

CS32 Week 6: Trees & BSTs

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Tree

- Common data structure in computer science
 - ❑ Organizing data hierarchy
 - ❑ Make decisions – decision tree
 - ❑ Fast retrieval – binary search tree

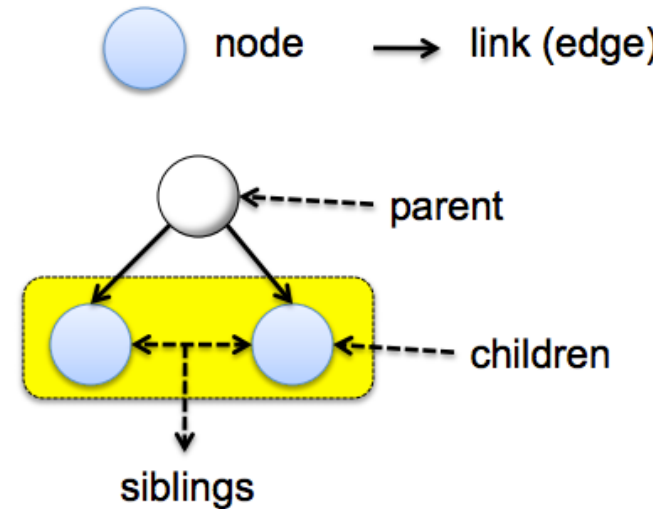
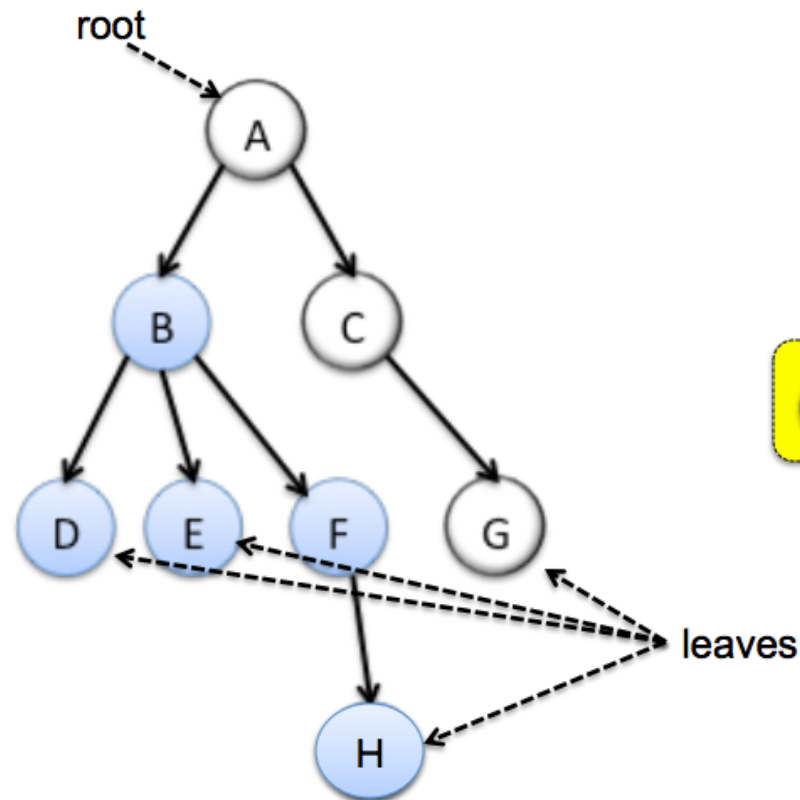
Tree

- Concepts

- ❑ root
- ❑ leaf
- ❑ parent
- ❑ children
- ❑ sibling
- ❑ ancestor
- ❑ descendant

