the transition depends on its Lorentz factor  $\gamma = E/mc^2$  and is mostly directed forward, peaking at an angle of the order of  $1/\gamma$  relative to the particle's path.

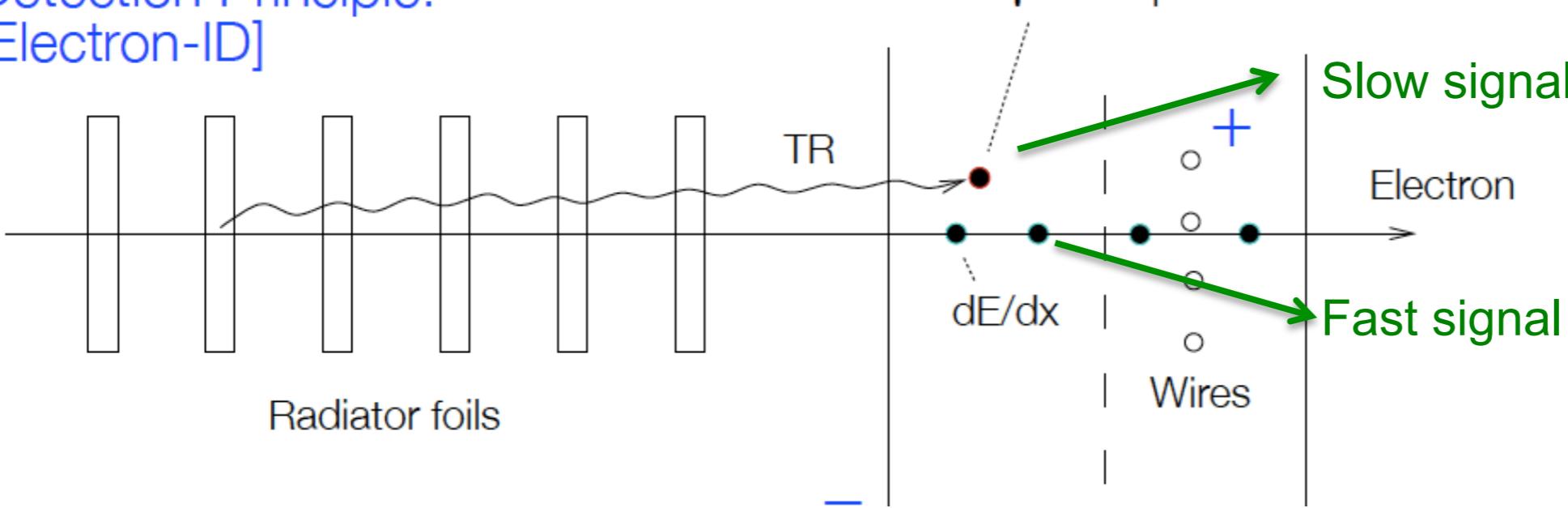
# Measurement of particle velocity

- Transition radiation



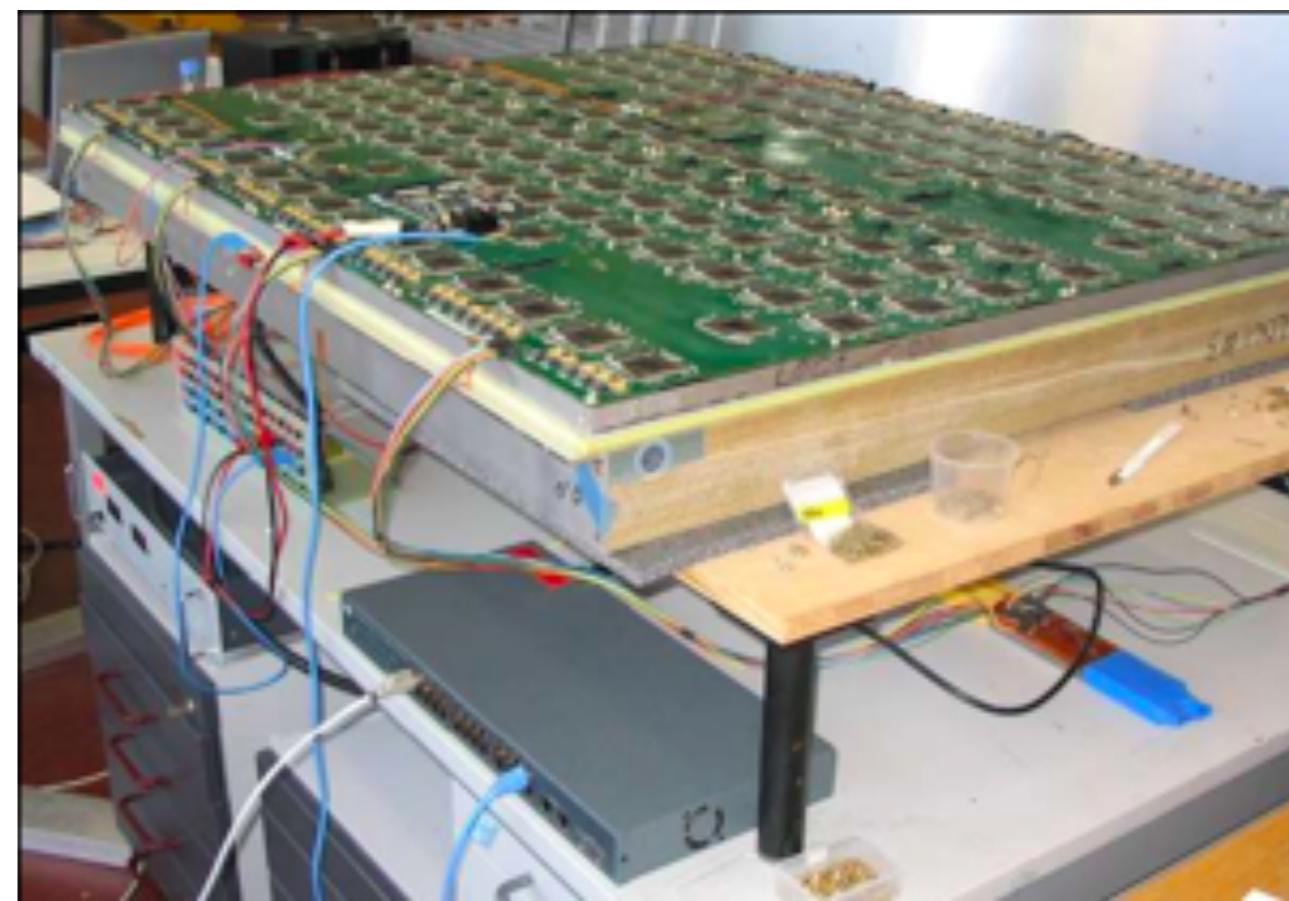
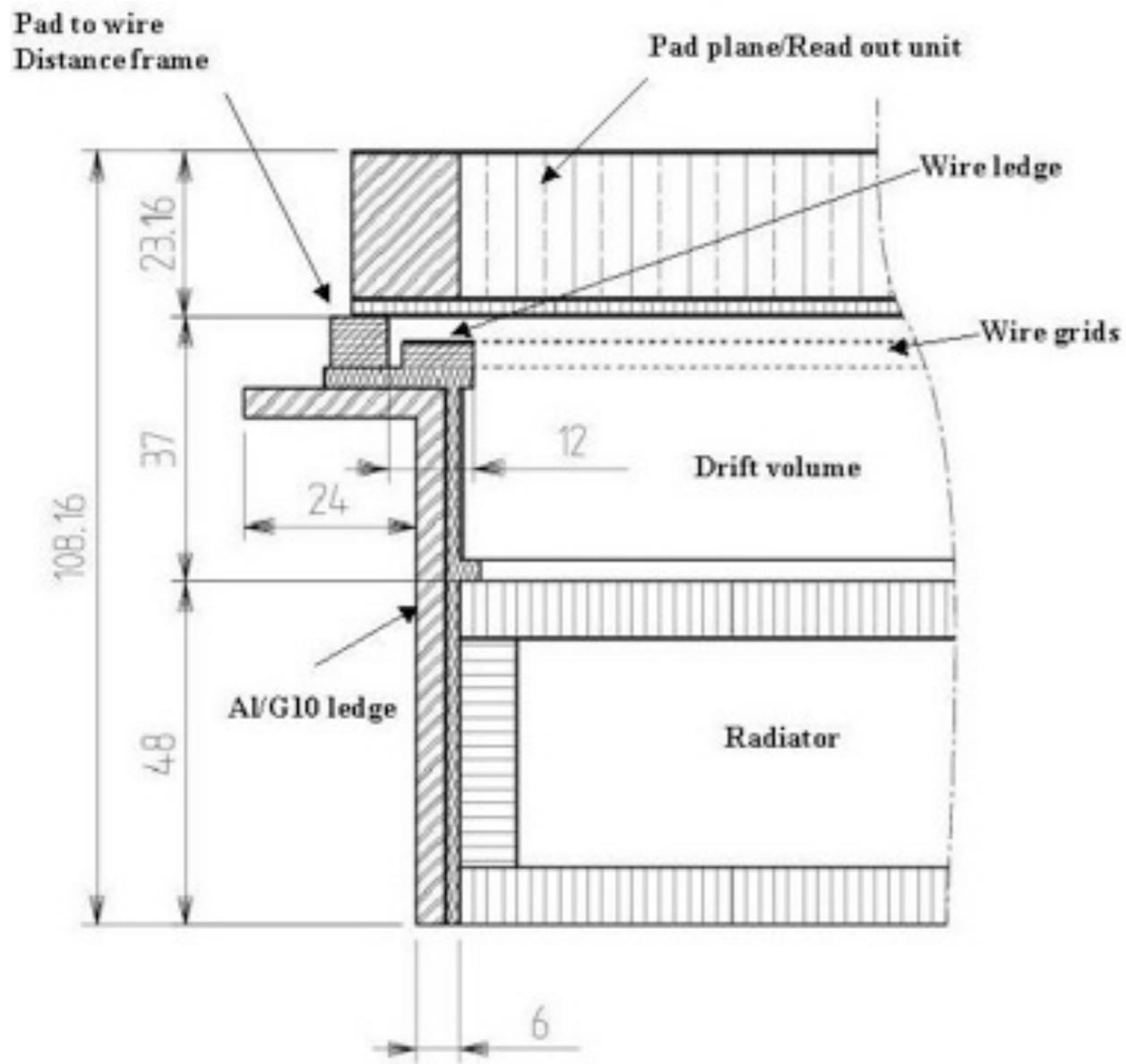
- Typical emission angle:  $\Theta = 1/\gamma$
- Energy of radiated photons:  $\sim \gamma$
- Number of radiated photons:  $\alpha z^2$
- Effective threshold:  $\gamma > 1000$

