

# Large Large Cardinals and Inconsistency

Jared Holshouser

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## 1 Measurable Cardinals

**Definition 1.** A cardinal  $\kappa$  is measurable iff there is a non-principal  $\kappa$ -complete ultrafilter on  $\kappa$ .

We usually characterize measurable cardinals differently.

**Definition 2.** Let  $M$  and  $N$  be inner models of ZFC. Then  $j : M \rightarrow N$  is an elementary embedding iff  $j$  is 1-1 and

$$M \models \varphi(x_1, \dots, x_n) \iff N \models \varphi(j(x_1), \dots, j(x_n))$$

for all sentences  $\varphi$ . If  $j$  is not the identity, then there is a least cardinal  $\lambda$  so that  $j(\lambda) \neq \lambda$ . We call  $\lambda$  the critical point of  $j$  and write  $\text{crit}(j) = \lambda$ . Note that  $j(\lambda) > \lambda$ .

**Theorem 1.**  $\kappa$  is measurable iff there is an elementary embedding  $j : V \rightarrow M$  so that  $\text{crit}(j) = \kappa$ .

*Proof.* We sketch the proof. If  $\kappa$  is measurable with measure  $U$ , then we can take the ultrapower of  $V$  by  $U$ , call it  $\text{Ult}(V, U)$ . This is a well-founded model as  $U$  is  $\kappa$  and thus countably complete. Let  $M$  be the transitive collapse of  $\text{Ult}(V, U)$  and  $j : V \rightarrow M$  be the composition of the ultrapower embedding and the collapse map. This is the desired embedding.

Conversely suppose that  $j : V \rightarrow M$  is so that  $\text{crit}(j) = \kappa$ . Define  $U$  by  $X \in U$  iff  $\kappa \in j(X)$ . This is a non-principal,  $\kappa$ -complete, and normal ultrafilter on  $\kappa$ .  $\square$

*Remark 1.* If  $U$  on  $\kappa$  is normal, and we construct  $j : V \rightarrow M$  as in the proof above, then  $j([\text{id}_\kappa]) = \kappa$ . This allows us to prove various things about  $\kappa$ . For instance we can see that  $\{\lambda < \kappa : \lambda \text{ is weakly compact}\} \in U$ .

*Remark 2.* Note that in the definition of measurable above,  $U, j \in V$ . This and the minimality of  $L$  show us that  $L$  cannot have any measurable cardinals. In fact measurable cardinals transcend  $L$  in a much stronger way. If there is a measurable cardinal, then  $|\mathcal{P}(\alpha)^L| = |\alpha|$  for all  $\alpha \geq \omega$ .

**Proposition 1.** Let  $\kappa$  be measurable and let  $j : V \rightarrow M$  be constructed from a  $\kappa$ -complete ultrafilter  $U$  over  $\kappa$ . Then  $M^\kappa \subseteq M$ ,  $V_{\kappa+1} = M_{\kappa+1}$  and  $V_{\kappa+2} \not\subseteq M$ .

*Proof.* Again we sketch the proof. That  $M^\kappa \subseteq M$  follows from the  $\kappa$ -completeness of  $U$ . Let  $X \subseteq V_\kappa$ . Then

$$j(X) \cap V_\kappa = X$$

So  $V_{\kappa+1} = M_{\kappa+1}$ . Finally  $U \in V_{\kappa+2}$ , but  $U \notin M$ .  $\square$

## 2 Large Large Cardinals

The last proposition showed that an embedding generated by a measurable cardinals is limited in how much of  $V$  it can capture. The way to define large large cardinals is to assert the existence of embeddings which capture more and more of  $V$ . We state some of these axioms in increasing consistency strength.

**Definition 3.**

- Let  $\alpha$  be an ordinal.  $\kappa$  is  $\alpha$ -strong iff there is an embedding  $j : V \rightarrow M$  with  $\text{crit}(j) = \kappa$  so that  $\alpha < j(\kappa)$  and  $V_{\kappa+\alpha} \subseteq M$ .
- $\kappa$  is strong iff  $\kappa$  is  $\alpha$ -strong for all  $\alpha$ .
- $\kappa$  is Woodin iff  $\kappa$  for all  $f : \kappa \rightarrow \kappa$  there is an  $\alpha < \kappa$  so that  $f''\alpha \subseteq \alpha$  and an embedding  $j : V \rightarrow M$  with  $\text{crit}(j) = \alpha$  and  $V_{j(f)(\alpha)} \subseteq M$ .
- $\kappa$  is superstrong iff there is an embedding  $j : V \rightarrow M$  with  $\text{crit}(j) = \kappa$  so that  $V_{j(\kappa)} \subseteq M$ .

