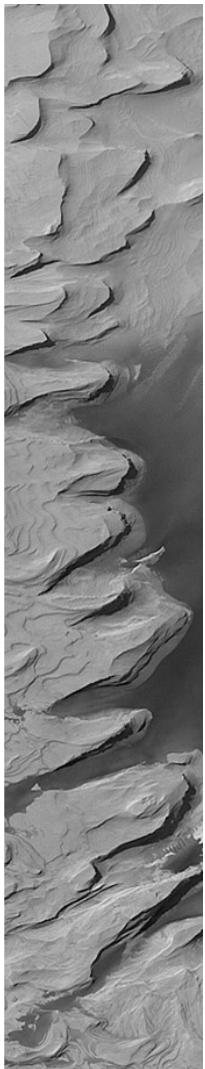




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Novel Ultrasonic Horn Designs for Extraterrestrial Applications

Stewart Sherrit

Jet Propulsion Laboratory, Caltech

April 18, 2012

UIA Presentation – San Francisco – April 2012

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Outline

- What does JPL do?
- Who are we?
- What we do!
- Chronology of Sampling technology development
 - Lithotripsy
 - Ultrasonic Sonic Driller Corer USDC
 - USDC – Ultrasonic Rock Abrasion Tool (URAT)
 - Inverted Stepped Horns
 - Folded Horns
 - Flipped horns
 - Dog Bone Horns
 - Flexure Monolithic Horns
 - Asymmetrically grooved horns

What do we do?

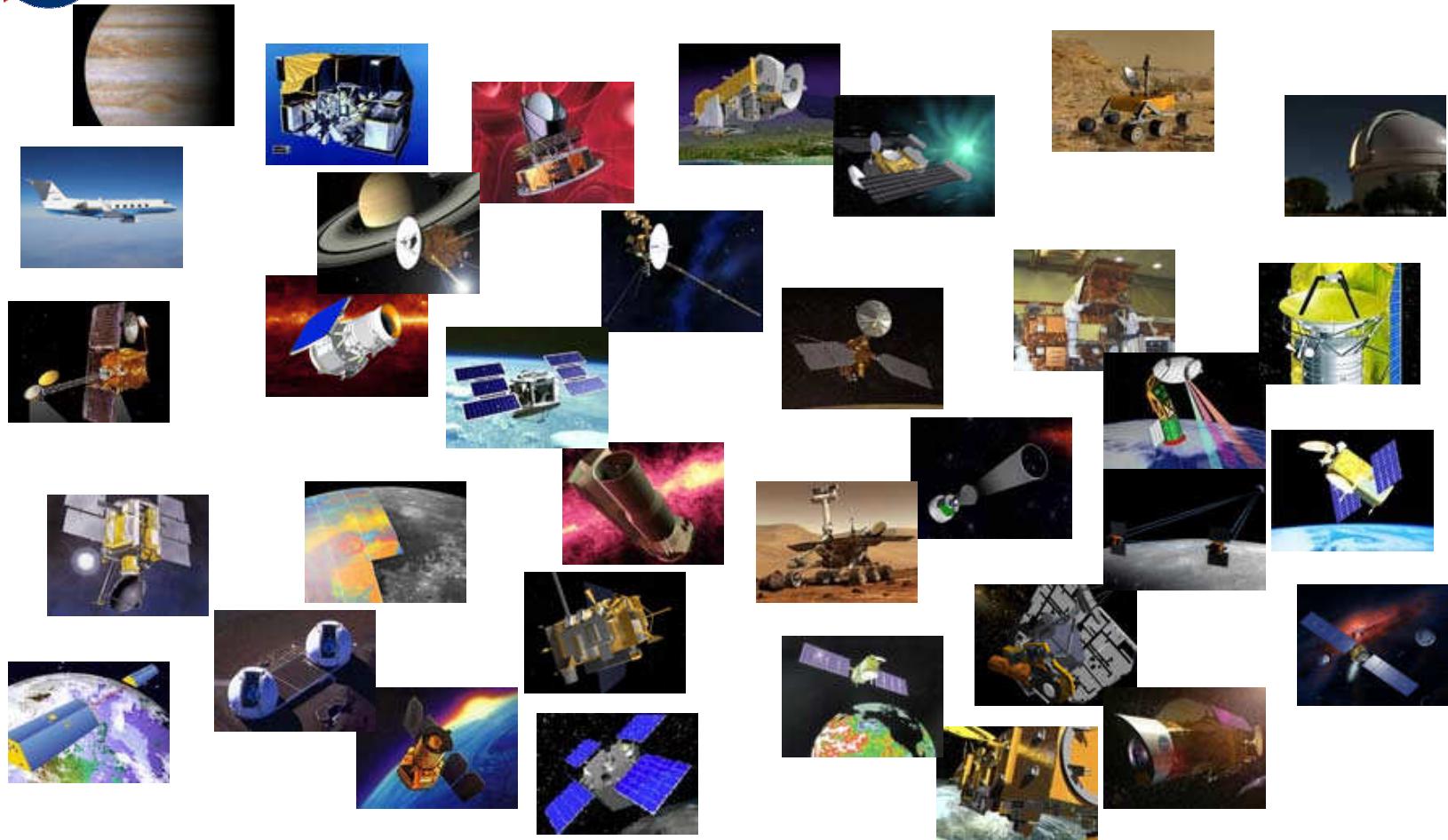
Currently over 30 missions with JPL instruments

Exploring the Solar System (March 2012)



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See - <http://www.jpl.nasa.gov/missions/index.cfm?type=current>



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Example of a current Mission at JPL!

Mars Science Laboratory MSL – Landing August 2012!



<http://mars.jpl.nasa.gov/msl/multimedia/videos/index.cfm?v=24>



Advanced Technologies Group/ NDEAA Lab - Who Are We?

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Compilation of Physicists and Mechanical Engineers with backgrounds in Ultrasonics, Mechanisms, Piezoelectrics, NDE, Dynamics

The JPL's Nondestructive Evaluation and Advanced Actuators (NDEAA) Technologies lab is involved with innovative research and development (R&D) for space applications and technology transfer to other fields. It was established by Dr. Yoseph Bar-Cohen in May 1991 and it is part of his JPL's Advanced Technologies Group for which he is the Supervisor. Dr. Bar-Cohen and his Group members are internationally leading scientists in the field of electroactive materials and related mechanisms. The members of his Group are Dr. Mircea Badescu, Dr. Xiaoqi Bao, Dr. Zenshue Chang, Dr. Shyh-Shiu Lih, and Dr. Stewart Sherrit. In addition, thru the JPL Educational Outreach Program professors, visiting scientists and students are participating in various studies at this lab. A homepage of the NDEAA Lab is part of the [JPL's Science and Technology Website](#). The topics of R&D include novel actuators (mostly using electroactive ceramics and polymers), drilling and sampling systems, transducers, sensors, robotic mechanisms and NDE methods. The group conducts analytical modeling, development, design and fabrication of novel mechanisms and devices, performance testing and characterization as well as analysis that involve thermal, electrical, magnetic and thermal parameters and interactions. Between 1, 2000 and July 1, 2001, the NDEAA website had 1,000,000 unique visitors with 67,082 unique hits and by Sept. 3, 2001 it crossed the one hundred thousand [100,000] unique hits. The highest hits per day was recorded on March 9, 2005, where 9063 total hits and 3626 unique hits were recorded. This lab has been the subject of [many articles in the news media](#). The NDEAA lab is involved with a broad range of R&D topics as described in the following clickable icons. The photos of the NDEAA members are clickable to their biography.

[We have a POSTDOC POSITION OPPORTUNITY At the JPL's NDEAA Lab.](http://ndeaa.jpl.nasa.gov)

<http://ndeaa.jpl.nasa.gov>



What we do: Our Niche

Sampling

- Drills
- Corers
- Rock Abrasion Tools
- Powder Samplers

Sample Handling

- Powder Vibrators
- Vibrating Funnels
- Vibrating Sample Holders

Micro-positioners

- Active mirrors
- Linear Actuators
- Rotary Actuators

Usually use piezoelectric materials

Although we are open to other ways of doing it!!!

Micro-positioners eg. Space Interferometry Mission

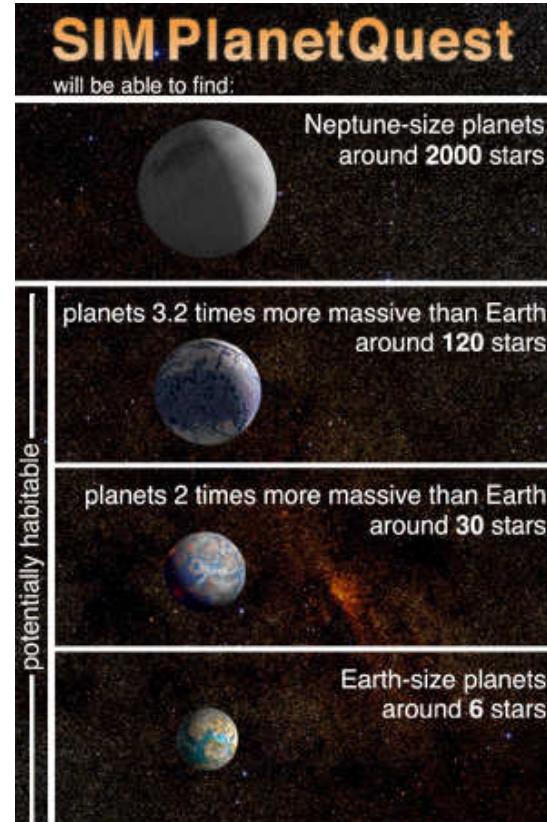


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- 3 interferometers
- 2x7.2 and 9 m baselines
- Earth trailing solar orbit
- 5.5 year mission life with goal of 10 years
- Over 200 piezoelectric stacks
- MISSION CANCELLED - 2011



Micro-positioners – SIM – Our role

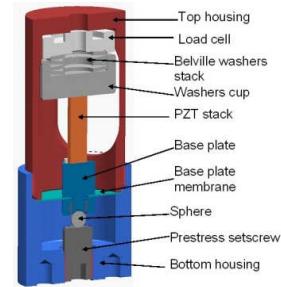


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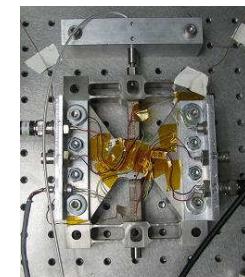
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Develop a series of life tests to insure full mission life