## Detection Principle: [Electron-ID]

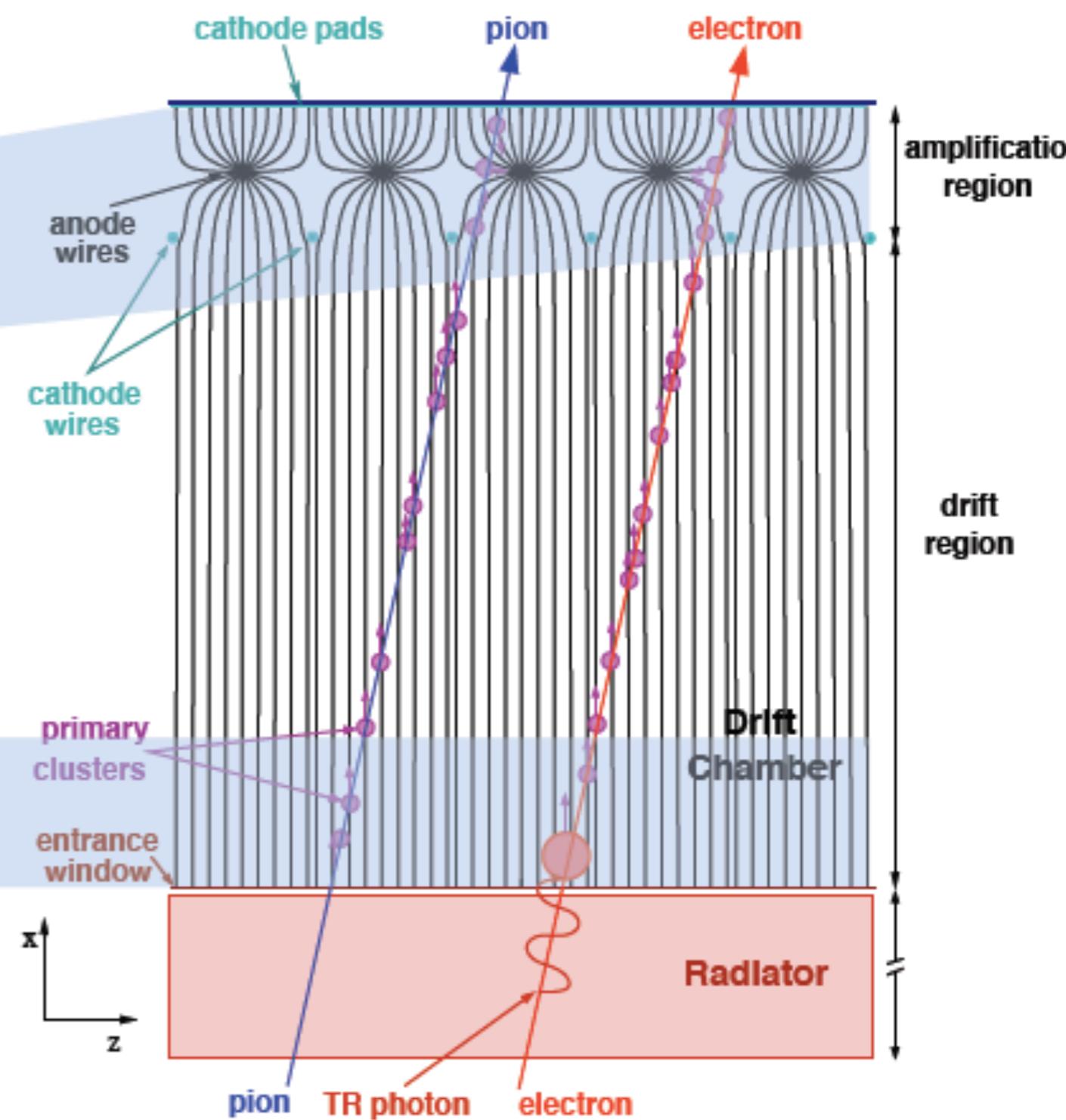
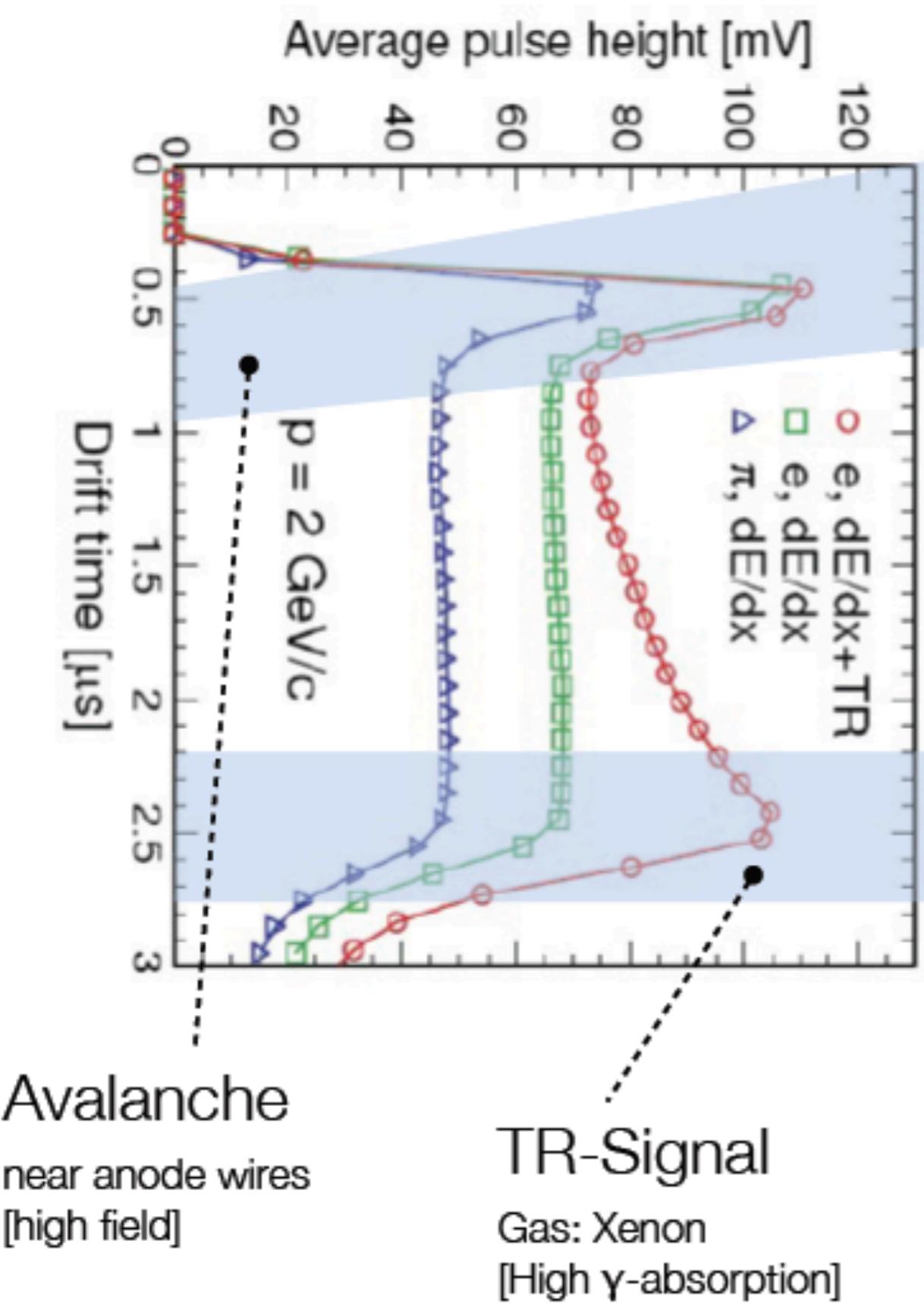


Note: Only X-ray ( $E>20\text{keV}$ ) photons can traverse the many radiators without being absorbed

# Transition radiation detectors - ALICE

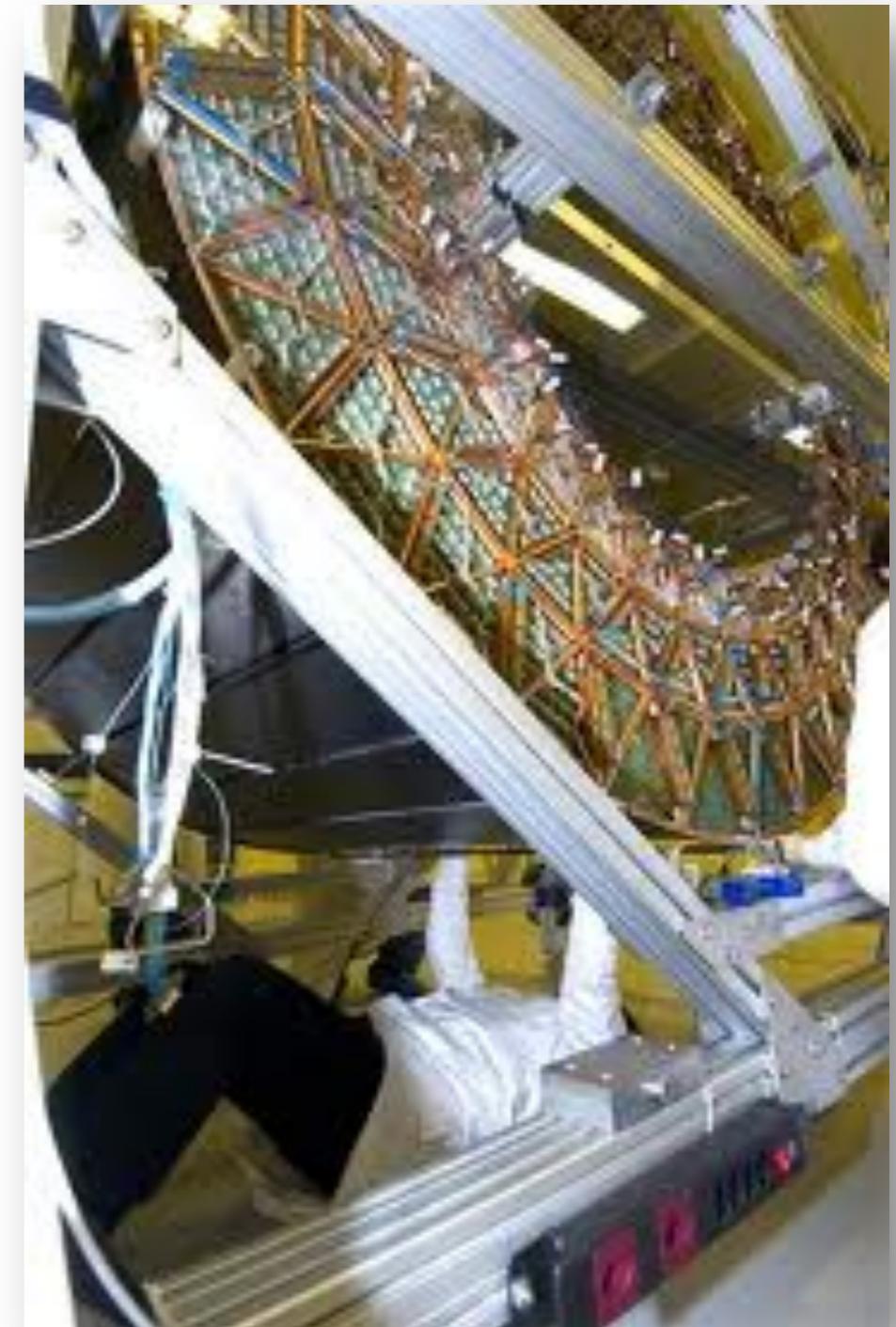
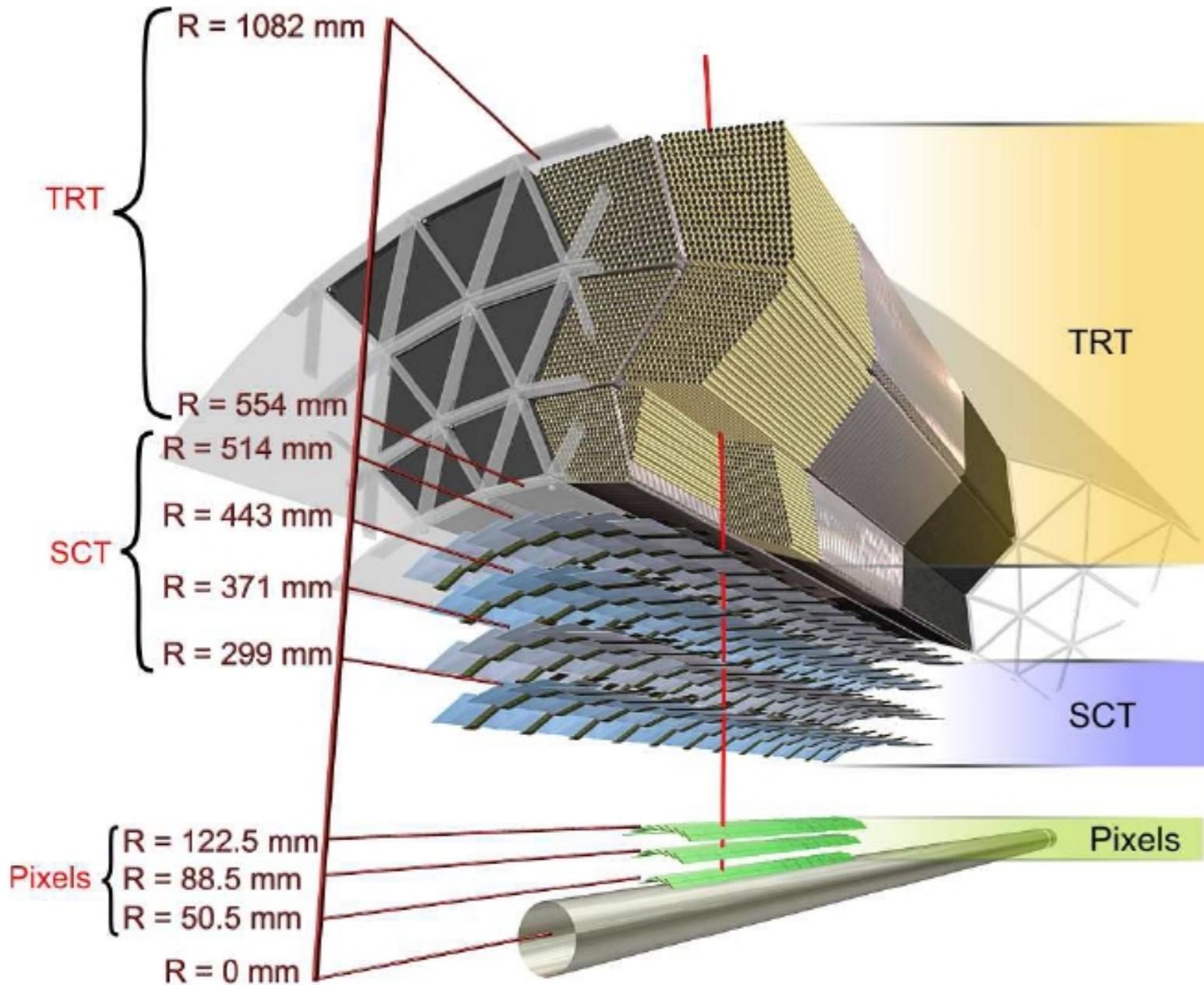


