Name: Jaryd Meek

Spring 2021, CU-Boulder

ID: □

Collaborators: Noah Nguyen, Emily Parker Due April 2, 2021

CSCI 3104, Algorithms Problem Set 9 (50 points)

Advice 1: For every problem in this class, you must justify your answer: show how you arrived at it and why it is correct. If there are assumptions you need to make along the way, state those clearly. Advice 2: Verbal reasoning is typically insufficient for full credit. Instead, write a logical argument, in the style of a

mathematical proof.

#### Instructions for submitting your solution:

- The solutions should be typed and we cannot accept hand-written solutions. Here's a short intro to Latex.
- You should submit your work through Gradescope only.
- The easiest way to access Gradescope is through our Canvas page. There is a Gradescope button in the left
- Gradescope will only accept .pdf files.
- It is vital that you match each problem part with your work. Skip to 1:40 to just see the matching info.

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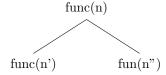
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- 1. Recall that the fibonacci numbers form a sequence wherein each number is the sum of the previous two numbers in the sequence.
  - (a) Give the recurrence relation for the definition of the fibonacci sequence.
  - (b) Assume you have a program that implements the function fib(n) to compute the nth fibonacci number with the recursive approach. Draw the recursion tree of function calls to compute fib(4)

Tree Example of function func using tikz:



\*Note if you want to make the tree with a different program, you can simply embed an image of it in the latex submission. A handwritten tree is also acceptable if it is extremely legible.

(c) See the following function that uses a dynamic programming trick to implement fib(n) with a faster runtime than the recursive one. Give the time complexity in terms of  $\mathcal{O}()$  for the recursive implementation, as well as for Algorithm. 1 below. You do not need to write a proof. Explain why the dynamic programming algorithm is faster.

```
def fib(n):
memo \leftarrow [0,1];
for i in 2..n do
    memo[i] \leftarrow memo[i-1] + memo[i-2];
\mathbf{end}
return memo[n]
```

Algorithm 1: Dynamic fibonacci

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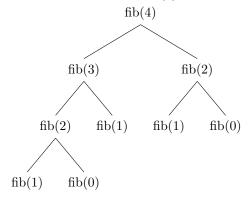
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# Solution:

(a) The recurrence relation for the fibonacci sequence is

$$U_a(n) = \begin{cases} U_a(n-1) + U_a(n-2) & \text{when } n \ge 2, \\ 1 & \text{when } n = 1, \\ 0 & \text{when } n = 0. \end{cases}$$

(b) The recurrence tree for fib(4) is



(c) The runtime for fib(n) is

Recursive - 
$$\mathcal{O}(2^n)$$
  
Dynamic -  $\mathcal{O}(n)$ 

This is due to the fact that the recursive implementation has to check the same calculation over and over, while the dynamic program calculates it once and stores it. This causes the dynamic implementation to use more memory storing the previous calculations, but means that it operates significantly faster.

As can be seen from the recursion tree above, the recursive implementation has at most  $2^n$  nodes, therefore it is  $\mathcal{O}(2^n)$ 

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- 2. Consider the Knapsack problem for the list A = [(4,3),(1,2),(3,1),(5,4),(6,3)] of (weight, value) pairs. The weight threshold is W = 10.
  - (a) Fill in the table below using the bottom-up DP algorithm.

Weight	Value	w = 0	1	2	3	4	5	6	7	8	9	10
-	-											
4	3											
1	2											
3	1											
5	4											
6	3											

- (b) Write an algorithm that prints the optimal subset of items once the bottom-up DP algorithm has finished. Your algorithm should only use the filled in table and the inputs to the bottom-up algorithm.
- (c) Highlight in red the numbers in each cell that your algorithm from part (b) visits. (Circle each cell that is part of the optimal solution. (Indicate this on the same table from part (a).)
- (d) Does the order that we consider the items change the optimal solution? Explain why or why not.

### Solution:

	Weight	Value	w=0	1	2	3	4	5	6	7	8	9	10
	-	-	0	0	0	0	0	0	0	0	0	0	0
	4	3	0	0	0	0	3	3	3	3	3	3	3
(a)	1	2	0	2	2	2	3	5	5	5	5	5	5
	3	1	0	2	2	2	3	5	5	5	6	6	6
	5	4	0	2	2	2	3	5	6	6	6	7	9
	6	3	0	2	2	2	3	5	6	6	6	7	9

(b) The following is written in Python.