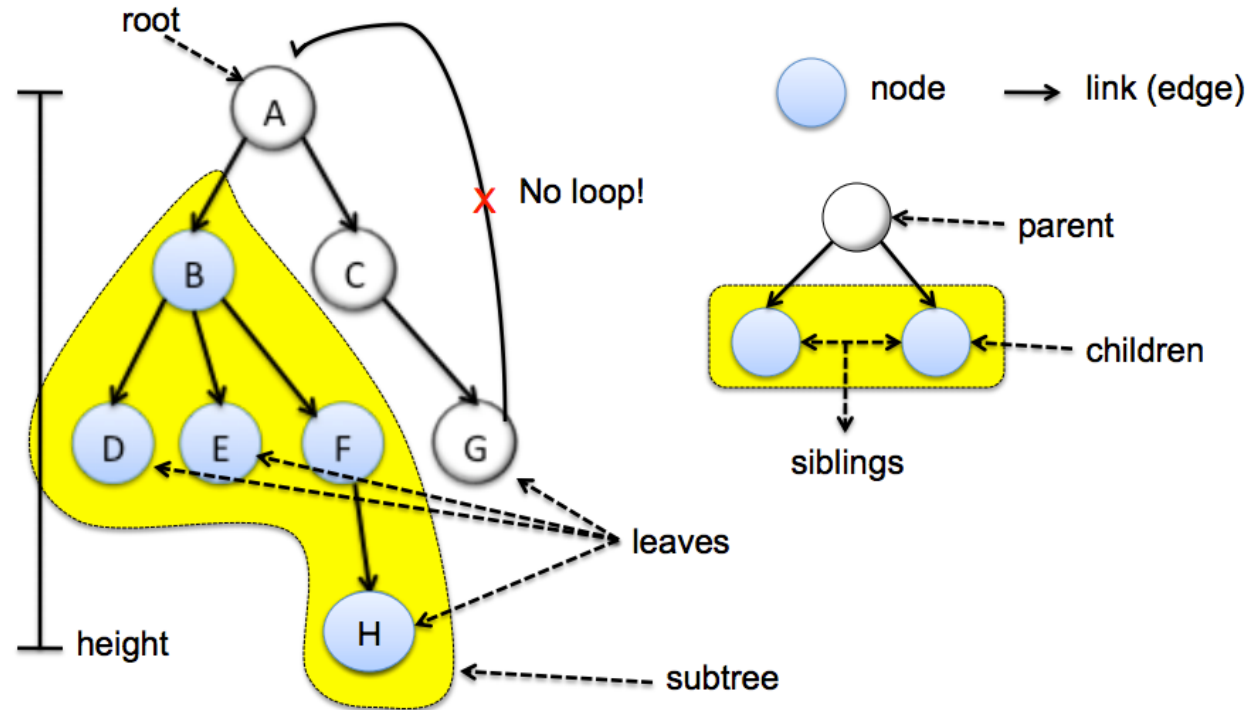
- Directed link

- ❑ Only link to children, no link to parent
- ❑ Only one parent for each node (except for root)



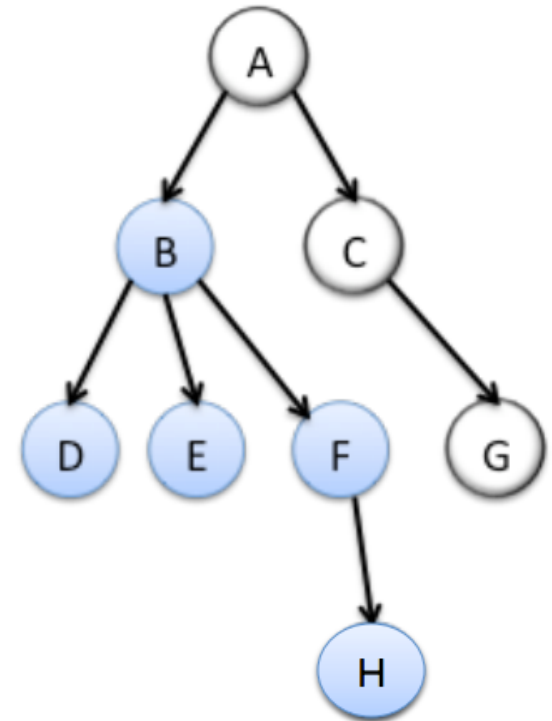
Tree

- Concepts
 - ❑ All nodes are connected.
 - ❑ No loops.
 - ❑ height/depth
 - ❑ subtree



Tree

- How many edges should there be in a tree of n nodes?
 - $n-1$
 - Each node has a link pointing to it
 - Except root node.
 - Proof of at least $n-1$ edges:
 - All nodes are connected.
 - Proof of at most $n-1$ edges:
 - A graph with n nodes and n edges
 - have to have a loop.