# Transition radiation detectors - ALICE



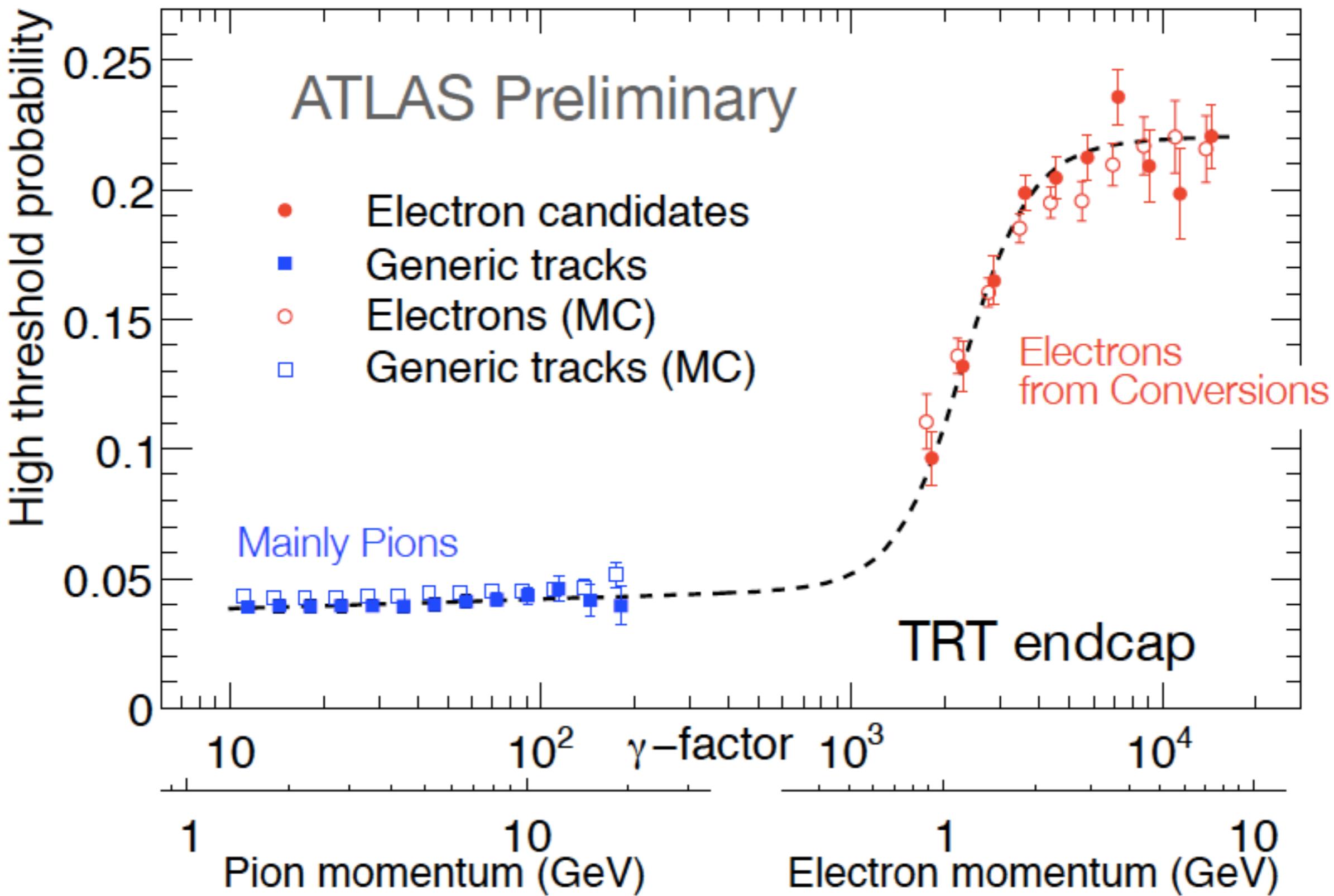
Transition Radiation [TR]  
for charged Particles with  $\gamma > 1000$

# Transition radiation detectors - ATLAS



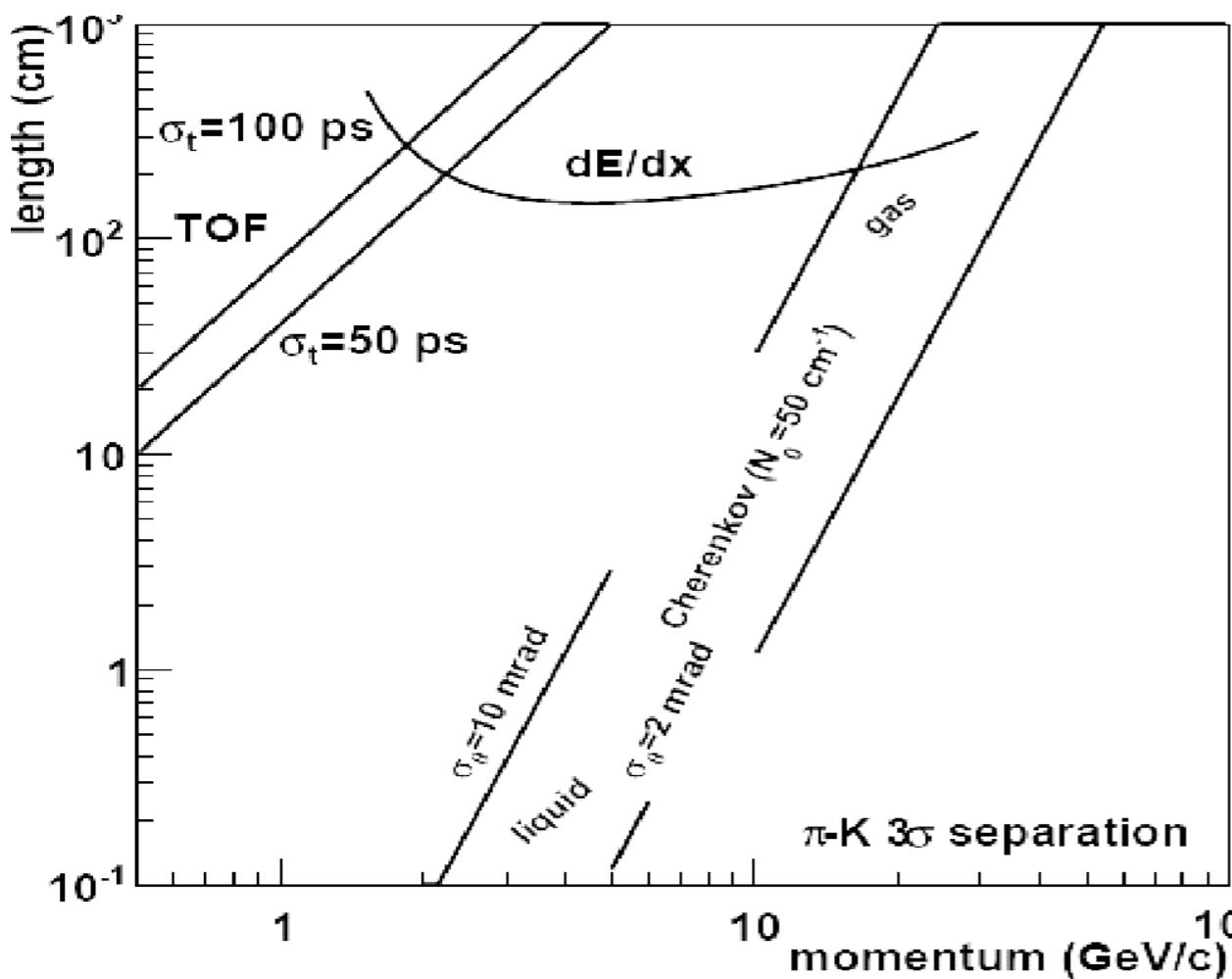
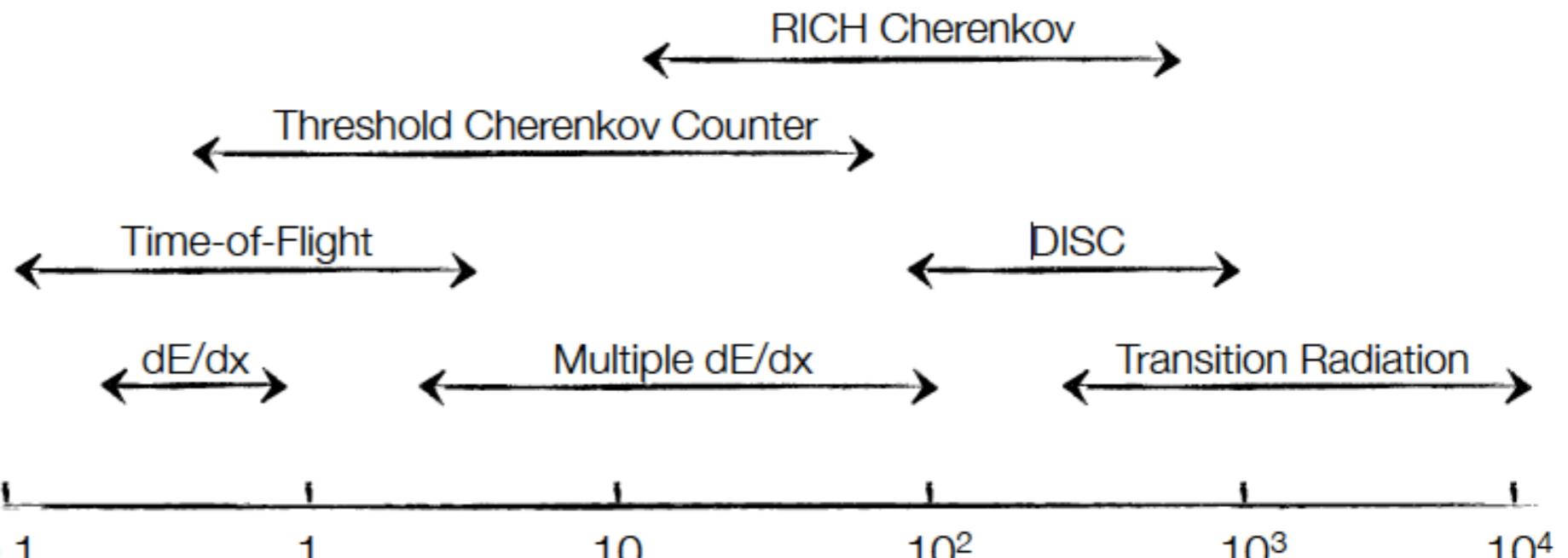
- straw tubes with xenon-based gas mixture
- 4 mm in diameter, equipped with a  $30 \mu\text{m}$  diameter gold-plated W-Re wire

