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Global Markets Trading – Tax Documentation for Individuals

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How To Complete Your W-8BEN Form

The W-8BEN form is a legal document required by the US tax authorities and is used to declare an individual's tax status to the IRS. It's only required for non-US residents and by completing the form, you may be able to claim a reduced rate of withholding tax.

The W-8BEN form will be valid for a period starting on the date the form is signed and ending on the last day of the third succeeding calendar year, unless a change of circumstances makes any information on the form incorrect.

NOTE: You have obligations under U.S. tax laws to provide information necessary for U.S. tax withholding and reporting requirements. Crossing out, scribbling, liquid paper (correction fluid) or other alternations are NOT accepted and will lead to rejection of the form. Please DO NOT complete this form if you are a U.S. citizen or green card holder. The information below has been provided to assist you with your obligations, however, you should ensure that you understand your obligations before completing and returning the forms to Westpac Online Investing. Westpac Online Investing is unable to provide you with advice in relation to your obligations or the U.S. tax consequences of your investment. For further advice please contact the I.R.S. or your U.S. tax adviser. To view I.R.S. instructions on completing these forms go to www.irs.gov/formw8bene

Checklist	Guidelines on Completion
Complete the form	Follow the directions on the sample form on the next page to complete the W-8BEN form.
	Each account holder must complete one copy of the W-8BEN form.
Return the W-8BEN to Westpac Online Investing	Return your W-8BEN form to:
	Scan and email:
	GlobalMarkets@westpac.com.au
	Post:
	Westpac Securities - Global Markets
	Reply Paid 85157
	Australia Square
	NSW 1214

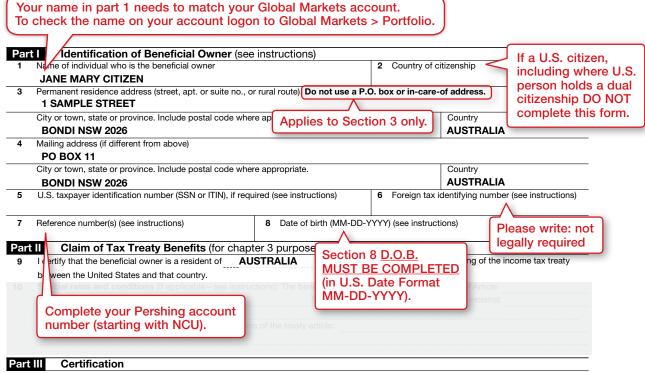
SAMPLE W8BEN - TYPICAL INDIVIDUAL

Part 1: Can be electronically completed

Part 3 & Affidavit: Must be signed by hand

If completing the form by hand

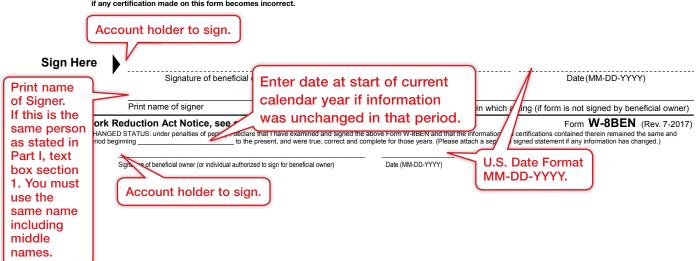
- **X** DO NOT use Liquid Paper anywhere on the form
- x DO NOT alter, cross out or scribble anywhere on the form. Use a new form instead.



Under penalties of perjury, I declare that I have examined the information on this form and to the best of my knowledge and belief it is true, correct, and complete. I further certify under penalties of perjury that:

- I am the individual that is the beneficial owner (or am authorized to sign for the individual that is the beneficial owner) of all the income to which this form relates or am using this form to document myself as an individual that is an owner or account holder of a foreign financial institution,
- The person named on line 1 of this form is not a U.S. person,
- The income to which this form relates is:
 - (a) not effectively connected with the conduct of a trade or business in the United States,
 - (b) effectively connected but is not subject to tax under an applicable income tax treaty, or
 - (c) the partner's share of a partnership's effectively connected income,
- The person named on line 1 of this form is a resident of the treaty country listed on line 9 of the form (if any) within the meaning of the income tax treaty between the United States and that country, and
- For broker transactions or barter exchanges, the beneficial owner is an exempt foreign person as defined in the instructions.

Furthermore, I authorize this form to be provided to any withholding agent that has control, receipt, or custody of the income of which I am the beneficial owner or any withholding agent that can disburse or make payments of the income of which I am the beneficial owner. I agree that I will submit a new form within 30 days if any certification made on this form becomes incorrect.



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