Tree

- Binary Tree

Each internal (non-leaf) node has at most 2 children

- Full Binary Tree

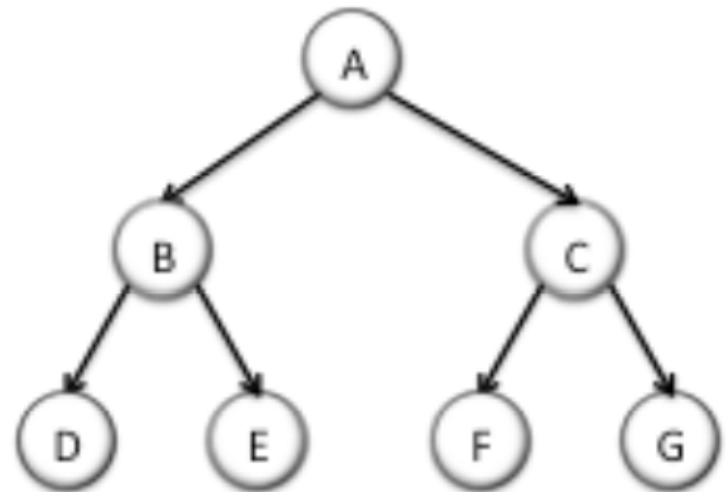
Each internal node has exactly 2 children

- Perfect Binary Tree

Full binary tree, in which
all leaves are at the same depth

- For h : height, what is n ?

- $2^{(h+1)} - 1$

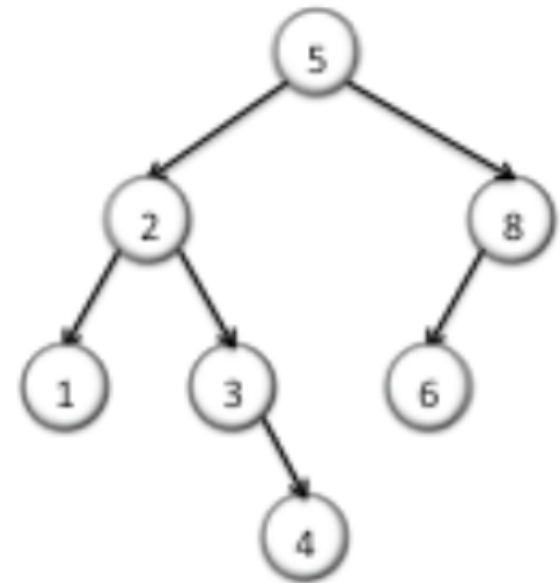


Tree

- Tree traversal
 - Pre-order
 - current, left, right
 - In-order
 - left, current, right
 - Post-order
 - left, right, current

Tree

- Tree traversal
 - What's the output for
 - Pre-order traversal
 - In-order traversal
 - Post-order traversal
 - pre: 5213486
 - in: 1234568
 - post: 1432685