# Transition radiation detector (ATLAS)



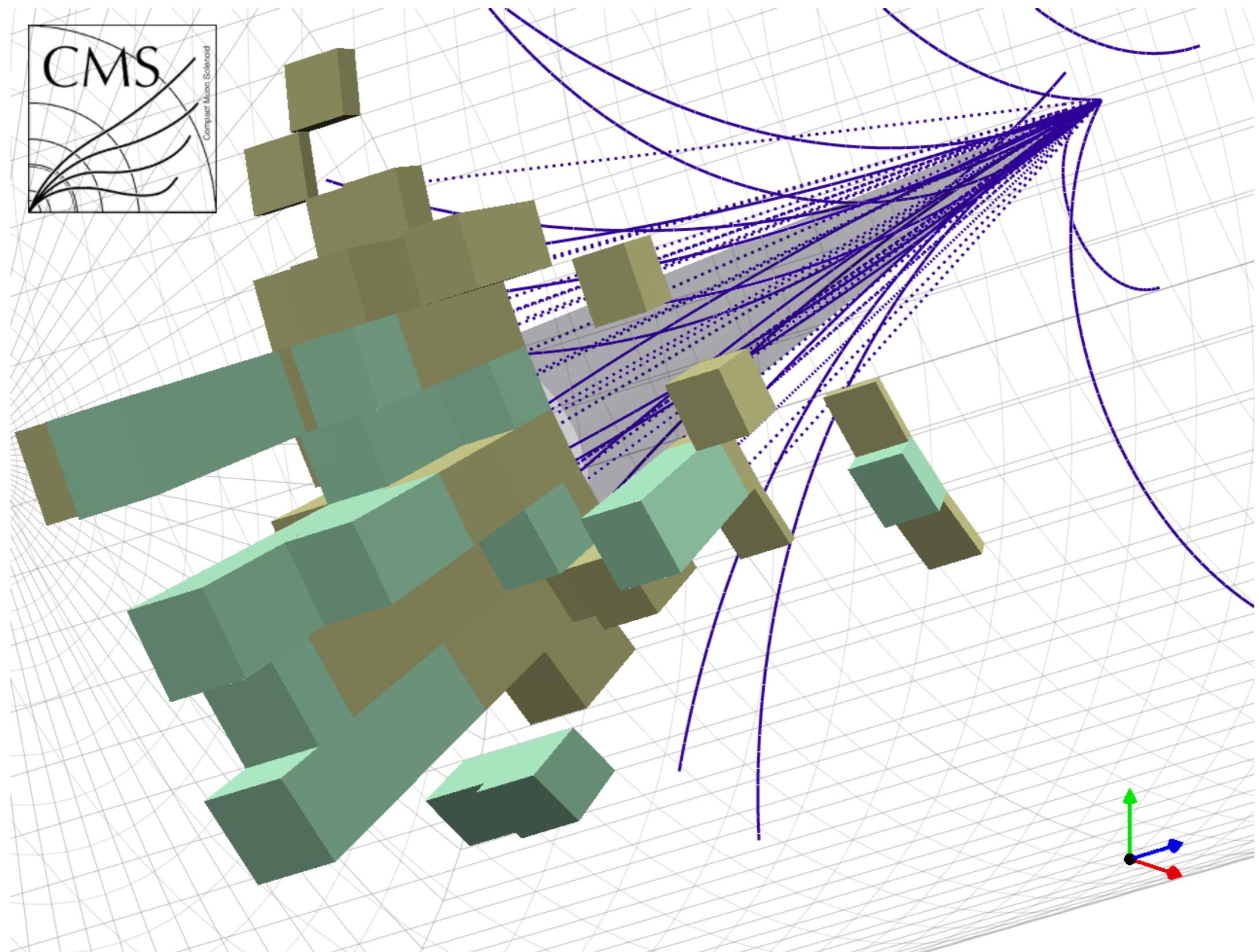
# PID methods - compare

$\pi/K$  Separation  
with different PID  
methods



## **topological particle ID methods:**

- extreme variety
- combination of information from different detectors

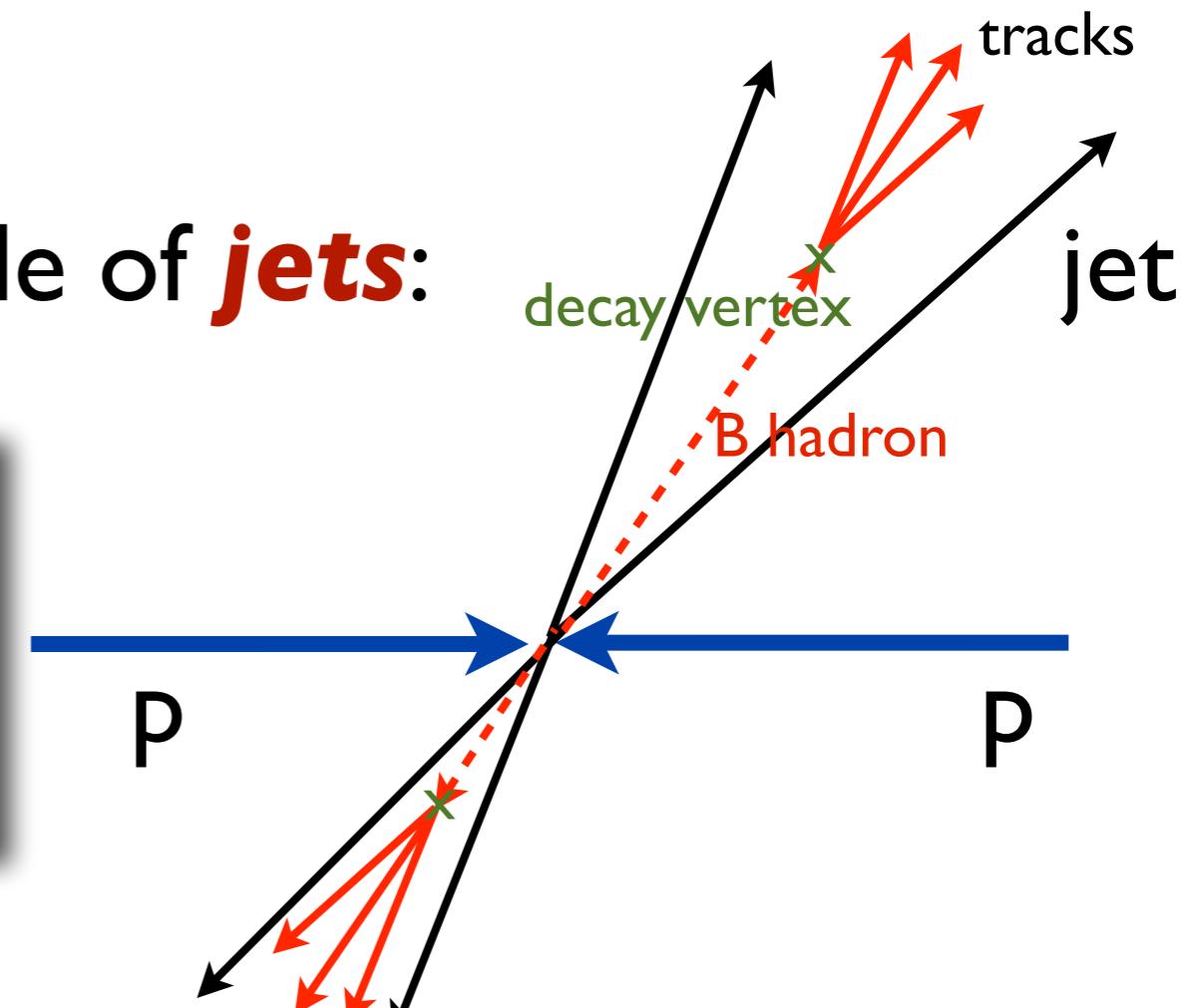


## example: b-quarks

at hadron colliders (LHC)

B-hadrons are produced inside of **jets**:

their ***lifetime*** (1.5ps) and  
the **Lorentz boost** lead to  
displaced decay vertices