AC lifetest#1 60 Vpp, 2kHz, 10^{10} cycles



AC lifetest#2 20 Vpp, 2kHz, 10^{11} cycles



DC life test - 100 Volts DC- Controlled Humidity

Incomplete due to mission cancellation

See Sherrit et al. 2008, 2011

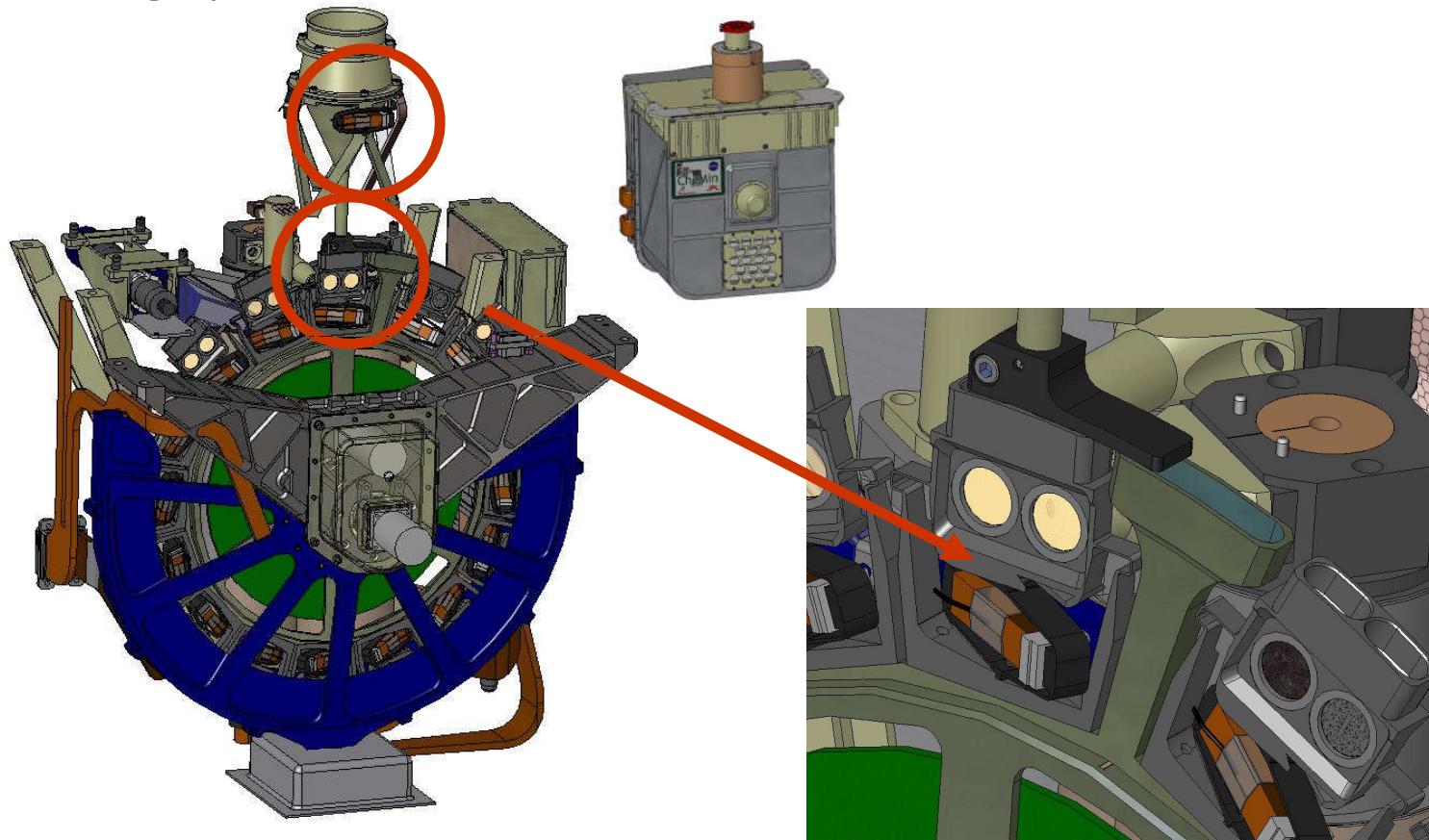
MSL Instrument - CHEMIN – XRD XRF – Sample cell shaker and inlet funnel



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Original Design- problems at Mars ambient and under launch random vibe.



Sample Handling – Our Role



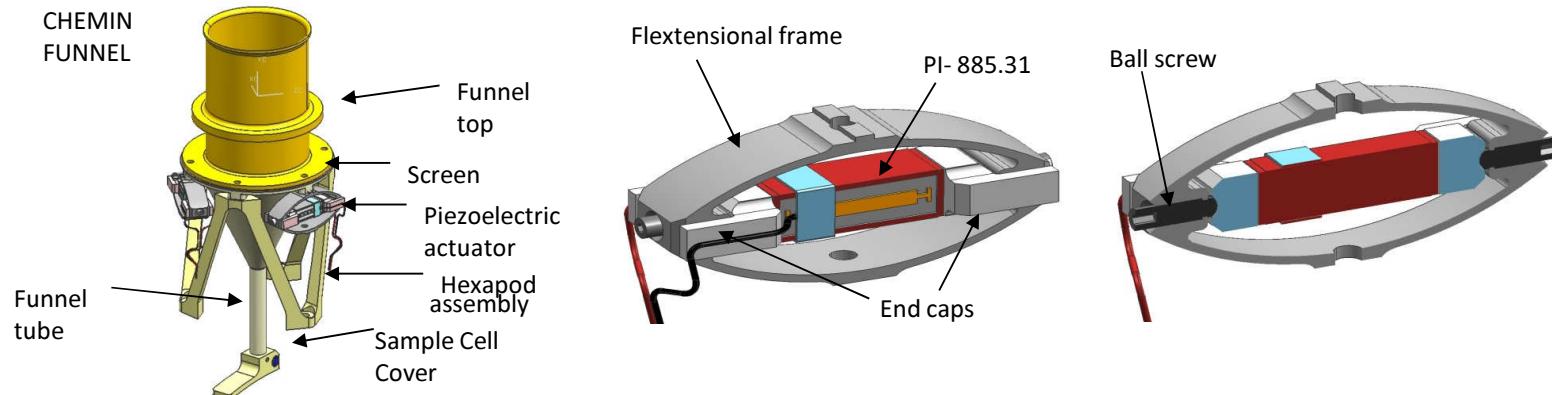
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MSL has 21 piezoelectric actuators for sample handling and instrument cells

- 2 on SAM inlet funnel
- 3 on CHEMIN inlet funnel for powder delivery assist
- 16 on CHEMIN instrument sample wheel



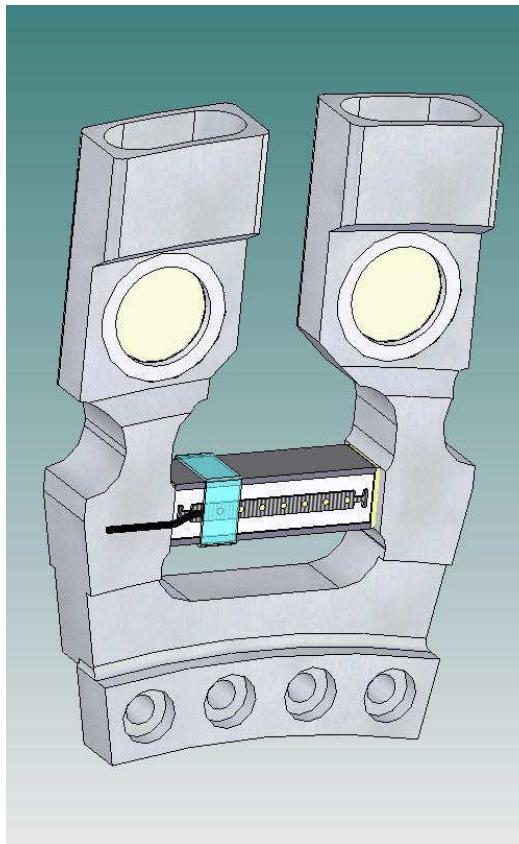
See Sherrit et al. 2009

Sample Handling – Our Role



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MSL Sample cell Shakers Re-design

Phillippe Sarrazin (Inxitu) came up with tuning fork design and with Eric Olds (Swales) they came up with

Problems

- 1/ Thermal analysis showed marginal thermal stress on piezoelectric
- 2/ Wheel mounting created anisotropic stress. (Energy to wheel)

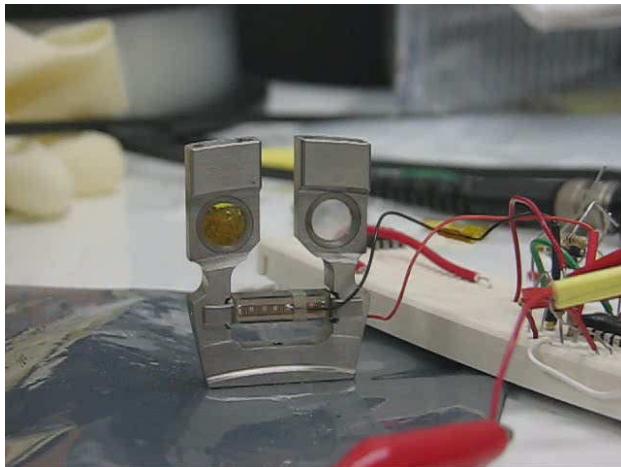
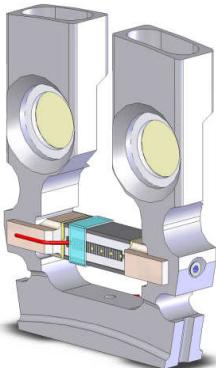
Sample Handling – Our Role



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MSL Sample cell Shakers Re-design fine tuning -



Solutions

- 1/ Shorten Piezoelectric
- 2/ Use Invar end caps
- 3/ Use ball/set screw mount
- 4/ Soften Tuning fork
- 5/ make base symmetric