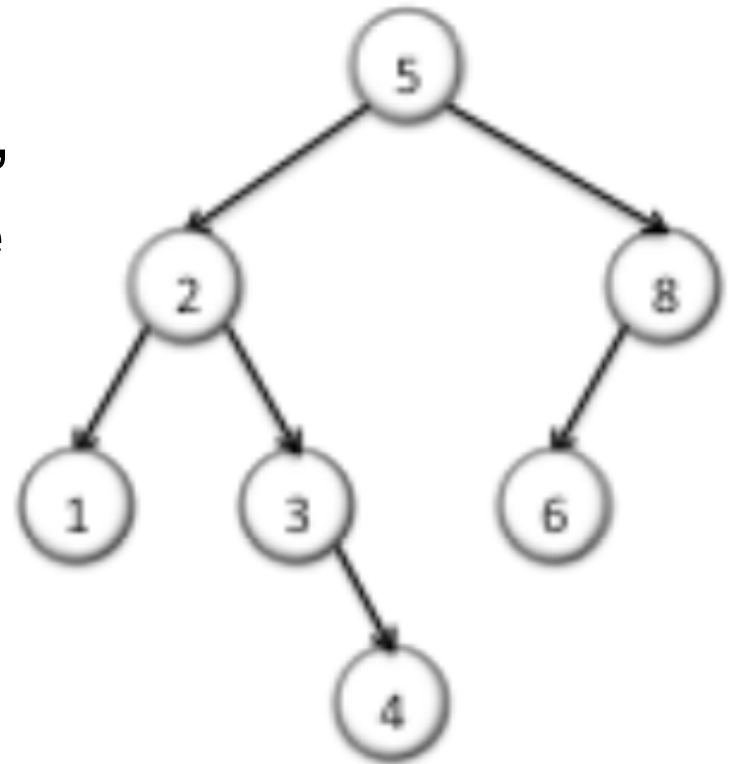


Binary Search Tree

- Definition

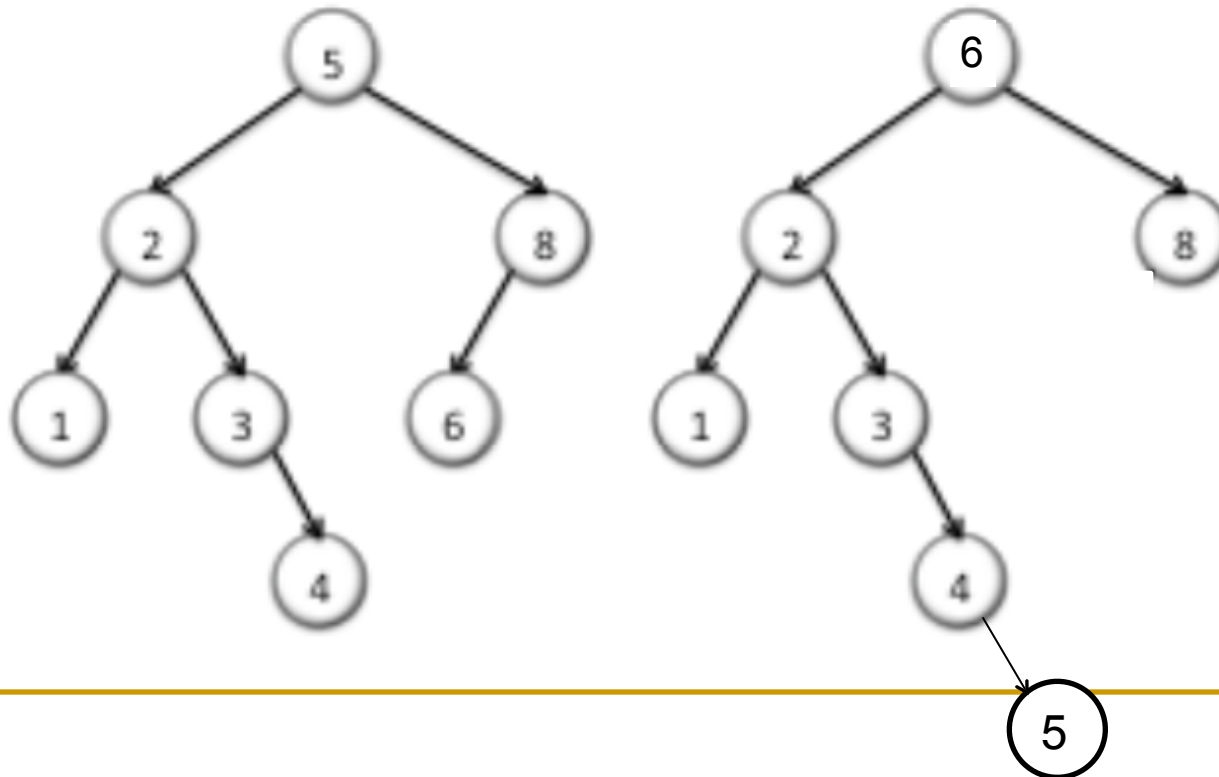
For any node with value v ,

- all nodes on the left subtree have smaller values than v ,
- all nodes on the right subtree have larger values than v .



Binary Search Tree

- For a same set of data, there exists multiple binary search trees.



