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Collaborators: Noah Nguyen
Due January 22, 2021
Spring 2021, CU-Boulder

CSCI 3104, Algorithms Problem Set 1 (50 points)

Advice 1: For every problem in this class, you must justify your answer: show how you arrived at it and why it is correct. If there are assumptions you need to make along the way, state those clearly.

Advice 2: Verbal reasoning is typically insufficient for full credit. Instead, write a logical argument, in the style of a

mathematical proof.

Instructions for submitting your solution:

- The solutions should be typed and we cannot accept hand-written solutions. Here's a short intro to Latex.
- You should submit your work through Gradescope only.
- The easiest way to access Gradescope is through our Canvas page. There is a Gradescope button in the left menu.
- Gradescope will only accept .pdf files.
- It is vital that you match each problem part with your work. Skip to 1:40 to just see the matching info.

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- 1. (a) Identify and describe the components of a loop invariant proof.
 - (b) Identify and describe the components of a mathematical induction proof.

Solution:

- (a) i. **Initialization -** Describes what is true prior to the first iteration of the loop.
 - ii. **Maintenance** Describes how if the loop invariant is true before the iteration of the loop, it is also true after an iteration of the loop.
 - iii. **Termination -** When the loop hits it's termination point, the invariant gives us information to prove that the algorithm is correct.
- (b) i. **Base Case** In the base case you verify that the given statement or equation holds for the first item in the set.
 - ii. **Induction Step -** In the induction step, you prove that since it worked for the n case, it also works for the n+1 case.
 - iii. Conclusion State that since the induction step proved true, the statement is true for all n.

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2. Identify the loop invariant for the following algorithms.

```
(a) function Sum(A)
         answer=0;
        n=length(\mathbf{A});
        for i=1 to n
              answer += A[i]
        end
        return answer
   end
(b) function Reverse (A)
        n = length(\mathbf{A})
         i=ceiling(n/2)
        j = c e i l i n g (n/2) + (n+1) mod 2
        while i > 0 and j \le n
              tmp=A [ i ]
              A[i]=A[j]
              A[j] = tmp
              i=i-1
              j=j+1
        end
   end
```

(c) Assume that A is sorted such that the largest value is at A[n]. Assume A contains the value target.

Solution:

- (a) At the start of iteration i, the variable answer will have the sum of the values in the subarry A[1:(i-1)].
- (b) At the start of each iteration, the subarray A[i+1:j-1] will contain the items from the original array, in reverse order.
- (c) At the start of each iteration, the subarray A[left:right] will contain the target.

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3. Prove the correctness of the following algorithm. (Hint: You need to prove the correctness of the inner loop before you can prove the correctness of the outer loop.)

```
function \operatorname{Sort}(\mathbf{A}) n = \operatorname{length}(\mathbf{A}) for i = 1 to n for j = 2 to n for j = 2 to n if \mathbf{A}[j] < \mathbf{A}[j-1] swap(\mathbf{A}[j], \mathbf{A}[j-1]) //a function that swaps the elements in the array end end end end
```

Solution:

Inner Loop -

Loop Invariant - Prior to iteration j, the value of A[j-1] is the largest in the subarray A[1:j-1]

Initialization - The loop initializes at j = 2. This tells us that A[2-1] = A[1] is the largest value in the subarray A[1:2-1] = A[1:1]. Since A[1:1] is just a single value, with that value being A[1], A[1] must be the largest value.

Maintenence - At the start of the j^{th} iteration, the subarray A[1:j-1] has the largest value at A[j-1]. We examine A[j] to determine if it is less than A[j-1]. If it is, we swap the two. This maintains that the largest value will be at the position of A[j-1], thus the loop invariant is maintained.

Termination - At the start of the last loop j = n, we assume that the loop invariant holds before the last iteration and that A[n-1] is the largest value in the subarray A[1:n-1]. After using the maintenance step, we get that A[j-1] = A[n] is the largest value in the array A[1:n] and we terminate.

Outer Loop -

Loop Invariant - Prior to iteration i, the subarray A[n-(i-1):n] is sorted.

Initialization - The loop initializes at i = 1. This tells us that A[n - (i - 1) : n] = A[n - (1 - 1) : n] = A[n : n] which is an array of one item and is therefore sorted.

Maintenence - At the start of the i^{th} iteration, A[n-(i-1):n] is sorted. Thus when iteration i+1 starts, the inner for loop moves the greatest value in the subarray A[1:n-i] to the rightmost position. This means that the subarray A[n-i:n] will be sorted. Therefore the loop invariant is maintained.