Inputs to the function -

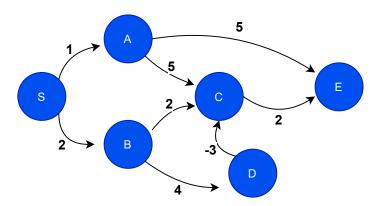
Chart is a 2d array of the chart after the bottom-up DP algorithm has finished.

Items is a 2d array of the weights and their values in the form [[weight, value], [weight, value]]

```
def knapsack(chart, items):
 output = []
 x = len(chart[0]) - 1
 y = len(chart) - 1
 while y > 0:
     if chart[y-1][x] < chart[y][x]:
         x = items[y-1][0]
         output.append(items[y-1])
     y -= 1
 print(output)
```

(d) No, the order that we consider the items does not change the optimal solution. It would only change the order that our algorithm finds the items. This is because we have considered every combination when filling out the table, which causes the order to not matter.

3. Consider the directed graph G = (V, E), pictured below. We define the minumum cost of a path from vertex u to vertex v to be the minumum of the edge weights along that path. For example, the minimum cost of the path from A to E is 5.



(a) Fill in the table below with the minimum cost to get from each node to every other node. Assume that paths start from the row node (i.e. cell (1,2) corresponds to the path starting at node S and ending at node A). If a path between two nodes does not exist, fill the cell with NA.

	S	A	В	С	D	Е
S	0	1	2	3	6	5
A	NA	0	NA	5	NA	5
В	NA	NA	0	1	4	3
С	NA	NA	NA	0	NA	2
D	NA	NA	NA	-3	0	-1
E	NA	NA	NA	NA	NA	0

(b) Recall that the Bellman Ford algorithm can find the shortest paths of this graph by iteratively relaxing all edges. Given the order of edges below, show all of the updates that Bellman Ford would make to the cost of each vertex in the graph.

1. (S,A) 2. (S,B) 3. (A,C) 4. (B,C) 5. (A,E) 6. (B,D) 7. (D,C) 8. (C,E)

Fill in here:

- S:
- A:
- B:
- C:
- D:
- E:
- (c) Consider a cyclic graph (one in which there is a path from some node u that can return to u). Under what circumstances are we unable to define an exact shortest path between two nodes in this graph?

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# Solution:

		S	A	В	С	D	Е
	S	0	1	2	3	6	5
	A	NA	0	NA	5	NA	5
(a)	В	NA	NA	0	1	4	3
	С	NA	NA	NA	0	NA	2
	D	NA	NA	NA	-3	0	-1
	Е	NA	NA	NA	NA	NA	0

- S: 0 (b)
  - A:  $\infty$ , 1
  - B:  $\infty$ , 2
  - C:  $\infty$ , 6, 4, 3
  - D:  $\infty$ , 6
  - E:  $\infty$ , 6, 5
- (c) We are unable to define an exact shortest path between two nodes any time we have a cyclic graph with a negative weight cycle.





Since this loop has a cycle that has a cost of -1 algorithms fail on it since it'd be theoretically possible to have a  $-\infty$  cost by going through the negative cycle infinitely.

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- 4. Consider an algorithm for clustering words together that are likely to be similar. One metric for weighing the similarity of words is by their Minimum Edit Distance. Recall this algorithm from lecture, and assume that the operations are weighed as follows:
  - Insertion = 1
  - Deletion = 1
  - Substitution = 2
  - (a) Fill in the below table with the edit distance of the two strings, and then specify the minimum edit distance between them.

	#	D	Е	F	I	Е	S
# F							
F							
I							
N							
Е							

(b) Assuming we weight edit operations with functions  $w_i$ ,  $w_d$ , and  $w_s$  for insertion weight, deletion weight, and substitution weight, respectively, give the local recurrence of the minimum edit distance algorithm of the strings X and Y. You can ignore the cases of  $X_i, Y_j$  where i or j are 0.

Hint: For any cell in the above table beyond the comparisons in the 1st row or column, give the equation that determines the value in the cell, in terms of the previous cells.

### Solution:

		#	D	Е	F	I	Е	S
	#	0	1	2	3	4	5	6
(a)	F	1	2	3	2	3	4	5
(a)	I	2	3	4	3	2	3	4
	N	3	4	5	4	3	4	5
	Е	4	5	4	5	4	3	4

(b) The recurrence relation is

The recurrence relation is 
$$cost(X,Y,i,j) = \begin{cases} cost(X,Y,i-1,j-1) & \text{if } X[i] = Y[j] \\ cost(X,Y,i,j-1) + w_i & \text{insertion} \\ cost(X,Y,i-1,j) + w_d & \text{deletion} \\ cost(X,Y,i-1,j-1) + w_s & \text{substitution} \end{cases}$$
 otherwise