Binary Search Tree

- Structure for storing data
 - Pros and cons
 - Operations:
 - Search
 - Insert
 - Delete
 - Traversal

Binary Search Tree

- Search for a value
 - At the root, compare the value v of current node with desired value x
 - If $x = v$, found it!
 - If $x < v$
 - Search for x in the **left** subtree
 - If $x > v$
 - Search for x in the **right** subtree

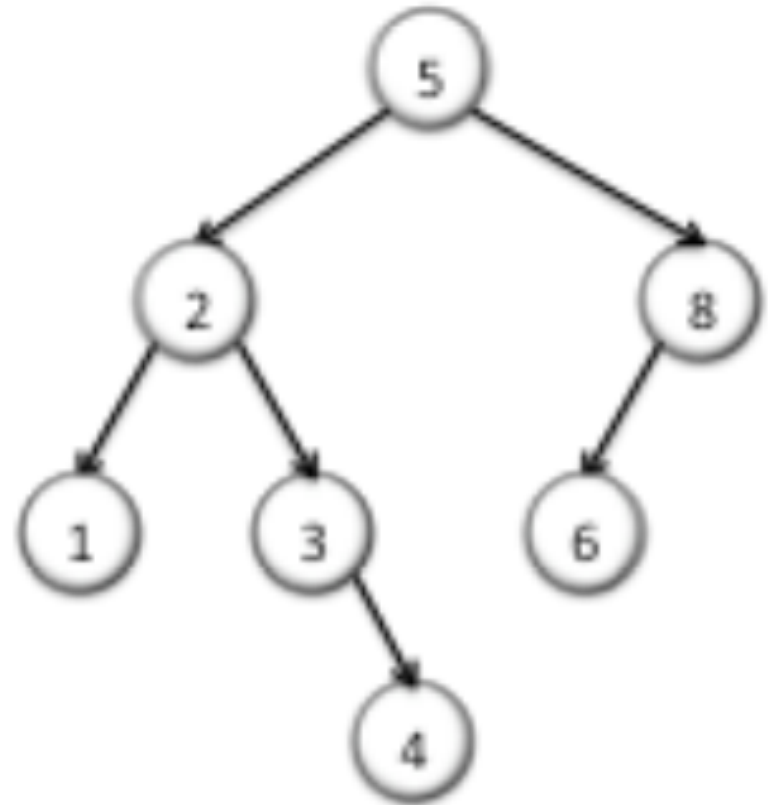
Binary Search Tree

- Search

- ❑ Search for 6
- ❑ Search for 3
- ❑ Search for 9

- ❑ Complexity

- For balanced binary search
 - ❑ $O(\log n)$
- What affects the performance of search?
 - ❑ Tree height!



Binary Search Tree

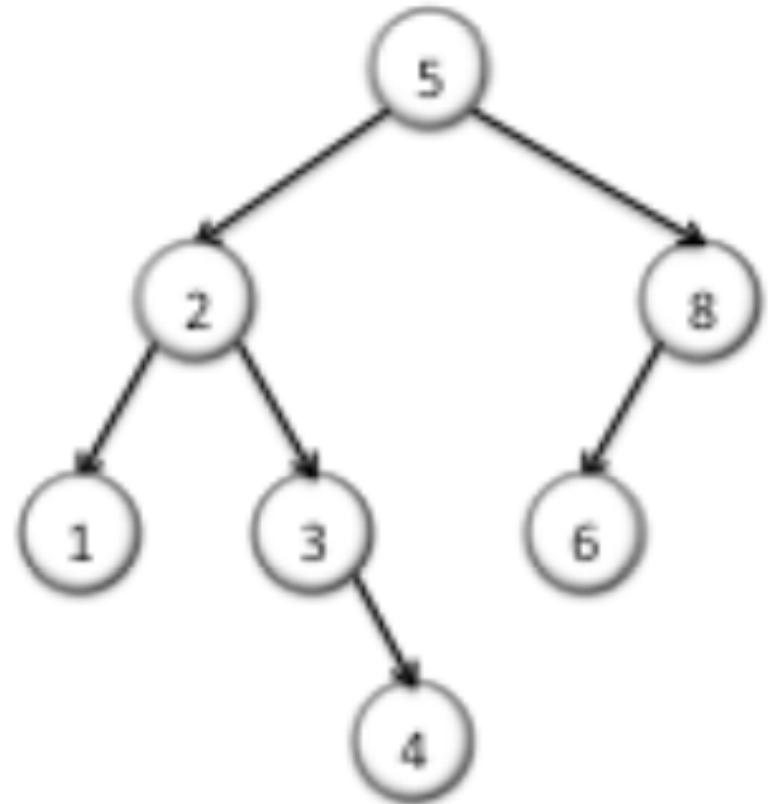
- Search
 - Using binary search on a sorted array
 - Complexity?
 - $O(\log n)$
 - Why use a binary search tree?
 - More flexibility for modification – insertion/ deletion