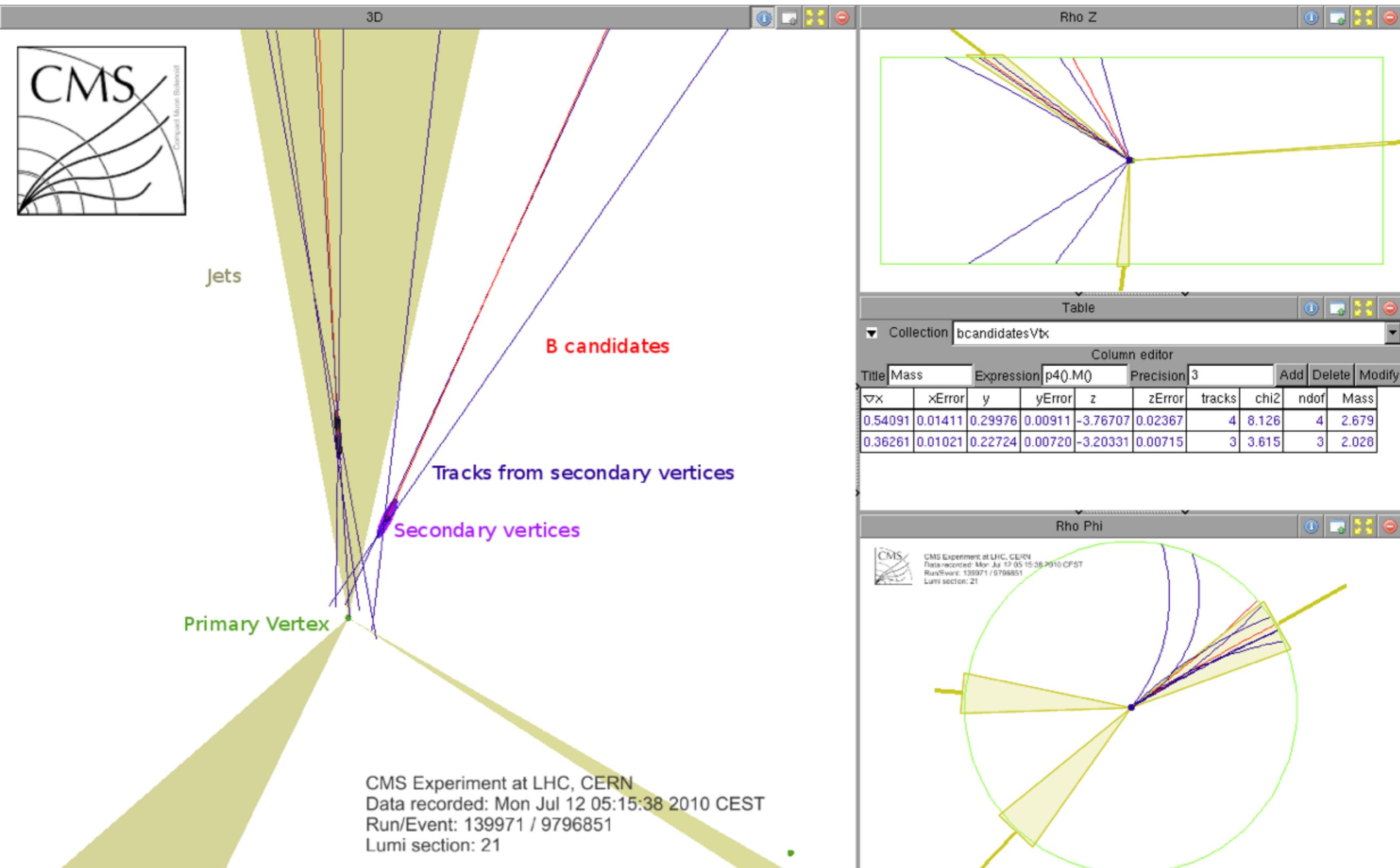


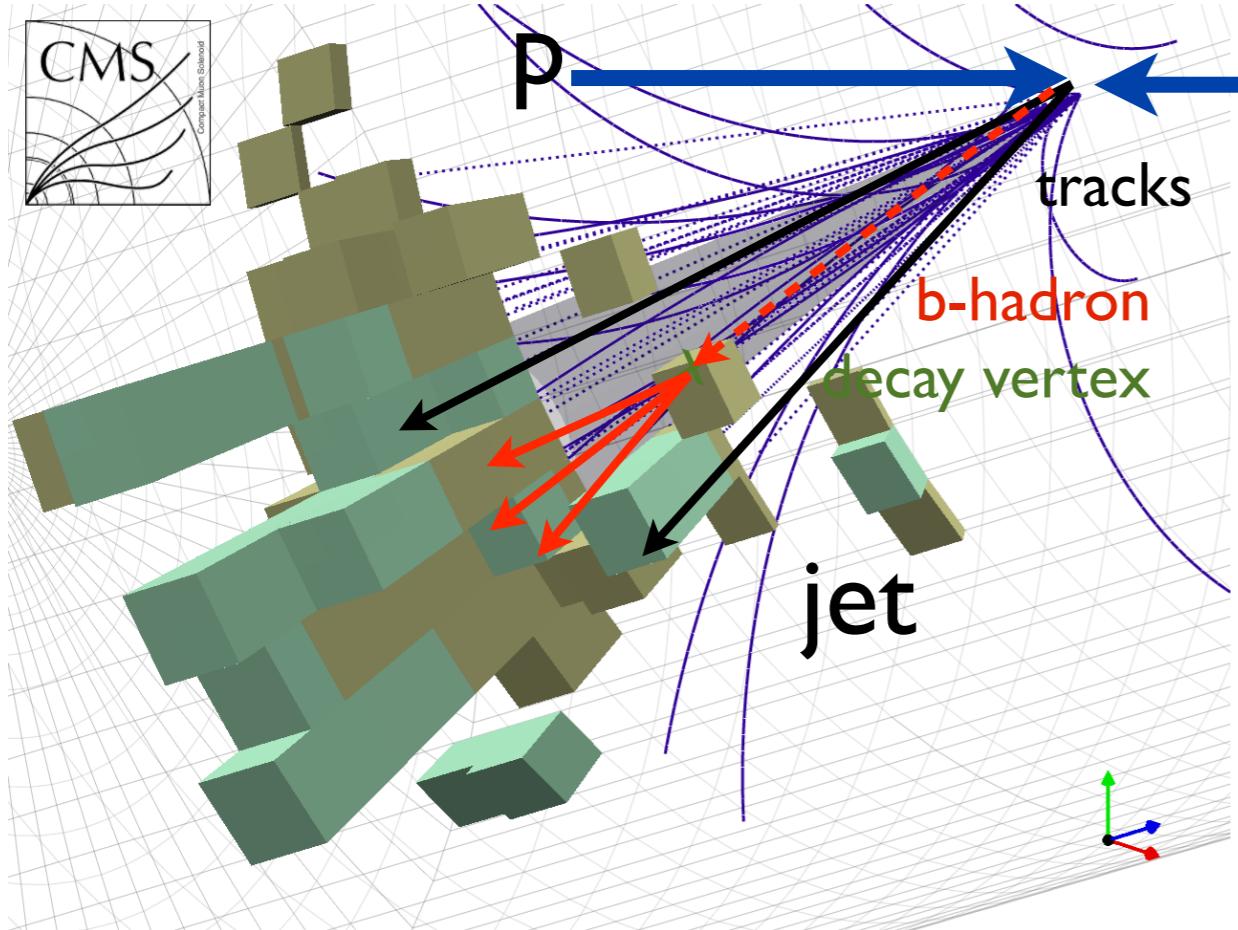
**inclusive:**

look for **displaced** tracks and vertices within jets (***b-jet tagging***)

**exclusive:**

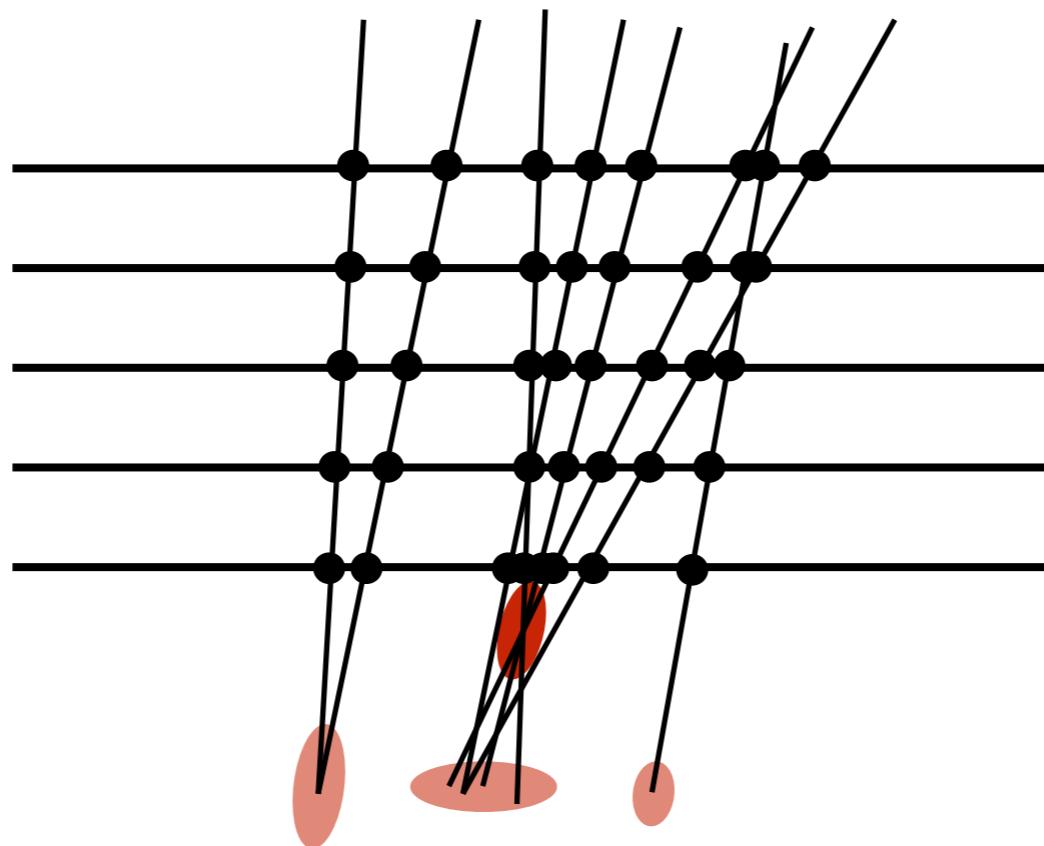
full reconstruction of the B meson four-vector using decays with  
 $B \rightarrow J/\psi X$  with  $J/\psi \rightarrow \mu^+ \mu^-$





P

- **exploit properties** of b/c-flavoured hadrons in jets:
  - lifetime (**decay vertex**)
  - mass
  - momentum fraction
  - decay modes

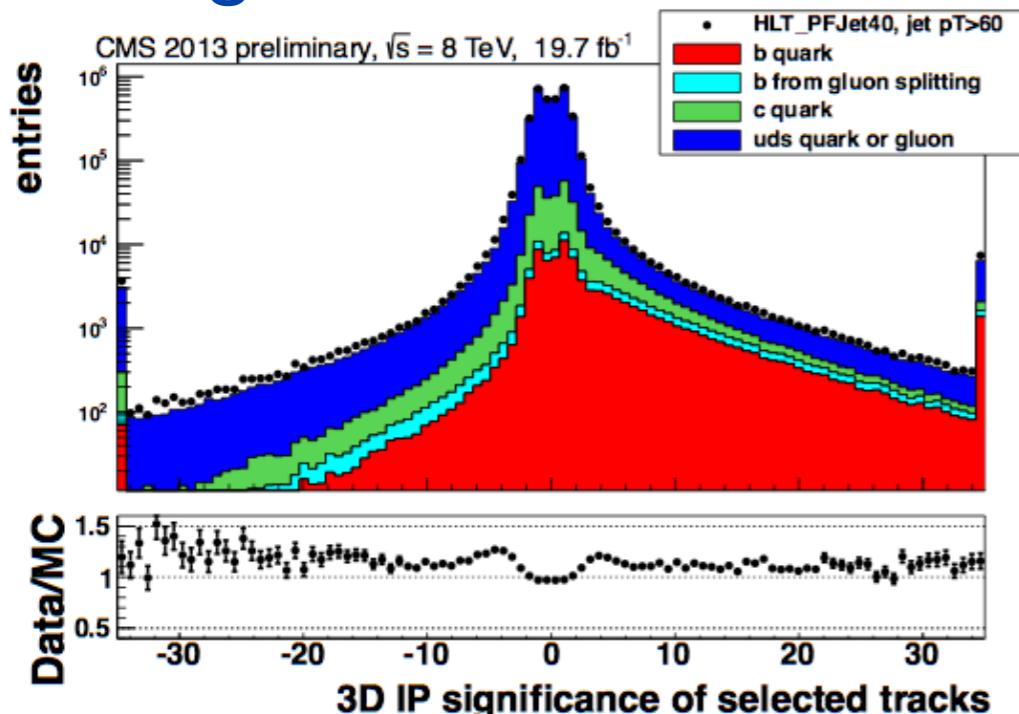


- combine information with deep learning methods

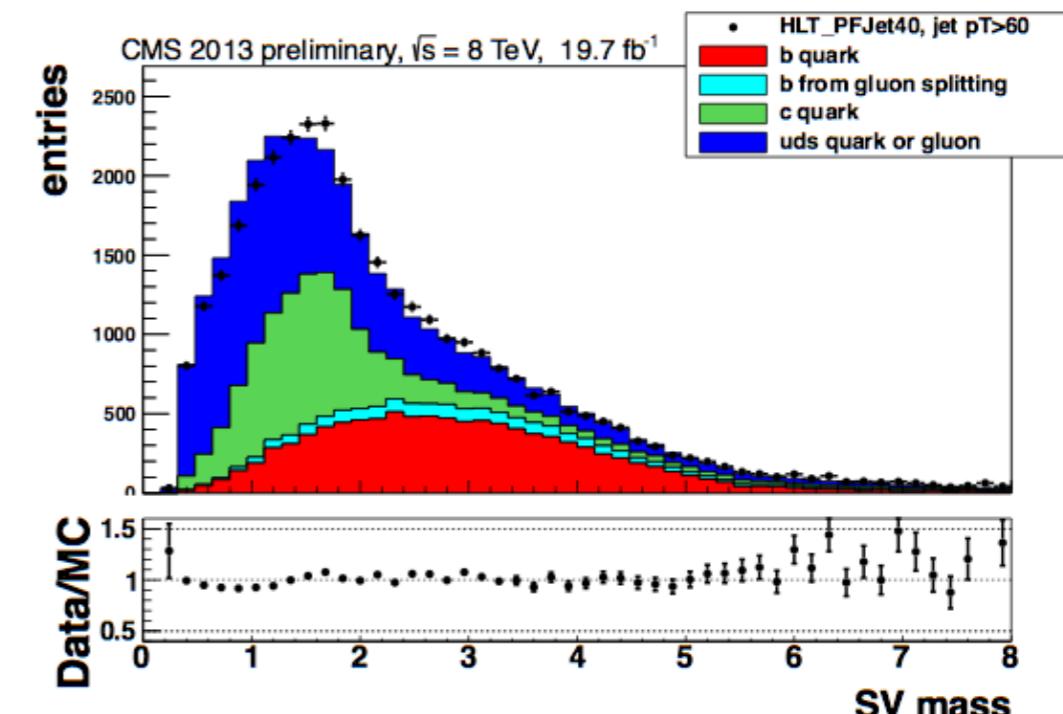
# jet flavour identification

- characteristic properties to separate b-jets from non-b-jets:

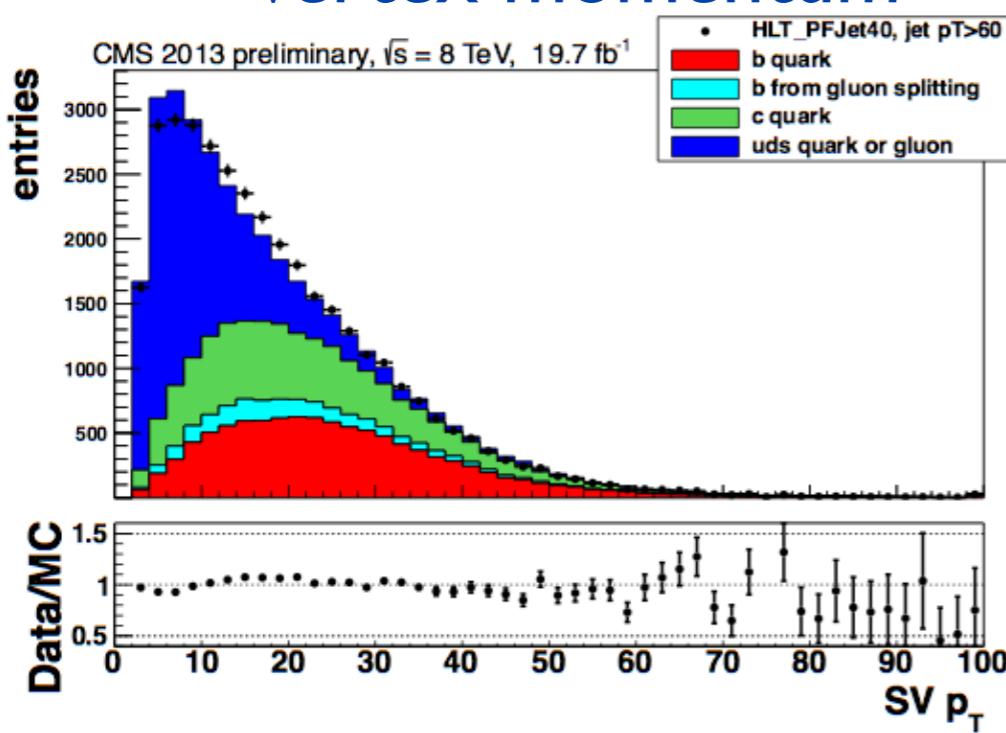
IP significance == IP/error



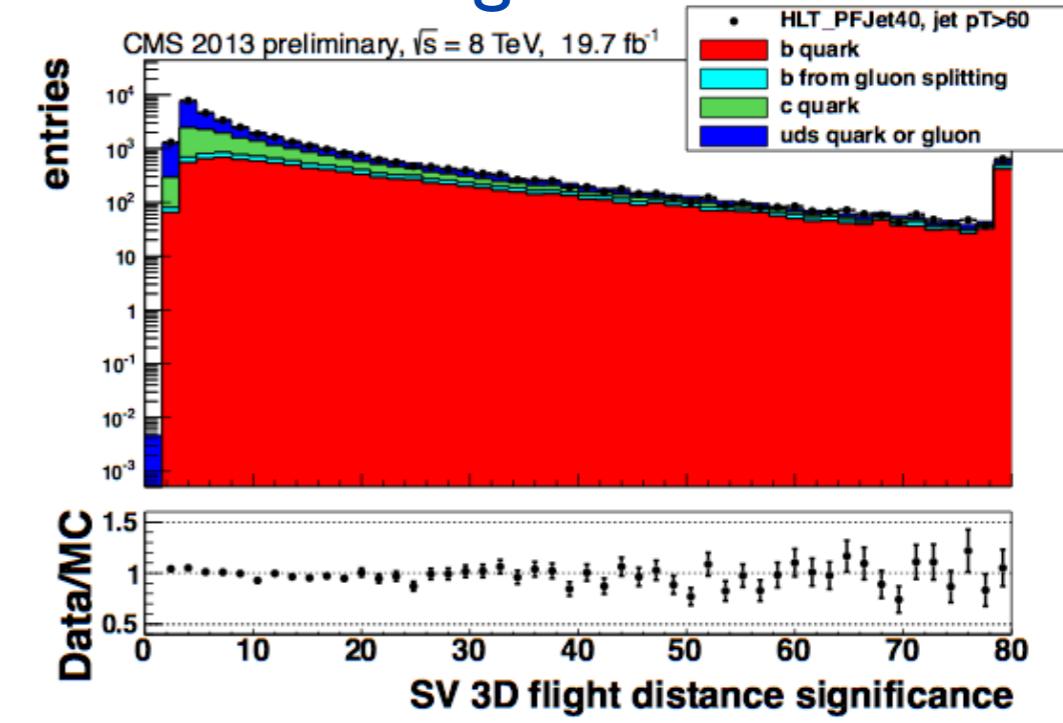
invariant mass of vertex



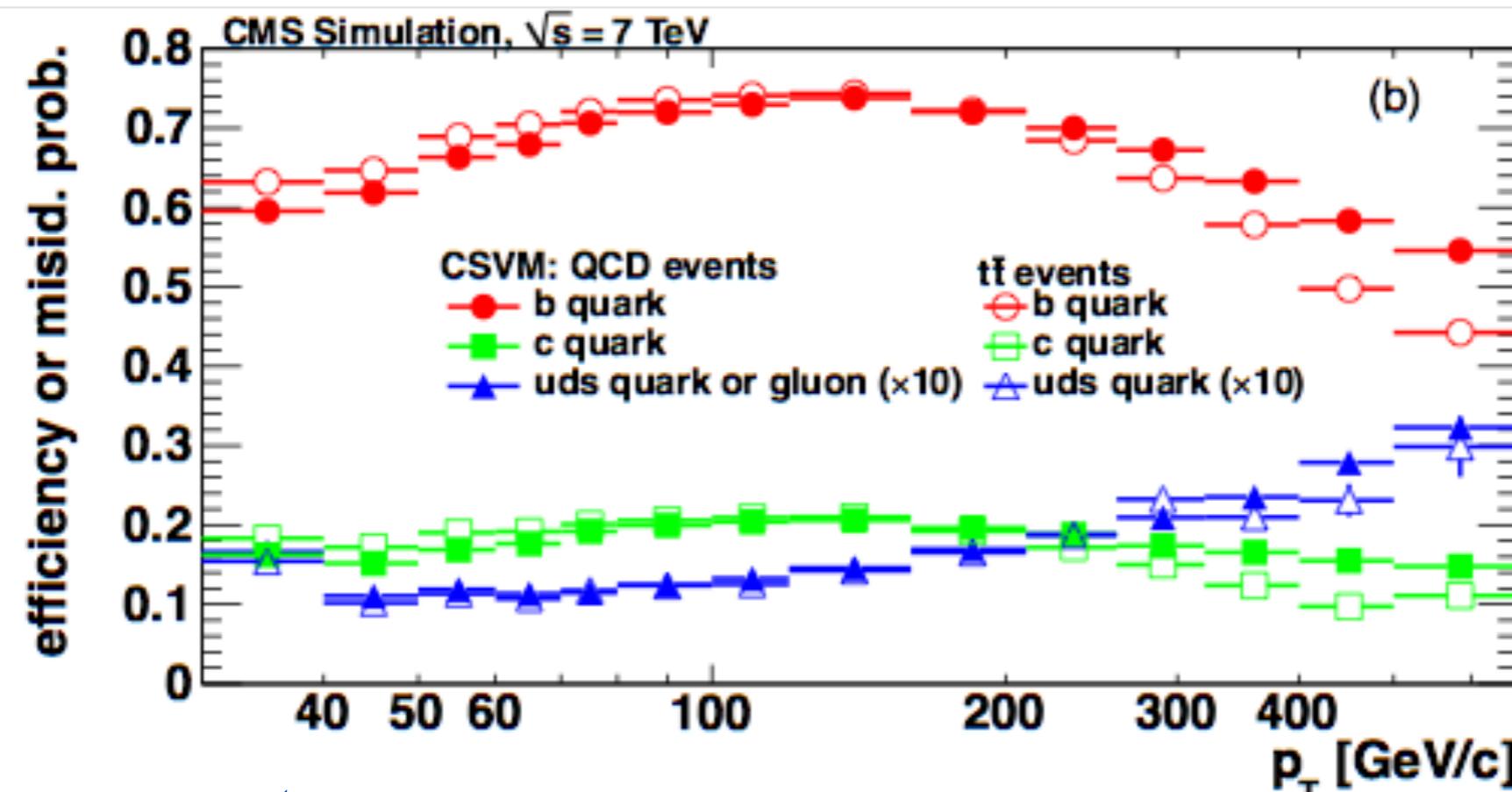
vertex momentum



vertex flight distance



# example: b-quarks



## low-momentum:

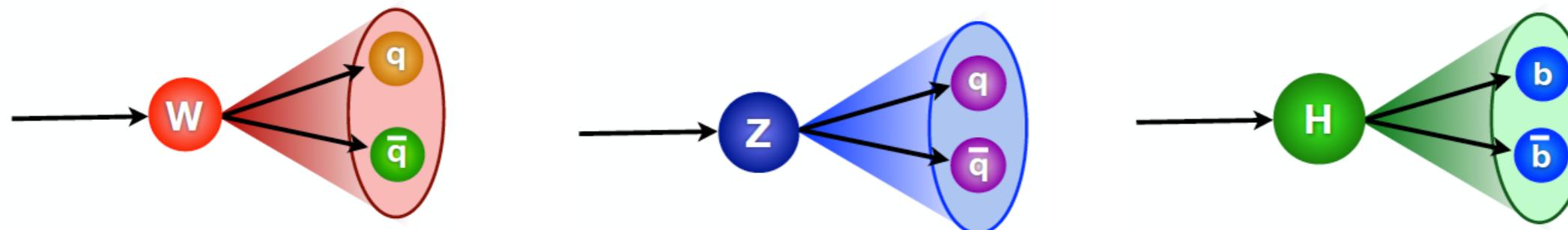
- more multiple coulomb scattering
- smaller Lorentz factor  $\rightarrow$  B hadron decays closer to primary vertex  
(more difficult to resolve)

## high-momentum:

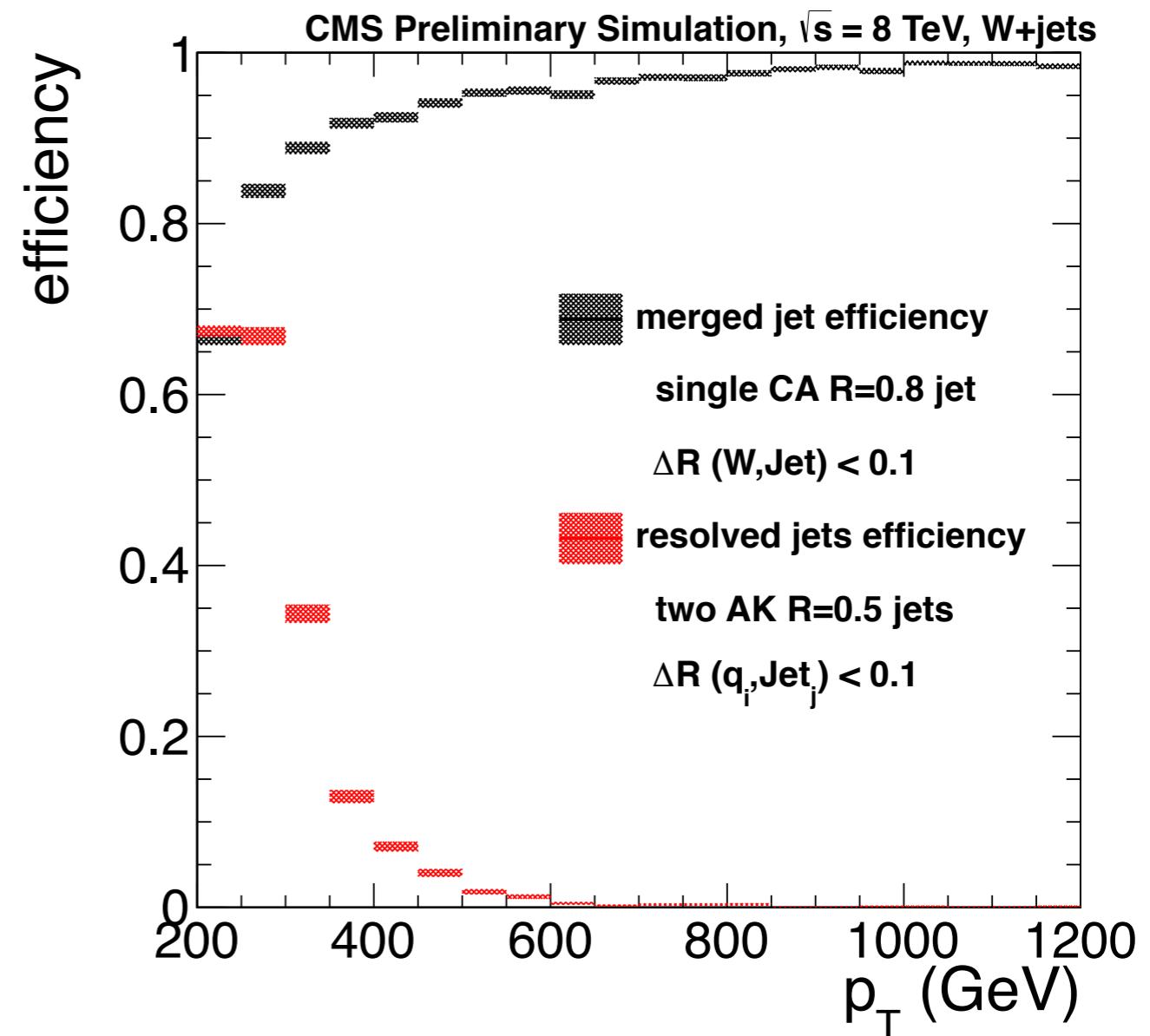
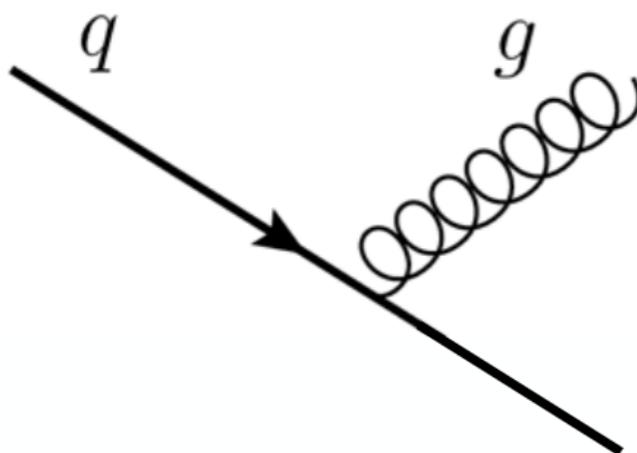
- more collimated jets
- dense environment difficult for tracking
- smaller track curvature
- close-by-tracks create overlapping hits in the detector

