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Westpac Securities Limited ABN 39 087 924 221



Standard Transfer Form for Off Market Transactions

Share Trading with Westpac Online Investing is a service provided through Westpac Securities Limited ABN 39 087 924 221 AFSL 233723 (Westpac Securities) by Australian Investment Exchange Ltd (the Participant, we, us, our) ABN 71 076 515 930 AFSL 241400, a participant of the ASX Group and Chi-X Australia.

PLEASE START HERE

Thank you for requesting information regarding 'Off Market Transfers'. We have enclosed an Off Market Transfer Form, as well as guidelines (refer page 2) to assist sellers in completing the form. The following table outlines the types of Transfers that can be affected and the process.

APPLICATION INSTRUCTIONS

Seller	Buyer	Process	Westpac Charges
Transfer from another Broker's CHESS sponsored account	Transfer to a Westpac Securities CHESS sponsored account	Read Guidelines (Page 2) Complete Transfer Form (Page 3) Send original form to Westpac Securities	\$50 per transfer. GST Inclusive.
Transfer from your Westpac Securities CHESS sponsored account	Transfer to an Issuer Sponsored Holding	Read Guidelines (Page 2) Complete Transfer Form (Page 3) Send original form to Westpac Securities	\$50 per transaction. GST Inclusive.
Transfer from your Westpac Securities CHESS sponsored account	Transfer to another Broker's CHESS Sponsored Account	Read Guidelines (Page 2) Complete Transfer Form (Page 3) Send original form to Westpac Securities	No Fee. The other Broker may charge you a fee.
Transfer from your CHESS sponsored account with another broker	Transfer to a Westpac securities CHESS Sponsored account	Read Guidelines (Page 2) Complete Transfer Form (Page 3) Send original form to Westpac Securities	\$50 per Transaction. GST Inclusive.
Transfer your Issuer Sponsored Shares	Transfer to a Westpac Securities CHESS sponsored account	Complete an 'Off Market Transfer for Non-Market Transactions' form.	\$65 per transfer. GST Inclusive.
Transfer your Issuer Sponsored Shares	Transfer to an Issuer Sponsored Holding	Complete an 'Off Market Transfer for Non-Market Transactions' form.	The Participant is unable to process this transfer.

Any questions regarding the tax implications of an Off Market Transfer should be directed to an accountant or a professional investment adviser. The original Standard Transfer Form is required in our office for processing. Please ensure a copy for your records is made prior to submission.

For general information on Off Market Transfers or assistance completing the forms, please contact our Client Service Officers between 8am and 7pm (Sydney time) Monday to Friday.

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Standard Transfer Form for Off Market Transactions

Guidelines for completing an Off Market Transfer

Guidelines for completing an Off Market Transfer Each of the following points refers to a section of the Standard Off Market Transfer Form.

- 1. NAME OF COMPANY/ TRUST: The full name of the company or trust in which the securities are held (e.g. Woolworths Limited, Reef Casino Trust, etc.)
- 2. FULL DESCRIPTION OF SECURITIES: e.g. Fully Paid Ordinary 50 cent Shares, 9% Unsecured Convertible Notes, etc. This can be found on the certificate or statement.
- **3. QUANTITY:** Number of securities being transferred (in both words and figures).
- **4. FULL NAME OF SELLER:** The surname and given names of the seller. Deceased estates should read "Estate of (full name of the deceased shareholder)". If transferring from an executor's account, it should read "(name of the executor) as executor for (full name of the deceased shareholder). For companies, insert the company legal name.

For a superannuation fund, family trust or minor, insert the name of the entity or person in the account designation section. If the trustee is an individual, please insert the trustee surname and given names. If the trustee is a company, please insert the company legal name.

- 5. FULL POSTAL ADDRESS OF SELLER: Insert the full address including the postcode exactly as printed on seller's holding statement.
- **6. PARTICIPANT IDENTIFICATION NUMBER (PID):** Insert Participant Identification Number. This is the seller's sponsoring broker where security is held (e.g. Australian Investment Exchange PID 6381).

7. SHAREHOLDER REFERENCE NUMBER (SRN) or HOLDER IDENTIFICATION NUMBER (HIN):

This number can be found on the Issuer Holding statement or a CHESS Holding statement. For issuer sponsored and broker sponsored holdings (uncertificated), the seller's SRN or HIN must be quoted as confirmation of the seller's authority for the transfer to be registered. Failure to include the seller's SRN or HIN may result in the transfer being returned to you for clarification.

- If a SRN is quoted, a current Issuer Holding statement must be supplied.
- 8. TRADING ACCOUNT NUMBER: Insert the Westpac Securities Account Number where the securities are currently held (where applicable). Please ensure that the registration details correspond to those registered under the Westpac Securities Account Number.
- 9. CONTACT DETAILS OF SELLER: Insert your contact phone numbers including area code.
- 10. CONSIDERATION: The full amount paid in settlement of the transfer of securities. You may set your own consideration. For capital gains tax purposes however, if you are not dealing at "arms length" the consideration will be deemed at a fair market value for the date of purchase (i.e. for this transaction, not the original purchase), regardless of the actual consideration you decide to set. Closing, or last prices are quoted in the daily newspapers. (Please note: If you are unsure whether you are dealing at "arms length", please seek independent financial advice).
- **11. DATE OF PURCHASE:** Insert date of purchase. This should be on or before the date in points 19 and 21. Please note that this is not the original date of the purchase, but the date of this transaction.
- 12. FULL NAME OF BUYER: Full names of all buyers (a maximum of three joint holders). Securities can only be registered in the name of a living person or an incorporated company. For companies, insert the company legal name. Securities may not be registered in the name(s) of a firm or business name, an estate or deceased person, a fund or a trust, although these may be inserted as an account designation underneath the registered names. Some companies may also have restrictions on minors being registered (e.g. BHP and CBA). If the trust or superannuation fund trustee is an individual, please insert the trustee surname and given names. If the trustee is a company, please insert the company legal name.