**Definition 4.**

- Let  $\alpha$  be an ordinal.  $\kappa$  is  $\alpha$ -supercompact iff there is an embedding  $j : V \rightarrow M$  with  $\text{crit}(j) = \kappa$  so that  $\alpha < j(\kappa)$  and  $M^\alpha \subseteq M$ .
- $\kappa$  is supercompact iff  $\kappa$  is  $\alpha$ -supercompact for all  $\alpha \geq \kappa$ .

*Remark 3.*  $\kappa$  is measurable if  $\kappa$  is 1-strong and  $\kappa$  is measurable if  $\kappa$  is  $\kappa$ -supercompact. A Woodin cardinal has a stationary set of measurable cardinals below it.

*Remark 4.* It is overkill, but the existence of a supercompact cardinal is enough to ensure that AD holds in  $L(\mathbb{R})$ , and thus that every constructible set of reals is Lebesgue measurable, Baire measurable, and has the perfect set property.

## 3 Inconsistency

A natural question at this point is whether or not one can find a definable non-trivial elementary embedding  $j : V \rightarrow M$  so that  $V \subseteq M$ . We will show that this is impossible.

**Theorem 2** (Kunen). *Suppose that  $j : V \rightarrow M$  is a non-trivial elementary embedding. Then  $V \neq M$ .*

*Proof.* Let  $\kappa = \text{crit}(j)$  and  $\lambda = \sup \{j^n(\kappa) : n \in \omega\}$ . Let  $A = \{\xi < \lambda^+ : \text{cf}(\xi) = \omega\}$ . This set is stationary. By a standard theorem, we can partition  $A$  into  $\kappa$  many stationary sets  $S_\alpha$ . Let  $f : \kappa \rightarrow \mathcal{P}(\lambda^+)$  by  $f(\alpha) = S_\alpha$ . Note that  $j(\lambda) = \lambda$  from how we have defined  $\lambda$ . Thus

$$\lambda^+ \leq j(\lambda^+) = (\lambda^+)^M \leq \lambda^+$$

and so  $\lambda^+ = j(\lambda^+)$ . Consider  $j(f)$ . This creates partition of  $j(A)$  into  $j(\kappa)$  many stationary (in  $M$ ) sets by elementarity. By the above argument,  $j(A) = A$ . Thus  $j(f)(\kappa) \subseteq A$  is stationary in  $M$ .

By way of contradiction suppose that  $V = M$ . Then  $j(f)(\kappa)$  is stationary in  $V$ . Therefore we have some  $\alpha_0 < \kappa$  so that  $j(f)(\kappa) \cap j(\alpha_0)$  is stationary as  $j(f)(\kappa) = \bigcup_{\alpha < \kappa} [j(f)(\kappa) \cap f(\alpha)]$ ,  $\lambda > \kappa$  and the club filter on  $\lambda^+$  is  $\lambda^+$ -complete. Let

$$C = \{\xi < \lambda^+ : \text{cf}(\xi) = \omega \wedge j(\xi) = \xi\}$$

Then  $C$  is unbounded in  $\lambda^+$ , and  $C$  is closed under countable sequences. Borrowing again from infinite combinatorics, this suffices to guarantee that  $C \cap j(f)(\kappa) \cap f(\alpha_0) \neq \emptyset$  as all elements of  $j(f)(\kappa)$  have cofinality  $\omega$ . Let  $\xi_0 \in C \cap j(f)(\kappa) \cap f(\alpha_0)$ . Then

$$\xi_0 = j(\xi_0) \in j(f(\alpha_0)) = j(f)(j(\alpha_0))$$

So  $\xi_0 \in j(f)(\kappa) \cap j(f)(j(\alpha_0))$ . This contradicts the fact that  $j(f)$  created a partition of  $A$ .  $\square$