# jet substructure

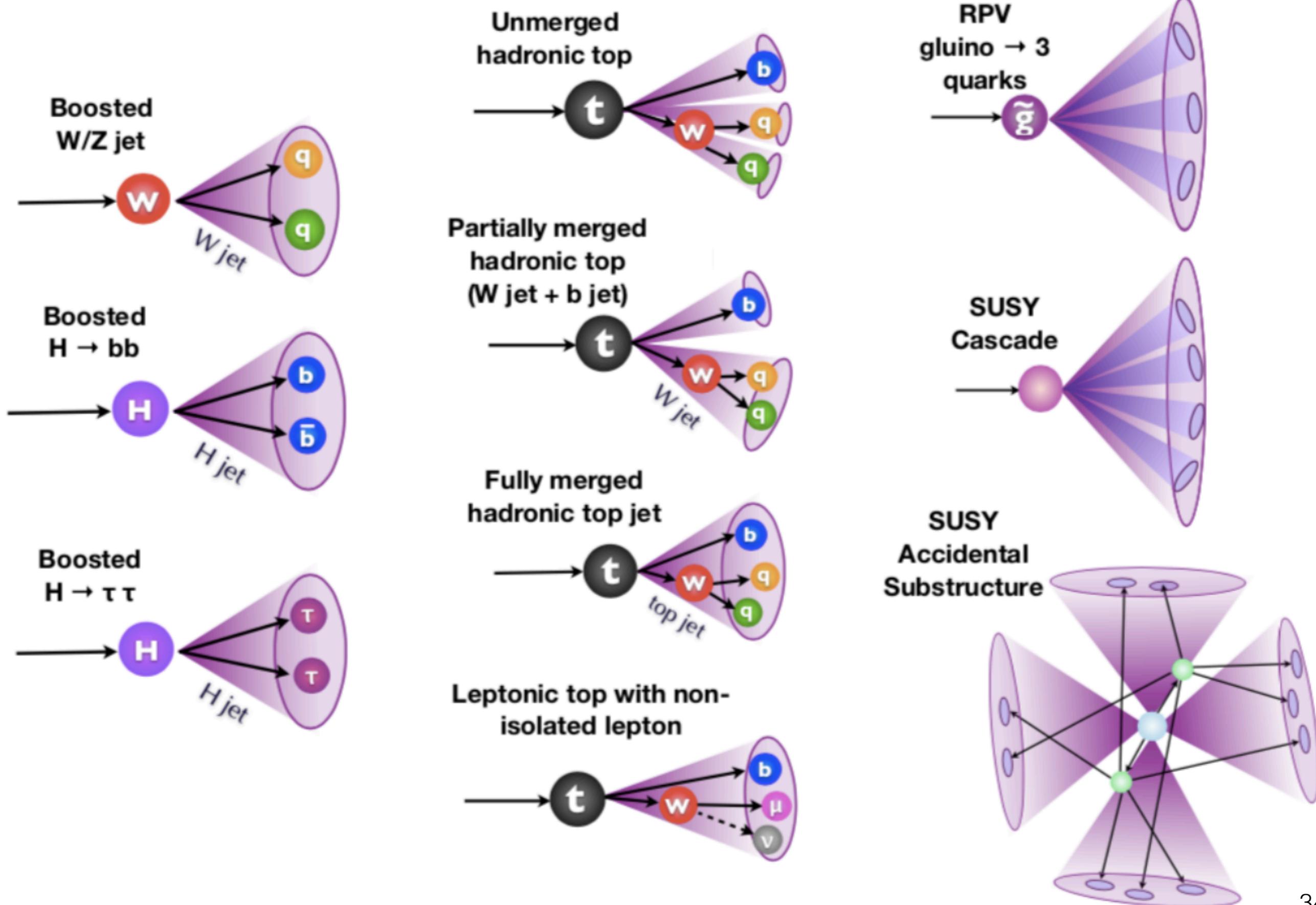
## 2-body decay



- angular separation  
 $\Delta R \approx 2M/p_T$
- efficiency for 2 jets drops with  $p_T$
- how to distinguish from QCD:

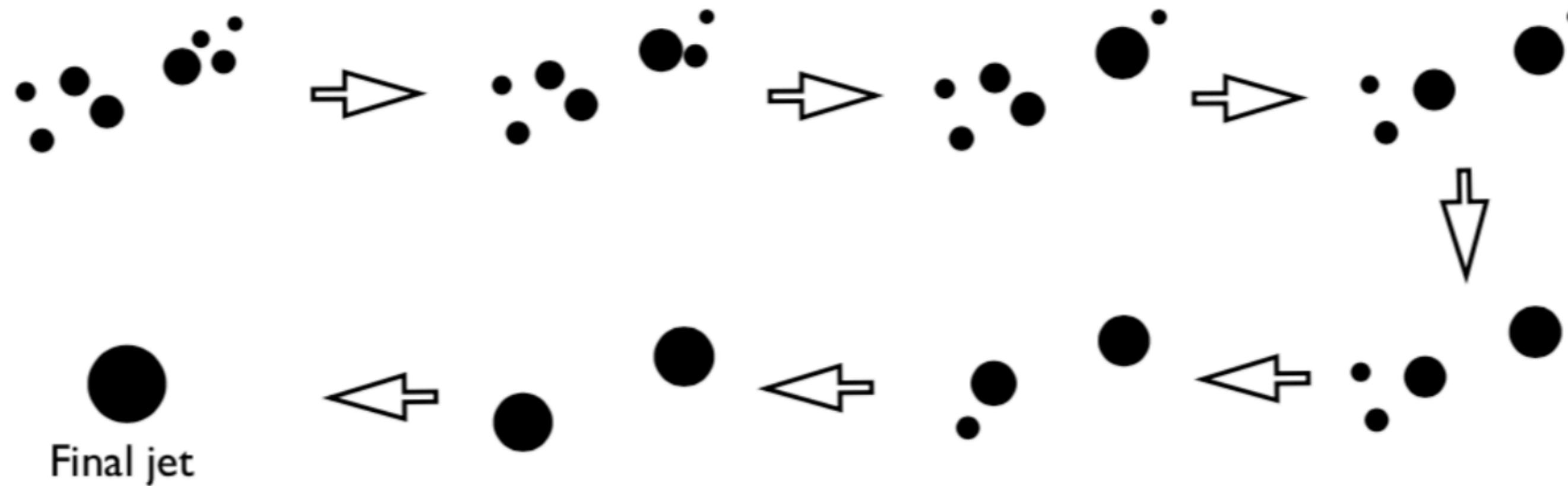


# boosted topologies

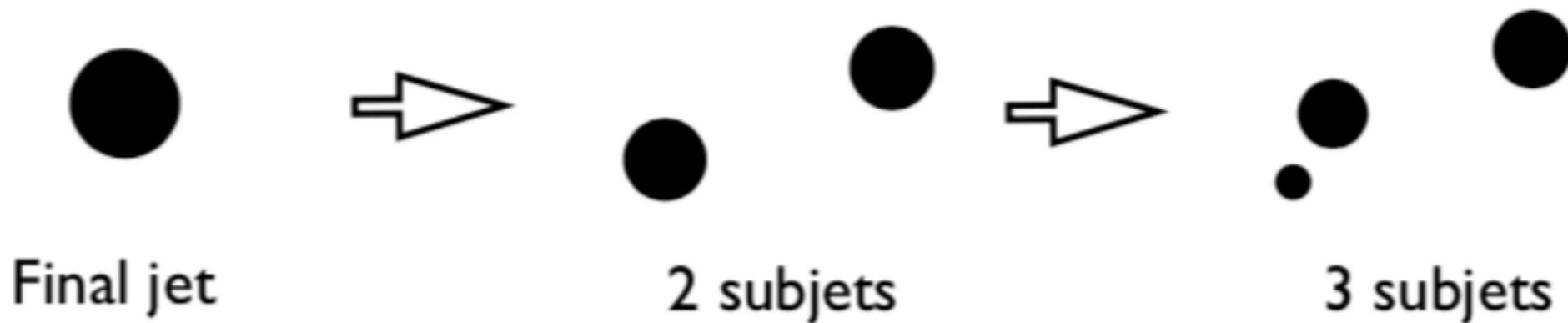


# jet substructure: declustering

Jet Clustering



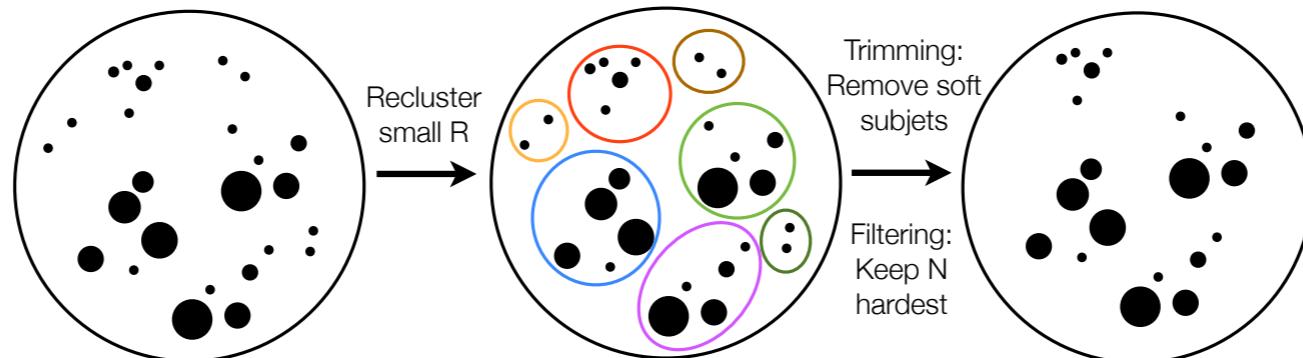
Jet Declustering



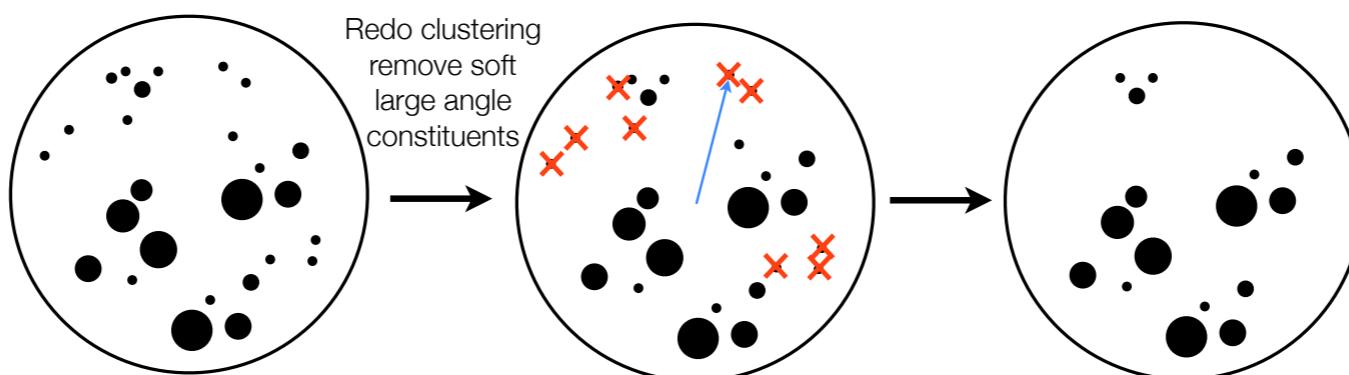
# boosted topologies: jet grooming

J. Dolen

**Trimming, Filtering** - Recluster jet with smaller distance parameter. Condition based subjet removal.

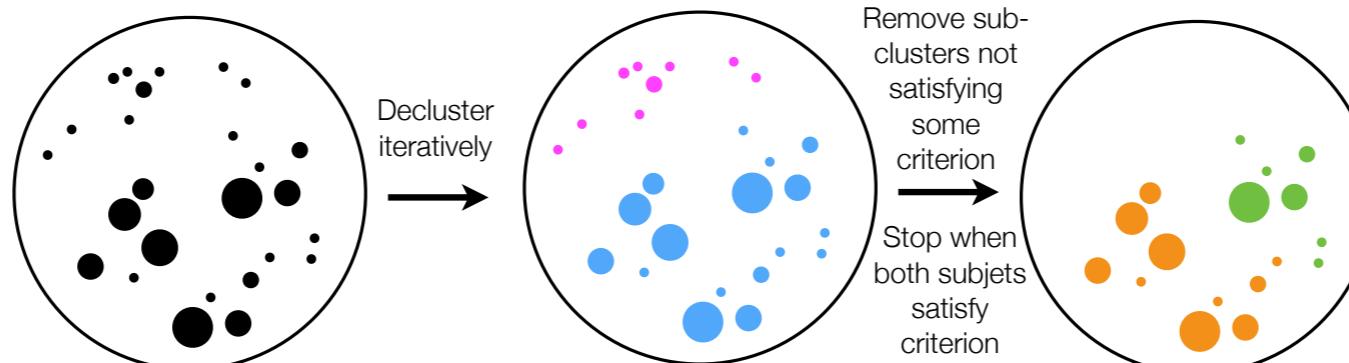


**Pruning** - Recluster jet. Remove soft large angle particles.



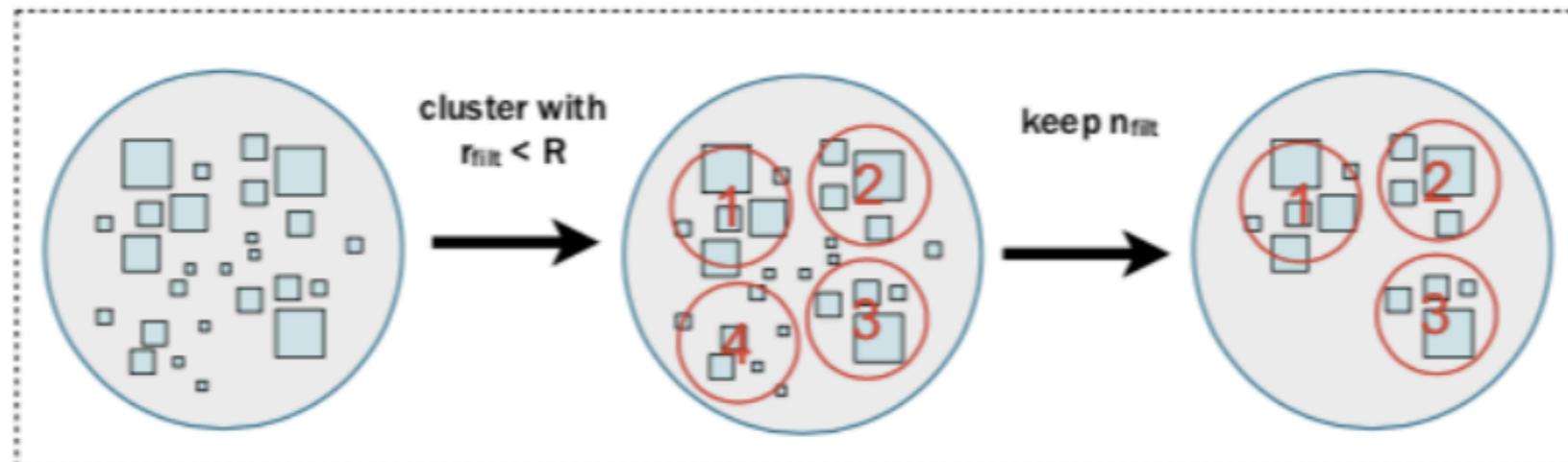
**BDRS, MMDT, Soft Drop, JHU top tagger, CMSTT** -

Recursively decluster jet. Remove sub-clusters not satisfying algorithm condition. Stop declustering when both subjets satisfy condition.



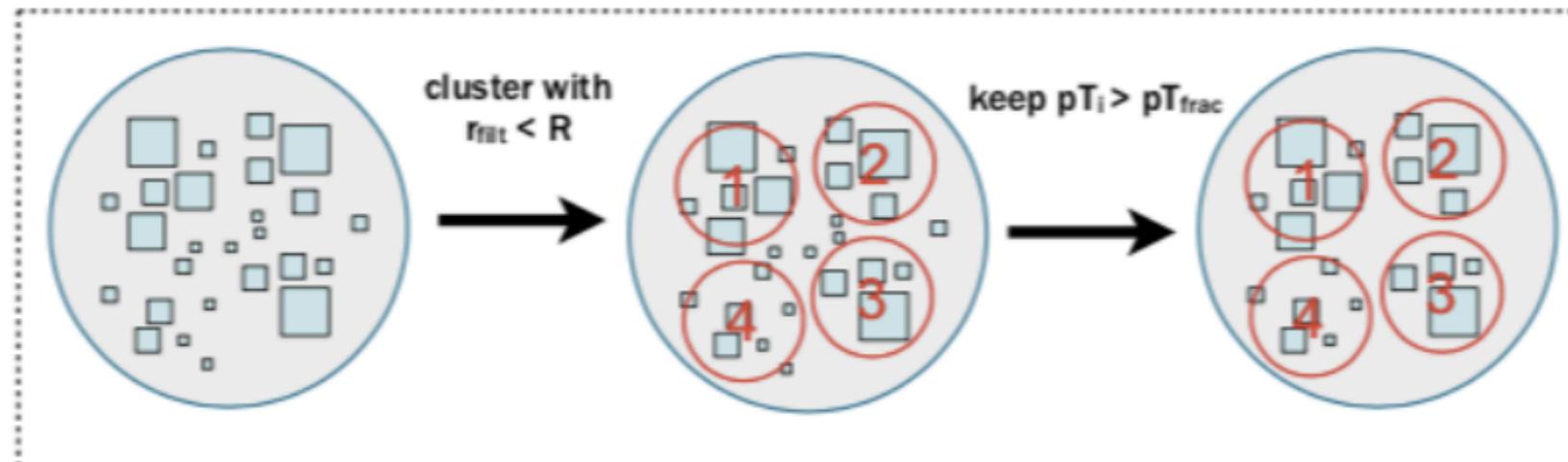
# boosted topologies: jet grooming

filtering



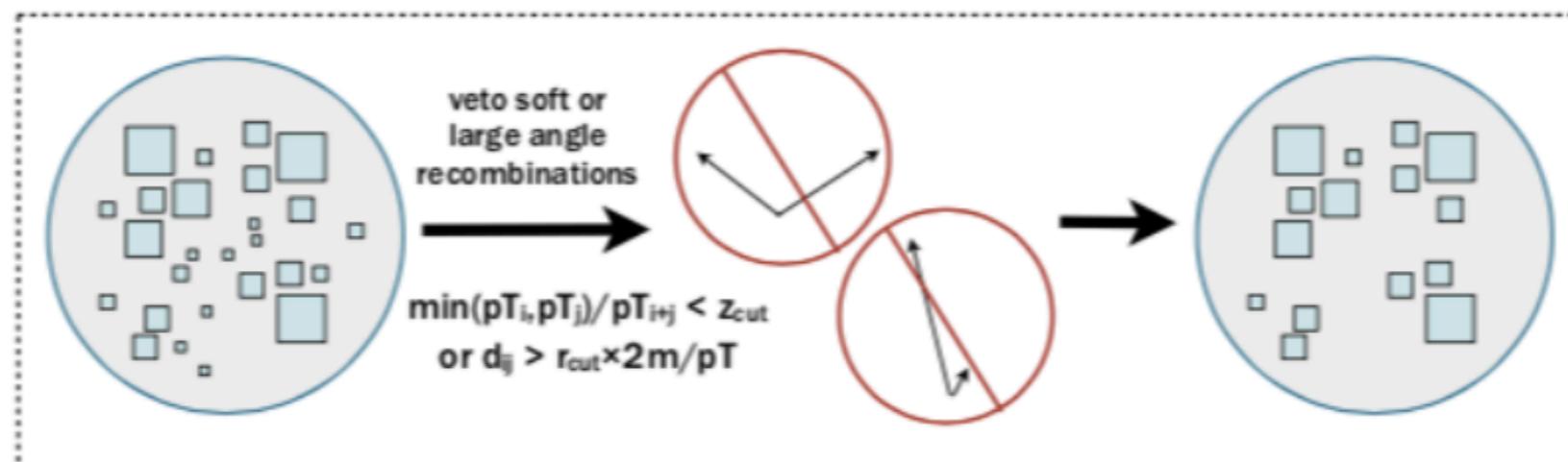
default:  
 $r_{\text{filt}} = 0.3$   
 $n_{\text{filt}} = 3$

trimming



default:  
 $r_{\text{filt}} = 0.2$   
 $pT_{\text{frac}} = 0.03$

pruning



default:  
 $z_{\text{cut}} = 0.1$   
 $r_{\text{cut}} = 0.5$

# boosted topologies: jet grooming

## soft-drop algorithm:

1. Undo last stage of CA clustering tree  
and label two subjets  $j_1, j_2$  of jet  $j$ .

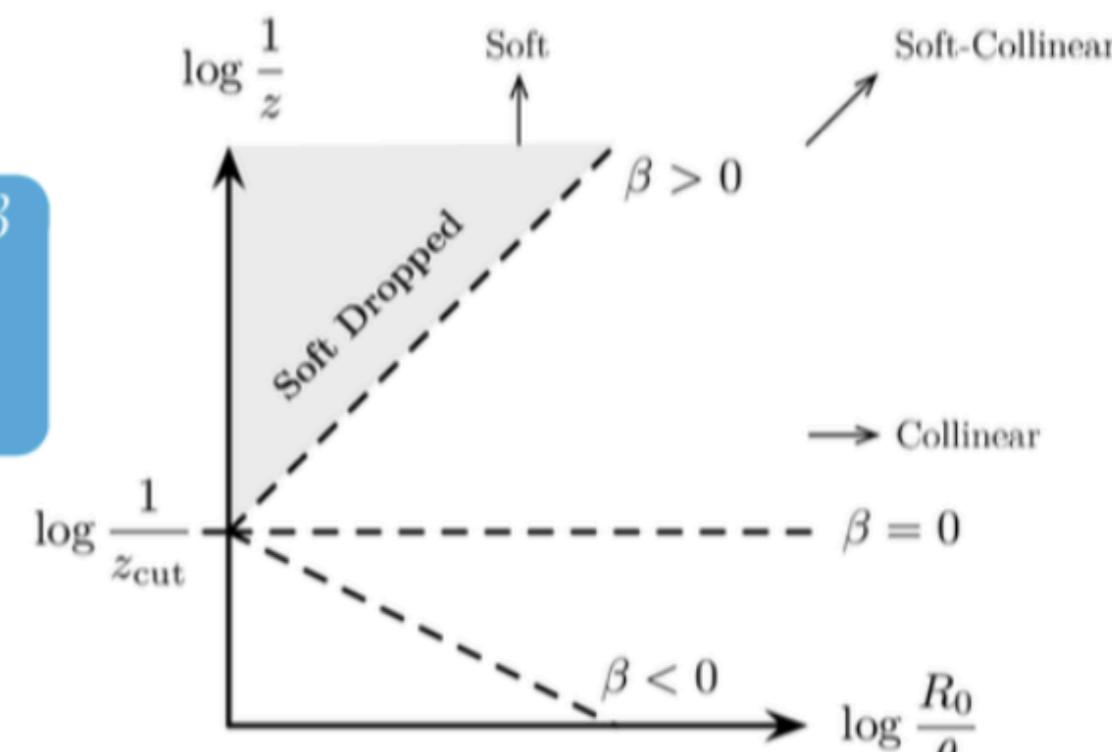
2. If

$$z_g = \frac{\min(p_{t,1}, p_{t,2})}{p_{t,1} + p_{t,2}} > z_{\text{cut}} \left( \frac{\Delta R_{12}}{R_0} \right)^\beta$$

then  $j$  is the soft-dropped jet.

3. Otherwise define  $j$  to be the harder  
subjet and iterate.

- ▶ For  $\beta = 0$ , drops soft radiation entirely.
- ▶ Provides handle on UE and PU, identifying hard substructure.



# boosted topologies: jet grooming