See <http://msl-scicorner.jpl.nasa.gov/Instruments/CheMin/>



Sampling

Started out looking at pure ultrasonics with Cybersonics Inc. (Erie, PA)
Discussions with Cybersonics Inc. led to the development of the USDC

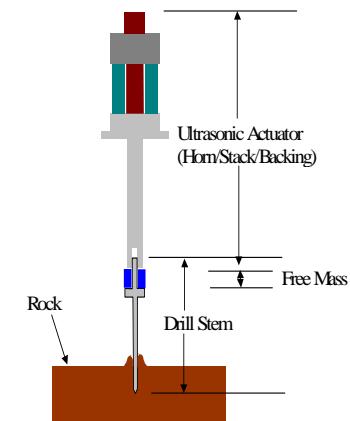
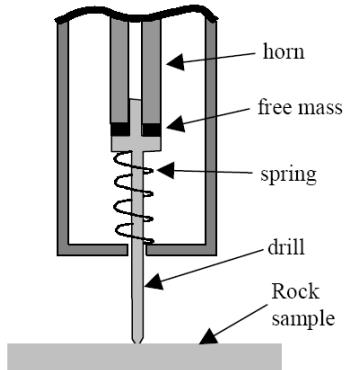
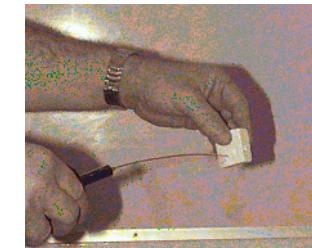


Figure 8. Schematic cross section of the drill assembly with restoring spring and impedance matching mass.

First paper describing the technology - see Sherrit et al. (1999)



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Sampling

Initial development was mainly experimental- looking at ways to improve or expand the technology.



<http://www.youtube.com/watch?v=phLiWya1sGo>

First real engineering occurred when USDC was selected (URAT) for backup Rock Abrasion Tool on the Mars Exploration Rovers (MER)

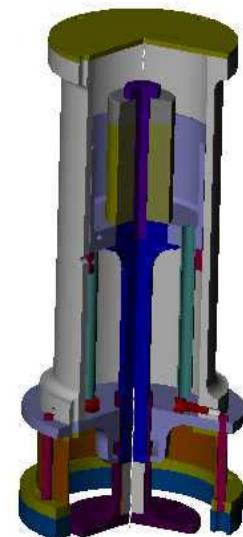
Sampling



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(URAT) Ultrasonic Rock Abrasion Tool -Mechanical design and fabrication along with flight like electronics (FPGA switching H-bridge)



The initial response was, “This is great. Can you make it Shorter?”
Which is how we started to develop novel Ultrasonic horns



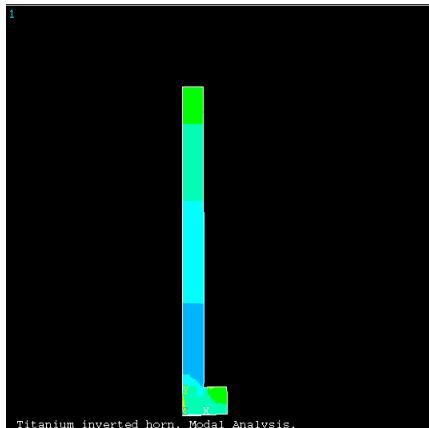
Sampling – Folded Horns

Q: How do you create at 20 kHz ultrasonic horn and make it short?

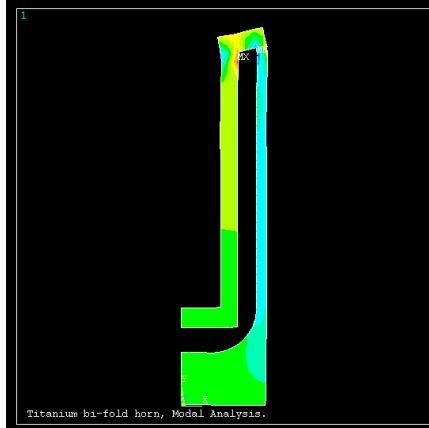
A1: Fold it

Axisymmetric Models

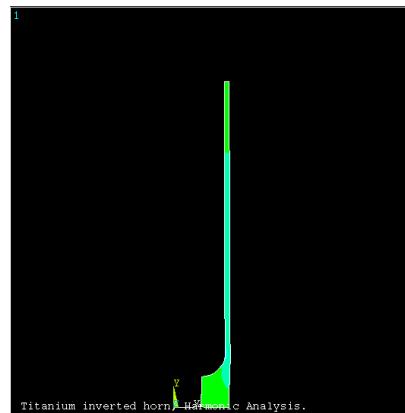
Stepped



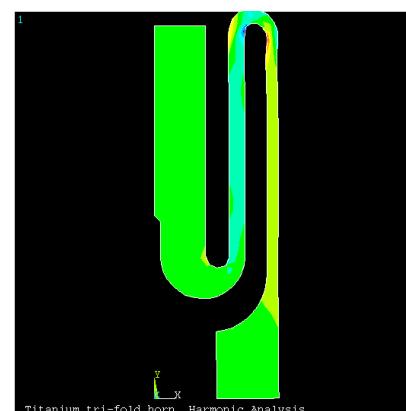
1 fold



Inverted
Stepped



2 fold



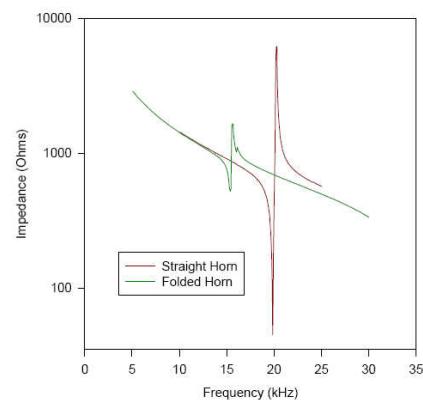
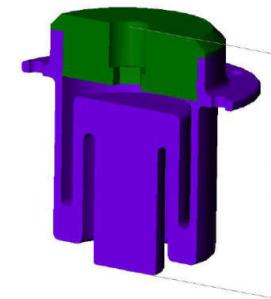
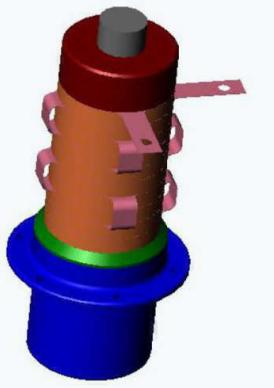


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Sampling – Folded Horns

Design and tested the doubly
Folded horn-



Sampling – Folded Horns



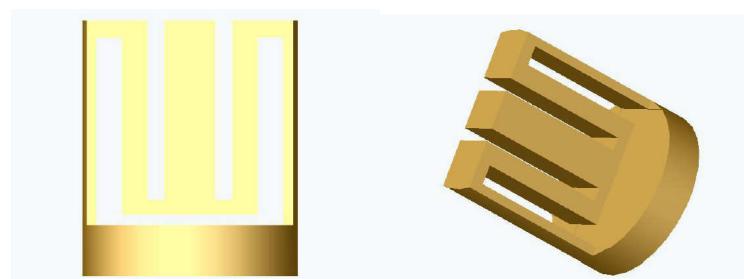
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Incorporated the horn in a non-pneumatic rock powder sampler

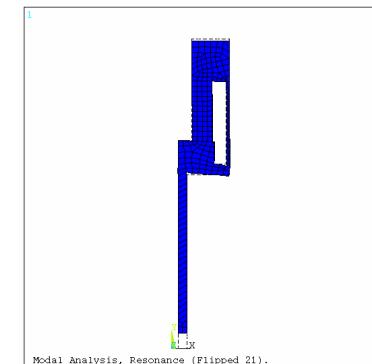
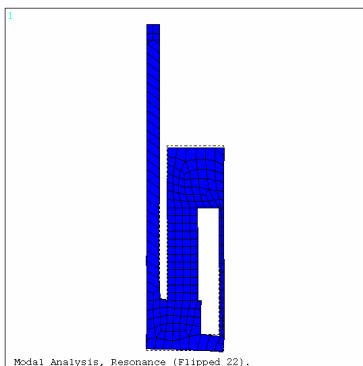
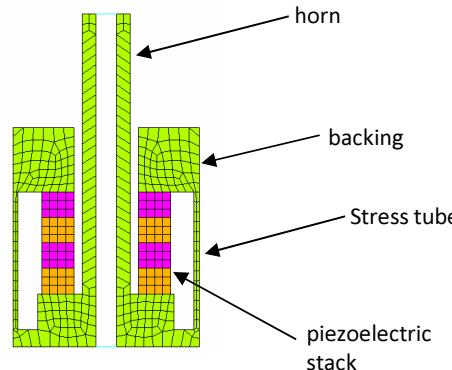


We also came up with a solid planar
Monolithic version. See Sherrit et al. 2002



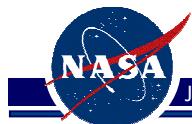


Sampling – Flipped Horns



	Flipped horn	Standard horn	Difference (%)
Resonance frequency (Hz)	18061	17950	0.61
Horn-tip disp. (m), 1 volt	4.62×10^{-7}	4.63×10^{-7}	0.22
Electric current (amp), 1 volt	1.05×10^{-2}	1.04×10^{-2}	0.95
Max. stress (Pa), 1 volt	2.50×10^6	2.24×10^6	10.4
Horn-tip disp. (m), 1 watt	4.51×10^{-6}	4.54×10^{-6}	0.67

Table 1. Comparison of performance of the flipped and the standard horns.
See Chang et al. 2005

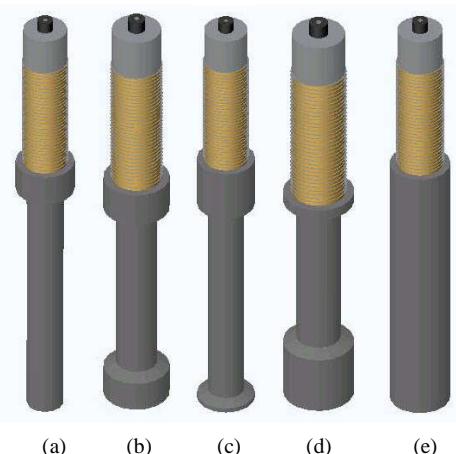


Sampling – Dog-bone Horns

For an impact device the interaction time is of the order of $50 \mu\text{s}$
 Which suggest at the tip for maximum momentum transfer the tip
 Thickness should be less than $\approx 50 \mu\text{s} * 4000 \text{ m/s} = 0.02 \text{ m}$

