

Open notes. Write your name, entry number and group at the top of each sheet in the blanks provided. Answer all questions in the space provided, in blue or black ink (no pencils, no red pens). Budget your time according to the marks. Do rough work on separate sheets.

Q0. (8 marks) **Functional Programming.** I hope you have been programming in OCaml. Consider the following data type for finitely-branching trees where every node contains an integer and has finitely many subtrees (the number of subtrees is not necessarily bounded by any  $k \geq 0$ ; lists are used since there isn't a fixed number of subtrees).

```
type int_tree = Node of int * (int_tree list);;
let add (x,y) = x+y;;
```

Write an OCaml program `sumtree` to compute the sum of all the integers appearing in the nodes of a given tree, preferably using functions such as `map` and `foldl` or `foldr`, and the given function `add`.

```
(* sumtree: int_tree -> int *)
```

let rec sumtree i s = match i with  
 Node (n, []) -> n + s  
 | Node (~~n~~, n::ns) -> ~~n~~ + sumtree n s + sumtree (~~n~~, ns) s  
 (Node 0, ns) s

5/8 creates new nodes (works after a function)

Q1. (8 marks) **Type-checking.** Consider the following OCaml program

```
exception UnequalLength;;
let rec zipf f l1 l2 = match (l1, l2) with
  ([ ], [ ]) -> [ ]
  | ([ ], y::ys) -> raise UnequalLength
  | (x::xs, [ ]) -> raise UnequalLength
  | (x::xs, y::ys) -> (f(x,y))::(zipf f xs ys);;
```

What is the type of the function `zipf`?  
 (Show your working)

(b \* c) -> d -> b list -> c list -> d list

f: α  
 l1: β -> b list  
 l2: γ -> c list  
 output: δ -> d list

∴ zipf => α -> β -> γ -> δ  
 => α -> b list -> c list -> d list

f => (b \* c) -> d. Why?

∴ zipf => (b \* c) -> d -> b list -> c list -> d list

Q2. (2+8 marks) **Representational Invariants.** It is common to use lists to represent sets (essentially by forgetting the order of elements and the number of copies of an element). Consider the *representational invariant property* stated informally as: "list  $l$  represents a set  $S$  if whenever  $a \in S$  then there is a *unique* suffix list of  $l$  (trailing part of  $l$ ), the first element of which is  $a$ ." Clearly list representations of sets need not be unique, since both  $[1; 3; 2]$  and  $[3; 1; 2]$  are legal representations of  $\{1, 2, 3\}$ .

1. How is the empty set  $\{\}$  represented? Argue in one sentence that your representation satisfies the above property.

empty set  $\{\}$  can be represented as  $[]$  ✓  
 here the suffix will also be  $[]$   
 so it satisfies the above relation. ✗

2. Given any valid representations list  $l_1$  for set  $S_1$  and list  $l_2$  for set  $S_2$ , define an OCaml function `union` (assuming the elements of  $S_1, S_2$  are the same type), and show that your program satisfies the above representational property:

(\* union: 'a list -> 'a list -> 'a list \*)

let rec union ( $l_1, l_2$ ) = match ( $l_1, l_2$ ) with

$([], []) \rightarrow []$

$(n::ns, []) \rightarrow \text{union}(ns, []) @ [n]$  ✗

$([], y::ys) \rightarrow \text{union}([], ys) @ [y]$

$(n::ns, y::ys) \rightarrow \text{union}(ns, y::ys) @ [n]$  ✗

ugh  
horrible  
code.

⇒ This program satisfies the above representation.  
 Case ① both list  $l_1$  and  $l_2$  are not empty then it adds only element of  $n$  into the union list. and here the new union list follows the representation. as  $[n]$  is added to the suffix of the union list.  
 Case ② ~~if~~  $l_1$  is empty then  $y$  is added and here as well  $y$  is added at suffix.  
 Case ③ ~~if~~  $l_2$  is empty then  $n$  is added at suffix. ✗

Q3. (4+6+8 marks) **Interpreters and compilers.** Consider extending our language of expressions with pairs and the two projection functions (giving the first and second element of a pair respectively). The abstract syntax may be coded in OCaml as follows

type exp = ... | Pair of exp \* exp | First of exp | Second of exp;;

1. Consider the mathematical semantics, where expressions are mapped to values in a set `value`, implemented in OCaml as follows:

type value = Intval of int | PairVal of value \* value;;

Extend the definition of the function `eval` defined in class to deal with the (three) new kinds of expressions:



Name:

Siddhant Shingi

Entry:

2016C40310

Gp:

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3

```
(* eval: (string -> value) -> exp -> value *)
let rec eval rho e = match e with
  Const(n) -> Intval(n)
```

```
  ...
  | Pair(e1, e2) -> PairVal (eval rho e1, eval rho e2) ✓
  | First e1 -> Intval (eval rho e1) X
  | Second e1 -> Intval (eval rho e1) X
;;
```

- 10/18
2. Let *Answer* (with metavariable *a* ranging over its typical elements) be the subset of *Exp* comprising those forms that we wish calculation to return. Extend the specification of the "calculates" relation  $\gamma \vdash e \Rightarrow a \subseteq \text{Table} \times \text{Exp} \times \text{Answer}$ :

$$\frac{}{\gamma \vdash \text{Pair}(e_1, e_2) \Rightarrow \text{PAIR}(a_1, a_2)} \quad \frac{}{\gamma \vdash \text{First } e \Rightarrow a_1} \quad \frac{}{\gamma \vdash \text{Second } e \Rightarrow a_2}$$

where  $a_1, a_2$  are

3. We now extend the type opcode to include opcodes for creating pairs, and performing the two projections (First and Second):

```
type opcode = .... | PAIR | FIRST | SECOND;;
```