Binary Search Tree

- Insertion
 - Put the new value in the correct position
 - First do a search
 - Until we hit the NULL pointer
 - Create a new Node
 - Put it at the position of the NULL pointer
 - Fix the link

Binary Search Tree

- Insertion
 - ❑ Insert 7
 - ❑ Insert 0
- Complexity?
 - ❑ Search + insert
 - ❑ $O(\log n) + O(1)$
 - ❑ $= O(\log n)$



Binary Search Tree

- Insertion
 - Given a sorted array of integers
 - How to construct a BST?
 - Insertion by linear order?
 - Insertion by random order?
 - Pick the middle one as the root?

Binary Search Tree

- Challenge:
 - ❑ Given a sorted array, build the best BST.
 - ❑ Node* buildBST(int array[], int size);

- ❑ Hint: use recursion

```
struct Node {  
    int m_value;  
    Node* m_left;  
    Node* m_right;  
    Node(int val){  
        m_value = val;  
        m_left = NULL;  
        m_right = NULL;  
    }  
};
```

Binary Search Tree

- Challenge:
 - ❑ Given a sorted array, build the best BST.
 - ❑ `Node* buildBST(int array[], int size);`
 - ❑ `{`
 - ❑ `return buildBST(array, 0, size-1);`
 - ❑ `}`
 - ❑ `Node* buildBST(int array[], int start, int end)`

Binary Search Tree

- Challenge:
 - ❑ Given a sorted array, build the best BST.
 - ❑ `Node* buildBST(int array[], int start, int end)`
 - ❑ Recursion
 - How to break down the problem?
 - ❑ Use first half to construct left subtree
 - ❑ Use second half to construct right subtree
 - How to merge results?
 - ❑ Use middle one to create a node, link left and right subtree
 - Base case?
 - ❑ When $start > end$.

Binary Search Tree

- Challenge:

- Given a sorted array, build the best BST.

```
Node* buildBST(int array[], int start, int end)
{
    if (start > end) return NULL;

    int mid = (start + end) / 2;
    Node* root = new Node(array[mid]);
    Node* left = buildBST(array, start, mid - 1);
    Node* right = buildBST(array, mid + 1, end);
    root->m_left = left;
    root->m_right = right;
    return root;
}
```

Binary Search Tree

- Traversal
 - Basic tree traversal techniques
 - Pre-order
 - Post-order
 - In-order
 - Which one is special for BST?
 - In-order traversal produce the values in order.

Binary Search Tree

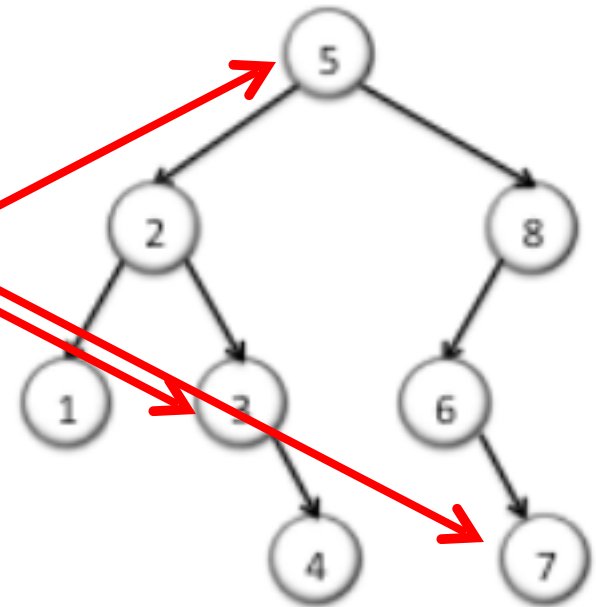
- Deletion
 - Remove one node from the tree
 - Fix links, move node if necessary
 - Tree still remain a valid BST
 - Run a search first and find the node to delete
 - Delete the node
 - 3 cases