The 5 designs of ultrasonic horn studied:

- a) Conventional
- b) Neck at middle span of horn
- c) Neck moved down 20 mm
- d) Neck moved up 20 mm
- e) No neck



Horn Type	Horn length (mm)	Resonance (Hz)	Anti-Resonance (Hz)	Coupling Factor	Max. Displacement (mm)
Conventional	250	5314	5726	0.372	0.209
Neck at middle	200	5473	5947	0.391	0.185
Neck up 20 mm	175	5421	5916	0.400	0.185
Neck down 20 mm	255	5266	5666	0.369	0.209
No Neck	240	5304	6016	0.472	0.133

See Chang et al. 2004

Sampling – Dog-bone Horns Analytical Modeling



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Integrated program package:
Modal analysis – Resonance
Modal analysis – Anti-resonance
Harmonic analysis
Simplified integrated model
Impact analysis
Spring-mass model

Resonance frequency 5195Hz

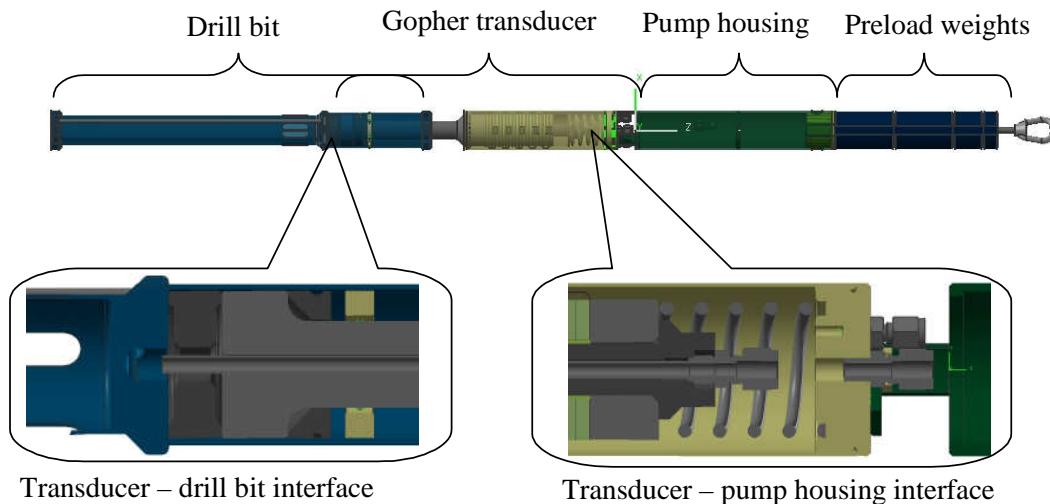


Sampling – Used Dog-bone Horn to produce Ice gopher Wire-line hammer drill

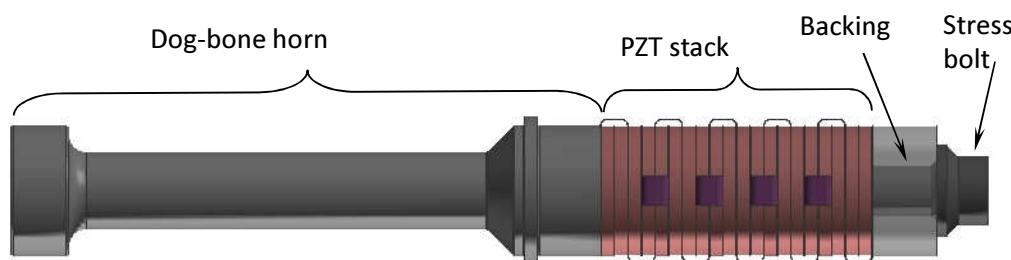


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Components
Gopher
Actuator(Transducer)
Drill bit
Free mass
Pump
Preload

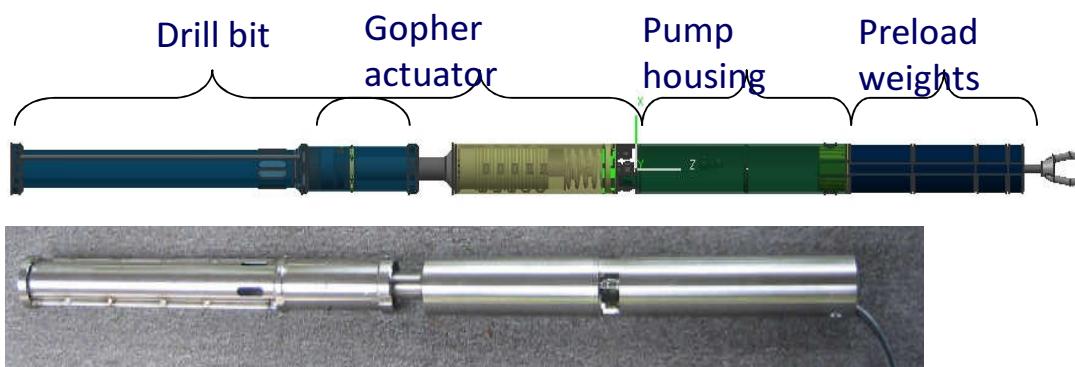
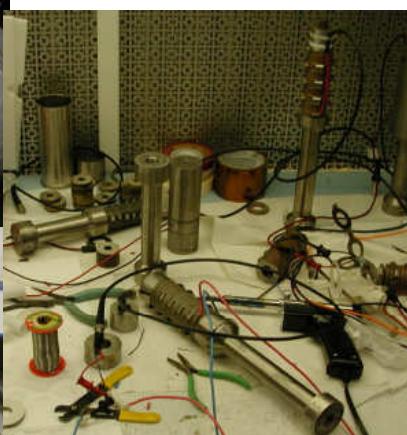


Gopher Design Fabrication



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Gopher Field Trips – Mt. Hood, Oregon



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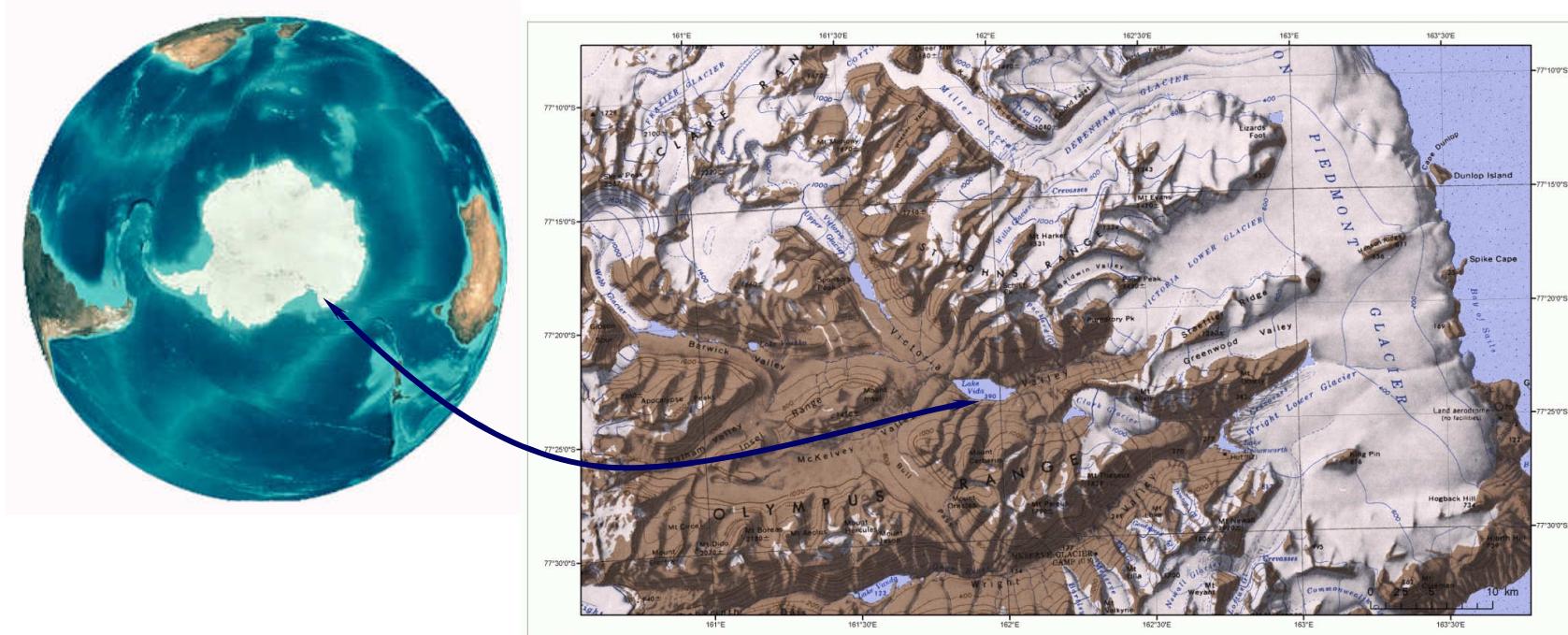


Gopher Field Trips – Lake Vida, Antarctica



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Lake Vida (77.23°S 162°E) in the McMurdo Dry Valleys, Antarctica, offers a Mars analog environment for testing the detection and description of life in a previously unstudied extreme ecosystem

Gopher Field Trips – First McMurdo, Antarctica



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Gopher Field Trips – Lake Vida, Antarctica



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Gopher Field Trips – Lake Vida, Antarctica



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Gopher Field Trips – Lake Vida, Antarctica