- 13. FULL POSTAL ADDRESS OF BUYER: Insert the full address including the postcode exactly as printed on your holding statement.
- **14. PARTICIPANT IDENTIFICATION NUMBER (PID):** Insert Participant Identification Number. This is the buyer's sponsoring broker where security will be held.
- **15. SHAREHOLDER REFERENCE NUMBER (SRN) or HOLDER IDENTIFICATION NUMBER (HIN):** The buyer's SRN or HIN may be inserted, if known, so that any previous holdings may be amalgamated.
- 16. TRADING ACCOUNT NUMBER: Insert the Westpac Securities
 Account Number where the securities are to be held (where applicable).
 Please ensure that the registration details correspond to those registered under the Westpac Securities Account Number.
- 17. CONTACT DETAILS OF BUYER: Insert the buyers contact phone numbers including area code and your email address.

18. SELLERS SIGNATURE:

- a) Joint holders all holders must sign.
- b) Under Power of Attorney if not already noted, a certified copy of the Power of Attorney must be sent with the transfer form to us.
- c) Deceased Estate all executors should sign and, if not already noted, a certified copy of Probate or Letters of Administration must be sent with the transfer form to us.
- d) Company signatures of two directors (or a director and the secretary) or if there is a sole director, signature of that director.
- **19. DATE SIGNED:** Insert the date signed by the seller. This should be the same as or after the date of purchase.
- 20. BUYER SIGNATURE: Same requirements as point 18.
- **21. DATE SIGNED:** Insert the date signed by the buyer. This should be the same as or after the date of purchase.
- Note 1. These guidelines are information to assist completion of a security transfer form. Transfers or other documents must meet the requirements of the broker and/or company concerned, which may change.
- Note 2. All corrections and/or amendments need to be initialled by both the seller and buyer.
- Note 3. The use of correction fluid or tape renders the form invalid, even if initialled.

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STANDARD TRANSFER FORM

For Off-Market Transactions

Westpac Securities Limited ABN 39 087 924 221

Important: Please complete and post this original form to us ensuring that you retain the relevant legal documentation (eg. T&C's, Privacy Policy) for your reference.

1. Full name of security / stock						
2. Full Description of Securities	CLASS:	If not fully paid, paid to:				
3. Quantity	WORDS:		FIGURES:			
4. Full Name(s) of Seller(s) [Transferor(s)]	Surname(s) / Company Name: Mr/Mrs/Miss: Given Name(s): A/C Designation (if required) < PLEASE USE BLOCK LETTERS					
5. Full Postal Address of Seller(s) [Transferor(s)]		State	Postcode			
6. PID	7. SRN or HIN (please tick) Number:	8. Trading A/C				
If this is a transfer of issuer sponsor	ed holdings, have you completed Section 1 or Section 2, as appr	ropriate, of the AML requiremen	nts (please tick)			
9. Contact Details (Seller)	Work number	Mobile number				
10. Consideration	\$A					
11. Date of Purchase	/ / 20					
12. Full Name(s) of Buyer(s) [Transferee(s)]	Surname(s) / Company Name: Mr/Mrs/Miss: Given Name(s): A/C Designation (if required) < PLEASE USE BLOCK LETTERS					
13. Full Postal Address of Buyer(s) [Transferee(s)]		State	Postcode			
14. PID	15. SRN or HIN (please tick) Number:	16. Trading A/C				
17. Contact Details (Buyer)	Work number	Mobile number				
I/We the registered holder(s) and undersigned Seller(s) for the above consideration do hereby transfer to the above name(s) hereinafter called the Buyer(s) the securities as specified above standing in my/our name(s) in the books of the above named Company, subject to the several conditions on which I/we held the same at the time of signing hereof and I/we the Buyer(s) do hereby agree to accept the said securities to the same conditions. I/We have not received any notice of revocation of the Power of Attorney by death of the grantor or otherwise, under which this transfer is signed.						
18. Seller(s) [Transferor(s)] Sign Here ■		Company Use Only Sole Director Director/Secretary Director/Secretary PLEASE TICK	Company Seal			
19. Date Signed (Seller)	/ / 20	FEEASE HOR				
20. Buyer(s) [Transferee(s)] Sign Here ■		Sole Director Director/Secretary Director/Secretary PLEASE TICK				
21. Date Signed (Buyer)	/ / 20					
Any alterations made to this form MUST be initialled by both the Buyer(s) and the Seller(s). The use of correction fluid or tape renders the form invalid.						
Any increase to the amount of securities being transferred is not acceptable, even if initialled. Your payment entires are a Direct Debit from the bank account perinated on your Trading A/C, OR a Charge (to be attached to form)						