Binary Search Tree

- Deletion

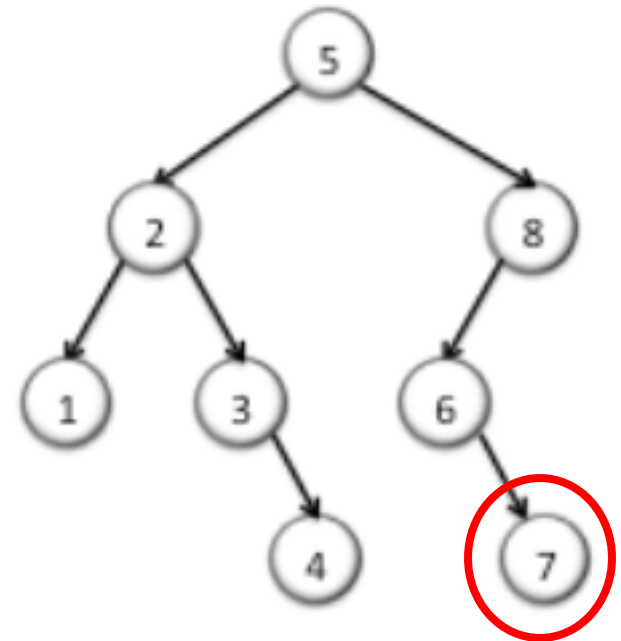
- 3 cases

- The node is a leaf
 - The node has one child
 - The node has two children



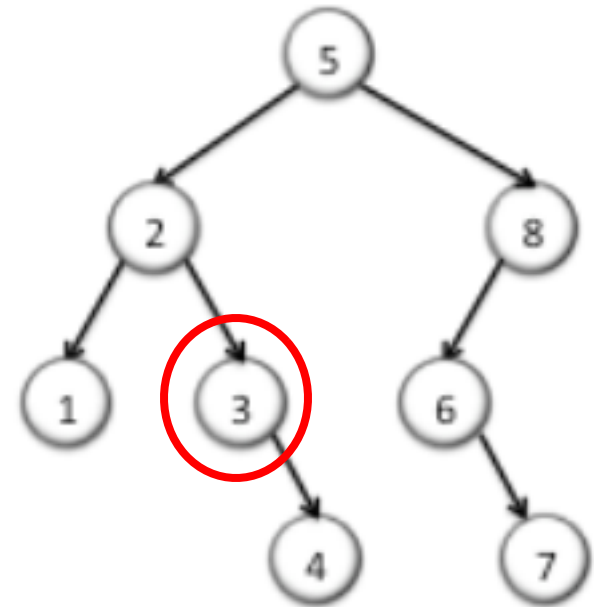
Binary Search Tree

- Deletion
 - The node is a leaf
 - Delete the node
 - Set the parent's child pointer to NULL