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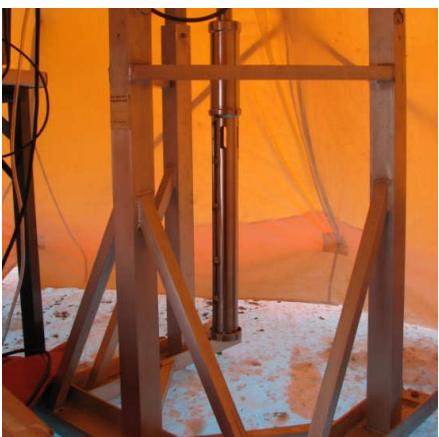
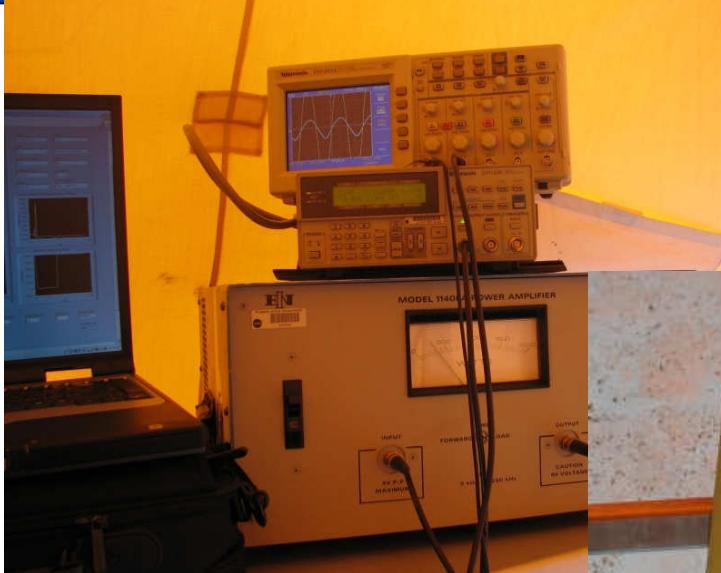


Gopher Field Trips – Lake Vida, Antarctica



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Gopher Field Trips – Lake Vida, Antarctica Results



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Demonstrated that the technology is feasible
Learn about challenges and design changes required to enhance
its drilling capability

Drilling depth of 1.76m is more than the total length of the
Gopher including its support elements (pump, preload weights,
etc.)



Ventifacts



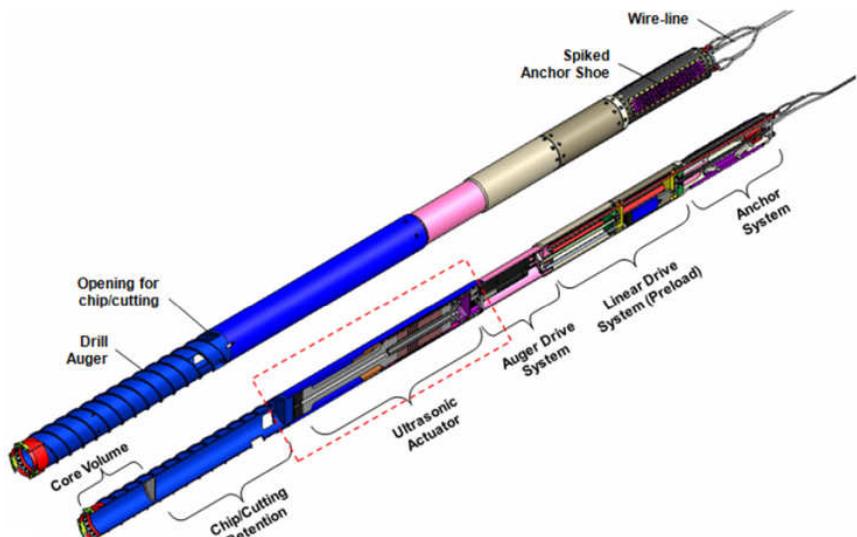
Current version is Auto gopher



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Rotary Hammer with sidewall anchors for deeper drilling



Demonstrated 2 m in limestone



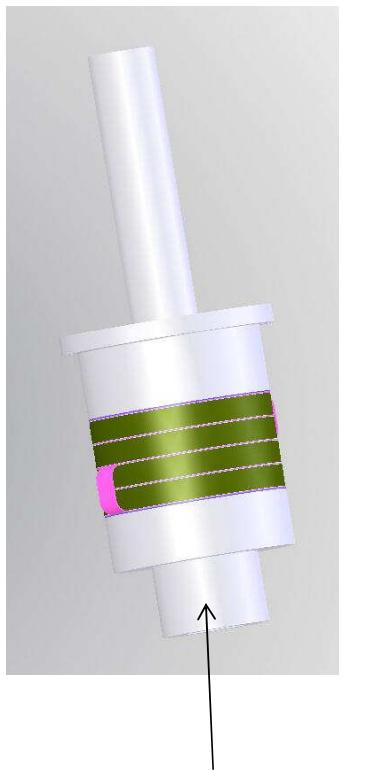
See Bar-Cohen et al. 2012

Flexured horns: What are we doing?

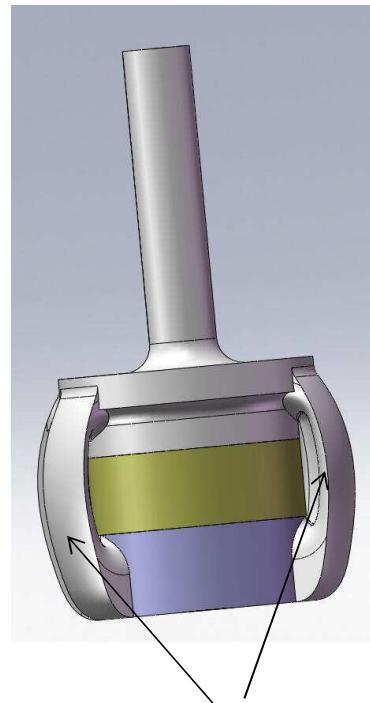


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Stress bolt



Pre-stress flexures

Flexured horns: Why?

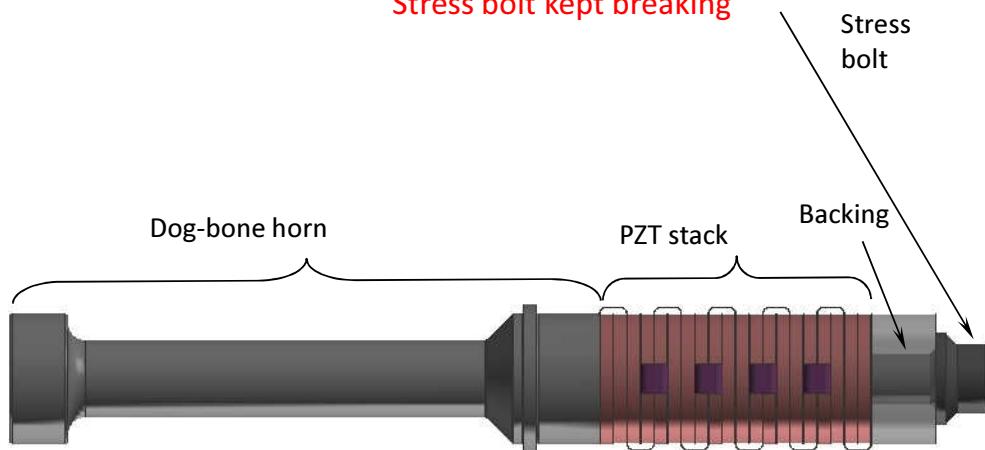


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When designing Gopher Actuator-Transducer

Stress bolt kept breaking



What other benefits of Pre-stress flexure



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1. Increase manufacturing yield of disks vs rings
2. No Internal Discharge to stress bolt
3. Higher energy density
4. Pre-stress is not limited by bolt diameter
5. Actuator has higher coupling
since it is not working against stiff bolt
6. Softer spring increases thermal preload stability



High Temperature
Drill 500 °C

Bellville Washers

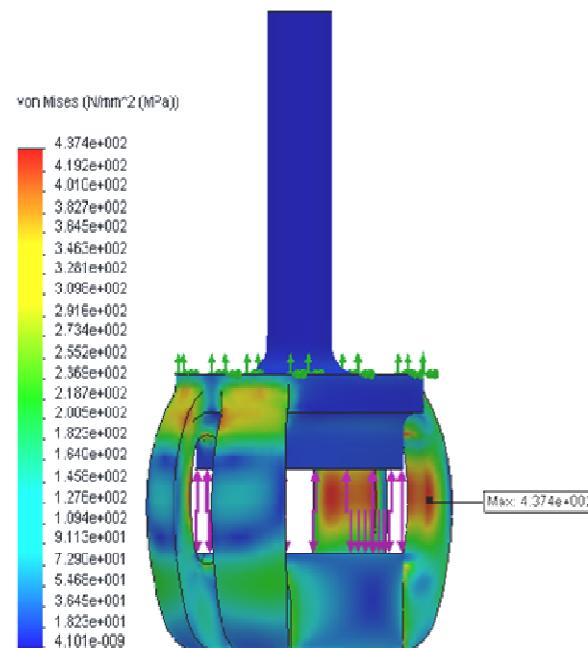
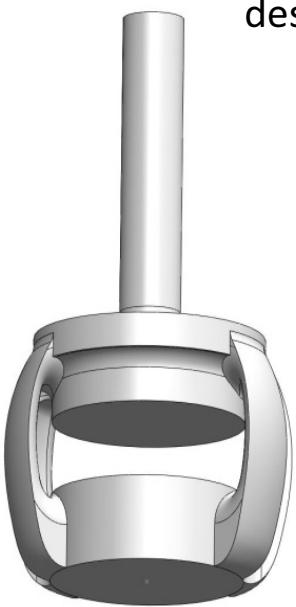
Design Example



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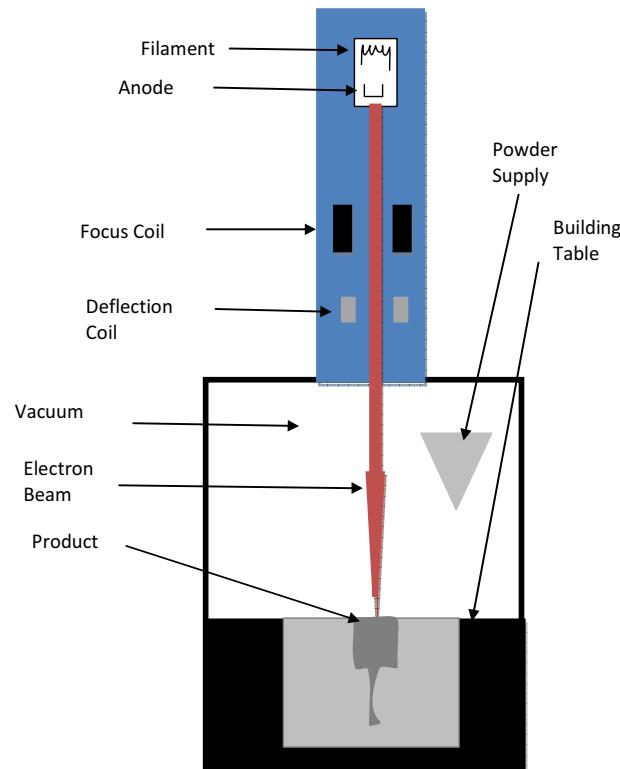
Monolithic
design





Manufacturing method - EBM

Chose EBM – Electron Beam Melting CALRAM Inc.