Extend the functions compile and execute:

```
(* compile: exp -> opcode list *)
let rec compile e = match e with
```

```
  ...
  | Pair(e1, e2) -> (compile e1) @ (compile e2) @ [PAIR]
```

```
  | First e1 -> (compile e1) @ [FIRST] ✓
```

```
  | Second e1 -> (compile e1) @ [SECOND] ✓
```

```
;;

(* execute: stack*table*(opcode list) -> answer *)
let rec execute (s, gamma, c) = match (s, c) with
```

```
  ...
  | ((n1::n2::s, gamma), PAIR::c') -> execute (n1::n2::s, gamma, c')
  | ((n1::n2::s, gamma), FIRST::c') -> execute (n1::s, gamma, c')
  | ((n1::n2::s, gamma), SECOND::c') -> execute (n2::s, gamma, c')
;;
```

- Q4. (16 marks) **Substitutions and  $\Sigma$ -homomorphisms.** The following lemma, which is a special case of composition of  $\Sigma$ -homomorphisms, relates meaning-giving functions and substitutions, and how they can be interchanged.

Let  $\Sigma$  be a signature, and  $\mathcal{T}_\Sigma(\mathcal{X})$  be the set of terms (or trees). Suppose we are given  $u \in \mathcal{T}_\Sigma(\mathcal{X})$ . Let  $\sigma = \{x \mapsto u\}$  be the substitution that maps variable  $x$  to the given  $u$  (every other variable is mapped to itself). Now let  $\mathcal{A} = \langle A, \dots \rangle$  be any  $\Sigma$ -algebra, and let  $\rho \in \mathcal{X} \rightarrow A$  be an  $A$ -assignment. Suppose

$\hat{\rho}(u) = a \in A$ . Let  $\rho_1 = \rho[x \mapsto a]$ , that is the  $A$ -assignment function that is identical to  $\rho$  everywhere, except that for variable  $x$  it returns  $a$ .

Prove by structural induction that for all  $t \in T_{\Sigma}(X)$ :

$$\hat{\rho}(\text{subst } \sigma t) = \hat{\rho}_1(t)$$

Base case  $ht(t) = 0$ :  $t$  is of the form  $c \in \Sigma^{(0)}$ .

$$\hat{\rho}(\text{subst } \sigma c) = \hat{\rho}(c)$$

(using the definition of  $\text{subst } \sigma t$ )

$\text{subst } \sigma t$  is applied for substitution of variables

and  $\hat{\rho}(c)$  is mapping of  $\hat{\rho}_1$  is identical to mapping  $\hat{\rho}$  everywhere except at  $x$ :  $\hat{\rho}(c) = \hat{\rho}_1(c)$  but why reason

Base case  $ht(t) = 0$ :  $t$  is (a node labelled by) a variable.

Subcase  $t$  is variable  $X$ :  $\hat{\rho}(\text{subst } \sigma X) = \hat{\rho}(\text{subst } \sigma x)$

$$= \hat{\rho}(x) = a \in A$$

and  $\hat{\rho}_1(x) = a \in A$  (definition of  $\hat{\rho}_1$ )

$$\therefore \hat{\rho}(\text{subst } \sigma x) = \hat{\rho}_1(x)$$

Subcase  $t$  is variable  $Y \neq X$ :  $\hat{\rho}(\text{subst } \sigma Y) = \hat{\rho}(Y)$  ✓ (every other variable is mapped to itself in  $\text{subst}$ ;

and similarly  $\hat{\rho}_1(Y) = \hat{\rho}(Y)$  (definition of  $\hat{\rho}_1$ )

Induction Hypothesis: Assume that

for any height of term say  $ht(t) > 0$   
If you're assuming this, then it always holds.

Induction Case  $ht(t) = m + 1$ :  $t$  is of the form  $f(t_1, \dots, t_k)$ .

$$\hat{\rho}(\text{subst } \sigma f(t_1, \dots, t_k)) = \hat{\rho}(f(\text{subst } \sigma t_1, \dots, \text{subst } \sigma t_k))$$

In  $\text{subst } \sigma t$

$f(t_1, \dots, t_k)$  is mapped to  $f(\text{subst } \sigma t_1, \dots, \text{subst } \sigma t_k)$ .

$$\therefore \hat{\rho}(\text{subst } \sigma f(t_1, \dots, t_k)) = \hat{\rho}(f(\text{subst } \sigma t_1, \dots, \text{subst } \sigma t_k))$$

and using IH  $\text{subst } \sigma t_i$

$$= f(\hat{\rho}(\text{subst } \sigma t_1), \dots, \hat{\rho}(\text{subst } \sigma t_k))$$

$$= f(\hat{\rho}_1(t_1), \dots, \hat{\rho}_1(t_k))$$

$$= \hat{\rho}_1(f(t_1, \dots, t_k))$$

$$\therefore \hat{\rho}(\text{subst } \sigma t) = \hat{\rho}_1(t)$$