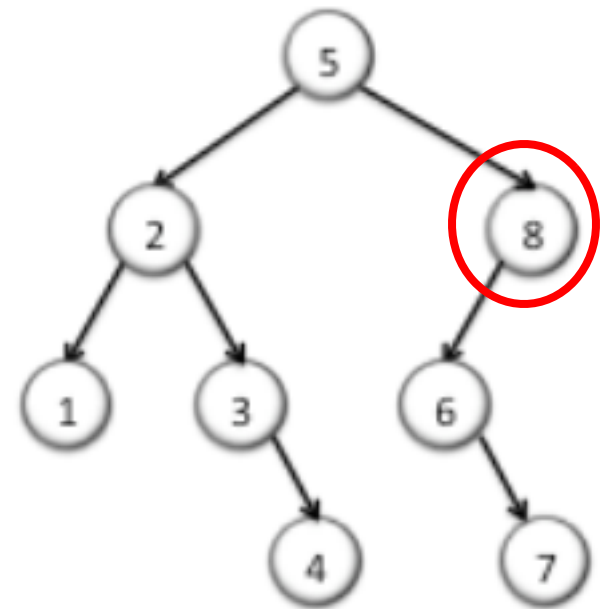
Binary Search Tree

- Deletion
 - The node has one child
 - Link the *parent* to *child*
 - If node is a left child, then
 - *parent->left = child;*
 - If node is a right child, then
 - *parent->right = child;*
 - Order remains the same.



Binary Search Tree

- Deletion
 - The node has one child
 - Link the *parent* to *child*
 - If node is a left child, then
 - *parent->left = child;*
 - If node is a right child, then
 - *parent->right = child;*
 - Order remains the same.



Binary Search Tree

- Deletion

- The node has two children

- Find a replacement

- The one next to current node's value from left or right

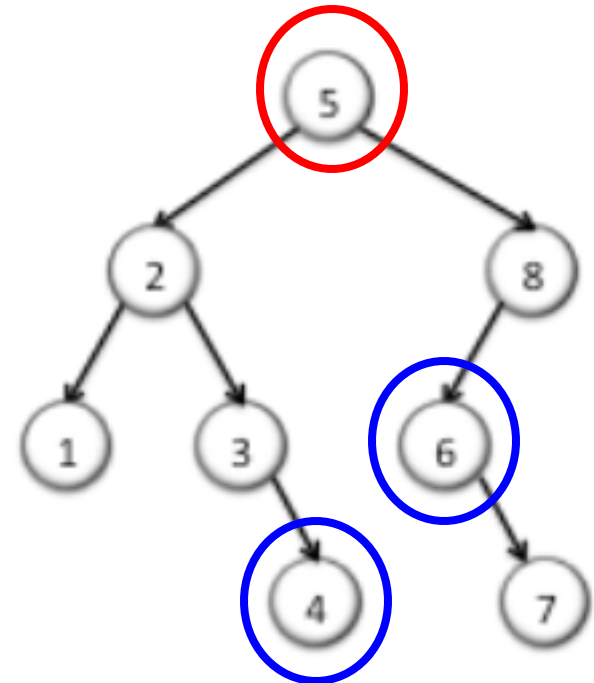
- Largest one in the left subtree

- Smallest one in the right subtree

- This node has no children or only one child.

- Update the value of the current node

- Delete the replacement node (use case 1 or 2)



Binary Search Tree

- Height of a BST?
 - ❑ `int getHeight(Node* p){ }`
 - ❑ **height** of a tree: the length of the longest path from the root to a leaf.
 - ❑ Hint: use recursion

Binary Search Tree

- Height of a BST?

```
int getHeight(Node* p)
{
    if (p == NULL)
        return -1;

    int left_height = getHeight(p->m_left);
    int right_height = getHeight(p->m_right);
    if (left_height > right_height)
        return left_height + 1;
    else
        return right_height + 1;
}
```

Binary Search Tree

- Find the max in BST
 - ❑ `int GetMax(Node* p){ }`

```
int GetMax(node *p)
{
    if (p == NULL)           // empty
        return(INT_MIN) ;
    while (p->right != NULL)
        p = p->right;

    return(p->value) ;
}
```

Binary Search Tree

- Find the max in a binary tree
 - ▣ `int GetMax(Node* p){ }`

Binary Search Tree

```
int GetMax(Node* p)
{
    if (p == NULL) return INT_MIN; // empty

    int max = p->m_value;

    int left_max = GetMax(p->m_left);
    if (left_max > max)
        max = left_max;
    int right_max = GetMax(p->m_right);
    if (right_max > max)
        max = right_max;

    return max;
}
```

Balanced Binary Search Tree

- **Balanced**
 - for any node, the difference between height for left subtree and right subtree is at most 1.
- **Height affects the performance of searching for BST.**
 - a balanced tree will have the smallest height among the BSTs.
 - Rotation techniques.
 - AVL tree, etc.