Examples from Arcam AB

- Landing gear component
- Tri-flange Implant

www.arcam.com

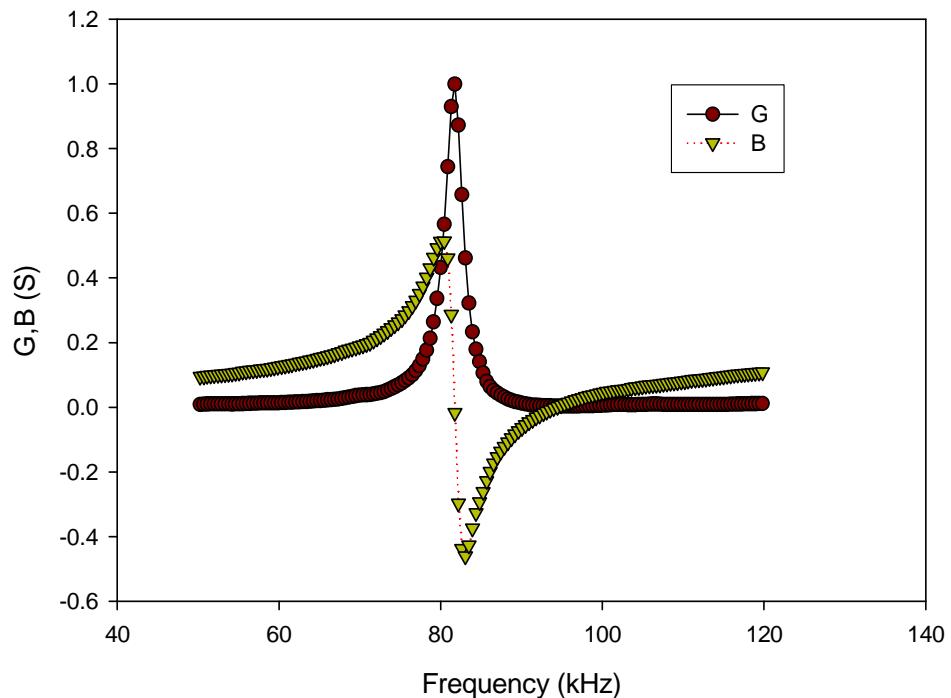


The design is also amenable to investment casting and other low cost high production techniques.



Can Use Solid Stacks

Impedance spectra of the bare Piezomechanik GmbH bipolar stack
(9.33 mm, 25.4 OD)



Small signal resonance analysis
the bare stack

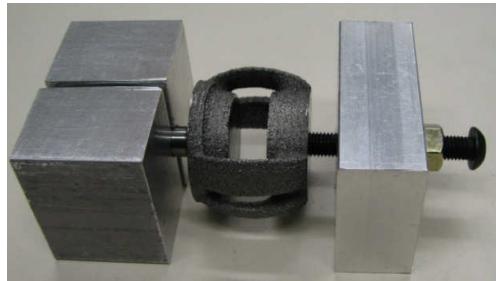
Material $d_{33}^{eff} = 480 \text{ pC/N}$
Capacitance $C = 261 \text{ nF}$.
 $\text{Coupling } k_{33} = 0.56$
 $sE33 = 5.4 \times 10^{-11} \text{ m}^2/\text{N}$
 Q was 30.



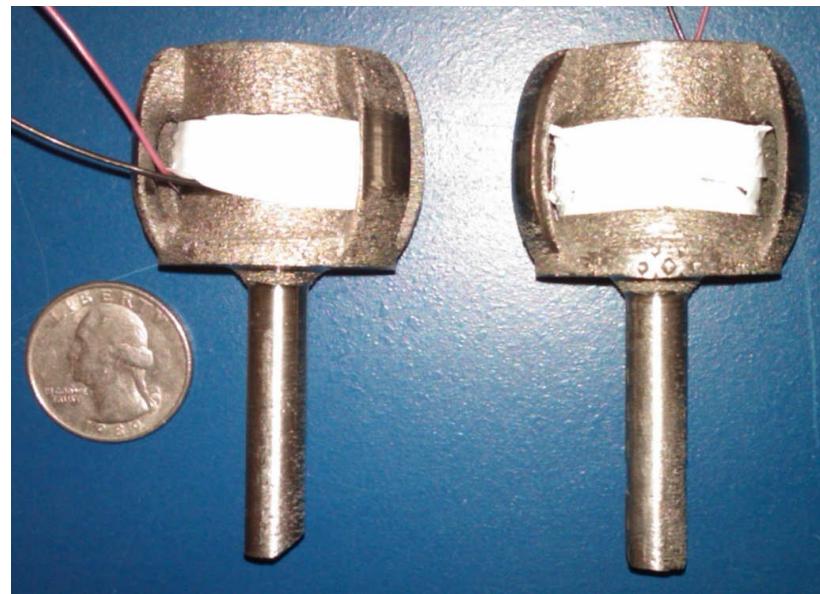
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Assembly



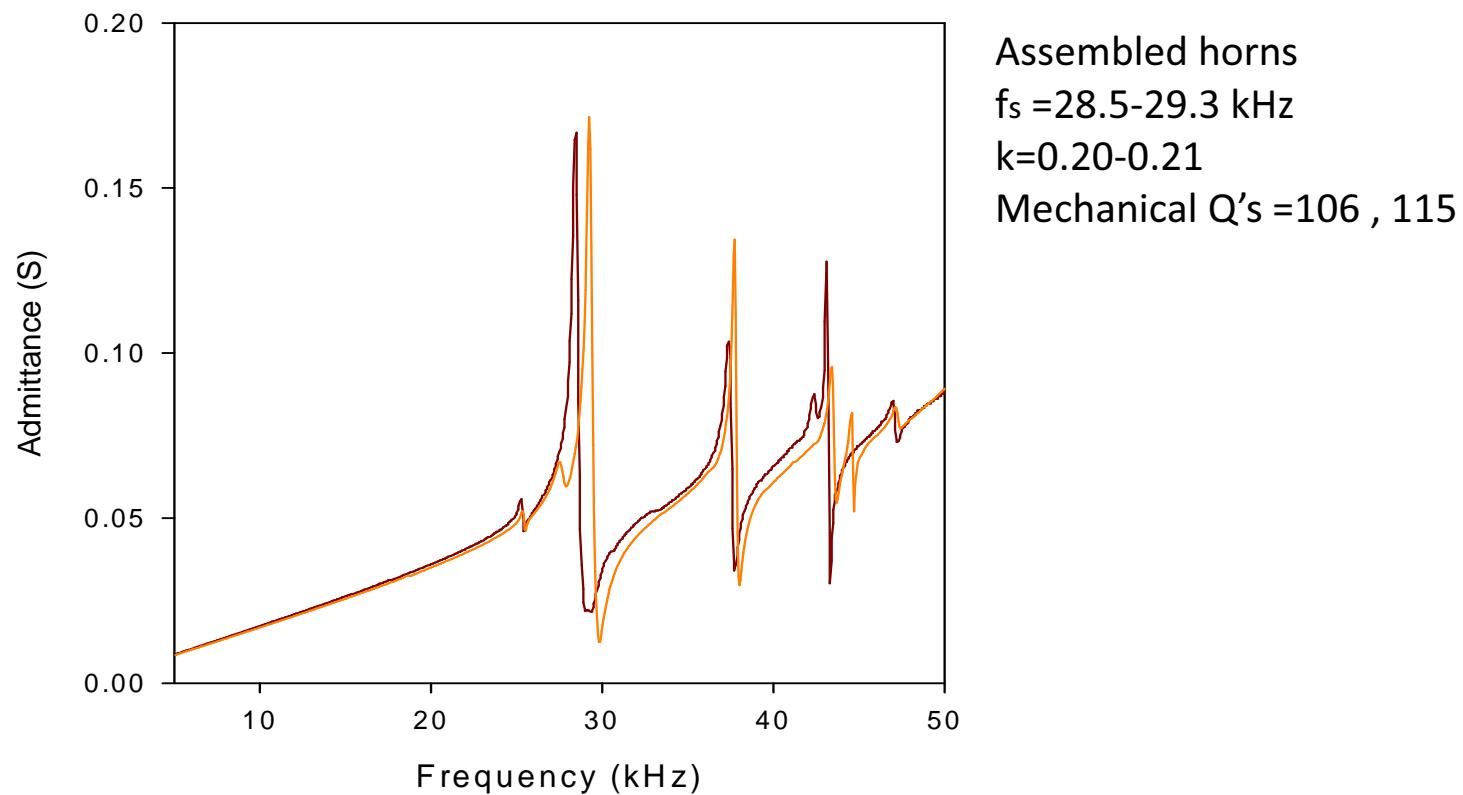
- Inner surfaces were finished using mill
- Flexures opened using pre-stress rig
- Stacks fixed using 3M 2216
- Preload monitored by measuring charge produced when flexures released





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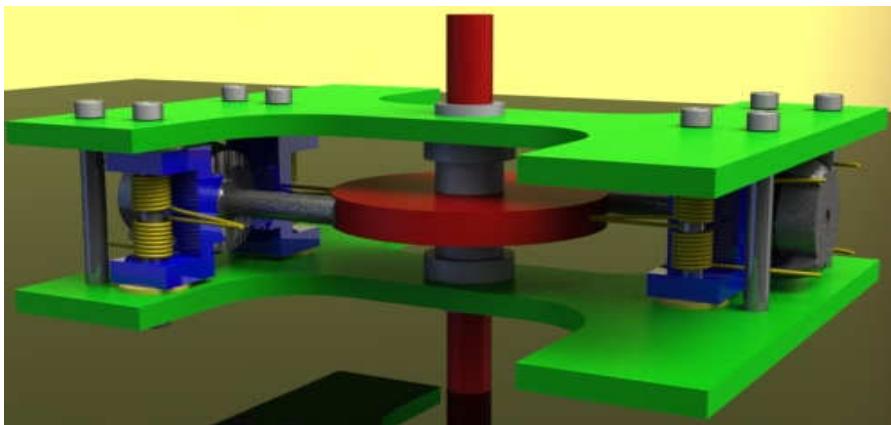


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Example application

Horns used in Barth Motor



Initial results - 15 RPM at 0.3 N·m



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Movie of horn used as motor



Can we use horns to rotate and hammer?