Termination - At the start of the last loop, i = n. We assume that the loop invariant holds before the last iteration, meaning that A[2:n] is sorted. Using the maintainence step, we get that A[1:n] is sorted. i then incriments and we terminate with A sorted.

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4. (a) Suppose you have a whole chocolate bar composed of $n \geq 1$ individual pieces. Prove that the

minimum number of breaks to divide the chocolate bar into n pieces is n-1.

- (b) Show that for fibonacci numbers $\sum_{i=1}^{n} f_i^2 = f_n f_{n+1}$ Recall that the fibonacci numbers are defined as $f_0 = 0, f_1 = 1$ $\forall n > 1, f_n = f_{n-1} + f_{n-2}$
- (c) For which nonnegative integers n is $3n + 2 \le 2^n$? Prove your answer.

Solution:

(a) Proof by weak induction -

Base Case -

If there is 1 piece of chocolate, there are 0 breaks since there is only a single square of chocolate. (like you just got it out of the wrapper)

number of breaks
$$= n - 1 = 1 - 1 = 0$$

 $0 \stackrel{\checkmark}{=} 0$

Inductive Step -

Inductive Hypothesis - Assume that every chocolate bar with n pieces has n-1 breaks.

Let C be a chocolate bar with n+1 pieces.

Let C' be a chocolate bar with one less piece.

C' has n pieces, By the inductive hypothesis, C' has n-1 breaks.

Thus, C has n+1 pieces and n breaks because it has one more break than C'

Conclusion -

Since n was arbitrary, we've shown that all chocolate bars with with n pieces have n-1 breaks

(b) Proof by weak induction -

Base Case -

$$n = 2$$

$$\sum_{i=1}^{2} f_i^2 = f_1^2 + f_2^2 = 1^2 + 1^2 = 2$$

$$f_2 \cdot f_3 = 1 \cdot 2 = 2$$

$$2 \stackrel{\checkmark}{=} 2$$

Inductive Step - Assume that $\sum_{i=1}^{n} f_i^2 = f_i \cdot f_{i+1}$ for some $n \ge 2$

$$\sum_{i=1}^{n+1} f_i^2 = \sum_{i=1}^n f_i^2 + f_{n+1}^2 \qquad \text{(By properties of sums)}$$

$$= f_n \cdot f_{n+1} + f_{n+1}^2 \qquad \text{(By inductive hypothesis)}$$

$$= f_{n+1} \left(f_n + f_{n+1} \right) \qquad \text{(Factor out a } f_{n+1} \right)$$

$$= f_{n+1} \cdot f_{n+2} \qquad \text{(Simplify } f_n + f_{n+1} \text{ to } f_{n+2} \text{ using definiton of fibonacci numbers)}$$

Conclusion -

Therefore by weak induction, we've shown that $\forall n > 1, \sum_{i=1}^{n} f_i^2 = f_n \cdot f_{n+1}$

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(c) Proof by weak induction - $Base\ Case$ -

$$n = 4$$
$$(3 \cdot 4) + 2 = 14$$
$$2^{4} = 16$$
$$14 \leq 16$$

Inductive Step - Assume that $3k + 2 \le 2^k$ for all $k \ge 4$

Substitute k+1 in and simplify

$$3(k+1) + 2 \le 2^{k+1}$$
$$3k + 5 \le 2^{k+1}$$

Solve the original equation for k+1

$$3k+2 \le 2^k$$
 (start with the inductive hypothesis) $2(3k+2) \le 2^k \cdot 2$ (multiply both sides by 2) $6k+4 \le 2^{k+1}$ (distribute the left, simplify the right) Ok, since we have that $6k+4 \le 2^{k+1}$ (distribute the left, simplify the right)

Properties of inequalities

since
$$3k + 5 \le 2^{k+1}$$

and $6k + 4 \le 2^{k+1}$
and $3k + 5 \le 6k + 5 \quad \forall k \ge 4$
 $\therefore 3k + 5 \le 6k + 4 \le 2^{k+1}$

Conclusion - Since we have shown above that when you substitute k+1 into the original equation, you get that 2^{k+1} must be greater than 3k+5 and below we've shown that 2^{k+1} must also be greater than 6k+4, and 6k+4 must always be greater than 3k+5 (since we're working with all numbers greater than 4), we've shown that $\forall n \geq 4, 3n+2 \leq 2^n$