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Develop technologies for sample acquisition in extreme environments with reduced power, weight on bit and increased efficiency

- Hammering is great for breaking rock.
- Rotation is great for removing cuttings.
- This is why you have rotary hammer drills at Home depot

Can we develop a piezoelectric mechanism for hammering and with the same piezoelectric actuator induce rotation?

YES

Single Piezo-Actuator Rotary-Hammering (SPaRH) Drill



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Drilling using Hammering is limited to a few centimeters depth without a debris extraction method. Rotation with augers is beneficial in that it aids drilling and extracts drilling debris.

Previous approaches to induce rotation from extensional motion

- Create a bit with some asymmetry in shaft to induce rotation
- Impact wrenches/drivers
- Asymmetric teeth on bit



Approach

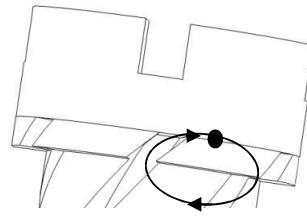
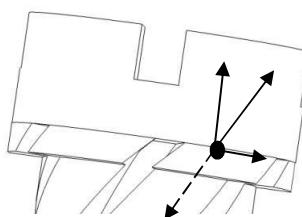
Use existing ultrasonic actuators / horns to create directional impacts on bit.

Use the micro-impacts of extension or bending at high frequency to produce macroscopic rotation.



extension

bending

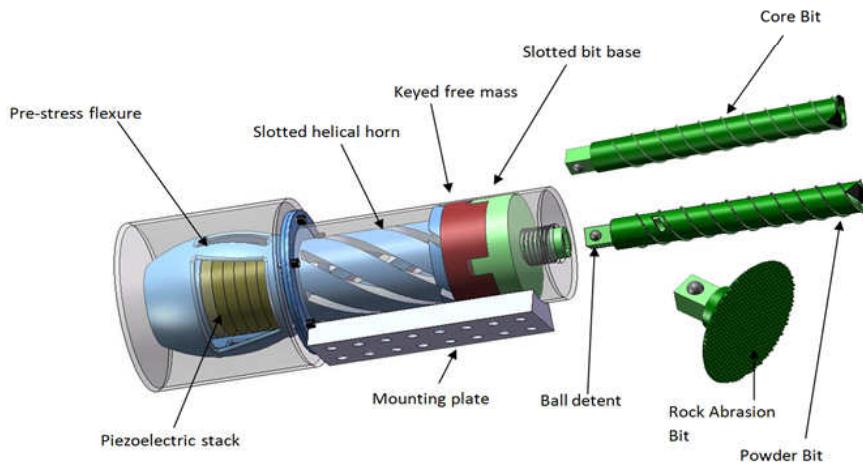




An Example Design and Analysis

Design solution

Combine fluted bit rotation (cuttings removal) with Ultrasonic/Sonic percussion (drilled media fracturing and cuttings fluidization)

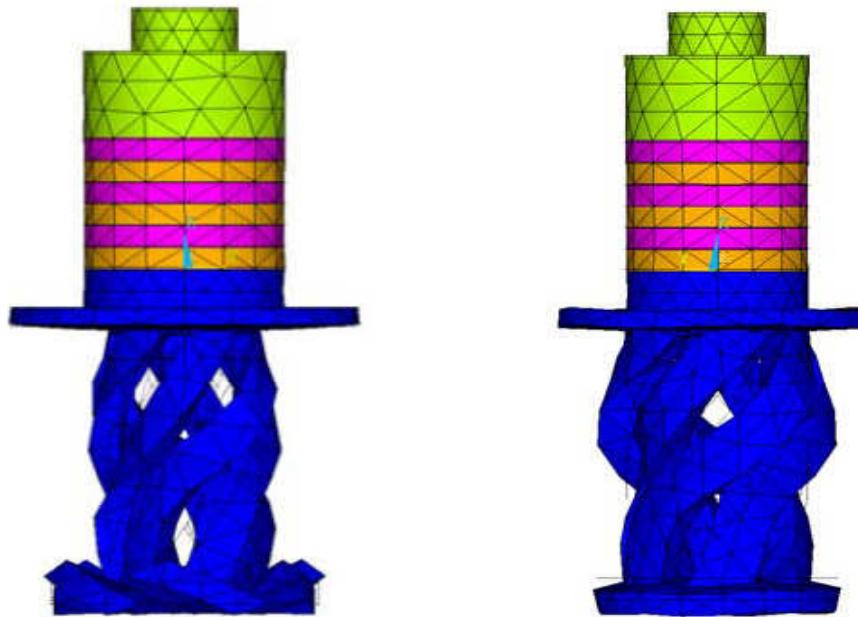




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Horn Analysis

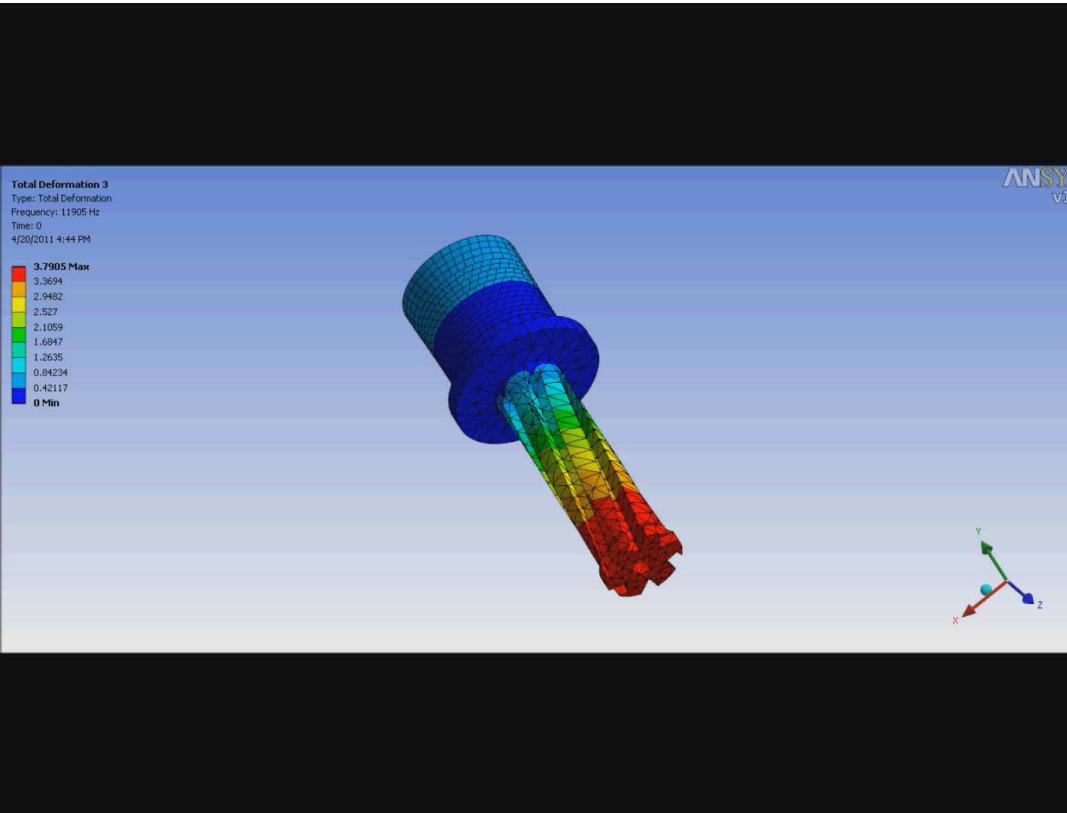


Looked at a large number of horn to produce twist and extension
Two rejected horn geometries are shown above.



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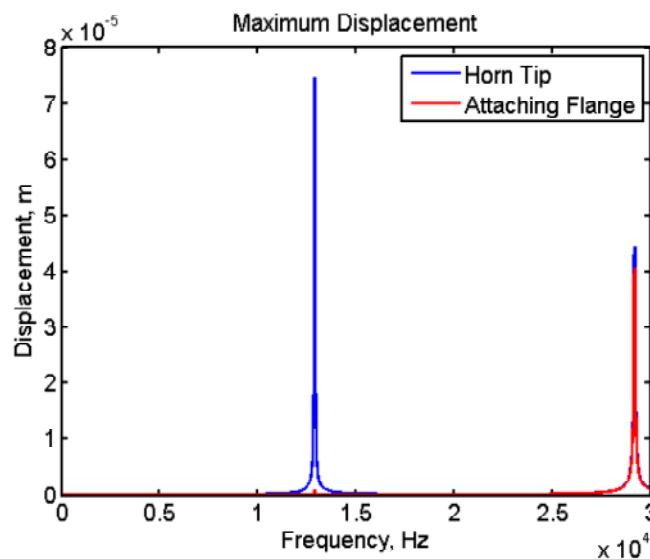
Horn Analysis

Harmonic analysis results suggest mode is reasonably coupled

Table 1. ANSYS predicted performance of chosen transducer design at 1 W input power.

Frequency (First axial mode)	Coupling Coefficient	Tip Displacement, μm	Tip Rotation, rad	Voltage, V
12217	0.08	1.75	4.40E-5	27.0

Used Mason's 1D network
model to determine movement
of nodal plane



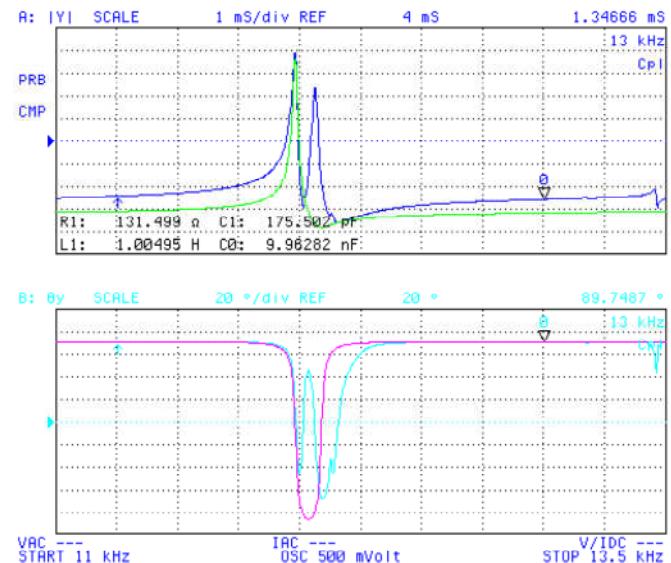


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Fabrication and testing

Fabricated horn and tested its frequency response



Transducer performance derived from impedance analysis.

First Resonant Frequency	Electromechanical Coupling Coefficient
11 972 Hz	0.07



Fabrication and testing

Testing of the horn tip displacement at resonance. A photonics fiber optic sensor was used to test both the extension and rotation at the tip at the resonance frequency



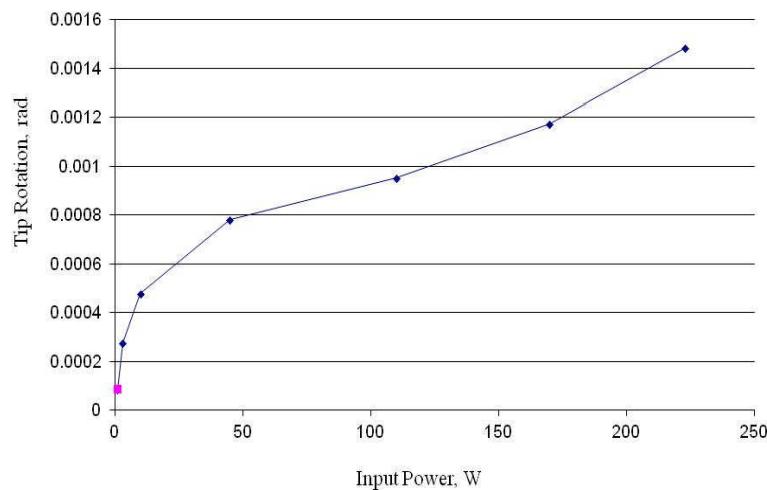
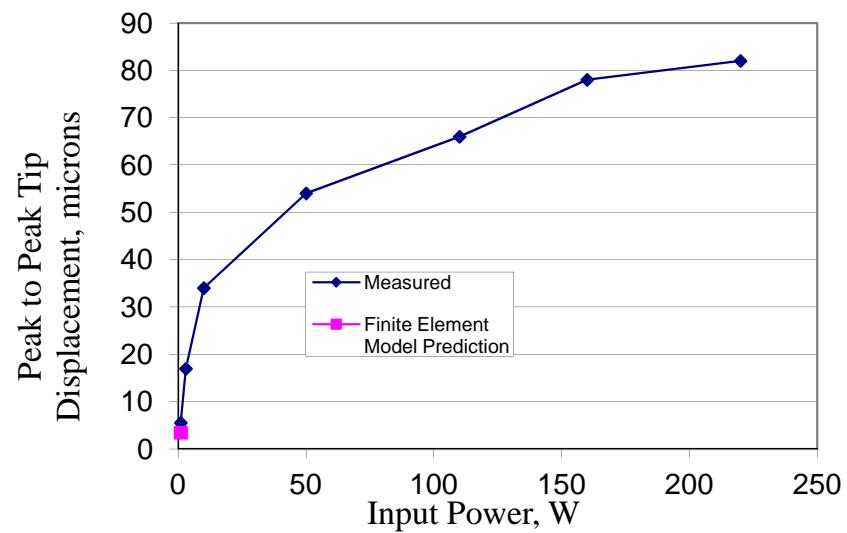
Testing



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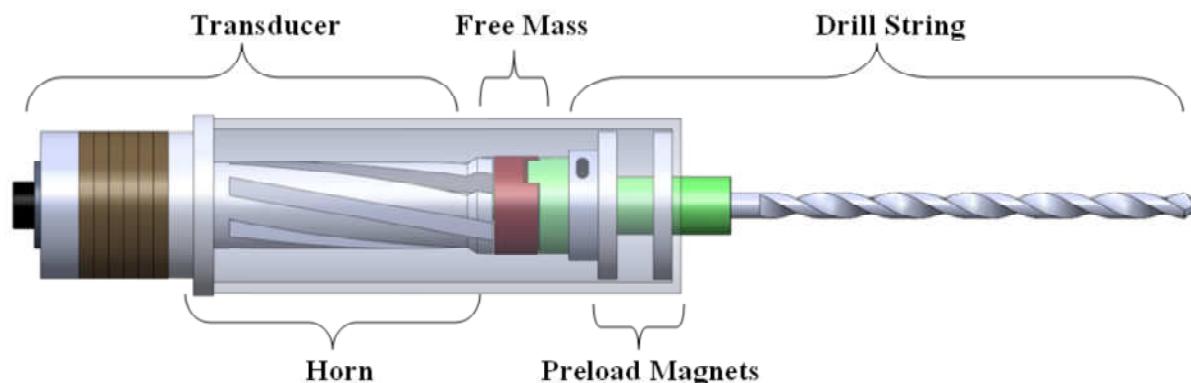
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Comparison of FEM and experimental extension data
small signal FEM predictions compare favorably to data
at low power





Drill design and Fabrication



Impacts on free mass would transmit rotation to bit through key and still be free to expand in the axial direction. The bit and free mass preloaded using NeFeB magnets



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Drilling action



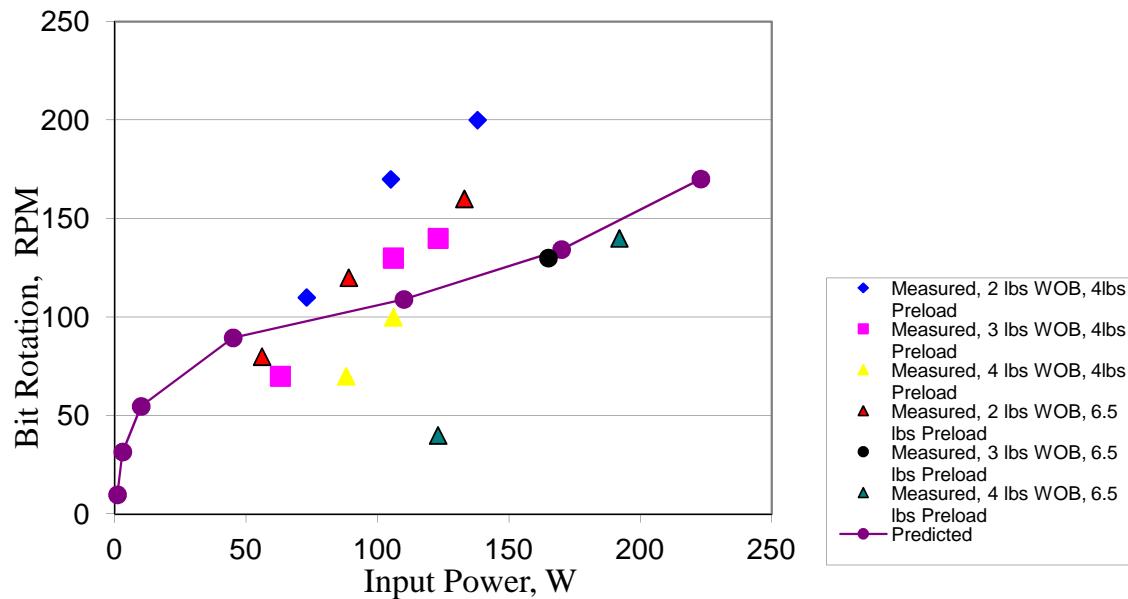
Also noted at higher frequencies we could rotate in opposite direction

Drill Testing



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Input Power, W	WOB, lbs	Preload, lbs	Duty Cycle	Drill Rate, mm/min
100	3	4	80 %	8

Testing



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- A novel horn concept to produce hammering and rotation using a single piezoelectric transducer was investigated theoretically using a variety of modeling methods via MATLAB and ANSYS.
- A transducer design was fabricated and demonstrated simultaneous rotation and hammering.
- This Piezoelectric Rotary Hammer Drill prototype has not been optimized, and requires further development however the un-optimized version rotated at 200 RPM.
- We also noted that we could reverse the direction of rotation by driving at higher frequencies (2x)
- Future work should include considering different horn shape designs, in order to compare with the performance of this initial prototype.
- In addition looking at other geometries, horn materials such as Titanium may aid in increasing the electromechanical mechanical coupling.

Conclusions



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- Ultrasonic Horns can be designed to produce many original mechanisms.
- We have just scratched the surface of Potential applications.
- We are still scratching

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Advanced Technologies Group-

Xiaoqi Bao, Yoseph Bar-Cohen,
 Mircea Badescu, Jack Aldrich,
 Zensheu Chang, Ayo Olorunsola
 Students: Luke Domm, Phillip
 Allen

MSL SAM

Jason Feldman
 Steve Battel (Battel Eng.)

MSL CHEMIN

Allen Farrington
 Bill Harris
 Bob Debusk
 Curtis Tucker
 Dave Blake (Ames)
 Dave Randall
 Eric Olds (Swales)
 Jennifer Dooley
 John Koehler
 Kent Frankovich
 Phillippe Sarrazin (Inxitu)
 Soren Madsen
 Tim Mccann
 Wayne Zimmerman

SIM

Ali Ghanneh
 Bob Glaser
 Brant Cook
 Chad Blodgett
 Dave Braun
 Dave Van-Buren
 Eric Reed
 Jeff Sokol
 John Carson
 Mohamed Tehrani (Aerospace Inc.)
 Paul MacNeal
 Paul McGrath
 Susan Trollier-McKinstry (Penn State)